A Modified Neural Network For Adaptive User Systems

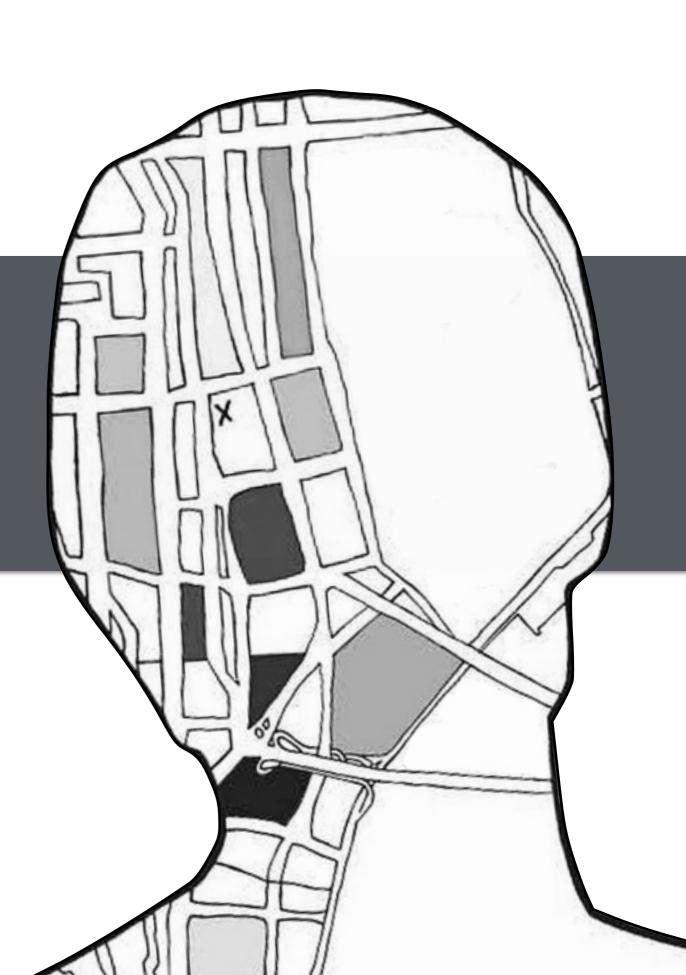
let's start with a question

how can we use computers to improve human learning?

traditional human-to-human teaching models are focused on understanding the student and how they learn best

Examples of this include...

- the study of different learning styles or multimodel learning techniques (i.e. kinesthetic, auditory, etc.)
- community-based educational reform efforts (especially in high-need or urban school settings)
- differentiated learning techniques to more adequately match student task to ability level



human-to-human teaching models focus on providing an environment tailored to a student's unique needs

the goal of these environment modifications is to achieve some predefined performance objective

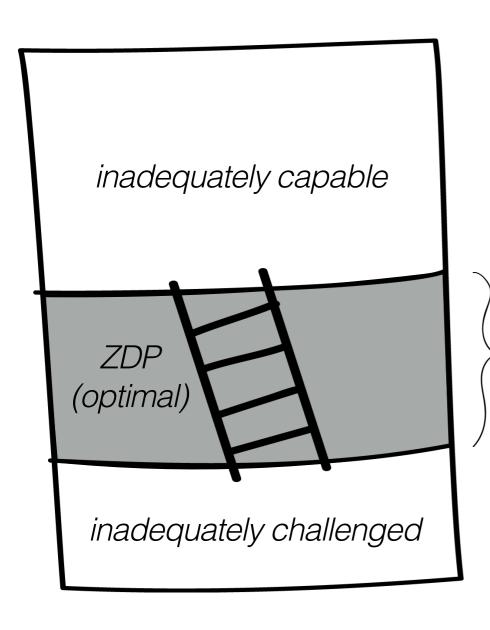
reframing the question

how can we use computers to dynamically change a user's environment to fit their unique needs in order for them to achieve some goal?

what will these "unique needs" look like?

how can we dynamically change a user's environment?

Lev Vygotsky & The Zone of Proximal Development¹



The Concept

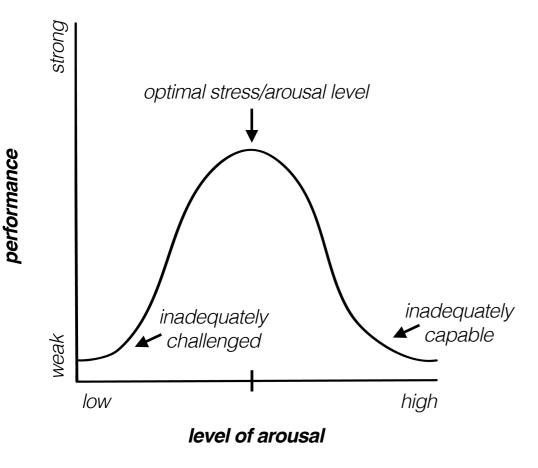
There exists some "zone" in which a child can learn difficult material with the assistance of a teacher or peer with a higher skill set

Social Learning Theory

Vygotsky developed the social learning theory, arguing that there is a clear distinction between what a student can do on their own (independently), and what they can do with help (socially)

Scaffolding is a term related to the ZDP, which describes what assistance a student may need from a teacher or peer in order to succeed in mastering some concept or skill

Yerkes-Dodson Law² & Optimal Stress Levels³



The Concept

Tasks require some varied level of "arousal" in order to induce optimal performance. Dodson studied the "relation of strength of stimulus to rapidity of learning" arguing that there is some optimal level of stimulus or arousal that will elicit the highest performance.

Stress Hormones and Neurology

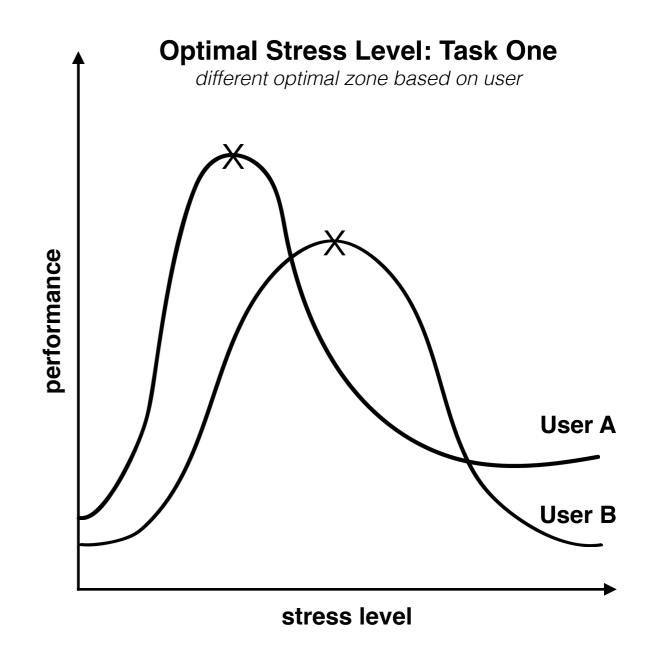
A recent study² has drawn a connection between the Yerkes-Dodson curve and the production of glucocorticoids in the body. Lupin et al. observed that the level of stress hormones produced in the brain corresponded to an optimal level of memory performance, shown in an upside-down U curve similar to the Yerkes-Dodson curve.

this "optimal zone" is dynamic — it is different for every user & task and changes over time

Dynamic Optimal Zones — The User

Each user will have their own unique optimal "zone" in order to achieve maximum performance.

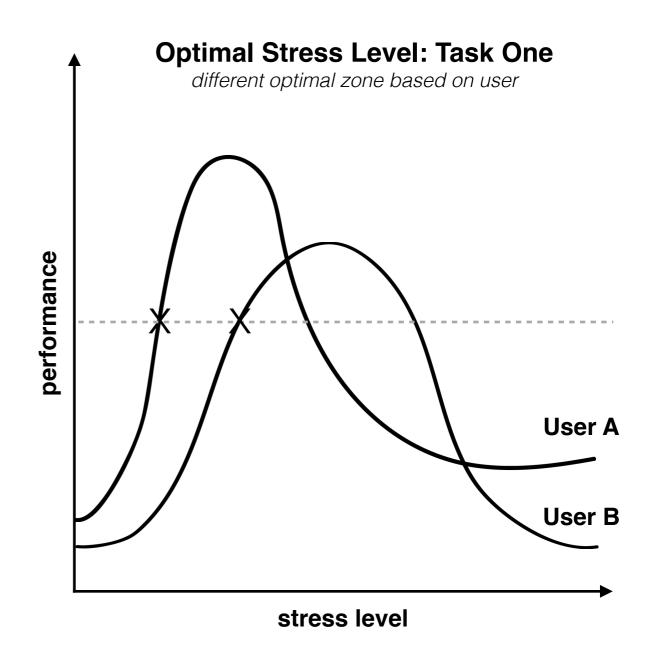
As shown here, User A and B require different stress levels in order to achieve their maximum performance. This graph also tells us that User A and B would require <u>different levels of stress in order to achieve the same performance objective</u>.



Dynamic Optimal Zones — The User

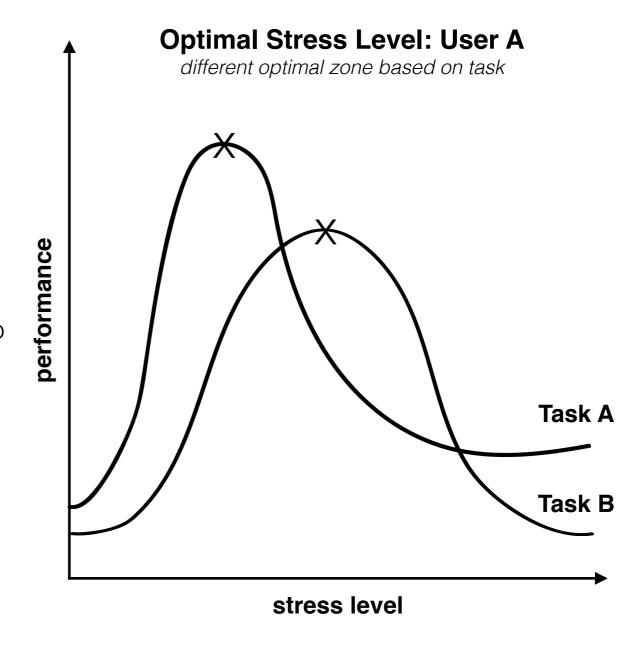
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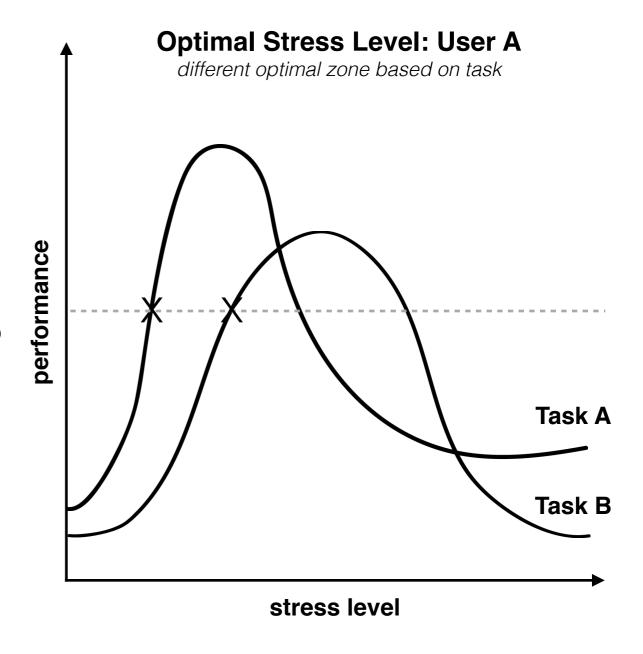
Dynamic Optimal Zones — The Task

These graphs will not only differ by user, but by task. As shown here, User A requires different stress levels in order to achieve their maximum performance on two different tasks. This graph also tells us that User A would require <u>different levels of stress in order to achieve the same performance objective on two different tasks</u>.



Dynamic Optimal Zones — The Task

These graphs will not only differ by user, but by task. As shown here, User A requires different stress levels in order to achieve their maximum performance on two different tasks. This graph also tells us that User A would require <u>different levels of stress in order to achieve the same performance objective on two different tasks</u>.



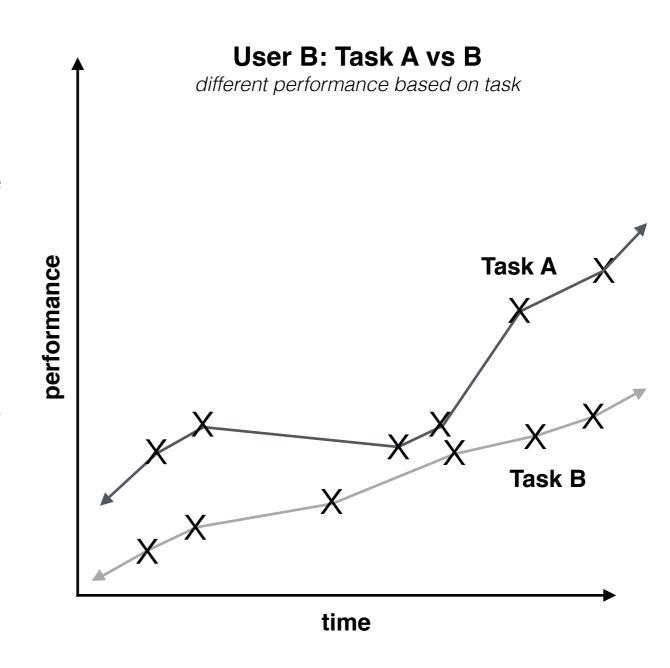
Dynamic Ability Over Time

If we graph user performance in relation to time, performance will rise and fall depending on the current ability of that user. This graph will be unique to each user for each task.

Factors that may influence performance include:

- existing knowledge related to the task
- amount of sleep or task preparation
- user's activity prior to the task (i.e. mental fatigue)
- amount of practice the user has logged for a task
- numerous other declarative user characteristics

Therefore, a single user will require <u>different stress</u> <u>levels to achieve the same performance objective</u> <u>on the same task depending on when the task is being performed</u>.



what will these "unique needs" look like?

how can we dynamically change a user's environment?

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Randall Davis, KR & The Intelligent "Surrogate"

```
user(mary).
environment(game).
threshold = n / time.

has_slow_reaction_time(X, Y) :-
    user(X),
    game(Y),
    threshold > 5.

make_environment_easier(X, Y) :-
    user(X),
    game(Y),
    has_slow_reaction_time(X).
```

The Concept

Davis describes knowledge representation as playing the fundamental role of "surrogate" — an entity that serves to bridge the gap between internal reasoning and the external (usually physical) world. He argues that "any intelligent entity" must deal with this fact: the dichotomy of the internal and the external, and that one key role knowledge representation must serve is that of a surrogate for physical world in order to allow internal reasoning to persist.

Applying KR to the Problem Space

In order to use the traditional KR approach, we would start by obtaining and representing declarative information about the user and environment (i.e. the external world). From there, we would establish sets of rules about what actions to take for that specific user/environment (i.e. internal reasoning). What information we gather, and what we would do with that information, would be decided by the individual programmer before launch.

^{4.} Davis, Randall, Howard Shrobe, and Peter Szolovits. "What is a knowledge representation?." Al magazine 14.1 (1993): 17.

^{5.} Gelfond, Michael, and Yulia Kahl. Knowledge representation, reasoning, and the design of intelligent agents: The answer-set programming approach. Cambridge University Press, 2014.

Randall Davis, KR & The Intelligent "Surrogate" 4

user knowledge base

psychographic factors

values, attitudes, interests

demographic information

SES, ethnicity, age

behavioral practices

of jumps/sec, past quizzes completed

other personal information

user-generated text snippets, etc.

environment knowledge base

structure/layout of task space

size of the interactive room, available quizzes

constraints of the system

speed cannot exceed 50 m/s, etc.

behavioral context

replied in 15 sec, text posted @ 11:48 p.m.

other system information

current size of obstacles, speed of enemies, etc.

Rejecting The Traditional Approach

This implementation has several attributes that make it an unfit solution to this problem:

- 1) Lack of programmer omnipotence
 - the programmer must define the rules of the system before launch, and the complexity of the user and their interactions with the environment would be incredibly difficult (if not impossible) to predict
- 2) Domain/Environment specific
 - the rules/system logic (in order to be effective) must be specific to the environment, requiring each environment to essentially have its own unique system that must be programmed by hand
- 3) Storage & Memory problems
 - the program would by necessity need to constantly store large amounts of information about the user & environment, and would need to perform computationally intensive search-space algorithms in order to run

^{4.} Davis, Randall, Howard Shrobe, and Peter Szolovits. "What is a knowledge representation?." Al magazine 14.1 (1993): 17.

^{5.} Gelfond, Michael, and Yulia Kahl. Knowledge representation, reasoning, and the design of intelligent agents: The answer-set programming approach. Cambridge University Press, 2014.

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knowledge representation is not a good solution to this problem because it fails to accommodate these attributes of the user's unique needs

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we need a system that can adapt to these dynamic specifications.

what model might be a good fit?

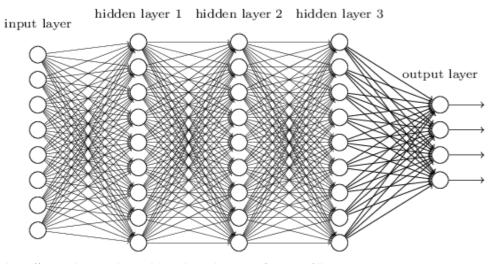
revisit: background research

this "optimal zone" is dynamic — it is different for every user & task and changes over time

revisit: background research

we can view this "optimal zone" as a point on a graph (i.e. performance as a function)

LeCun⁶, Sutskever⁷ & Friends: Deep Learning



http://neuralnetworksanddeeplearning.com/images/tikz36.png

The Concept

A network of computational "neurons" can be combined with error-minimizing algorithms in order to approximate complex functions not possible through simple imperative programming. This network can "learn" through supervised learning — by giving the network inputs and expected output and then using back propagation in order to adjust the values of the network matrices

Matrix Representation

These networks can be represented as a series of matrix operations, making it feasible to engage in "deep learning" (i.e. a network that contains a large amount of layers and neurons). There exist different learning libraries that are designed to efficiently implement these network operations (such as Theano or Tensorflow).

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would a neural network be a good fit?

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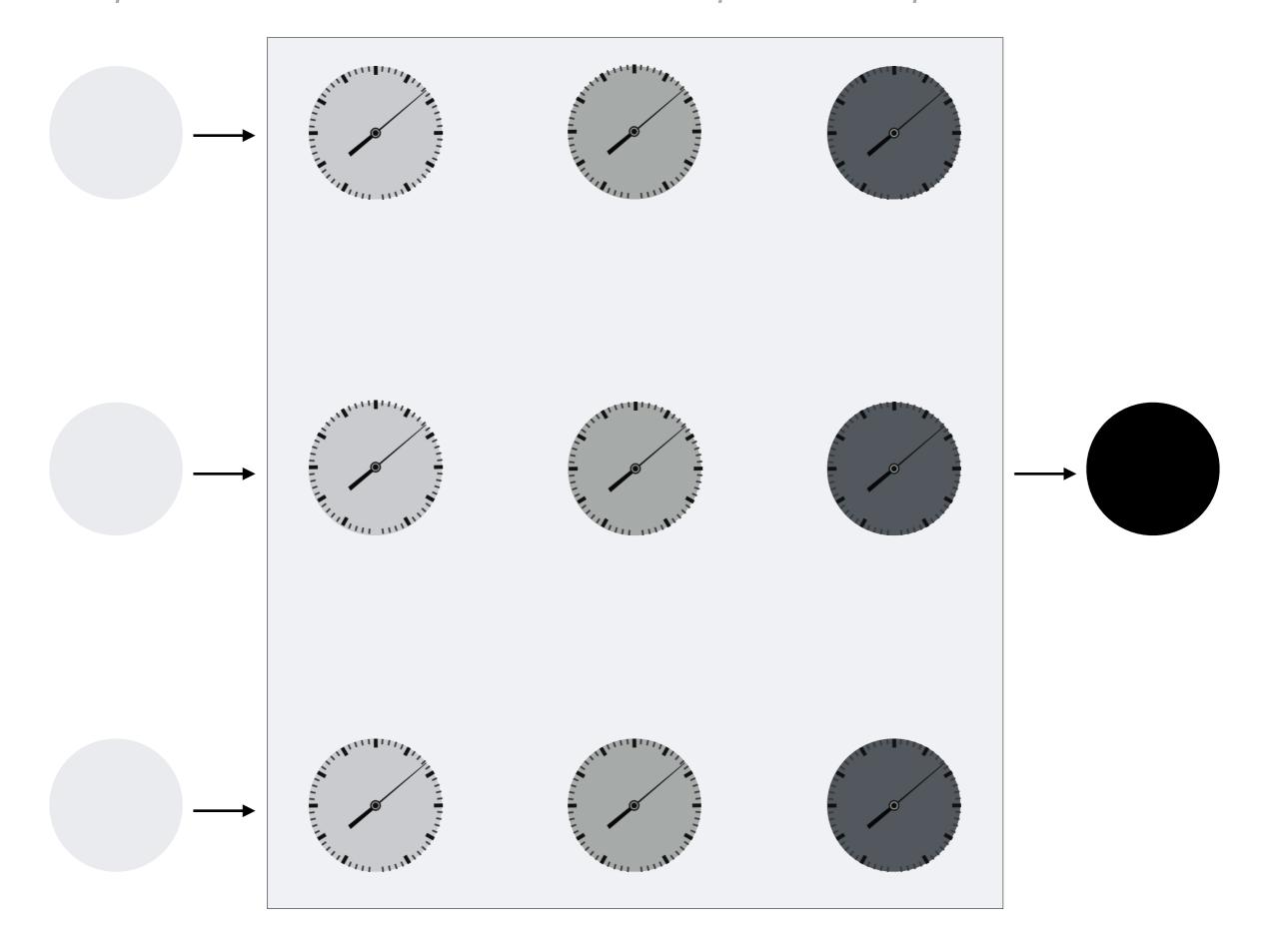
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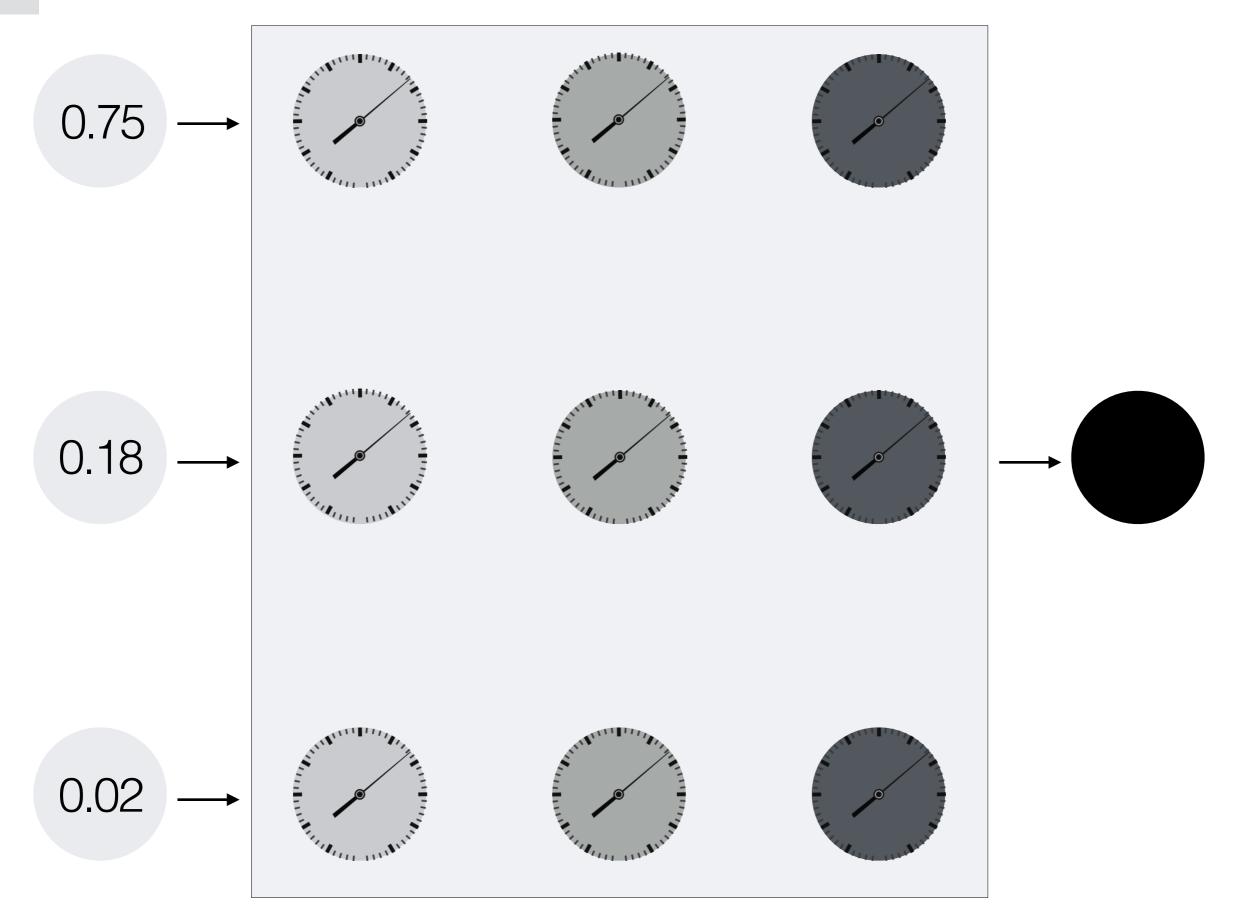
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how does a NN work?

