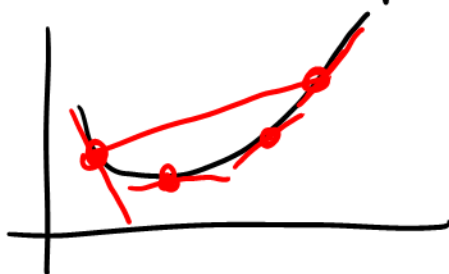


## Lecture 18: Concavity and 2nd Derivative Test

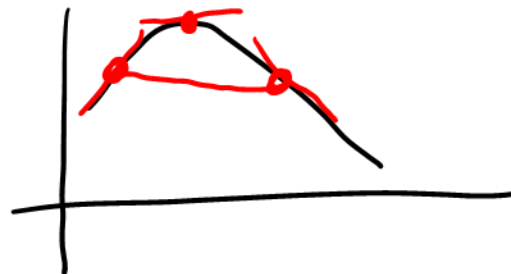
Goal: Use the 2nd derivative to determine properties of the original function.

Concave Up (CU) [Convex]



$f'$  is increasing  
 $[f']' = f'' > 0$

Concave Down (CD)



$f'$  is decreasing,  
 $f'' < 0$

Theorem (Concavity Test) Let  $f$  be a function where  $f''$  exists on an open interval  $I$

① If  $f''(x) > 0$  for all  $x$  in  $I$ ,  $f$  is concave upward on  $I$ .

② If  $f''(x) < 0$  for all  $x$  in  $I$ ,  $f$  is concave downward on  $I$ .

Ex/ Discuss the shape of  $f(x) = x^3 - 12x + 1$

Step Find when  $f'$  and  $f''$  are 0

$$f'(x) = 3x^2 - 12 = 3(x-2)(x+2) \stackrel{\text{set}}{=} 0$$

$$\Rightarrow \boxed{x = -2, 2}$$

$$f''(x) = 6x \stackrel{\text{set}}{=} 0 \Rightarrow \boxed{x = 0}$$

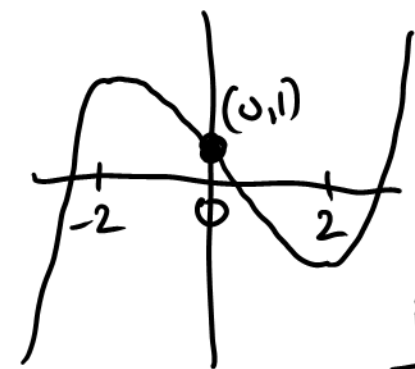


Test Points | -100 | -1 | 1 | 100

Sign of $3(x-2)(x+2)$	+	-	-	+
Sign of $6x$	-	-	+	+
Results of I/D Test	Inc	Dec	Dec	Inc
Results of C Test	CD	CD	CU	CU

Conclusion:  $f$  is inc and CD on  $(-\infty, -2)$   
 $f$  is dec and CD on  $(-2, 0)$   
 $f$  is dec and CU on  $(0, 2)$   
 $f$  is inc and CU on  $(2, \infty)$

Remark There is a way to memorize the C Test



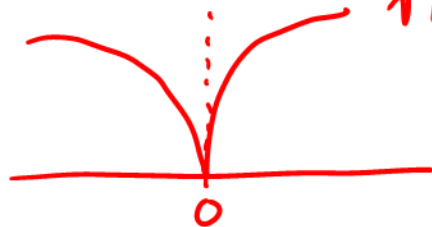
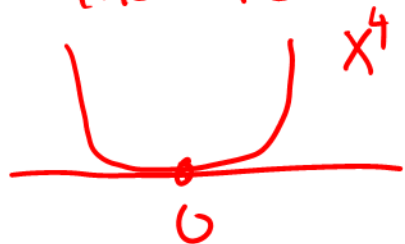
Def If  $f$  changes concavity at a point  $p$ , then  $p$  is called an inflection point of  $f$ .

