# ${\rm SF}1678$ Groups and Rings - Course Summary

# Leo Trolin

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# About

This summary contains the contents of the course Groups and Rings which I believe to be important for the exam and would be suitable on a cheat sheet. Some content, mainly technical lemmas, have been omitted.

# Groups

# Groups & subgroups

#### Definition:

Let  $G \neq \emptyset$  be a set with a map  $\circ : G \times G \to G$ . We define the following properties (with the implicit operation  $\circ$ ):

- Associative:  $\forall a, b, c \in G : (ab)c = a(bc)$
- Unit element/Identity:  $\exists e \in G : ea = a = ae$
- Inverse elements:  $\forall a \in G \ \exists b \in G : ab = e = ba$
- Commutative:  $\forall a, b \in G : ab = ba$

Then,  $(G, \circ)$  is called a

- Semigroup if associativity holds.
- Monoid if associativity and existence of a unit hold.
- Group if associativity, existence of a unit, and existence of inverses hold.
- Abelian group if associativity, existence of a unit, existence of inverses, and commutativity hold.

# Proposition:

Let G be a group.

- (a) The unit element is unique.
- (b) The inverse of any  $a \in G$  is unique.

# Proposition:

Let  $G \neq \emptyset$  be a set with a map  $\circ: G \times G \to G$  such that

- $\bullet$  o is associative
- There exists a left unit:  $\exists e \in G \ \forall a \in G : ea = a$ .
- All elements have a left inverse:  $\forall a \in G \ \exists b \in G : ba = e$

Then  $(G, \circ)$  is a group.

# Definition: (Submonoid)

Let G be a monoid and  $H \subseteq G$  a subset. H is a submonoid of G if

- (i)  $e \in H$
- (ii)  $\forall a, b \in H : ab \in H$

# Definition: (Subgroup)

Let G be a group and  $H \subseteq G$  a subset. H is a subgroup of G, written  $H \subseteq G$ , if

- (i)  $e \in H$
- (ii)  $\forall a, b \in H : ab \in H$
- (iii)  $\forall a \in H : a^{-1} \in H$

# Group homomorphisms

Definition: (Monoid homomorphism)

Let  $G_1, G_2$  be monoids and  $\varphi: G_1 \to G_2$  a map.  $\varphi$  is a monoid homomorphism if

- (i)  $\forall a, b \in G_1 : \varphi(ab) = \varphi(a)\varphi(b)$
- (ii)  $\varphi(e_{G_1}) = e_{G_2}$

Definition: (Group homomorphism)

Let  $G_1, G_2$  be groups and  $\varphi: G_1 \to G_2$  a map.  $\varphi$  is a group homomorphism if (i)  $\forall a, b \in G_2: \varphi(ab) = \varphi(a)\varphi(b)$ 

# Proposition:

Let  $\varphi: G_1 \to G_2$  be a group homomorphism. Then:

- (a)  $\varphi(e_{G_1}) = e_{G_2}$
- (b)  $\forall a \in G_1 : \varphi(a)^{-1} = \varphi(a^{-1})$

Definition: (Types of group homomorphisms)

- (a) For two groups  $G_1, G_2$ : Hom $(G_1, G_2) := \{ \varphi : G_1 \to G_2 \mid \varphi \text{ is a group homomorphism} \}.$
- (b) An injective homomorphism is a monomorphism. A surjective homomorphism is an epimorphism. A bijective homomorphism is an isomorphism.
- (c) For a group  $G: \operatorname{End}(G) := \operatorname{Hom}(G, G)$  and its elements are endomorphisms of G. Also,  $\operatorname{Aut}(G) := \{\varphi \in \operatorname{End}(G) \mid \varphi \text{ is bijective}\}\$ and its elements are automorphisms of G.

#### Proposition:

Compositions of group homomorphisms are group homomorphisms.

# Proposition:

If G is a group, then  $(Aut(G), \circ)$  is a group.

# Proposition:

Let  $G_1$  and  $G_2$  be groups and  $\varphi \in \text{Hom}(G_1, G_2)$ .

- (a)  $\ker \varphi \leq G_1$
- (b)  $\operatorname{im} \varphi \leq G_2$
- (c)  $\varphi$  injective  $\iff$   $\ker \varphi = \{e\}$
- (d)  $H_1 \leq G_1 \implies \varphi(H_1) \leq G_2$
- (e)  $H_2 \leq G_2 \implies \varphi^{-1}(H_2) \leq G_1$

# Definition: (Conjugation)

Let G be a group and  $a \in G$  an element. We define conjugation by a as  $\gamma_a : G \to G, g \mapsto aga^{-1}$ ; also called an inner automorphism of G.

 $\operatorname{Inn}(G) := \{ \gamma_a \mid a \in G \} \le \operatorname{Aut}(G).$ 

#### → Comment:

It holds that  $\gamma_a \in \operatorname{Aut}(G)$ , and  $G \to \operatorname{Aut}(G)$ ,  $a \mapsto \gamma_a$  is a group homomorphism.

# Cosets

Definition: (Coset)

Let G be a group and  $H \leq G$  a subgroup. For  $a \in G$ , a left coset is  $aH := \{ah \mid h \in H\}$ . The set of all left cosets of H in G are denoted  $G/H := \{aH \mid a \in G\}$ .

Analogously, right cosets are Ha while the set of all right cosets are  $H \setminus G$ .

#### Lemma:

Let  $a, b \in G$ . The following are equivalent:

- (a) aH = bH
- (b)  $aH \cap bH \neq \emptyset$
- (c)  $a \in bH$
- (d)  $b^{-1}a \in H$

# Corollary:

Let  $H \leq G$ . Then G is the disjoint union of all left cosets of H in G:

$$G = \bigcup_{C \in G/H}^{\cdot} C$$

Definition: (Index)

Let  $H \leq G$ . The index of H in G is  $[G:H] = |G/H| = |H \backslash G|$ .

Theorem: (Theorem of Lagrange)

Let  $H \leq G$  where G is a finite group. Then

$$\operatorname{ord}(G) = [G : H] \operatorname{ord}(H).$$

# Normal subgroups

<u>Definition/Lemma:</u> (Normal subgroup)

Let  $H \leq G$ . H is a normal subgroup of G, denoted  $H \subseteq G$ , if one of the following equivalent statements are true:

- (i)  $\forall \gamma \in \text{Inn}(G), \, \gamma(H) = H \text{ (meaning } \forall a \in G, \, aHa^{-1} = H)$
- (ii)  $\forall \gamma \in \text{Inn}(G), \ \gamma(H) \subseteq H$
- (iii)  $\forall \gamma \in \text{Inn}(G), \ \gamma(H) \supseteq H$
- (iv)  $\forall a \in G, aH = Ha$

Example: (Examples of normal subgroups)

- Let  $\varphi: G_1 \to G_2$  be a group homomorphism. Then  $\ker(\varphi) \leq G_1$  is a normal subgroup.
- $\{e\} \subseteq G$  and  $G \subseteq G$ .
- $\bullet$  If G is an abelian group, then all its subgroups are normal subgroups.

# Theorem/Definition:

Let G be a group and  $N \subseteq G$  be a normal subgroup.

- (a)  $\forall a, b \in G, (aN)(bN) = abN$
- (b) G/N is a group called the quotient group or factor group of G modulo N.
  - Its elements are on the form aN with multiplication as in (a).
  - Its unit is eN = N.
  - Inverses are  $(aN)^{-1} = a^{-1}N$ .
- (c) The canonical projection  $\pi: G \to G/N, a \mapsto aN$  is a surjective group homomorphism with  $\ker(\pi) = N$ .

# Proposition: (Universal property of $\pi$ )

Let  $\varphi: G_1 \to G_2$  be a group homomorphism, and let  $N \subseteq G_1$  be a normal subgroup such that  $N \subseteq \ker(\varphi)$ . Then there is a unique group homomorphism  $\bar{\varphi}: G_1/N \to G_2$  such that  $\varphi = \bar{\varphi} \circ \pi$ .

$$G_1 \xrightarrow{\varphi} G_2$$

$$\pi \searrow \nearrow \bar{\varphi}$$

$$G_1/N$$

Moreover,

- (a)  $\operatorname{im}(\bar{\varphi}) = \operatorname{im}(\varphi)$
- (b)  $\ker(\bar{\varphi}) = \pi(\ker(\varphi))$
- (c)  $\ker(\varphi) = \pi^{-1}(\ker(\bar{\varphi}))$
- (d)  $\bar{\varphi}$  injective  $\iff N = \ker(\varphi)$

# Corollary:

Let  $\varphi: G_1 \to G_2$  be a surjective group homomorphism. Then  $G_2$  is canonically isomorphic to  $G_1/\ker(\varphi)$ .

