

24677

PS-3

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Q1.) Let:  $\begin{cases} \dot{x} = Ax + Bu & | A = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -3 & -3 \end{bmatrix}, B = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, C = \begin{bmatrix} 1 & 2 & 1 \end{bmatrix} \\ y = Cu \end{cases}$

Let:  $P = [B \mid AB \mid A^2B], Q = \begin{bmatrix} C \\ CA \\ CA^2 \end{bmatrix}$   
 $\Rightarrow P = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 3 \end{bmatrix}, Q = \begin{bmatrix} 1 & 2 & 1 \\ 1 & -2 & -1 \\ 1 & 2 & 1 \end{bmatrix}$

$\because P$  has 3 lin. indep. rows,  
 $\text{rank}(P) = 3 = n \rightarrow \underline{\text{System is controllable}}$

$\because$  all cols in  $Q$  are multiples of each other,  
 $\text{rank}(Q) = 1 < n \rightarrow \underline{\text{System is not observable}}$

Q2.) Let:  $\begin{cases} \dot{X} = AX + BV \\ Y = CX \end{cases}$  |  $A = \begin{bmatrix} 2 & 1 & & & & \\ 0 & 2 & & & & \\ & & 2 & & & \\ & & & 2 & & \\ & & & & 1 & 1 \\ & & & & 0 & 1 \end{bmatrix}$ ,  $B = \begin{bmatrix} 2 & 1 & 0 \\ 2 & 1 & 1 \\ 1 & 1 & 1 \\ 3 & 2 & 1 \\ -1 & 0 & 1 \\ 1 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$ ,  $C = \begin{bmatrix} 2 & 2 & 1 & 3 & -1 & 1 & 1 \\ 1 & 1 & 1 & 2 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 \end{bmatrix}$

Let:  $J = M^{-1}AM$ ,  $\hat{B} = M^{-1}B$ ,  $\hat{C} = CM$   
 $\because A$  is in Jordan Form,  $M = I = M^{-1}$

- System is controllable iff  $\hat{B}^{\lambda_i}$  is full rank  $\forall \lambda_i$  |  $\hat{B}^{\lambda_i}$  is matrix of last rows of each Jordan Block for  $\lambda_i$

- System is controllable iff  $\hat{C}^{\lambda_i}$  is full rank  $\forall \lambda_i$  |  $\hat{C}^{\lambda_i}$  is matrix of first columns of each Jordan Block for  $\lambda_i$

$\therefore J = \begin{bmatrix} 2 & 1 & & & & & \\ 0 & 2 & & & & & \\ & & 2 & & & & \\ & & & 2 & & & \\ & & & & 1 & 1 & \\ & & & & 0 & 1 & \\ & & & & & 1 & 1 \end{bmatrix}$ ,  $\hat{B} = \begin{bmatrix} 2 & 1 & 0 \\ 2 & 1 & 1 \\ 1 & 1 & 1 \\ 3 & 2 & 1 \\ -1 & 0 & 1 \\ 1 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$

— DIVIDES EIGENVALUES  
— DIVIDES JORDAN BLOCKS

$$\hat{C} = \begin{bmatrix} 2 & 2 & 1 & 3 & -1 & 1 & 1 \\ 1 & 1 & 1 & 2 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 \end{bmatrix}$$

$\therefore$  for  $\lambda_1=2$ ,  $\hat{B}^{\lambda_1} = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 1 & 1 \\ 3 & 2 & 1 \end{bmatrix}$ ,  $\therefore \text{rank}(\hat{B}^{\lambda_1})=3=n_1$ ,  $\lambda_1$  is controllable |  $\hat{C}^{\lambda_1} = \begin{bmatrix} 2 & 1 & 3 \\ 1 & 1 & 2 \\ 0 & 1 & 1 \end{bmatrix}$ , is full rank  $\therefore \lambda_1$  is observable

