



**POLITECNICO  
MILANO 1863**

**DIPARTIMENTO DI ELETTRONICA  
INFORMAZIONE E BIOINGEGNERIA**



**2024**

# **Dipartimento di Elettronica, Informazione e Bioingegneria**

## *Computer Graphics*

Milano, 2024

# Computer Graphics

- BRDF Models



# Implementing the BRDF

The BRDF used for scan line rendering does not fulfill the energy conservation property in most of the cases.

Generally, the BRDF is expressed as the sum of two terms:

- *The diffuse reflection*
- *The specular reflection*

$$f_r(x, \vec{l}, \omega_r) = f_{diffuse}(x, \vec{l}, \omega_r) + f_{specular}(x, \vec{l}, \omega_r)$$

# Implementing the BRDF

The *diffuse component* of the BRDF represents the main color of the object.

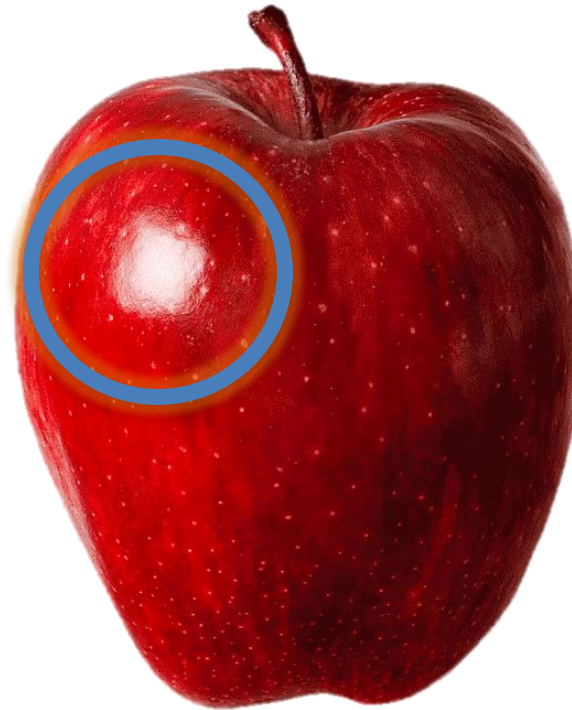
$$f_{diffuse}(x, \vec{l}, \omega_r)$$



# Implementing the BRDF

Shiny objects tend to reflect the incoming light in a particular angle, called *the specular direction*, which depends on the direction from which the object is seen  $\omega_r$ . This effect is implemented in the *specular component* of the BRDF.

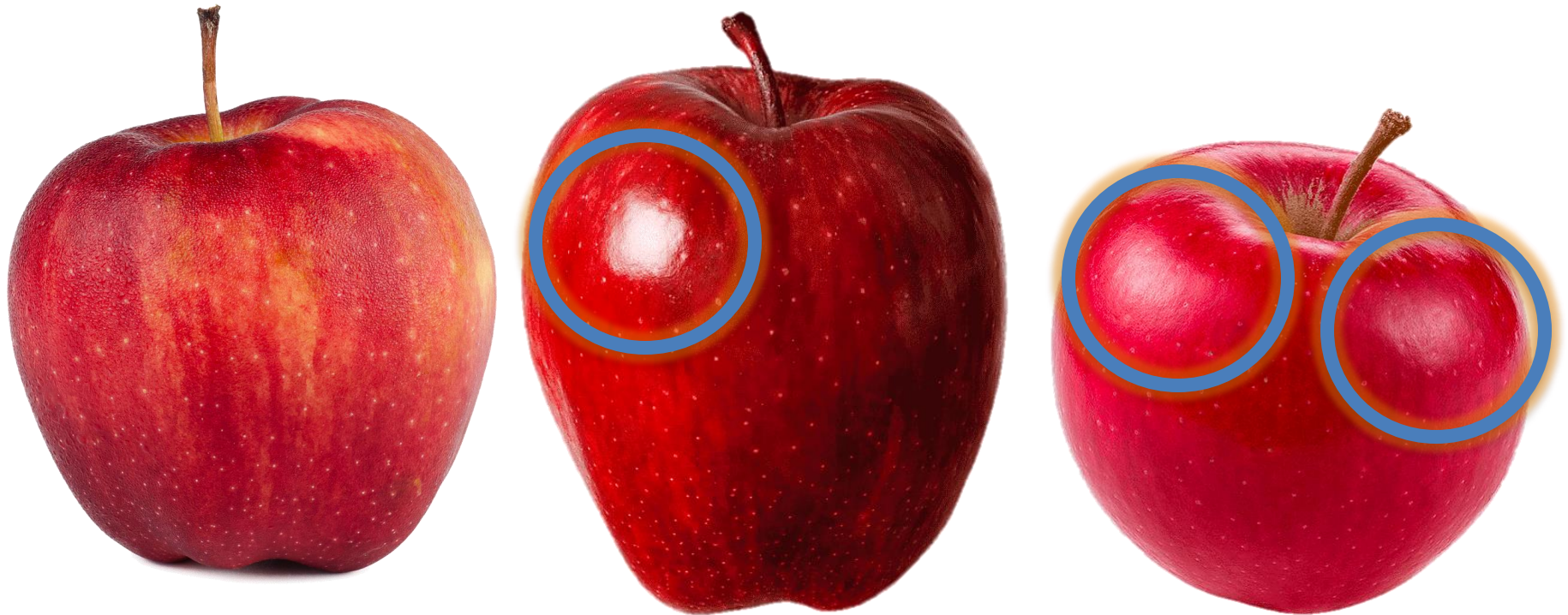
$$f_{\text{specular}}(x, \vec{l}x, \omega_r)$$





# Implementing the BRDF

In general, the number and shape of highlights matches the one of the direct light sources in the scene.



# Color range

In scan-line rendering, generally values of the BRDF for each color frequency, and for both the diffuse and specular components, are in the range  $[0,1]$ .

$$f_{diffuse}(x, \vec{l}, \omega_r) \in [0,1]$$
$$f_{specular}(x, \vec{l}, \omega_r) \in [0,1]$$

However, due to the influence of each individual light, the final color of the pixel can produce values that are larger than 1.

$$\sum_l L(l, \vec{l\vec{x}}) f_r(x, \vec{l\vec{x}}, \omega_r) > 1$$

The solution commonly applied, is to clamp the values in the range  $[0,1]$  at the end of the computation:

$$L(x, \omega_r) = \text{clamp} \left( \sum_l L(l, \vec{l\vec{x}}) f_r(x, \vec{l\vec{x}}, \omega_r) \right)$$

$$\text{clamp}(y) = \begin{cases} 0 & y < 0 \\ y & y \in [0,1] \\ 1 & y > 1 \end{cases}$$

Although it is always better to implement this clamping explicitly, *Vulkan* does it automatically on the color returned by the fragment Shader.



# Color range

Even if not physically correct, it creates effects that are similar to *overexposure* in photography, which represents area that receives too much light, as a white color spot.



# High Dynamic Range (HDR)

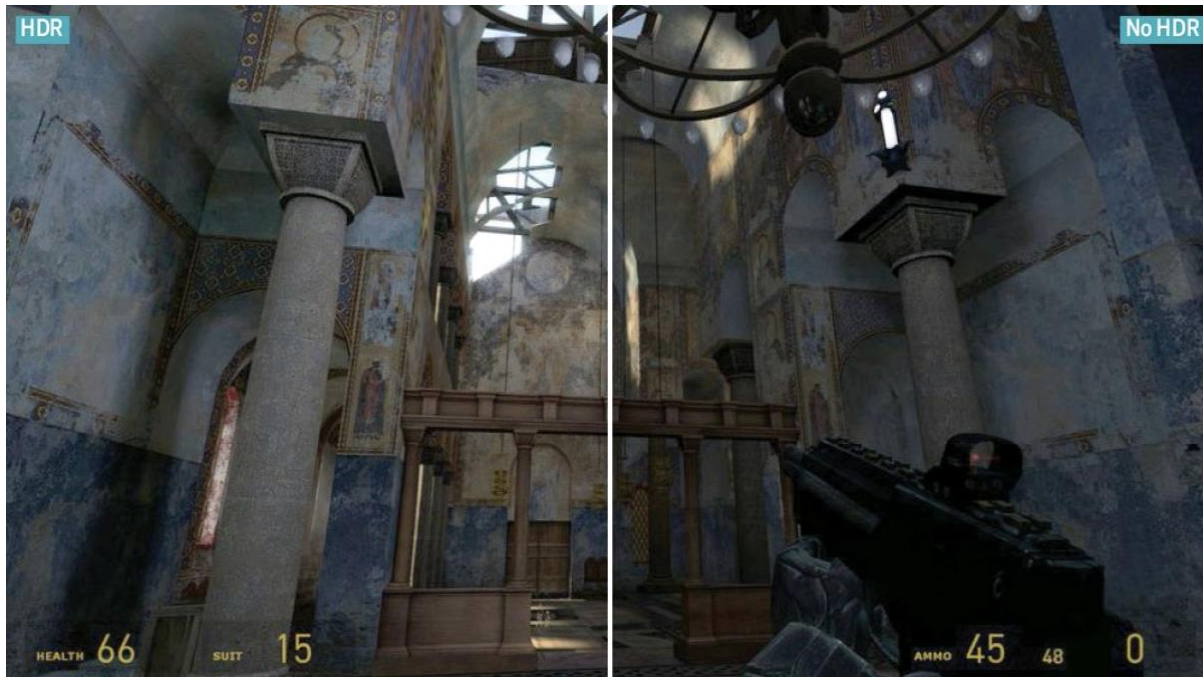
Newer rendering techniques, perform more advanced computation, allowing values outside the  $[0,1]$  range.

The final color is then mapped into the  $[0,1]$  range at the end of the process, using suitable non-linear functions.

$$L(x, \omega_r) = g(L'(x, \omega_r)) \quad \text{where: } L'(\dots) \geq 0, \text{ and } L(\dots) \in [0,1]$$

# High Dynamic Range (HDR)

This allows to consider larger color dynamics, leading to images that can have details in both very dark and extremely lit areas.



HDR, however, requires much more memory (4x) and larger computation power to apply the final non-linear scaling function.

# Diffuse and specular models

In this course we will present the following diffuse reflection models:

- Lambert
- Oren-Nayar
- Toon

And the following specular reflection models:

- Phong specular reflection
- Blinn specular reflection
- Ward
- Cook-Torrance
- Toon

We will present them in order of implementation complexity.

# The Lambert reflection

The simplest BRDF has only the diffuse part, which corresponds to a constant term.

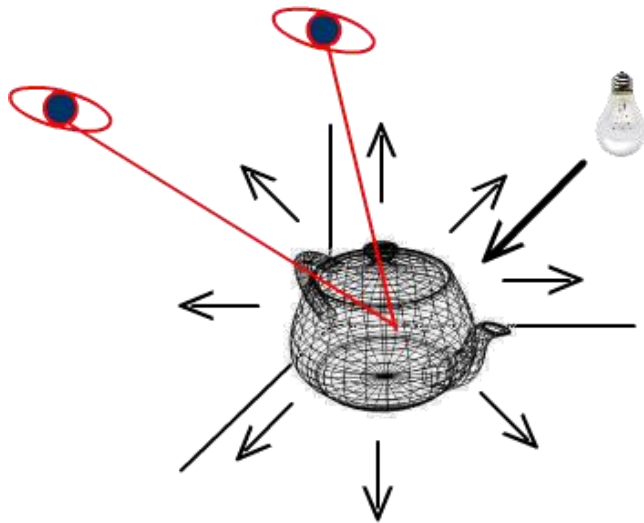
This is the BRDF used in the *Radiosity* technique previously introduced.

Constant BRDF reflect a physical behavior know as *Lambert diffusion*.

# The Lambert reflection

According to the reflection law by Lambert, each point of an object hit by a ray of light, reflects it with uniform probability distribution in all the directions above the surface.

The reflection is thus independent of the viewing angle and it corresponds to a constant BRDF.

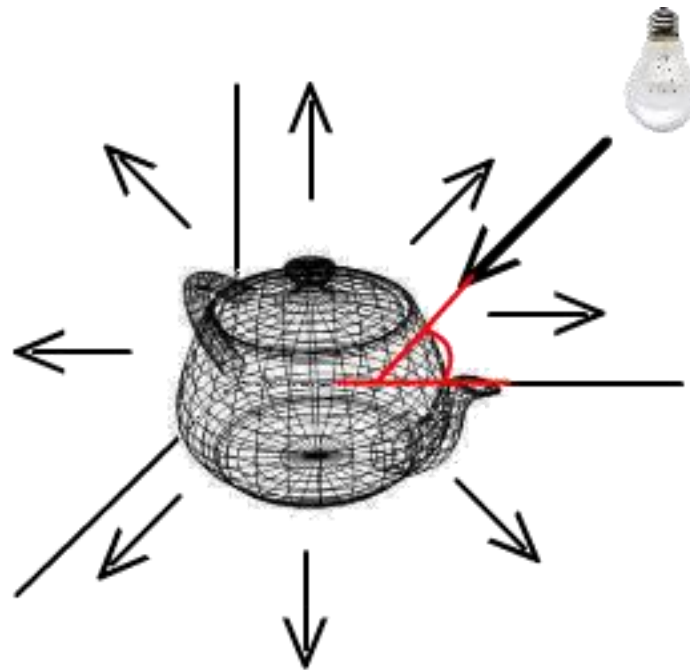


$$f_r(x, \omega_i, \omega_r) = \rho_x$$



# The Lambert reflection

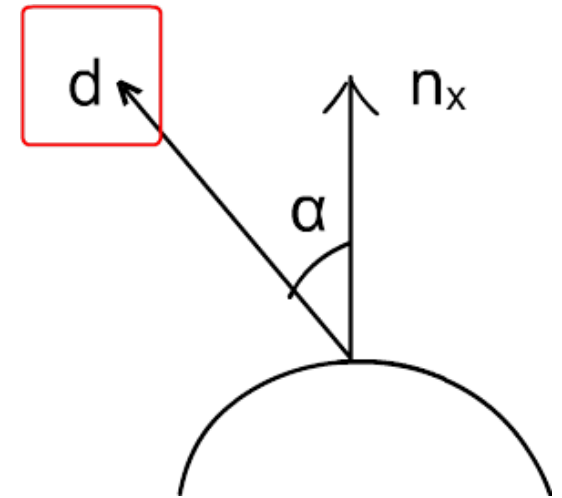
The quantity of light received by an object, however, depends on the angle between the ray of light and reflecting surface (the geometric term  $G(x, y)$  of the rendering equation).



# The Lambert reflection

Let us call  $n_x$  the unitary normal vector to the surface.

- Let us also call  $\mathbf{d} = \overrightarrow{lx}$  the direction of the ray of light,
- and  $\alpha$  the angle between the two vectors.