Skipped: First and second isomorphism theorems

# Cyclic groups

Definition: (Generated subgroup, cyclic group)

Let G be a group and  $M \subseteq G$  be a subset.

(a) Then

$$\langle M \rangle := \{e\} \cup \left\{ a_1^{\varepsilon_1} \dots a_n^{\varepsilon_n} \mid n \in \mathbb{N}, a_i \in M, \varepsilon_i = \pm 1 \right\} = \bigcap_{\substack{H \leq G, \\ M \subseteq H}} H$$

is the subgroup generated by M. It is the smallest subgroup of G containing M.

- (b) If  $M = \{a\}$ , we write  $\langle a \rangle$  and call it the cyclic group generated by a. Then  $\langle a \rangle = \{e, a, a^2, \dots\}$ .
- → Comment:

Cyclic groups are abelian.

#### Theorem:

Let G be a cyclic group.

- (a) If  $|G| = \infty$ , then  $G \cong \mathbb{Z}$ .
- (b) If  $|G| = m < \infty$ , then  $G \cong \mathbb{Z}/m\mathbb{Z}$ .

# Proposition:

Let  $H \leq \mathbb{Z}$ . Then  $H = m\mathbb{Z}$  for some  $m \in \mathbb{Z}$ .

# Proposition:

Let G be a cyclic group and let  $H \leq G$  be a subgroup. Then H is cyclic.

Definition: (Order)

Let G be a group and  $a \in G$  be an element. The order of a is  $\operatorname{ord}(a) := \operatorname{ord}(\langle a \rangle)$ .

### → Comment:

 $\overline{\text{If ord}(a)} < \infty$ , then the order of a is the smallest positive integer m such that  $a^m = e$ .

Theorem: (Fermat's little theorem)

Let G be a finite group and  $a \in G$  be an element. Then

$$\operatorname{ord}(a) \mid \operatorname{ord}(G) \quad \text{and} \quad a^{\operatorname{ord}(G)} = e.$$

In a number theoretic setting, if p is prime and  $a \in \mathbb{Z}$ , then  $a^p \equiv a \mod p$ .

# Corollary:

Let G be a group of prime order p. Then,

- (a)  $G \cong \mathbb{Z}/p\mathbb{Z}$
- (b)  $\forall a \in G \text{ except } e, \operatorname{ord}(a) = p \text{ and } G = \langle a \rangle.$

# Group actions

Definition: (Group action)

Let G be a group and X a set. An action of G on X is a map  $G \times X \to X$ ,  $(g,x) \mapsto g \cdot x$  such that

- (i)  $e \cdot x = x, \ \forall x \in X$
- (ii)  $g \cdot (h \cdot x) = (gh) \cdot x, \ \forall x \in X, \forall g, h \in G$

Definition: (Stabilizer)

Consider a group action of G on X and let  $x \in X$ . Then the stabilizer of x in G is  $G_x := \{g \in G \mid g \cdot x = x\}$ .

→ Comment:

 $G_x \leq \overline{G}$ .

Definition: (G-orbit)

Consider a group action  $G \times X \to X$  and let  $x \in X$ . Then the G-orbit of x is  $G \cdot x := \{g \cdot x \mid g \in G\} \subseteq X$ .

The set of G-orbits in X is written  $G \setminus X := \{G \cdot x \mid x \in X\}.$ 

<u>Definition</u>: (Transitive)

A group action  $G \times X \to X$  is transitive if  $|G \setminus X| = 1$ .

#### Proposition:

Consider a group action  $G \times X \to X$ .

- (a) G-orbits constitute equivalence classes on X by  $x \sim y \iff y \in G \cdot x$  for  $x, y \in X$ .
- (b) Let  $x, y \in G$ . Then,  $G \cdot x = G \cdot y \iff G \cdot x \cap G \cdot y \neq \emptyset$ .
- (c) X is the disjoint union of its G-orbits.

Corollary: (Orbit equation)

Let  $G \times X \to X$  be a group action on a finite set X. Then,

$$|X| = \sum_{B \in G \setminus X} |B|.$$

Theorem: (Orbit-Stabilizer theorem)

Let  $G \times X \to X$  be a group action and  $x \in X$  be an element.

- (a) The map  $G \to X, g \mapsto g \cdot x$  induces a bijection of cosets  $G/G_x \xrightarrow{\sim} G \cdot x$ .
- (b)  $|G \cdot x| = [G : G_x]$

Proposition: (Burnside's lemma)

Let  $G \times X \to G$  be a group action of a finite group G. For  $g \in G$ , define the set of fixed points  $\text{Fix}(g) := \{x \in X : g \cdot x = x\}$ . Then,

$$|G \setminus X| = \frac{1}{\operatorname{ord}(G)} \sum_{g \in G} |\operatorname{Fix}(g)|.$$

Definition: (Centralizer, center)

Let G be a group and  $S \subseteq G$  a subset.

- (a) The centralizer of S is  $Z_S(G) := \{g \in G \mid \forall s \in S : gs = sg\}.$
- (b) The center of G is  $Z(G) := Z_G(G)$ .

Proposition:

Let G be a group and  $S \subseteq G$  a subset.

- (a)  $Z_S(G) \leq G$
- (b) Z(G) is the kernel of  $G \to \operatorname{Aut}(G), g \mapsto (\gamma_g : G \to G, a \mapsto gag^{-1})$
- (c)  $Z(G) \subseteq G$  and  $G/Z(G) \cong Inn(G)$ .
- (d) G/Z(G) is cyclic  $\iff$  G is abelian.

Definition: (System of representatives)

Let  $G \times X \to X$  be a group action.

- (a) For  $B \in G \setminus X$ ,  $x \in B$  is called a representative of B.
- (b) For a family  $(B_i)_{i \in I}$  of disjoint G-orbits, a system of representatives is a family  $(x_i)_{i \in I}$  of elements of X such that  $x_i \in B_i \ \forall i \in I$ .

Theorem: (Class equation)

Let G be a finite group and consider the conjugation action  $G \times G \to G$ ,  $(g, x) \mapsto gxg^{-1}$ . Let  $x_1, \ldots, x_k$  be a system of representatives of the orbits contained in G - Z(G). Then,

$$\operatorname{ord}(G) = \operatorname{ord}(Z(G)) + \sum_{i=1}^{k} [G : Z_{\{x_i\}}(G)]$$
$$= \operatorname{ord}(Z(G)) + \sum_{i=1}^{k} |G \cdot x_i|.$$

→ Comment:

Orbits not contained in G - Z(G) are singletons  $\{z\}$  for some  $z \in Z(G)$ .

# Corollary:

Let G be a group of order  $p^2$  for a prime p. Then G is abelian.

# Sylow groups

Definition: (Conjugate)

Let G be a group.

- (a)  $h_1 \in G$  is conjugate to  $h_2 \in G$  if there exists  $g \in G$  such that  $h_2 = gh_1g^{-1}$ .
- (b)  $H_1 \leq G$  is conjugate to  $H_2 \leq G$  if there exists  $g \in G$  such that  $H_2 = gH_1g^{-1}$ .

Defintion: (p-group, p-Sylow subgroup)

Let G be a finite group and p be a prime.

- (a) G is a p-group if  $\operatorname{ord}(G) = p^k$  for some  $k \in \mathbb{N}$ .
- (b)  $H \leq G$  is a p-Sylow subgroup if H is a p-group and  $p \nmid [G:H]$ . (If  $\operatorname{ord}(H) = p^k$ , then no greater power of p is in the prime factorization of  $\operatorname{ord}(G)$ )

Theorem: (Sylow theorems)

Let G be a finite group and p be a prime.