$\therefore$  for  $\lambda_2=1$ ,  $\hat{B}^{\lambda_2} = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$ ,  $\therefore \text{rank}(\hat{B}^{\lambda_2})=2=n_2$ ,  $\lambda_2$  is controllable |  $\hat{C}^{\lambda_2} = \begin{bmatrix} 2 & 1 & 3 \\ 1 & 1 & 2 \\ 0 & 0 & 1 \end{bmatrix}$ , is full rank  $\therefore \lambda_2$  is observable

$\therefore$  all  $\lambda_i$  are controllable, the system is controllable

$\therefore$  the system is observable, b/c all  $\lambda_i$  are

Q3.) From last week:

$$x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \dot{x} = Ax + Bu \mid A = \begin{bmatrix} -\alpha & 0 \\ \alpha & -\beta \end{bmatrix}, B = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, A \in \mathbb{R}^{n \times n} \Rightarrow n=2$$

$$\text{let: } P = [B \mid AB \mid A^2B], P = \left[ \begin{array}{c|c|c} 1 & -\alpha & \alpha^2 \\ 0 & \alpha & -\alpha^2 - \alpha\beta \end{array} \right]$$

$\frac{1}{2}$  of the first column,  $P$  is full rank ( $=n$ ) for all  $\alpha, \beta \Rightarrow$  the system is controllable

$$\text{let: } x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \dot{x} = Ax + Bu \mid A = \begin{bmatrix} -\alpha & 0 \\ \alpha & -\beta \end{bmatrix}, B = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

- Intuitively, the system shouldn't be controllable  $\frac{1}{2}$  there's no way for the water from the spout (the input) to directly or indirectly get into the upper tank (it can't affect one of the states)

This can be proven by:

$$\text{let: } P = [B \mid AB \mid A^2B], P = \left[ \begin{array}{c|c|c} 0 & 0 & 0 \\ 1 & -\beta & \beta^2 \end{array} \right], \because \text{the first row is } \emptyset, \text{rank}(P)=1 < n$$

the system is not controllable

Q4.)

.1.a.)

$$\text{let: } Av = b$$

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 2 & 3 & 2 \\ 1 & 3 & 2 \end{bmatrix}, v = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, b = \begin{bmatrix} 3 \\ 0 \\ 3 \end{bmatrix}$$

let:  $G = [A|b]$

$$\Rightarrow G = \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 1 & 2 & 3 & | & 0 \\ 1 & 3 & 2 & | & 3 \end{bmatrix} \xrightarrow{\text{swap } R_1, R_2} \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 1 & 3 & 2 & | & 3 \\ 1 & 2 & 3 & | & 0 \end{bmatrix}$$

$$\xrightarrow{R_2 = R_2 - R_1} \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 0 & 2 & 1 & | & 0 \\ 1 & 2 & 3 & | & 0 \end{bmatrix}$$

$$\xrightarrow{R_3 = R_3 - R_1} \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 0 & 2 & 1 & | & 0 \\ 0 & 1 & 2 & | & -3 \end{bmatrix}$$

$$\xrightarrow{R_3 = R_3 - \frac{1}{2}R_2} \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 0 & 2 & 1 & | & 0 \\ 0 & 0 & \frac{3}{2} & | & -3 \end{bmatrix}$$

$$\xrightarrow{R_2 = \frac{1}{2}R_2} \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 0 & 1 & \frac{1}{2} & | & 0 \\ 0 & 0 & \frac{3}{2} & | & -3 \end{bmatrix}$$

$$\xrightarrow{R_3 = \frac{2}{3}R_3} \begin{bmatrix} 1 & 1 & 1 & | & 3 \\ 0 & 1 & \frac{1}{2} & | & 0 \\ 0 & 0 & 1 & | & -2 \end{bmatrix}$$

$$\xrightarrow{R_1 = R_1 - R_2} \begin{bmatrix} 1 & 0 & \frac{1}{2} & | & 3 \\ 0 & 1 & \frac{1}{2} & | & 0 \\ 0 & 0 & 1 & | & -2 \end{bmatrix}$$