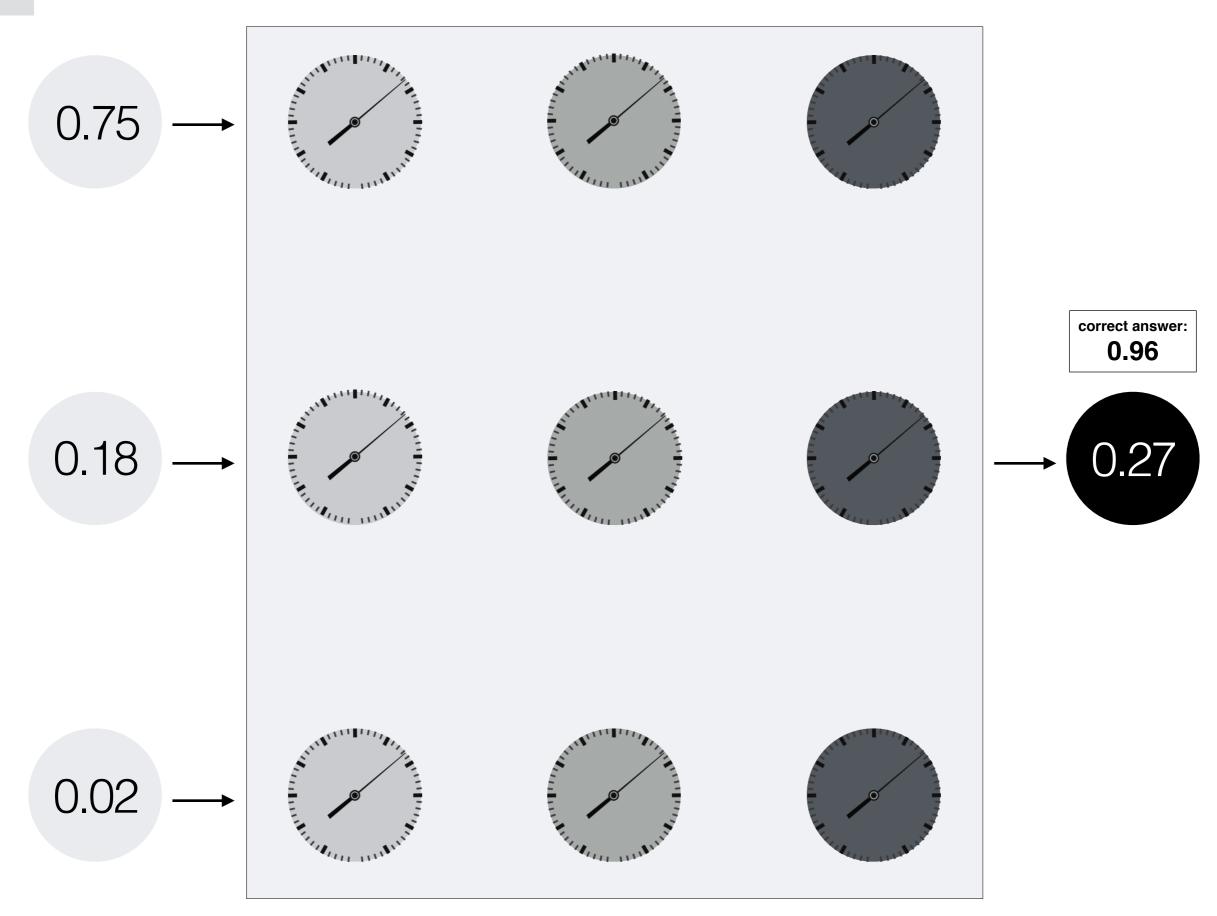
concept: neural networks & a simple metaphor



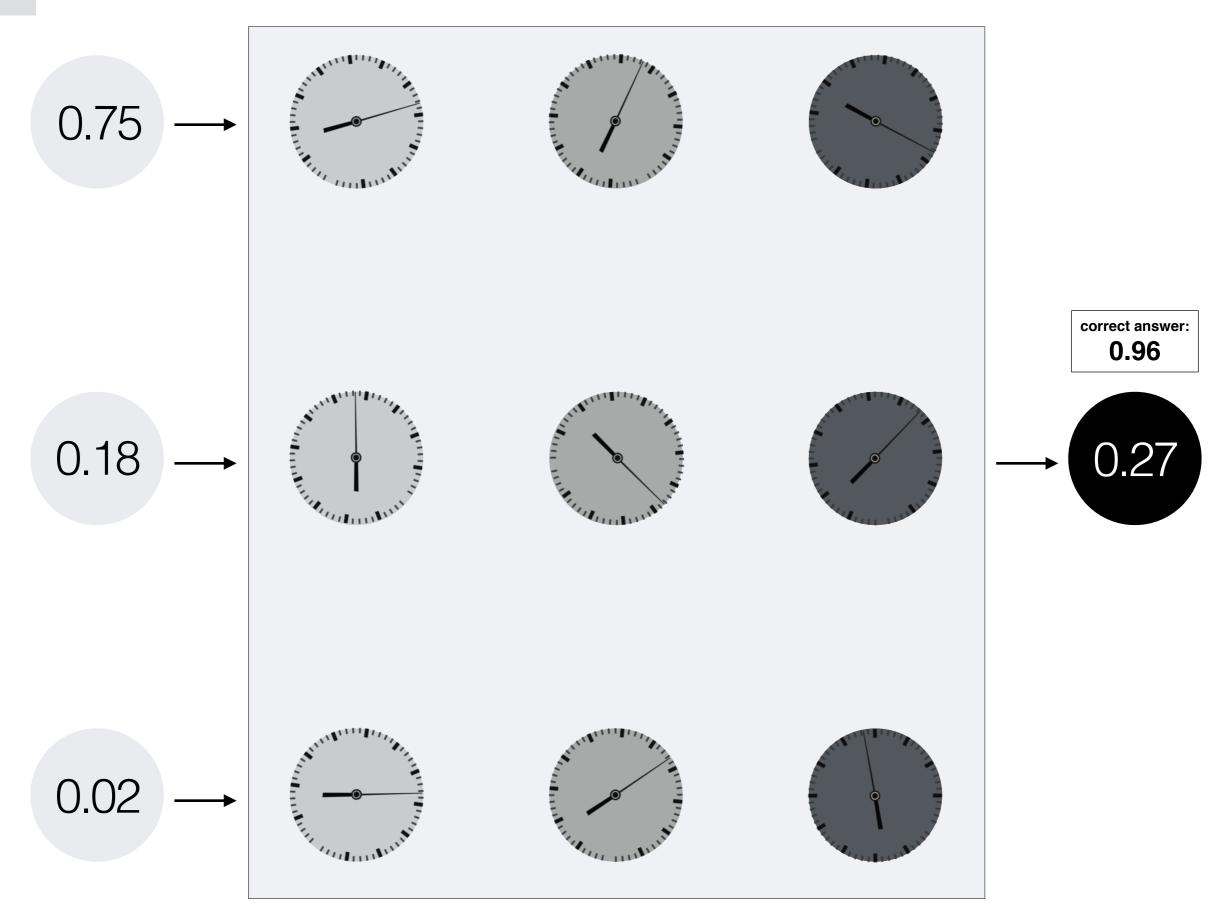
Feed some inputs into the machine and see what it outputs



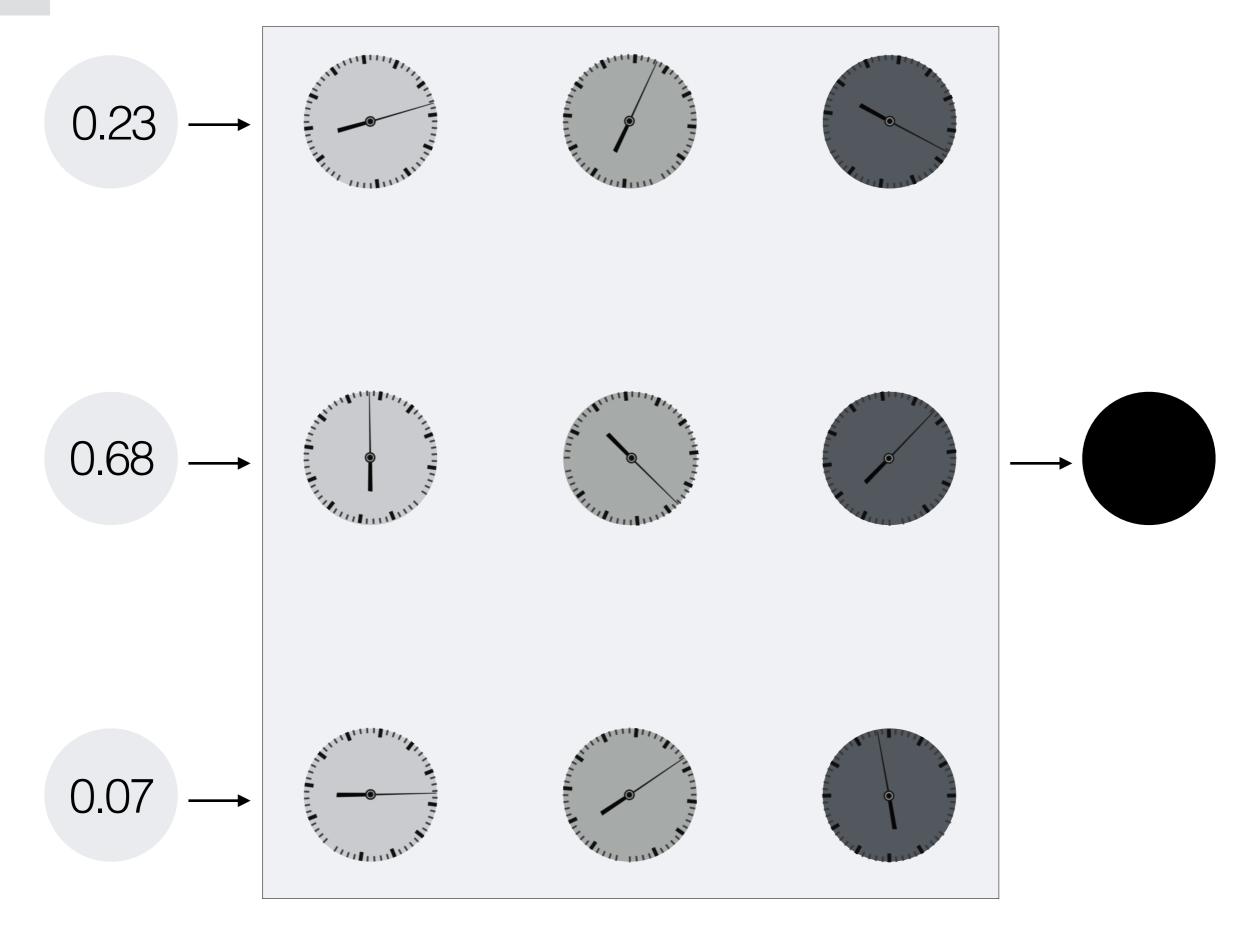
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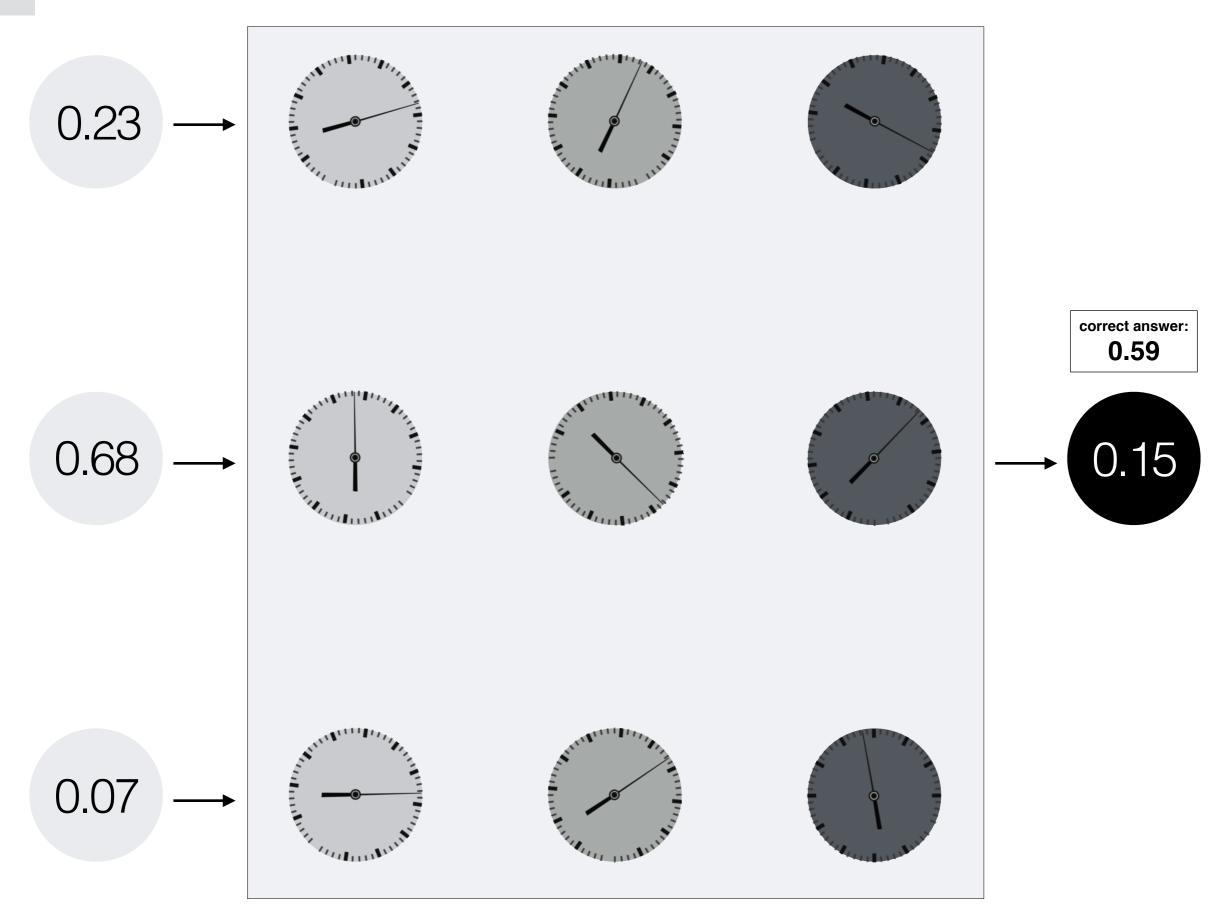
Adjust the dials based on how far off the prediction was from the correct answer



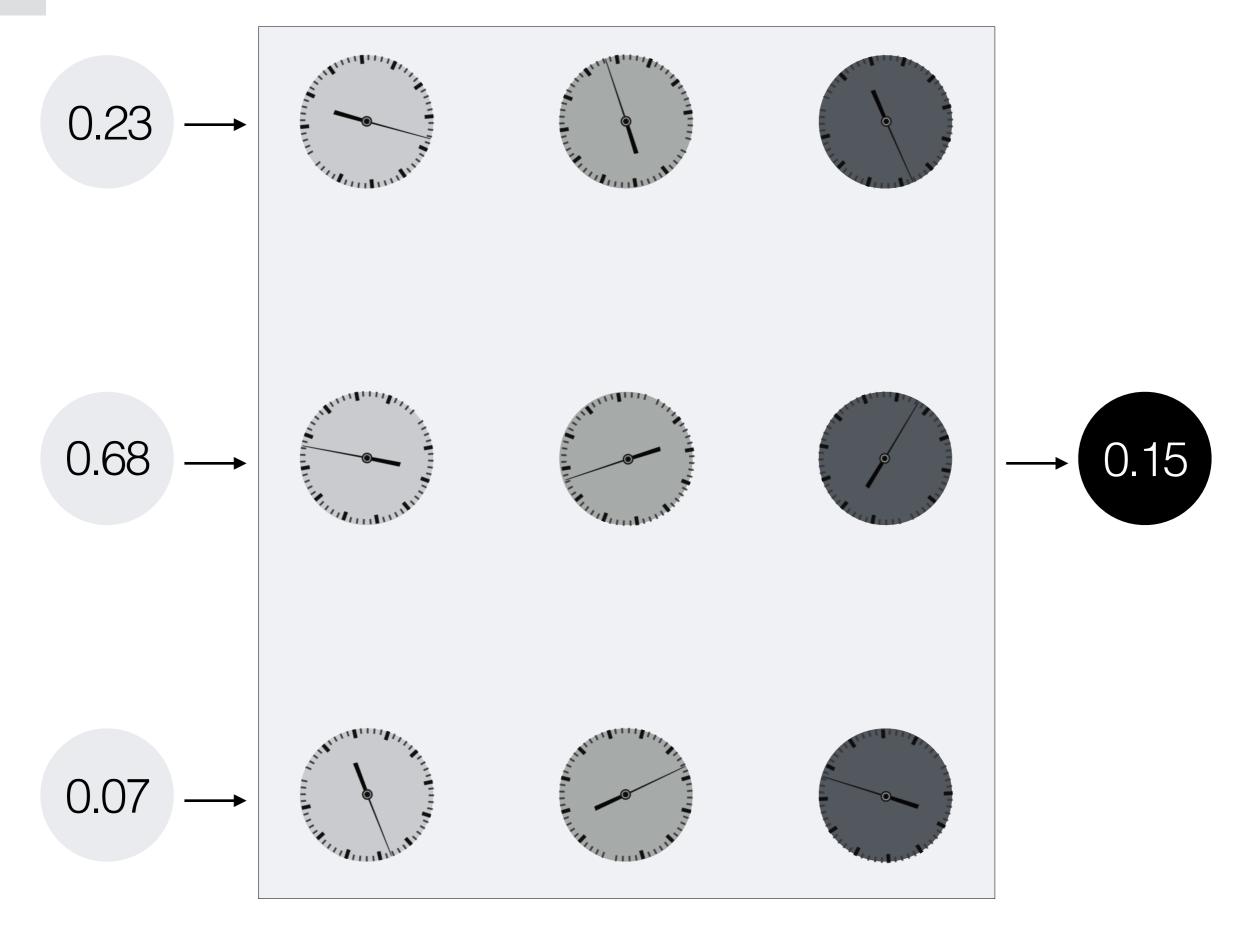
Feed a new set of inputs to the machine, and see what it outputs



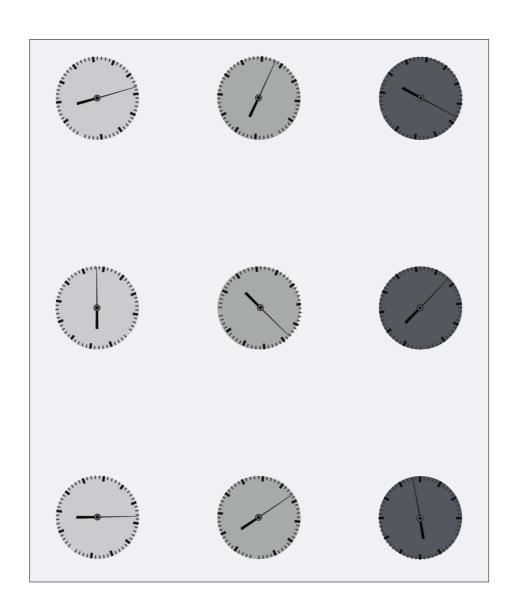
Feed a new set of inputs to the machine, and see what it outputs

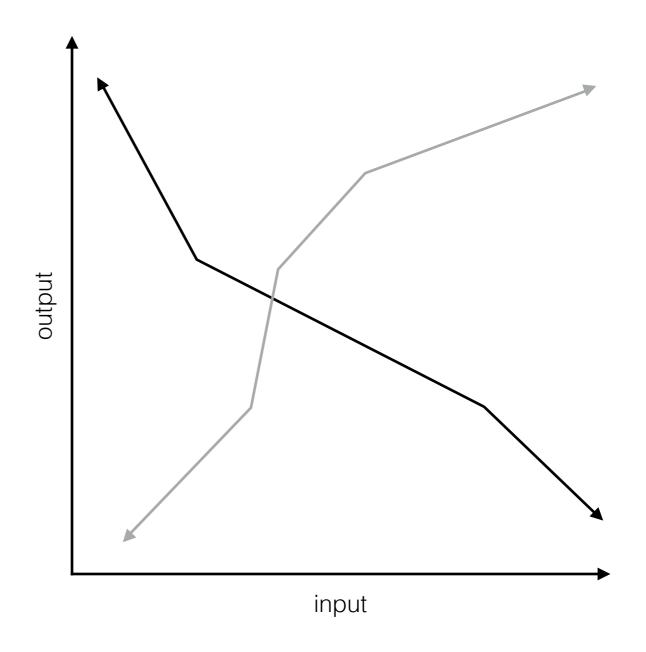


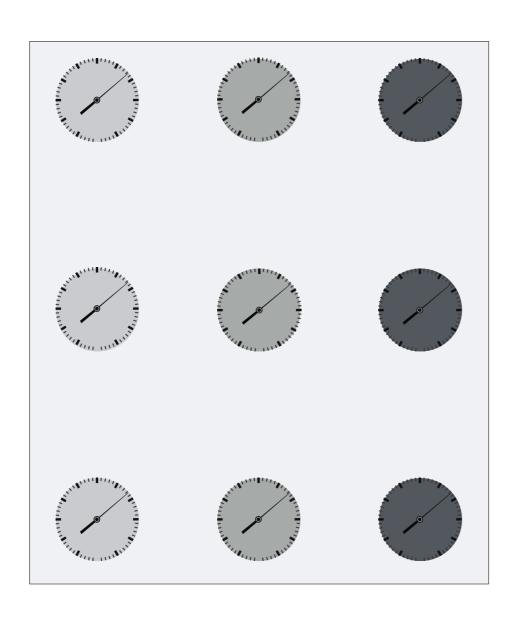
Adjust the dials again, and then continue this process until the machine is producing correct output

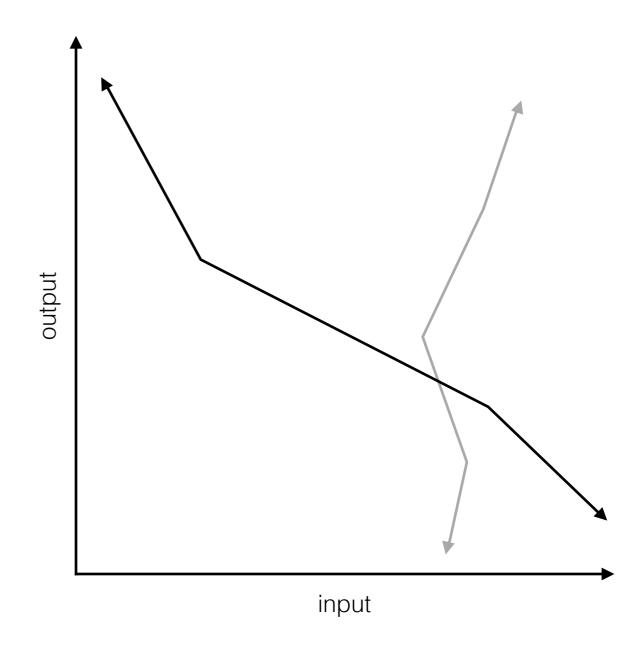


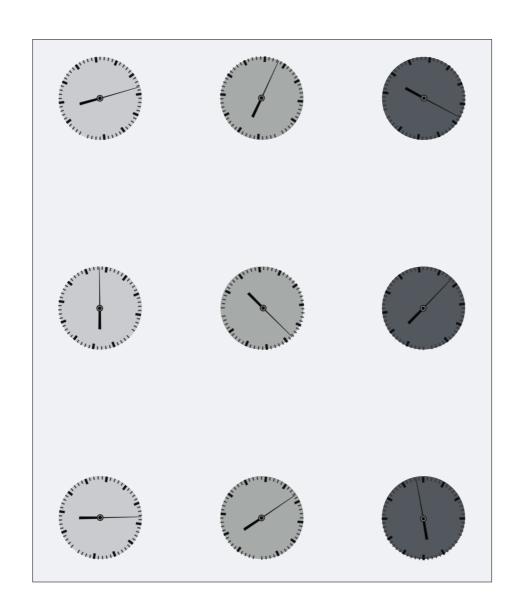
What this metaphorical "machine" is doing is creating a mapping between inputs and outputs (i.e. a function)

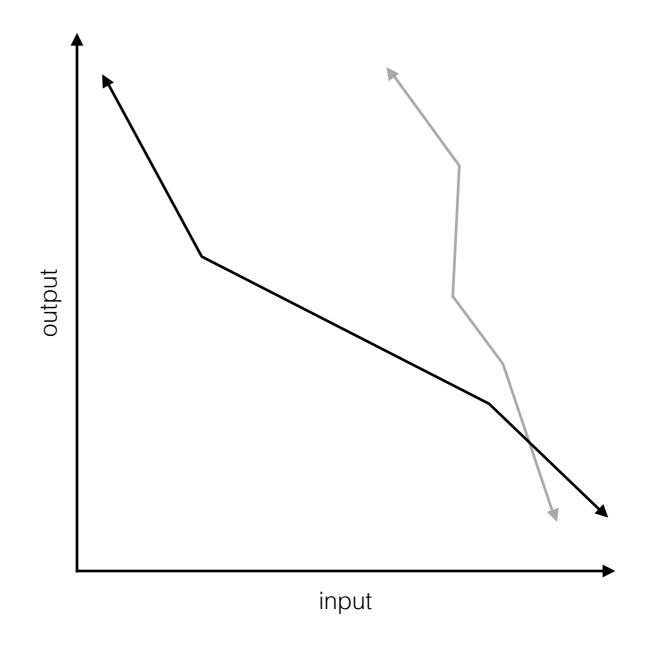


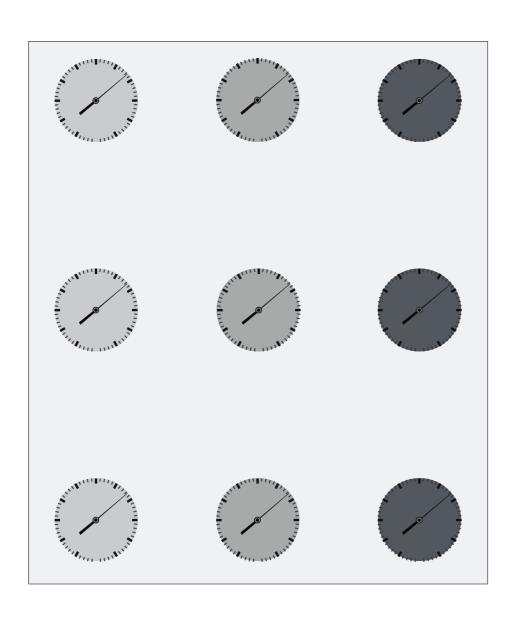


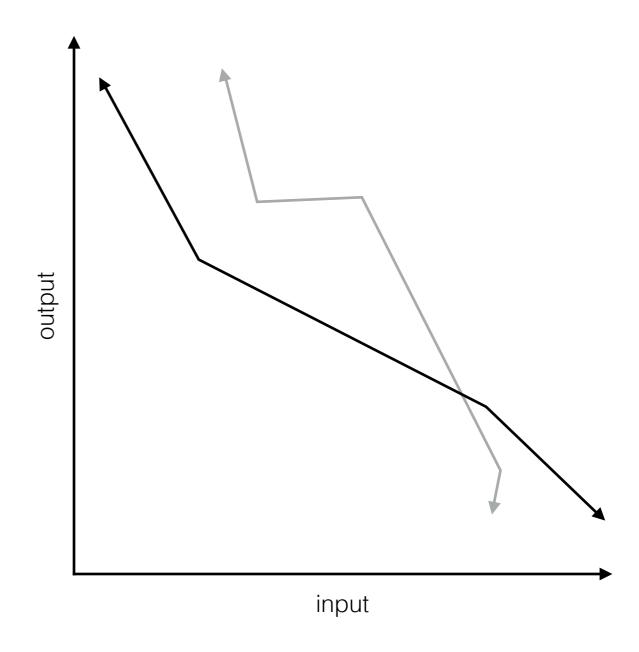


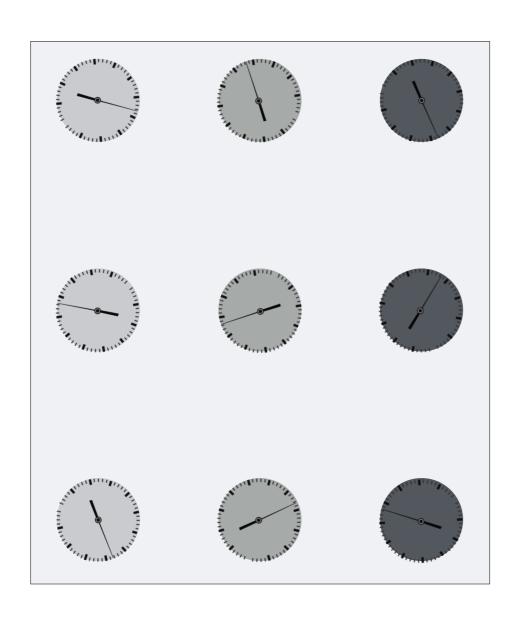


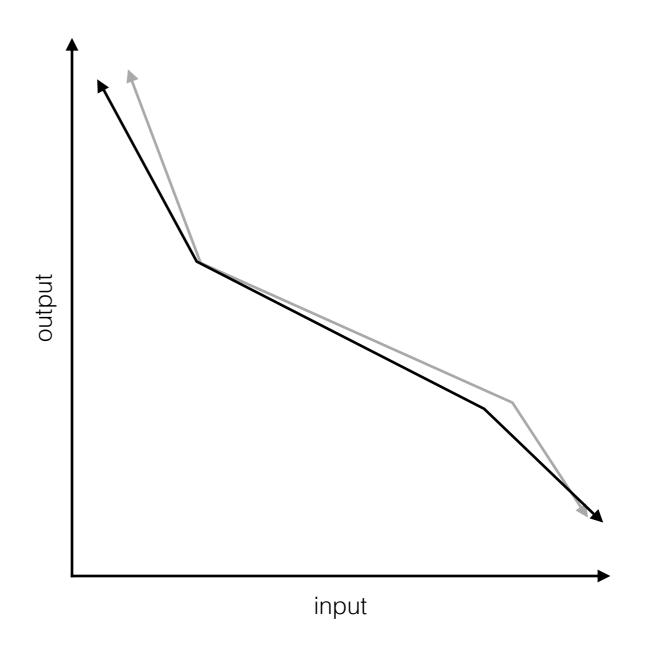








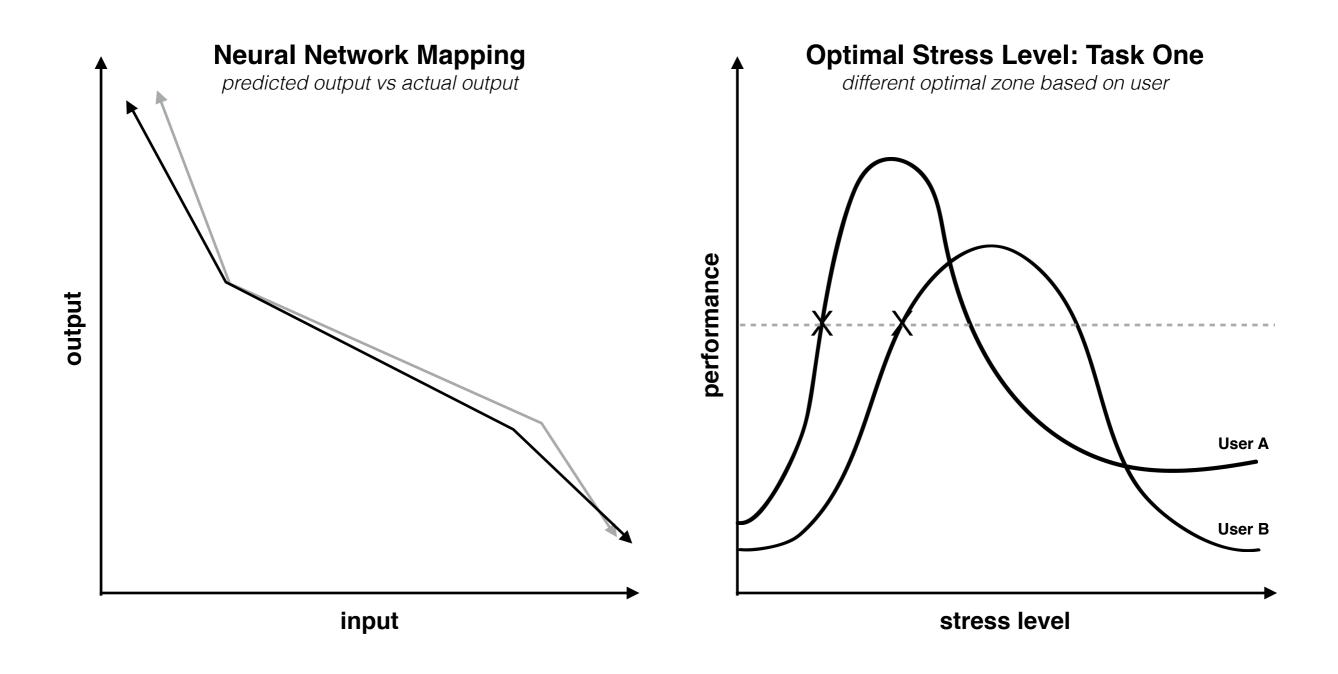




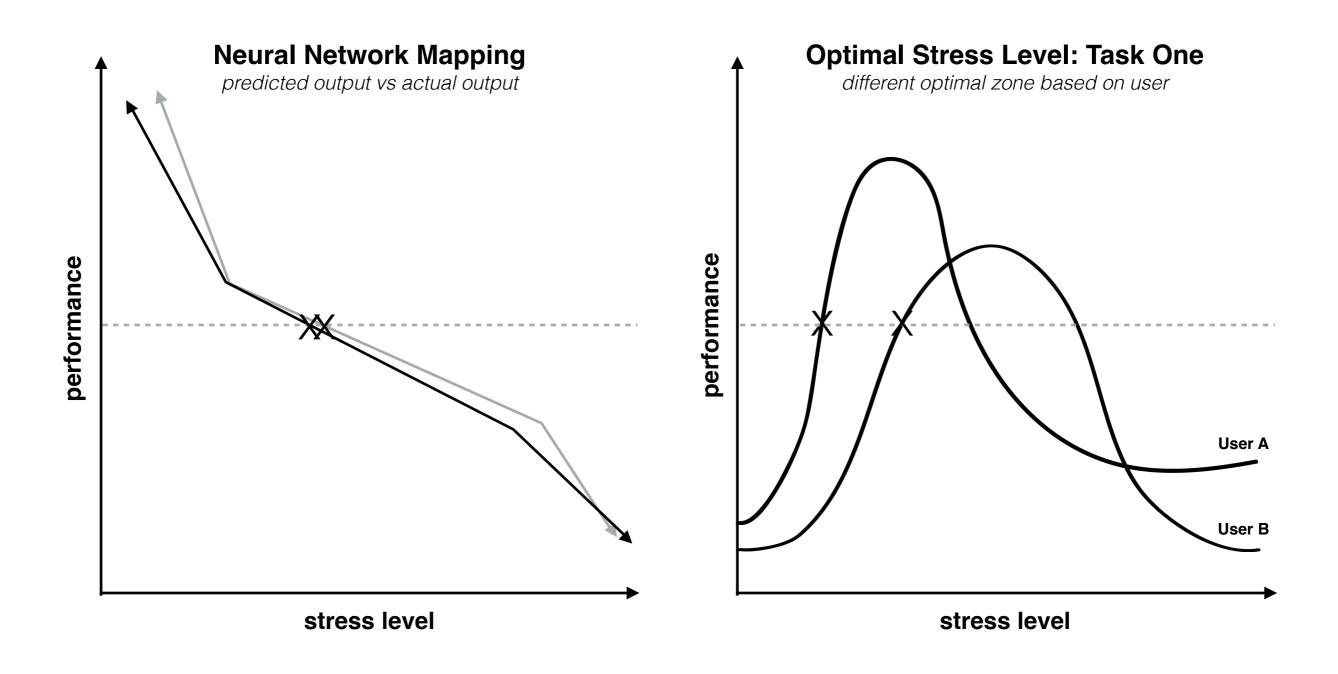
revisit: background research

we can view this "optimal zone" as a point on a graph (i.e. performance as a function)

If we frame this problem as a function approximation problem, we can use a neural network to learn the performance function for a unique user in time



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what will these "unique needs" look like?

dynamic
user-dependent
environment-dependent
time-dependent

how can we dynamically change a user's environment?

we need a system that can adapt to these dynamic specifications.

would a neural network be a good fit?

what will these "unique needs" look like?

