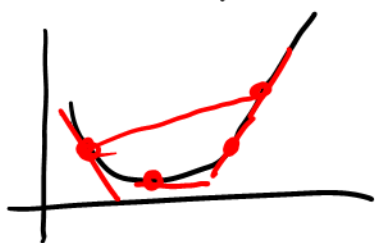


Lecture 18: Concavity and 2nd Derivative Test

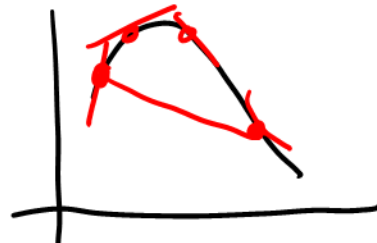
Goal: Use the 2nd derivative to determine properties of the original function.

Concave Upward [Convex]



f' is increasing,
 $[f']' = f'' > 0$

Concave Downward



f' is decreasing,
 $f'' < 0$

Theorem (Concavity Test) Let f be a fcn where f'' exists on an open interval I

① If $f'' > 0$ for all x in I , f is concave upward
CU

② If $f'' < 0$ for all x in I , f is concave downward
CD



Ex/ Discuss the shape of $f(x) = x^3 - 12x + 1$

Step 1 Find when $f' = 0$ and $f'' = 0$

$$f'(x) = 3x^2 - 12 = 3(x-2)(x+2) \stackrel{\text{set}}{=} 0$$

$$\Rightarrow x = -2, 2$$

$$f''(x) = 6x \stackrel{\text{set}}{=} 0 \Rightarrow x = 0$$

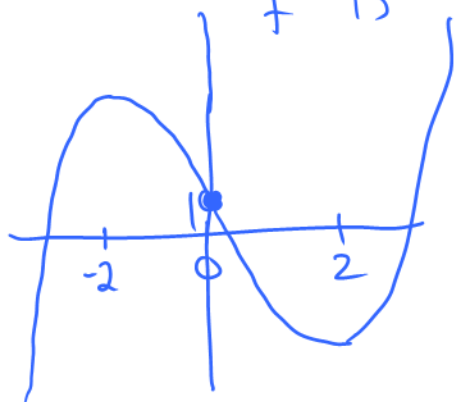
Step 2 Make sign chart for both f' and f''

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Test Points:	-100	-1	1	100
Sign of $3(x-2)(x+2)$	+	-	-	+
Sign of $6x$	-	-	+	+
Results of ID Test	Inc	Dec	Dec	Inc
Results of C Test	CD	CD	CU	CU

Conclusion: f is inc and CD on $(-\infty, -2)$
 f is dec and CD on $(-2, 0)$
 f is dec and CU on $(0, 2)$
 f is inc and CU on $(2, \infty)$

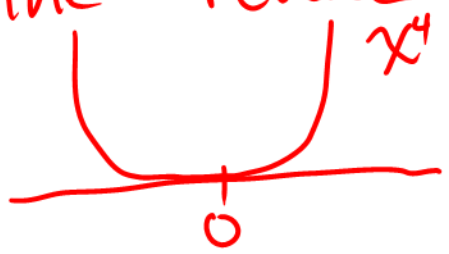


Def If f changes concavity at a point p , then p is an inflection point of f

Theorem If p is an inflection point, either $f''(p)=0$ or undefined

Why? Inflection points are crit. nums. of f'

NOTE The reverse is not true



Ex2/ Determine the location of the inflection points for $f(x) = 3x^4 - 4x^3 - 6x^2 + 12x + 1$

① Find when $f''(x) = 0$

$$f'(x) = 12x^3 - 12x^2 - 12x + 12$$

$$f''(x) = 36x^2 - 24x - 12 = 12(3x^2 - 2x - 1)$$

$$= 12(x-1)(3x+1) \stackrel{\text{set}}{=} 0$$

Either ~~$12=0$~~ OR $x-1=0$ OR $3x+1=0$

$\boxed{x=1}$ $\boxed{x=-\frac{1}{3}}$

② Make sign chart for f''

Test Points	-2	$-\frac{1}{3}$	0	1	2
Sign of 12	+	+	+	+	+
Sign of $x-1$	-	-	-	+	+
Sign of $3x+1$	-	-	+	+	+
Sign of f''	+	-	-	+	+
Result of CTest	CU		CD		CU

Conclusion: Both $x = -\frac{1}{3}$ and $x = 1$ are inflection points.

To determine the 2D inflection point, find $(-\frac{1}{3}, f(-\frac{1}{3}))$, $(1, f(1))$

The $2^{\text{nd}} \frac{d}{dx}$ can be used to detect rel. max/min

Let c be a critical number



$f''(c) > 0 \Rightarrow f$
is CU near c



$f''(c) < 0 \Rightarrow f$ is
CD near c

Theorem (2nd Derivative Test) Let f'' be continuous near c and $f'(c) = 0$

① If $f''(c) > 0$, f has a rel. min at c .

② If $f''(c) < 0$, f has a rel. max at c .

③ If $f''(c) = 0$, then the test is inconclusive.
(ie, pick another test)

Ex 3 / Locate rel. extrema for $f(x) = x^3 - 3x^2$

Step 1 Find crit. nums.

$$f'(x) = 3x^2 - 6x = 3x(x-2) \underline{\underline{= 0}}$$

Either $3x = 0$ OR $x - 2 = 0$

$$\boxed{x = 0} \quad \boxed{x = 2}$$

Step 2 Compute the sign of $f''(c)$ for all crit. nums. c .

$$f''(x) = 6x - 6 = 6(x-1)$$

$$f''(0) = 6(-1) = -6 < 0$$

$$f''(2) = 6(1) = 6 > 0$$

Step 3 State Conclusion

Conclusion: f has a rel. min. at $x=2$, while
 f has a rel. max at $x=0$

Ex 4 Repeat for $f(x) = e^x(x-7)$

① Find Crit. Nums.

$$f'(x) = e^x(x-7) + e^x \cdot 1 = e^x(x-7+1) = \boxed{e^x(x-6)}$$
$$\stackrel{\text{set}}{=} 0$$

$$\Rightarrow x-6=0 \Rightarrow \boxed{x=6}$$

② Compute the sign of $f''(6)$

$$f''(x) = e^x(x-6) + e^x = e^x(x-5)$$

$$f''(6) = e^6(6-5) = e^6 > 0 \quad \text{++}$$

Conclusion: f has a rel. min at $x=6$

Optionally: The minimum value is $f(6) = -e^6$

Ex 5 Repeat for $f(x) = \frac{x}{x^2+4}$

$$f'(x) = \frac{x^2+4 - x(2x)}{(x^2+4)^2} = \frac{4-x^2}{(x^2+4)^2} \stackrel{\text{set}}{=} 0$$

$$\Rightarrow 4-x^2=0 \Rightarrow (x+2)(x-2)=0 \Rightarrow \boxed{x=-2, 2}$$

② Compute the sign of $f''(-2)$ and $f''(2)$

$$f''(x) = \frac{(-2x)(x^2+4)^2 \ominus (4-x^2)2(x^2+4)(2x)}{(x^2+4)^4}$$

$$= x \left[\frac{-2(x^2+4)^2}{(x^2+4)^4} \right] - \overbrace{(4-x^2) \frac{2(x^2+4)(2x)}{(x^2+4)^4}}^{0 \text{ when } x = \pm 2}$$

Always Negative

$f''(-2) > 0 \Rightarrow f$ has a rel. min at $x = -2$
 $f''(2) < 0 \Rightarrow f$ has a rel. max at $x = 2$