

# MOD $p$ GALOIS REPRESENTATIONS AND THE MOD $p$ LOCAL LANGLANDS CORRESPONDENCE FOR $\mathrm{GL}_2(\mathbb{Q}_p)$

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## 1. GALOIS GROUPS

Let  $F$  be a finite extension of  $\mathbb{Q}_p$ , with uniformizer  $\varpi$ , and residue field  $k_F \cong \mathbb{F}_q$ . Let  $\overline{F}$  be the algebraic closure of  $F$ . Let  $\Gamma_F := \mathrm{Gal}(\overline{F}/F)$  be the absolute Galois group of  $F$ .

### 1.1. Maximal unramified extension $F^{\mathrm{ur}}$ of $F$ .

For each  $n \geq 1$ , let  $\mathbb{F}_{q^n}$  denote the unique degree  $n$  extension of  $\mathbb{F}_q$ . We can lift the minimal polynomial of a primitive element of  $\mathbb{F}_{q^n}/\mathbb{F}_q$  from  $k_F[X]$  to  $\mathcal{O}_F[X]$ , and let  $F_n$  be the splitting field of this polynomial over  $F$ . We then have that  $F_n/F$  is an unramified extension of degree  $n$ ,  $\varpi$  is still a uniformizer for  $F_n$ , and  $k_{F_n} \cong \mathbb{F}_{q^n}$ . Set

$$F^{\mathrm{ur}} := \bigcup_{n \geq 1} F_n.$$

Some facts about  $F^{\mathrm{ur}}$ :

- (1)  $F^{\mathrm{ur}} = \bigcup_{(s,p)=1} F(\zeta_s)$  (since  $F_n = F(\zeta_{q^n-1})$ ).
- (2)  $k_{F^{\mathrm{ur}}} \cong \overline{k_F} \cong \overline{\mathbb{F}_q}$ .
- (3)  $F^{\mathrm{ur}}/F$  is Galois (as each  $F_n/F$  is) and

$$\mathrm{Gal}(F^{\mathrm{ur}}/F) = \varprojlim \mathrm{Gal}(F_n/F) \cong \varprojlim \mathrm{Gal}(k_{F_n}/k_F) \cong \varprojlim (\mathbb{Z}/n\mathbb{Z}) = \widehat{\mathbb{Z}}.$$

- (4)  $\mathrm{Gal}(F^{\mathrm{ur}}/F)$  is topologically generated by  $\varphi$  (arithmetic Frobenius) whose action on  $k_{F^{\mathrm{ur}}} \cong \overline{k_F}$  is given by  $x \mapsto x^q$ .

### 1.2. Maximal tamely ramified extension $F^{\mathrm{tr}}$ of $F$ .

Let  $K$  be a complete DVF, with Dedekind ring  $A$  and maximal ideal  $\mathfrak{p}$ , and we assume that  $A/\mathfrak{p}$  is perfect. Let  $E/K$  be an extension of degree  $n$ . Then the integral closure  $B$  of  $A$  in  $E$  is the Dedekind ring of  $E$ , and we let  $\mathfrak{P}$  be its maximal ideal.

We write  $n = ef$  where  $\mathfrak{p}B = \mathfrak{P}^e$  and  $[B/\mathfrak{P} : A/\mathfrak{p}] = f$ . We say that  $E$  is *totally ramified* over  $K$  if  $n = e$ , and that  $E$  is *tamely ramified* over  $K$  if the characteristic  $p$  of the residue field  $A/\mathfrak{p}$  does not divide  $e$ . We describe totally and tamely ramified extensions of  $K$ .

