The syntax of Chinese attitude verbs: complications and implications for language acquisition

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Attitude verbs

- Verbs that typically describe some mental state.
- Attested cross-linguistically.
- Form several smaller, distinct semantic classes.



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The learning challenge: attitude verbs describe abstract, hard-to-observe mental states

"Belief" verbs

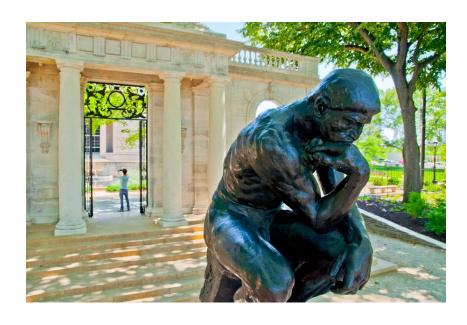
think

know

believe

quess

say



"Desire" verbs

want

prefer

love

like

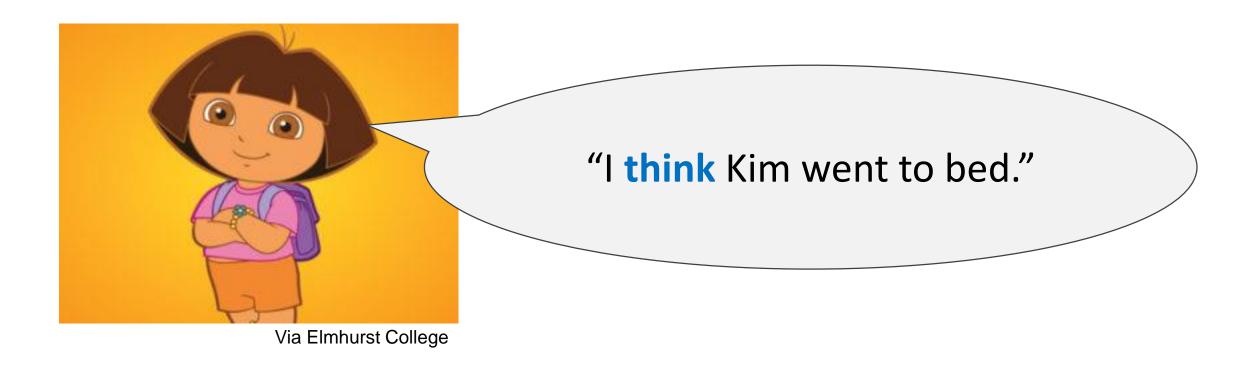
Dora thinks Kim went to bed.

Express commitment to truth of "Kim went to bed"

Dora wants Kim to go to bed.

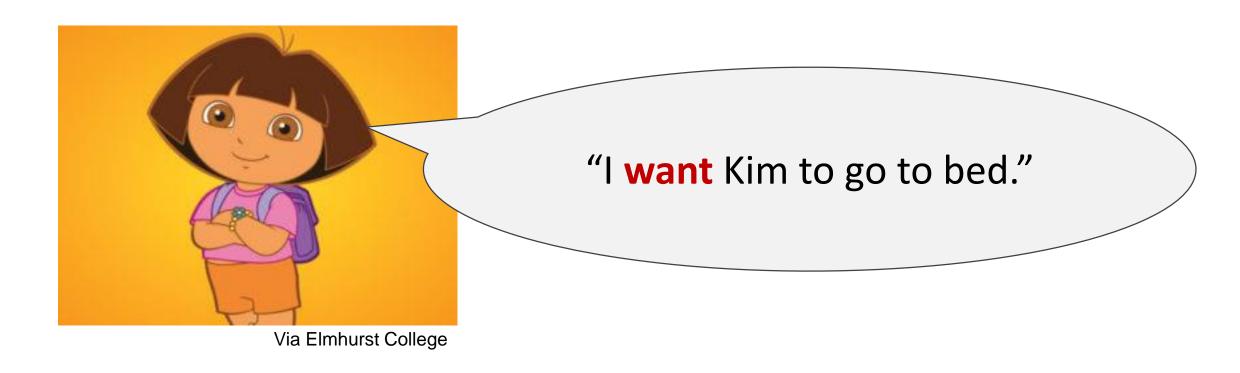
Express preference for "Kim goes to bed"

How might a child figure out these meaning differences?



Gleitman 1990; Gillette et al. 1999

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Gleitman 1990; Gillette et al. 1999

Syntactic bootstrapping: learning semantics from syntax

Verb meanings can be tricky to observe, but syntax is relatively easy to observe.

Infer semantics using syntax.

Gleitman & Landau 1985; Gleitman 1990; Gillette et al. 1999; Hacquard & Lidz 2019; Huang et al. 2018, 2022, to appear; also Fisher 1996; Lee & Naigles 2008

Interesting points of connections with research on formal syntax and semantics: Verb meanings are robustly correlated with syntax.

Zwicky 1971, Levin 1993, Villalta 2008, White & Rawlins 2015, etc.

But syntactic bootstrapping requires a very important assumption

Namely, there are morphosyntactic differences that are easy for a child to observe.