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we can use a neural network that learns to predict a user's performance based on stress level

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how can we measure stress level?

in order to determine how we will represent stress level, we should first look at the architecture of a neural network

^{8.} Michael A. Nielsen, "Neural Networks and Deep Learning", Determination Press, 2015.

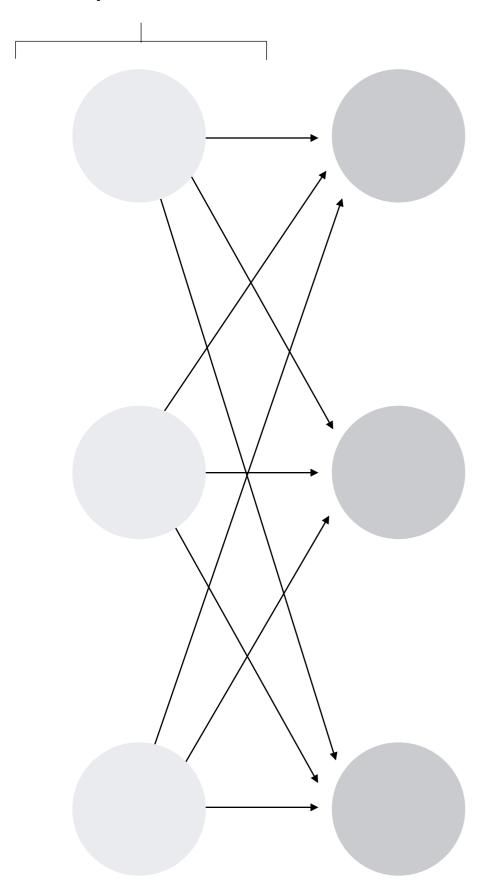
^{9.} Welch Labs. Neural Networks Demystified [Part 4: Backpropagation]. Retrieved from https://www.youtube.com/watch?v=GlcnxUlrtek

^{10.} Multilayer Perceptron — DeepLearning 0.1 documentation. (n.d.). Retrieved May 10, 2017, from http://deeplearning.net/tutorial/mlp.html

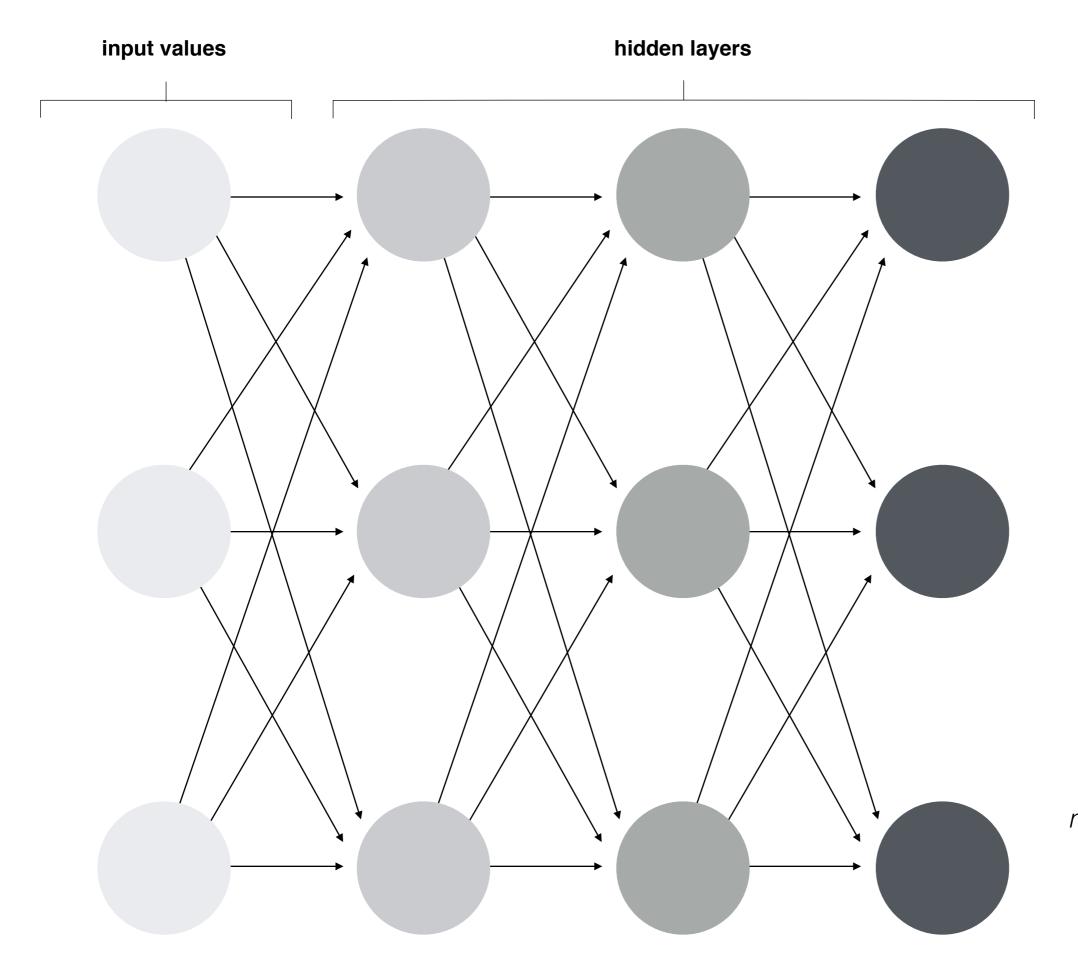
input values

The input to a neural network is a series of values (either discrete or continuous)

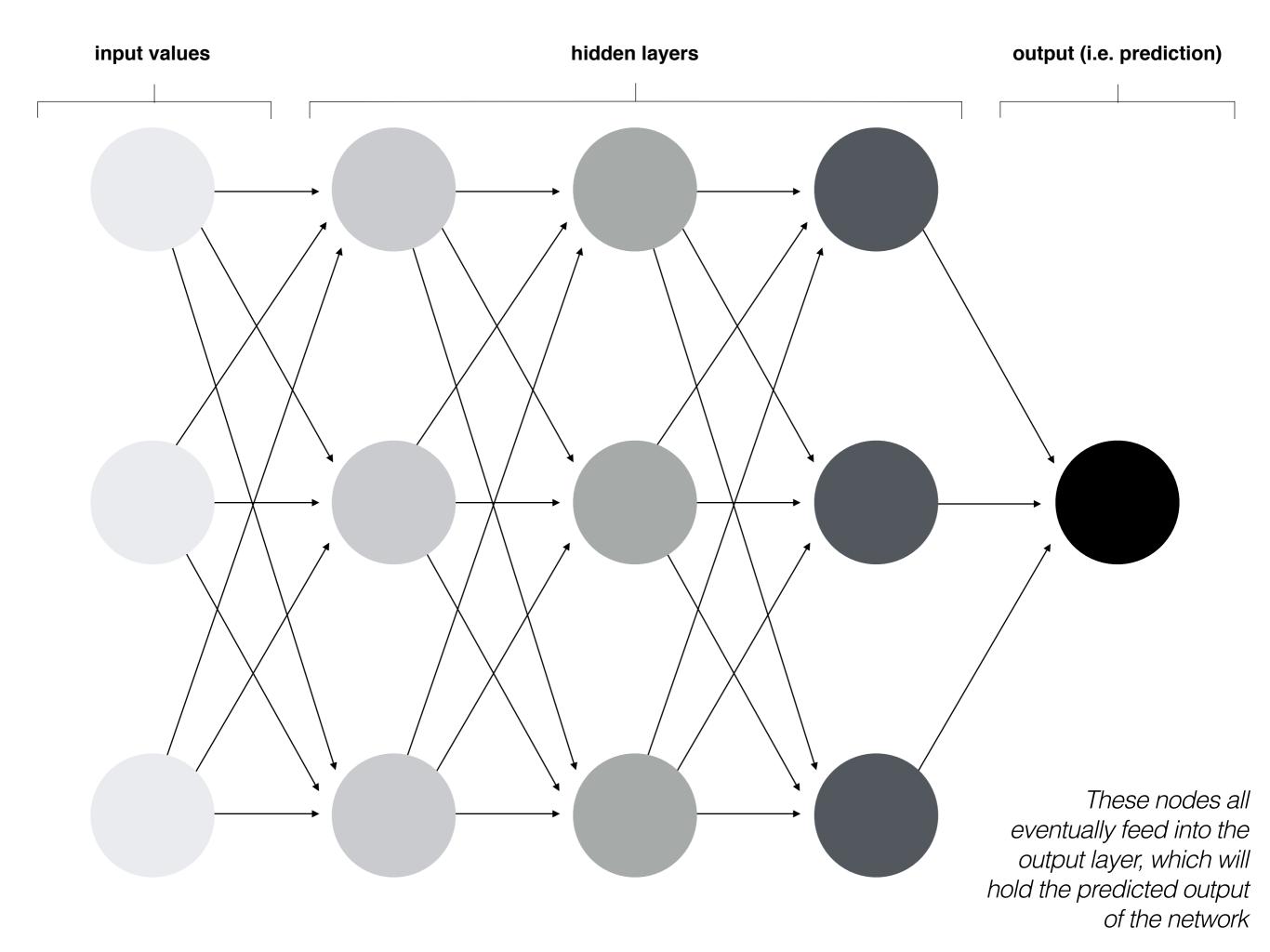
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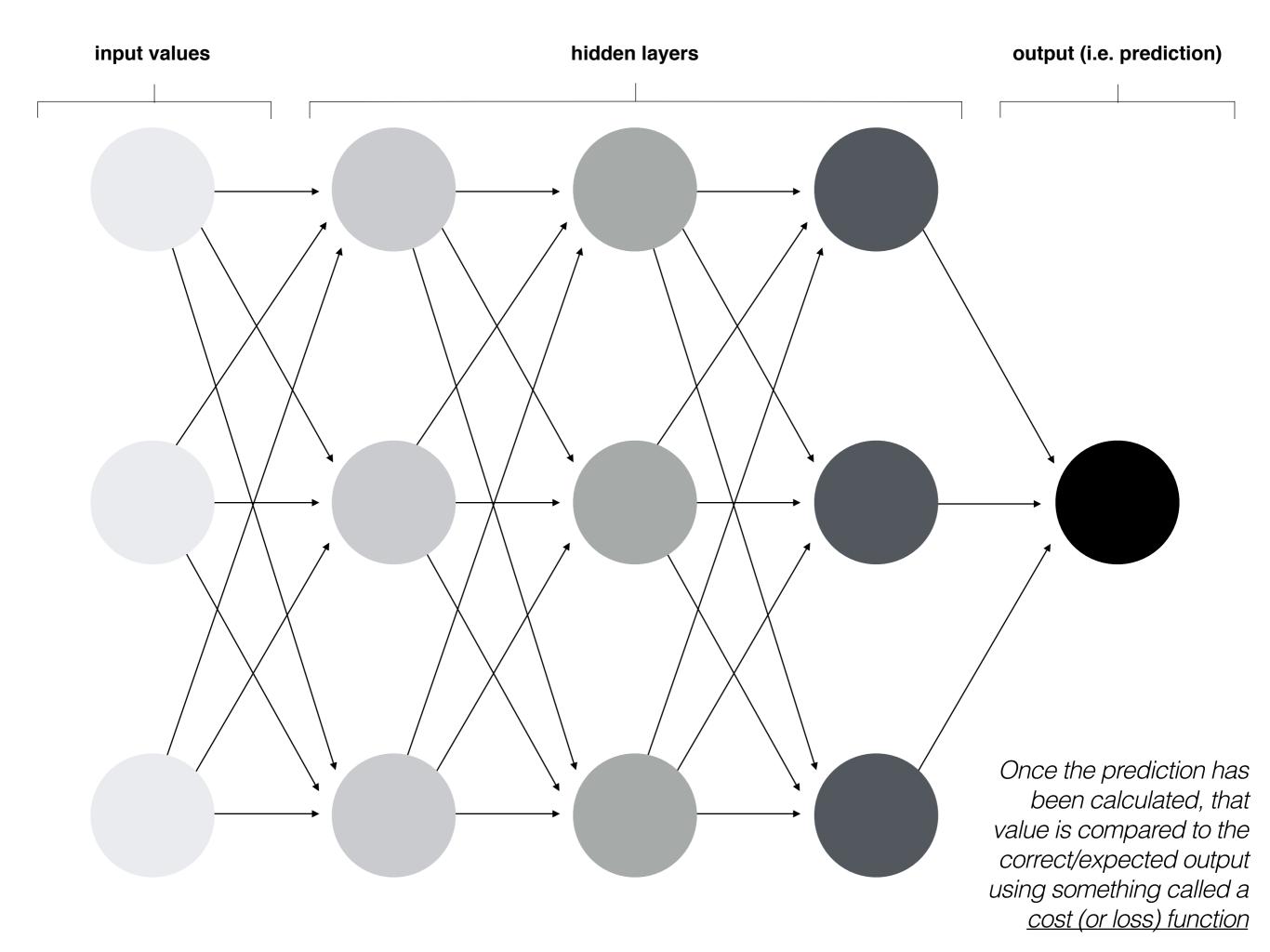


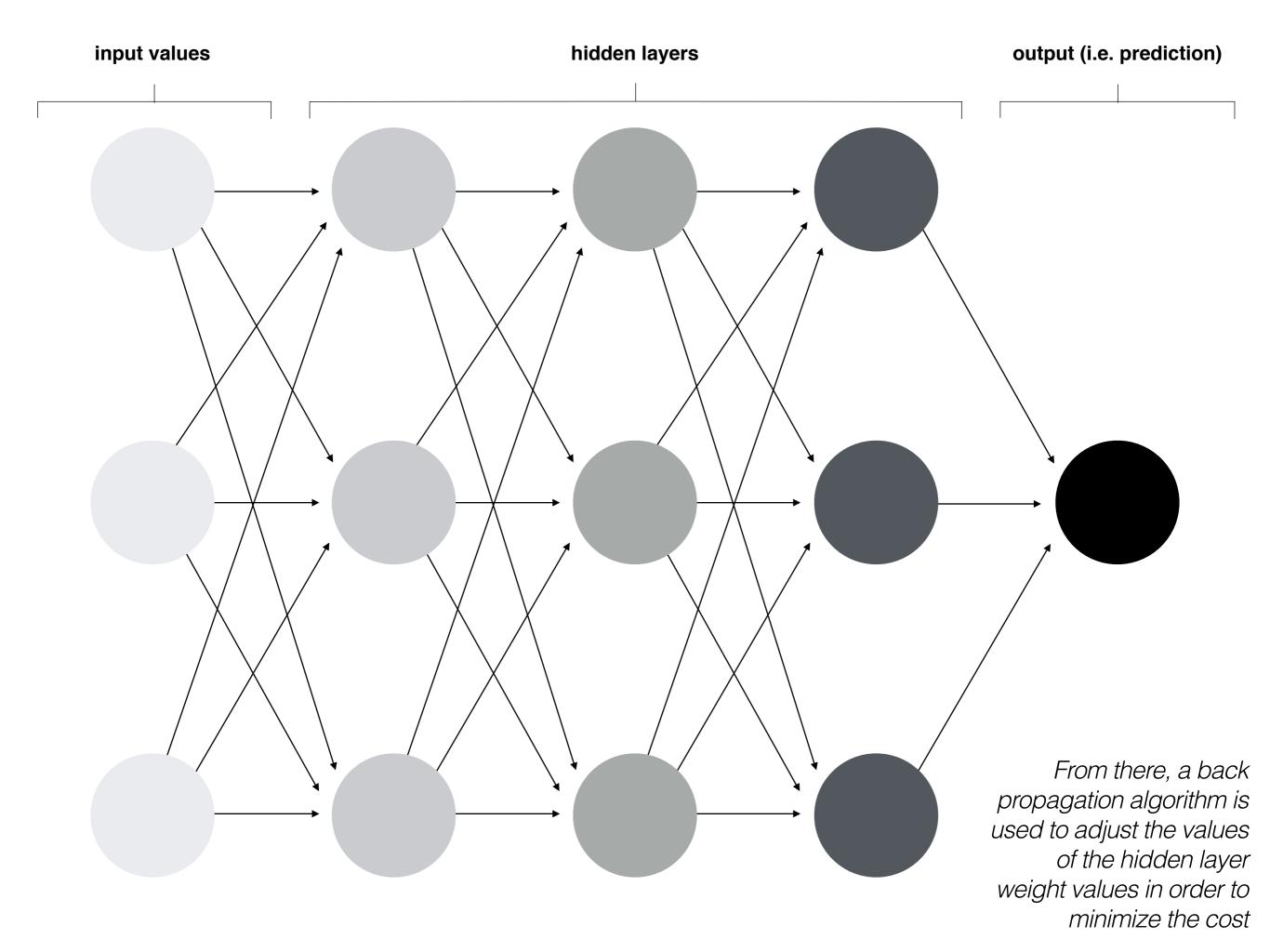
Each of these input values will feed into a series of hidden layer nodes (which hold a weight value between 0 and 1)

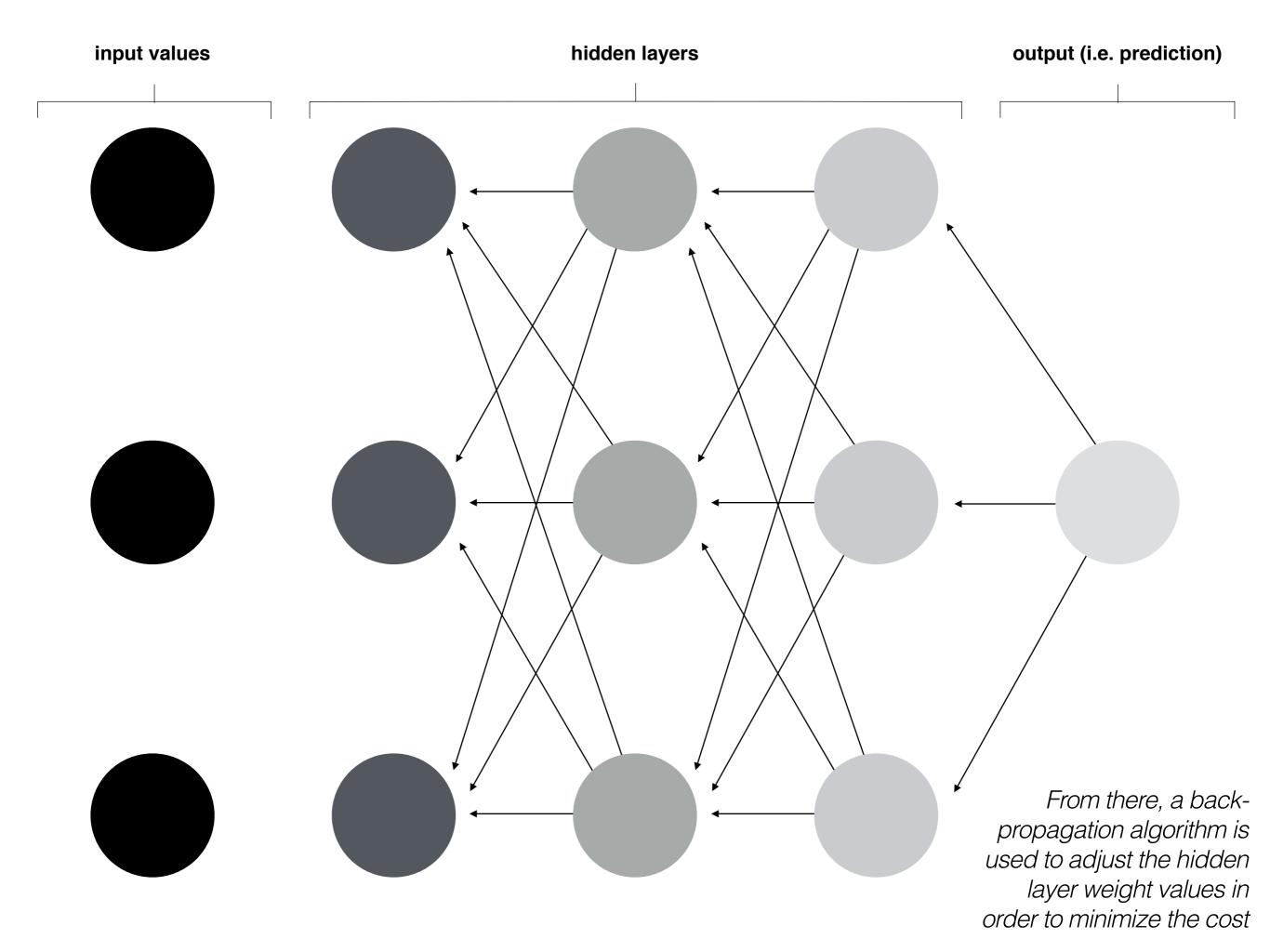


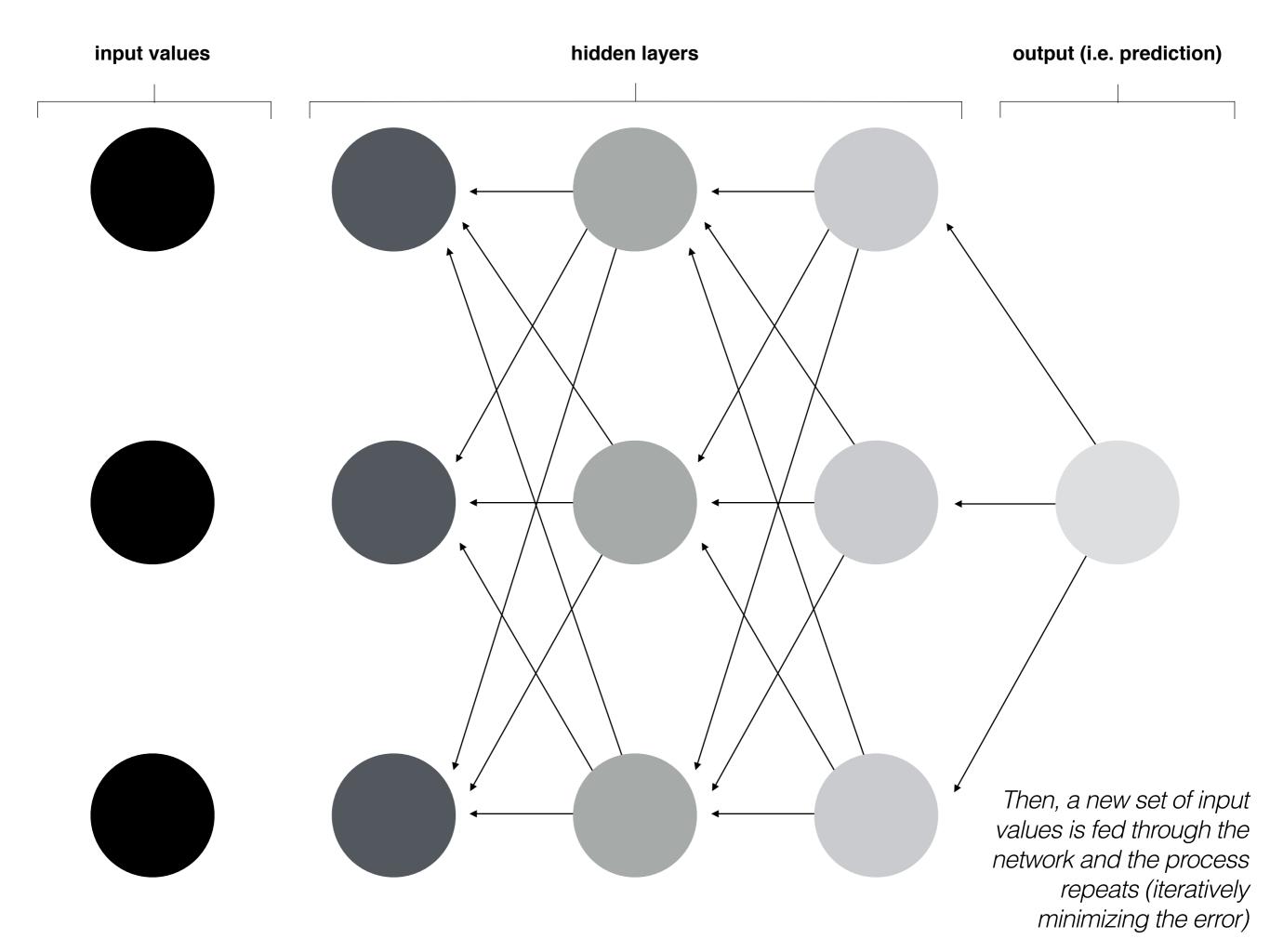
The number of weight nodes in a single hidden layer & the number of total hidden layers is typically dependent on the complexity of the problem being solved