**Proposition 1.1** (II, Proposition 11 [Lan94] or Theorem 11.5 [Sut21]).

*Assume that  $E$  is totally ramified over  $K$ , so that  $n = e$ . Let  $\Pi \in B$  be an element of order 1 at  $\mathfrak{P}$ , that is,  $\Pi$  is a uniformizer for  $B$ . Then  $E = K(\Pi)$  and in fact the minimal polynomial of  $\Pi$  over  $K$  is an Eisenstein equation*

$$X^e + a_{e-1}X^{e-1} + \cdots + a_0 = 0,$$

*where  $a_i \in \mathfrak{p}$  for all  $i$  and  $a_0 \not\equiv 0 \pmod{\mathfrak{p}^2}$ . Conversely, every such equation is irreducible, and a root of it generates a totally ramified extension of  $K$  of degree  $e$ .*

**Proposition 1.2** (II, Proposition 12 [Lan94] or Theorem 11.10 [Sut21]).

Assume that  $E$  is totally and tamely ramified over  $K$ . Then there exists an element  $\Pi$  of order 1 at  $\mathfrak{P}$  in  $E$  with irreducible polynomial

$$X^e - \pi = 0$$

with  $\pi$  of order 1 at  $\mathfrak{p}$  in  $K$ . Conversely, if  $a$  is an element of  $A$ , and  $e$  is a positive integer coprime to  $p$ . Then any root of an equation

$$X^e - a = 0$$

generates a tamely ramified extension of  $K$ , and this extension is totally ramified if the order of  $a$  at  $\mathfrak{p}$  is relatively prime to  $e$ .

**Lemma 1.3** (p. 53 [Lan94]).

Let  $e$  be a positive integer coprime to  $p$ . Let  $E$  be a finite extension of  $K$ ,  $\pi_0$  a prime element in  $\mathfrak{p}$ , and  $\beta$  an element of  $E$  such that  $|\beta|^e = |\pi_0|$ . Then there exists an element  $\pi$  of order 1 in  $\mathfrak{p}$  such that one of the roots of the equation  $X^e - \pi = 0$  is contained in  $K(\beta)$ .

**Theorem 1.4.** Let  $E/K$  be a totally and tamely ramified extension of degree  $e$ . Then  $E$  is generated by the root of an equation

$$X^e - \pi = 0$$

for some prime element  $\pi$  of  $\mathfrak{p}$ . Note that difference choices for the uniformizing element  $\pi$  can lead to different extensions, which “differ by an unramified extension”.

*Proof.* Let  $\beta = \Pi$  in the previous lemma. □

**Theorem 1.5** (Theorem 2.62 [Cla]).

Suppose that  $K$  is a complete DVF with algebraically closed residue field  $k$  of characteristic exponent  $p$ . Then there exists, for each positive integer  $e$  coprime to  $p$ , a unique degree  $e$  tamely ramified extension  $L_e/K$ , obtained by taking the  $e^{\text{th}}$  root of any uniformizing element of  $K$ . Moreover, we have  $K^{\text{tr}} = \bigcup_e L_e$  and  $\text{Gal}(K^{\text{tr}}/K) \cong \prod_{\ell \neq p} \mathbb{Z}_\ell$ .

*Proof.* Since  $k = \bar{k}$ , one has that  $K$  contains all the roots of unity of order coprime to  $p$ , and hence  $K = K^{\text{ur}}$ . Therefore, all extensions of  $K$  are totally ramified, and hence any tamely ramified extension is totally and tamely ramified. The previous theorems show that every degree  $e$  tamely ramified extension  $L$  of  $K$  is of the form  $K(\pi^{1/e})$  for some choice of uniformizer  $\pi$  of  $K$ . Conversely, for any uniformizer  $\pi$  of  $K$ , we have that  $K(\pi^{1/e})$  is a tamely ramified extension of degree  $e$ . The uniqueness statement will follow if we can show that for any two uniformizers  $\pi$  and  $\pi'$  of  $K$ , we have that  $K(\pi^{1/e}) = K(\pi'^{1/e})$ .

By Kummer theory, this is the case if and only if  $\langle \pi \rangle = \langle \pi' \rangle$  as subgroups of  $K^\times / (K^\times)^e$ . So there exists  $d$  such that  $\pi^d \equiv \pi' \pmod{(K^\times)^e}$ . So  $dv_{\mathfrak{p}}(\pi) \equiv v_{\mathfrak{p}}(\pi') \pmod{e}$ . However,  $v_{\mathfrak{p}}(\pi) = v_{\mathfrak{p}}(\pi') = 1$ . So  $d \equiv 1 \pmod{e}$ . So  $\pi \equiv \pi' \pmod{(K^\times)^e}$ . In other words, we want to show that  $\pi/\pi'$  is an  $e^{\text{th}}$  power in  $K^\times$ .

