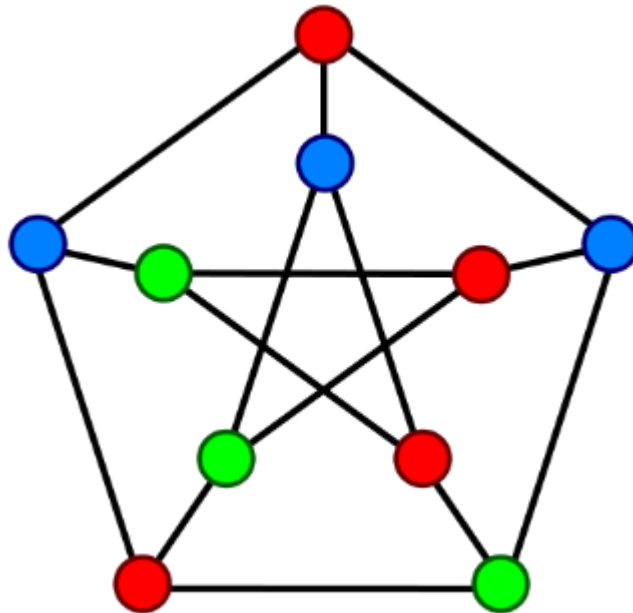


# Lecture 21

## Algorithm Families



EECS 281: Data Structures & Algorithms

# Outline

- Brute-Force
- Greedy
- Divide and Conquer
- Dynamic Programming
- Backtracking
- Branch and Bound

# Brute-Force & Greedy Algorithms

Data Structures & Algorithms

# Brute-Force Algorithms

Definition: Solves a problem in the most simple, direct, or obvious way

- Not distinguished by structure or form
- Pros
  - Often simple to implement
- Cons
  - May do more work than necessary
  - May be efficient, but typically is not
  - *Sometimes, not that obvious*

# Example: Counting Change

## Problem Definition:

- Cashier has collection of coins of various denominations
- Goal is to return a specified sum using the smallest number of coins

# Brute-force Counting Change

Try all subsets  $S$  of coins,  $C$  to make change totaling  $A$ .

- Since there are  $n$  coins, there are  $2^n$  possible subsets
- Check if sum of subset coins equals  $A$ 
  - Called “feasible solution” set
  - $O(n)$
- Pick a feasible subset that minimizes  $|S|$ 
  - Called “objective function”
  - $O(n)$

# Fewest coins that sum to 30¢?



# Coins

0	0	0	30	30
0	0	1	25	26
0	0	2	20	12
0	0	3	15	18
0	0	4	10	14
0	0	5	5	10
0	0	6	0	6
0	1	0	20	21
0	1	1	15	17
0	1	2	10	13
0	1	3	5	9
0	1	4	0	5
0	2	0	10	12
0	2	1	5	8
0	2	2	0	4
0	3	0	0	3
1	0	0	5	6
1	0	1	0	2

# Brute-Force Counting Change

- Best Case
  - $\Omega(n 2^n)$
- Worst Case
  - $O(n 2^n)$



# Greedy Algorithms

Definition: Algorithm that makes sequence of decisions (best at each point), and never reconsiders decisions that have been made

- Must show that locally optimal decisions lead to globally optimal solution
- Pros
  - May run significantly faster than brute-force
- Cons
  - May not lead to correct/optimal solution

# Greedy Counting Change

- Go from largest to smallest denomination
  - Return largest coin  $p_i$  from  $P$ , such that  $d_i \leq A$
  - $A = A - d_i$
  - Find next largest coin ...
- If money is already sorted (by value), then the algorithm is  **$O(n)$**

