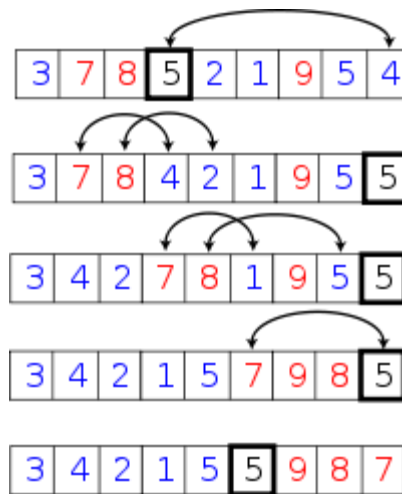


Lecture 11

Quicksort



EECS 281: Data Structures & Algorithms

Quicksort Basics

Data Structures & Algorithms

Two Problems with Simple Sorts

- They might compare every pair of elements
 - Learn only one piece of information/comparison
 - Contrast with binary search: learns $n/2$ pieces of information with first comparison
- They often move elements one place at a time (bubble and insertion)
 - Even if the element is “far” out of place
 - Contrast with selection sort: moves each element exactly to its final place
- Faster sorts attack these two problems

Quicksort: Background

- ‘Easy’ to implement
- Works well with variety of input data
- In-place sort (no extra memory for data)
- Additional memory for stack frames

Quicksort: Divide and Conquer

- Base case:
 - Arrays of length 0 or 1 are trivially sorted
- Inductive step:
 - Guess an element e/t to partition the array
 - Form array of [LHS] e/t [RHS] (divide)
 - $\forall x \in \text{LHS}, x \leq e/t$
 - $\forall y \in \text{RHS}, y \geq e/t$
 - Recursively sort [LHS] and [RHS] (conquer)

Quicksort with Simple Partition

```
1  void quicksort(Item a[], size_t left, size_t right) {  
2      if (left + 1 >= right)  
3          return;  
4      size_t pivot = partition(a, left, right);  
5      quicksort(a, left, pivot);  
6      quicksort(a, pivot + 1, right);  
7  } // quicksort()
```

- Range is [left, right)
- If base case, return
- Else divide (partition and find pivot)
and conquer (recursively quicksort)

How to Form [LHS]elt[RHS]?

- Divide and conquer algorithm
 - Ideal division: equal-sized LHS, RHS
- Ideal division is the *median*
 - **How does one find the median?**
- Simple alternative: just pick any element
 - (a) array is random
 - (b) otherwise
 - Not *guaranteed* to be a good pick
 - Quality can be averaged over such choices

Simple Partition

```
1  size_t partition(Item a[], size_t left, size_t right) {
2      size_t pivot = --right;
3      while (true) {
4          while (a[left] < a[pivot])
5              ++left;
6          while (left < right && a[right - 1] >= a[pivot])
7              --right;
8          if (left >= right)
9              break;
10         swap(a[left], a[right - 1]);
11     } // while
12     swap(a[left], a[pivot]);
13     return left;
14 } // partition()
```

- Choose last item as pivot
- Scan...
 - from left for \geq pivot
 - from right for $<$ pivot
- Swap left & right pairs and continue scan until left & right cross
- Move pivot to 'middle'

Example: pick-the-last

{2 9 3 4 7 5 8 6}

Another Partition

```
1 size_t partition(Item a[], size_t left, size_t right) {
2     size_t pivot = left + (right - left) / 2; // pivot is middle
3     swap(a[pivot], a[--right]);              // swap with right
4     pivot = right;                            // pivot is right
5
6     while (true) {
7         while (a[left] < a[pivot])
8             ++left;
9         while (left < right && a[right - 1] >= a[pivot])
10             --right;
11         if (left >= right)
12             break;
13         swap(a[left], a[right - 1]);
14     } // while
15     swap(a[left], a[pivot]);
16     return left;
17 } // partition()
```

- Choose middle item as pivot
- Swap it with the right end
- Repeat as before

Example: worst-case (min/max)