$$\xrightarrow{R_2 = R_2 - \frac{1}{2}R_1} \begin{bmatrix} 1 & 0 & \frac{1}{2} & | & 3 \\ 0 & 1 & 0 & | & 0 \\ 0 & 0 & 1 & | & -2 \end{bmatrix}$$

$$\xrightarrow{R_1 = R_1 - \frac{1}{2}R_3} \begin{bmatrix} 1 & 0 & 0 & | & 4 \\ 0 & 1 & 0 & | & 0 \\ 0 & 0 & 1 & | & -2 \end{bmatrix}$$

$$\therefore \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 4 \\ 0 \\ -2 \end{bmatrix}$$

.1.b.)

$$A = \begin{bmatrix} 1 & 2 & -1 \\ 2 & 5 & -1 \\ 1 & 3 & 2 \end{bmatrix}, v = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, b = \begin{bmatrix} 1 \\ 3 \\ 6 \end{bmatrix}$$

let:  $G = [A|b]$

$$\Rightarrow G = \begin{bmatrix} 1 & 2 & -1 & | & 1 \\ 2 & 5 & -1 & | & 3 \\ 1 & 3 & 2 & | & 6 \end{bmatrix} \xrightarrow{\text{swap } R_1, R_2} \begin{bmatrix} 2 & 5 & -1 & | & 3 \\ 1 & 2 & -1 & | & 1 \\ 1 & 3 & 2 & | & 6 \end{bmatrix}$$

$$\xrightarrow{R_2 = R_2 - \frac{1}{2}R_1} \begin{bmatrix} 2 & 5 & -1 & | & 3 \\ 0 & \frac{1}{2} & -\frac{1}{2} & | & \frac{1}{2} \\ 1 & 3 & 2 & | & 6 \end{bmatrix}$$

$$\xrightarrow{R_3 = R_3 - \frac{1}{2}R_1} \begin{bmatrix} 2 & 5 & -1 & | & 3 \\ 0 & \frac{1}{2} & -\frac{1}{2} & | & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{3}{2} & | & \frac{5}{2} \end{bmatrix}$$

$$\xrightarrow{R_3 = R_3 - R_2} \begin{bmatrix} 2 & 5 & -1 & | & 3 \\ 0 & \frac{1}{2} & -\frac{1}{2} & | & \frac{1}{2} \\ 0 & 0 & 1 & | & 2 \end{bmatrix}$$

$$\xrightarrow{R_1 = \frac{1}{2}R_1} \begin{bmatrix} 1 & \frac{5}{2} & -\frac{1}{2} & | & 3 \\ 0 & \frac{1}{2} & -\frac{1}{2} & | & \frac{1}{2} \\ 0 & 0 & 1 & | & 2 \end{bmatrix}$$

$$\xrightarrow{R_2 = -2R_2} \begin{bmatrix} 1 & \frac{5}{2} & -\frac{1}{2} & | & 3 \\ 0 & 0 & 1 & | & 2 \end{bmatrix}$$

$$\xrightarrow{R_3 = R_3 - R_1} \begin{bmatrix} 1 & 0 & -3 & | & -1 \\ 0 & 0 & 1 & | & 2 \end{bmatrix}$$

$$\xrightarrow{R_1 = R_1 - \frac{5}{2}R_2} \begin{bmatrix} 1 & 0 & 0 & | & 5 \\ 0 & 0 & 1 & | & 2 \end{bmatrix}$$

$$\xrightarrow{R_1 = R_1 + 3R_3} \begin{bmatrix} 1 & 0 & 0 & | & 5 \\ 0 & 0 & 1 & | & 2 \end{bmatrix}$$

$$\therefore \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 5 \\ -1 \\ 2 \end{bmatrix}$$

.1.c.)