# Fewest coins that sum to 30¢?

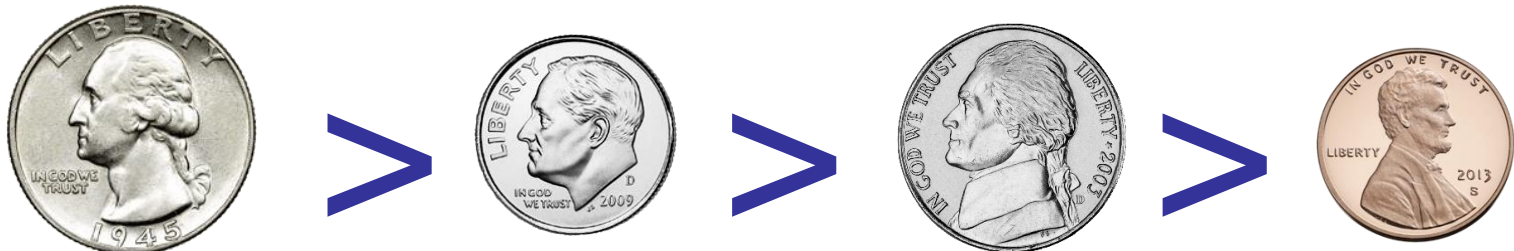
Greedy: Take the best option at the time



1. Always pick quarter if possible
2. Pick dimes if possible
3. Pick nickels if possible
4. Pick pennies if possible

# Fewest coins that sum to 30¢?

Greedy: Take the best option at the time



25¢      >      10¢      >      5¢      >      1¢

1	0	1	0
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# Coins: 2

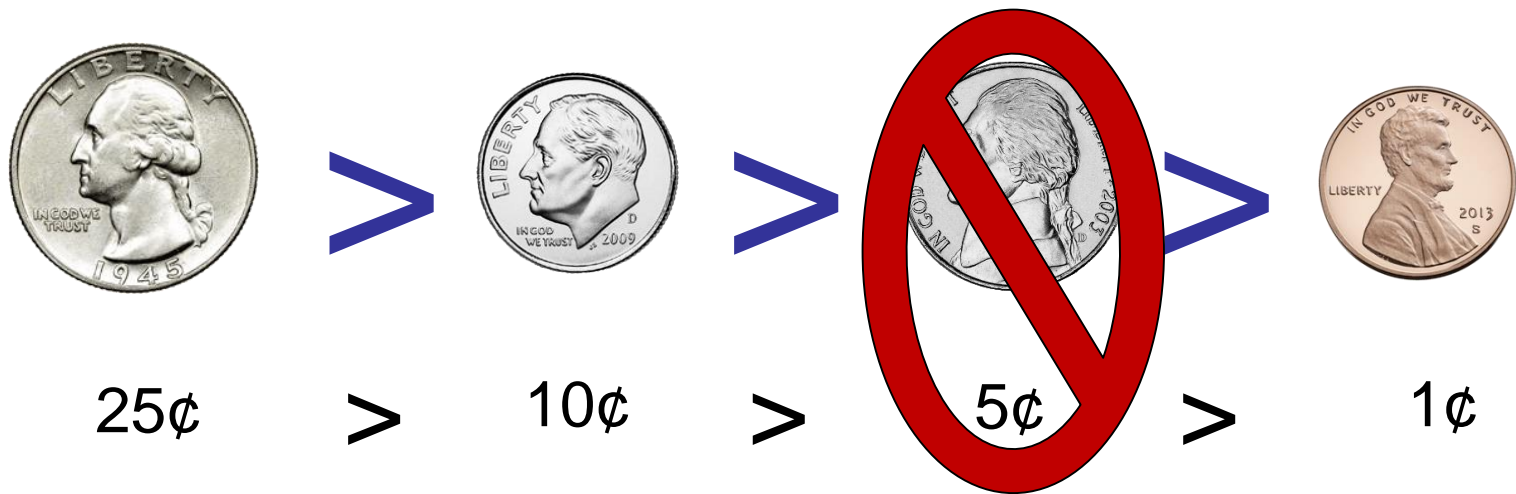
# Does Greedy Always Work?

Q: Can you devise a set of coins for which greedy does not yield an optimal solution for some amount?