- (a) G has at least one p-Sylow subgroup. More precisely: For any p-subgroup  $H \leq G$ , there is a p-Sylow subgroup  $S \leq G$  such that  $H \leq S$ .
- (b) Let  $S \leq G$  be a p-Sylow subgroup and  $H \leq G$  be a subgroup. Then, H is a p-Sylow subgroup if and only if H is conjugate to S.
- (c) Let  $s_p(G)$  be the number of p-Sylow subgroups in G. Then,  $s_p(G) \mid \operatorname{ord}(G)$  and  $s_p(G) \equiv 1 \mod p$ .

Lemma: ("Key lemma")

Let G be a group and  $H, K \subseteq G$  be normal subgroups such that  $H \cap K = \{e\}$ . Then,

- (a)  $\forall h \in H, \forall k \in K : hk = kh$
- (b)  $\varphi: H \times K \to G, (h, k) \mapsto hk$  is an injective group homomorphism.

# Corollary:

Let G be a finite group and p be a prime.

- (a)  $p \mid \operatorname{ord}(G) \implies \exists g \in G : \operatorname{ord}(g) = p$
- (b) G is a p-group  $\iff \forall g \in G \; \exists t \in \mathbb{N} : g^{p^t} = e$
- (c) Let  $H \leq G$ . H is a p-Sylow group  $\iff H$  is a maximal p-group in G.
- (d) Let  $S \leq G$  be a p-Sylow subgroup. Then  $S \subseteq G \iff s_p(G) = 1$ .

# $\underline{\text{Corollary}} :$

Let G be a finite abelian group and p be a prime. Then, G has exactly one p-Sylow subgroup, namely  $S_p := \{g \in G \mid \exists t \in \mathbb{N} : g^{p^t} = e\}.$ 

# Proposition:

Let G be a finite abelian group. Then, G is the direct product of its p-Sylow subgroups.

In other words, if we prime factorize  $\operatorname{ord}(G) = \prod_{i=1}^k p_i^{n_i}$ , then  $G \cong \prod_{i=1}^k S_{p_i}$  with  $S_{p_i}$  defined above.

Theorem: (Fundamental theorem of finite abelian groups)

Every finite abelian group is the direct product of cyclic groups of prime-power order.

Theorem: (Fundamental theorem of finitely generated abelian groups)

Let G be an abelian group generated by  $M \subseteq G$  with  $|M| < \infty$ . Then,  $G \cong \mathbb{Z}^d \times G'$  where G' is a finite abelian group.

# Permutation groups

Here, we are working with the permutation group  $S_n$  (see next subsection).

Definition: (r-cycle, etc.)

- (a) Let  $\pi \in S_n$  and  $r \geq 2$ .  $\pi$  is an r-cycle if  $\pi = (x_1, \dots, x_r)$  for distinct  $x_1, \dots, x_r$ , that is:
  - $\pi(x_i) = x_{i+1}$  for  $i = 1, \dots, r-1$
  - $\bullet \ \pi(x_r) = x_1$
  - $\pi(x) = x$  if  $x \neq x_1, \dots, x_r$ .
- (b) Two cycles  $(x_1, \ldots, x_r)$  and  $(y_1, \ldots, y_s)$  are disjoint if  $\{x_1, \ldots, x_r\} \cap \{y_1, \ldots, y_s\} = \emptyset$ .
- (c) A 2-cycle is a transposition.

# Proposition:

Let n > 2.

- (a) If  $\pi_1, \pi_2 \in S_n$  are disjoint cycles, then  $\pi_1 \circ \pi_2 = \pi_2 \circ \pi_1$ .
- (b) Every  $\pi \in S_n$  is a product of disjoint cycles, unique up to ordering.
- (c) Every  $\pi \in S_n$  is a product of transpositions.

Proposition/definition: (sgn)

Let  $\pi \in S_n$  be written as a product of transpositions  $\pi = \tau_1 \dots \tau_l$ . Then, the map  $\operatorname{sgn}: S_n \to \{\pm 1\}, \pi \mapsto \operatorname{sgn}(\pi) := (-1)^l$  is a well-defined group homomorphism.

Definition: (Even/odd)

A permutation  $\pi \in S_n$  is even if  $sgn(\pi) = 1$  and odd if  $sgn(\pi) = -1$ .

Definition: (Alternating group  $A_n$ )

The alternating group on  $\{1, ..., n\}$  is  $A_n := \ker(\operatorname{sgn}) = \{\pi \in S_n \mid \pi \text{ is even}\}.$ 

Proposition:

- (a) If  $n \geq 2$ , then  $A_n \subseteq S_n$  and  $[S_n : A_n] = 2$ .
- (b) If  $n \geq 3$ , then  $A_n = \{\prod_{j=1}^l \sigma_j \mid l \in \mathbb{N}, \sigma_j \in S_n \text{ is a 3-cycle}\}.$

# Some notation for important groups

Example: (Permutation group)

Let  $X \neq \emptyset$  be a set. Then  $S(X) = \{\pi : X \to X \mid \pi \text{ is bijective}\}$  is a permutation group under composition. If  $X = \{1, \ldots, n\}$ , then  $S(X) = S_n$ .

# Example: (Dihedral group)

For  $n \in \mathbb{Z}_{>0}$ , let  $\sigma : \mathbb{R}^2 \to \mathbb{R}^2$  be rotation by  $\frac{2\pi}{n}$  and  $\tau : \mathbb{R}^2 \to \mathbb{R}^2$  be reflection across the x-axis. Then,  $D_n := \{\sigma^k \mid k = 0, \dots, n-1\} \cup \{\tau\sigma^k \mid k = 0, \dots, n-1\}$  is the nth dihedral group under composition.

It holds that  $\tau \sigma \tau = \sigma^{-1}$ .

An alternate definition is  $D_n := \langle \sigma, \tau \mid \operatorname{ord}(\sigma) = n, \operatorname{ord}(\tau) = 2, \tau \sigma \tau = \sigma^{-1} \rangle$ .

# Example: (Matrix groups)

For a field  $\mathbb{K}$ , we define the following groups:

- $GL(n, \mathbb{K}) = \{ M \in \mathbb{K}^{n \times n} \mid \det M \neq 0 \}$  is the general linear group.
- SL(n, K) = {M ∈ K<sup>n×n</sup> | det M = 1} is the special linear group.
  O(n, K) = {M ∈ K<sup>n×n</sup> | M<sup>T</sup> = M<sup>-1</sup>} is the orthogonal group.
  U(n) = {M ∈ C<sup>n×n</sup> | M<sup>†</sup> = M<sup>-1</sup>} is the unitary group.

- $SO(n, \mathbb{K}) = SL(n, \mathbb{K}) \cap O(n, \mathbb{K})$  is the special orthogonal group.
- $SU(n) = SL(n, \mathbb{C}) \cap U(n)$  is the special unitary group.

# Rings

# Rings, subrings & ideals

Definition: (Ring)

A ring  $(R, +, \cdot)$  is a set  $R \neq \emptyset$  with maps  $+: R \times R \to R, \cdot: R \times R \to R$  where

- (R, +) is an abelian group
- $(R, \cdot)$  is a monoid
- $\forall a, b, c \in R : a(b+c) = ab + bc, (b+c)a = ba + ca$  (distributivity)

A ring is commutative if  $(R, \cdot)$  is a commutative monoid.

We denote the additive identity as 0 and the multiplicative identity as 1. We denote the additive inverse of  $a \in R$  as -a.

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# Proposition:

Let  $(R, +, \cdot)$  be a ring. Then, (a)  $0 \cdot a = 0 = a \cdot 0 \ \forall a \in R$ 

(b)  $(-a)b = a(-b) = -(ab) \ \forall a, b \in R$ 

Definition: (Subring, Ring Extension)

Let  $(R, +, \cdot)$  be a ring. Then,  $S \subseteq R$  is a subring if  $(S, +, \cdot)$  is a ring and  $1 \in S$ . (thus, 1 will be the multiplicative identity of S)

The pair  $S \subseteq R$  is called a ring extension.

Definition:  $(R^*)$ 

For a ring R, we define  $R^* := \{a \in R \mid \exists b \in R : ab = 1 = ba\}$ . The elements of  $R^*$  are called units.

Definition: (Division Ring/Skew Field)

A ring R is a division ring/skew field if  $R^* = R \setminus \{0\}$  and  $R \neq \{0\}$ .

Definition: (Field)

A ring R is a field if it is a commutative skew field.