$$A = \begin{bmatrix} 1 & 1 & 1 & | & 1 \\ 2 & 3 & 1 & | & 0 \\ 3 & 5 & 3 & | & 5 \end{bmatrix}, v = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, b = \begin{bmatrix} 1 \\ 4 \\ 5 \end{bmatrix}$$

let:  $G = [A|b]$

$$\Rightarrow G = \begin{bmatrix} 1 & 1 & 1 & | & 1 \\ 2 & 3 & 1 & | & 0 \\ 3 & 5 & 3 & | & 5 \end{bmatrix} \xrightarrow{\text{swap } R_3, R_1} \begin{bmatrix} 3 & 5 & 3 & | & 5 \\ 2 & 3 & 1 & | & 0 \\ 1 & 1 & 1 & | & 1 \end{bmatrix}$$

$$\xrightarrow{R_1 = \frac{1}{3}R_1} \begin{bmatrix} 1 & \frac{5}{3} & 1 & | & \frac{5}{3} \\ 2 & 3 & 1 & | & 0 \\ 1 & 1 & 1 & | & 1 \end{bmatrix}$$

$$\xrightarrow{R_2 = R_2 - 2R_1} \begin{bmatrix} 1 & \frac{5}{3} & 1 & | & \frac{5}{3} \\ 0 & \frac{4}{3} & -\frac{5}{3} & | & \frac{2}{3} \\ 1 & 1 & 1 & | & 1 \end{bmatrix}$$

$$\xrightarrow{R_3 = R_3 - R_1} \begin{bmatrix} 1 & \frac{5}{3} & 1 & | & \frac{5}{3} \\ 0 & \frac{4}{3} & -\frac{5}{3} & | & \frac{2}{3} \\ 0 & 0 & \frac{2}{3} & | & -\frac{2}{3} \end{bmatrix}$$

$$\xrightarrow{R_2 = R_2 - 2R_3} \begin{bmatrix} 1 & \frac{5}{3} & 1 & | & \frac{5}{3} \\ 0 & \frac{4}{3} & -\frac{5}{3} & | & \frac{2}{3} \\ 0 & 0 & 0 & | & -2 \end{bmatrix}$$

$\because 0 \neq -2$ , there doesn't exist a solution  
for this underdetermined system

4.2.) let:  $Ax = b$ ,

$$A = \begin{bmatrix} 1 & 2 & 4 \\ 3 & 8 & 14 \\ 2 & 6 & 13 \end{bmatrix}, x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, b = \begin{bmatrix} 3 \\ 13 \\ 4 \end{bmatrix}$$

let:  $A = LU$

$$\text{let: } L_0 = I_3, U_0 = A \Rightarrow L_0 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, U_0 = \begin{bmatrix} 1 & 2 & 4 \\ 3 & 8 & 14 \\ 2 & 6 & 13 \end{bmatrix}$$

$$\xrightarrow{L_{21} = \frac{3}{1}} \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 2 & 6 & 13 \end{bmatrix}$$

$$\xrightarrow{U_2 = U_2 - L_{21}U_1} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 2 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 0 & 2 & 5 \end{bmatrix}$$

$$\xrightarrow{L_{31} = \frac{-2}{1}} \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 2 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 0 & 2 & 5 \end{bmatrix}$$

$$\xrightarrow{U_3 = U_3 - L_{31}U_1} \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$$

$$\xrightarrow{L_{32} = \frac{2}{2}} \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 2 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$$

$$\therefore L = \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 2 & 1 & 1 \end{bmatrix}, U = \begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$$

let:  $Ld = b$

$$\Rightarrow \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 2 & 1 & 1 \end{bmatrix} \begin{bmatrix} d_1 \\ d_2 \\ d_3 \end{bmatrix} = \begin{bmatrix} 3 \\ 13 \\ 4 \end{bmatrix}$$

$$\Rightarrow \begin{cases} d_1 = 3, \\ d_2 = 13 - 3d_1 = 4 \\ d_3 = 4 - 2d_1 - d_2 = -6 \end{cases}$$

$$\Rightarrow d = \begin{bmatrix} 3 \\ -6 \\ -6 \end{bmatrix}$$

let:  $Ux = d$

$$\begin{bmatrix} 1 & 2 & 4 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 3 \\ 4 \\ -6 \end{bmatrix} \Rightarrow \begin{cases} x_3 = \frac{1}{3} - 6 = -2, \\ x_2 = \frac{1}{2}(4 - 2x_3) = 4, \\ x_1 = 3 - 2x_2 - 4x_3 = 3 \end{cases}$$

$$\therefore \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 3 \\ 4 \\ -2 \end{bmatrix}$$