Theorem If  $p$  is an inflection point, either  $f''(p) = 0$  or undefined

Why? Inflection points are crit. nums. of  $f'$

$$[f']' = 0 \text{ or undefined}$$

Remark The reverse is not true  $\sqrt{|x|}$



Ex3/ Determine the location of the inflection points for  $f(x) = 3x^4 - 4x^3 - 6x^2 + 12x + 1$

Idea Set  $f'' = 0$

$$f'(x) = 12x^3 - 12x^2 - 12x + 12$$

$$f''(x) = 36x^2 - 24x - 12$$

$$= 12(3x^2 - 2x - 1) = 12(x-1)(3x+1)$$

set 0

Either  ~~$12=0$~~  OR  $x-1=0$  OR  $3x+1=0$   
 $\boxed{x=1}$   $\boxed{x=-\frac{1}{3}}$

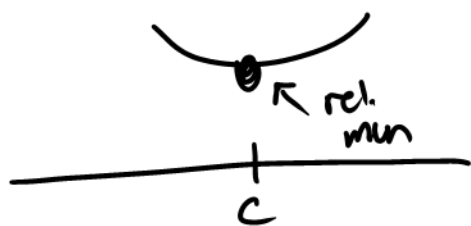
Step 2 Make sign chart for  $f''$

$\text{++}$      $\text{--}$

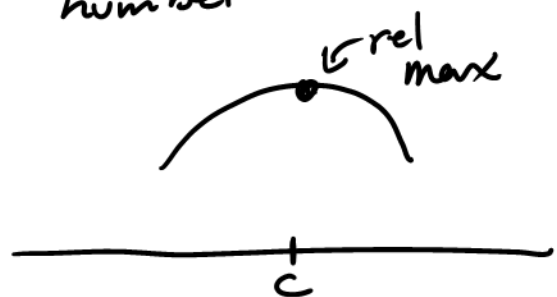
Test Point	-2	$-\frac{1}{3}$	0	2
Sign of 12	+	+	+	+
Sign of $x-1$	-	-	-	+
Sign of $3x+1$	-	-	+	+
Sign of $f''$	+	-	-	+
Results of CTest	CU	CD	CD	CU

Conclusion: Both  $x = -\frac{1}{3}$  and  $x = 1$  are inflection points

The 2<sup>nd</sup> Derivative can be used to detect rel. max/min  
Let  $c$  be a critical number



$$f''(c) > 0 \Rightarrow f \text{ is CU near } c$$



$$f''(c) < 0 \Rightarrow f \text{ is CD near } c$$

Theorem (2<sup>nd</sup> Derivative Test) Let  $f''$  be continuous near  $c$  and  $f'(c) = 0$

- ① If  $f''(c) > 0$ , then  $f$  has a relative min at  $c$ .
- ② If  $f''(c) < 0$ , then  $f$  has a relative max at  $c$ .
- ③ If  $f''(c) = 0$ , the test is inconclusive (pick another test)

Ex 3 Locate rel. extrema for  $f(x) = x^3 - 3x^2$

Step 1 Find critical numbers

$$f'(x) = 3x^2 - 6x = 3x(x-2) \underline{\underline{\text{set } 0}}$$

Hence,  $x = 0, 2$

Step 2 Compute  $f''(c)$  for all crit. numbers

$$f''(x) = 6x - 6 = 6(x-1)$$

$$f''(0) = 6(-1) = -6 < 0$$

$$f''(2) = 6(1) = 6 > 0$$



Conclusion:  $f$  has a rel. max at  $x=0$  and  
a rel. min. at  $x=2$

Ex4/ Repeat for  $f(x) = e^x(x-7)$

① Find crit. nums.

$$f'(x) = e^x(x-7) + e^x = e^x(x-7+1) = e^x(x-6)$$

Set 0

Either  $e^x = 0$  OR  $x-6=0$   
Impossible  $x=6$

② Find the sign of  $f''(6)$

$$f''(x) = e^x(x-6) + e^x = e^x(x-5)$$

$$f''(6) = e^6(6-5) = e^6 > 0 \quad \text{++}$$

Hence,  $f$  has a rel. min. at  $x=6$

Optionally Find the minimum value

$$f(6) = e^6(6-7) = -e^6$$

Ex5/ Repeat for  $\frac{x}{x^2+4}$

$$f'(x) = \frac{x^2+4 - x(2x)}{(x^2+4)^2} = \frac{4-x^2}{(x^2+4)^2} \stackrel{\text{set}}{=} 0$$

$$\Rightarrow x = \pm 2$$

Compute the sign of  $f''(-2)$  &  $f''(2)$

$$f''(x) = \frac{(-2x)(x^2+4)^2 - (4-x^2)2(x^2+4)(2x)}{(x^2+4)^4}$$

$$= x \left[ \frac{-2(x^2+4)^2}{(x^2+4)^4} \right] - (4-x^2) \frac{2(x^2+4)(2x)}{(x^2+4)^4}$$

Always negative
0 at  $x = \pm 2$

$f''(-2) > 0 \Rightarrow f$  has a rel. min at  $x = -2$   
 $f''(2) < 0 \Rightarrow f$  has a rel. max at  $x = 2$