A: Pennies, Dimes, Quarters to make 30¢

# Fewest coins that sum to 30¢?

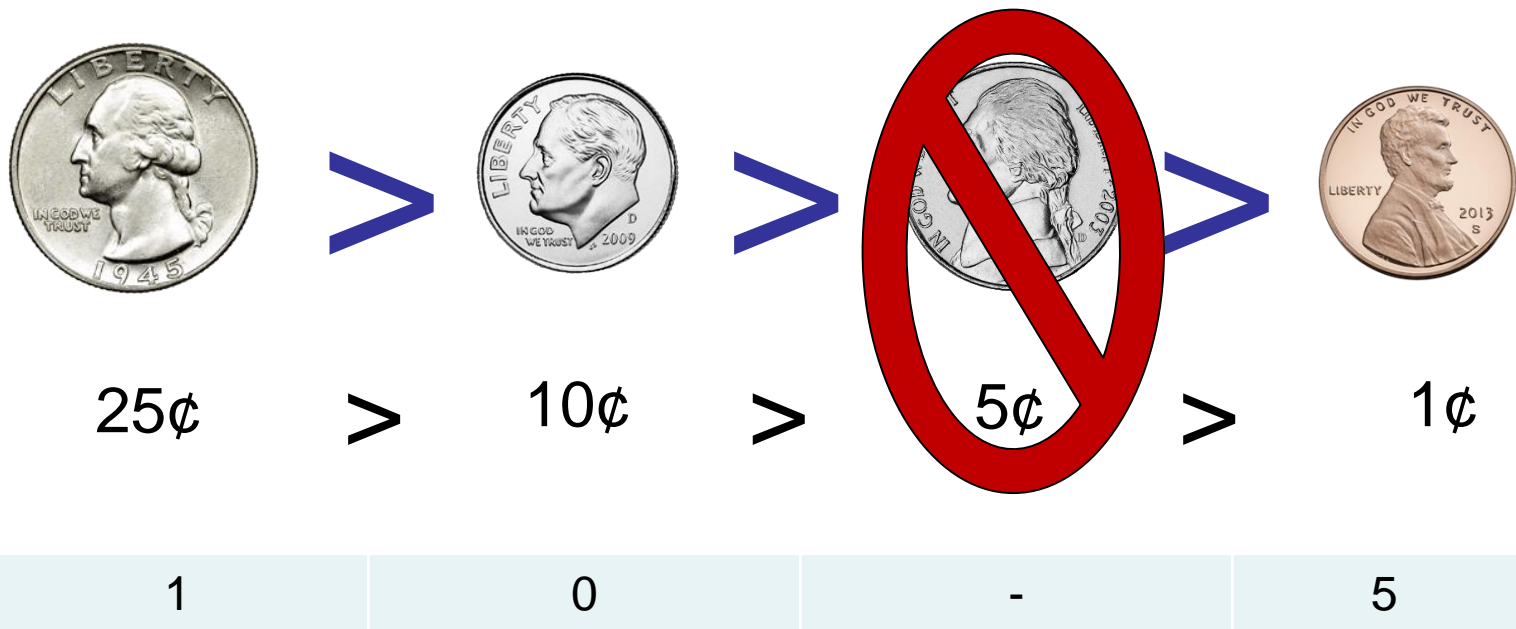
Greedy: Take best option at the time



1. Always pick quarter if possible
2. Pick dimes if possible
- ~~3. Pick nickels if possible~~
4. Pick pennies if possible

# Fewest coins that sum to 30¢?

Greedy: Take best option at the time



# Coins: 6

Brute-Force:

# Fewest coins that sum to 30¢?



# Coins

0	0	0	30	30
0	1	0	20	21
0	2	0	10	12
0	3	0	0	3
1	0	0	5	6



# Example: Sorting

- Precond: A random array of int called `myArr[]`
- Postcond: For all  $i < n - 1$ ,  
 $\text{myArr}[i] \leq \text{myArr}[i + 1]$

# Sorting: Brute-Force Approach

- Generate all permutations of array `myArr[]`
  - $O(n!)$
- For each permutation, check if all `myArr[i] ≤ myArr[i + 1]`
  - $O(n)$

# Sorting: Greedy Approach

- Find smallest item, move to first location
  - $n$  operations
- Find next smallest item, move to second location
  - $n - 1$  operations
- ...
- Leave the largest item in the final location
  - 1 operation (0 ops if you're clever)

# Example: Mountain Climbing

- Brute-Force
  - Lay out a grid in the area around the mountain
  - Visit all possible locations in the grid
  - The highest measured altitude was the top
- Greedy
  - Take a step that increases altitude
  - Iterate until altitude is no longer increasing in any direction

# Proving Greedy Optimality

- Need an optimal substructure  
Optimal solution = first “best” action + optimal solution for remaining subproblem
- Need a greedy-choice property  
First action can be chosen greedily without invalidating optimal solution
- Applied recursively though often programmed iteratively