Definition: (Ideal)

Let R be a ring and  $I \subseteq R$  a subset. I is an ideal in R, written  $I \subseteq R$ , if

- (i) I is an additive subgroup
- (ii)  $\forall r \in R, \forall a \in I : ra, ar \in I$ .

# Proposition:

Let R be a ring and  $I \subseteq R$  an ideal.

- (a)  $1 \in I \iff I = R$ .
- (b) If R is a field, then I is a trivial ideal  $\{0\}$  or R.

# Definition: (Principal ideal)

Let R be a commutative ring and  $a \in R$  an element. Then,  $\langle a \rangle := aR \leq R$  is the principal ideal generated by a.

# Definition/Proposition: $(\langle A \rangle)$

Let R be a commutative ring and  $A \subseteq R$  a subset. The ideal generated by A is  $\langle A \rangle := \{ \sum_{i=1}^n a_i r_i \mid n \in \mathbb{N}, a_i \in A, r_i \in R \} \leq R$ . It is the smallest ideal in R containing A.

If  $|A| < \infty$ , we say that  $\langle A \rangle$  is finitely generated.

# Definition/Proposition:

Let R be a ring and  $I, J \subseteq R$  be ideals. Then the following are ideals:

- (a)  $I + J := \{a + b \mid a \in I, b \in J\} \le R$
- (b)  $I \cdot J := \{\sum_{i=1}^{n} a_i \cdot b_i \mid n \in \mathbb{N}, a_i \in I, b_i \in J\} \le R$
- (c)  $I \cap J \leq R$

# Ring homomorphisms

# Definition: (Ring homomorphism)

Let R and S be rings and  $\varphi: R \to S$  be a map.  $\varphi$  is a ring homomorphism if

- (i)  $\forall a, b \in R : \varphi(a+b) = \varphi(a) + \varphi(b)$  (group homomorphism wrt. +)
- (ii)  $\forall a, b \in R : \varphi(ab) = \varphi(a)\varphi(b)$
- (iii)  $\varphi(1_R) = 1_S$  (monoid homomorphism wrt. ·)

If  $\varphi$  is bijective, then  $\varphi$  is called a ring isomorphism.

# Proposition:

Let  $\varphi: R \to S$  be a ring homomorphism.

- (a)  $\ker(\varphi) \leq R$
- (b)  $im(\varphi)$  is a subring of S.
- (c)  $\varphi|_{R^*}: R^* \to S^*$  is a group homomorphism, where the group operation is  $\cdot$ . Note:  $\varphi$  maps units to units!
- (d)  $\ker(\varphi)$  is a subring of  $R \iff \ker(\varphi) = R \iff S = \{0\}$

# Corollary:

Let  $\varphi: \mathbb{K} \to R$  be a ring homomorphism where  $\mathbb{K}$  is a field and  $R \neq \{0\}$ . Then,  $\varphi$  is injective.

#### Theorem/Definition: (Quotient ring)

Let R be a ring and  $I \subseteq R$  be an ideal.

- (a)  $x \sim y \iff x y \in I$  defines an equivalence relation on R with equivalence classes [x] := x + I for  $x \in R$ .
- (b) Denote the set of all equivalence classes as R/I, called a factor/quotient/residue class ring. This is a ring with
  - $(x+I) + (y+I) := (x+y) + I, \forall x, y \in R$
  - $\bullet \ (x+I) \cdot (y+I) := (xy) + I, \forall x, y \in R$
- (c) The canonical projection  $\pi: R \to R/I, x \to x+I$  is a surjective ring homomorphism with  $\ker(\pi) = I$ .

Proposition: (Universal property of  $\pi$ )

Let  $\varphi: R \to S$  be a ring homomorphism. Let  $I \subseteq R$  be an ideal with  $I \subseteq \ker(\varphi)$ . Then, there is a unique ring homomorphism  $\bar{\varphi}: R/I \to S$  such that  $\varphi = \bar{\varphi} \cdot \pi$ .

$$\begin{array}{c}
R \xrightarrow{\varphi} S \\
\pi \searrow \nearrow \bar{\varphi} \\
R/I
\end{array}$$

Moreover,

- (a)  $\operatorname{im}(\bar{\varphi}) = \operatorname{im}(\varphi)$
- (b)  $\ker(\bar{\varphi}) = \pi(\ker(\varphi))$
- (c)  $\ker(\varphi) = \pi^{-1}(\ker(\bar{\varphi}))$
- (d)  $\bar{\varphi}$  is injective  $\iff I = \ker(\varphi)$

# Corollary:

Let  $\varphi:R\to S$  be a surjective ring homomorphism. Then, S is canonically isomorphic to  $R/\mathrm{ker}(\varphi)$ .

Skipped: First and second isomorphism theorems

# **Polynomials**

Definition: (Polynomial, etc.)

Let R be a ring and let  $X_1, \ldots, X_k$  be variables. For an  $i = (i_1, \ldots, i_k) \in \mathbb{N}^k$  we write  $X^i := X_1^{i_1} \cdots X_k^{i_k}$  in multi-index notation. For  $i, j \in \mathbb{N}^k$  we define  $i + j := (i_1 + j_1, \ldots, i_k + j_k)$ .

A formal power series in  $X_1, \ldots, X_k$  with coefficients in R is a formal sum  $\sum_{i \in \mathbb{N}^k} a_i X_i$  where  $a_i \in R \ \forall i \in \mathbb{N}^k$ .

We denote the set of all formal power series as

$$R[[X_1,\ldots,X_k]] := \left\{ \sum_{i \in \mathbb{N}^k} a_i X_i \middle| a_i \in R \right\}.$$

On these we define addition and multiplication via

$$\sum_{i \in \mathbb{N}^k} a_i X^i + \sum_{i \in \mathbb{N}^k} b_i X^i := \sum_{i \in \mathbb{N}^k} (a_i + b_i) X^i,$$

$$\sum_{i \in \mathbb{N}^k} a_i X^i \cdot \sum_{i \in \mathbb{N}^k} b_i X^i := \sum_{i \in \mathbb{N}^k} \left( \sum_{m+n=i} a_m b_n \right) X^i \qquad \text{(Cauchy product)}$$

A formal power series is a polynomial if only finitely many  $a_i$  are nonzero. We denote the set of all polynomials as

$$R[X_1,\ldots,X_k] := \left\{ f \in R[[X_1,\ldots,X_k]] \middle| f \text{ is a polynomial} \right\}.$$

The degree of a polynomial is deg  $f := \max\{i_1 + \dots + i_k \mid a_i \neq 0\}$  if  $f \neq 0$ , and deg  $0 := -\infty$ .

A polynomial f is homogeneous of degree d if  $a_i \neq 0$  holds only for  $i_1 + \cdots + i_k = d$ . We denote the set of all polynomials homogeneous of degree d as

$$R[X_1, \dots, X_k]_d := \{ f \in R[[X_1, \dots, X_k]] | f \text{ is homogeneous of degree } d \}.$$

If k=1, we say that the leading coefficient of a polynomial f of degree n is  $a_n$ .

If k = 1, we say that a polynomial of degree n is monic if  $a_n = 1$ .

# Proposition:

Let R be a ring.

- (a)  $(R[[X_1, ..., X_k]], +, \cdot)$  is a ring.
- (b)  $R[[X_1, \ldots, X_k]]$  is commutative  $\iff$  R is commutative
- (c)  $R[X_1, \ldots, X_k]$  is a subring of  $R[[X_1, \ldots, X_k]]$ .
- (d)  $R[[X_1, ..., X_k]] \cong R[[X_1, ..., X_{k-1}]][[X_k]]$  as rings.
- (e)  $R[X_1, ..., X_k] \cong R[X_1, ..., X_{k-1}][X_k]$  as rings.
- (f)  $R[[X_1, \dots, X_k]]^* = \{\sum_{i \in \mathbb{N}^k} a_i X^i \mid a_{(0,\dots,0)} \in R^* \}$

# Proposition:

Let R be a ring and let  $f, g \in R[X_1, \ldots, X_k]$ . Then,

- (a)  $\deg(f+g) \le \max\{\deg(f), \deg(g)\}\$
- (b)  $\deg(f \cdot g) \le \deg(f) + \deg(g)$

# Integral domains

Definition: (Zero divisor)

Let R be a ring.  $x \in R$  is a zero divisor if  $\exists y \in R \setminus \{0\}$  such that xy = 0 or yx = 0.