But languages can vary widely in their morphosyntax. Is this assumption actually valid?

If not:

- 1. Learners might draw the **wrong morphosyntactic conclusions**, e.g. about argument structure, subcategorization, case.
- 2. Learners using syntactic bootstrapping as a learning strategy further risk drawing **the wrong semantic conclusions**.

This talk: are syntactic differences truly easier to observe?

Mandarin Chinese: two features of clausal syntax might make it difficult to differentiate between complement clauses.

- 1. Absence of inflectional morphology
- 2.Wh-in situ (also in situ A-not-A*, haishi* 還是 "or")

Complications for learning the distinctions between:

- 1. Belief and desire verbs (semantic distinction)
- 2. Antirogative vs. responsive verbs (syntactic distinction)

This talk

However, analysis of child-ambient speech reveals distributional differences for these verbs.

• There are overt differences that learners could observe and leverage, even in a language like Mandarin.

Along the way, we will see that **pragmatics** (speech act-related cues) can play an important role in helping learners learn the right semantics and syntax.

Learning verb meanings: whether a verb has belief or desire semantics

(Huang, White, Liao, Hacquard & Lidz 2022; Huang, Liao, Hacquard, & Lidz 2018)

Outline

- The syntax of belief complements and declarative main clauses
- A syntactic bootstrapping account
- The problem posed by Mandarin Chinese syntax
- How serious is the problem? Corpus analysis and a computational model of syntactic bootstrapping

Cross-linguistically, belief and desire verbs are differentiated morphosyntactically

Cross-linguistically, belief and desire verbs are differentiated morphosyntactically

Dora **pense** que Kim **est** au lit.

"Dora thinks that Kim is.IND in bed."

Dora **veut** que Kim **soit** au lit.

"Dora wants Kim be.SUBJ in bed."

	Complement clause of belief verbs	Complement clause of desire verbs
English	Finite	Non-finite
French (and Romance)	Indicative	Subjunctive

Belief clauses resemble declarative sentences

Declaratives

Dora **thinks** Kim went to bed.

Dora **pense** que Kim est au lit.

"Dora thinks that Kim is.IND in bed."

Kim went to bed.

Kim est au lit.

"Kim is.IND in bed."

	Complement clause of belief verbs	Complement clause of desire verbs	Declarative sentences
English	Finite	Non-finite	Finite
French (and Romance)	Indicative	Subjunctive	Indicative

Syntactic bootstrapping: learning semantics from syntax

Specifically: learn verb semantics from clausal syntax.

Proposal

If a verb has an complement clause that looks like a declarative sentence, that verb is a belief verb.

If not, it is a desire verb.

Why? A role for pragmatics

"Dora thinks Kim went to bed." "Dora wants Kim to go to bed."

"Kim went to bed."

Direct assertion

Why? A role for pragmatics

"Dora thinks Kim went to bed." "Dora wants Kim to go to bed."

Indirect assertion of "Kim went to bed"

"Kim went to bed."

Why? A role for pragmatics

"Dora thinks Kim went to bed." "Dora wants Kim to go to bed."

Indirect assertion of "Kim went to bed"

→ Think expresses truth judgments

"Kim went to bed."

A syntactic bootstrapping account requires belief and desire clauses to look distinct

Proposal

- If a verb has an complement clause that looks like a declarative sentence, that verb is a belief verb.
- If not, it is a desire verb.

The requirement is easily satisfied in languages with finiteness and mood morphology.

But what about a language that lacks such morphology, like Mandarin?

Hallmarks of Mandarin declarative sentences and belief clauses vs. desire clauses

	Declarative	Complement of		
	sentences	belief verbs		
Overt subjects	Optional	Optional		
Modals	Optional	Optional		
Aspect markers	Optional	Optional		

Problem: overt subjects, modals, and aspect markers are all optional – they can be omitted in the right context.

Mandarin Chinese

```
我 覺得 他們 可能 吃過 水果
Wo juede tamen keneng chi-guo shuiguo.
I feel/think they might eat-EXP fruit
```

[&]quot;I think they might have eaten fruit." (Belief)

Mandarin Chinese

		Subject	Modal	Aspect					
我	覺得	他們	可能	吃過	水果	我	喜歡	吃	水果
Wo	juede	tamen	keneng	chi-guo	shuiguo.	Wo	xihuan	chi	shuiguo.
I	feel/think	they	might	eat-EXP	fruit	I	like	eat	fruit

"I think they might have eaten fruit." (Belief)

"I like to eat fruit." (Desire)

他們 可能 吃過 水果

Tamen keneng chi-guo shuiguo.

they might eat-EXP fruit

"They might have eaten fruit." (Declarative)

Mandarin Chinese

"I think [they] eat fruit."

我	覺得	吃	水果	我	喜歡	吃	水果
Wo	juede	chi	shuiguo.	Wo	xihuan	chi	shuiguo.
1	feel/think	eat	fruit	I	like	eat	fruit

"I like to eat fruit."

A solution

Learners can track the **overall distribution** of various morphosyntactic features.

Perhaps belief clauses and desire clauses look different in aggregation.