# Algorithm Family Summary

- Brute-force
  - Solve problem in simplest way
  - Generate entire solution set, pick best
  - Will give optimal solution with (typically) poor efficiency
- Greedy
  - Make local, best decision, and don't look back
  - May give optimal solution with (typically) “better” efficiency
  - Depends upon “greedy-choice property”
    - Global optimum found by series of local optimum choices

# Brute-Force & Greedy Algorithms

Data Structures & Algorithms

# Divide and Conquer & Dynamic Programming Algorithms

Data Structures & Algorithms



# Divide and Conquer Algorithms

Definition: Divide a problem solution into two (or more) smaller problems, preferably of equal size

- Often recursive
- Often involve  $\log n$ 
  - Why?

# Divide and Conquer Algorithms

- Pros
  - Efficiency
  - “Elegance” of recursion
- Cons
  - Recursive calls to small subdomains often expensive
  - Sometimes dependent upon initial state of subdomains
    - Example: binary search requires sorted array

# Combine and Conquer Algorithms

Definition: Start with smallest subdomain possible. Then combine increasingly larger subdomains until size =  $n$

Divide and Conquer: Top down

Combine and Conquer: Bottom up

# Algorithms You Already Know

- Divide and Conquer
  - Binary Search of sorted list (phonebook)
  - Quicksort
- Combine and Conquer
  - Merge Sort

# Dynamic Programming Algorithms

Definition: Remember partial solutions when smaller instances are related

- Solves small instances first, stores the results, look up when needed
- Pros
  - Can make brutally inefficient algorithm very efficient (sometimes  $O(2^n) \rightarrow O(n^c)$ )
- Cons
  - Difficult algorithmic approach to grasp

# Dynamic Programming: Fibonacci

- Fibonacci Numbers

- $F_0 = 0$

- $F_1 = 1$

- $F_n = F_{n-1} + F_{n-2}$

- Try  $F_{50}$

$$\begin{aligned} F_{50} &= F_{49} + F_{48} \\ &= F_{48} + F_{47} + F_{47} + F_{46} \\ &= F_{47} + F_{46} + F_{46} + F_{45} + F_{46} + F_{45} + F_{45} + F_{44} \\ &= \vdots \end{aligned}$$

# Algorithm Family Summary

- Divide and Conquer
  - Divide problem into non-overlapping subspaces
  - Solve within each subspace
  - Most efficient when subspaces divide evenly
- Dynamic Programming
  - Similar to Divide and Conquer, but used for overlapping subspaces
  - Used when partial solutions are needed later
  - Often times looking “nearby” for previously calculated values

# Divide and Conquer & Dynamic Programming Algorithms

Data Structures & Algorithms



# Backtracking & Branch and Bound Algorithms

Data Structures & Algorithms

# Types of Algorithm Problems

- Constraint Satisfaction Problems
  - Can we satisfy all given constraints?
  - If yes, how do we satisfy them?  
(need a specific solution)
  - May have more than one solution
  - Examples: sorting, mazes, spanning tree
- Optimization Problems
  - Must satisfy all constraints (can we?) and
  - Must minimize an objective function subject to those constraints
  - Examples: giving change, MST

# Types of Algorithm Problems

- Constraint satisfaction problems
  - Stop when a satisfying solution is found
    - If one solution is sufficient
- Optimization problems
  - Usually cannot stop early
  - Must develop set of possible solutions
    - Called *feasibility set*
    - Usually just the best complete solution so far, and the current partial solution being developed
  - When done, the best solution seen is the best

# Types of Algorithm Problems

- Constraint Satisfaction problems
  - Can rely on *Backtracking algorithms*
- Optimization problems
  - Can rely on *Branch and Bound algorithms*

For particular problems, there may be much more efficient approaches, but think of these as a fallback to a more sophisticated version of a brute force approach.