# Proposition:

Units in a ring are not zero divisors.

Definition/Proposition: (Integral domain)

Let  $R \neq \{0\}$  be a commutative ring. It is an integral domain/ID if its only zero divisor is 0; or equivalently, if  $\forall x, a, b \in R, x \neq 0$  it holds that  $xa = xb \implies a = b$ .

# Proposition:

Let R be an integral domain.

- (a)  $f, g \in R[X_1, \dots, X_k] \implies \deg(fg) = \deg(f) + \deg(g)$
- (b)  $R[X_1, \dots, X_k]^* = R^*$

Theorem/Definition: (Field of fractions)

Let R be an integral domain.

- (a) Let  $M := R \times R \setminus \{0\}$ . Then,  $(a,b) \sim (c,d) \iff ad = bc$  defines an equivalence relation on M. Denote the equivalence classes as  $[a, b] := \frac{a}{b}$ .
- (b) Let  $Q(R) := \{\frac{a}{b} \mid (a,b) \in M\}$  called the field of fractions or quotient field of R. It is a field with
  - $\frac{a}{b} + \frac{c}{d} := \frac{ad + bc}{bd}$   $\frac{a}{b} \cdot \frac{c}{d} := \frac{ac}{bd}$

  - zero element  $\frac{0}{1}$
  - one element  $\frac{1}{1}$
  - $-\frac{a}{b} = \frac{-a}{b}$  and  $\left(\frac{a}{b}\right)^{-1} = \frac{b}{a}$
- (c) The map  $R \to Q(R)$ ,  $a \mapsto \frac{a}{1}$  is an injective ring homomorphism.
- (d) Q(R) is the smallest field containing R. More specifically, for an injective ring homomorphism  $\varphi: R \hookrightarrow \mathbb{K}$ , there is a unique ring homomorphism  $\bar{\varphi}: Q(R) \hookrightarrow \mathbb{K}$  with  $\bar{\varphi}|_{R} = \varphi$ , which is injective.

$$R \xrightarrow{\varphi} \mathbb{K}$$

$$\searrow \bar{\varphi}$$

$$Q(R)$$

# Definition: (Associated)

Let R be a commutative ring, and  $a, b \in R$ . a and b are associated if  $\exists c \in R^*$  such that b = ca. This is an equivalence relation.

#### Lemma:

Let R be an ID, and  $a, b \in R$ . Then, a and b are associated  $\iff \langle a \rangle = \langle b \rangle$ .

#### Definition: (Principal ideal domain)

Let R be an integral domain. R is a principal ideal domain/PID if every ideal is principal. (generated by a single element)

# **Primes**

Definition: (Prime, maximal ideal)

Let R be a commutative ring and  $I \subseteq R$  an ideal.

- (a) I is a prime ideal if  $1 \notin I$  and  $\forall a, b \in R : (ab \in I \implies a \in I \text{ or } b \in I)$ .
- (b) I is a maximal ideal if  $1 \notin I$  and  $\forall J \subseteq R$ :  $(I \subseteq J \implies J = I \text{ or } J = R)$ .

#### Theorem:

Let R be a commutative ring and  $I \subseteq R$  an ideal.

- (a) I is prime  $\iff$  R/I is an integral domain.
- (b) I is maximal  $\iff R/I$  is a field.
- (c) I is maximal  $\implies I$  is prime.

# Corollary:

Let  $m \in \mathbb{N}$ .

- (a)  $m\mathbb{Z} \leq \mathbb{Z}$  is prime  $\iff m$  is a prime number or m = 0.
- (b)  $m\mathbb{Z} \leq \mathbb{Z}$  is maximal  $\iff m$  is a prime number

# Definition: (gcd, coprime)

Let R be a commutative ring.

- (a)  $a \in R$  divides  $b \in R$  if  $b \in \langle a \rangle$ , written  $a \mid b$ . (i.e., b = ar for some  $r \in R$ )
  - Note: Units divide everything
- (b) A greatest command divisor/gcd of  $a_1, \ldots, a_n \in R$  is a common divisor  $g \in R$  of the  $a_i$ 's such that every other common divisor divides g.
  - Note: If g is a gcd of some numbers and  $u \in R^*$  is a unit, then ug is also a gcd.
- (c)  $a, b \in R$  are coprime if 1 is a gcd of a and b.

# Proposition:

In integral domains, gcd's are unique up to unit multiplication.

### Definition: (Prime, irreducible)

Let R be a commutative ring and let  $p \in R$ ,  $p \neq 0$ ,  $p \notin R^*$ .

- (a) p is prime if  $\forall a, b \in R : p \mid ab \implies p \mid a \text{ or } p \mid b$ .
  - Note: p is prime  $\iff \langle p \rangle$  is a prime ideal
- (b) p is irreducible if  $\forall a, b \in R : p = ab \implies a \in R^*$  or  $b \in R^*$ . Otherwise, p is reducible.

# Proposition:

Let R be an integral domain and let  $p \in R, p \neq 0, p \notin R^*$ .

- (a) p is prime  $\implies p$  is irreducible.
- (b) If R is a PID, then p is irreducible  $\iff$  p is prime  $\iff$   $\langle p \rangle$  is maximal.

# Corollary:

Let R be a PID and let  $I \subseteq R$  be an ideal with  $I \neq \{0\}$ . Then, I is prime  $\iff$  I is maximal.

Course Summary

Definition: (Coprime ideals)

Let R be a ring. Two ideals  $I, J \subseteq R$  are coprime if I + J = R.

# → Comment:

Let  $m, n \in \mathbb{N}$ .  $m\mathbb{Z}, n\mathbb{Z} \leq \mathbb{Z}$  are coprime  $\iff m$  and n are coprime integers.

Theorem: (Chinese remainder theorem/CRT)

Let R be a ring and let  $I_1, \ldots, I_n \leq R$  be pairwise coprime ideals. Denote  $\pi_i : R \to R/I_i$  as the canonical projections. Then,  $\pi : R \to R/I_1 \times \cdots \times R/I_n$ ,  $x \mapsto (\pi_1(x), \ldots, \pi_n(x))$  is a surjective ring homomorphism with  $\ker(\pi) = I_1 \cap \ldots \cap I_n$ . In particular,  $R/\bigcap_{i=1}^n I_i \cong \prod_{i=1}^n R/I_i$ .

Definition: (Congruent)

Let R be a ring and  $I \leq R$  an ideal. Two elements  $x, y \in R$  are congruent modulo I if  $x - y \in I$ , written  $x \equiv y \mod I$ , or if  $I = \langle a \rangle$ ,  $x \equiv y \mod a$ .

Corollary: (Classic CRT)

Let  $a_1, \ldots a_n \in \mathbb{Z}$  be pairwise coprime. Then, the system of conguences  $x \equiv x_i \mod a_i, i = 1, \ldots, n$  is solvable for arbitrary  $x_i \in \mathbb{Z}$ . The solution x is unique modulo  $a_1 \cdots a_n$ , i.e. all solutions are  $x + a_1 \cdots a_n \mathbb{Z}$ .

- → Solution algorithm:
  - 1. Let  $a := a_1 \cdots a_n$ .
  - 2.  $\forall 1 \leq i \leq n$ : Find  $d_i \in a_i \mathbb{Z}$  and  $e_i \in \frac{a}{a_i} \mathbb{Z}$  such that  $d_i + e_i = 1$ , e.g. via the extended Euclidian algorithm.
  - 3.  $x := \sum_{i=1}^{n} x_i e_i$  is a solution.

# **Euclidian domains**

Proposition: (Polynomial division)

Let R be a commutative ring and let  $g \in R[X]$ ,  $g \neq 0$  whose leading coefficient is a unit in R. Then, for any  $f \in R[X]$ , there are unique  $q, r \in R[X]$  such that f = qg + r and  $\deg(r) < \deg(g)$ .

Definition: (Euclidian domain)

Let R be an integral domain. It is an Euclidian domain if there is a map  $\delta: R \setminus \{0\} \to \mathbb{N}$  such that,  $\forall f, g \in R, g \neq 0 \ \exists q, r \in R: f = qg + r \ \text{and} \ r = 0 \ \text{or} \ \delta(r) < \delta(g)$ . Here,  $\delta$  is called the Euclidian function or degree function.