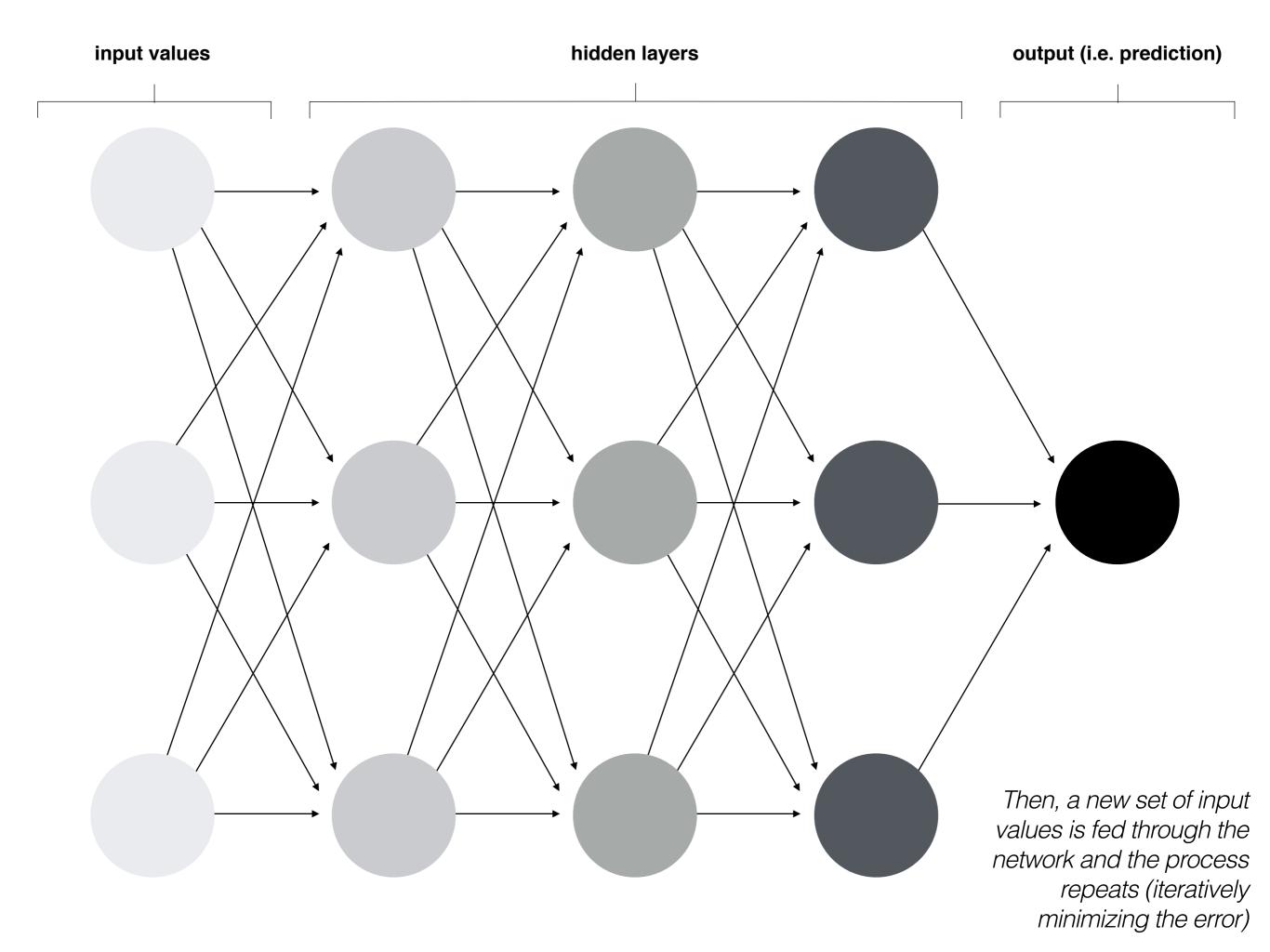


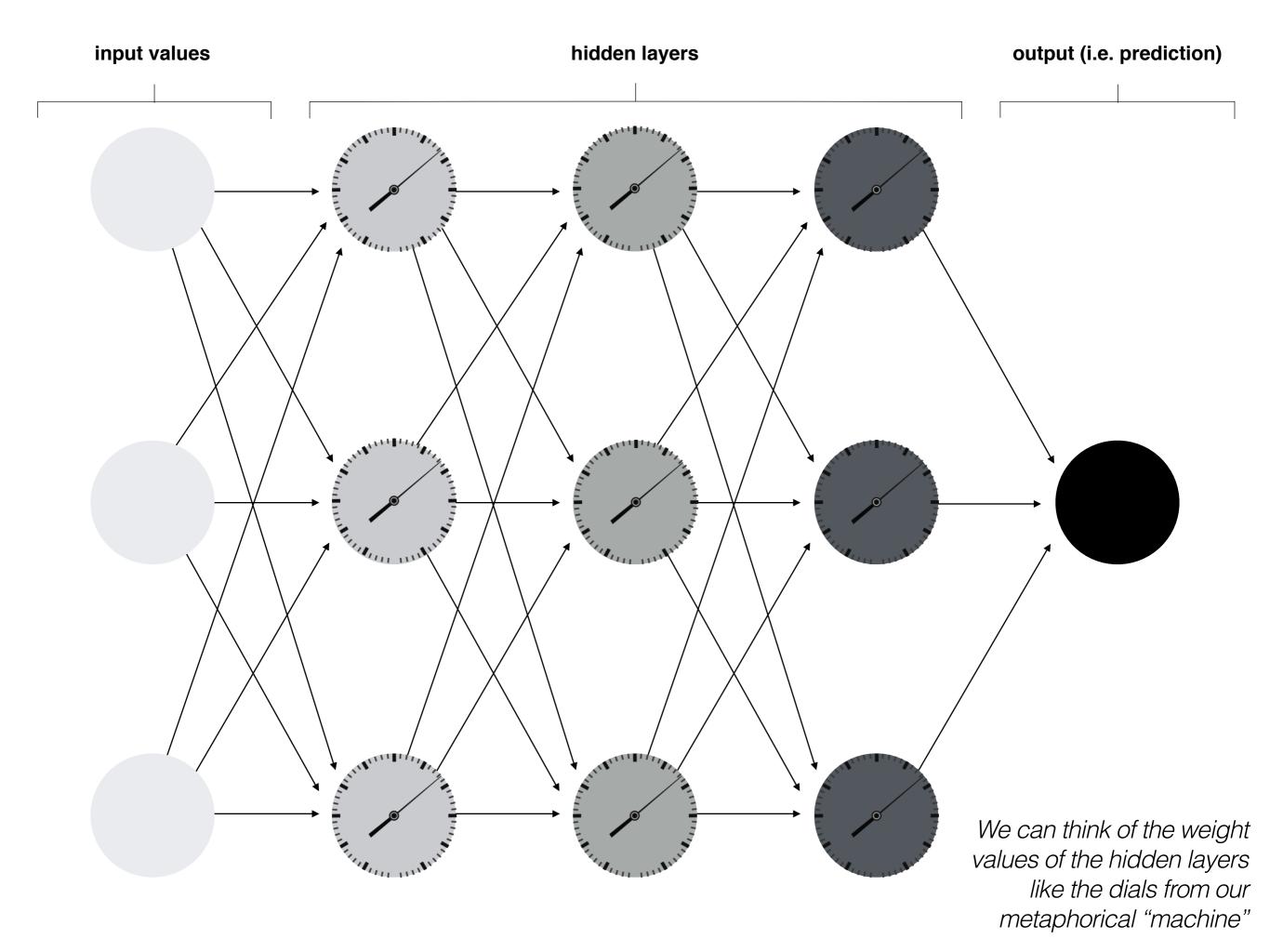


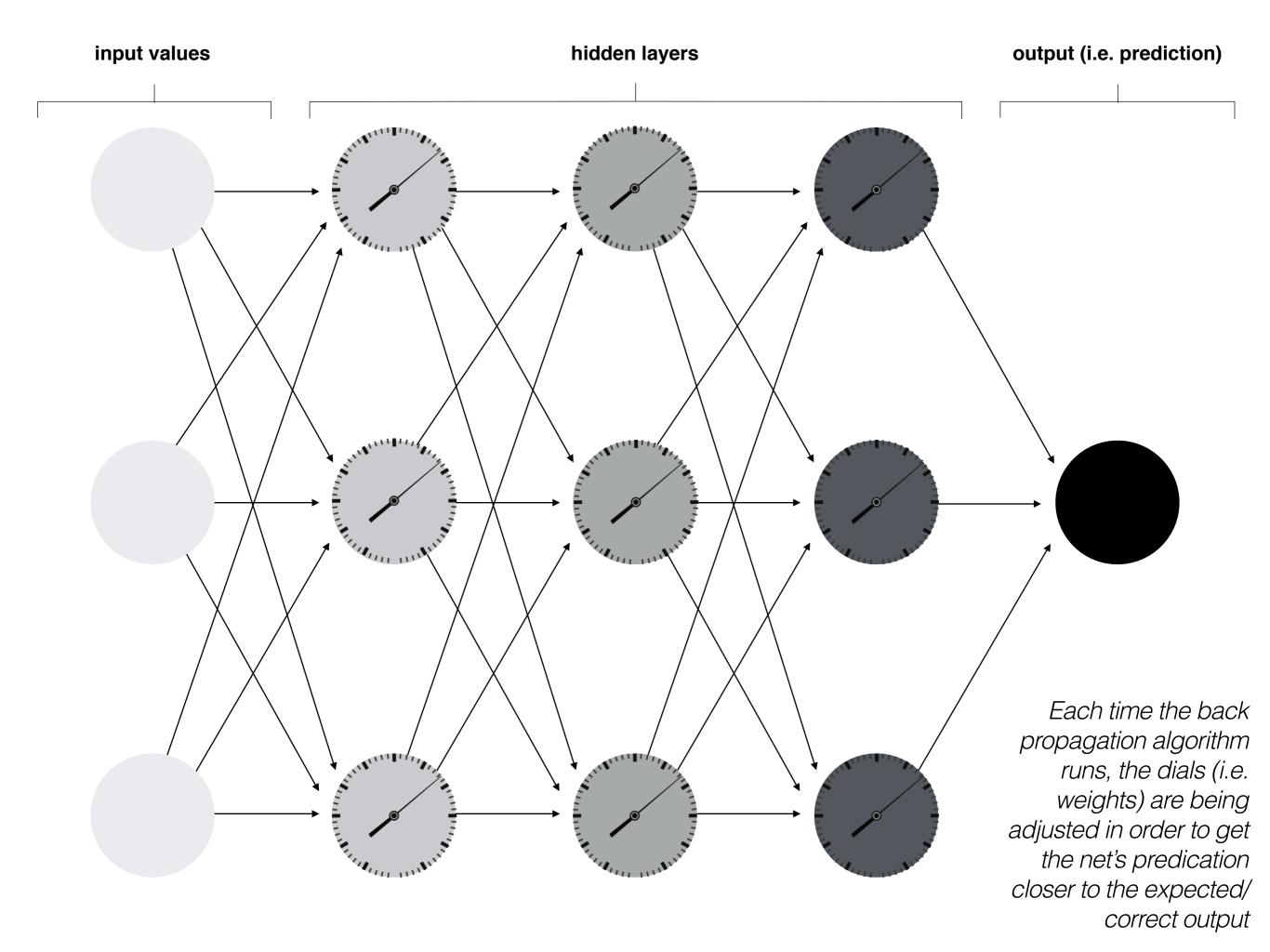


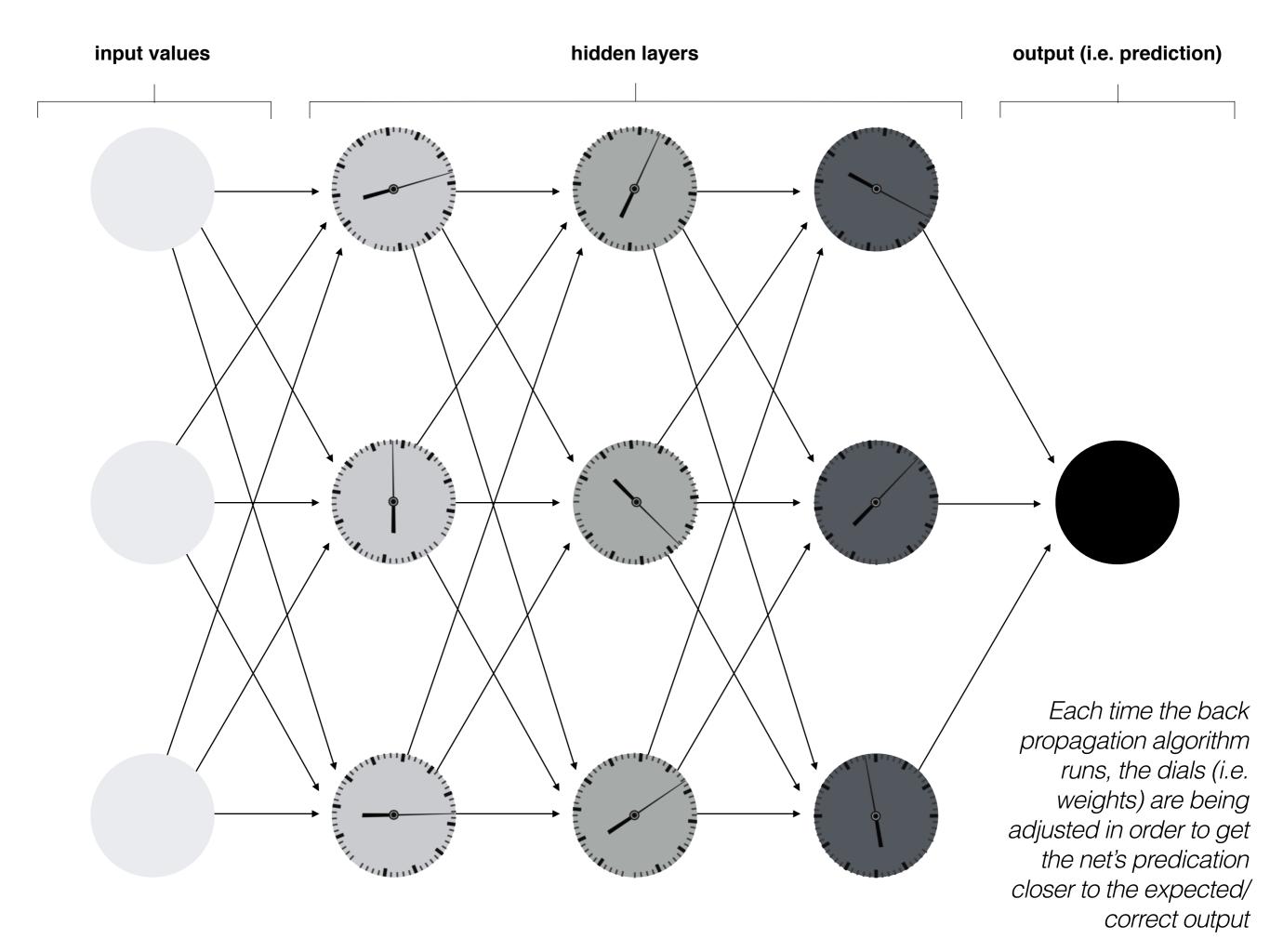


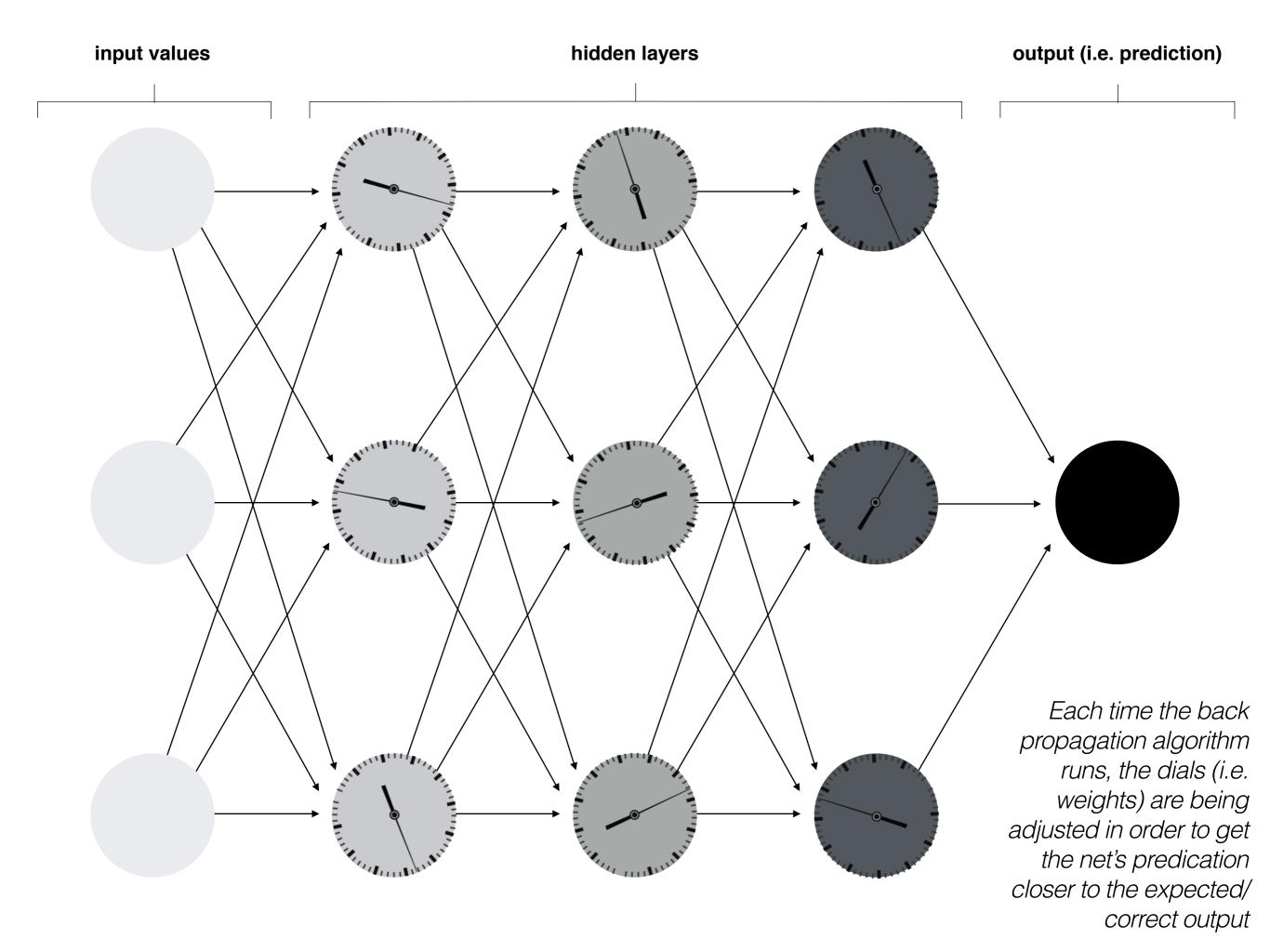












applying the NN model to the problem space

we now know that the input of a neural network is a series of discrete or continuous numeric values

we can represent stress level in our neural network by obtaining a series of numeric values that reflect the level of difficulty in the user environment

what will these "unique needs" look like?

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how can we dynamically change a user's environment?

we can use a neural network that learns to predict a user's performance based on stress level

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we can use a neural network that learns to predict a user's performance based on *environment parameters* that capture difficulty/stress level

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once we have that model, we can use it to modify the environment parameters because we have successfully approximated the user performance function

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we now have two distinct objectives that the neural network must meet

objective #1

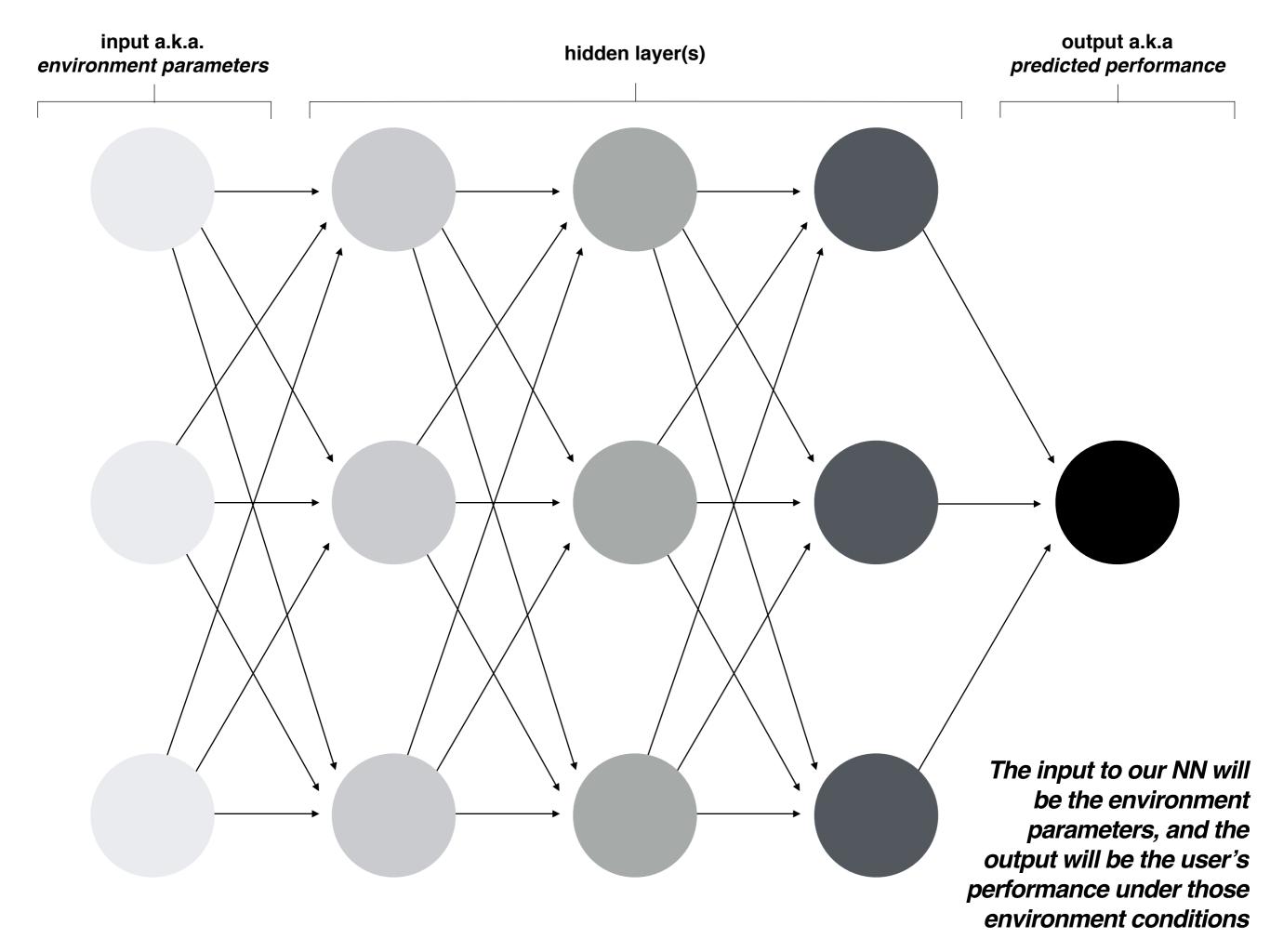
predict a user's performance based on environment parameters

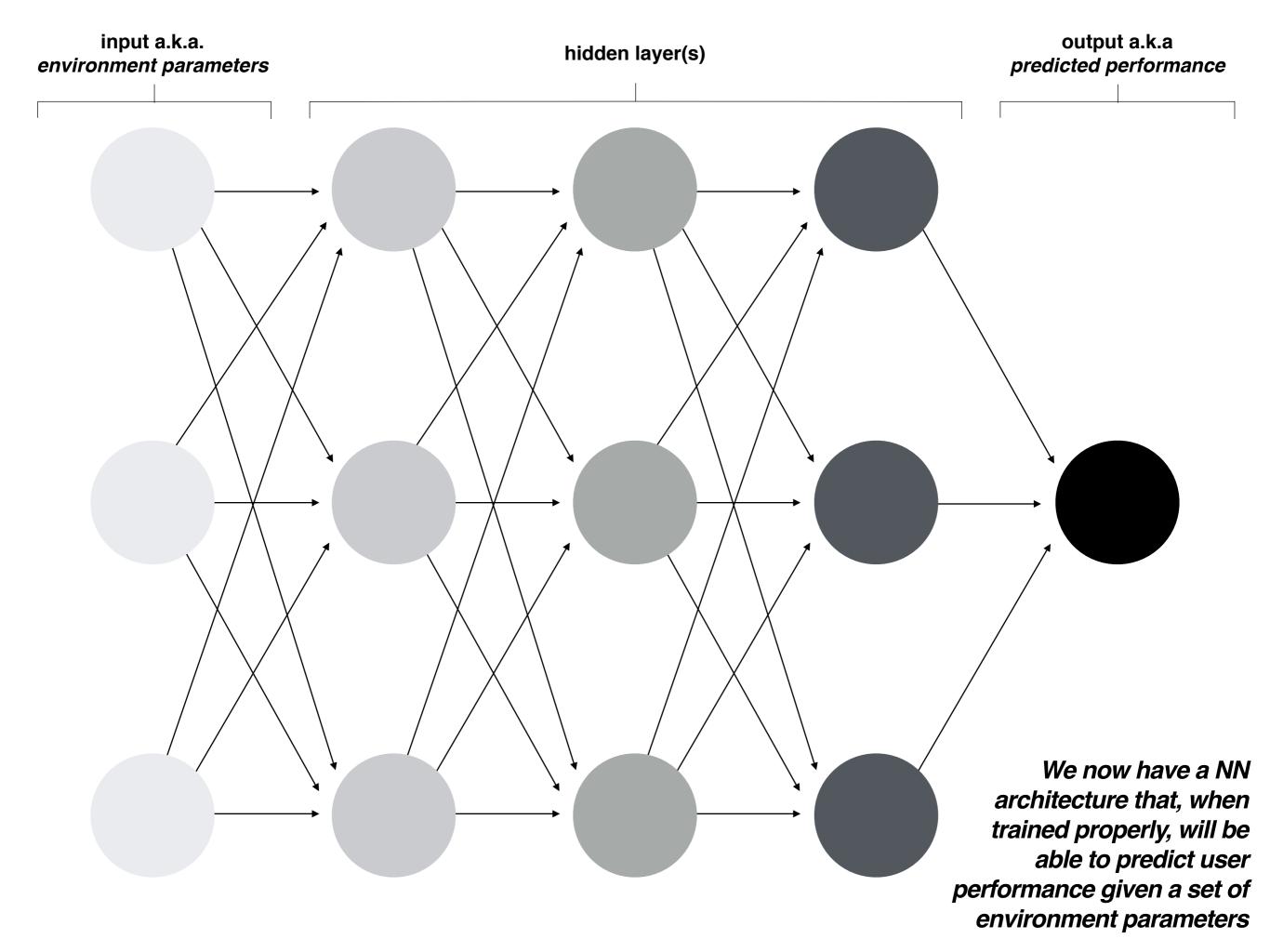
objective #2

modify the environment parameters based on our performance objective

meeting the objectives

objective #1 predict a user's performance based on environment parameters





meeting the objectives

objective #2 modify the environment parameters based on a performance objective

more questions: environment modification

how can we use a NN to modify the environment parameters in pursuit of some performance objective?

Backpropagation: The NN Powerhouse



The Concept

We previously looked at the metaphorical "machine" and how we could think about NN weights simplistically like a bunch of dials. You may have been asking: how do we know which way to turn the dials, and how much? The answer is backpropagation and gradient descent.

In order to adjust the NN weights to values that achieve higher accuracy (i.e. lower cost), we use partial derivatives to iteratively take "steps" (i.e. weight adjustments, dial "turns") toward some goal. In the case of our NN, we want to adjust the weight values to iteratively lower our cost, thus achieving greater predictive accuracy.

