CS 760: Machine Learning

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# Face Mask Detection

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In this project, Neural Network, Random-Forest and K-nearest neighbor algorithms were implemented to perform the task of mask detection. Use convolutional neural network(CNN) to extract features of images, and then use fully connected(FC) network for classification. After 100 epochs, the accuracy on the test set reached 96%. The classifier obtained in this project can perform real-time prediction in images, video clips, and network video streams.

SOURCE CODE:https://github.com/z-zijie/CS760\_Project

## 1 Introduction

With the global outbreak of COVID-19, it's even more important to have some policy to mitigate risk. For public safety and health, people are recommended to wear face masks and coverings to control the spread of the COVID-19.

In hospitals and various COVID-19 testing places. If only people wearing masks are allowed to enter, the risk of infection for doctors and staff can be reduced. However, if a potential COVID-19 infected person who is not wearing a mask suddenly appears, there is a high risk of infection in face-to-face communication.

At many intersections, pedestrians will gather briefly while waiting for traffic lights. At this time, the risk of COVID-19 spreading among the population is high. But it is impossible to hire a person to stay at the intersection and remind pedestrians to wear masks.

Similarly, requiring Uber drivers and passengers to wear masks at all times can also effectively reduce the spread of the COVID-19. However, it is impossible to supervise the wearing of masks on moving vehicles in real time.

There are many application scenarios such as this. If it is all supervised by manpower, the investment cost is high, and the health risks of the staff are also high.

Therefore, the need for artificial intelligence to determine the wearing of masks came into being. The main idea of this project is to construct a classifier to judge whether the photo cropped from the face detector is wearing a mask.

# 2 Related/Similar work

This project is mainly inspired by Baidu AI development platform - mask wearing detection products.

https://ai.baidu.com/tech/body/driver

Related/Similar work:

- 1. https://github.com/AIZOOTech/FaceMaskDetection
- 2. https://github.com/chandrikadeb7/Face-Mask-Detection
- 3. https://arxiv.org/abs/2003.09093[1]
- 4. https://arxiv.org/abs/2005.03950[2]
- 5. https://www.sciencedirect.com/science/article/pii/S0263224120308289[3]
- $6. \ \texttt{https://www.sciencedirect.com/science/article/pii/S2210670720308179[4]}$
- 7. https://ieeexplore.ieee.org/document/8902391[5]

## 3 Dataset

## 3.1 Source

The data comes from the following sources:

- Real-World Masked Face Dataset, RMFD https://github.com/X-zhangyang/Real-World-Masked-Face-Dataset
- 2. CASIA-WebFace-Dataset

The data set can be downloaded here https://github.com/z-zijie/CS760\_Project/tree/main/dataset

## 3.2 Description

- 1. The dataset contains 3400 images, which belong to two categories:
  - (a) with\_mask: 1470
  - (b)  $without_mask: 1930$
- 2. Classes:{'with\_mask', 'without\_mask'}
- 3. The directory structure:

```
dataset
with_mask ... 1470 images.
without_mask ... 1930 images.
```

# 4 Approach

#### 4.1 Pre-processing

- 1. Since the shapes of the images in the data set are not the same, the first step of preprocessing is to resize all images to the same shape.(180x180)
- 2. Data augmentation:

Due to the small sample size of the data set, over-fitting is prone to occur. Therefore, the operation of data augmentation was added. Generate some effective new data on the basis of existing data by using random transformation. This helps expose the model to more aspects of the data.

- (a) ColorJitter
- (b) RandomRotation
- (c) RandomVerticalFlip
- (d) RandomHorizontalFlip
- 3. For each RGB image, it has three channels. We can convert it to a [3, 180, 180] **Tensor**.
- 4. For each element in the tensor, its range is [0,255]. We should normalize them.
- 5. Data preview:



# 4.2 Neural Networks Method

Generally, Convolutional Neural Networks (CNN) are complex feed forward neural networks. CNNs are used for image classification and recognition because of its high accuracy.

So the first method is Neural Networks.

- 1. The main structure of the neural network consists of two parts: Feature learning and classifier.
- 2. The first part includes two **Conv2d** layer.

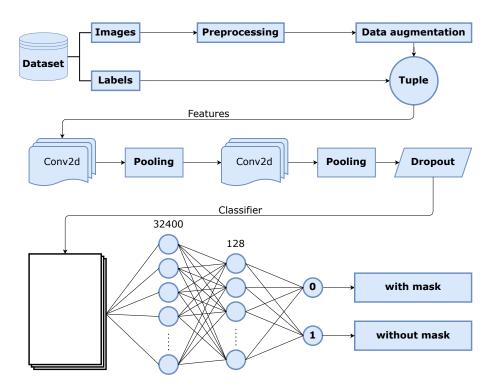


Figure 1: Structure

3. The second part is a Full-Connected Network.

## 4.3 Nearest Neighbors Algorithm

Considering the use of K-NN algorithm to achieve face recognition, we can try to use K-NN for mask wearing recognition.