# Algorithm: (Euclidian algorithm)

Let R be a Euclidian domain and  $a, b \in R \setminus \{0\}$ . We wish to compute a gcd of a and b.

- 1. Set  $z_0 := a$ ,  $z_1 := b$ .
- 2. For i = 1, 2, ...: If  $z_i = 0$ , then set  $z_{i+1} := 0$ . If  $z_i \neq 0$ , then compute  $q_i, z_{i+1} \in R$  such that  $z_{i-1} = q_i z_i + z_{i+1}$  and  $z_{i+1} = 0$  or  $\delta(z_{i+1}) < \delta(z_i)$ .
- 3. Return  $z_n$  such that  $z_n \neq 0$  and  $z_{n+1} = 0$ .

# Corollary: (Extended Euclidian algorithm)

Let R be a Euclidian domain and  $a, b \in R \setminus \{0\}$ . Then, the Euclidian algorithm yields  $x, y \in R$  such that the returned gcd is xa + yb, via substitution in the equations  $z_{i-1} = q_i z_i + z_{i+1}$ .

#### Corollary:

The extended Euclidian algorithm can compute a gcd of multiple elements in a Euclidian domain, since  $\gcd(a,b,c)$  is associated to  $\gcd(\gcd(a,b),c)$ 

# Proposition:

Let R be an integral domain and let  $a_1, \ldots, a_n \in R$ . If  $\langle a_1, \ldots, a_n \rangle = \langle g \rangle$  for some  $g \in R$ , then g is a gcd of  $a_1, \ldots, a_n$ . In particular, in PIDs, gcd's always exist.

# Corollary:

Let R be a PID and let  $a_1, \ldots, a_n \in R$ . Then,  $g \in R$  is a gcd of  $a_1, \ldots, a_n \iff \langle g \rangle = \langle a_1, \ldots, a_n \rangle$ .

# Unique factorization domains

Definition/Proposition: (Unique factorization domain)

Let R be an integral domain. It is a factorial ring/unique factorization domain/UFD if every  $a \in R$ ,  $a \neq 0$ ,  $a \notin R^*$  is a finite product of prime elements. Then, such a factorization is unique up to ordering and unit multiplication of each element.

### → Comment:

In a UFD, gcd's always exist.

# Proposition:

Let R be a UFD and let  $p \in R$ ,  $p \neq 0$ ,  $p \notin R^*$ . Then, p is irreducible  $\iff$  p is prime.

Skipped: Noetherian rings. Sorry Emmy:(

Theorem: (Gauss)

If R is a UFD, then R[X] is a UFD.

# Corollary:

If R is a UFD, then  $R[X_1, \ldots, X_n]$  is a UFD.

# Proposition/Definition: (Valuation)

Let R be a UFD and let  $P \subseteq R$  be a system of representatives of the prime elements in R, that is, every prime in R is associated to exactly one element in P. Consider the field of fractions Q(R). Then, every  $x \in Q(R)^*$  admits a unique factorization of the form  $x = \varepsilon \prod_{p \in P} p^{v_p(x)}$  where  $\varepsilon \in R^*$  and  $v_p(x) \in \mathbb{Z}$  is the p-adic valuation of x. All but finitely many  $v_p(x)$  are zero, that is, the product is finite.

If  $f = \sum_{i=0}^n a_i X^i \in Q(R)[X]$ , then for a prime p we define  $v_p(f) := \min\{v_p(a_i) \mid i = 0, \dots n\}$ . We set  $v_p(0) := \infty$ .

### Definition: (Primitive)

Let R be a UFD.  $f \in R[X]$  is primitive if 1 is a gcd of its coefficients.

#### Lemma:

Let R be a UFD and let  $f \in R[X]$ . Then, f is primitive  $\iff v_p(f) = 0$  for all primes  $p \in R$ .

Skipped: Gauss lemma and tools for proving Gauss theorem.

# Proposition:

Let R be a UFD and  $f \in R[X]$ .

- (a) If deg(f) = 0, then f is prime in  $R[X] \iff f$  is prime in R.
- (b) If  $\deg(f) > 0$ , then f is prime in  $R[X] \iff f$  is primitive and prime in Q(R)[X].

Note: primes and irreducibles are equivalent in R, R[X] and Q(R)[X].

# Proposition: (Eisenstein's criterion)

Let R be a UFD and let  $f = a_n X^n + \dots + a_0 \in R[X]$  be a primitive polynomial with  $\deg(f) > 0$ . If there is a prime  $p \in R$  such that  $p \nmid a_n$ ,  $p \mid a_i$  for  $i = 0, \dots, n-1$  and  $p^2 \nmid a_0$ , then f is irreducible in R[X] (and equivalently in Q(R)[X]).

#### Proposition:

Let R be a UFD and S be an ID, and let  $\sigma: R \to S$  be a ring homomorphism. Let  $f = a_n X^n + \dots + a_0 \in R[X]$ , and define  $f^{\sigma} := \sigma(a_n) X^n + \dots + \sigma(a_0) \in S[X]$ . If  $\deg(f^{\sigma}) = \deg(f) > 0$ , and  $f^{\sigma} \in S[X]$  is irreducible, then  $f \in R[X]$  is irreducible.

# → Comment:

This is usually used by applying the canonical projection  $\mathbb{Z} \to \mathbb{Z}/p\mathbb{Z}$  to  $\mathbb{Z}[X]$ .

### Modules

# Definition: (Module)

Let R be a ring. A left R-module M is an abelian group (M,+) with a map  $R\times M\to M, (r,m)\mapsto rm$  such that

- (i)  $\forall r_1, r_2 \in R, \forall m \in M : (r_1 r_2) m = r_1(r_2 m)$
- (ii)  $\forall r_1, r_2 \in R, \forall m \in M : (r_1 + r_2)m = r_1m + r_2m$
- (iii)  $\forall r \in R, \forall m_1, m_2 \in M : r(m_1 + m_2) = rm_1 + rm_2$
- (iv)  $\forall m \in M : 1m = m$

Right R-modules are defined analogously with  $M \times R \to M, (m,r) \mapsto mr$ . If R is commutative, these coincide and we just say R-module.

# Definition: (Module homomorphism)

Let R be a ring and let M, N be left R-modules. A map  $\varphi : M \to N$  is an R-module homomorphism if  $\forall r_1, r_2 \in R, \forall m_1, m_2 \in M : \varphi(r_1m_1 + r_2m_2) = r_1\varphi(m_1) + r_2\varphi(m_2)$ . We denote the set of these as  $\operatorname{Hom}_R(M, N)$ .

A bijective R-module homomorphism is an isomorphism.

# Definition: (Submodule)

Let R be a ring and M be a left R-module. A subset  $M' \subseteq M$  is a submodule of M if  $M' - M' \subseteq M'$  and  $RM' \subseteq M'$ .

### Proposition:

Let R be a ring, M, N be left R-modules,  $\varphi \in \operatorname{Hom}_R(M,N)$  be an R-module homorphsim and  $M' \subseteq M$  and  $N' \subseteq N$  be submodules. Then,  $\varphi^{-1}(N') \subseteq M$  and  $\varphi(M') \subseteq N$  are submodules. Specifically,  $\ker(\varphi) = \varphi^{-1}(0)$  and  $\operatorname{im}(\varphi) = \varphi(M)$  are submodules.

# Proposition/Definition: (Quotient module)

Let R be a ring, M be a left R-module and  $N \subseteq M$  be a submodule.

- (a)  $M/N := \{m+N \mid m \in M\}$  is a left R-module via r(m+N) := rm + N called the quotient module.
- (b) The canonical projection  $\pi: M \to M/N, m \mapsto m+N$  is a surjective R-module homomorphism with  $\ker(\pi) = N$ .
- (c) Let  $\varphi \in \operatorname{Hom}_R(M, L)$  with  $\ker(\pi) = N$ . Then,  $\operatorname{im}(\varphi)$  is canonically isomorphic to M/N.

# Definition/Proposition: (Generated submodule)

Let R be a ring, M be a left R-module and  $E \subseteq M$  be a subset. Then, the submodule generated by E is, equivalently,

$$\langle E \rangle := \bigcap_{\substack{\text{submodules } N \subseteq M, \\ E \subset N}} N = \left\{ \sum_{i=1}^n r_i e_i \mid n \in \mathbb{N}, r_i \in R, e_i \in E \right\}.$$

# Definition: (Basis, etc.)

Let R be a ring, M be a left R-module and  $E \subseteq M$  be a subset.