# Backtracking Algorithms

Definition: Systematically consider all possible outcomes of each decision, but *prune* searches that do not satisfy constraint(s)

- Think of as DFS with Pruning
- Pros
  - Eliminates exhaustive search
- Cons
  - Search space is still large

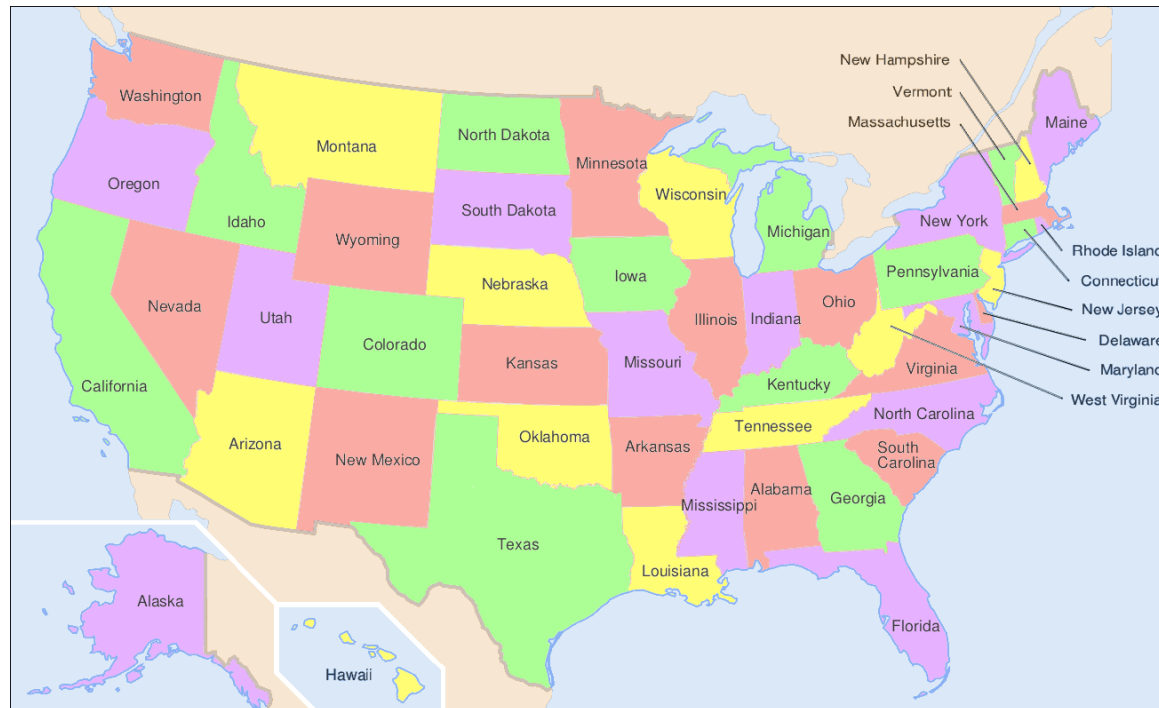
# Applied Backtracking: 4 Color

**Example:** *graph coloring* in four colors

- Assign colors to vertices such that no two vertices connected by an edge have the same color
- Some graphs can be 4-colored, and some cannot
  - Give examples
- Given a graph, is it 4-colorable?

# Graph Coloring

- Ever wonder how to pick colors in a map without coloring adjacent states the same color?