Since  $k$  is algebraically closed, every element of  $k^\times$  is an  $e^{\text{th}}$  power. Then by Hensel's lemma, every unit in the valuation ring of  $K$  is an  $e^{\text{th}}$  power, so in particular  $\pi/\pi'$  is an  $e^{\text{th}}$  power. So we have shown that  $K(\pi^{1/e}) = K(\pi'^{1/e})$ .

Let  $L_e := K(\pi^{1/e})$  be the unique degree  $e$  extension of  $K$ . By the basic structure of Kummer extensions,  $\text{Gal}(L_e/K) \cong \langle \pi \rangle \cong \mathbb{Z}/e\mathbb{Z}$ . However, this isomorphism is not canonical. For  $e \mid e'$ , one easily checks that the following diagram commutes:

$$\begin{array}{ccc} \mathrm{Gal}(L_{e'}/K) & \xrightarrow{\sim} & \mathbb{Z}/e'\mathbb{Z} \\ \downarrow & & \downarrow \\ \mathrm{Gal}(L_e/K) & \xrightarrow{\sim} & \mathbb{Z}/e\mathbb{Z}. \end{array}$$

Therefore,  $\mathrm{Gal}(K^{\mathrm{tr}}/K) \cong \varprojlim \mathbb{Z}/e\mathbb{Z} = \prod_{\ell \neq p} \mathbb{Z}_\ell$ .  $\square$

Let  $F/\mathbb{Q}_p$  be a finite extension, with uniformizer  $\varpi$ . By our above discussion, for each  $n \geq 1$  with  $(n, p) = 1$ , there is a unique extension  $E_n/F^{\mathrm{ur}}$  of degree  $n$ , of the form

$$E_n := F^{\mathrm{ur}}(\varpi^{1/n}).$$

By Kummer theory, there is a canonical isomorphism:

$$\begin{aligned} \mathrm{Gal}(E_n/F^{\mathrm{ur}}) &\xrightarrow{\sim} \mu_n(\overline{F}) \\ \sigma &\mapsto \frac{\sigma(\varpi^{1/n})}{\varpi^{1/n}}. \end{aligned}$$

This isomorphism is independent of the choices of  $\varpi$  and  $\varpi^{1/n}$ . Set

$$F^{\mathrm{tr}} := \bigcup_{(n,p)=1} E_n.$$

There is the following isomorphism:

$$\mathrm{Gal}(F^{\mathrm{tr}}/F^{\mathrm{ur}}) = \varprojlim_{(n,p)=1} \mathrm{Gal}(E_n/F^{\mathrm{ur}}) = \varprojlim_{(n,p)=1} \mu_n(\overline{F}) \cong \prod_{\ell \neq p} \mathbb{Z}_\ell.$$

This last isomorphism is not canonical, as it relies on the choice of a compatible system of roots of unity  $(\zeta_n)_{(n,p)=1}$ . By compatible, we mean that if  $n = md$ , then  $\zeta_n^d = \zeta_m$ . For any integer  $m \geq 1$ , we can also define a projection map:

$$\mathrm{Gal}(F^{\mathrm{tr}}/F^{\mathrm{ur}}) \twoheadrightarrow \mathrm{Gal}(E_{q^m-1}/F^{\mathrm{ur}}) = \mu_{q^m-1}(\overline{F}) = [k_{F_m}^\times] \cong k_{F_m}^\times \hookrightarrow \overline{\mathbb{F}}_q^\times.$$

Here,  $[-]$  denotes the Teichmüller lift. This induces another isomorphism:

$$\mathrm{Gal}(F^{\mathrm{tr}}/F^{\mathrm{ur}}) \cong \varprojlim_m k_{F_m}^\times.$$