# Q5

September 30, 2020

```
[153]: import numpy as np
from scipy import linalg
from PIL import Image
import matplotlib.pyplot as plt
```

```
[154]: image = Image.open('CMU_Grayscale.png')
image
```

[154]:



```
[155]: def svd_compress_image(compression_ratio):
    """
    Given a greyscale image of size m*n, this returns the compressed SVD
    matrices Uc, sc, Vhc made from U,s,Vh such that:
    * U@Sc@Vh = image
    * Uc@Sc@Vhc approximately equals image
    * The total number of numbers in Uc, sc, and Vhc is as close to
      compression_ratio*m*n as possible without exceeding it
    """

    pass
```

*Inputs:*

----

\* *compression\_ratio*: a number in (0,1.0] indicating the size fraction of the original image that compressed image data should be

*Returns:*

----

\* *Uc,sc,Vhc*: as described above (note: *sc* is an array of singular values, not the zero-filled matrix *Sc*)

\* *compressed\_size*: the total number of numbers stored in the *Uc*, *sc*, and *Vhc*

\* *actual\_compression*: actual compression ratio achieved, should be <= *compression\_ratio*

\* *compressed\_image*: the reconstructed post-compression image. Note: since this will have the same dimensions as the given image, it will not be reduced in size. That's the job of *Uc*, *sc*, and *Vhc*.

*Compression Theory:*

----

If, for an  $m \times n$  image, we choose to keep  $ns$  singular value modes, we'll need to keep track of:

$m \times ns$  numbers in the first  $ns$  columns of *U*,

$ns$  singular values

and  $n \times ns$  numbers in the first  $ns$  rows of *Vh*.

Thus, for a compression ratio of *R*, we want to store only only  $R \times m \times n$  numbers,

so we need to solve for the number of singular value modes to keep in the following:

$R \times m \times n = m \times ns + ns + n \times ns = (m+n+1) \times ns \rightarrow ns = \text{floor}(R \times m \times n / (m+n+1))$ .

"""

```
assert compression_ratio > 0.0 and compression_ratio <= 1.0, 'Invalid compression ratio.'
```

```
mat = np.asarray(image) # convert to array
```

```
(m,n) = mat.shape
```

```
original_size = m*n # original number of numbers being tracked
```

```
U,s,Vh = linalg.svd(mat) # perform svd
```

```
ns = int(compression_ratio*m*n/(m+n+1)) # determine number of modes to keep and ensure we come in slightly under target size rather than slightly over
```

```
ns = ns if ns > 0 else 1
```

```
# Keep only ns most important modes:
```

```
Uc = U[:,0:ns]
```

```
sc = s[0:ns]
```

```
Vhc = Vh[0:ns,:]
```

```
# Reconstruct compressed image:
```

```
Sc = np.zeros((ns,ns))
```

```

for i in range(ns):
    Sc[i, i] = sc[i]
compressed_image = Image.fromarray(np.array(Uc@Sc@Vhc, dtype=np.uint8))

compressed_size = Uc.size + sc.size + Vhc.size
actual_compression = compressed_size/m/n
assert actual_compression <= compression_ratio, 'Compression failed.'

return Uc,sc,Vhc, compressed_size, actual_compression, compressed_image