Backpropagation: The NN Powerhouse

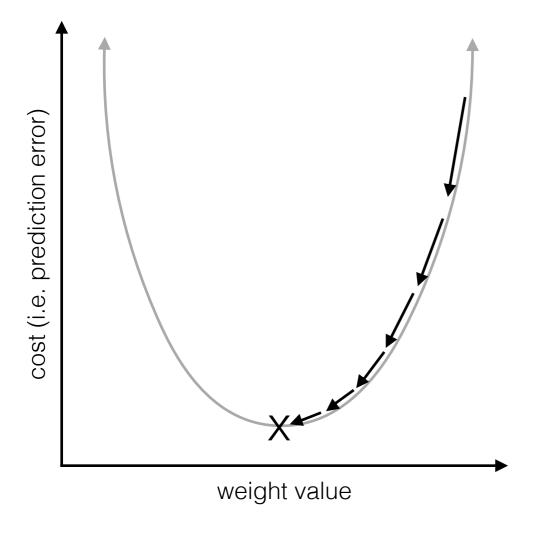


The Concept

Hinton et. al (1986) describe backpropagation:

"The procedure repeatedly adjusts the weights of the connections in the network so as to minimize a measure of the difference between the actual output vector of the net and the desired output vector. As a result of the weight adjustments, internal 'hidden' units which are not part of the input or output come to represent important features of the task domain, and the regularities in the task are captured by the interactions of these units." 11

Backpropagation: The NN Powerhouse



Gradient Descent & Cost Minimization

Each time the backpropagation algorithm updates the weights of the net, the following occurs:

- 1) calculate the gradient for a hidden layer (i.e. the partial derivative of a single weight value w.r.t to the cost function)
- 2) multiply each gradient value by some static learning rate value (a pre-determined constant number)
- 3) subtract each weight by its corresponding gradient value

This process constitutes a single "step" of gradient descent. The goal of this process is to take each weight from some randomized starting value to a global minima in the cost function. The learning rate of the network determines the "step size" taken at each iteration.

extrapolation: parameter adjustments

we can use this same method of gradient descent to modify the environment parameters

NN Weight Values

cost definition

(NN prediction - expected output)

gradient calculation

partial derivative of each <u>weight value</u> in the hidden layer(s) w.r.t the cost function

optimization goal

iteratively decrease the difference between the NN output and the actual user performance

Environment Parameters

cost definition

(NN prediction - performance goal)

gradient calculation

partial derivative of each <u>input value</u> (i.e. <u>environment parameter</u>) w.r.t to the performance delta

optimization goal

iteratively decrease the difference between the NN output and the user performance goal

NN Weight Values

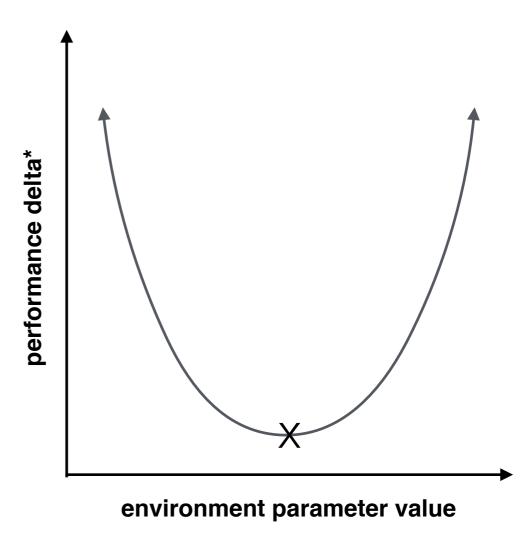
X = global minimum

NN weight value

* where the prediction error is defined as the difference between the NN's prediction and the correct/actual user performance

Environment Parameters

X = global minimum



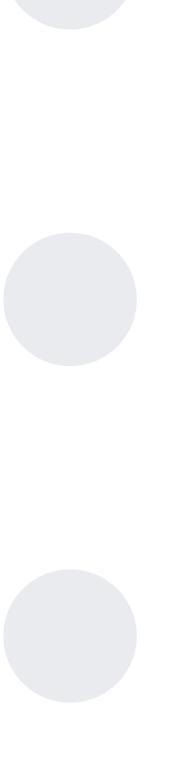
* where the performance delta is defined as the difference between the NN's prediction of the user's performance and the performance goal putting it all together

now that we have met both our objectives, we can see what our full model will look like

ModelArchitecture

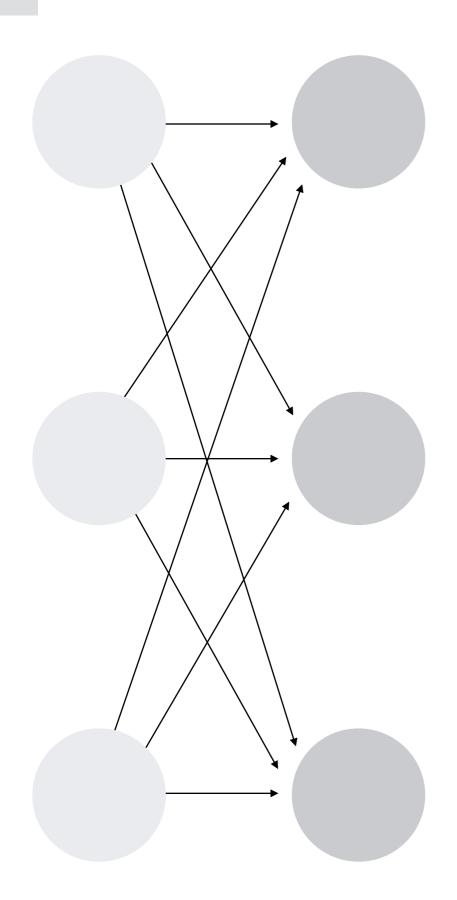
#1

Collect a new dataset that contains the current environment parameter settings & corresponding user performance

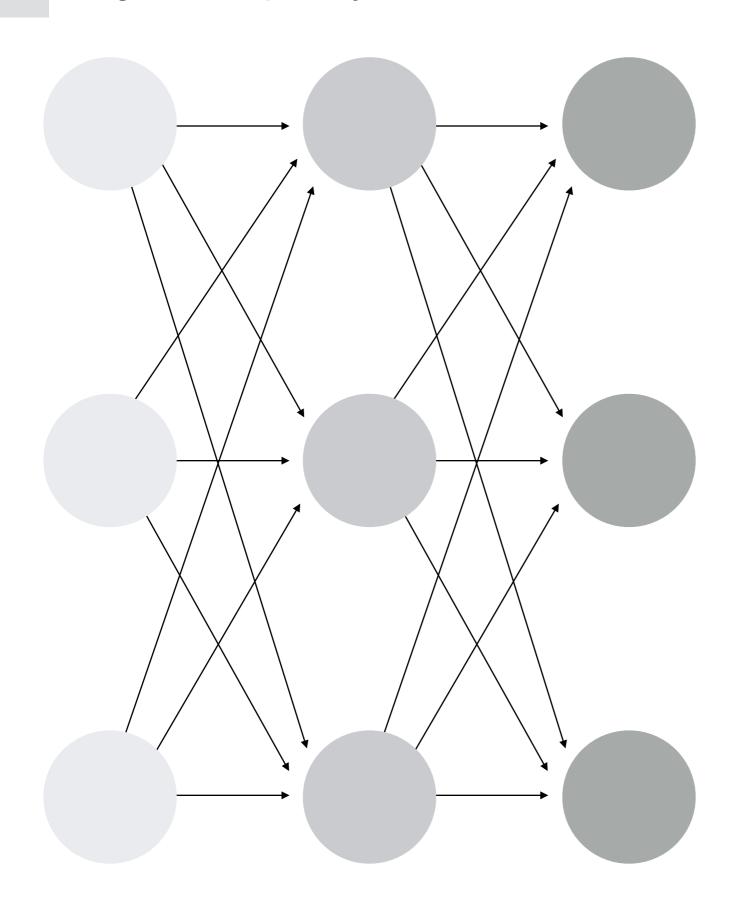


#2

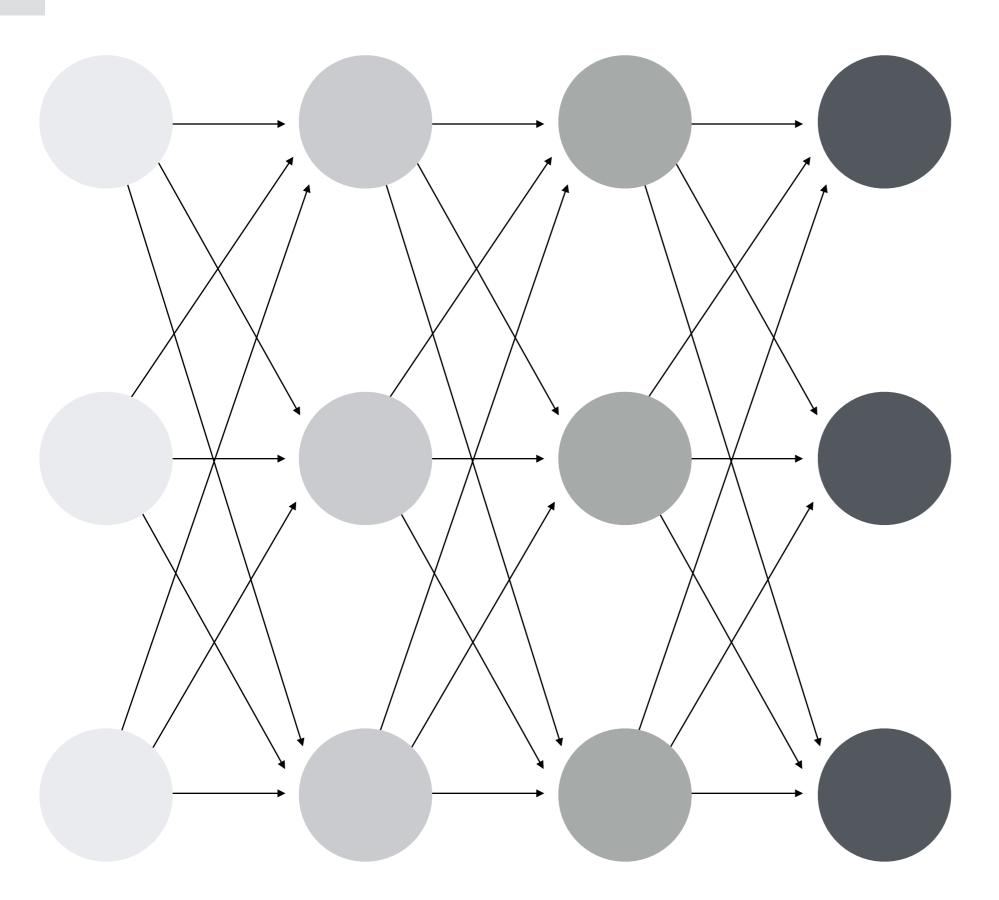
Feed this input through the neural network, using the current weight values (initially random values between 0 and 1)



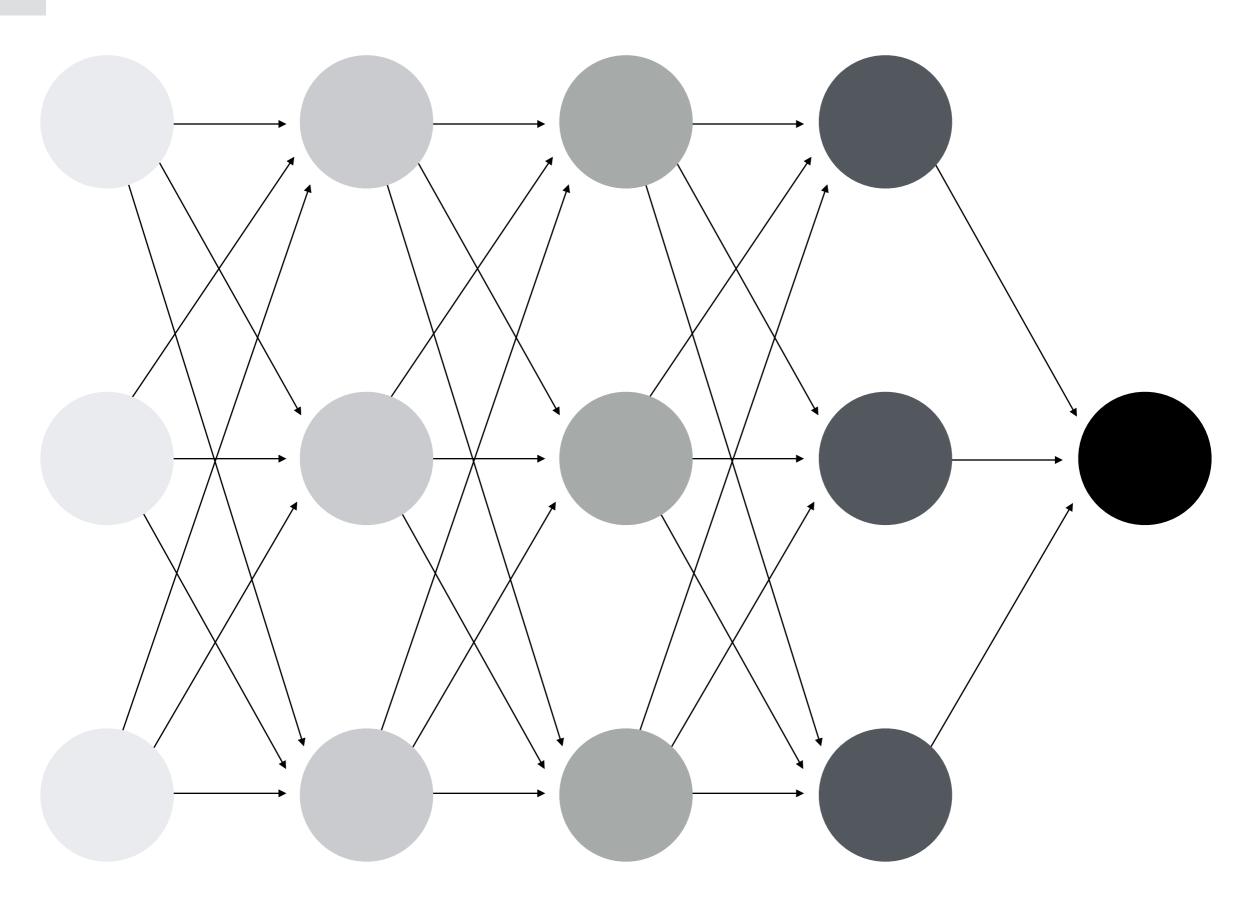
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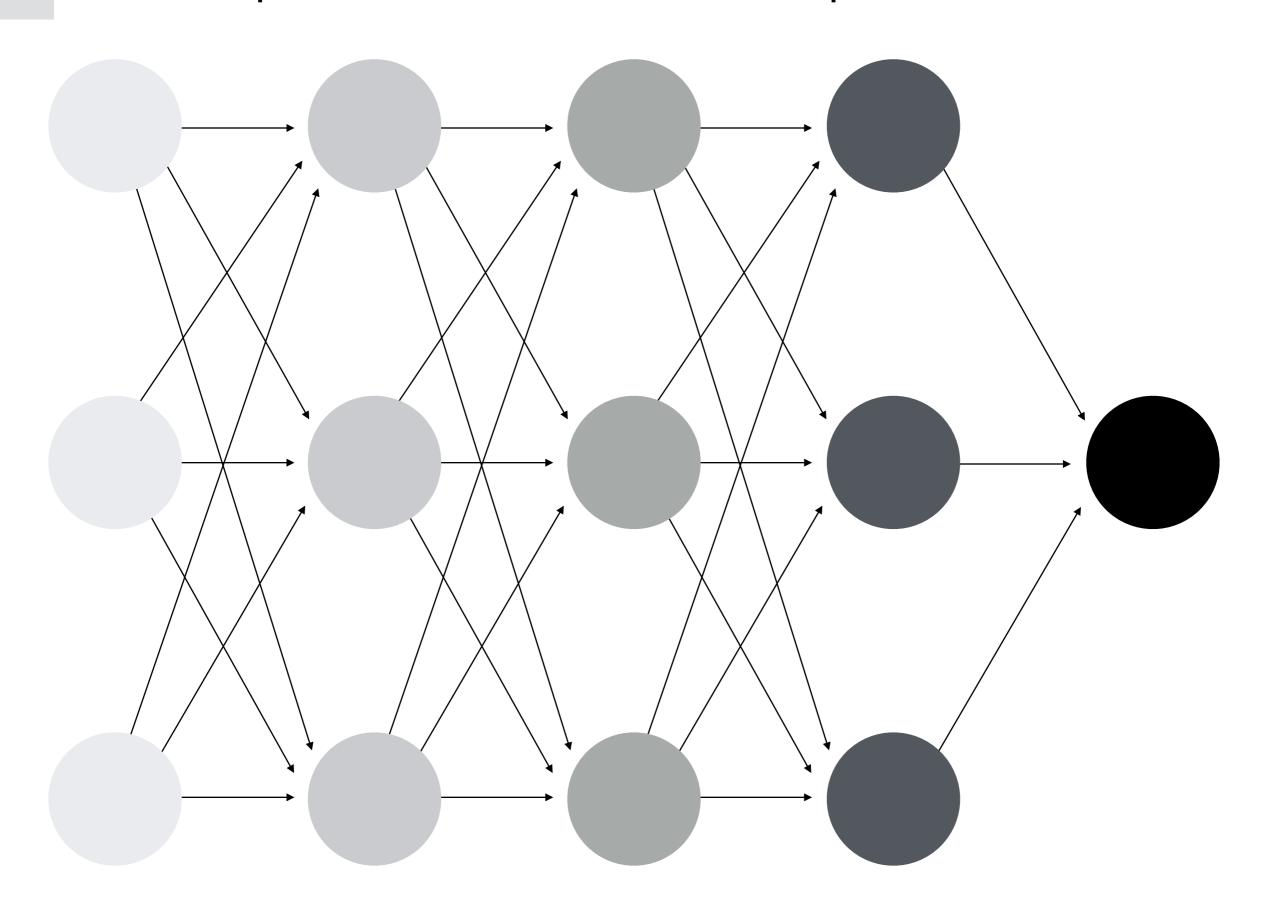
Feed this input through the neural network, using the current weight values (initially random values between 0 and 1)



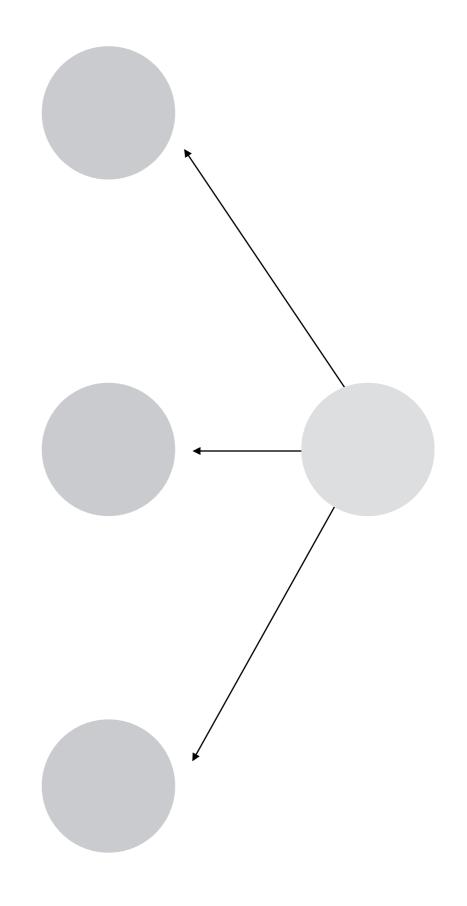
Retrieve the predicted output from the NN (for the environment parameters it was given)



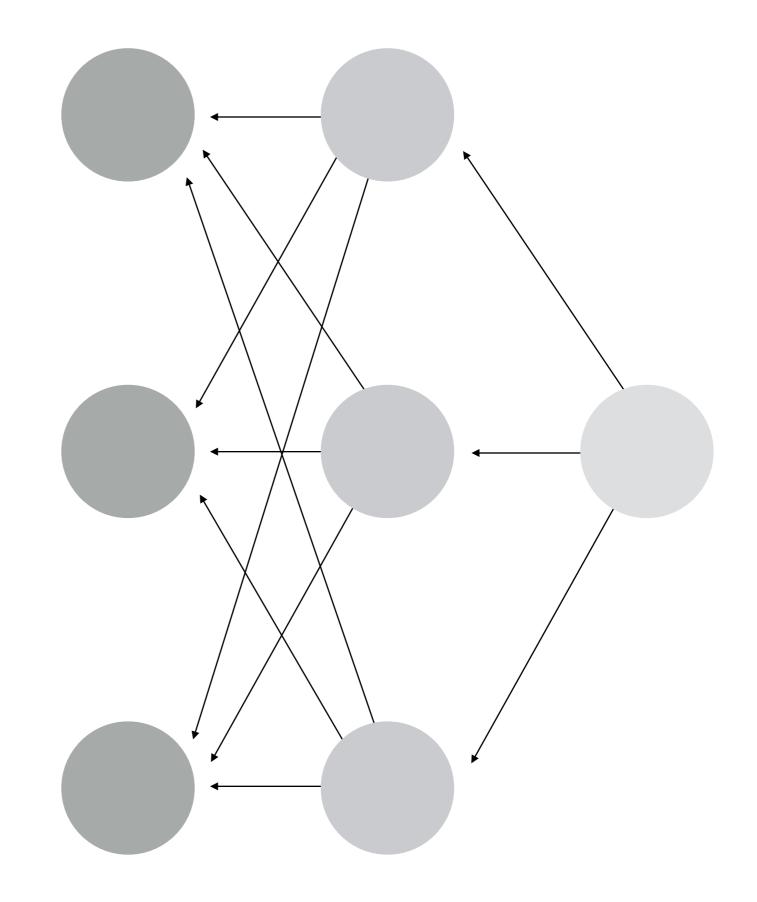
Calculate the error w.r.t to the weight values of the network Calculate the performance delta w.r.t to the environment parameters



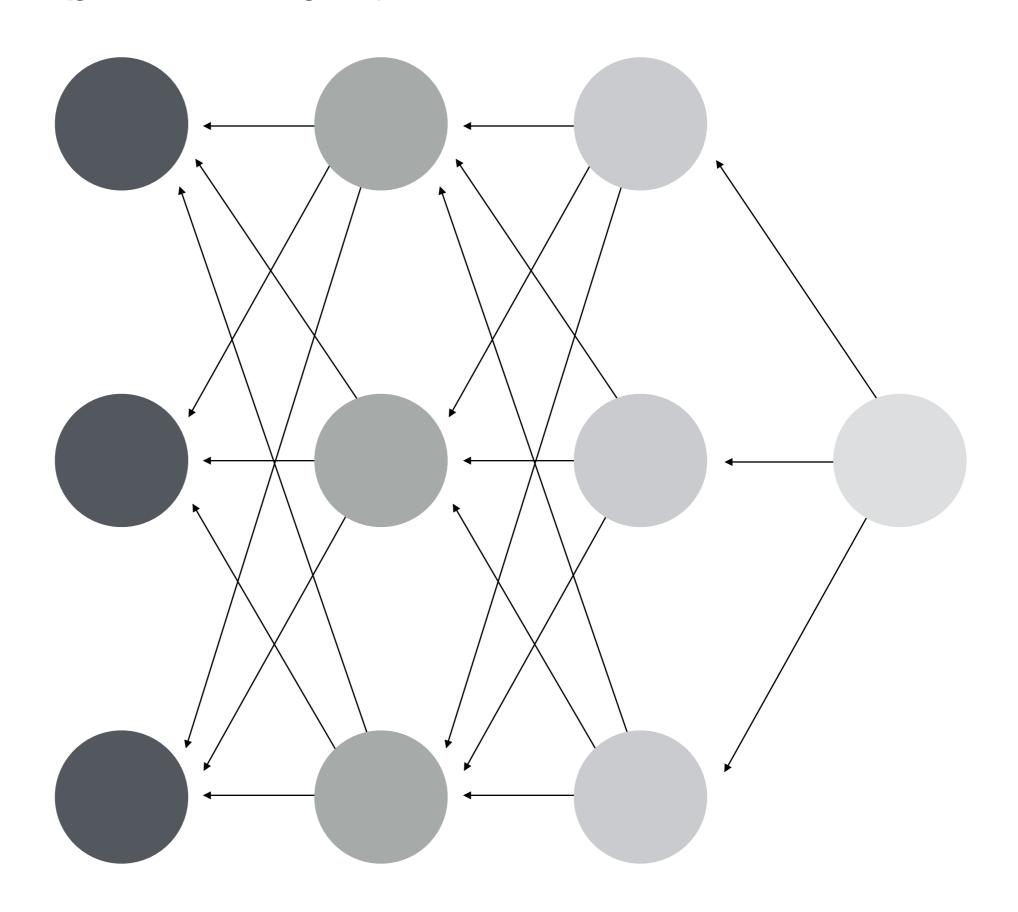
Backpropagate the error of the weight values, modifying each value by the (gradient * learning rate)



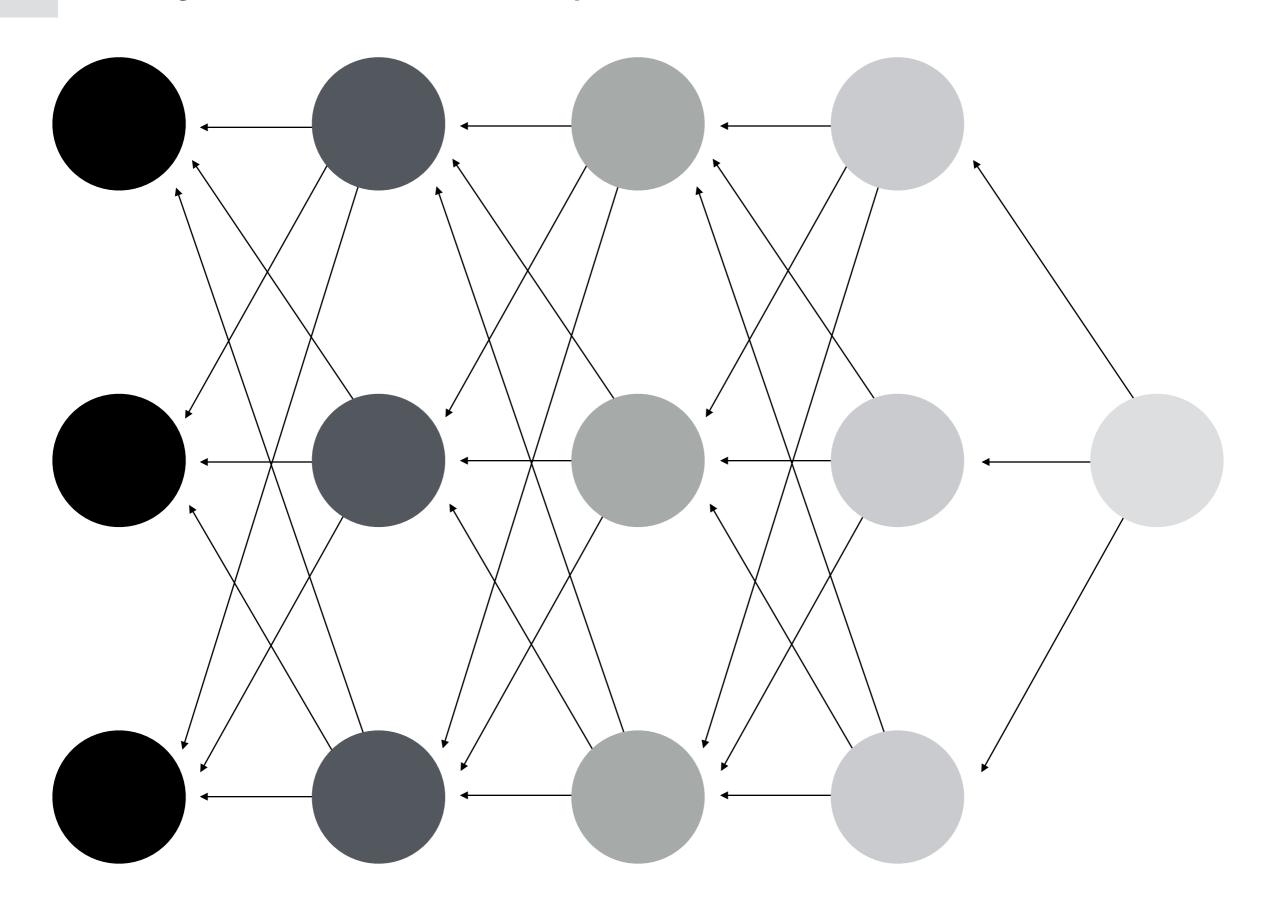
Backpropagate the error of the weight values, modifying each value by the (gradient * learning rate)



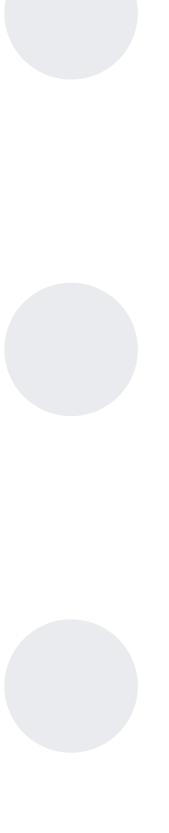
Backpropagate the error of the weight values, modifying each value by the (gradient * learning rate)



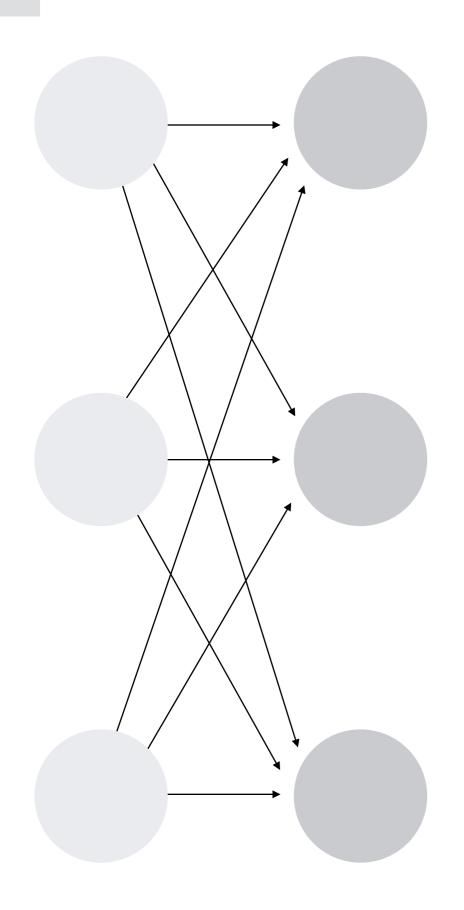
Modify the environment parameter values provided to the net via the gradients calculated from the performance delta