The following diagram summarizes our discussion. Let  $\Gamma_F := \mathrm{Gal}(\overline{F}/F)$  be the absolute Galois group of  $F$ ,  $I_F := \mathrm{Gal}(\overline{F}/F^{\mathrm{ur}})$  be the inertia subgroup of  $F$ , and  $P_F := \mathrm{Gal}(\overline{F}/F^{\mathrm{tr}})$  be the wild inertia subgroup of  $F$ . Note that  $P_F$  is pro- $p$  and quite big.

$$\begin{array}{c} \Gamma_F \left( \begin{array}{c} \overline{F} \\ \left| \begin{array}{c} P_F \\ F^{\mathrm{tr}} \end{array} \right. \\ \left| \begin{array}{c} \prod_{\ell \neq p} \mathbb{Z}_\ell \\ F^{\mathrm{ur}} \end{array} \right. \\ \left| \begin{array}{c} \widehat{\mathbb{Z}} \\ F \end{array} \right. \end{array} \right) I_F \end{array}$$

2. GALOIS REPRESENTATIONS OVER  $\overline{\mathbb{F}}_p$ 2.1. 1-dimensional  $\text{Gal}(\overline{F}/F)$ -representations.

Fix an isomorphism  $\overline{k}_F \cong \overline{\mathbb{F}}_p$  and identify their subfields:

$$\mathbb{F}_{p^m} \cong \{x \in \overline{k}_F : x^{p^m} = x\}.$$

**Lemma 2.1.** *Any continuous character  $\theta : I_F \rightarrow \overline{\mathbb{F}}_p^\times$  factors as:*

$$I_F \twoheadrightarrow I_F/P_F = \varprojlim_m k_{F_m}^\times \cong \varprojlim_m \mathbb{F}_{q^m}^\times \twoheadrightarrow \mathbb{F}_{q^{m'}}^\times \hookrightarrow \overline{\mathbb{F}}_p^\times.$$

*Proof.* The codomain has the discrete topology, so that  $\ker \theta$  is open. We want to start by showing that  $\theta(P_F)$  is finite. But this follows because  $P_F$  is a pro- $p$  group, and  $\ker \theta \cap P_F$  is open and normal in  $P_F$ , and hence  $P_F/(\ker \theta \cap P_F)$  is a  $p$ -group (which is finite).

So  $\theta(P_F)$  is a finite  $p$ -group in  $\overline{\mathbb{F}}_p^\times$ , and hence it must be trivial. Therefore,  $P_F \leq \ker \theta$ . This means that  $\theta$  factors through the profinite group  $I_F/P_F$ . We are reduced to considering continuous maps from the profinite group  $I_F/P_F$  to the discrete group  $\overline{\mathbb{F}}_p^\times$ . This still has open kernel, which is then both closed and of finite index. Therefore,  $\theta$  factors through a finite quotient of  $I_F/P_F$ , as claimed.  $\square$

**Definition 2.2** (Serre's fundamental characters). *For  $n \geq 1$ , define*

$$\omega_n : I_F \twoheadrightarrow I_F/P_F = \varprojlim_m k_{F_m}^\times \cong \varprojlim_m \mathbb{F}_{q^m}^\times \twoheadrightarrow \mathbb{F}_{q^n}^\times \hookrightarrow \overline{\mathbb{F}}_p^\times.$$

**Proposition 2.3.**

- (a) *If  $m \mid n$ , then  $\omega_n^{1+q^m+q^{2m}+\dots+q^{(n/m-1)m}} = \omega_m$ .*
- (b)  *$\omega_n^{q^n-1} = \mathbb{1}$ , where  $\mathbb{1}$  is the trivial character.*
- (c) *Every mod  $p$  character of  $I_F$  can be written uniquely as  $\omega_n^r$  for some  $n$  and primitive  $r$ . By primitive, we mean that  $0 \leq r < q^n - 1$  and  $r$  is not divisible by  $(q^n - 1)/(q^d - 1)$  where  $d$  is a proper divisor of  $n$ .*

*Proof.*

- (a) Recall the isomorphisms:

$$\varprojlim_s \mu_{q^s-1}(\overline{F}) \cong \varprojlim_s k_{F_s}^\times \cong \varprojlim_s \overline{\mathbb{F}}_{q^s}^\times.$$