{1 2 3 4 5}

Quicksort Basics

Data Structures & Algorithms

Quicksort Analysis & Performance

Data Structures & Algorithms

Time Analysis

- Cost of partitioning n elements: $O(n)$
- Worst case: pivot always leaves one side empty
 - $T(n) = n + T(n - 1) + T(0)$
 - $T(n) = n + T(n - 1) + c$ [since $T(0)$ is $O(1)$]
 - $T(n) \sim n^2/2 \Rightarrow O(n^2)$ [via substitution]
- Best case: pivot divides elements equally
 - $T(n) = n + T(n / 2) + T(n / 2)$
 - $T(n) = n + 2T(n / 2) = n + 2(n / 2) + 4(n / 4) + \dots + O(1)$
 - $T(n) \sim n \log n \Rightarrow O(n \log n)$ [master theorem or substitution]
- Average case: tricky
 - Between $2n \log n$ and $\sim 1.39 n \log n \Rightarrow O(n \log n)$

Memory Analysis

- Requires stack space for recursive calls
- The first recursive call is NOT tail recursive, requires a new stack frame
- The second recursive call IS tail recursive, which reuses the current stack frame
- When pivoting is going terribly:
 - $O(n)$ stack frames if split is $(n - 1)$, pivot, (0)
 - $O(1)$ stack frames if split is (0) , pivot, $(n - 1)$

Sort Smaller Region First

```
1 void quicksort(Item a[], size_t left, size_t right) {
2     if (left + 1 >= right)
3         return;
4     size_t pivot = partition(a, left, right);
5     if (pivot - left < right - pivot) {
6         quicksort(a, left, pivot);
7         quicksort(a, pivot + 1, right);
8     } else {
9         quicksort(a, pivot + 1, right);
10        quicksort(a, left, pivot);
11    } // else
12 } // quicksort()
```

- Worst memory requirement?
- Both sides equal: $O(\log n)$

Quicksort: Pros and Cons

Advantages

- On average, $n \log n$ time to sort n items
- Short inner loop $O(n)$
- Efficient memory usage
- Thoroughly analyzed and understood

Disadvantages

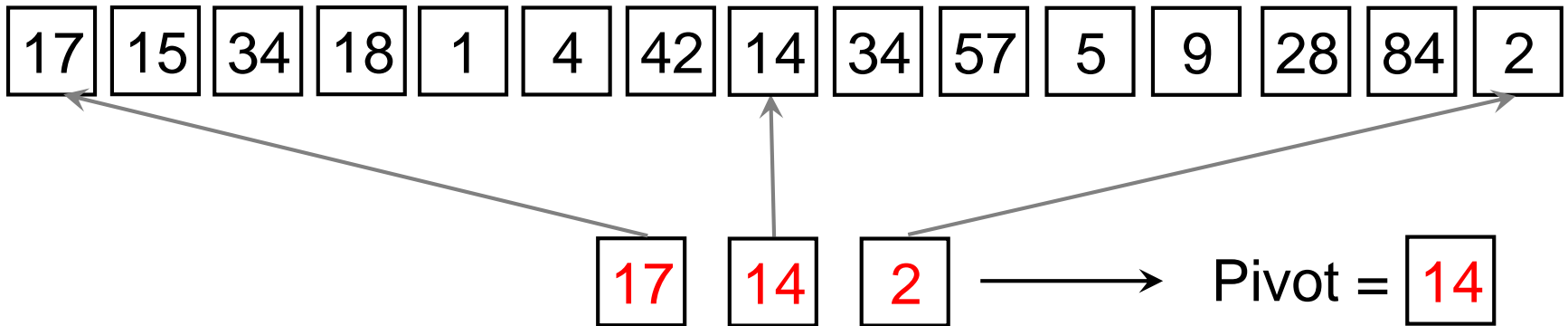
- Worst case, n^2 time to sort n items
- Not stable; making it stable sacrifices time and/or memory
- Partitioning is fragile (simple mistakes will either segfault or not sort properly)

Improving Splits

- Key to performance: a “good” split
 - Any single choice could always be worst one
 - Too expensive to actually compute best one (median)
- Rather than compute median, sample it
 - Simple way: pick three elements, take their medians
 - Very likely to give you better performance
- Sampling is a *very* powerful technique!