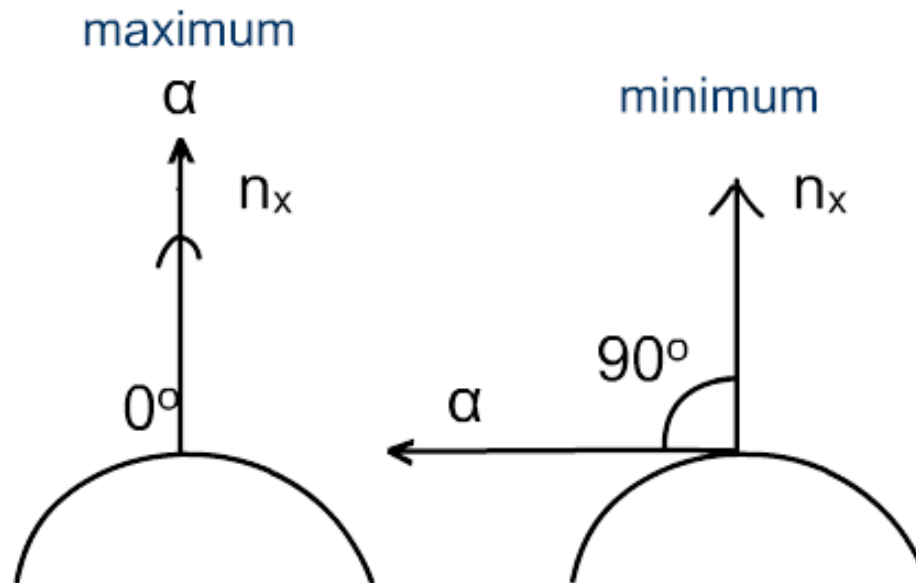


Let us also remember that the light model returns a unitary vector that is directed from the object to the light.

In the following, we will use  $\mathbf{d}$  and  $\overrightarrow{lx}$  to denote the same direction: the former when talking about light sources, the latter when referring to the rendering equation.

# The Lambert reflection

The incidence of the incoming light is maximized when angle  $\alpha$  is  $0^\circ$ , and null if it is greater or equal than  $90^\circ$ .



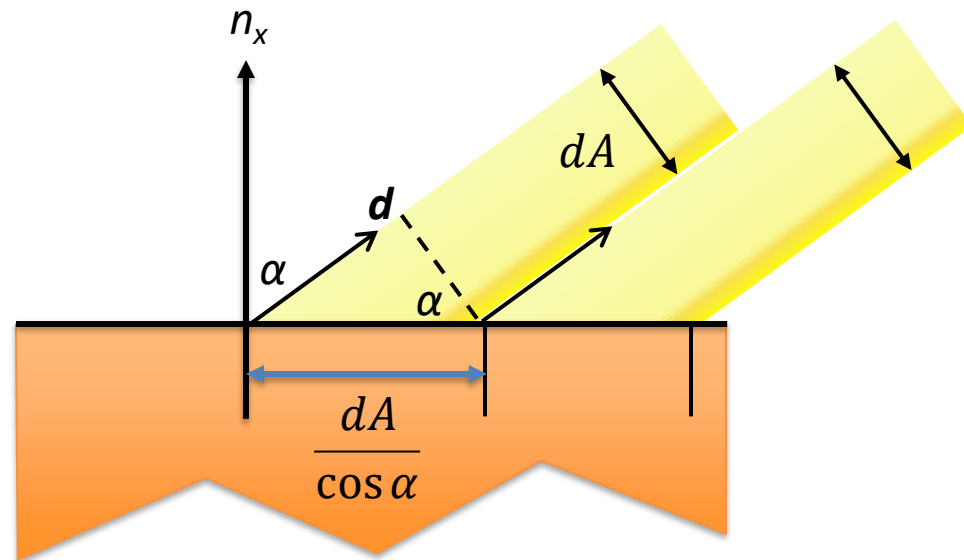
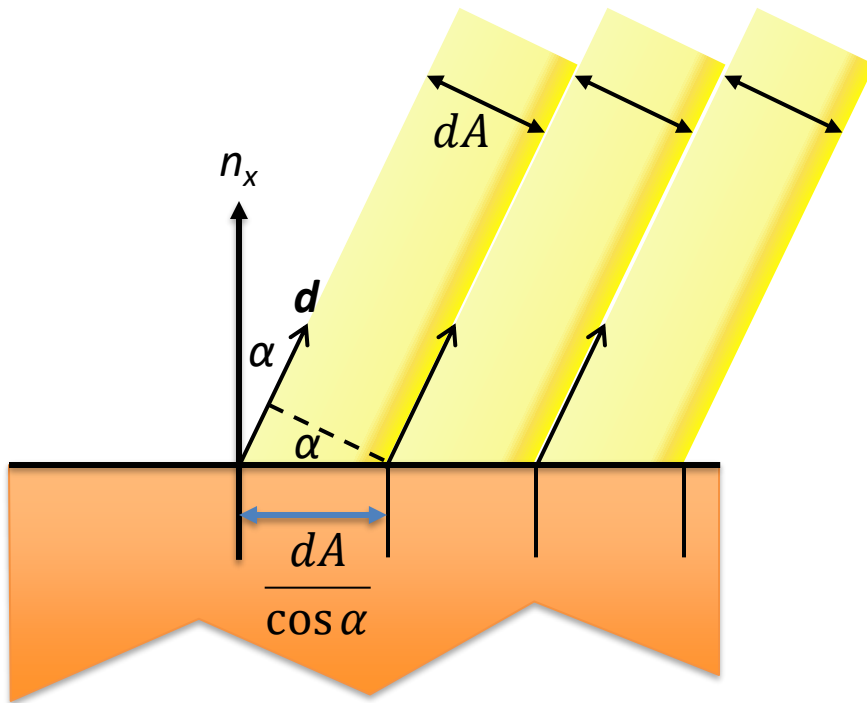
# The Lambert reflection

In particular, Lambert has shown that the amount of light reflected is proportional to  $\cos \alpha$ .

$$R_l \cdot \frac{dA}{\cos \alpha} = S_l \cdot dA$$

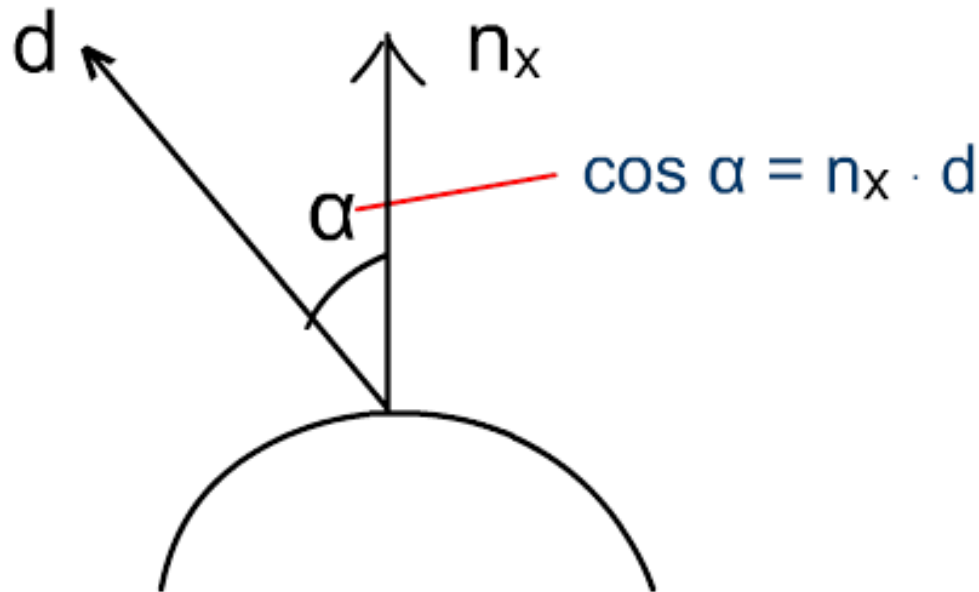
$$R_l = S_l \cdot \cos \alpha$$

$S_l$  = sent light  
 $R_l$  = received light  
 $A$  = incidence area  
 $\alpha$  = angle between  
normal and light



# The Lambert reflection

Thanks to the geometric properties of the scalar product,  $\cos \alpha$  can be computed as the dot product between the unitary vectors corresponding to the normal vector  $n_x$ , and to the direction of light  $d$ .

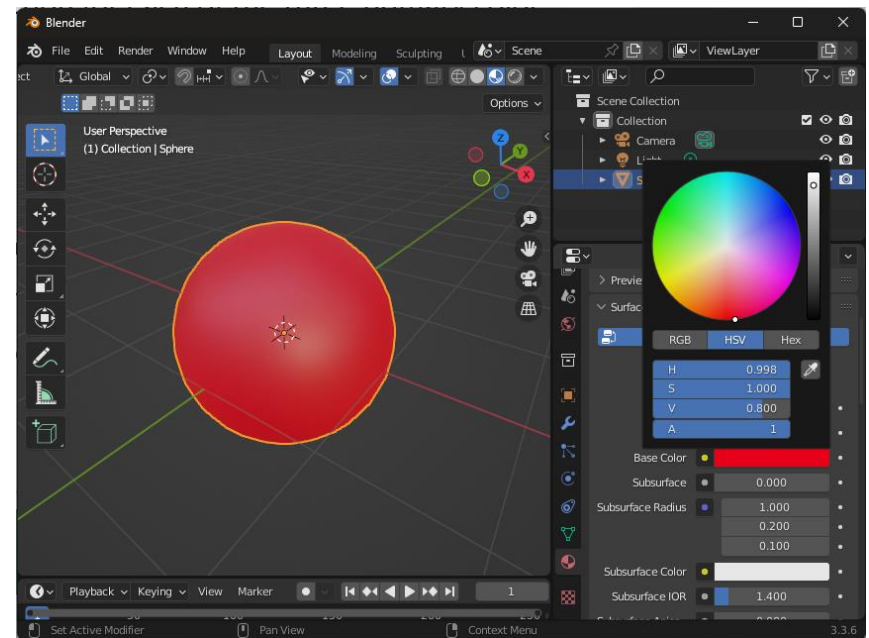


# The Lambert reflection

Let us call  $m_D$  a vector that expresses the capability of a material to perform the Lambert reflection for each of the three primary color frequencies RGB (that is  $\rho_x = m_D$ , the constant assigned to the BRDF function).

$$m_D = (m_R, m_G, m_B)$$

Interestingly, if an object with the reflection of a factor  $m_D$  is illuminated by a perfectly white source,  $l = (1, 1, 1)$ , it will display as the color with RGB components  $m_D$ . For this reason, this parameter is usually treated as a *color*.





# The Lambert reflection

We can express the BRDF of the Lambert reflection for scan-line rendering with the following expression:

$$f_r(x, \vec{l}, \omega_r) = f_{diffuse}(x, \vec{l}) = \mathbf{m}_D \cdot \max(\vec{l} \cdot \mathbf{n}_x, 0)$$

Notice the use of the *max()* function to limit the values of the Lambert diffuse term to be positive.

# The Lambert reflection

When a face is on the opposite direction with respect to a light source, it cannot be illuminated despite of the angle.

For back faces the cosine is negative: clamping the value at zero simply avoids illuminating the faces (otherwise the formula would subtract light from the object).

Since Lambert reflection model only includes the diffuse component of lighting, vector  $m_D$  is usually called the *diffuse color* of the object, and it represents its *main* (or *base*) color.

# The Lambert reflection

Note also that the pixel color does not depend on  $\omega_r$ : in other words when just Lambert diffuse reflection is used, the viewing angle has no effect, and the final image depends only on the position of the objects and on the directions of the lights.

Below you can see the rendering equations for the cases in which only a single direct or point light (with no decay) are used.

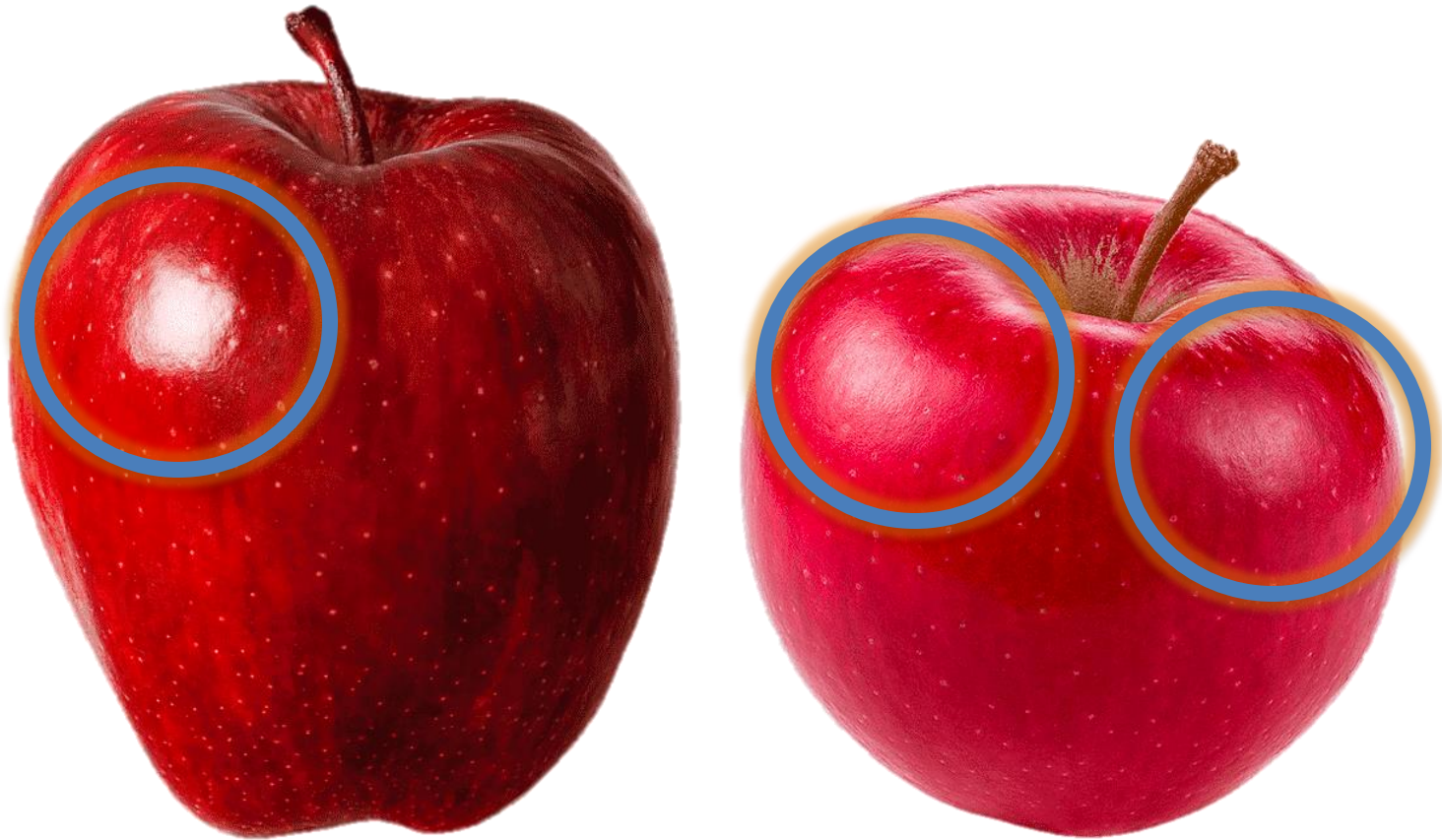
$$L(x, \omega_r) = l * \mathbf{m}_D \cdot \text{clamp}(\mathbf{d} \cdot \mathbf{n}_x)$$

Direct light

$$L(x, \omega_r) = l * \mathbf{m}_D \cdot \text{clamp}\left(\frac{\mathbf{p} - \mathbf{x}}{|\mathbf{p} - \mathbf{x}|} \cdot \mathbf{n}_x\right)$$