```

```

[167]: def perform_compression_trial(compression_ratio):
    """Performs SVD image compression for the target compression ratio and
    returns and displays results."""
    Uc,sc,Vhc, compressed_size, actual_compression, compressed_image = svd_compress_image(compression_ratio)
    print("\n####\nAttempted to compress image of size {:.0f} down to {:.1f}%.  

    Achieved final image size of {:.0f} with compression to {:.1f}% shown  

    below.\n".format(compressed_size/actual_compression, 100*compression_ratio,  

    compressed_size, 100*actual_compression))
    %matplotlib inline
    plt.figure(figsize = (15,15))
    plt.imshow(np.asarray(compressed_image), cmap='gray')
    plt.imsave("24677_ps3_q5_compressed_to_{}.jpg".  

    format(int(100*compression_ratio)), compressed_image, cmap='gray')

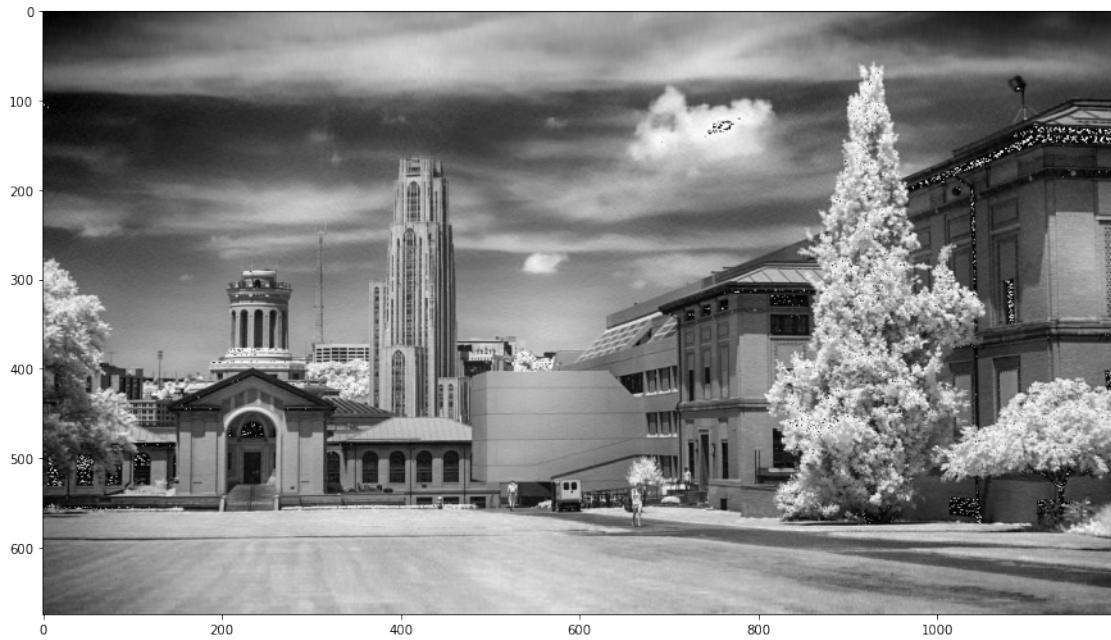
```

```
[173]: perform_compression_trial(0.5)
```

```

#####
Attempted to compress image of size 810000 down to 50.0%. Achieved final image
size of 403340 with compression to 49.8% shown below.

```



```
[172]: perform_compression_trial(0.1)
```

####

Attempted to compress image of size 810000 down to 10.0%. Achieved final image size of 80668 with compression to 10.0% shown below.



```
[171]: perform_compression_trial(0.05)
```

```
####
```

```
Attempted to compress image of size 810000 down to 5.0%. Achieved final image  
size of 39396 with compression to 4.9% shown below.
```



```
[ ]:
```

Q6.)