- (a) E generates M if  $\langle E \rangle = M$ .
- (b) M is finitely generated if  $\exists E' \subseteq M$  that generates M with  $|E'| < \infty$ .
- (c) E is R-independent if  $\forall n \in \mathbb{N}, \forall r_i \in R, \forall e_i \in E$  with pairwise distinct  $e_i$ 's:  $\sum_{i=1}^n r_i e_i = 0 \implies r_i = 0, i = 1, \ldots, n$ .
- (d) E is an R-basis of M is E generates M and E is R-independent. Note: This is equivalent to that every element in M can be written uniquely up to ordering as an R-linear combination of elements in E.
- (e) M is free if it has a basis.

#### Theorem/Definition:

Let  $R \neq \{0\}$  be a commutative ring and M be a finitely generated free R-module. Then, every R-basis of M has the same finite cardinality, called the rank of M.

# Field extensions

Proposition/Definition: (Characteristic)

Let R be an integral domain. Then, there is a unique ring homomorphism  $\varphi : \mathbb{Z} \to R$ . There is a  $p \in \mathbb{N}$  such that  $\ker(\varphi) = \langle p \rangle$  where p is either 0 or a prime number, called the characteristic of R, written  $p = \operatorname{char}(R)$ .

<u>Definition</u>:  $(\mathbb{F}_p)$ 

Let p be a prime number. Then,  $\mathbb{F}_p := (\mathbb{Z}/p\mathbb{Z}, +, \cdot)$  as a field.

# Proposition:

- (a)  $0 = \operatorname{char}(\mathbb{Q}) = \operatorname{char}(\mathbb{R}) = \operatorname{char}(\mathbb{C}) = \operatorname{char}(\mathbb{R}[X])$
- (b)  $\operatorname{char}(\mathbb{F}_p) = p$

Definition: (Subfield)

A subring T of a field  $\mathbb K$  is a subfield if T is a field.

Proposition/Definition: (Prime subfield)

Let  $\mathbb{K}$  be a field.

- (a) For every subfield T of K, we have  $char(T) = char(\mathbb{K})$ .
- (b)  $P := \bigcap_{\text{subfields } T \subseteq \mathbb{K}} T$  is a subfield of  $\mathbb{K}$ , called the prime subfield of  $\mathbb{K}$ . It is the unique smallest subfield of  $\mathbb{K}$ .

# Proposition:

Let  $\mathbb{K}$  be a field and P be its prime subfield.

- (a)  $\operatorname{char}(\mathbb{K}) = p > 0 \iff P \cong \mathbb{F}_p$
- (b)  $\operatorname{char}(\mathbb{K}) = 0 \iff P \cong \mathbb{Q}$

Definition: (Extension field, etc.)

Let  $\mathbb{L}$  be a field with a subfield  $\mathbb{K}$ .

- (a) The pair  $\mathbb{K} \subseteq \mathbb{L}$  is called a field extension;  $\mathbb{L}$  is an extension field of  $\mathbb{K}$ . We denote this as  $\mathbb{L}/\mathbb{K}$ .
- (b) An intermediate field is a subfield T such that  $\mathbb{K} \subseteq T \subseteq \mathbb{L}$ .
- (c)  $\mathbb{L}$  is a  $\mathbb{K}$ -vector space by restricting  $\cdot : \mathbb{L} \times \mathbb{L} \to \mathbb{L}$  to  $\mathbb{K} \times \mathbb{L} \to \mathbb{L}$ . The dimension of this vector space is  $[\mathbb{L} : \mathbb{K}] = \dim_{\mathbb{K}}(\mathbb{L})$ , called the degree of  $\mathbb{L}$  over  $\mathbb{K}$ .
- (d) The field extension  $\mathbb{K} \subseteq \mathbb{L}$  is finite if  $[\mathbb{L} : \mathbb{K}]$  is finite, otherwise it is infinite.

# Proposition:

Let  $\mathbb{K} \subseteq \mathbb{L} \subseteq \mathbb{M}$  be field extensions. Then,  $[\mathbb{M} : \mathbb{K}] = [\mathbb{M} : \mathbb{L}] \cdot [\mathbb{L} : \mathbb{K}]$ .

#### Corollary:

Let  $\mathbb{K} \subseteq \mathbb{L} \subseteq \mathbb{M}$  be field extensions. If  $[\mathbb{M} : \mathbb{K}]$  is prime, then  $\mathbb{L} = \mathbb{K}$  or  $\mathbb{L} = \mathbb{M}$ .

# Definition: (Algebraic)

Let  $\mathbb{K} \subseteq \mathbb{L}$  be a field extension.

- (a) An element  $\alpha \in \mathbb{L}$  is algebraic over  $\mathbb{K}$  if  $\alpha^n + c_1\alpha^{n-1} + \cdots + c_n = 0$  for  $n \geq 1$  and  $c_1, \ldots, c_n \in \mathbb{K}$ . Otherwise,  $\alpha$  is transcendental over  $\mathbb{K}$ .
- (b) The extension field  $\mathbb{L}$  is algebraic over  $\mathbb{K}$  if every  $\alpha \in \mathbb{L}$  is algebraic over  $\mathbb{K}$ . Then,  $\mathbb{K} \subseteq \mathbb{L}$  is an algebraic field extension.

# Proposition/Definition: (Minimal polynomial)

Let  $\mathbb{K} \subseteq \mathbb{L}$  be a field extension and let  $\alpha \in \mathbb{L}$  be algebraic over  $\mathbb{K}$ .

- (a) There is a unique monic polynomial  $f_{\alpha} \in \mathbb{K}[X]$  of smallest degree such that  $f_{\alpha}(\alpha) = 0$ , called the minimal polynomial of  $\alpha$  over  $\mathbb{K}$ .
- (b)  $f_{\alpha}$  is irreducible. Moreover, if  $f \in \mathbb{K}[X]$  is a monic, irreducible polynomial with  $f(\alpha) = 0$ , then  $f = f_{\alpha}$ .
- (c)  $K[\alpha] \cong K[X]/\langle f_{\alpha} \rangle$  is an extension field of  $\mathbb{K}$ .
- (d)  $[K[\alpha] : \mathbb{K}] = \deg(f_{\alpha})$

# Proposition/Definition: (Generated field, etc.)

Let  $\mathbb{K} \subseteq \mathbb{L}$  be a field extension.

(a) For  $A \subseteq \mathbb{L}$ , the subfield of  $\mathbb{L}$  generated by A over  $\mathbb{K}$  is

$$\mathbb{K}(\mathcal{A}) := \bigcap_{\substack{\text{subfields } T \subseteq \mathbb{L}, \\ \mathbb{K} \cup \mathcal{A} \subset T}} T.$$

It is the smallest subfield of  $\mathbb{L}$  that contains both  $\mathbb{K}$  and  $\mathcal{A}$ .

- (b) If  $\mathcal{A} = \{\alpha_1, \dots, \alpha_n\}$ , we write  $\mathbb{K}(\alpha_1, \dots, \alpha_n) := \mathbb{K}(\mathcal{A})$ . It holds that  $\mathbb{K}(\alpha_1, \dots, \alpha_n) = Q(K[\alpha_1, \dots, \alpha_n])$ .
- (c) The field extension  $\mathbb{K} \subseteq \mathbb{L}$  is finitely generated if  $\exists \alpha_1, \ldots, \alpha_n \in \mathbb{L} : \mathbb{L} = \mathbb{K}(\alpha_1, \ldots, \alpha_n)$ . It is called simple if n = 1. The degree of  $\alpha$  over  $\mathbb{K}$  is  $[\mathbb{K}(\alpha) : \mathbb{K}]$ .
- (d) For (possibly infinite!)  $A \subseteq \mathbb{L}$ ,  $\mathbb{K}(A) = \bigcup_{A' \subseteq A, |A'| < \infty} \mathbb{K}(A')$ .