- 1. Is this the case?
- 2. If there is differentiation between belief and desire clauses, are the differences enough for the learner?

Q1: Are the clauses differentiated in the input?

覺得

他們 可能 吃過

水果

我 喜歡

水果

shuiguo.

fruit

Wo juede

feel/thir

5 child-directed speech corpora in CHILDES (Beijing, Chang1, Context, Zhou1, Zhou2)

~4,200 tokens of attitude verbs with complement clauses ~1,600 declarative sentences

Tamen

keneng

chi-guo

shuiguo.

they

might

eat-EXP

fruit

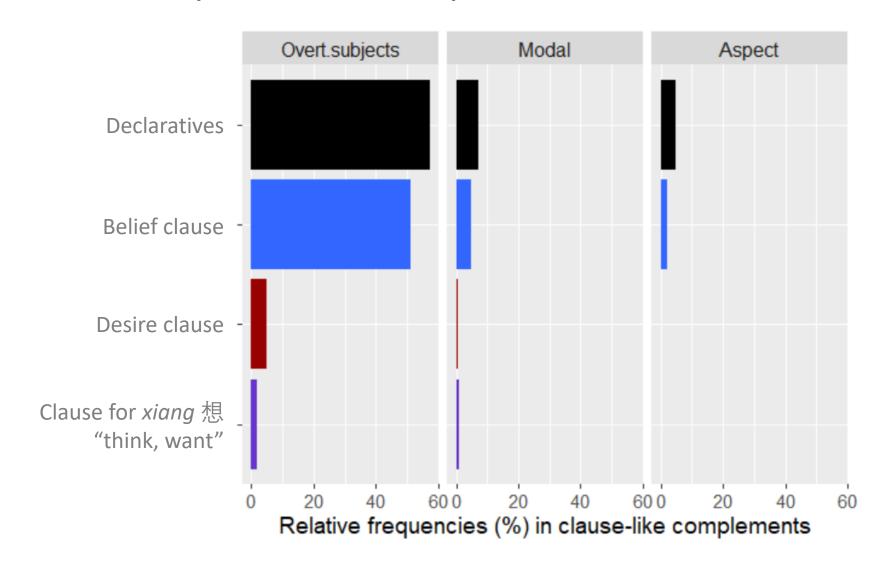
If the clauses are differentiated in the input, syntactic bootstrapping is feasible

他們 可能 吃過 水果 喜歡 覺得 11方 水果 Wo juede keneng chi-guo shuiguo. Wo xihuan chi shuiguo. tamen feel/think they might like fruit eat-EXP fruit eat

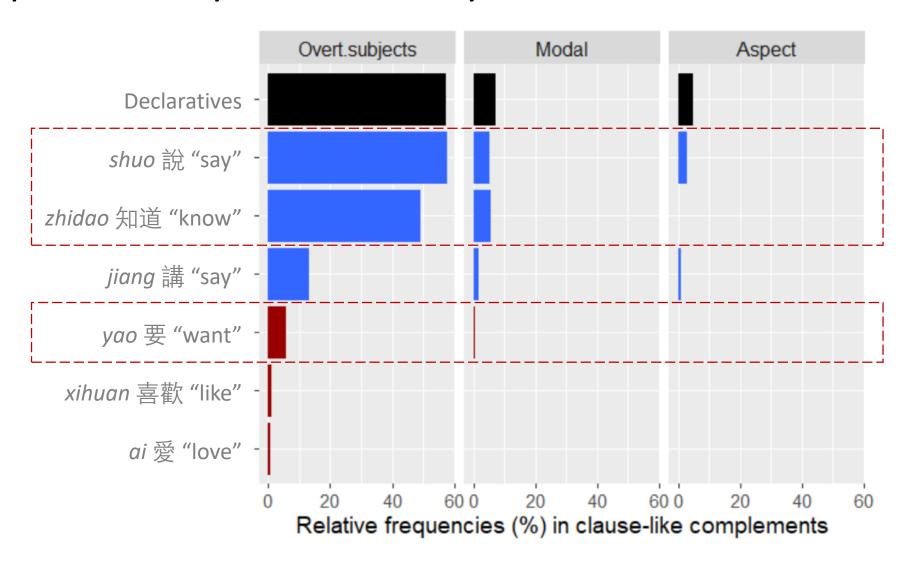
Each token coded for presence of overt subject, modal, aspect markers