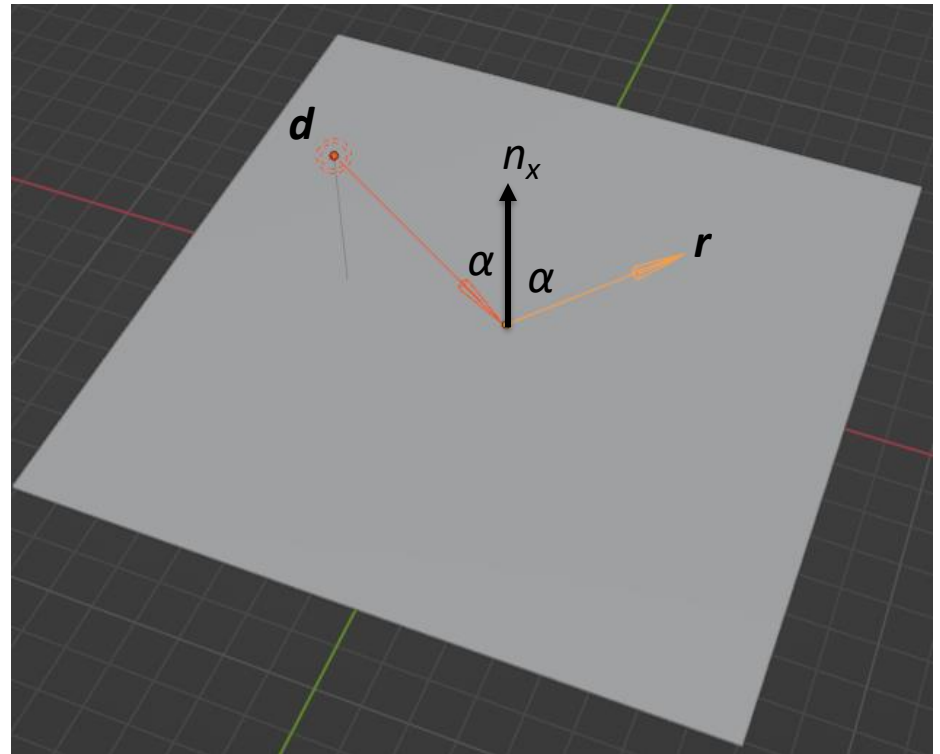
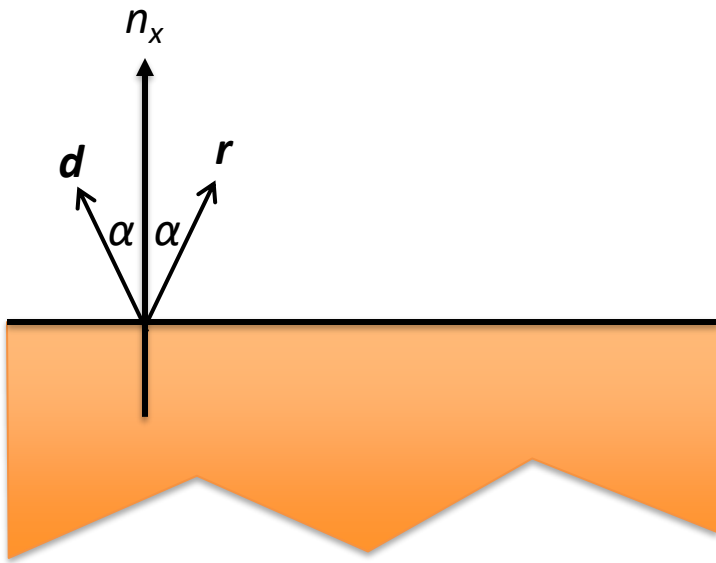
Point light (with no decay)

# Specular reflection



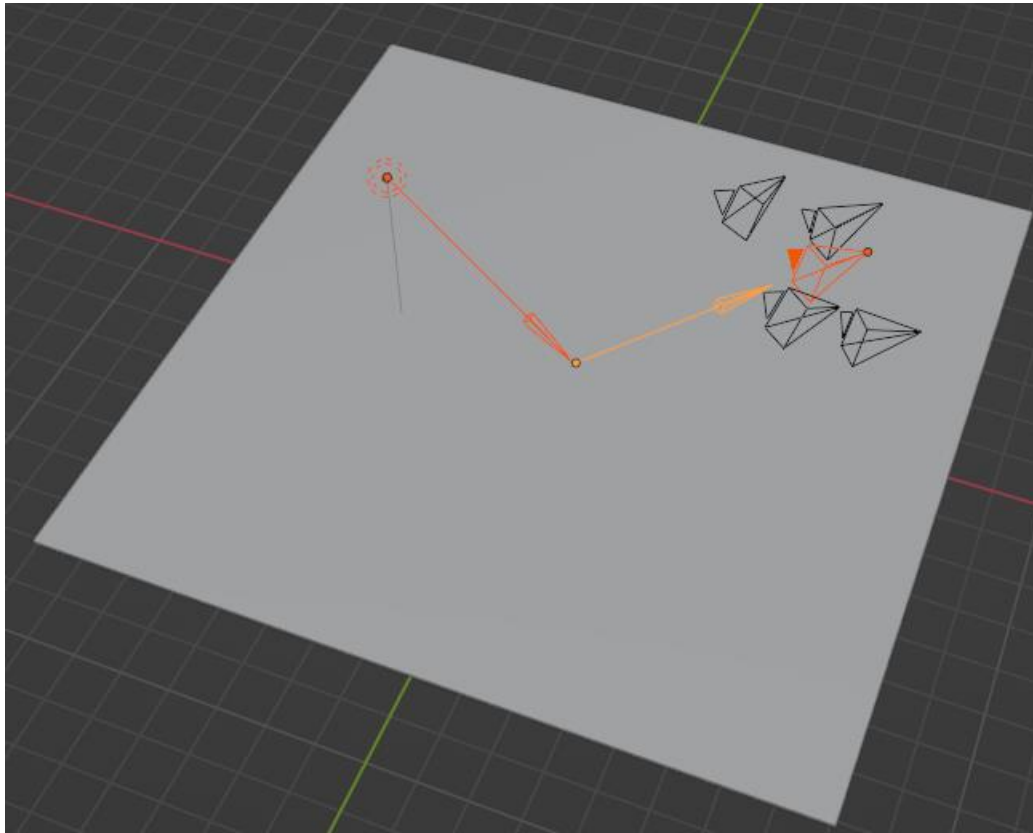
# Specular reflection

A perfect mirror surface, reflects the light only in a single direction, which is on the same plane as both the light and the normal to the surfaces, but with the opposite angle.



# Specular reflection

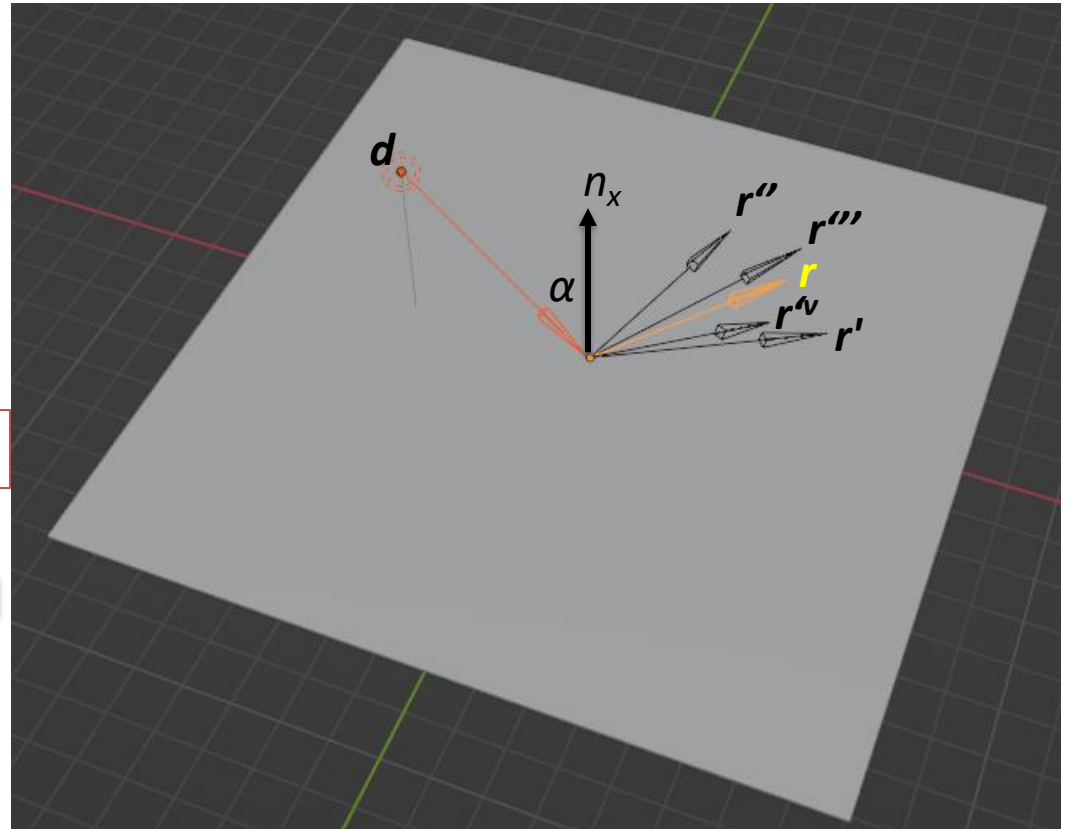
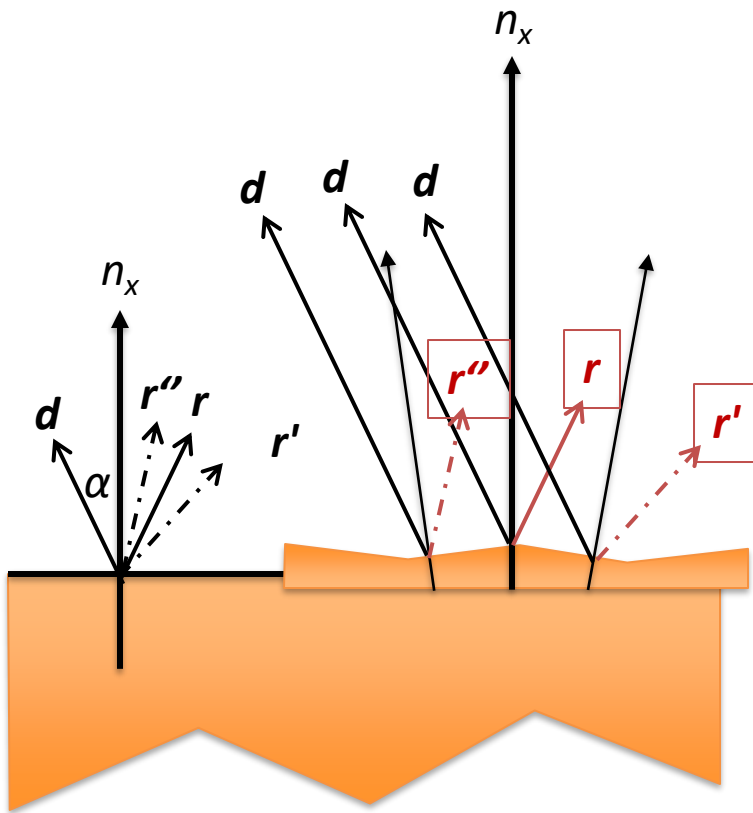
This means that a reflected light source would be visible only along this angle, and invisible in any other directions.





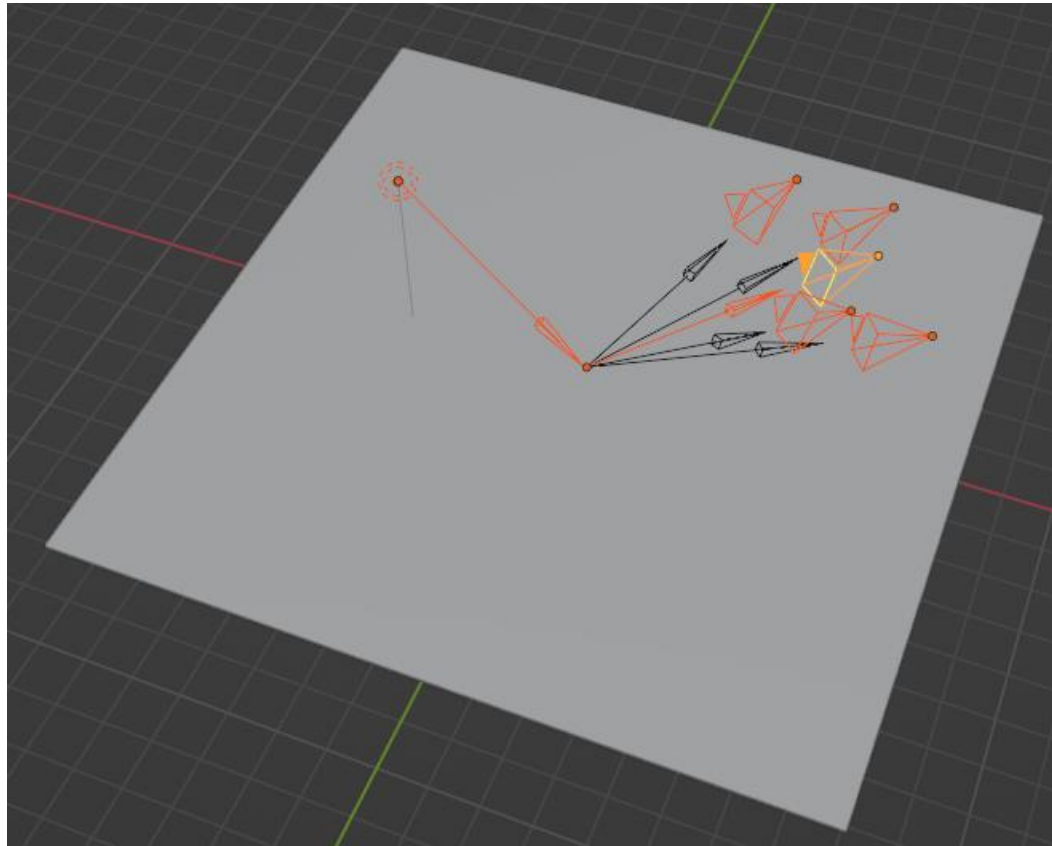
# Specular reflection

If a surface is rough, then the incoming light will be reflected also at angles that are close to the mirror one.



# Specular reflection

For this reason, even if at reduced intensities, the reflected ray could be visible in an area that is close to the mirror direction.