$$\text{Let: } \begin{cases} X = Ax + Bu \\ Y = Cx \end{cases} \quad \left| \begin{array}{l} A = \begin{bmatrix} -3 & 3 \\ \gamma & -4 \end{bmatrix}, B = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, C = \begin{bmatrix} 1 & 1 \end{bmatrix} \end{array} \right.$$

$$\text{Let: } P = \begin{bmatrix} B & AB & A^2B \end{bmatrix} \quad \left| \begin{array}{l} Q = \begin{bmatrix} \frac{C}{CA^2} \end{bmatrix} \end{array} \right.$$

$$\therefore A^2 = \begin{bmatrix} 3\gamma+9 & -21 \\ -\gamma & 3\gamma+16 \end{bmatrix}, \quad P = \begin{bmatrix} 1 & -3 & 3\gamma+9 \\ 0 & \gamma & -\gamma \end{bmatrix}, \quad Q = \begin{bmatrix} \frac{1}{\gamma+3} & \frac{1}{-\gamma} \\ \frac{1}{\gamma+3} & \frac{-1}{\gamma-4\gamma} \\ \frac{1}{\gamma-4\gamma} & \frac{3\gamma+5}{3\gamma-5} \end{bmatrix}$$

CONTROLLABLE  $\Leftrightarrow \text{rank}(P) = 2 \Rightarrow \text{any } \gamma \neq 0$  ( $\because$  there's no  $0$  value the  $0$  in row 2 of column 1 can be multiplied by non-zero to get the value of the first row of column 1 ( $\pm$ )).  
If  $\gamma=0$ , row 2 of  $P$  is all  $0$   $\therefore \text{rank}=1$ )

$$\begin{aligned} \text{OBSERVABLE} &\Leftrightarrow \text{rank}(Q) = 2 \Rightarrow \begin{cases} \gamma+3 \neq -1, & \leftarrow \text{row 2 not a multiple of row 1} \\ (3\gamma-5)\frac{1}{\gamma-4\gamma} \neq 1 & \leftarrow \text{row 3 not a multiple of row 1} \\ (\gamma-3) \cdot \frac{3\gamma+5}{-1} \neq 9-4\gamma & \leftarrow \text{row 2 not a multiple of row 3} \\ 0 \neq 9-4\gamma, | 3\gamma+5=0 & \leftarrow \text{row 3 is not } 0 \text{ (all other rows are obviously non-zero)} \end{cases} \\ &\Rightarrow \begin{cases} \gamma \neq 2, \\ \gamma \neq 2, \\ \gamma \neq 2, \gamma \neq 4 \end{cases} \\ &\Rightarrow \gamma \notin \{2, 4\} \end{aligned}$$

- 6.1.) The system is controllable but not observable if  $\gamma=2$  or  $\gamma=4$ .  
6.2.) The system is observable but not controllable if  $\gamma=0$ .

(Q7.) Let:  $X_k = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix}_k$   $\leftarrow$  all 5 LED brightnesses,  
 $x_1$  is left-most LED

6.1.) Let:  $\begin{cases} X_{k+1} = AX_k + BU_k \\ Y_k = CX_k \end{cases}$   $|$   $U_k$  is the signal pulse going into the leftmost LED of the queue.

$$\therefore A = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}, \leftarrow \text{each LED after leftmost takes on value of previous}$$

$$B = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \leftarrow \text{signal only goes into leftmost LED}$$

$$C = I_5 \leftarrow \text{output equals state}$$

$$\therefore \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix}_{k+1} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix}_k + \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} U_k$$

$$\therefore \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \\ y_5 \end{bmatrix}_k = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix}_k$$

6.2.) CONTROLLABLE:  $\text{rank}(P)=5$   $|$   $P=[B|AB|A^2B|A^3B|A^4B]$

$\because A$  is a subdiagonal shift matrix,  $A^k$  shifts the populated diagonal down  $k$  rows, that is:

$$A = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}, A^2 = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}, A^3 = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{bmatrix}, A^4 = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\therefore P = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} = I_5 \therefore \text{rank}(P)=5 \therefore \text{The system is controllable. This result is intuitive because given any starting } x_0, \text{ any desired state } x_d \text{ could be achieved in finite time by simply shifting out the elements of } x_d, \text{ one time step at a time, starting with } x_0. \text{ Five timesteps later, } x_d \text{ will be achieved.}$$