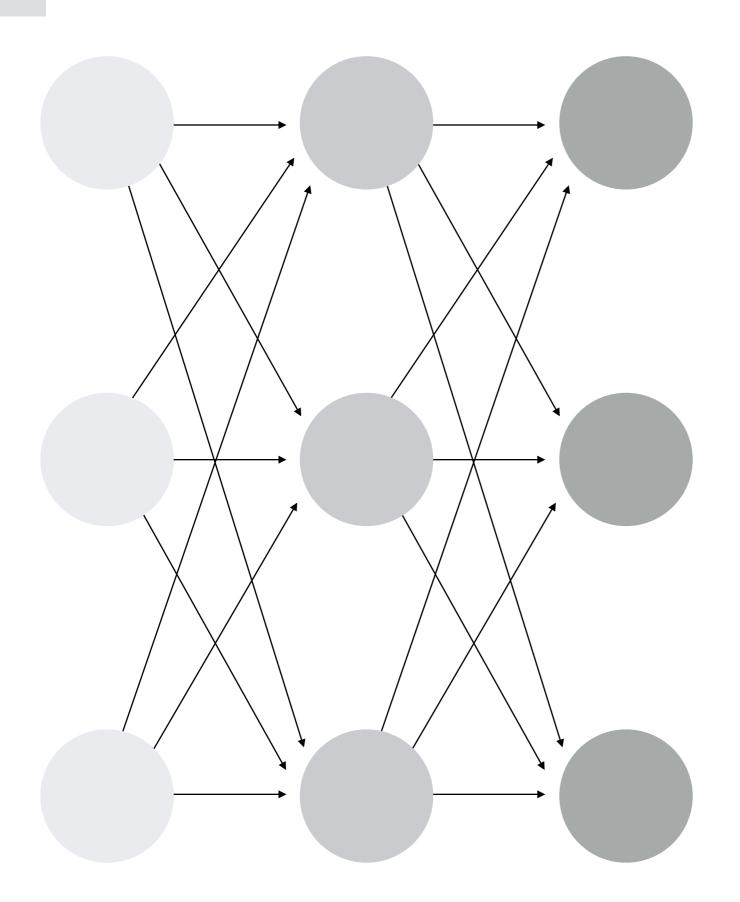


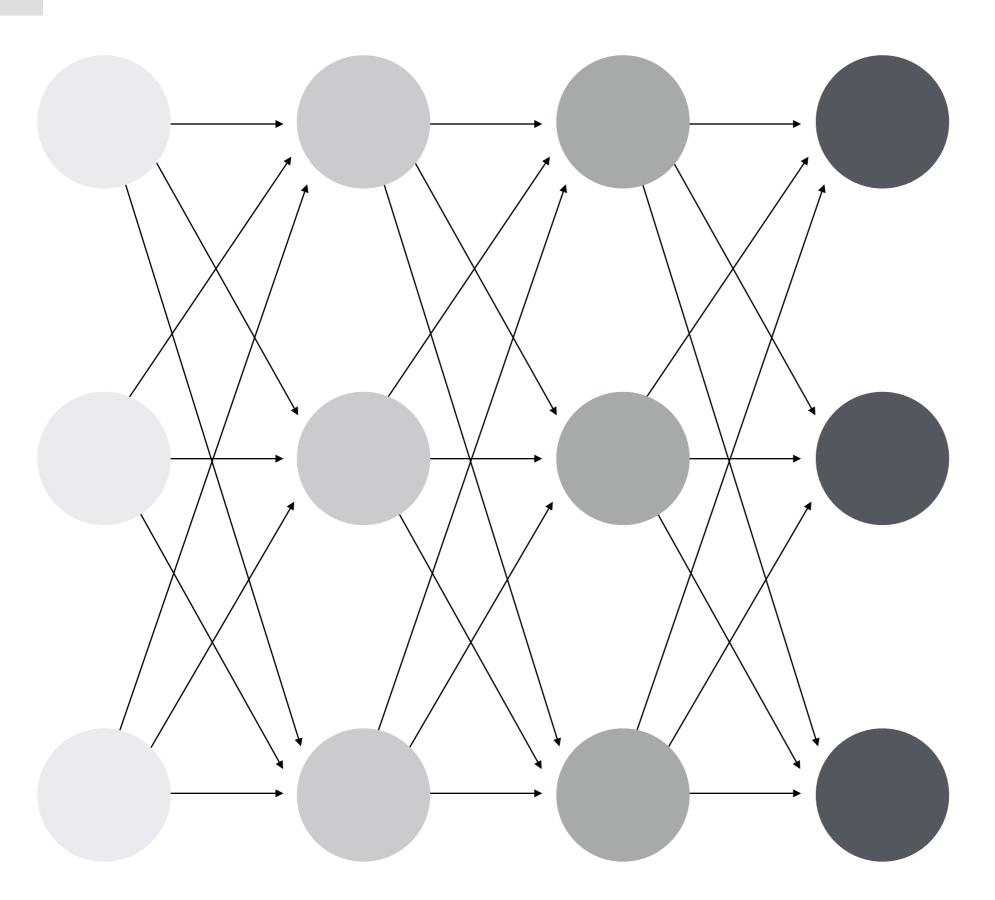


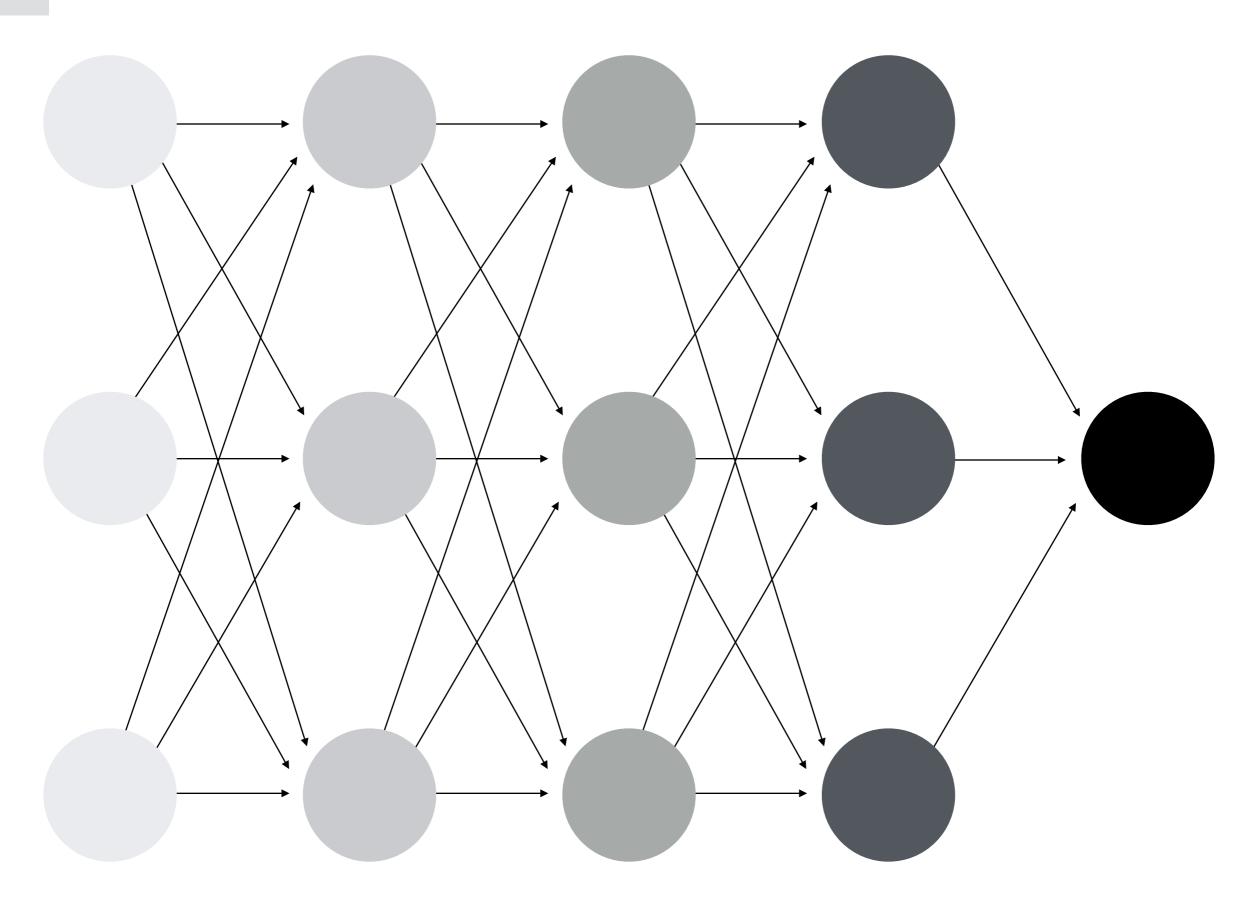


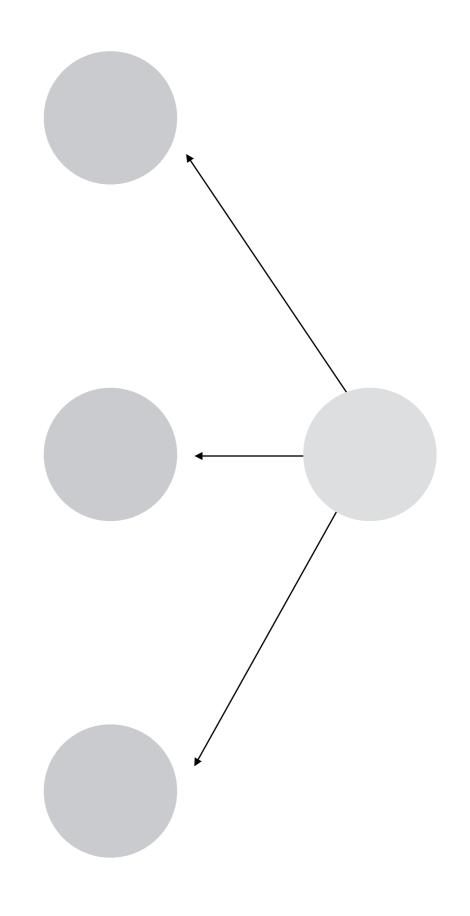
#7

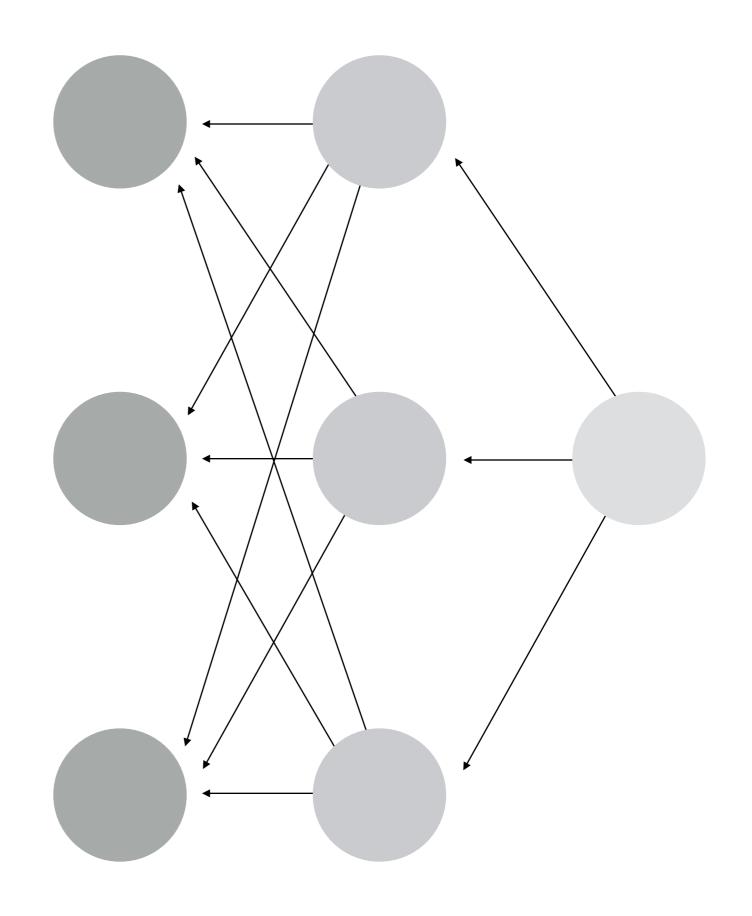


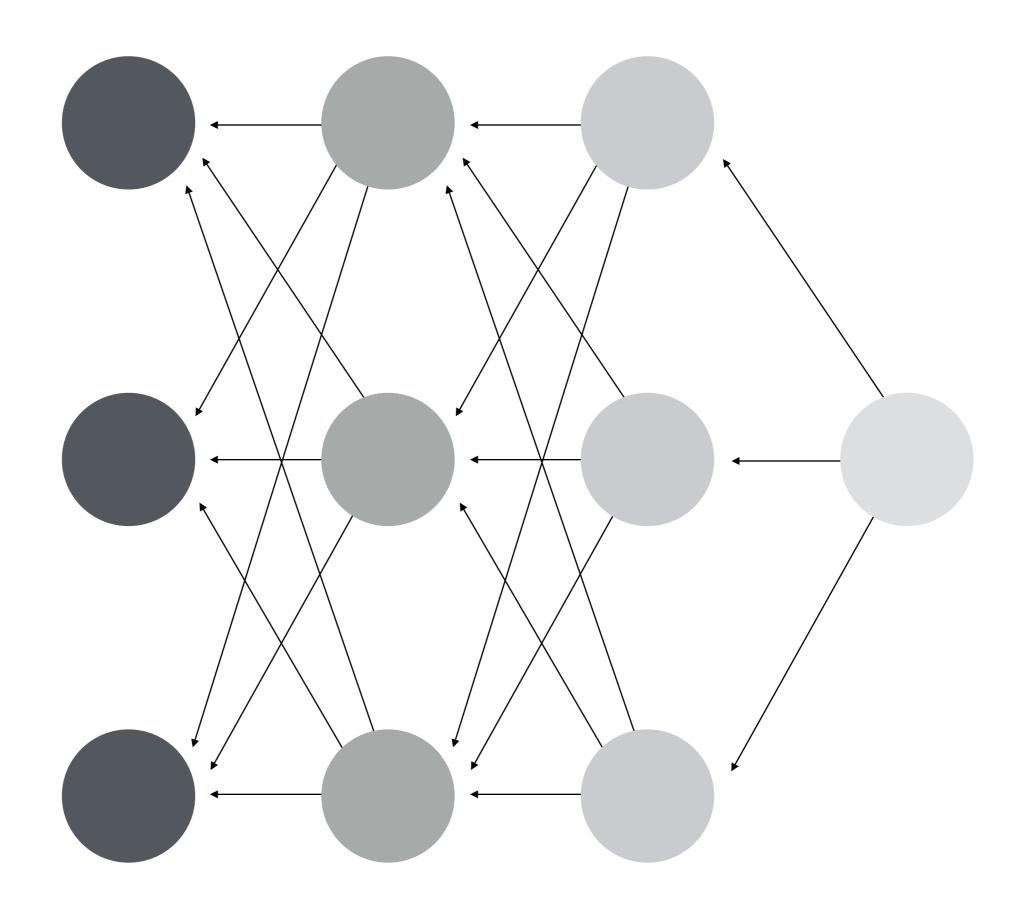


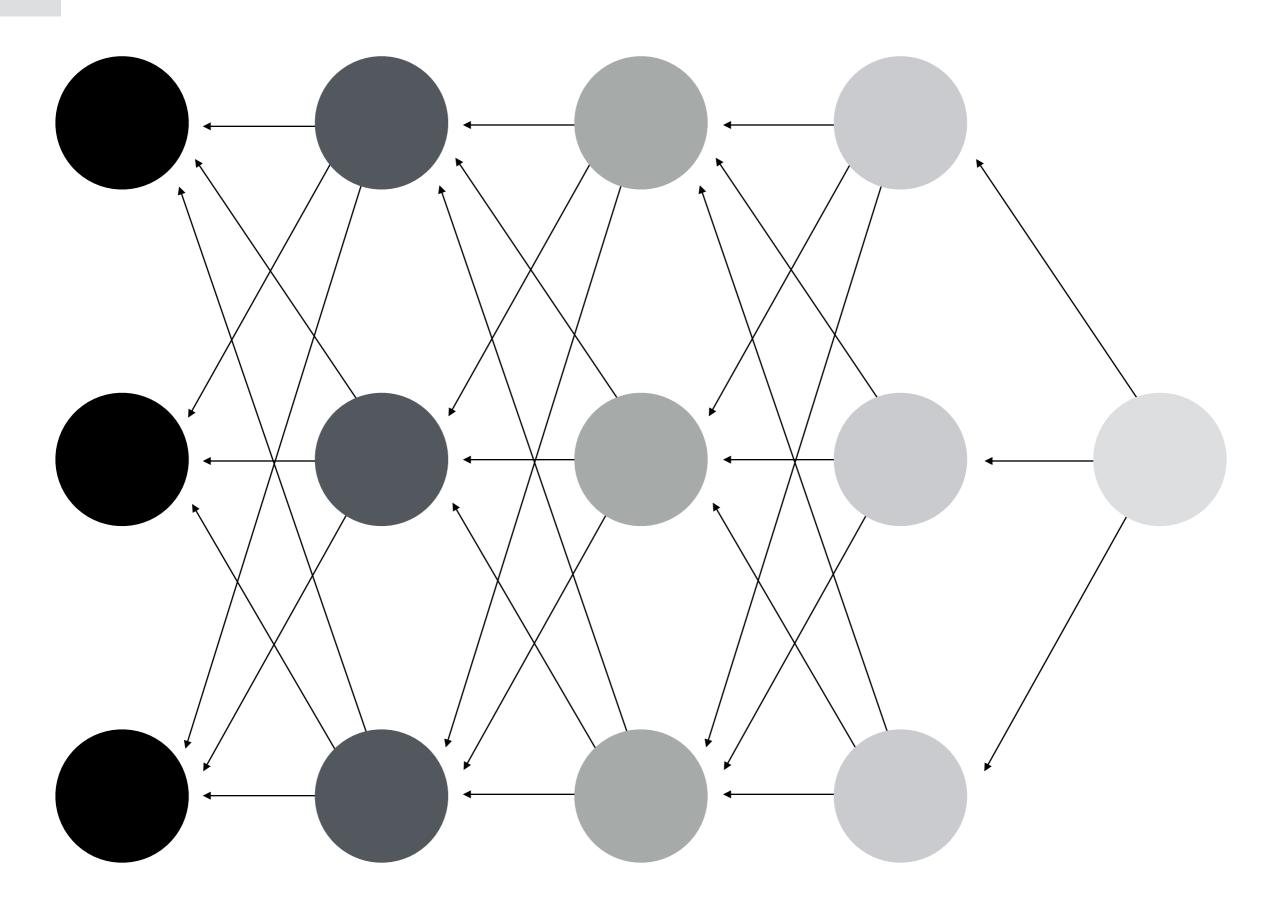








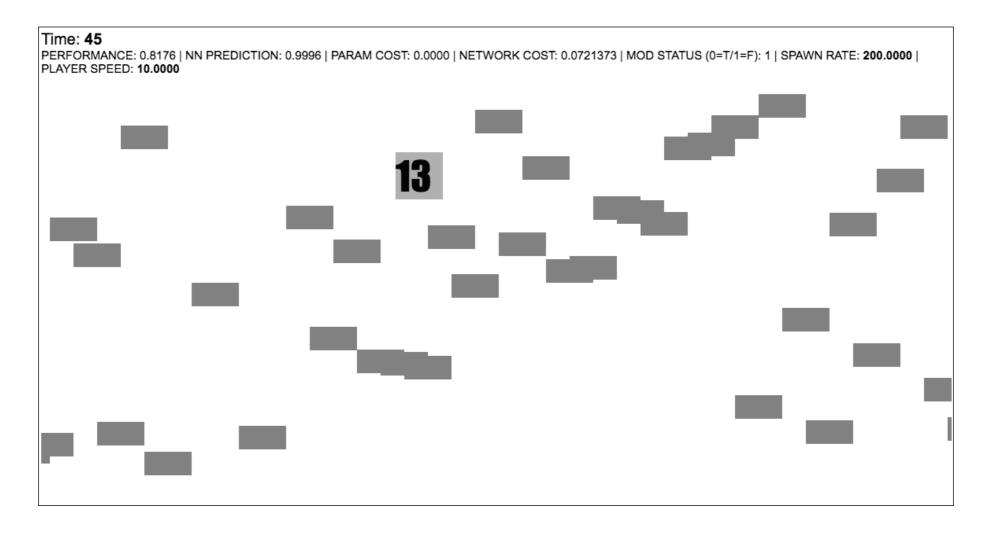




now that we have our model, let's implement it in code and see how it performs!

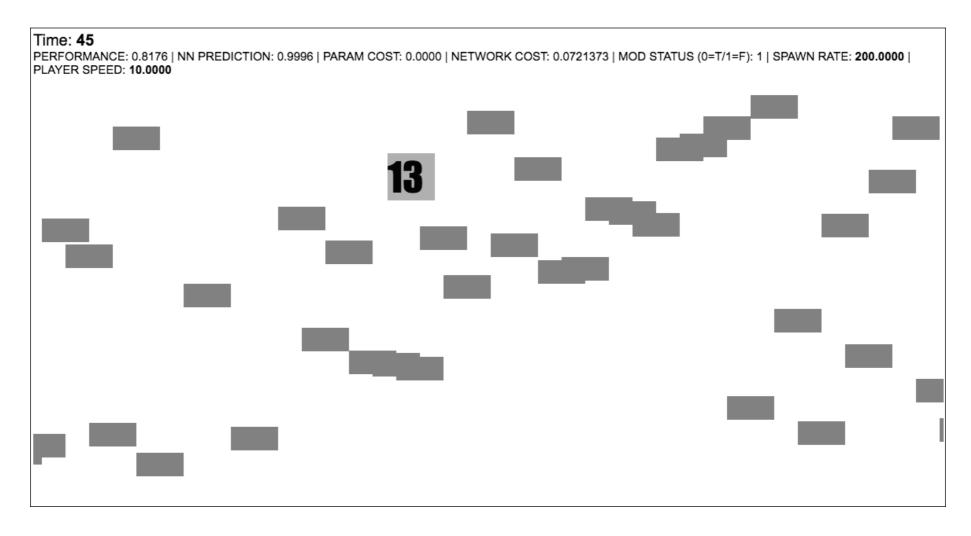
testing the model

but first, we need a testing environment...



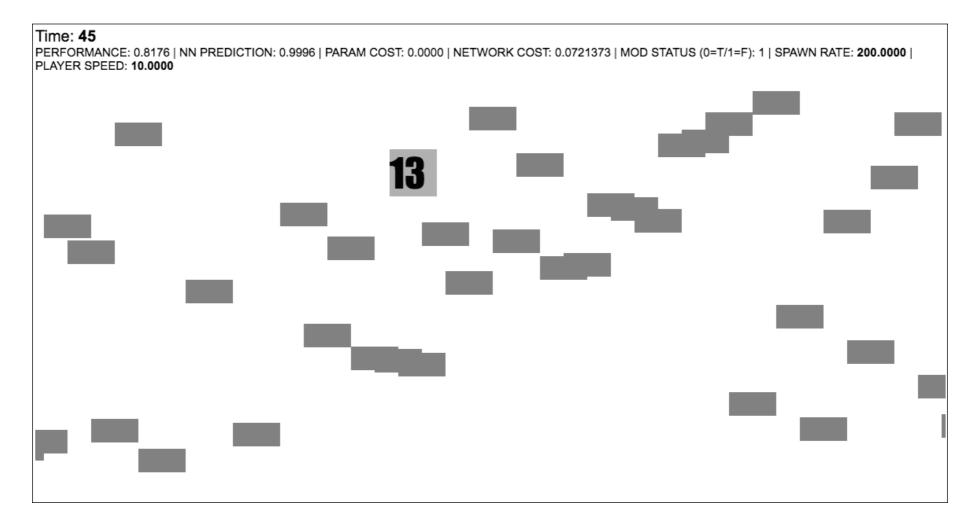
Avoidance: A Simple Testing Environment

For the purposes of testing, I created a game called "Avoidance." The object of the game is to collide with as few enemy blocks as possible. The player controls a small block using the UP, DOWN, LEFT and RIGHT keys.



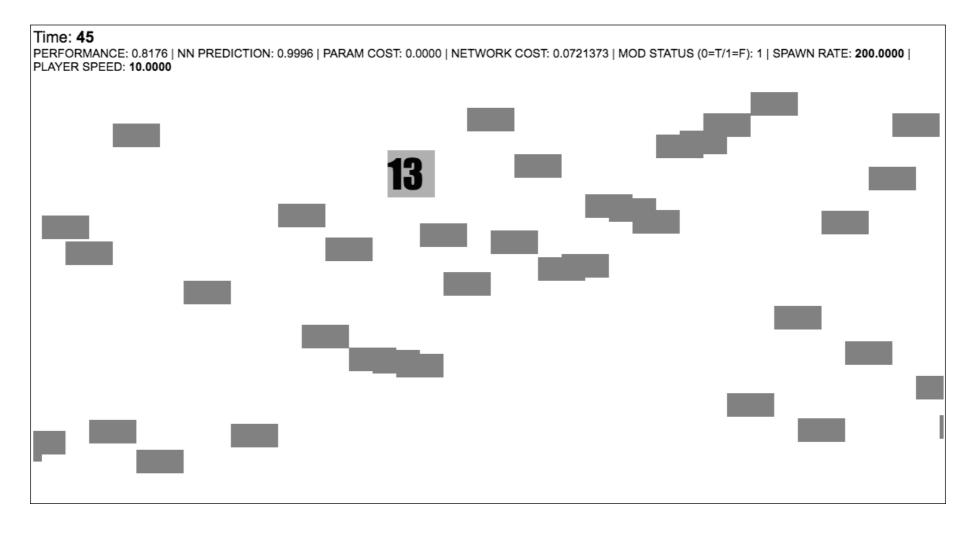
Avoidance: Client-Side Tools

This game runs in the browser. The game logic is written in Javascript, using an open-source library called GameQuery¹². All the entities are GameQuery sprites, which are essentially wrappers for HTML elements that can be modified as the game plays.



Avoidance: Server-Side Tools

The server-side code is written in Node JS. All of the code necessary to run the game is stored in a directory on an Amazon AWS EC2 instance, from which the server can be launched.

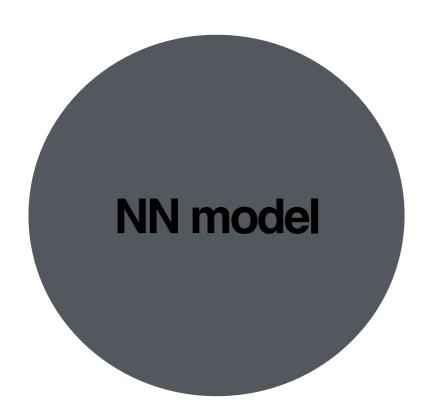


Avoidance: Neural Networking

The actual NN code is written in Python, using a popular machine learning library called Theano¹³. Theano uses dynamic C code generation so it performs integral NN tasks (such as gradient calculation) fast and efficiently.

now that we have our model, let's implement it in code and see how it performs!