# Specular reflection

Specular reflection can be considered by adding the specular term to the diffuse one. This term accounts for the chance that the mirror reflection occurs in the considered viewing direction  $\omega_r$ .

$$f_r(x, \vec{l}x, \omega_r) = f_{diffuse}(x, \vec{l}x) + f_{specular}(x, \vec{l}x, \omega_r)$$

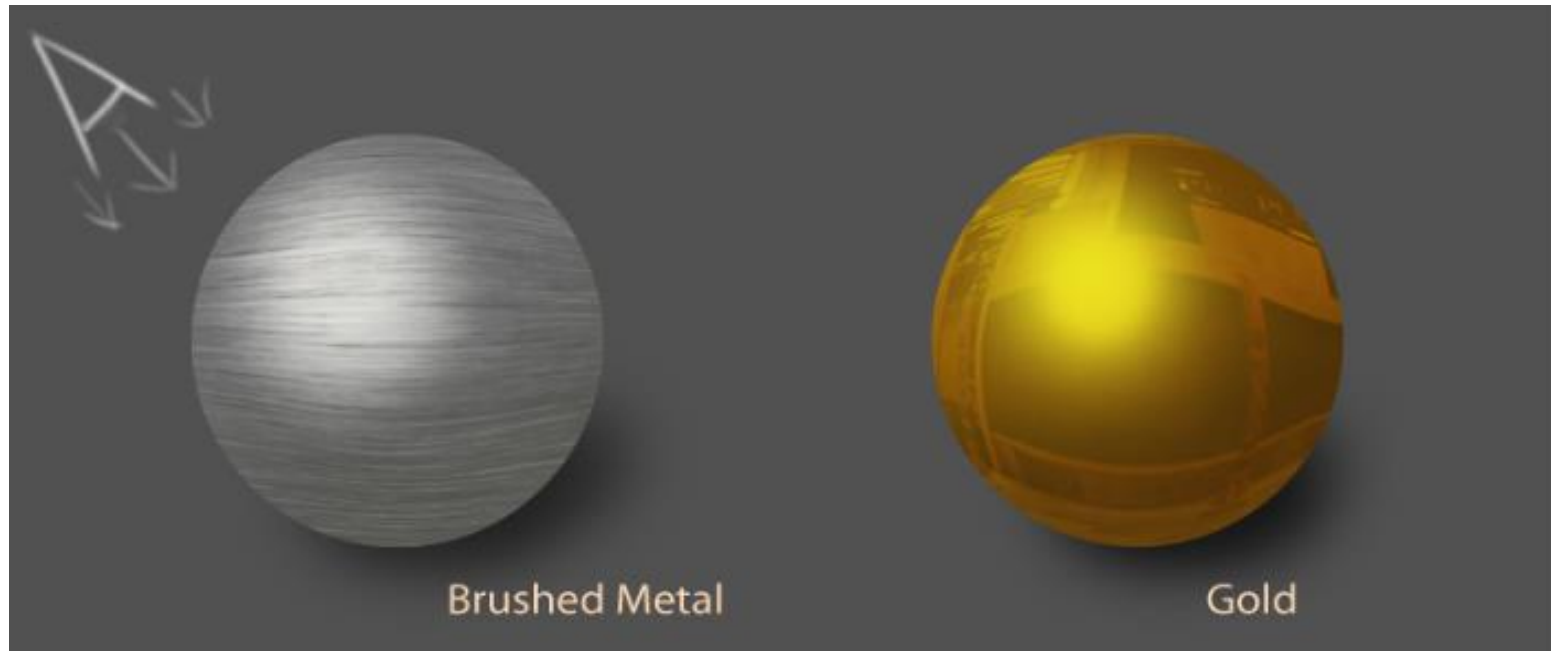
As for the diffuse case, the specular component is characterized by a color  $m_s$  that defines how the RGB components of the incoming light are reflected.

$$m_s = (m_{Rs}, m_{Gs}, m_{Bs})$$

# Specular reflection

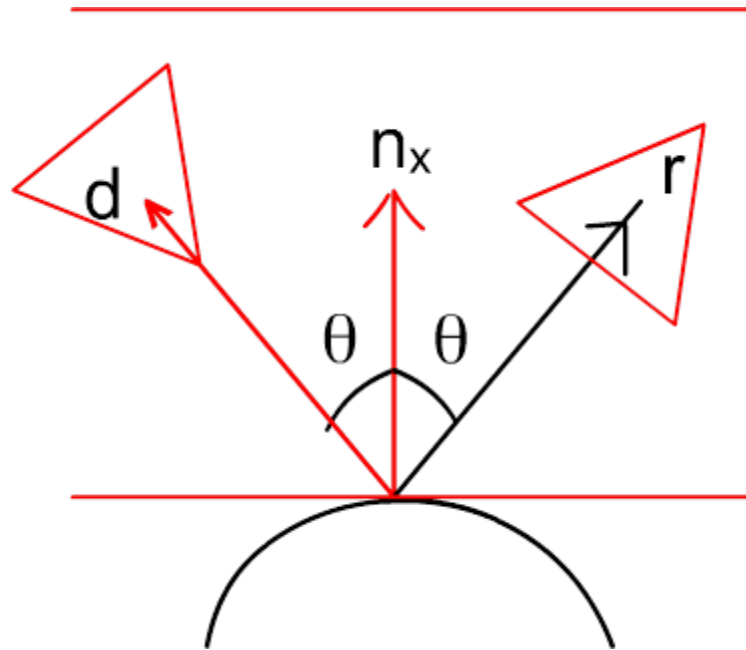
In most of the objects, the specular color is white, that is  $m_s = (1,1,1)$ .

However, metallic objects such as gold or copper have the specular color identical to their diffuse one.



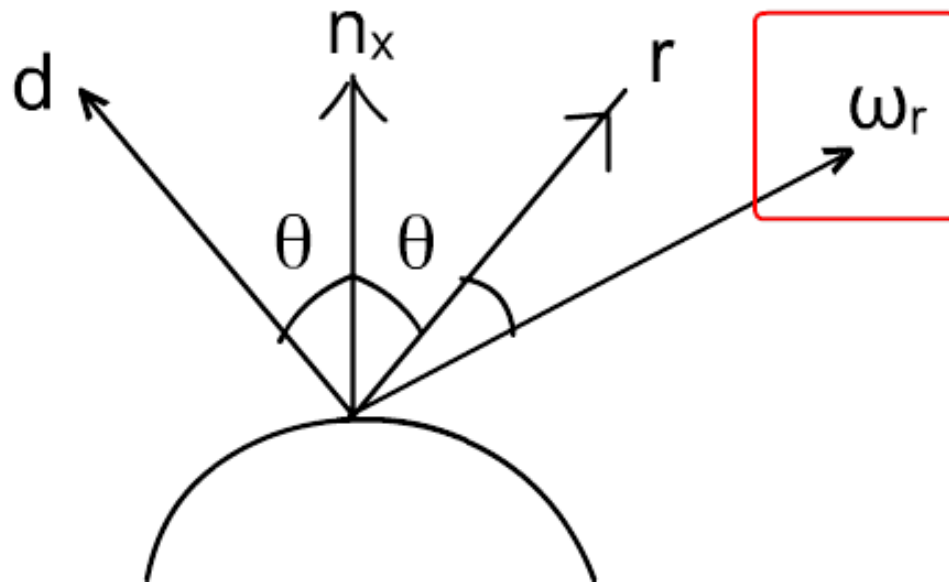
# The Phong reflection model

In the Phong model, the mirror reflection direction  $r$  is first computed.



# The Phong reflection model

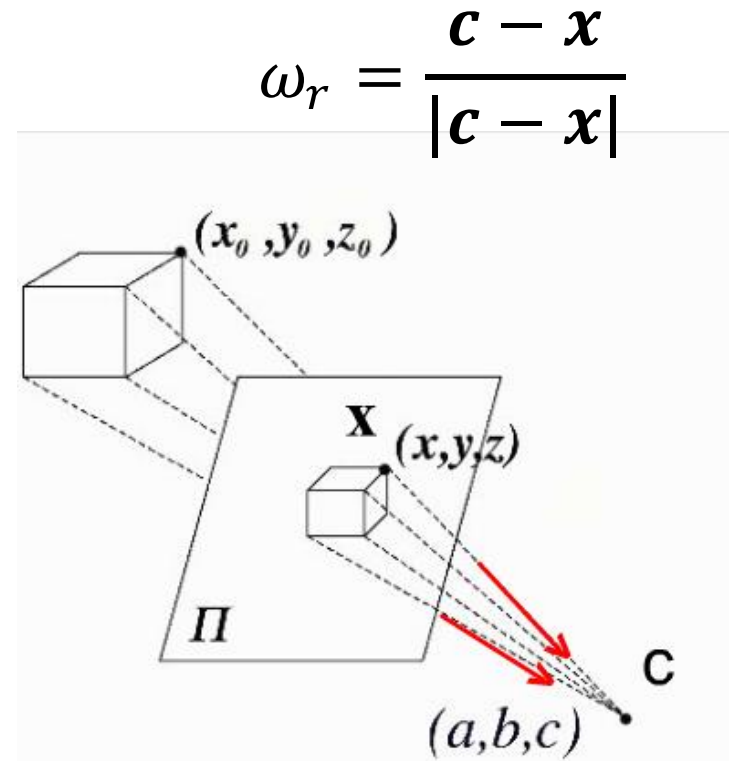
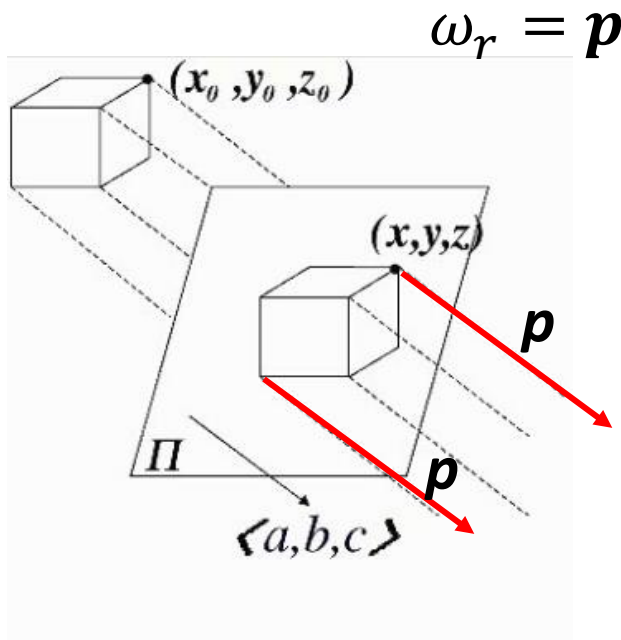
Let's recall that we addressed with  $\omega_r$  the vector that points in the direction from which the object is being observed in the BRDF:





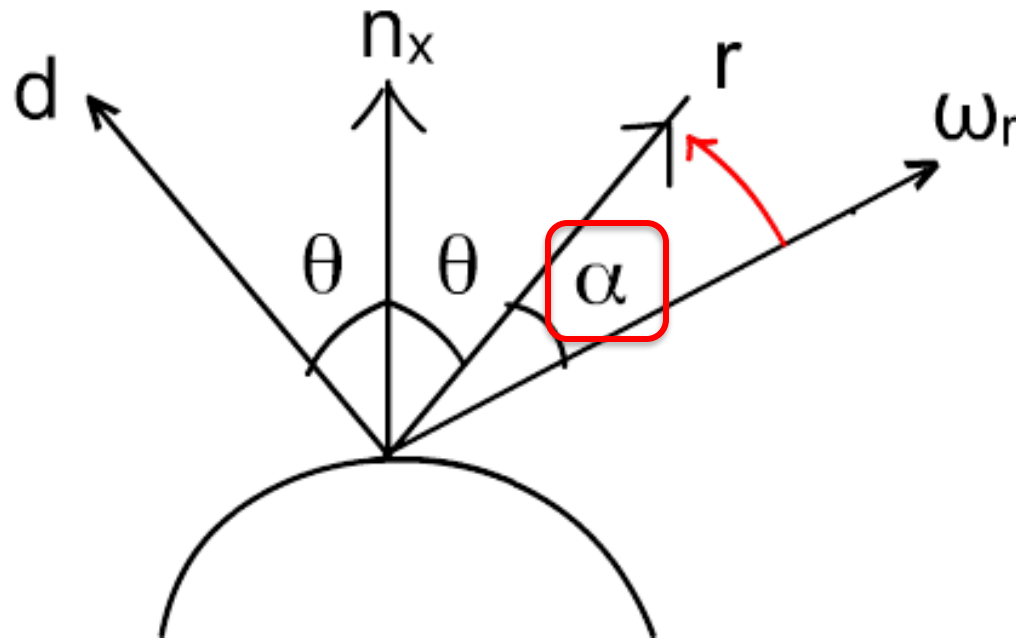
# The Phong reflection model

For parallel projection, it is constant  $\mathbf{p}$ . For perspective,  $\omega_r$  can be computed as the normalized difference between the point on the surface  $\mathbf{x}$  and the center of projection  $\mathbf{c}$ .



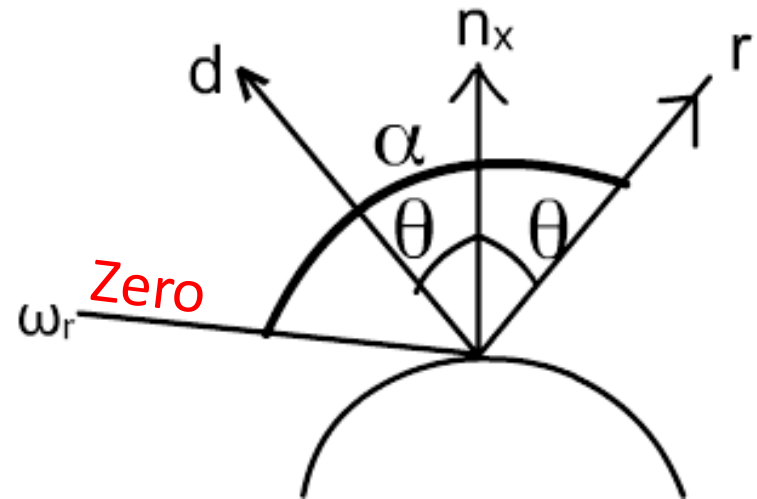
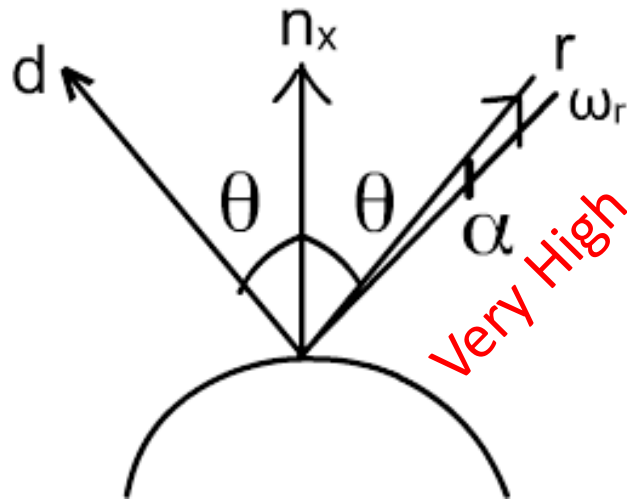
# The Phong reflection model

The Phong specular reflection model, accounts for the angular distance  $\alpha$  between the specular direction and the observer.



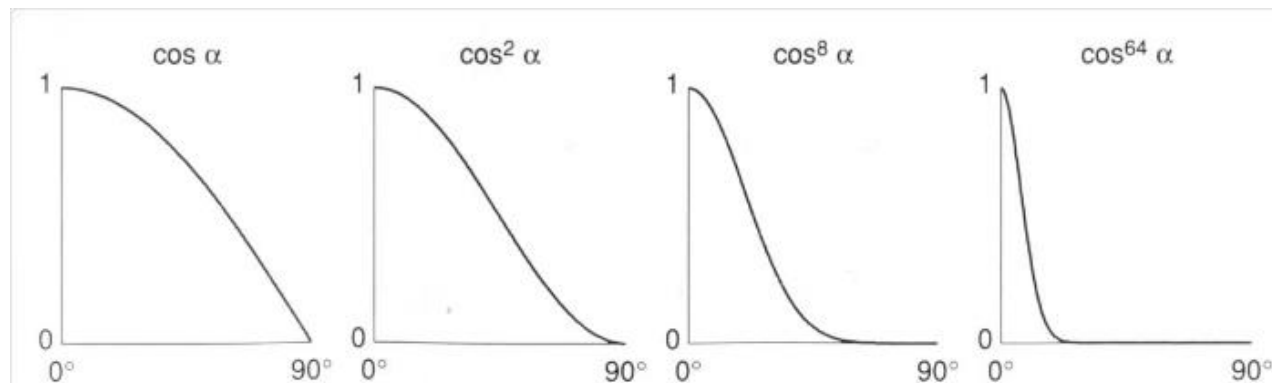
# The Phong reflection model

The Phong model computes the intensity of the specular reflection from  $\cos \alpha$ : in this way the term is maximum if the specular direction is aligned with the observer, and zero when the angle is greater than  $90^\circ$ .



