

# Counting Principles:

**Product Rule:** A procedure consisting of two tasks

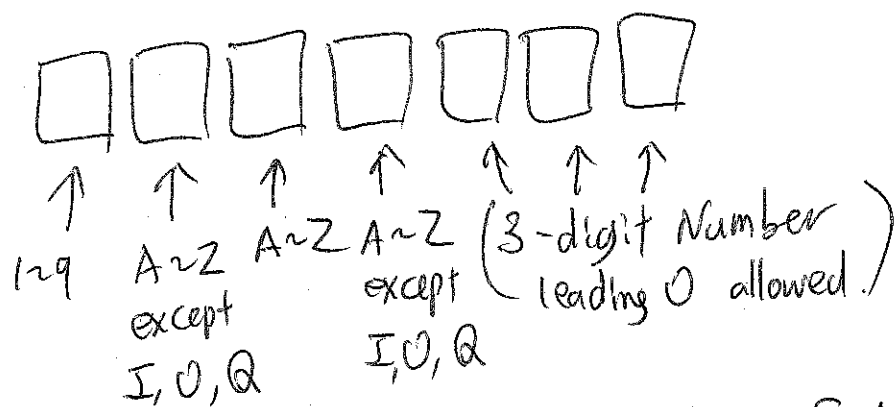
If there are  $n_1$  ways to do the first task  
and for each of these ways of doing the first task,  
there are  $n_2$  ways to do the second task  
then there are  $n_1 n_2$  ways to do the procedure.

The extended product rule:

$n_1$  ways to do the first task  
 $\vdots$   
 $n_k$  ways to do the  $k^{\text{th}}$  task

}  $n_1 \cdots n_k$  ways to do the procedure

Ex. a) License plates. How many?



b) Recently on Sept 30, 2025, 9VTH090 is observed  
Assuming lexicographic order, how many license plates are  
observed until then?

Sum Rule: If a task can be done either in  $n_1$  ways in Case 1 or  $n_2$  ways in Case 2, with Case 1 and Case 2 are mutually disjoint and exhaustive. Then the task can be done in  $n_1 + n_2$  ways.

Extended Sum Rule:

Case 1:  $n_1$  ways  
 $\vdots$   
 Case  $k$ :  $n_k$  ways

)  $\rightarrow n_1 + \dots + n_k$  ways to do the procedure.

$$|A_1 \cup \dots \cup A_m| = |A_1| + \dots + |A_m| \text{ when } A_i \cap A_j = \emptyset$$

for all  $i \neq j$ .

Inclusion - Exclusion

$$|A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|$$

Ex. How many positive integers not exceeding 100 are divisible by 4 or by 6?

Extended Inclusion - Exclusion

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{k=1}^n (-1)^{k-1} \sum_{1 \leq i_1 < \dots < i_k \leq n} |A_{i_1} \cap \dots \cap A_{i_k}|$$

if  $k=1$ ,  $i_1$  only

$k$ -fold intersection

$$\begin{aligned} \text{Ex. } |A_1 \cup A_2 \cup A_3| &= |A_1| + |A_2| + |A_3| \\ &\quad - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| \\ &\quad + |A_1 \cap A_2 \cap A_3| \end{aligned}$$

## Pigeon Hole Principle.

If  $k$  is a positive integer and  $k+1$  or more objects are placed into  $k$  boxes, then at least one box contains two or more objects.

Ex. Let  $n$  be a positive integer. There is a multiple of  $n$  that has only 0's and 1's in its decimal expansion.

Sol. Consider  $n+1$  integers

1  
11  
111

1 ..... 1 (  $n+1$  1's )

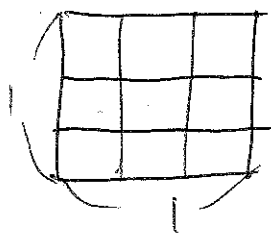
By PHP, two of these have the same remainder when divided by  $n$ . Take the difference of these. The larger - the smaller is divisible by  $n$ , and its decimal expansion consists of 1's in the beginning, 0's in the end.

## The Generalized PHP.

If more than  $kn$  objects are placed into  $k$  boxes, at least one box contains more than  $n$  objects.

Ex. 10 points are placed inside  $1\text{m} \times 1\text{m}$  square

Then there are two of these points whose distance is at most  $\frac{\sqrt{2}}{3} \text{ m}$ . Hint:



Definition (Subsequence) Given a sequence  $a_1, \dots, a_n$ ,

a subsequence is  $a_{i_1}, \dots, a_{i_k}$  where  $1 \leq i_1 < \dots < i_k \leq n$ .

(If  $k=1$ , only consider  $a_{i_1}$ ). The number  $k$  is called the length of the subsequence.

Definition ('increasing')

A sequence such that  $a_n \leq a_{n+1}$  for each  $n$ .

Definition ('strictly increasing')

A sequence such that  $a_n < a_{n+1}$  for each  $n$ .

Note: decreasing, strictly decreasing are similarly defined.

Erdős - Szekeres Theorem.

Suppose  $a_1, a_2, \dots, a_{n^2+1}$  be a sequence of real numbers.

There is a subsequence of length  $n+1$  that is either increasing or decreasing.

Proof. Let  $a_1, \dots, a_{n^2+1}$  be the given sequence. For each term  $a_k$

associate  $(i_k, d_k)$  where  $i_k$  is the length of longest increasing subsequence starting at  $a_k$ , and  $d_k$  is the length of longest decreasing subsequence.

If the conclusion is false, then there are  $n^2$  possible  $(i_k, d_k)$ . Since we have  $n^2+1$  terms, two terms  $a_s, a_t$  with  $s < t$  are associated with the same pair. That is,  $i_s = i_t, d_s = d_t$ .

If  $a_s \leq a_t$ , then  $a_s$  followed by an increasing subsequence of length  $i_t$  will have length  $i_s + 1$ . If  $a_s > a_t$ , then  $a_s$  followed by a decreasing subsequence of length  $d_t$  will have length  $d_s + 1$ . Contradiction of maximality of  $i_s$  and  $d_s$ .