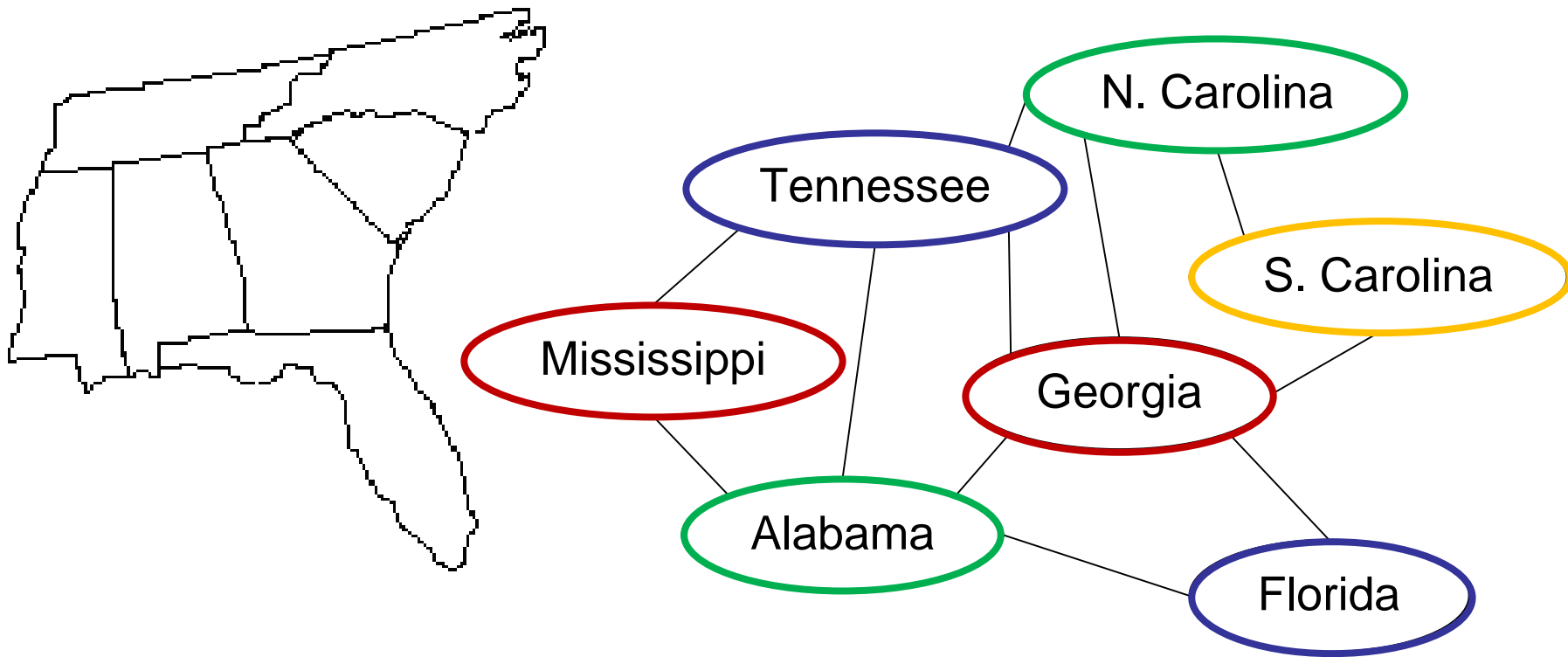


# Graph Properties

- Cartographic maps can be drawn as *planar* graphs
- **Planar Graph:** a graph that can be drawn with no crossing edges
- Conversion of a map to a planar graph
  - States become nodes
  - Shared borders become edges