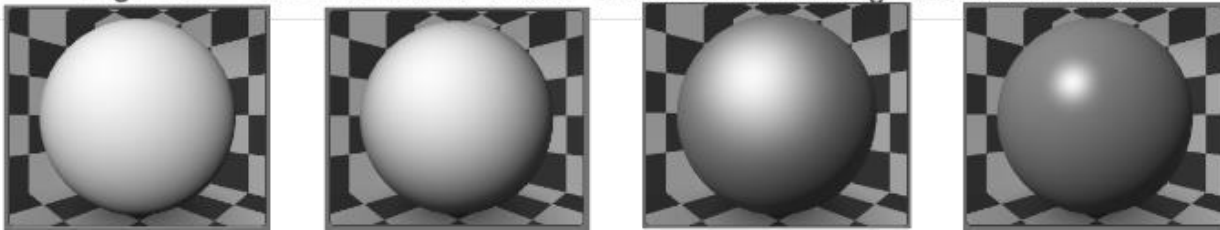
# The Phong reflection model

To create more contained highlight regions, the term  $\cos \alpha$  is raised at a power  $\gamma$ . The greater is  $\gamma$ , the smaller is the highlight area, and the *more shiny* the object appears to be since the surface behaves more like a mirror.



In many practical cases,  $\gamma$  has very high values, in the order of one or two hundreds.

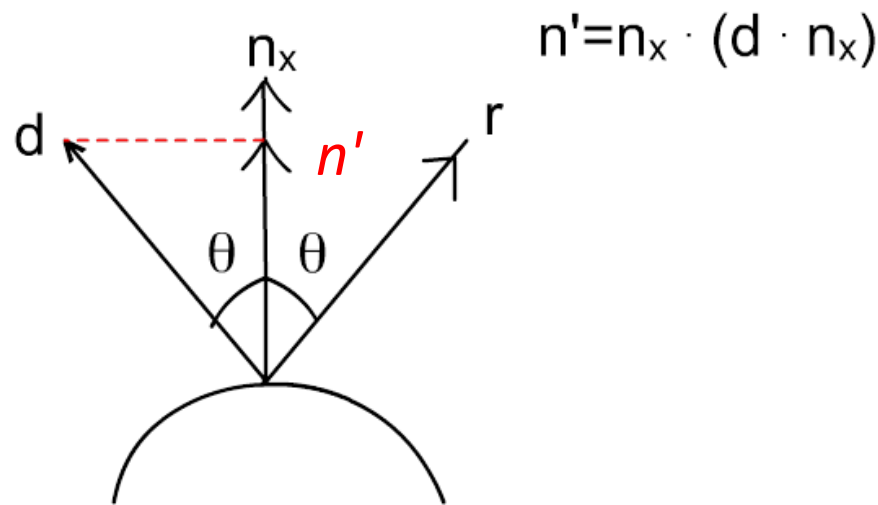
Fig. 16.9 Different values of  $\cos^n \alpha$  used in the Phong illumination model.



# The Phong reflection model

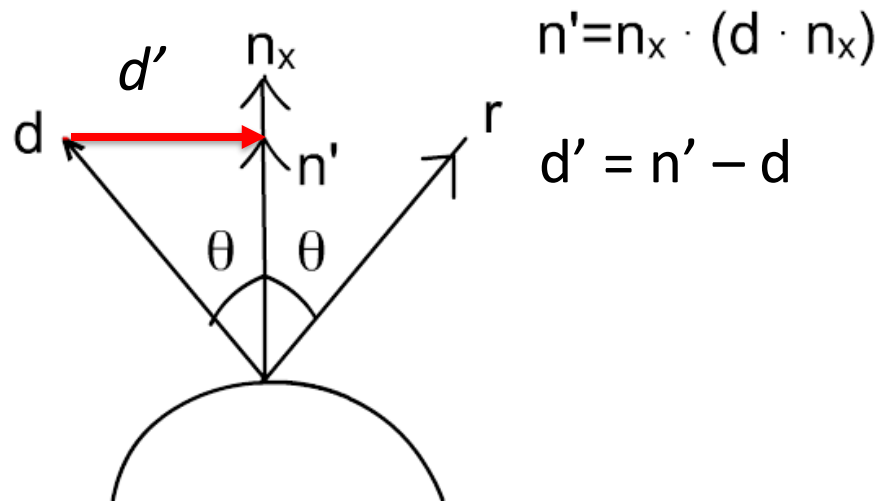
To compute the direction of the reflected ray, first we compute  $n'$ , the projection of the light vector over the normal vector.

This is performed by first computing its length with the dot product between the normal and the light direction ( $d \cdot n_x$ ), and then multiplying the result with the normal vector  $n_x$  to make it a vector in the perpendicular direction.



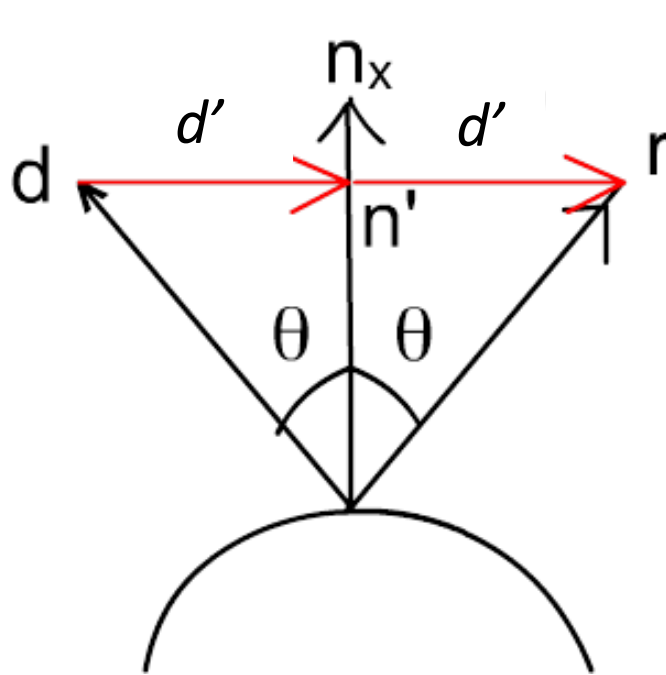
# The Phong reflection model

Then if we subtract  $n'$  from the light vector, we obtain  $d'$  the perpendicular from  $d$  to  $n$ .



# The Phong reflection model

If we add  $d'$  two times to  $d$ , we obtain the reflected vector  $r$ .



$$n' = n_x \cdot (d \cdot n_x)$$

$$d' = n' - d$$

$$r = d + 2d'$$

# The Phong reflection model

To summarize, we have:

$$r = d + 2(n_x \cdot (d \cdot n_x) - d) = 2 (d \cdot n_x) n_x - d$$

If we use the notation of the rendering equation, we obtain:

$$\mathbf{r}_{l,x} = 2(\overrightarrow{xl} \cdot \mathbf{n}_x) \mathbf{n}_x - \overrightarrow{xl}$$

Note also that many shading languages have built-in functions to directly compute the reflected vector. For example, in GLSL:

$$\mathbf{r}_{l,x} = -\text{reflect}(\overrightarrow{xl}, \mathbf{n}_x)$$

Note that the reflection happens in the opposite direction. For this reason the minus sign is required.



# The Phong reflection model

We can then compute the intensity of the specular reflection term as:

$$\text{COS}^\gamma \alpha = \text{clamp}(\omega_r \cdot \mathbf{r})^\gamma$$

As for the Lambert diffuse term, it is necessary to exclude the cases in which the cosine is negative using the *clamp()* function.

To summarize we have:

$$\begin{aligned} \mathbf{r}_{l,x} &= 2\mathbf{n}_x \cdot (\vec{l\hat{x}} \cdot \mathbf{n}_x) - \vec{l\hat{x}} \\ f_{\text{specular}}(x, \vec{l\hat{x}}, \omega_r) &= \mathbf{m}_s \cdot \text{clamp}(\omega_r \cdot \mathbf{r}_{l,x})^\gamma \end{aligned}$$

# Simplified parameterization

Most of diffuse and specular light models depends on the direction of the normal vector, and are characterized by a main color.

For this reason, in most of the cases, the BRDF components can be expressed as:

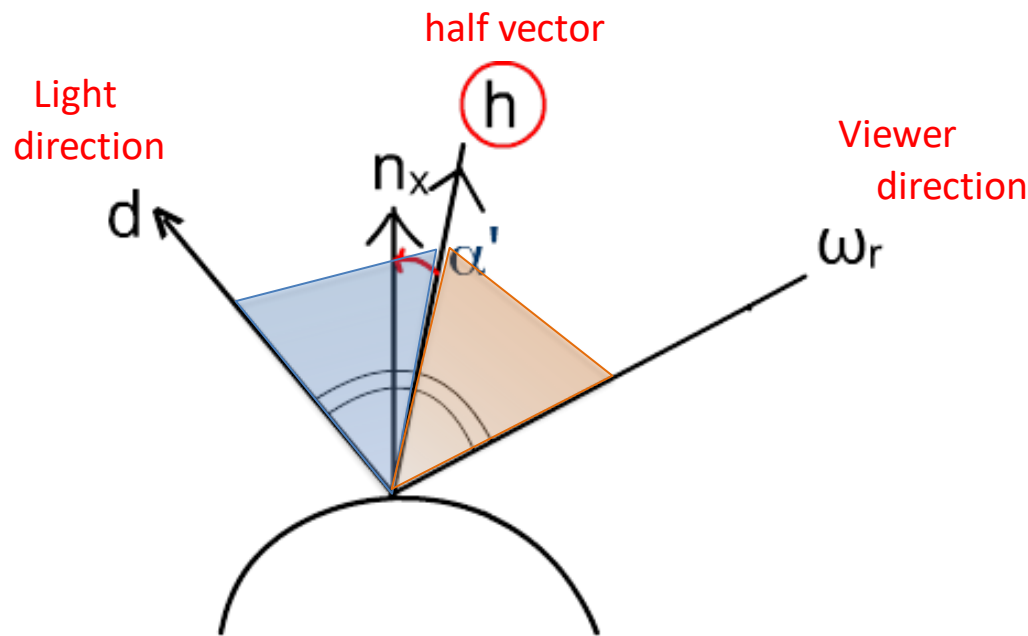
$$f_D(\mathbf{d}, \mathbf{n}, \mathbf{v}, p_D) \quad f_S(\mathbf{d}, \mathbf{n}, \mathbf{v}, p_S)$$

Where:

- $\mathbf{d}$  is the direction of the light
- $\mathbf{n}$  is the direction of the normal vector
- $\mathbf{v}$  is the view direction
- $p_D$  and  $p_S$  are the other model specific parameter. For example,  $p_D$  is the diffuse color  $m_D$  for the Lambert model, and  $p_S$  is the collection of the specular color  $m_S$  and the specular power  $\gamma$  for the Phong model.

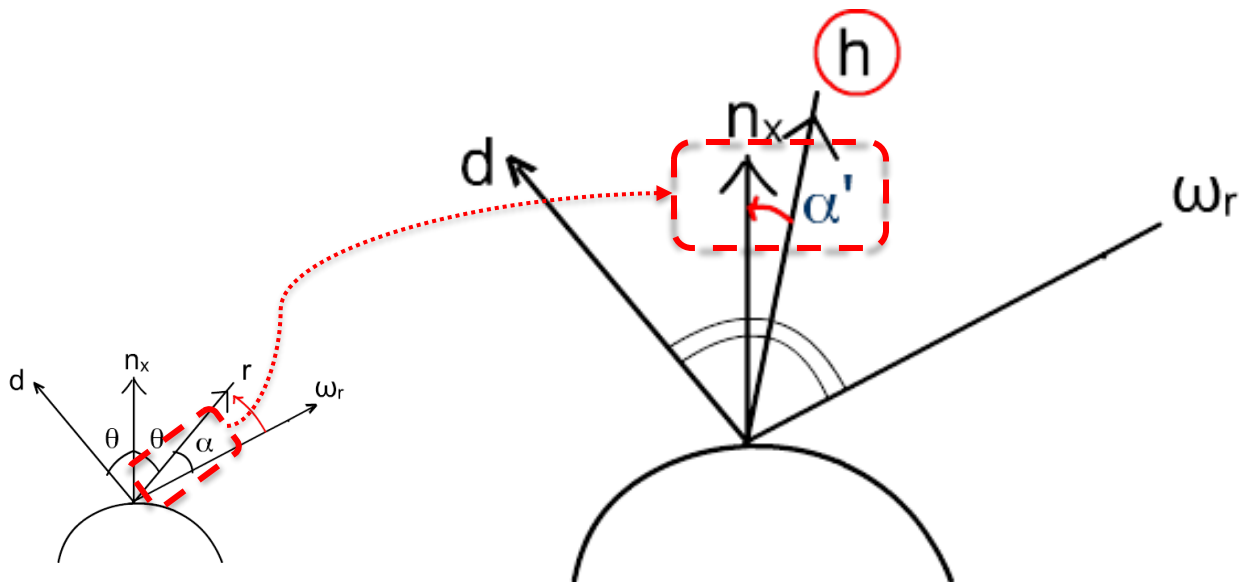
# The Blinn reflection model

The *Blinn* reflection model is an alternative to the Phong shading model that uses the *half vector*  $h$ : a vector that is in the middle between the viewer direction  $\omega_r$  and the light  $d$ .



# The Blinn reflection model

The angle  $\alpha$  between the observer and the reflected ray, is then approximated by the angle  $\alpha'$  between the normal vector  $n_x$  and the half vector  $h$ .



# The Blinn reflection model

The half vector  $h$  can be computed as the normalized average of vectors  $d$  and  $\omega_r$ . With the notation used for the rendering equations we have:

$$\mathbf{h}_{l,x} = \frac{\mathbf{d} + \omega_r}{|\mathbf{d} + \omega_r|} = \text{normalize}(\mathbf{d} + \omega_r)$$

The specular highlight is then computed raising to a power  $\gamma$  the cosine of  $\alpha'$ , expressed as the dot product of  $\mathbf{n}_x$  and  $\mathbf{h}_{l,x}$ . The formula when considering Blinn specular reflection becomes:

$$f_{\text{specular}}(x, \vec{l}, \omega_r) = \mathbf{m}_s \cdot \text{clamp}(\mathbf{n}_x \cdot \mathbf{h}_{l,x})^\gamma$$

# Blinn and Phong reflection model comparison

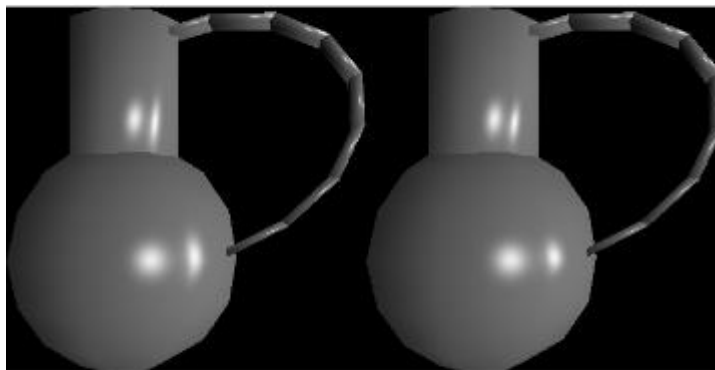
The Blinn specular model is usually slightly more expensive than the Phong one (since it requires normalization, which is more complex than the reflection), but still easily achievable in real-time by current hardware.