#### Theorem:

Let  $\mathbb{K} \subseteq \mathbb{L}$  be a field extension. Then, the following are equivalent:

- (i)  $\mathbb{L}/\mathbb{K}$  is finite.
- (ii)  $\exists \alpha_1, \ldots, \alpha_n \in \mathbb{L}$  which are algebraic over  $\mathbb{K}$  with  $\mathbb{L} = \mathbb{K}(\alpha_1, \ldots, \alpha_n)$  (which also implies  $\mathbb{L} = \mathbb{K}[\alpha_1, \ldots, \alpha_n]$ ).
- (iii)  $\mathbb{L}/\mathbb{K}$  is finitely generated and algebraic.

### Corollary:

Let  $\mathbb{K} \subseteq \mathbb{L}$  be a field extension. Then,  $\mathbb{L}/\mathbb{K}$  is algebraic  $\iff \exists \mathcal{A} \subseteq \mathbb{L}$  with  $\mathbb{L} = \mathbb{K}(\mathcal{A})$  and all  $\alpha \in \mathcal{A}$  are algebraic over  $\mathbb{K}$ .

# Proposition:

Let  $\mathbb{K} \subseteq \mathbb{L} \subseteq \mathbb{M}$  be field extensions.

- (a) If  $\alpha \in \mathbb{M}$  is algebraic over  $\mathbb{L}$ , and  $\mathbb{L}/\mathbb{K}$  is algebraic, then  $\alpha$  is algebraic over  $\mathbb{K}$ .
- (b)  $\mathbb{M}/\mathbb{K}$  is algebraic  $\iff \mathbb{M}/\mathbb{L}$  and  $\mathbb{L}/\mathbb{K}$  are algebraic.

# Algebraic closures

Proposition: (Kronecker's construction)

Let  $\mathbb{K}$  be a field and let  $f \in \mathbb{K}[X]$  with  $\deg(f) \geq 1$ . Then, there is a finite field extension  $\mathbb{K} \subseteq \mathbb{L}$  such that  $f(\alpha) = 0$  for some  $\alpha \in \mathbb{L}$ . If f is irreducible, then we can set  $\mathbb{L} := \mathbb{K}[X]/\langle f \rangle$  and  $\alpha = \pi(X)$ .

# Corollary:

Let  $\mathbb{K}$  be a field and let  $f \in \mathbb{K}[X]$  with  $\deg(f) \geq 1$ . Then, there is a finite field extension  $\mathbb{K} \subseteq \mathbb{L}$  such that f factorizes into linear factors in  $\mathbb{L}[X]$ .

Definition/Proposition: (Algebraically closed)

Let K be a field. It is algebraically closed if one of the following equivalent statements hold:

- (i)  $\forall f \in \mathbb{K}[X] \setminus \mathbb{K} \ \exists \alpha \in \mathbb{K} : f(\alpha) = 0$
- (ii)  $\forall f \in \mathbb{K}[X] \setminus \mathbb{K} \ \exists c \in \mathbb{K}^*, \exists \alpha_1, \dots, \alpha_n \in \mathbb{K} : f = c \prod_{i=1}^n (X \alpha_i)$
- (iii) Every algebraic field extension  $\mathbb{K} \subseteq \mathbb{L}$  is trivial, i.e.  $\mathbb{K} = \mathbb{L}$

Definition: (Algebraic closure)

Let  $\mathbb{K}$  be a field. An algebraic closure  $\overline{\mathbb{K}}$  is an extension field of  $\mathbb{K}$  that is algebraically closed, and algebraic over  $\mathbb{K}$ .

Definition: (K-homomorphism)

Let  $\mathbb{K} \subseteq \mathbb{L}$  and  $\mathbb{K} \subseteq \mathbb{L}'$  be field extensions. A field homomorphism  $\varphi : \mathbb{L} \to \mathbb{L}'$  is a  $\mathbb{K}$ -homomorphism if  $\varphi|_{\mathbb{K}} = \mathrm{id}_{\mathbb{K}}$ . If  $\varphi$  is also a field isomorphism, then  $\varphi$  is a  $\mathbb{K}$ -isomorphism.

### Theorem:

Let  $\mathbb{K}$  be a field.

- (a)  $\mathbb{K}$  has an algebraic closure  $\overline{\mathbb{K}}$ .
- (b) For any two algebraic closures  $\overline{\mathbb{K}}_1, \overline{\mathbb{K}}_2$  of  $\mathbb{K}$ , there is a  $\mathbb{K}$ -isomorphism  $\varphi : \overline{\mathbb{K}}_1 \xrightarrow{\sim} \overline{\mathbb{K}}_2$ .

Skipped: Tools for proving this theorem

# Splitting fields

<u>Definition</u>: (Splitting field)

Let  $\mathbb{K}$  be a field and let  $\mathcal{F} \subseteq \mathbb{K}[X] \setminus \mathbb{K}$ . A splitting field of  $\mathcal{F}$  over  $\mathbb{K}$  is an extension field  $\mathbb{L}$  of  $\mathbb{K}$  such that

- (i) Every  $f \in \mathcal{F}$  factorizes into linear factors in  $\mathbb{L}[X]$
- (ii)  $\mathbb{L} = \mathbb{K}(\mathcal{A})$  where  $\mathcal{A} = \{\alpha \in \mathbb{L} \mid \exists f \in \mathcal{F} : f(\alpha) = 0\}$

#### → Comment:

 $\mathbb{L}$  is a splitting field of  $\{f_1,\ldots,f_n\}$   $\iff$   $\mathbb{L}$  is a splitting field of  $f_1\cdots f_n$ .

# Theorem:

Let  $\mathbb{K}$  be a field and let  $\mathcal{F} \subseteq \mathbb{K}[X] \setminus \mathbb{K}$ .

- (a) There is a splitting field of  $\mathcal{F}$  over  $\mathbb{K}$ .
- (b) For any two splitting fields  $\mathbb{L}_1, \mathbb{L}_2$  of  $\mathcal{F}$  over  $\mathbb{K}$ , there is a  $\mathbb{K}$ -isomorphism  $\mathbb{L}_1 \xrightarrow{\sim} \mathbb{L}_2$ .

# Finite fields

#### Theorem:

Let  $\mathbb{F}$  be a finite field. Denote  $p := \operatorname{char}(\mathbb{F})$  and  $q := |\mathbb{F}|$ .

- (a) p > 0, and  $\mathbb{F}$  contains  $\mathbb{F}_p$  as its prime subfield.
- (b)  $q = p^n$  where  $n = [\mathbb{F} : \mathbb{F}_p]$ .
- (c)  $\mathbb{F}$  is a splitting field of  $X^q X$  over  $\mathbb{F}_p$ . Its elements are precisely the q different zeros of  $X^q X$ .

#### Theorem:

Let  $n \in \mathbb{N}$  and let p be a prime number. Let  $q := p^n$ .

- (a) There exists a field  $\mathbb{F}_q$  with  $|\mathbb{F}_q| = q$ .
- (b)  $\mathbb{F}_q$  is unique up to  $\mathbb{F}_p$ -isomorphism.

# Overview of types of rings

 $Rings \subset Commutative \ rings \subset IDs \subset GCD \ domains \subset UFDs \subset PIDs \subset Euclidian \ domains \subset Fields$  Implications of general ring types:

- If R is an ID, then  $R[X_1, \ldots, X_k]$  is an ID.
- If  $\mathbb{K}$  is a field and  $R \subseteq \mathbb{K}$  is a nonzero subring, then R is an ID.
- If R is a UFD, then  $R[X_1, ..., X_n]$  is a UFD (Gauss theorem).
- If  $\mathbb{K}$  is a field, then  $\mathbb{K}[X]$  is a Euclidian domain (with  $\delta = \deg$ ).
- If  $\mathbb{K}$  is a field and  $f \in \mathbb{K}[X]$  with  $\deg(f) \geq 1$ , then  $\mathbb{K}[X]/\langle f \rangle$  is a field  $\iff f$  is irreducible.

Specific examples of rings:

- $\mathbb{Z}$  is a Euclidian domain (with  $\delta = abs$ ).
- $\mathbb{Z}[X]$  is a UFD, but not a PID.
- $\mathbb{Z}/p\mathbb{Z}$  is a field iff p is prime (otherwise just a commutative ring)
- $\bullet \ \mathbb{Q}, \mathbb{R}, \mathbb{C}$  are fields, out of which only  $\mathbb{C}$  is algebraically closed.