Median Sampling: Fixed

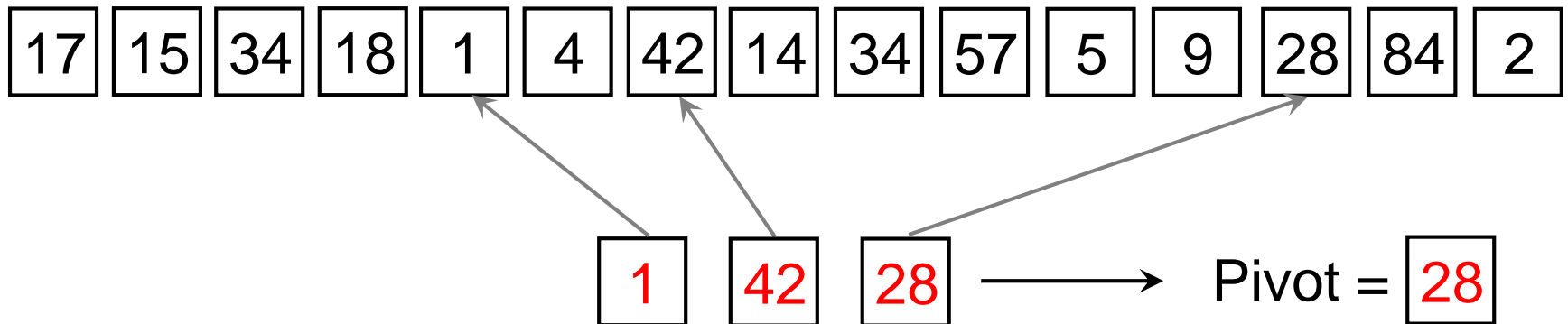
Find median of first, middle, and last elements



Runtime: $O(1)$

Median Sampling: Random

Find median of three (five, seven,...) random elements



Runtime: $O(1)$

Other Improvements

- Divide and conquer
 - Most sorts are “small” regions
 - Lots of recursive calls to small regions
- Reduce the cost of sorting small regions
 - Insertion sort is faster than quicksort on small arrays
 - Bail out of quicksort when size $< k$
 - For some small, fixed k , usually around 16 or 32
 - Insertion sort each small sub-array

Summary: Quicksort

- On average, **$O(n \log n)$** -time sort
- Efficiency based upon selection of pivot
 - Randomly choose middle or last key in partition
 - Sample three keys
 - Other creative methods
- Other methods of tuning
 - Use another sort when partition is ‘small’
 - Three-way partition

Quicksort Analysis & Performance

Data Structures & Algorithms

Sorting Summary

Data Structures & Algorithms

Sorting Algorithms: Time

- Bubble sort
 - Insertion sort
 - Selection sort
- } elementary sorts (worst-case $O(n^2)$)
- Heapsort
- } heap-based sort, $O(n \log n)$ worst-case
- Quicksort
- } divide-and-conquer
- ↪ Average case: **$O(n \log n)$** depending on pivot selection

Sorting Algorithms: Memory

- Bubble sort
 - Insertion sort
 - Selection sort
 - Heapsort
 - Quicksort?
- In-place sorts - $O(1)$ extra memory

Sorting Algorithms: Stability

A sorting algorithm is stable if output data having the **same key values** remain in the **same relative locations** after the sort

- Bubble sort ✓
- Insertion sort ✓
- Selection sort ✗
- Heapsort ✗
- Quicksort ✗

Introsort

- Introspective Sort
 - Introspection means to think about oneself
- Used by g++ and Visual Studio

```
Algorithm introsort(a[], n):  
    if (n is small)  
        insertionSort()  
    else if (quicksort.recursionDepth is large)  
        heapsort()  
    else  
        quicksort()
```

Sorting Summary

Data Structures & Algorithms

Questions for Self-study



- Illustrate worst case input for quicksort
- Explain why best-case runtime for quicksort is not linear
 - Give two ways to make it linear (why is this not done in practice?)
- Normally, pivot selection takes $O(1)$ time, what will happen to quicksort's complexity if pivot selection takes $O(n)$ time?
- Improve quicksort with $O(n)$ -time median selection
 - Must limit median selection to linear time in all cases