Since the two techniques provide slightly different results, they are usually both implemented and chosen by the artists to achieve different effects.

# Blinn and Phong reflection model comparison

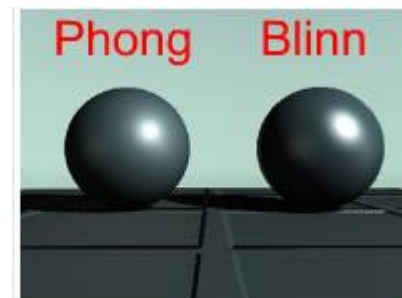
For example, these three pictures use on the left the Phong and on the right the Blinn specular computation.

As it can be seen, the Blinn has usually a larger decay area than the Phong with similar parameters.



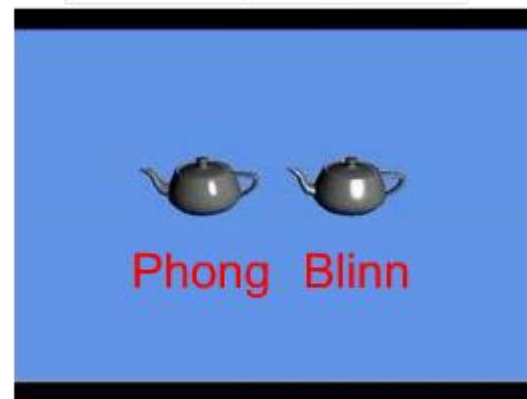
Phong

Blinn



Phong

Blinn



Phong Blinn

# Toon shading

**Normal Shading**



**Toon Shading**



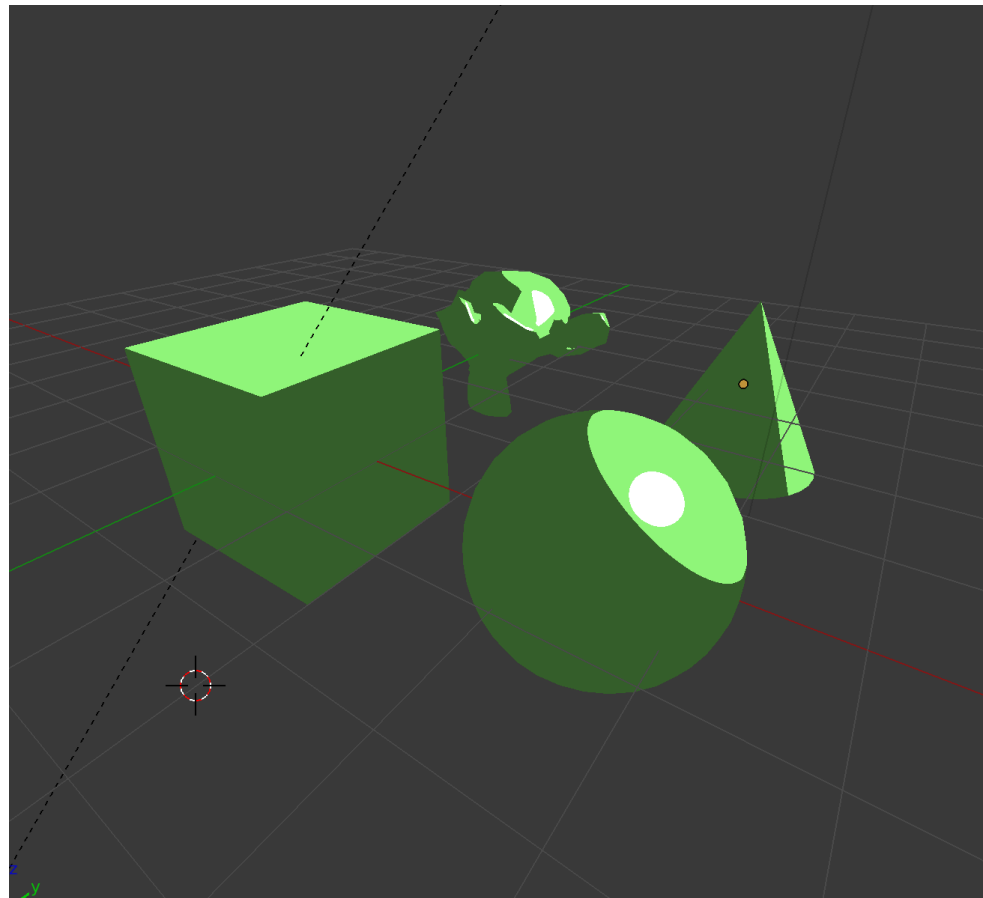


# Toon shading

Toon shading simplifies the output color range, using only discrete values according to a set of thresholds.

In this way it achieves a cartoon-like rendering style.

It can be used both for the diffuse and specular components of the BRDF.



# Toon shading

The technique starts from a standard Lambert BRDF for the diffuse, and from a Phong or Blinn BRDF with  $\gamma=1$  for the specular components. Then it uses two colors ( $\mathbf{m}_{D1}$ ,  $\mathbf{m}_{D2}$ ) or ( $\mathbf{m}_{S1}$ ,  $\mathbf{m}_{S2}$ ) and a threshold ( $t_D$  or  $t_S$ ) for determining which one to choose.

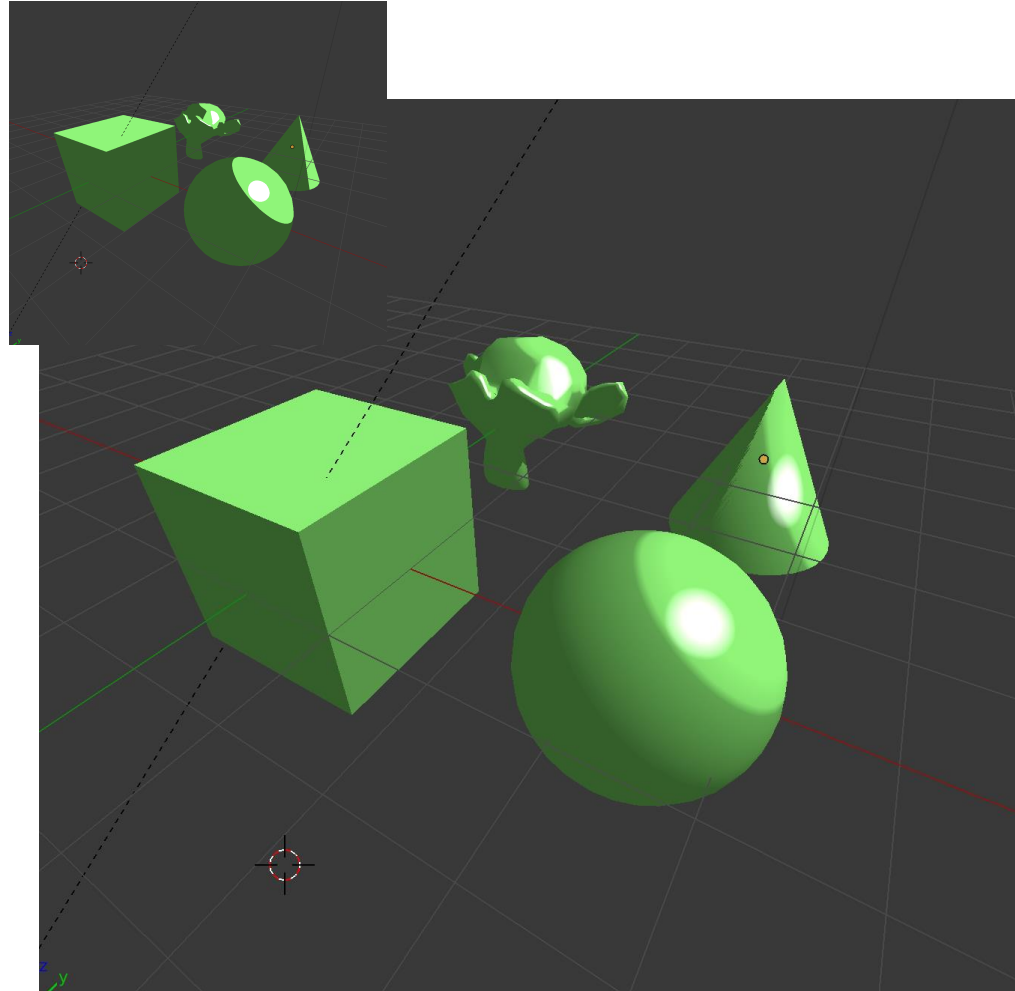
$$f_{diffuse}(x, \vec{l}_x) = \begin{cases} \mathbf{m}_{D1} & \vec{l}_x \cdot \mathbf{n}_x \geq t_D \\ \mathbf{m}_{D2} & \vec{l}_x \cdot \mathbf{n}_x < t_D \end{cases}$$

$$\mathbf{r}_{l,x} = 2\mathbf{n}_x \cdot (\vec{l}_x \cdot \mathbf{n}_x) - \vec{l}_x$$
$$f_{specular}(x, \vec{l}_x, \omega_r) = \begin{cases} \mathbf{m}_{S1} & \omega_r \cdot \mathbf{r}_{l,x} \geq t_S \\ \mathbf{m}_{S2} & \omega_r \cdot \mathbf{r}_{l,x} < t_S \end{cases} \quad \text{(Phong version)}$$

# Toon shading

In general, to achieve better visual results, more than two colors are used for both the specular and diffuse parts.

Moreover, small gradients are added to smooth the transitions between different colors.



# Toon shading

This is usually implemented by using a color that is function of the cosine of the angles between the considered rays.

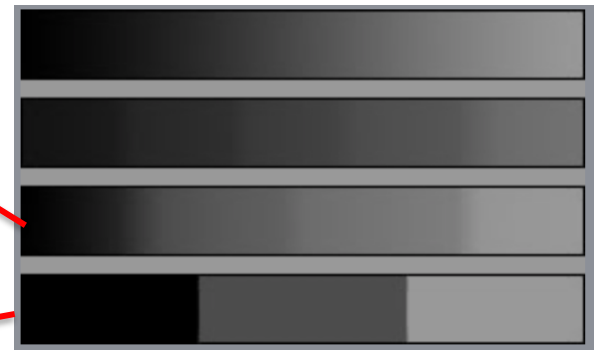
Functions are implemented as 1D textures (we will return on this later): in modern GPU hardware this adds an extra performance benefit since in general texture look-up is more efficient than program branching.

$$f_{diffuse}(x, \vec{l_x}) = f_{m_D}(\vec{l_x} \cdot \mathbf{n}_x)$$

$$\mathbf{r}_{l,x} = 2\mathbf{n}_x \cdot (\vec{l_x} \cdot \mathbf{n}_x) - \vec{l_x}$$

$$f_{specular}(x, \vec{l_x}, \omega_r) = f_{m_S}(\omega_r \cdot \mathbf{r}_{l,x})$$

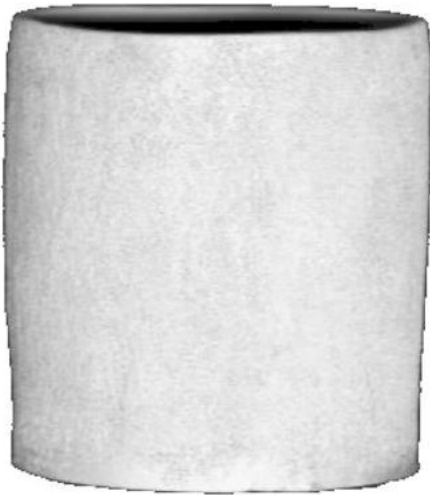
(Phong version)



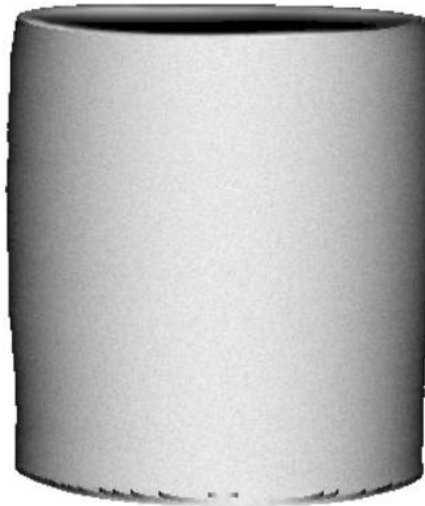
Note that in these expressions,  $f_{m_D}()$  and  $f_{m_S}()$  are two functions that return a color according to the considered texture.

# The Oren-Nayar diffuse model

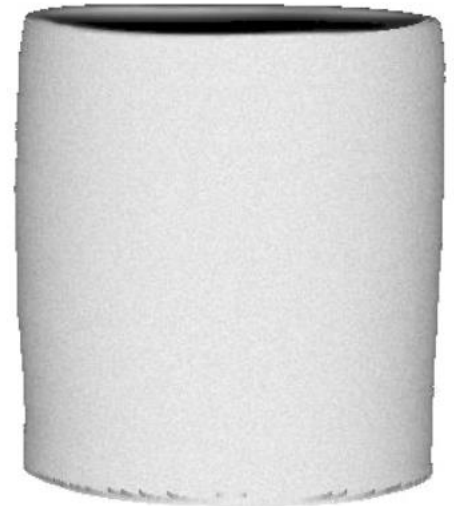
Typical real life materials such as clay, dirt and some types of cloths requires a special rendering technique.



Real image  
*(clay vase)*



Lambert



Oren-Nayar

# The Oren-Nayar diffuse model

Some materials are characterized by a phenomenon called *retroreflection*: they tend to reflect back in the direction of the light source.

They are characterized by very rough surfaces, and they cannot be accurately simulated with the Lambert diffuse reflection model.

The *Oren-Nayar diffuse reflection* model has been devised to accurately model such materials.

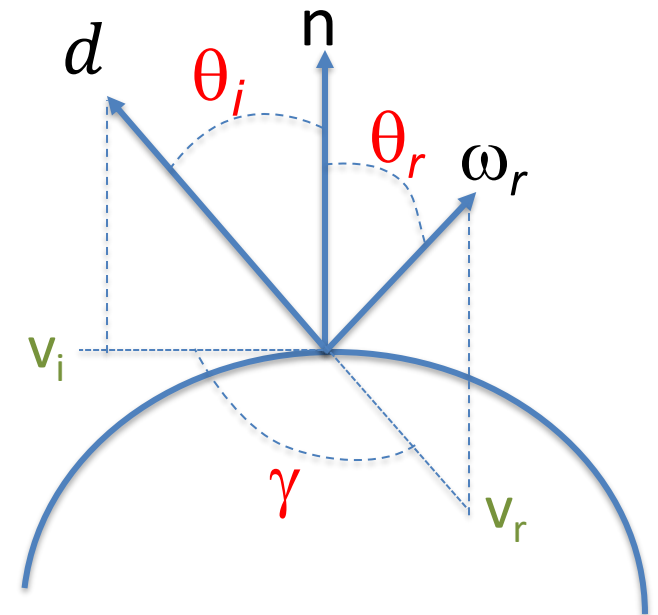
In most of the cases, these materials do not show specular reflections, and are characterized by just a diffuse component.