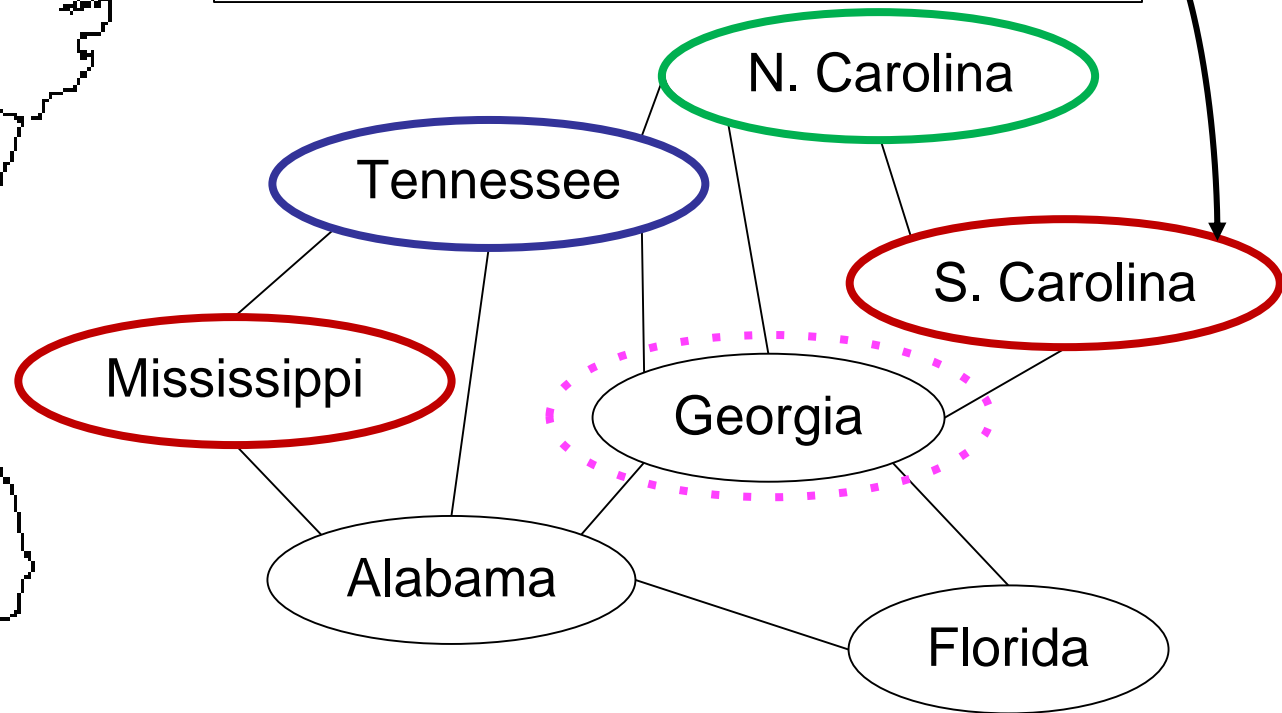
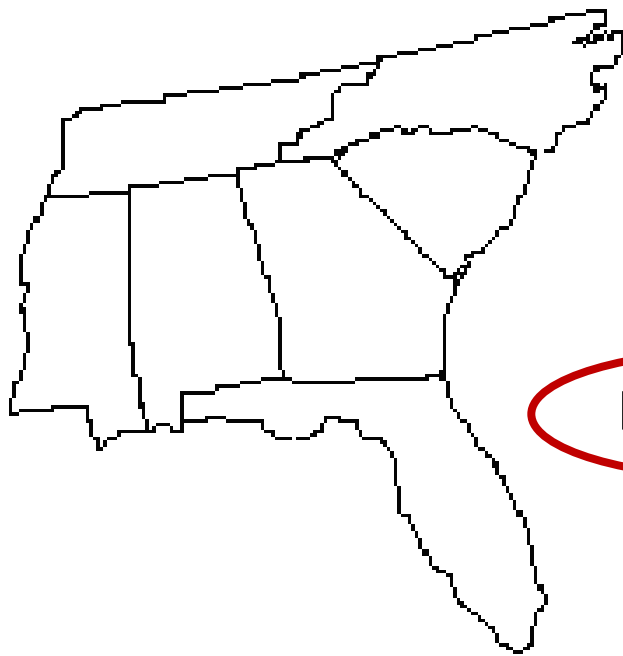
# Map to Graph conversion