他們 可能 吃過 水果
Tamen keneng chi-guo shuiguo.
they might eat-EXP fruit

Corpus study: results by verb class



Corpus study: results by verb class



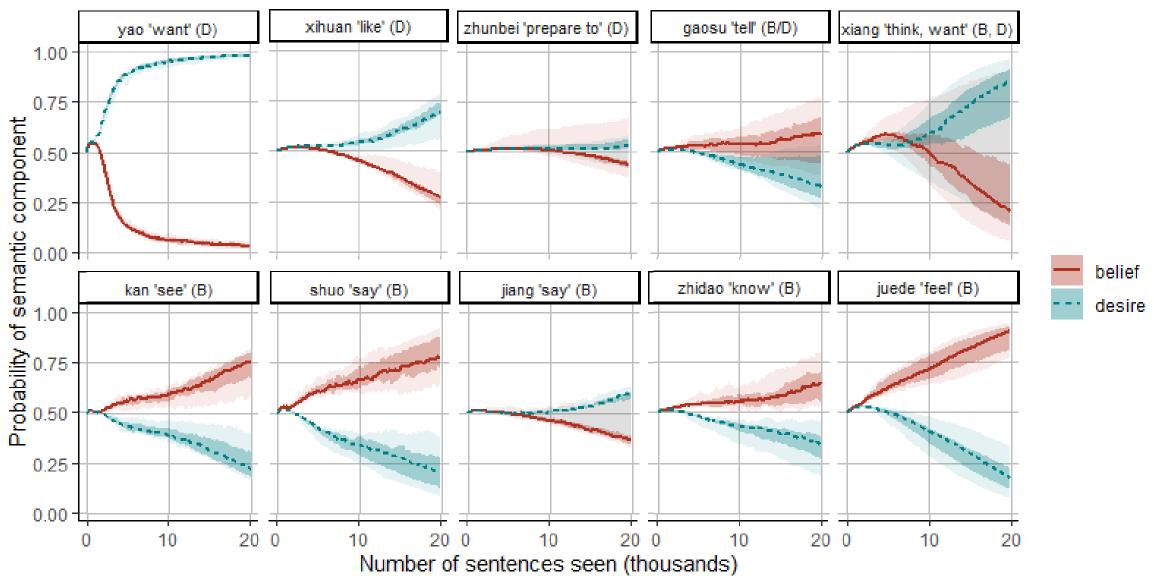
Q2: Do distributional differences guarantee successful learning of verb semantics?

Simulate a learner.

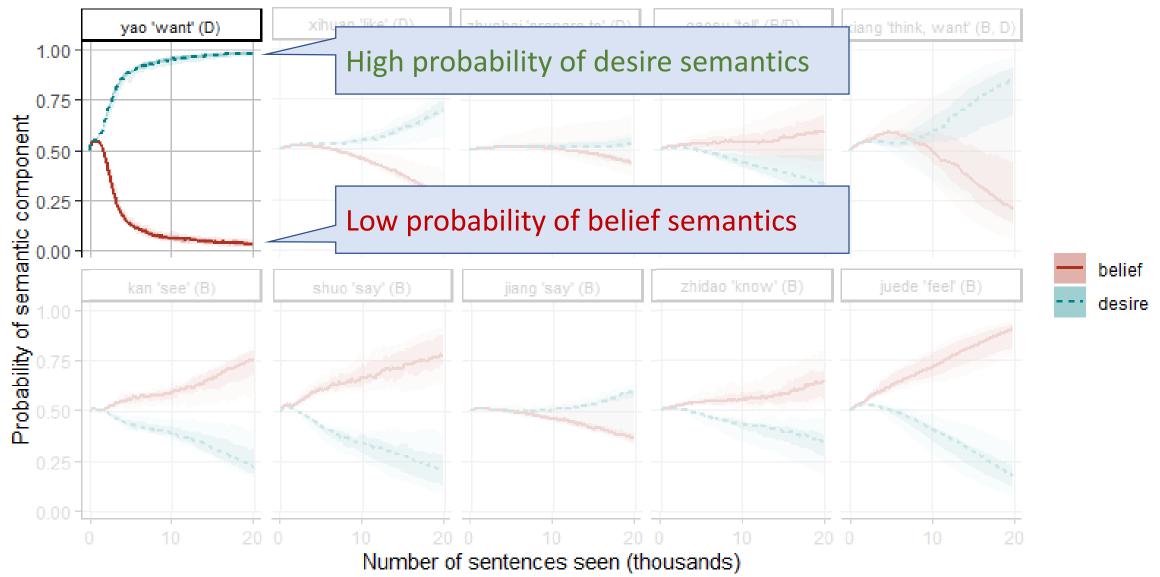
- Adapt a computational model of syntactic bootstrapping by White et al. 2018.
- Shown to model acquisition of English attitude verbs, using English child-directed speech data.

Does this "learner" succeed with Mandarin attitude verbs?