# The Oren-Nayar diffuse model

The model requires three vectors: the *direction of the light*  $d$ , the *normal vector*  $n$ , and the *direction of the viewer*  $\omega_r$ .

These vectors identify three angles:

- $\theta_i$  between  $d$  and  $n$
- $\theta_r$  between  $\omega_r$  and  $n$
- $\gamma$  between the projections of  $\omega_r$  and  $d$  on the plane perpendicular to  $n$ . We call such projections respectively  $v_r$  and  $v_i$ .



# The Oren-Nayar diffuse model

The model is characterized by two parameters:

- $m_D = (m_R, m_G, m_B)$  that is the main color of the considered material
- $\sigma \in \left[0, \frac{\pi}{2}\right]$  which represents the roughness of the material.

Higher values of  $\sigma$  produces rougher surfaces

The model converges to the Lambert diffusion for  $\sigma = 0$ .



# The Oren-Nayar diffuse model

The model, can be computed with the following formulas:

$$\theta_i = \cos^{-1}(d \cdot \mathbf{n}_x)$$

$$\theta_r = \cos^{-1}(\omega_r \cdot \mathbf{n}_x)$$

$$\alpha = \max(\theta_i, \theta_r)$$

$$\beta = \min(\theta_i, \theta_r)$$

$$A = 1 - 0.5 \frac{\sigma^2}{\sigma^2 + 0.33}$$

$$B = 0.45 \frac{\sigma^2}{\sigma^2 + 0.09}$$

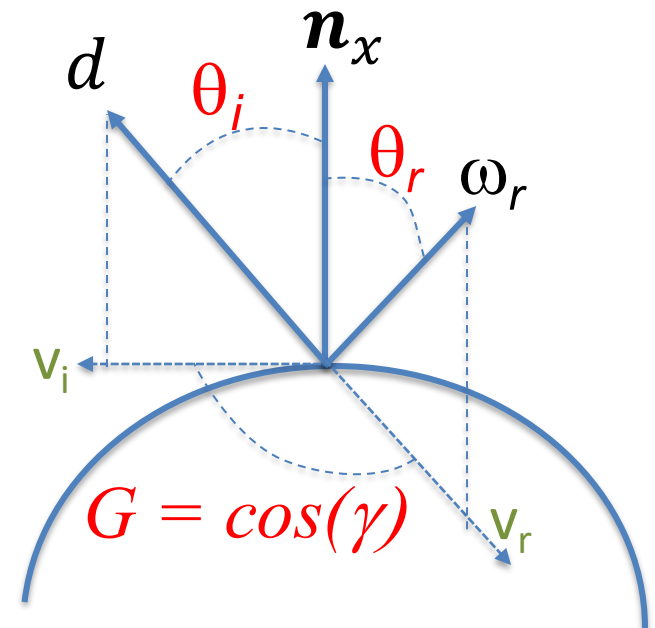
$$\mathbf{v}_i = \text{normalize}(d - (d \cdot \mathbf{n}_x)\mathbf{n}_x)$$

$$\mathbf{v}_r = \text{normalize}(\omega_r - (\omega_r \cdot \mathbf{n}_x)\mathbf{n}_x)$$

$$G = \max(0, \mathbf{v}_i \cdot \mathbf{v}_r)$$

$$L = \mathbf{m}_D \cdot \text{clamp}(d \cdot \mathbf{n}_x)$$

$$f_{diffuse}(x, \vec{l}x, \omega_r) = L (A + B G \sin \alpha \tan \beta)$$



# The Oren-Nayar diffuse model

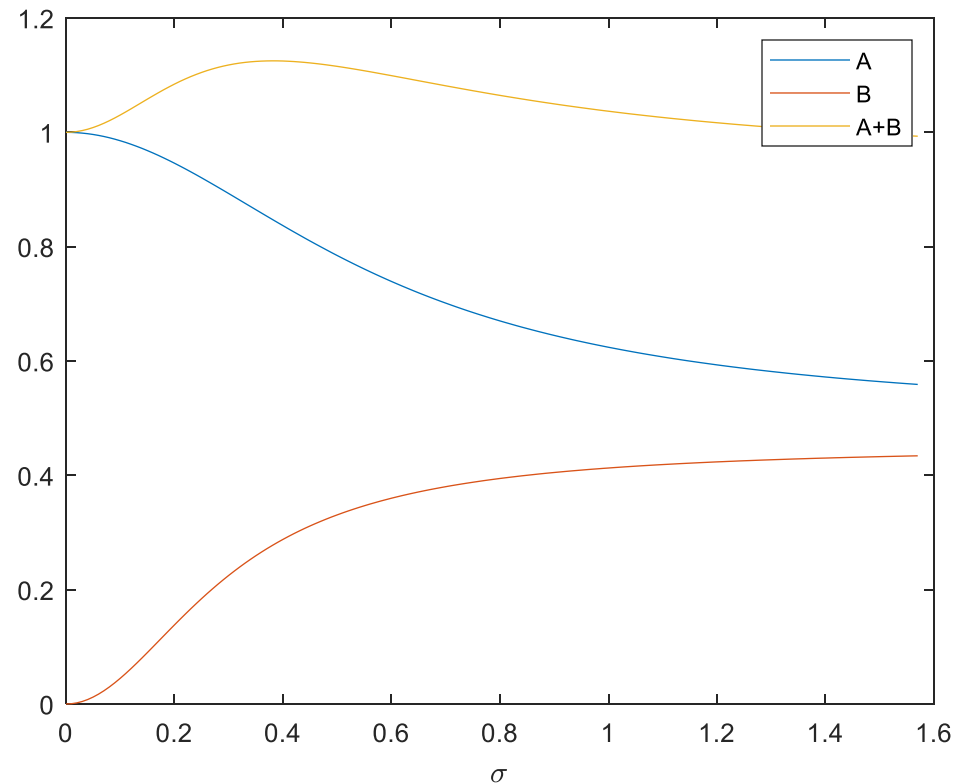
Parameter  $A$  controls how much the surface follows the Lambert principle, while parameter  $B$  controls the amount of the “retro-reflection” effect.

$$A = 1 - 0.5 \frac{\sigma^2}{\sigma^2 + 0.33}$$

$$B = 0.45 \frac{\sigma^2}{\sigma^2 + 0.09}$$

$$L = \mathbf{m}_D \cdot \text{clamp}(d \cdot \mathbf{n}_x)$$

$$f_{\text{diffuse}}(x, \vec{l}x, \omega_r) = L (A + B G \sin \alpha \tan \beta)$$



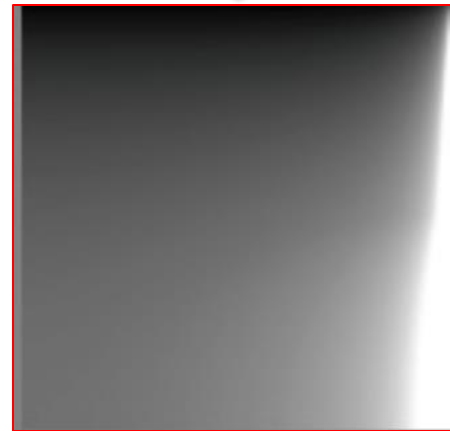
# The Oren-Nayar diffuse model

The formula is quite complex: in most of the cases, the material specify directly parameters  $A$  instead of  $\sigma$ , and  $B \sin \alpha \tan \beta$  is pre-computed and interpolated from a texture (a table), addressed below as  $f()$ , function of  $d \cdot \mathbf{n}_x$  and  $\omega_r \cdot \mathbf{n}_x$ .

$$\begin{aligned} \mathbf{v}_i &= \text{normalize}(\vec{l}x - (\vec{l}x \cdot \mathbf{n}_x)\mathbf{n}_x) \\ \mathbf{v}_r &= \text{normalize}(\omega_r - (\omega_r \cdot \mathbf{n}_x)\mathbf{n}_x) \\ G &= \max(0, \mathbf{v}_i \cdot \mathbf{v}_r) \\ L &= \mathbf{m}_D \cdot \text{clamp}(\vec{l}x \cdot \mathbf{n}_x) \\ f_{diffuse}(x, \vec{l}x, \omega_r) &= L (A + Gf(\vec{l}x \cdot \mathbf{n}_x, \omega_r \cdot \mathbf{n}_x)) \end{aligned}$$

Please also not that, since usually Oren-Nayar materials do not have specular components, the complete BRDF simplifies to the previous equations.

$$\begin{aligned} \theta_i &= \cos^{-1}(p) \\ \theta_r &= \cos^{-1}(q) \\ \alpha &= \max(\theta_i, \theta_r) \\ \beta &= \min(\theta_i, \theta_r) \\ B &= 0.45 \frac{\sigma^2}{\sigma^2 + 0.09} \\ f(p, q) &= B \sin \alpha \tan \beta \end{aligned}$$



# The Ward anisotropic specular model

Some objects are characterized by grooves on their surface, for example: hairs, CDs, brushed metals.

In this case, specular highlights are oriented along the grooves.



# The Ward anisotropic specular model

This type of surfaces are called *anisotropic* materials.

The Ward specular model is important for two reasons:

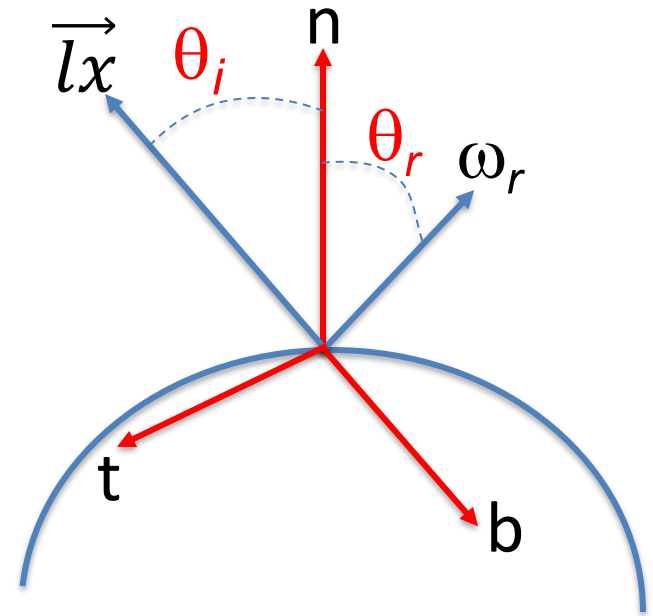
- It is derived from physically inspired principles
- It supports anisotropic reflections

# The Ward anisotropic specular model

To support anisotropy, an orientation of the grooves on the surface must be specified: this is done assigning two extra vectors, beside the normal.

Such vectors are called the *tangent* and the *bi-tangent*, and are addressed with letters **b** and **t**.

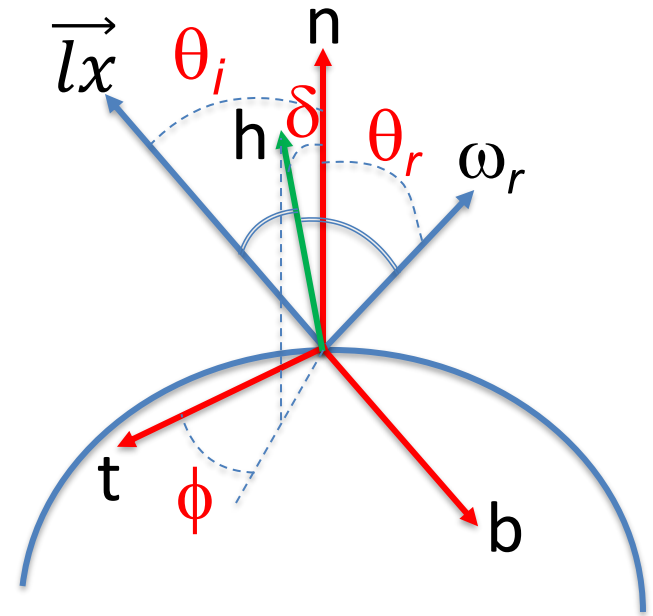
We will return on how to encode or derive such vectors in a future lesson.



# The Ward anisotropic specular model

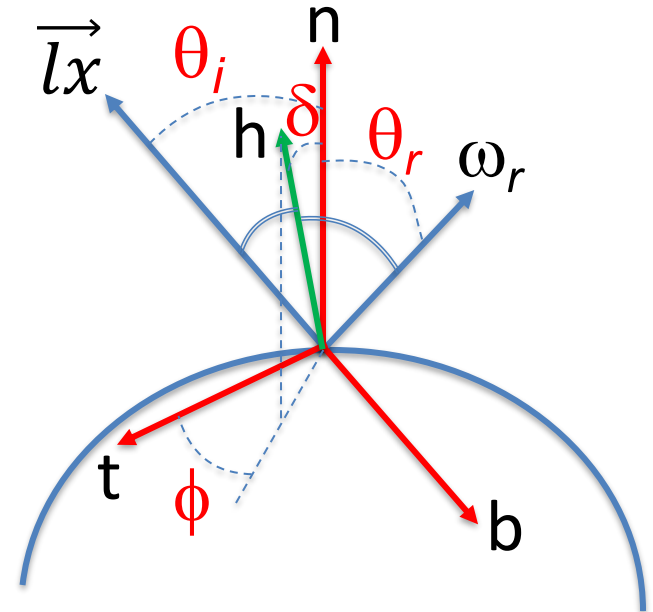
Similar to the Blinn specular model, the Ward technique depends on the *half-vector*  $\mathbf{h}$  between the light and the viewer directions.

In particular, it depends on the angle of such vector with the normal (here denoted with  $\delta$ ), and on the angle between its projection on the  $\mathbf{bt}$ -plane, with the groove direction (here denoted with  $\phi$ ).



# The Ward anisotropic specular model

The formula for the specular component of the Ward model depends on two roughness parameters for the **t** and **b** directions, here denoted with  $\alpha_t$  and  $\alpha_b$ .