GameArchitecture

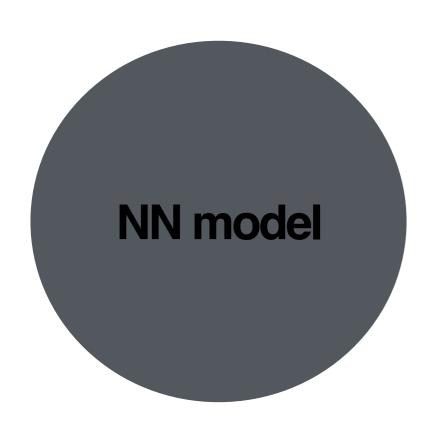


client (game)

gameplay begins & user performance / environment data is collected

client (game)

data is compiled & formatted

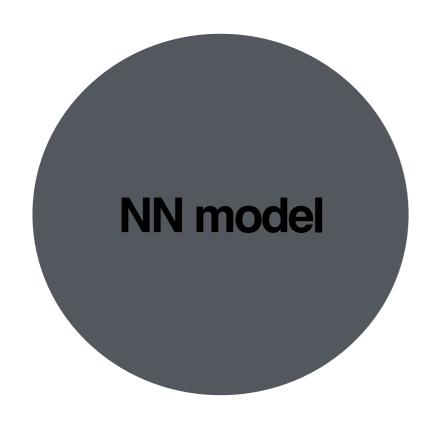


training data

[environment params, user performance]

NOTE: this constitutes one set of environment parameter values and the corresponding user performance given that environment

client (game)

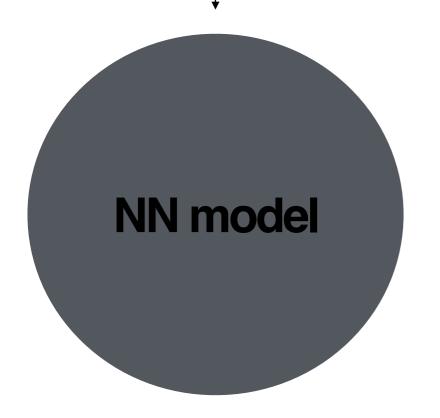


avoidance: learning loop & architecture

server

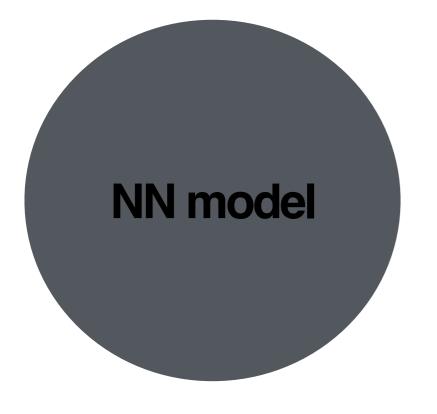
training data

[environment params, user performance]



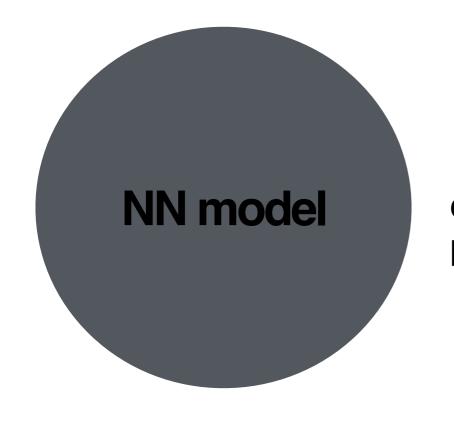
client (game)

client (game)



feed input data into NN to get predicted performance & backpropagate error / update weight values

client (game)



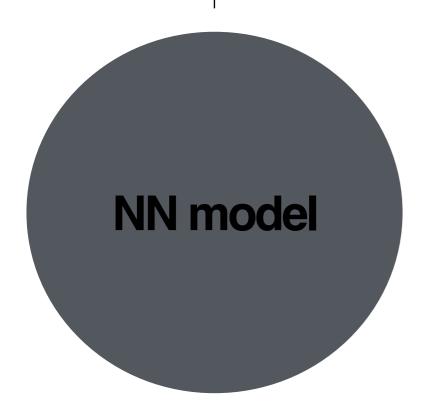
calculate modified environment parameters based on performance delta

avoidance: learning loop & architecture

server

modification data

[modified environment parameters]



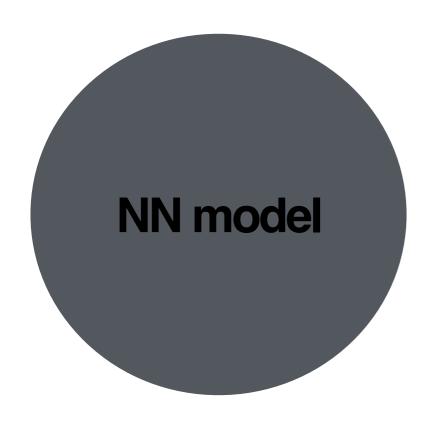
client (game)

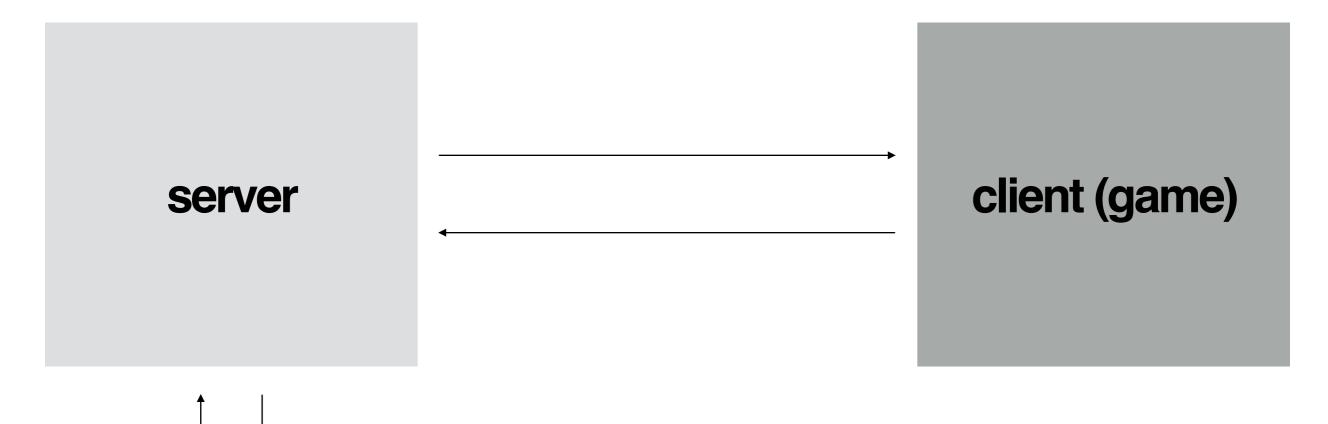


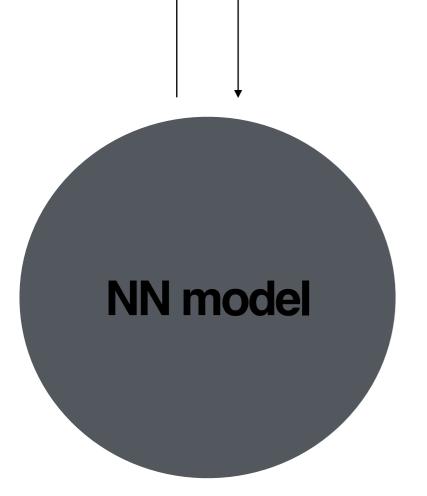
modification data

[modified environment parameters]

client (game)

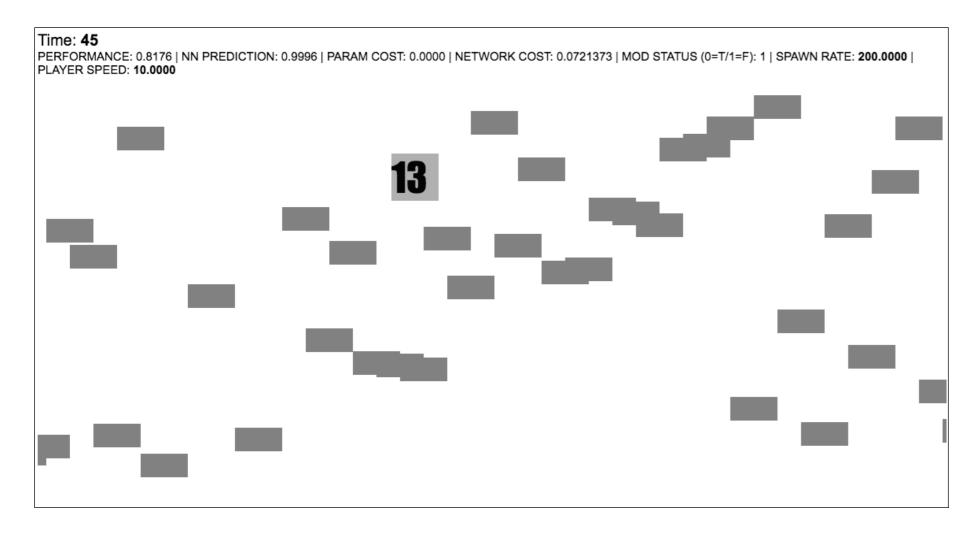






continually repeat this process & the network should learn as gameplay proceeds

avoidance: game environment specifics



performance measure

(# of collisions / time lapsed since last measurement)

environment parameters to modify

- enemy spawn rate
- player speed

example data sample

[spawnRate = 300.0, playerSpeed = 20.0, performance = 0.60]

we've outlined how our NN will operate in our test environment, so how does it actually perform?

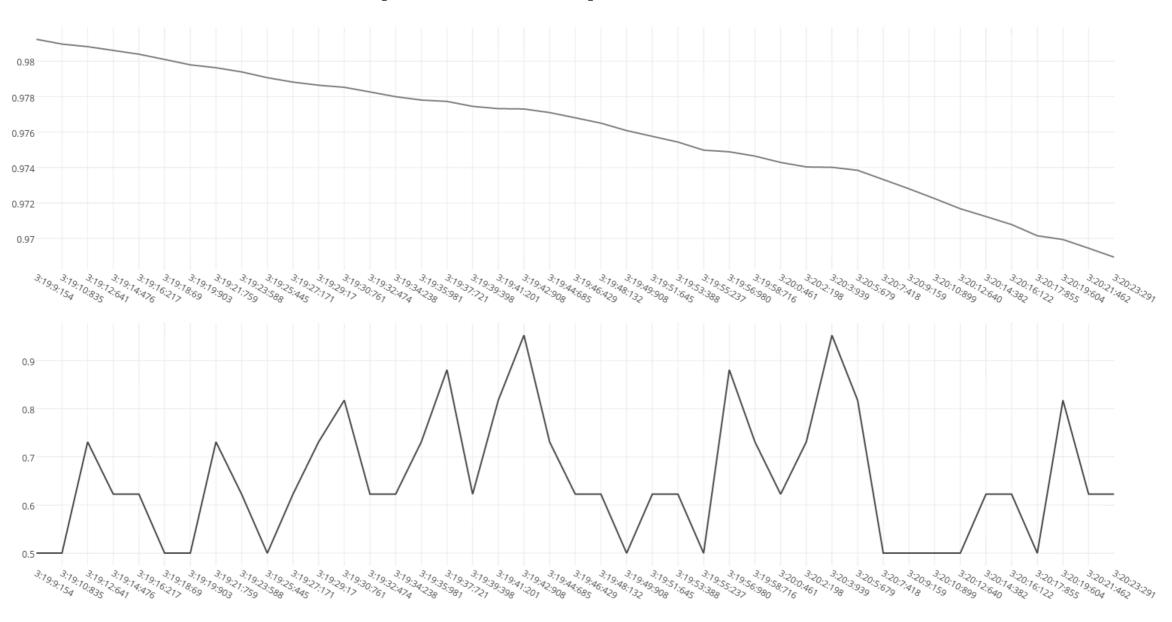
testing the model

we'll start by just testing the NN's prediction capabilities

can it accurately predict a user's performance, given the environment parameters?

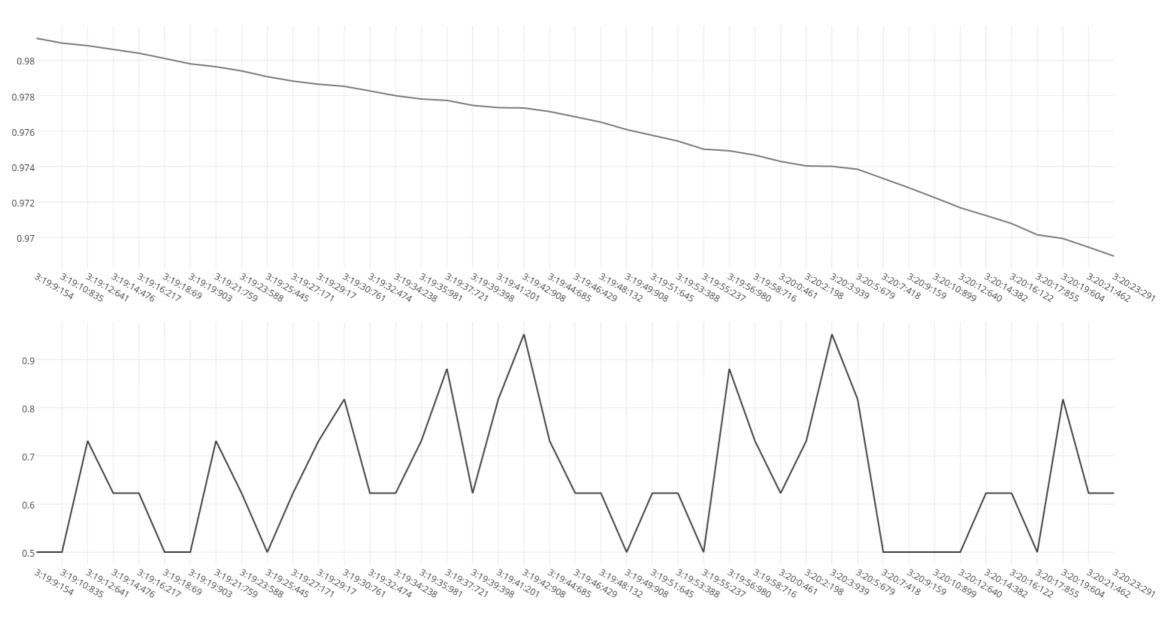
TestResults

prediction v.s. performance



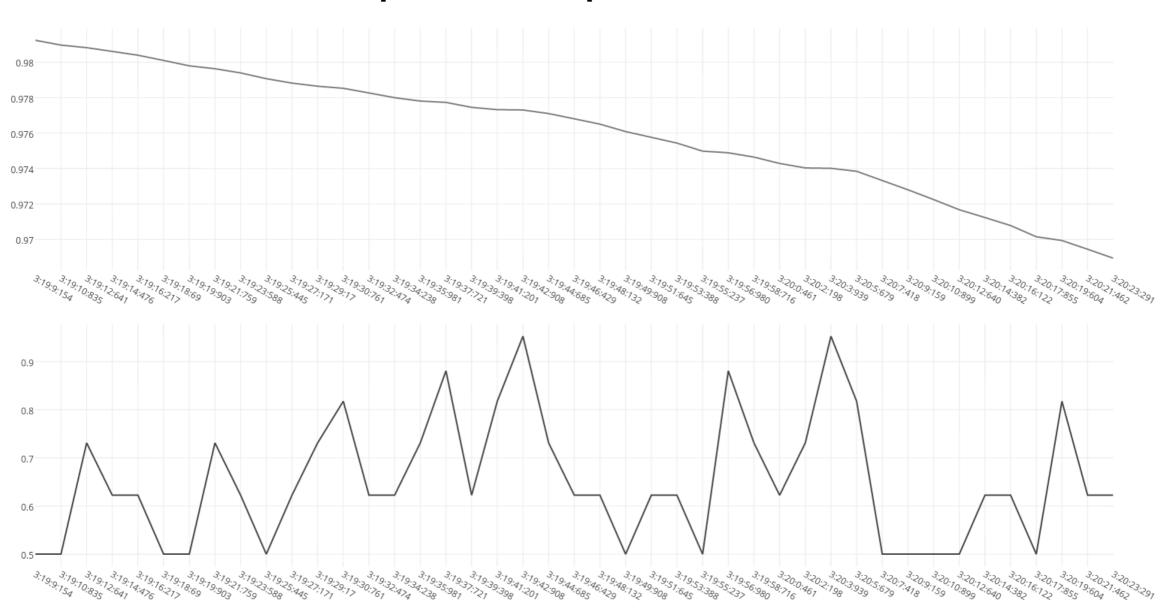
wow...that really sucks.

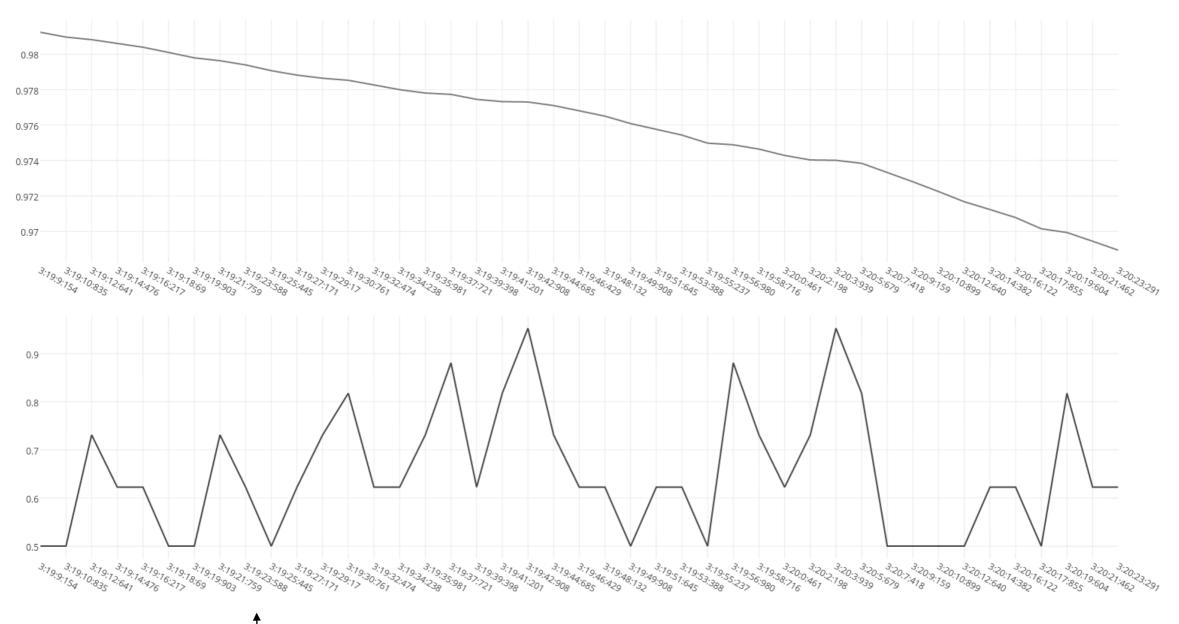
prediction v.s. performance



what went wrong?

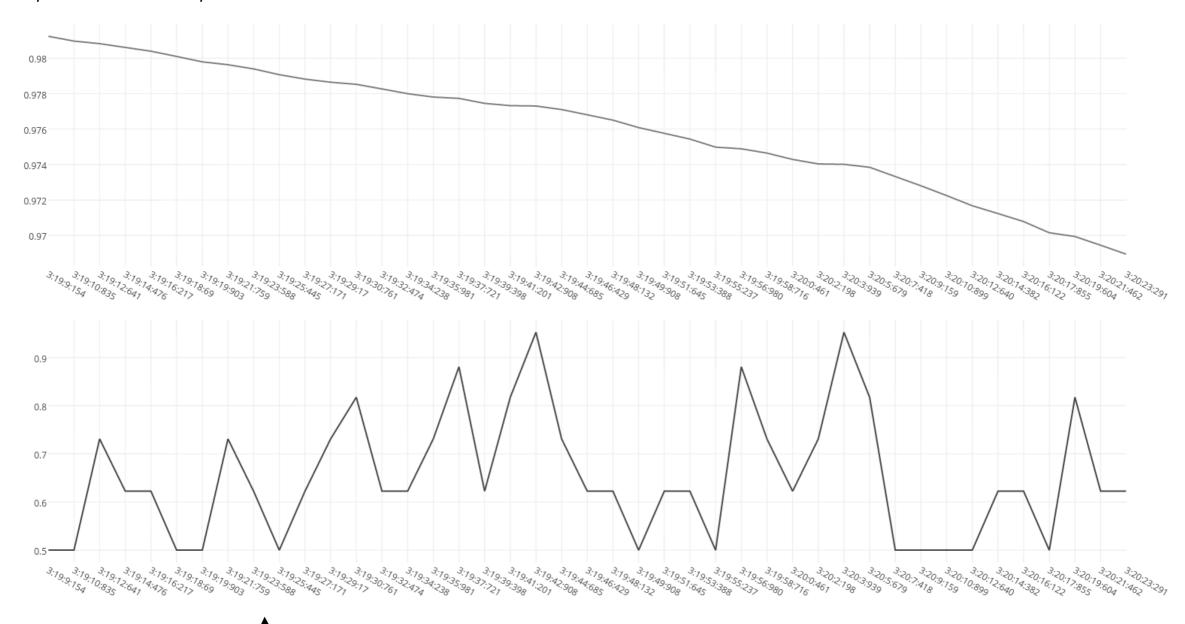
prediction v.s. performance





the actual performance of this user fluctuates very frequently — this entire graph only represents about a minute and a half of gameplay

* in our original game architecture, the NN model is only learning on one piece of data sent from the server at each pass — we should augment that data with artificially created batches that will reflect the probable user performance



the actual performance of this user fluctuates very frequently — this entire graph only represents about a minute and a half of gameplay

Augmenting Data Samples

```
# where params[] is an array that contains
# the current environment parameter settings

batch_size = 1000

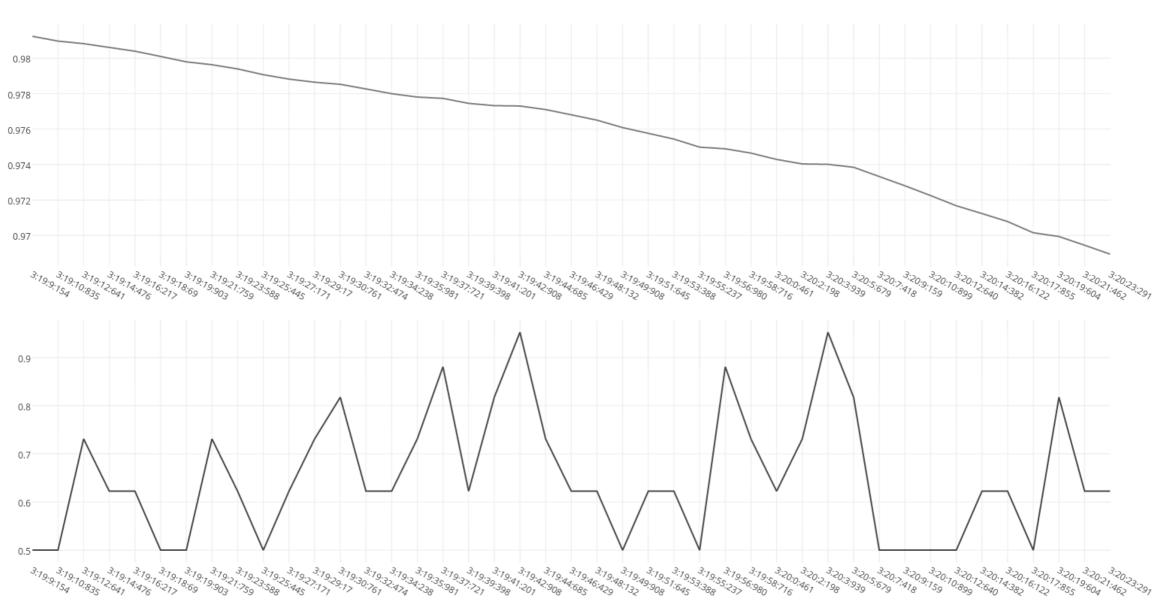
for x in range(0, batch_size):
    for y in range(0, num_params):
        train_data[x][y] = random.uniform(params[y]-5, params[y]-5)
```

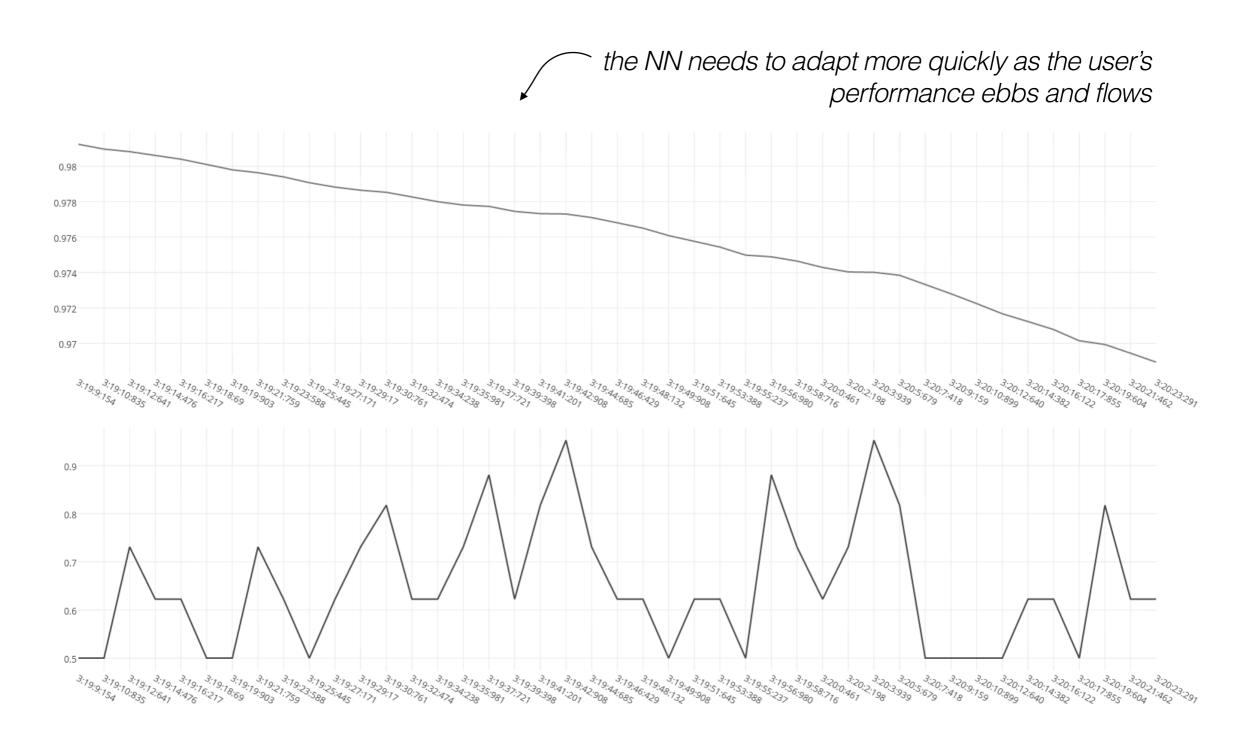
this code snippet shows how additional training data was artificially created before passing through the network

the assumption here is that if a given user's performance was X when the environment parameters were set to Y, then the user will probably perform relatively similarly for Y-5 and Y+5

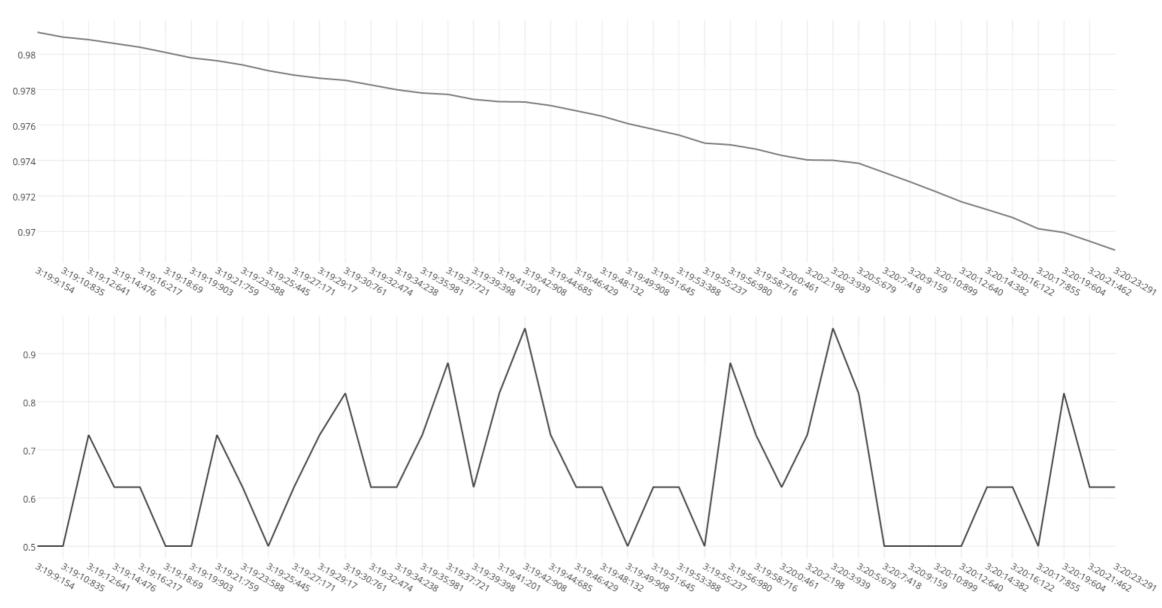
this not only gives the NN more data to learn on, which will allow it to minimize error more quickly (because there will be more weight updates per pass) but will also keep the NN from overfitting (as opposed to if we just created a batch with 1000 identical data points)