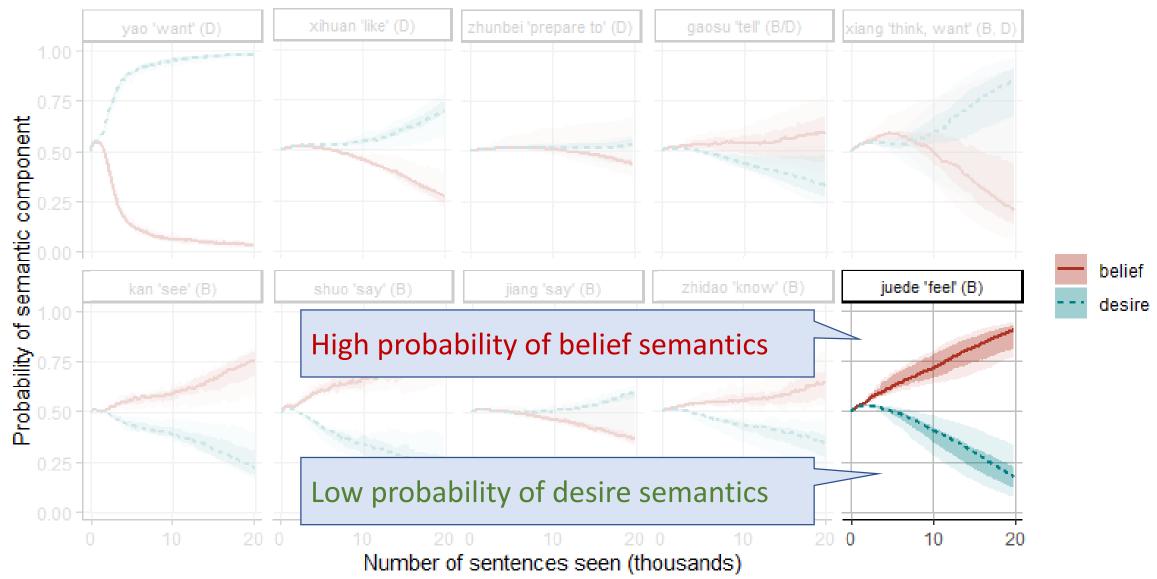
Mandarin results (10 CHILDES corpora)



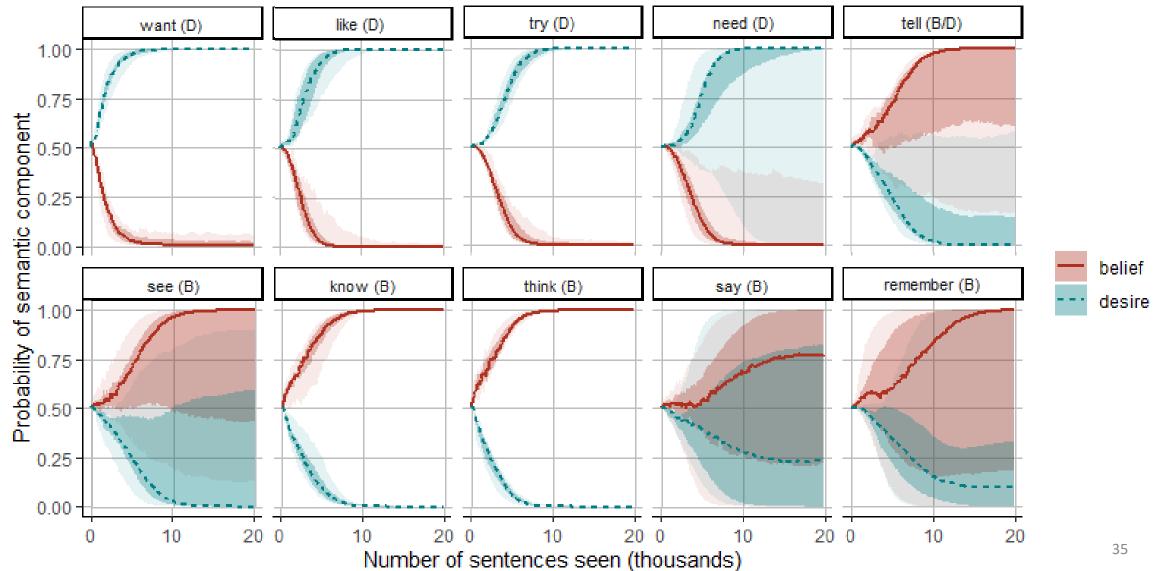
Mandarin results (10 CHILDES corpora)



Mandarin results (10 CHILDES corpora)



English results (replicating White et al. 2018)



Summing up this case study

- Belief and desire verbs turn out to have different morphosyntactic profiles in Mandarin child-ambient speech.
- Computational model shows that these morphosyntactic features can be exploited to learn semantic contrasts in both Mandarin and English – cross-linguistic support.

Learning verb subcategorisation: antirogative and responsive verbs

Huang, Yang, Hacquard & Lidz, to appear; Yang & Huang 2022

Outline

- Wh-in situ and subcategorisation: an ambiguity problem
- Solutions for the ambiguity problem:
 - 1. A language-neutral strategy involving speech acts
 - 2. A Mandarin-specific strategy involving the question particle ma
- Corpus analysis and results

Belief verbs: antirogativity and responsivity





Declarative

Dora thinks Daddy likes that cake.

Interrogative

*Dora thinks which cake Daddy likes.

Belief verbs: antirogativity and responsivity





Declarative

寶寶覺得爸爸喜歡那個蛋糕。

Baobao juede baba xihuan nàge dangao.

Interrogative

- *寶寶覺得爸爸喜歡哪個蛋糕。
- *Baobao juede <u>baba xihuan năge dangao</u>.
- "*Baobao juede which cakes Dad likes."

The problem: A nonce-verb demonstration What can you infer about subcategorisation?

Dora blicks Daddy likes cake

Blick allows declaratives

Dora blicks what Daddy likes

Blick allows interrogatives

The problem: A nonce-verb demonstration What can you infer about subcategorisation?