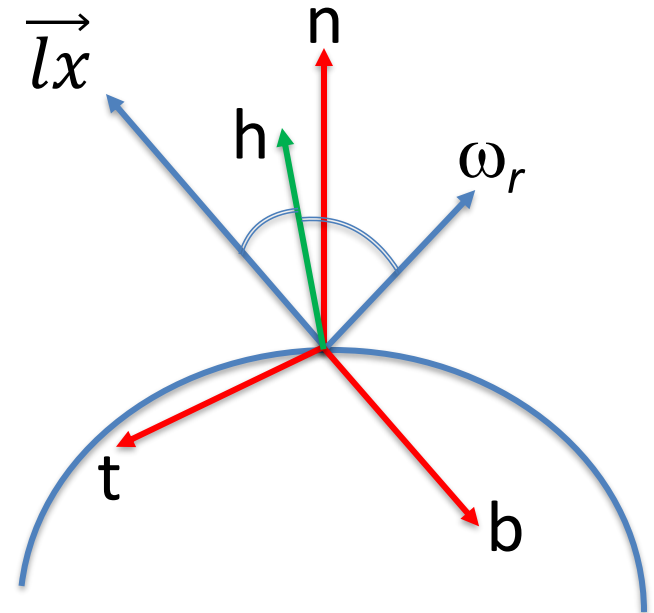
$$f_{\text{specular}}(x, \vec{l_x}, \omega_r) = \mathbf{m}_S \frac{e^{-\tan^2 \delta \cdot \left( \frac{\cos^2 \phi}{\alpha_t^2} + \frac{\sin^2 \phi}{\alpha_b^2} \right)}}{4\pi \alpha_t \alpha_b \cdot \sqrt{\cos \theta_i \cos \theta_r}} \cos \theta_i$$



# The Ward anisotropic specular model

Using the trigonometric definitions and the properties of the dot product, the formula can be expressed in a more computational efficient way.

$$f_{\text{specular}}(x, \vec{l}_x, \omega_r) = m_s \frac{e^{-\frac{\left(\frac{\mathbf{h} \cdot \mathbf{t}_x}{\alpha_t}\right)^2 + \left(\frac{\mathbf{h} \cdot \mathbf{b}_x}{\alpha_b}\right)^2}{(\mathbf{h} \cdot \mathbf{n}_x)^2}}}{4\pi\alpha_t\alpha_b \cdot \sqrt{\frac{\omega_r \cdot \mathbf{n}_x}{\vec{l}_x \cdot \mathbf{n}_x}}}$$



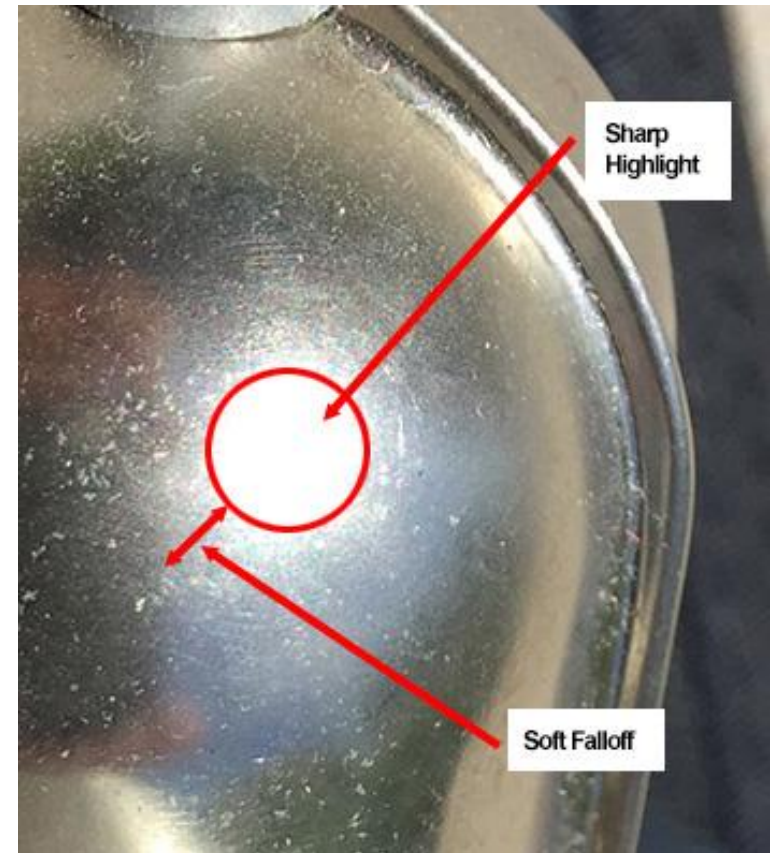
To simplify the presentation, clamping of angular values and checks to avoid denominator going to zero have been omitted. Also, many books use the convention shown below (same equation, easier to insert in an engine, but less performant).

$$m_s \frac{e^{-\frac{\left(\frac{\mathbf{h} \cdot \mathbf{t}_x}{\alpha_t}\right)^2 + \left(\frac{\mathbf{h} \cdot \mathbf{b}_x}{\alpha_b}\right)^2}{(\mathbf{h} \cdot \mathbf{n}_x)^2}}}{4\pi\alpha_t\alpha_b \cdot \sqrt{(\omega_r \cdot \mathbf{n}_x)(\vec{l}_x \cdot \mathbf{n}_x)}} (\vec{l}_x \cdot \mathbf{n}_x)$$

# The Cook-Torrance reflection model

Specular highlights on real objects, tend to exhibit a soft falloff area.

The Blinn and Phong specular models produce instead a very sharp falloff area.



# The Cook-Torrance reflection model

Moreover, due to the *Fresnel* principle, objects tend to have a larger specular reflection when the light is almost parallel to the surface.

These and other effects motivated the introduction of more complex specular illumination models that can better capture these physical features.



# The Cook-Torrance reflection model

The *Cook-Torrance* BRDF model aims at computing both the specular and diffuse components in a physically accurate way.

The diffuse component follows the Lambert diffusion model. However, to achieve a physically accurate behavior, it is balanced with the specular part via linear interpolation, according to a coefficient  $k$ :

$$f_r(x, \vec{l}, \omega_r) = k f_{diffuse}(x, \vec{l}, \omega_r) + (1 - k) f_{specular}(x, \vec{l}, \omega_r)$$

$$f_{diffuse}(x, \vec{l}, \omega_r) = m_D \cdot \text{clamp}(\vec{l} \cdot \mathbf{n}_x)$$

# The Cook-Torrance reflection model

The specular term is computed as the product of three terms:

- $F$  – the Fresnel term
- $G$  – the Geometric term
- $D$  – the Distribution term

$$f_{\text{specular}}(x, \vec{l}, \omega_r) = m_s \frac{D \cdot F \cdot G}{4 \cdot \text{clamp}(\omega_r \cdot \mathbf{n}_x)}$$

$$f_r(x, \vec{l}, \omega_r) = \text{clamp}(\vec{l} \cdot \mathbf{n}_x) \cdot (k f_{\text{diffuse}}(x, \vec{l}, \omega_r) + (1 - k) f_{\text{specular}}(x, \vec{l}, \omega_r))$$

$$f_{\text{diffuse}}(x, \vec{l}, \omega_r) = m_D$$

$$f_{\text{specular}}(x, \vec{l}, \omega_r) = m_s \frac{D \cdot F \cdot G}{4 \cdot \text{clamp}(\vec{l} \cdot \mathbf{n}_x) \cdot \text{clamp}(\omega_r \cdot \mathbf{n}_x)}$$

Note: many books and web-sites use the formula on the left. In those cases, however, the specular contribution is multiplied by  $\text{clamp}(\vec{l} \cdot \mathbf{n}_x)$  since it is added to the diffuse contribution which in such context is assumed to be constant. The formulation here is taken from the article: “Model of light reflection for computer synthesized pictures” from J.F. Blinn, 1977.

# The Cook-Torrance reflection model

Similarly to the other specular models, it is characterized by a specular color  $m_s$ .

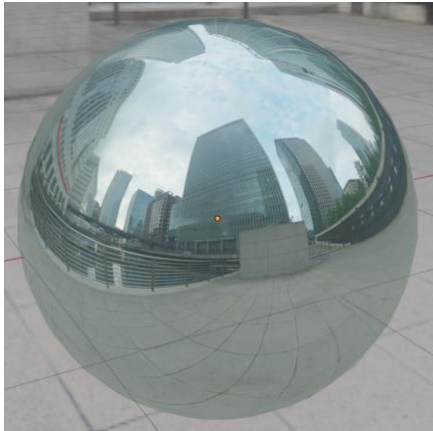
The  $\text{clamp}(\omega_r \cdot \mathbf{n}_x)$  is a geometric term that normalizes the values computed by components  $D$ ,  $F$  and  $G$ .

$$f_{\text{specular}}(x, \vec{l}_x, \omega_r) = m_s \frac{D \cdot F \cdot G}{4 \cdot \text{clamp}(\omega_r \cdot \mathbf{n}_x)}$$

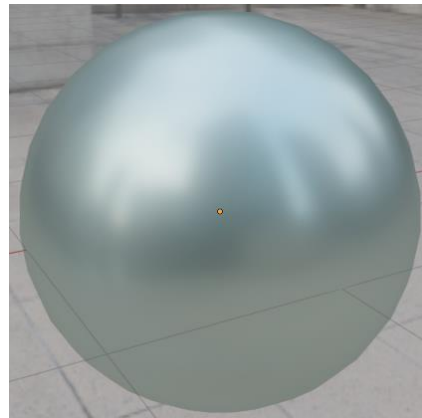
# The Cook-Torrance reflection model

The model also defines a constant  $\rho$ , usually called *roughness*, that defines how smooth the surface is:

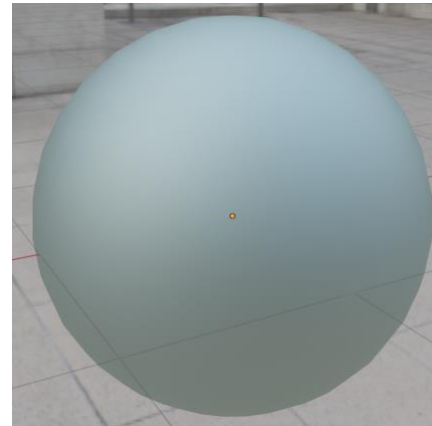
- $\rho = 0$ , denotes a perfectly smooth object
- $\rho = 1$ , is instead a very rough surface



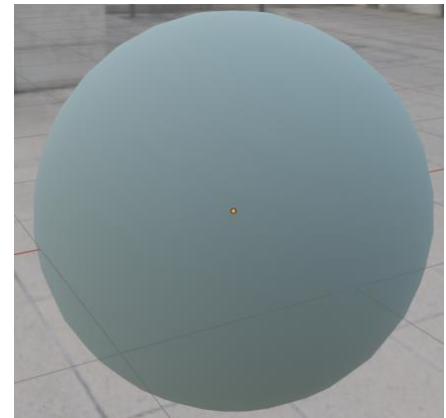
$\rho = 0$



$\rho = 0.33$



$\rho = 0.67$



$\rho = 1$

# The Cook-Torrance reflection model

For each of the three terms  $D$ ,  $F$  and  $G$ , several definitions exists, each one characterized by its features and complexities.

In this course we will present only the main ones.

Many formulations will depend on the half-vector that we defined for the Blinn specular model:

$$\mathbf{h}_{l,x} = \frac{\vec{l}\mathbf{x} + \omega_r}{|\vec{l}\mathbf{x} + \omega_r|} = \text{normalize}(\vec{l}\mathbf{x} + \omega_r)$$



# The Cook-Torrance reflection model

The distribution term  $D$  accounts for the roughness of the surface. The *Blinn* version adapts the corresponding specular model to the *Cook-Torrance* framework. In particular, it replaces the specular power  $\gamma$ , with the roughness parameter  $\rho$ , and adds a normalization term.

$$D = \frac{(h_{l,x} \cdot n_x)^{\frac{2}{\rho^2}-2}}{\pi \cdot \rho^2}$$

# The Cook-Torrance reflection model

The *Beckmann* version depends from uses parameter  $\rho$  to define the average slope of the surface at a microscopic level.

$$\alpha = \cos^{-1}(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)$$

$$D = \frac{e^{-\left(\frac{\tan \alpha}{\rho}\right)^2}}{\pi \cdot \rho^2 \cos^4 \alpha}$$

Using trigonometric relations and the properties of the dot product, the formula can be simplified as shown below.

$$D = \frac{\frac{(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)^2 - 1}{e^{(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)^2 \rho^2}}}{\pi \cdot \rho^2 (\mathbf{h}_{l,x} \cdot \mathbf{n}_x)^4}$$

# The Cook-Torrance reflection model

The *GGX* version for the distribution *D* uses instead the following definition. In some works, it has been proven to provide the most realistic results while keeping the complexity similar to the Blinn version.

$$D = \frac{\rho^2}{\pi(\text{clamp}(\mathbf{n}_x \cdot \mathbf{h}_{l,x})^2(\rho^2 - 1) + 1)^2}$$

# The Cook-Torrance reflection model

The Fresnel term  $F$ , depends on a parameter  $F_0 \in [0,1]$ . It defines how the light response changes with the angle of incidence with respect to the viewer, and it can be approximated with the following definition:

$$F = F_0 + (1 - F_0) \left( 1 - \text{clamp}(\omega_r \cdot \mathbf{h}_{l,x}) \right)^5$$

This version does not work well for conductor materials, which requires an extended version for a proper reproduction. That formula is however too complex, and it is outside the scope of this course.

# The Cook-Torrance reflection model

The *microfacet* version of the geometric term  $G$ , is not characterized by any parameters, and depends only on the angles.

$$G = \min \left( 1, \frac{2(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)(\omega_r \cdot \mathbf{n}_x)}{(\omega_r \cdot \mathbf{h}_{l,x})}, \frac{2(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)(\vec{l}x \cdot \mathbf{n}_x)}{(\omega_r \cdot \mathbf{h}_{l,x})} \right)$$

# The Cook-Torrance reflection model

The *GGX* version for the geometric term  $G$  depends on the roughness of the surface  $\rho$ , uses an helper function that is called first with the light, and then with the viewer direction.

$$g_{\text{GGX}}(\mathbf{n}, \mathbf{a}) = \frac{2}{1 + \sqrt{1 + \rho^2 \frac{1 - (\mathbf{n} \cdot \mathbf{a})^2}{(\mathbf{n} \cdot \mathbf{a})^2}}}$$

$$G = g_{\text{GGX}}(\mathbf{n}_x, \omega_r) \cdot g_{\text{GGX}}(\mathbf{n}_x, \overrightarrow{l_x})$$

# The Cook-Torrance reflection model

To summarize, the model depends on two colors  $\mathbf{m}_D$  and  $\mathbf{m}_S$  and three parameters,  $F_0$ ,  $m$  and  $k$ . Using the *Beckmann* and *microfacet* models, we have:

$$\begin{aligned}\mathbf{h}_{l,x} &= \text{normalize}(\overrightarrow{l_x} + \omega_r) \\ G &= \min\left(1, \frac{2(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)(\omega_r \cdot \mathbf{n}_x)}{(\omega_r \cdot \mathbf{h}_{l,x})}, \frac{2(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)(\overrightarrow{l_x} \cdot \mathbf{n}_x)}{(\omega_r \cdot \mathbf{h}_{l,x})}\right) \\ D &= \frac{e^{-\frac{1-(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)^2}{(\mathbf{h}_{l,x} \cdot \mathbf{n}_x)^2 \rho^2}}}{\pi \cdot \rho^2 (\mathbf{h}_{l,x} \cdot \mathbf{n}_x)^4} \\ F &= F_0 + (1 - F_0) \left(1 - \text{clamp}(\omega_r \cdot \mathbf{h}_{l,x})\right)^5 \\ f_{\text{specular}}(x, \overrightarrow{l_x}, \omega_r) &= \mathbf{m}_S \frac{D \cdot F \cdot G}{4 \cdot \text{clamp}(\omega_r \cdot \mathbf{n}_x)} \\ f_{\text{diffuse}}(x, \overrightarrow{l_x}, \omega_r) &= \mathbf{m}_D \cdot \text{clamp}(\overrightarrow{l_x} \cdot \mathbf{n}_x) \\ f_r(x, \overrightarrow{l_x}, \omega_r) &= k f_{\text{diffuse}}(x, \overrightarrow{l_x}, \omega_r) + (1 - k) f_{\text{specular}}(x, \overrightarrow{l_x}, \omega_r)\end{aligned}$$

The expression of the GGX version is left for exercise.

# The Cook-Torrance reflection model

Although its complexity, the model can provide realistic reflections that take into account many physical behaviors.

In general, the Cook-Torrance reflection model is used as a basic building block for many advanced rendering techniques.

Such techniques produce special textures that are used as look-up tables to be able to render this reflection model in real-time.





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(Remember to use the phone, since mails might require a lot of time to be answered. Microsoft Teams messages might also be faster than regular mails)