Based on the previous preprocessing, flatten each Tensor into a 1-dimension vector.

Choose algorithm = 'kd\_tree' to speed up. Implement with parameters:

```
n_neighbors = 15, weight = 'distance', metric='euclidean'
```

For a new image after preprocessing, we will gave a point in the 32400-dimension vector space. Calculate the Euclidean distance from this point to every known point. The predicted value of this unknown point is obtained by weighted voting of the 15 known points closest to it.

## 4.4 Random Forest Algorithm

As we all know, decision trees can be very sensitive to the specific data we observe. In fact, they can be quite biased, and tend to overfit.

After randomly slicing the original data set, generate a decision tree on each data set after the split. Use information entropy as the criterion for selecting nodes.

After generating a hundred decision trees like this, for a new image after preprocessing, every decision tree will give a prediction. Choose the class with the most votes as the predicted value of the new image.

#### 4.5 Packages

(1) pytorch (2) sklearn (3) PIL (4) numpy (5) opency

#### 5 Results

#### 5.1 Description of experiments

For the above three methods, after randomly slicing the original data set at a ratio of 0.2, train on the training set to obtain accuracy on the test set.

- 1. **Neural Networks**: It can be seen that this training loss is gradually converging. After 100 epoch training, the model converges with high accuracy.
  - (a) Accuracy of the network on the test images: 96 %.
  - (b) Accuracy of with\_mask: 100 %.
  - (c) Accuracy of without\_mask: 97 %.

#### 2. Nearest Neighbors:

[ Nearest Neighbors ]
 classification report on the test set
 done in 22.212s

done in ZZ.Z	IZS			
	precision	recall	f1-score	support
0	0.92	0.73	0.81	294
1	0.82	0.95	0.88	386
accuracy			0.86	680
macro avg	0.87	0.84	0.85	680
weighted avg	0.87	0.86	0.85	680

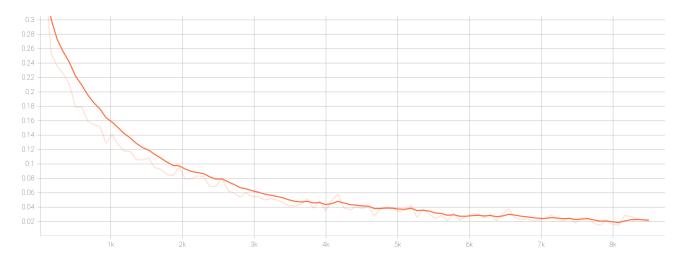


Figure 2: Training Loss

#### 3. Random Forest:

n report on	the test	set	
3			
precision	recall	f1-score	support
0.92	0.84	0.88	294
0.88	0.95	0.91	386
		0.90	680
0.90	0.89	0.90	680
0.90	0.90	0.90	680
	0.92 0.88	precision recall  0.92 0.84 0.88 0.95  0.90 0.89	precision recall f1-score  0.92 0.84 0.88 0.88 0.95 0.91  0.90 0.90 0.89 0.90

# 5.2 Comparisons

#### Training time:

The neural network model executed 15 epochs, with a long training time and many parameters. In comparison, the nearest neighbor algorithm has almost no training time. The training time of random forest algorithm is moderate.

#### Performance:

Although the kd\_tree algorithm is used, the performance of the nearest neighbor algorithm is still very bad, which is determined by its principle. The larger the data set, the greater the computational overhead.

The performance of neural networks and random forests is very good. The scale of the neural network is determined before training, and the depth of each decision tree in the random forest is not very large, so the results can be quickly obtained.

**Generalization:** The neural network model has many parameters and is very complex. For the problem of mask recognition, if there is no overfitting, its generalization ability is very strong.

However, for traditional machine learning algorithms, the model complexity of k-nearest neighbors is not high, and it is difficult to effectively learn the laws behind the data.

For the random forest algorithm, it is an embedded algorithm. The data space of each decision tree is different, so that the underlying laws of the data can be learned from multiple angles.

In the ideal situation where the classification strength of a single decision tree is as high as possible and the correlation between decision trees is as small as possible, the random forest algorithm has strong generalization ability.

#### 5.3 Results

In general, for the image classification problem, the neural network model has great advantages in accuracy and generalization ability.

The K-nearest neighbor algorithm is worth studying in terms of metric selection. Its performance is weak and may perform better in a small sample space.

The performance of the random forest algorithm is close to neural network. The process of using information entropy to select features to generate a decision tree is very efficient.

## 6 Conclusions and Future Work

In conclusion, the neural network method performs best on the image classification problem.

The classifier in this article can process either a single image or a video clip and video stream.

**Future Work:** With object detection algorithms such as YOLO, real-time tracking of human faces and judgment of mask wearing can be realized. In actual operation, I used OpenCV's DNN network to realize real-time face detection. The results of this article can already be applied to actual usage scenarios.

A further question is whether it is possible to realize face recognition while wearing a mask, such as the iPhone's FaceID unlocking problem. In this direction, I believe there are a wide range of application scenarios.

## References

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