寶寶dan<u>爸爸喜歡蛋糕</u>
Baobao **dan** baba xihuan dangao

Dan allows declaratives

寶寶dan<u>爸爸喜歡什麽</u>
Baobao dan baba xihuan shenme
Q: Does dan allow interrogatives?

Why might we hesitate?

Reason 1: uncertainty about the scope of the wh-phrase

寶寶dan爸爸喜歡什麼

Baobao dan <u>baba xihuan shenme</u>

Scoping over the complement clause

"Baobao dans what Daddy likes."

(Like *zhidao* "know")

Scoping over the matrix clause

"What does Baobao dan that Daddy likes?" (More like juede "think")

The scope problem extends beyond whphrases to *haishi* "or" and A-not-A

寶寶dan<u>爸爸喜歡蛋糕還是麵包</u> Baobao dan <u>baba xihuan dangao haishi mianbao</u>

Scoping over the complement clause = interrogative complement

"Baobao dans <u>whether</u> Daddy likes cake <u>or</u> bread." (Like *zhidao*)

Scoping over the matrix clause = declarative complement

"Does Baobao dan that Daddy likes cake <u>or</u> does Baobao dan that Daddy likes bread?" (More like *juede*)

The scope problem extends beyond whphrases to *haishi* "or" and A-not-A

寶寶dan<u>爸爸喜不喜歡蛋糕</u>
Baobao dan baba xi-bu-xihuan dangao

Scoping over the complement clause = interrogative

"Baobao dans whether or not Daddy likes cake."

Like zhidao

Scoping over the matrix clause = declarative complement

"Does Baobao dan that Daddy likes cake, <u>or</u> does Baobao dan that Daddy does not like cake?"

More like juede

Why might we hesitate?

Reason 2: Certain wh-phrases allow indefinite reading

寶寶dan<u>爸爸喜歡什麽</u> Baobao dan <u>baba xihuan shenme</u>

If *shenme* is a wh-indefinite ("something"), the complement clause is a declarative:

"Baobao dans that Daddy likes something."

Complement clauses containing wh-phrases are potentially ambiguous in Mandarin

寶寶dan<u>爸爸喜歡什麽</u> Baobao dan <u>baba xihuan shenme</u>

Baba xihuan shenme is ambiguous:

- 1. Interrogative clause
- 2. Declarative clause that happens to contain a matrix-scope whphrase (or *haishi* or A-not-A)
- 3. Declarative clause that happens to contain a wh-indefinite.

Call such clauses (with wh-phrases, haishi, A-not-A) "potential interrogative".

Ambiguity of potential interrogatives \rightarrow wrong conclusions about subcategorization

Learners might misanalyse potential interrogatives.

Not Mandarin-specific: This problem applies more generally to Sinitic languages, as well as many other wh-in situ languages, e.g. Malay, Thai, Vietnamese.

Possible consequences beyond learning verb syntax

Researchers have linked responsive syntax to factive semantics.

• Baobao knows Dad likes cake / 寶寶知道爸爸喜歡蛋糕
→ "Dad likes cake" must be true.

(Hintikka 1975; Ginzburg 1995; Egré 2008; cf. Lahiri 2002, White & Rawlins 2018)

Factivity isn't a semantic property that can be easily learned from context.

 Syntactic bootstrapping: learners might learn factivity using their knowledge of responsivity.

Dudley 2017; Dudley et al. 2017

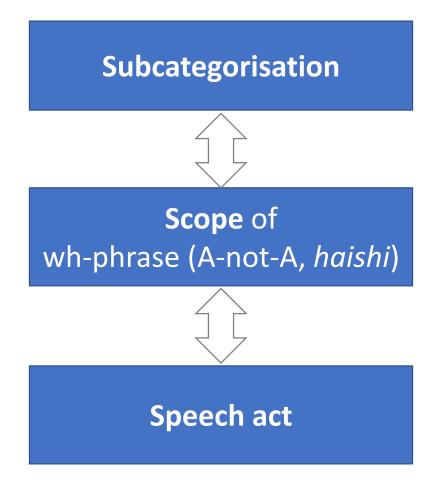
How might learners disambiguate potential interrogatives?

The complement clause <u>baba xihuan shenme</u> is ambiguous:

- 1. Interrogative clause
- 2. Declarative clause that happens to contain a matrix-scope whphrase (or *haishi* or A-not-A)
- 3. Declarative clause that happens to contain a wh-indefinite.

Set aside: wh-indefinites are quite rare (~3% of wh-phrases) in child-ambient speech (Fan 2012).

- A general strategy exploiting speech acts-syntax correlations.
- A Mandarin-specific strategy involving the particle ma 嗎.



Subcategorisation



Scope of wh-phrase (A-not-A, haishi)



Speech act

Antirogative *juede* 覺得 allows only declaratives

寶寶覺得爸爸喜歡什麽?

Baobao juede baba xihuan shenme

Baobao think daddy like what

"What does Baobao think Daddy likes?"

Wh-phrase scopes high.

→ Likely to be wh-questions.