Using 4 colors: R B G O

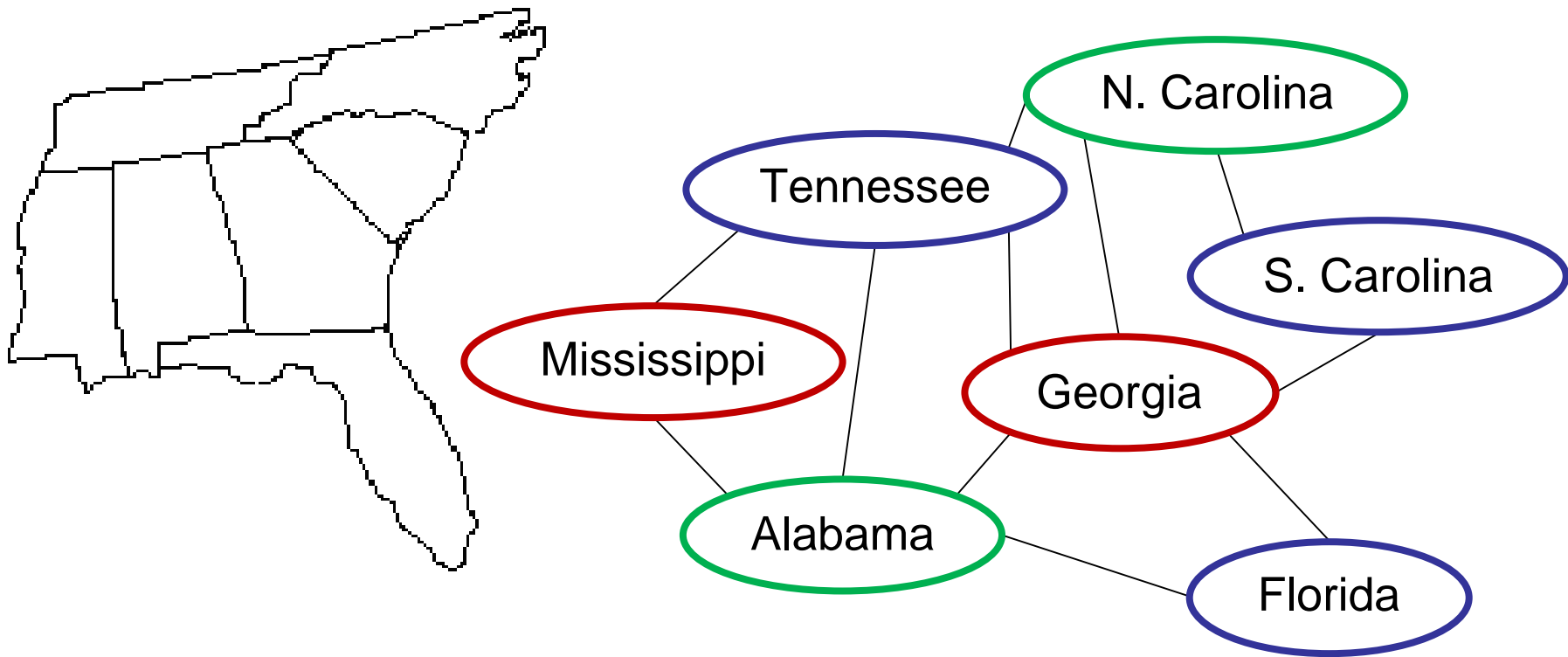
# Map to Graph conversion

Red does not work!  
Backtrack and try another color



Using 3 colors: R B G

# Map to Graph conversion



Using 3 colors: R B G

# From Enumeration to Backtracking

- Enumeration
  - Take vertex  $v_1$ , consider 4 branches (colors)
  - Then take vertex  $v_2$ , consider 4 branches
  - Then take vertex  $v_3$ , consider 4 branches
  - ...
- Suppose there is an edge  $(v_1, v_2)$ 
  - Then among  $4 \times 4 = 16$  branches,  
4 are dead-ends (don't lead to a solution)

# Backtracking

- Branch on every possibility
- Must maintain the current partial solution being developed
  - Might print *or* maintain all complete solutions
- Check every partial solution for validity
  - If a partial solution violates some constraint, it makes no sense to extend it (so drop it), i.e., **backtrack**
- Why is this better than enumeration?

# M-Coloring Algorithm

Input:  $n$  (number of nodes),  
 $m$  (number of colors),  
 $W[0..n)[0..n)$  (adjacency matrix)  
(  $W[i][j]$  is true if there is an edge  
from node  $i$  to node  $j$ , and false otherwise)

Output: all possible colorings of graph  
represented by  $\text{int } \text{vcolor}[0..n)$ , where  
 $\text{vcolor}[i]$  is the color associated with  
node  $i$

# M-Coloring Algorithm

```
Algorithm m_coloring(index i = 0)
    if (i == n)
        print vcolor(0) thru vcolor(n - 1)
        return
    for (color = 0; color < m; color++)
        vcolor[i] = color
        if (promising(i))
            m_coloring(i + 1)
```

# M-Coloring Algorithm

```
bool promising(index i)
    for (index j = 0; j < i; ++j)
        if (W[i][j] and vcolor[i] == vcolor[j])
            return false

    return true
```



# When is Backtracking Efficient?

- Backtracking avoids looking at large portions of the search space by pruning, but this does not necessarily improve the asymptotic complexity over brute force.
  - e.g. If we prune out 99% of the search space,  
 $0.01 * b^n$  is still  $O(b^n)$
- However, backtracking works well for constraint satisfaction problems that are either:
  - Highly-constrained: Constraint violations are detected early in partial solutions and lead to MASSIVE amounts of pruning.
  - Under-constrained: Acceptable solutions are densely distributed, so it is quite likely we find one early and can terminate.

# Algorithm Family Summary

- Backtracking
  - Used for pruning in *Constraint Satisfaction* problems
  - For problems that require any solution
  - Can determine/prune dead ends (choices that break constraints)
- Branch and Bound
  - Used for pruning in *Optimization* problems
  - For problems that require a best solution
  - Can determine/prune both dead ends *and* non-promising branches

# Backtracking & Branch and Bound Algorithms

Data Structures & Algorithms