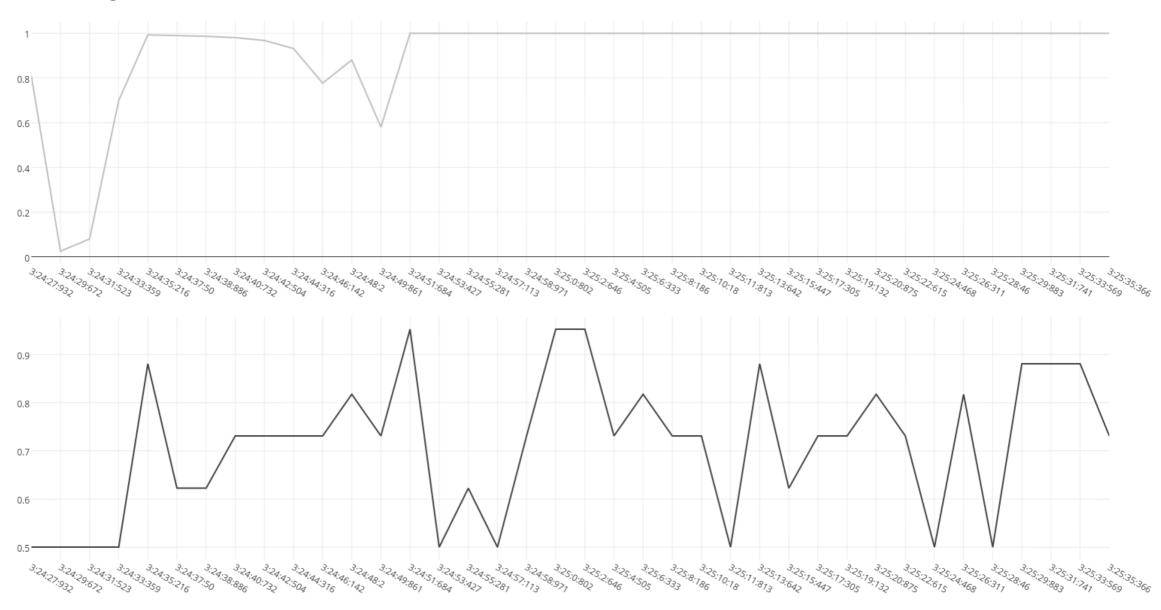




learning rate: 0.15



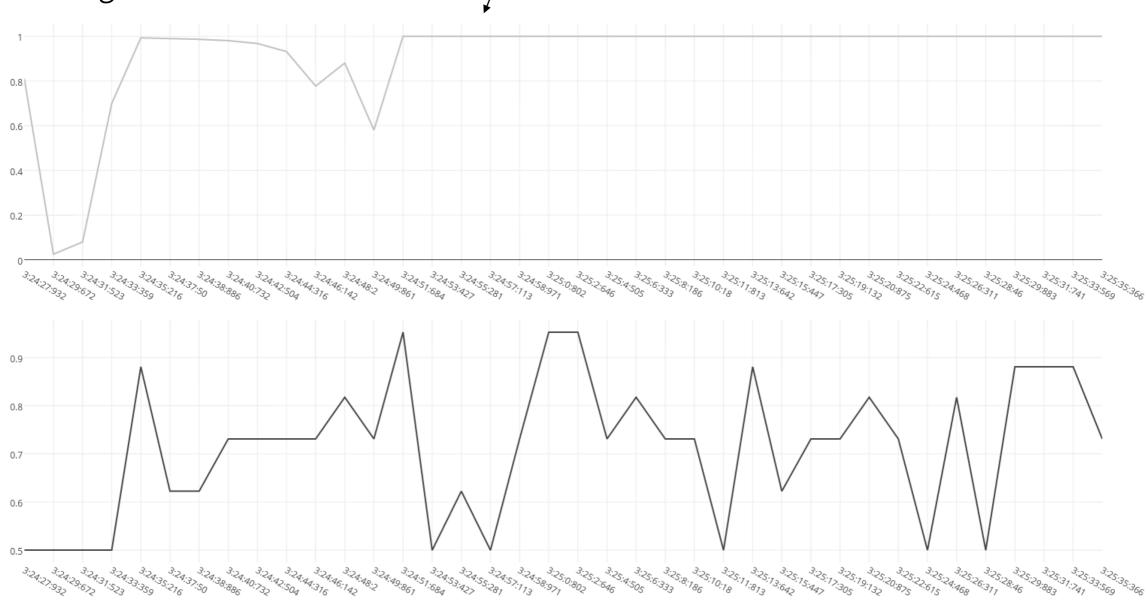
learning rate: 9.5



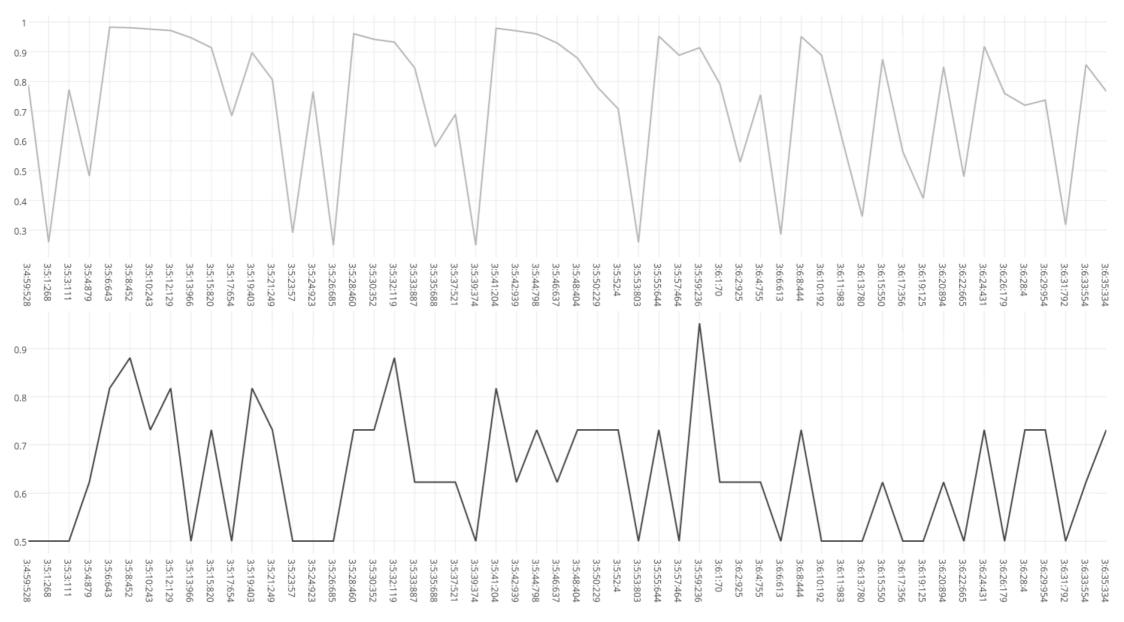


that's a bit better, but we can see when the prediction

— flatlines because it's consistently putting out values extremely close to 1 — the learning rate is too high

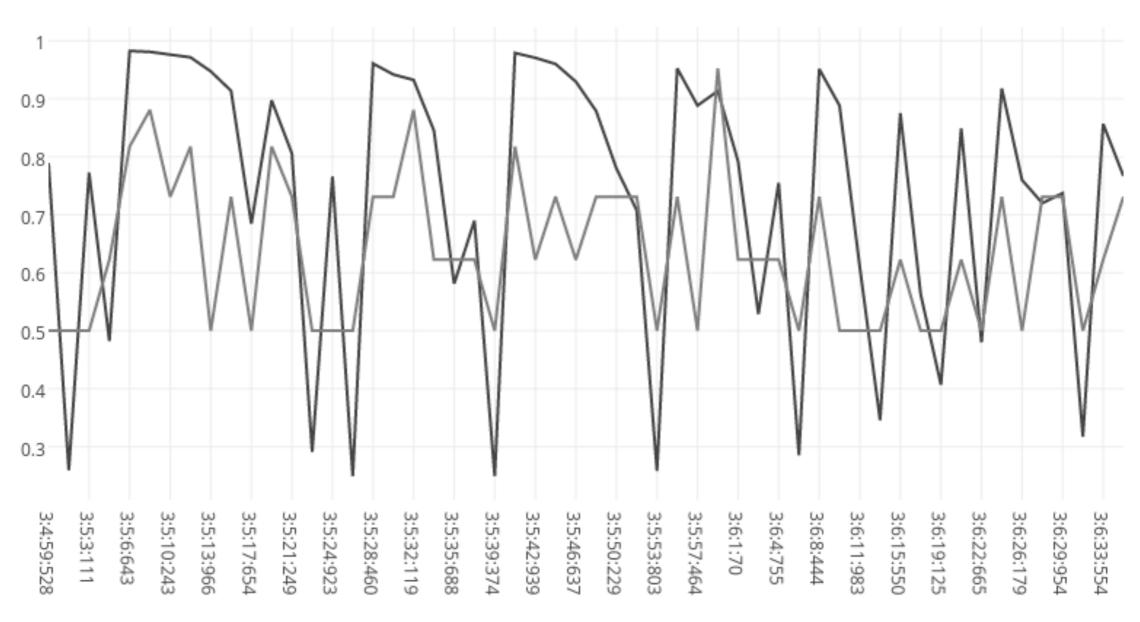


learning rate: 4.5



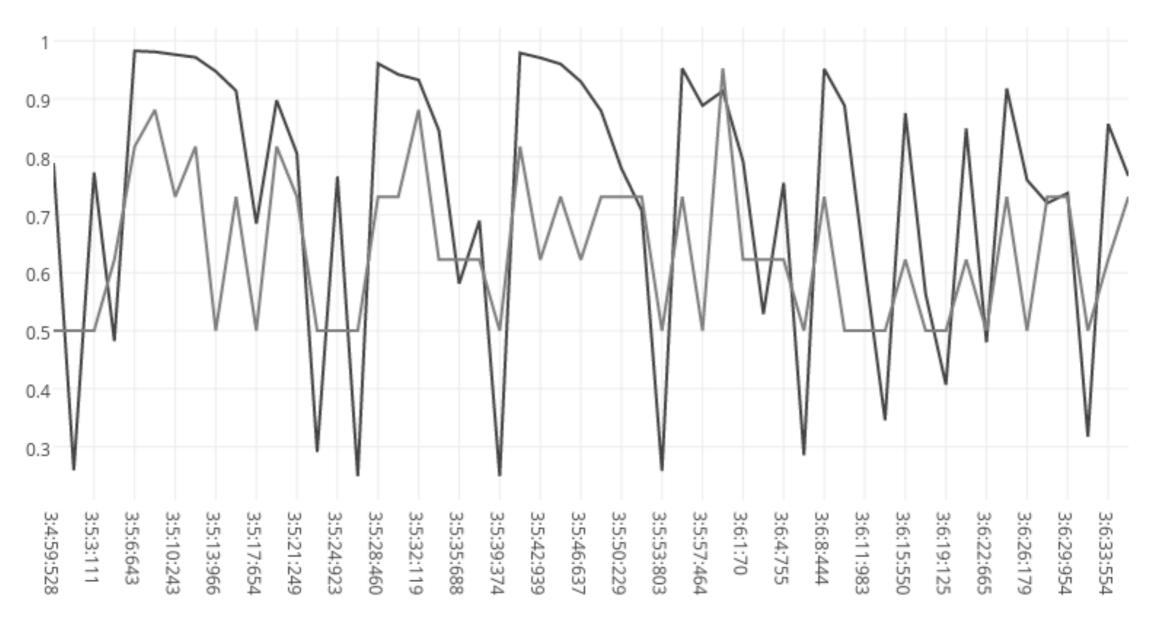
these look a lot more similar! let's compare them more closely...

learning rate: 4.5



these look a lot more similar! let's compare them more closely...

learning rate: 4.5



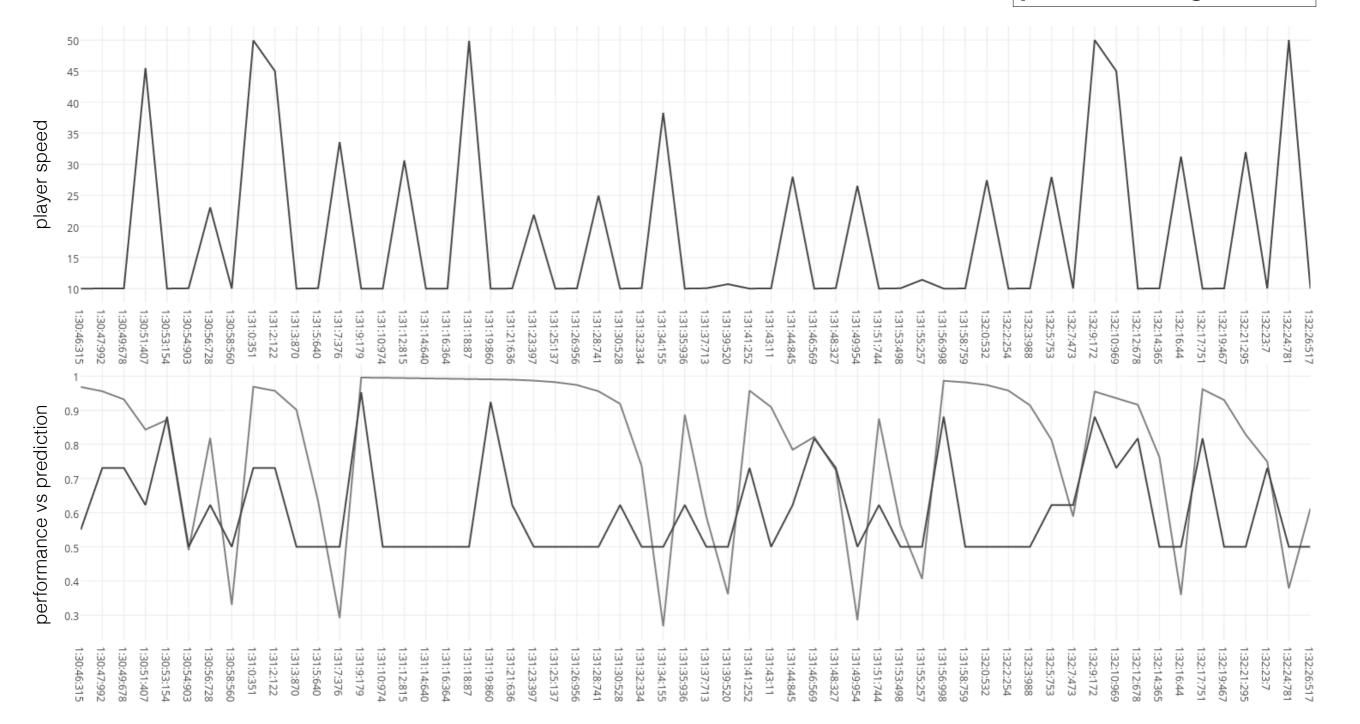
great! now, let's see how well the network can dynamically modify the environment!

```
network cost = self.layers[-1].network cost(self)
layer_gradients = T.grad(network_cost, self.params)
network updates = [(param, param-learning rate1*grad) for param, grad in zip(self.params, layer gradients)]
performance delta= self.layers[-1].input cost(self, [50.0])
performance gradients = T.grad(input cost, self.x)
environment_updates = [(train_x, (train_x+learning_rate2*input_gradients))]
train = theano.function([i],
       [network cost],
       updates=network updates,
       givens={self.x: train x,
               self.y: train y,
               self.goal: perf goal},
       on unused input='ignore')
modify_environment = theano.function([i],
       [performance_delta, performance_gradients],
       updates=environment updates,
       givens={self.x: mod_x,
               self.y: mod y,
               self.goal: perf goal},
       on unused input='ignore')
```

Two Different Learning Functions

These code snippets show how the gradients for both the weight values and the environment parameters are calculated. There are also two separate functions — one that performs the backpropagation for the network weights, and the other that uses just the single provided data sample to calculate how to update the environment parameters.

These graphs show approximately a minute and a half of gameplay, and how how the environment, performance and prediction changed over time. The graph on the bottom shows how the user's performance never dips below the performance goal, but the prediction accuracy fluctuates quite a bit. The graph on the top shows how the net updated the environment parameters over the course of gameplay.

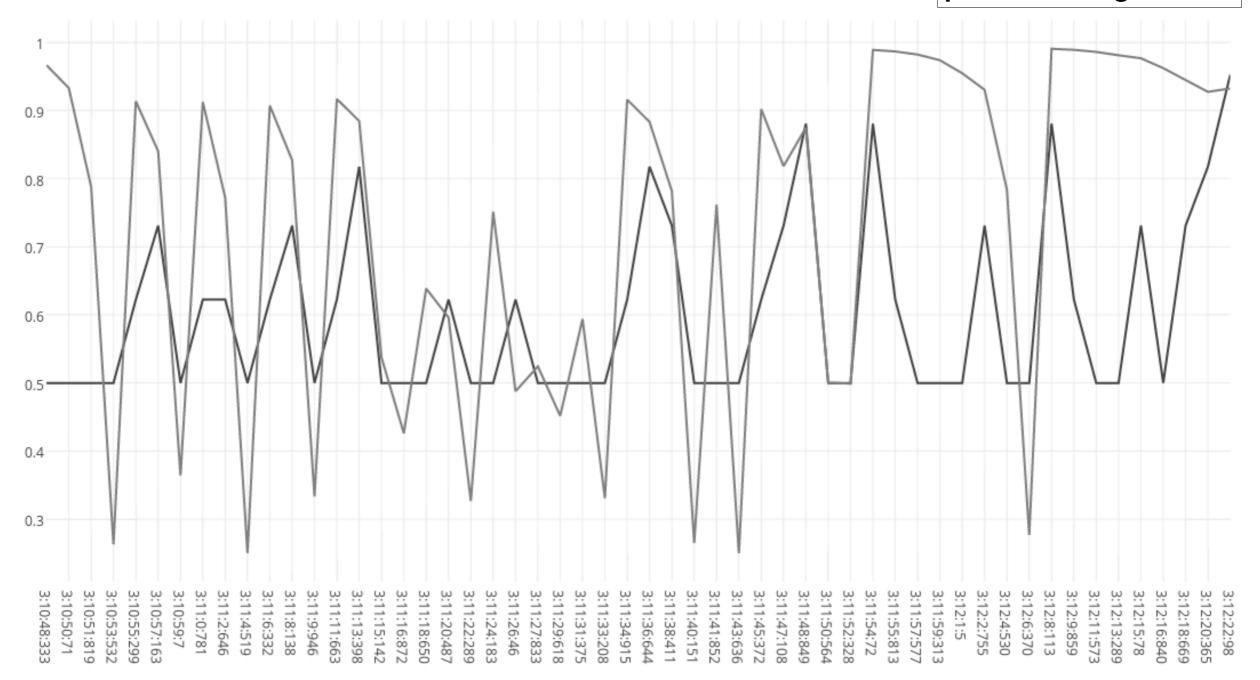


After observing gameplay, I saw that the environment parameters fluctuated wildly when the network prediction was far-off from the actual user performance. So, as a modification to the learning model, the NN now only performs the modify() function when the difference between the net's prediction and the actual performance is less than 0.0100.

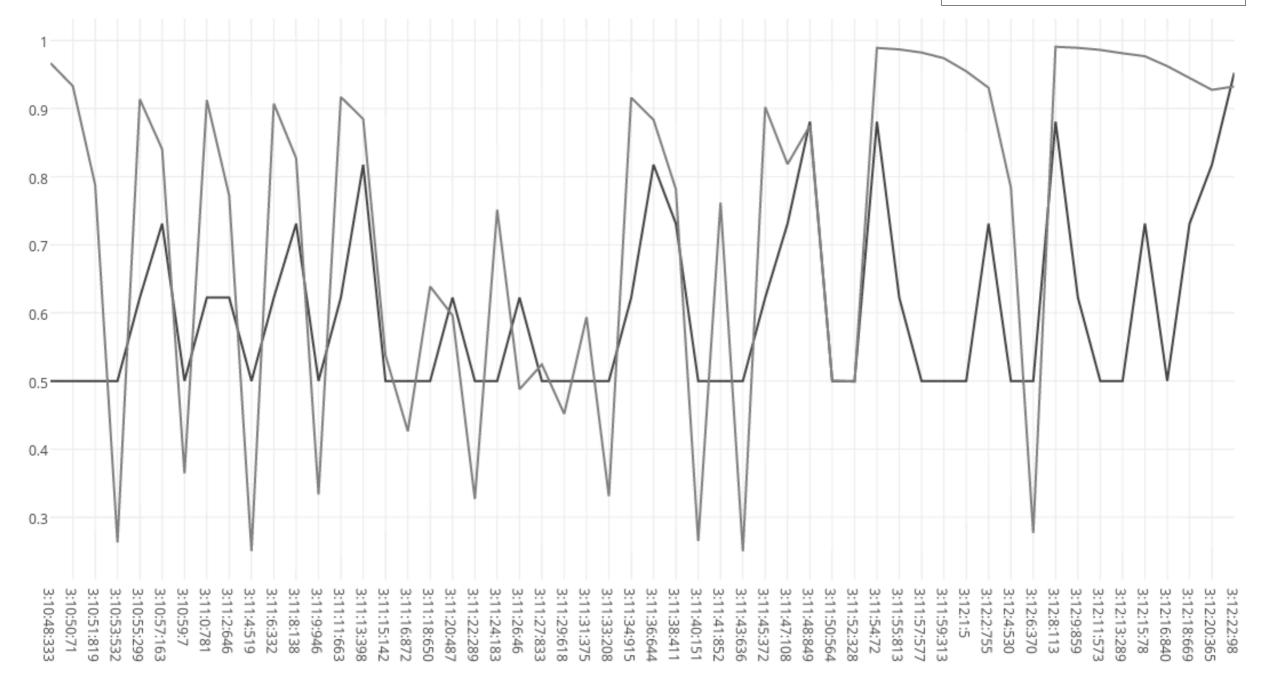
More testing was performed with this updated model.

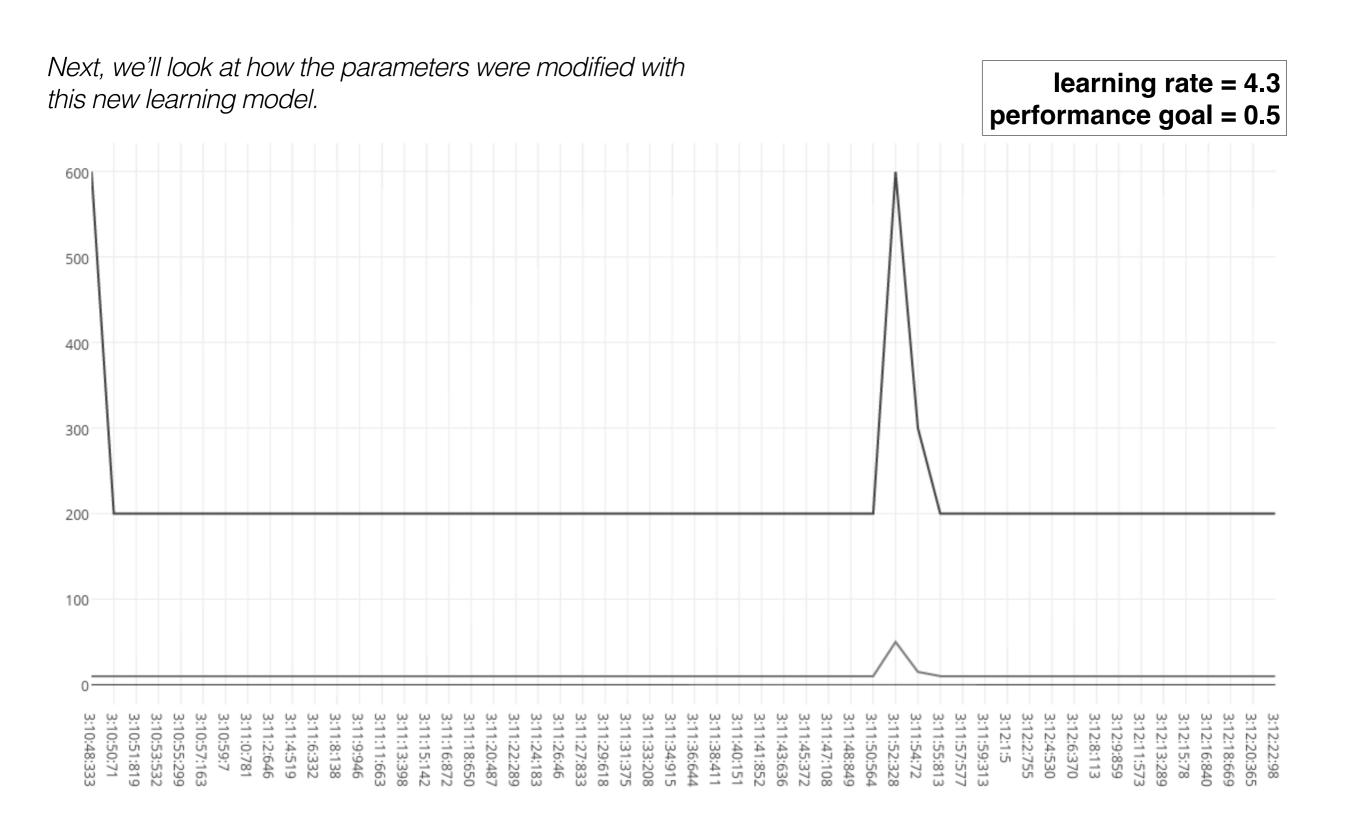
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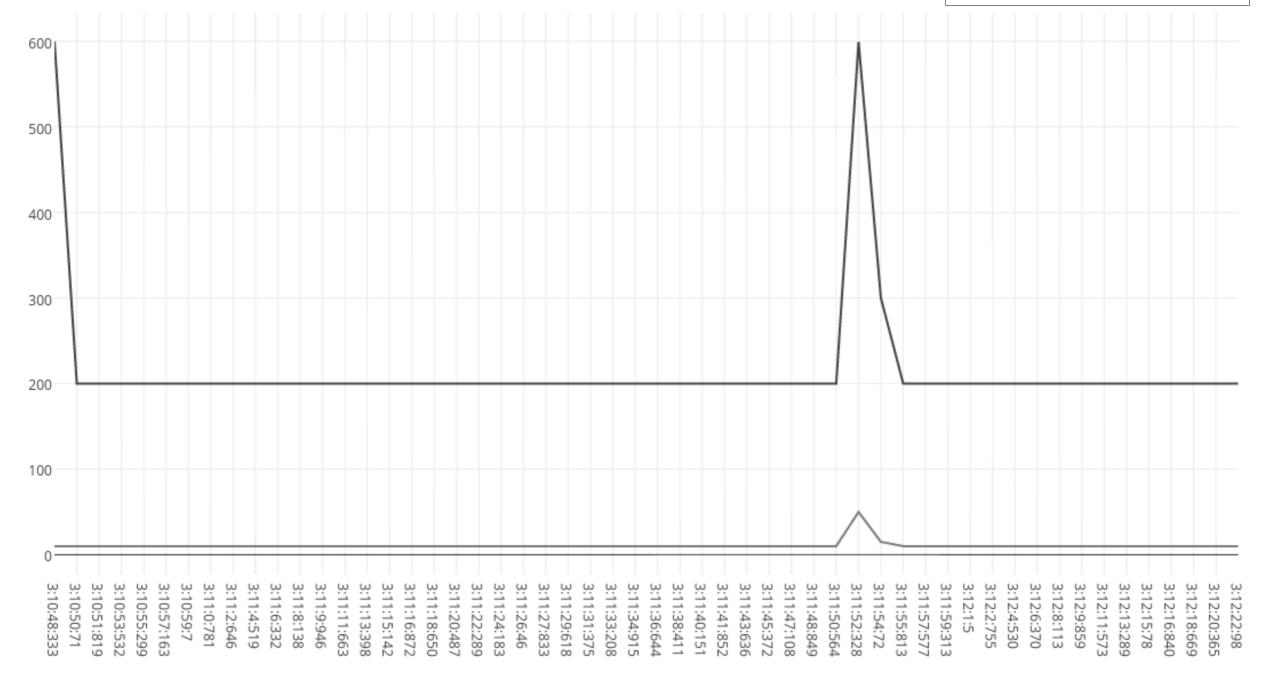


Next, we'll look at how the parameters were modified with this new learning model.

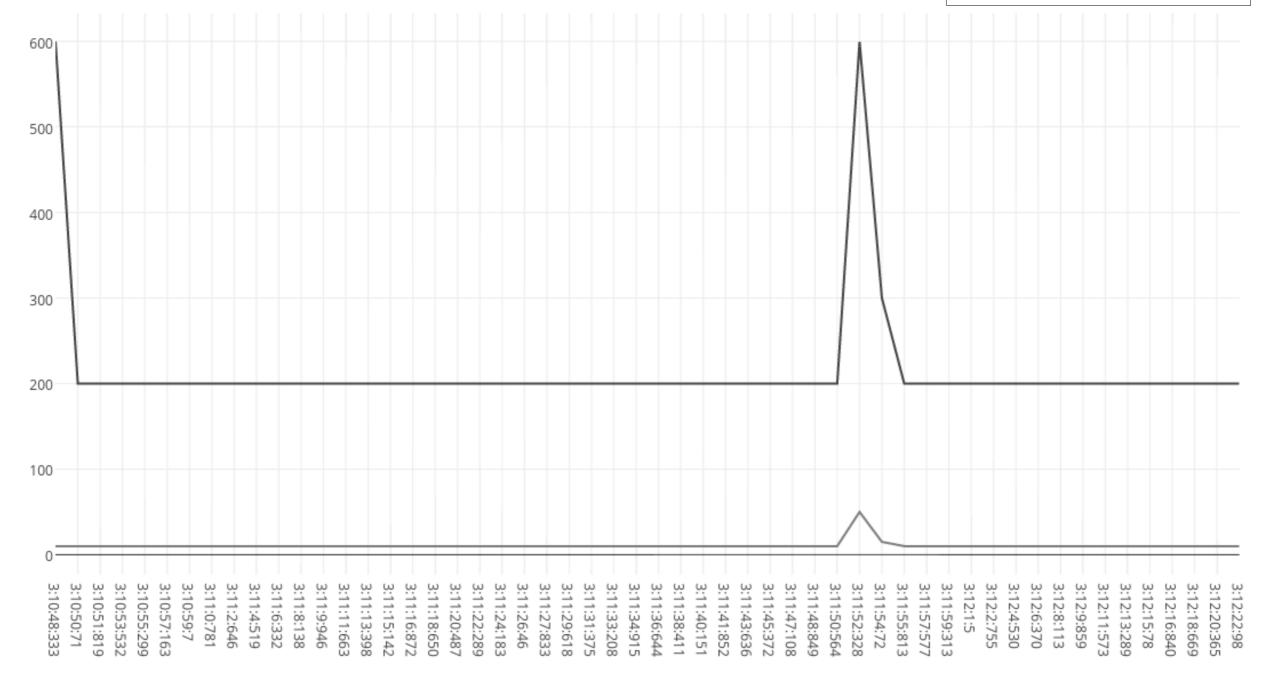




The top line shows how the enemy spawn rate changed over the course of gameplay. The bottom line shows the player speed changes.



It's obvious from this graph that the modifications were only occurring at specific moments of gameplay, so couldn't account for the user performance being maintained through the course of gameplay. This mean that the performance was changing as a result of the performance modifications, but the net wasn't always keeping the user at the performance goal.



so, some aspects of the NN worked very well and others fell short...

evaluating the model

what modifications could be made? what conclusions can we draw?

Potential Modifications & Future Work

Performance Measurement

The performance measurement function obviously plays a big role in the efficacy of this model. Essentially, the modification ability will only be as good as the performance function. Because this game was relatively trivial, but also very fast-paced, the performance function difficult to come up with. Further research into what types of performance functions work best with this learning model might improve modification ability.

Interval Mapping & Constraints

Another difficult aspect of applying this model to a real-world user system was how to interpret the gradients when applied to the environment parameters.

Different Environments

Obviously a key aspect of future work would be testing this model using different and more complex systems. With some improvements and modifications, this learning model could be applied to all sorts of different user systems: online stores, different games, social media sites. All of these interactive environments could potentially benefit from the ability to modify the environment for a specific user in pursuit of a performance goal.

To Modify or Not To Modify?

While I tested a few different thresholds for when to perform the modification step of the learning model, more research could be done into what threshold produces the best results.

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questions?