Subcategorisation



Scope of wh-phrase (A-not-A, haishi)



Speech act

Antirogative *juede* 覺得 allows only declaratives

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Subcategorisation



Scope of wh-phrase (A-not-A, haishi)



Speech act

Responsive zhidao 知道 allows declaratives or interrogatives

寶寶知道爸爸喜歡什麽。

Baobao zhidao baba xihuan shenme

Baobao know daddy like what

"Baobao knows what Daddy likes."

Wh-phrase doesn't have to take matrix scope.

→ Less likely to be wh-questions.

Subcategorisation



Scope of wh-phrase (A-not-A, haishi)



Speech act

Responsive zhidao 知道 allows declaratives or interrogatives

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"Baobao knows what Daddy likes."

Wh-phrase doesn't have to take matrix scope.

→ Less likely to be wh-questions.

A language-specific solution for getting around ambiguity: yes/no question particle *ma* 嗎

Declarative + ma = yes/no question

寶寶喜歡蛋糕嗎?

Baobao xihuan dangao ma

Baobao like cake Q

"Does Baobao like cake?"

Ma cannot co-occur with interrogatives with wh-phrases, A-not-A, or haishi.

*誰喜歡蛋糕嗎?

Shei xihuan dangao ma?

Who like cake Q

Intended: "Who likes cake?"

Ma tells us that the preceding clause is declarative.

A language-specific solution for getting around ambiguity: yes/no question particle *ma* 嗎

寶寶知道爸爸喜歡什麽嗎?

Baobao zhidao baba xihuan shenme ma

Baobao know dad like what Q

"Does Baobao know what Dad likes?"

- 1. For a learner, *ma*'s presence → Baobao **zhidao** baba xihuan shenme 寶寶 **知道**爸爸喜歡什麽 is declarative.
- 2. The wh-phrase scopes over the complement clause only.
- 3. **Zhidao** allows interrogative complements.

A language-specific solution for getting around ambiguity: yes/no question particle *ma* 嗎

寶寶覺得爸爸喜歡什麽?

Baobao juede baba xihuan shenme

Baobao think dad like what

"What does Baobao think Dad likes?"

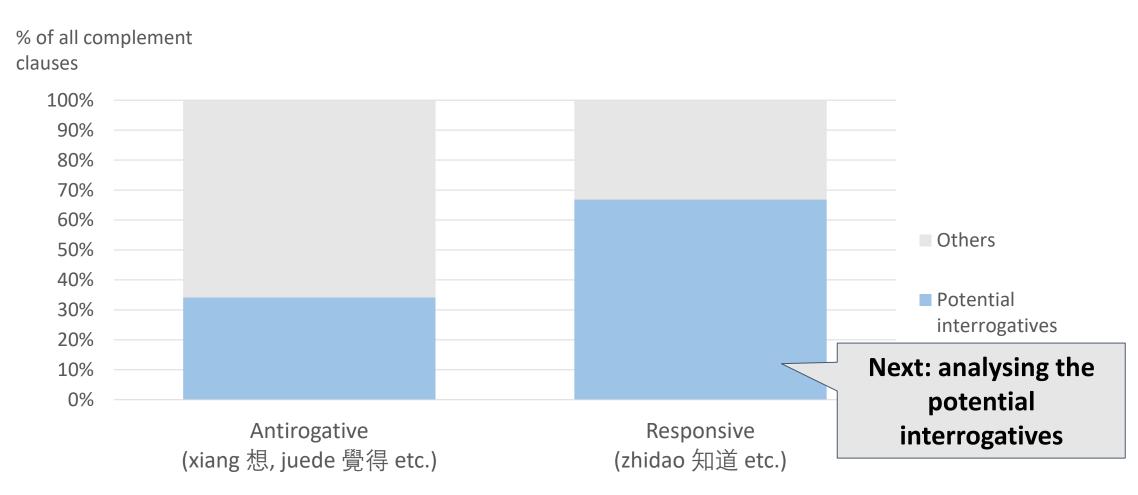
Juede+potential interrogative is a wh-question, so ma must be absent.

- For a learner: ma's absence → the wh-phrase scopes over the main clause.
- 2. Juede only allows declarative complements.

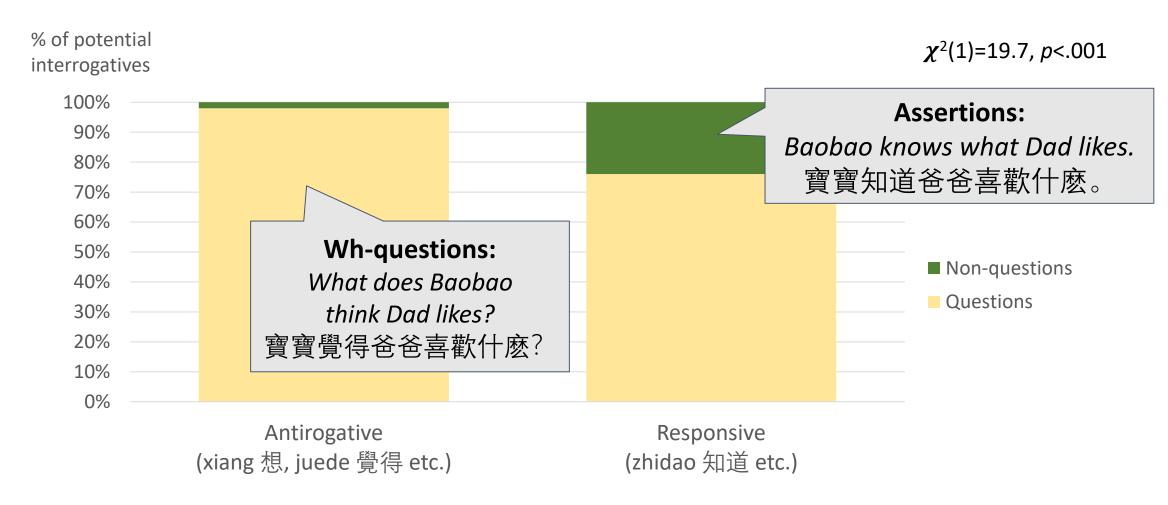
Predictions from a corpus perspective



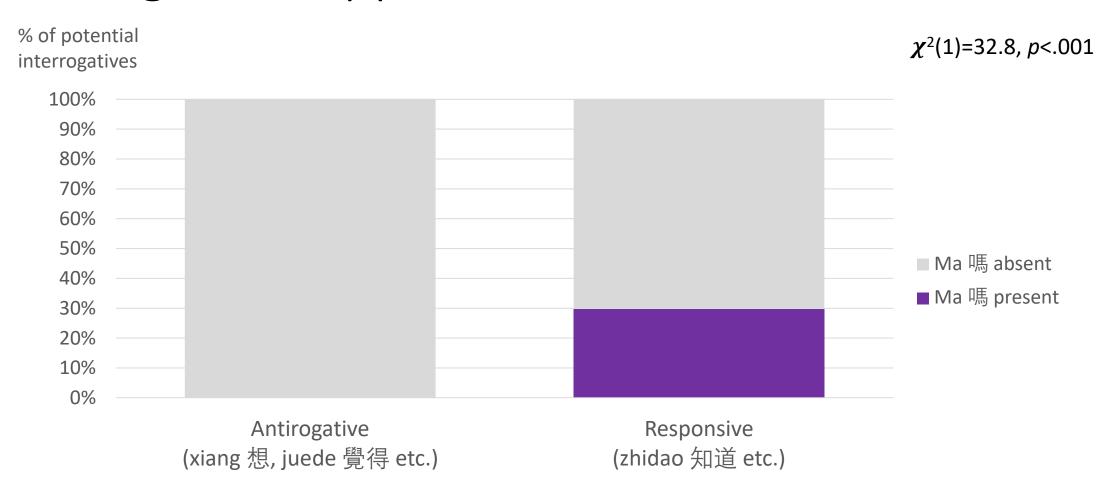
Ambiguity problem is real: potential interrogatives co-occur with <u>both</u> antirogatives and responsives



Prediction 1: antirogative+potential interrogatives strongly associated with question speech acts



Prediction 2: only responsive+potential interrogatives appears with *ma*



Summing up this case study

Mandarin interrogative complements are indeed string identical to declarative complements with wh-phrases (haishi, A-not-A) are.

However, in child-ambient speech, the sentences containing these complements can be differentiated quite clearly through their speech acts and the distribution of the particle *ma*.

Discussion and conclusion

The problem with attitude verbs and Mandarin

Mandarin's morphosyntax potentially obscures certain important syntactic differences within the class of attitude verbs.

- Belief and desire verbs (juede "think" vs. yao "want")
- Antirogative and responsive verbs (juede "think" vs. zhidao "know")

Obvious problems for learning verb syntax + further complications for learning verb semantics (under syntactic bootstrapping)

However, input turns out to be fairly rich

For belief and desire verbs:

• Clear differences in rates of overt subjects, modals, etc.

For antirogative vs. responsive verbs:

• Clear differences in speech acts and distribution of ma

In principle, Mandarin learners sensitive to these distributional differences can master these distinctions.

The case studies also highlight the role of speech acts in the learning of attitude verbs

For belief and desire verbs:

• Correlation between **assertions** and declarative syntax helps learners infer the correct semantics

(Hacquard & Lidz 2019, Harrigan et al. 2019, and Huang et al. 2018, 2022)

For antirogative vs. responsive verbs:

• Learners might use **speech acts** to infer subcategorisation properties.

Speech acts could support the acquisition of verb semantics and syntax.

Broader cross-linguistic consequences

Original question: syntactic bootstrapping assumes that the syntax of attitude verbs is relatively easy to observe. Is that the case?

Yes – even for a language like Mandarin Chinese.

• Note that lack of inflectional morphology and wh-in situ are not just unique to Mandarin: these are **areal features** and found in languages outside of East Asia.

Good news for syntactic bootstrapping as a cross-linguistically viable learning strategy.

Next steps

• Experiments to see if speakers are indeed sensitive to these cues (e.g. human simulation paradigms, child language experiments).

• Examine the role of other non-syntactic cues, e.g. prosody, context. Gryllia et al. 2020

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