If  $m \mid n$ , then  $q^m - 1 \mid q^n - 1$ . If we let  $d := \frac{q^n-1}{q^m-1} = 1 + q^m + q^{2m} + \dots + q^{(n/m-1)m}$ , then the left hand side has a natural transition map:

$$\begin{aligned} \sigma : \mu_{q^m-1}(\overline{F}) &\rightarrow \mu_{q^n-1}(\overline{F}) \\ \zeta &\mapsto \zeta^d. \end{aligned}$$

These are isomorphisms of multiplicative groups, so it follows at once that:

$$\omega_n^d = \omega_m.$$

- (b) This is because  $\omega_n$  has image in  $\mathbb{F}_{q^n}^\times$ .
- (c) Let  $\theta : I_F \rightarrow \overline{\mathbb{F}}_p^\times$  be a continuous character. We just saw that it factors through a finite quotient of  $I_F/P_F$ , and we can identify this quotient with the subgroup  $\mathbb{F}_{q^n}^\times$  of  $\overline{\mathbb{F}}_p^\times$  for

some  $n \geq 1$ . We are then reduced to thinking about group homomorphisms  $\mathbb{F}_{q^n}^\times \rightarrow \overline{\mathbb{F}}_p^\times$ . Note that the inclusion  $\mathbb{F}_{q^n}^\times \subset \overline{\mathbb{F}}_p^\times$  corresponds to the map  $\omega_n$ .

Note that a group homomorphism  $\mathbb{F}_{q^n}^\times \rightarrow \overline{\mathbb{F}}_p^\times$  must have image inside the copy of  $\mathbb{F}_{q^n}^\times$ . So we are reduced again to thinking about group endomorphisms  $\mathbb{F}_{q^n}^\times \rightarrow \mathbb{F}_{q^n}^\times$ . As  $\mathbb{F}_{q^n}^\times$  is cyclic, we can let  $g \in \mathbb{F}_{q^n}^\times$  be a generator. Then a map  $\mathbb{F}_{q^n}^\times \rightarrow \overline{\mathbb{F}}_p^\times$  is determined by the image of  $g$ . The map sending  $g$  to  $g^r$  corresponds to  $\omega_n^r$ . These are all the possible maps since the codomain  $\mathbb{F}_{q^n}^\times$  is generated by  $g$  as well.

We have just shown that  $\theta = \omega_n^r$  for some  $n, r$ . By part (b), we can choose  $r$  to be in the range  $0 \leq r < q^n - 1$  without changing the character. If  $r$  is divisible by a quotient of the form  $(q^n - 1)/(q^d - 1)$ , then by part (a),  $\omega_n^r = \omega_{n'}^{r'}$  for some  $n' \mid n$ .  $\square$

**Lemma 2.4.** *Let  $\varphi$  be a lift of the Frobenius in  $\mathrm{Gal}(\overline{k}_F/k_F)$  to  $\mathrm{Gal}(F^{\mathrm{tr}}/F)$  and  $\tau$  be an element of the subgroup  $\mathrm{Gal}(F^{\mathrm{tr}}/F^{\mathrm{ur}})$ , then  $\varphi\tau\varphi^{-1} = \tau^q$ .*

*Proof.* Since  $F^{\mathrm{tr}} = \bigcup_{m \geq 1} F^{\mathrm{ur}}(\varpi^{1/(q^m-1)})$  and we know that  $\varphi\tau\varphi^{-1}$  fixes  $F^{\mathrm{ur}}$ , it suffices to calculate  $\varphi\tau\varphi^{-1}(\varpi^{1/(q^m-1)})$  for all  $m \geq 1$ .

Fix  $m \geq 1$ . Let  $\omega := \varpi^{1/(q^m-1)}$  and  $\zeta := \zeta_{q^m-1}$  be a primitive  $(q^m - 1)^{\mathrm{st}}$  root of unity.

$$\begin{aligned} \varphi(\zeta) &= \zeta^q & \tau(\zeta) &= \zeta \\ \varphi(\omega) &= \zeta^s \omega & \tau(\omega) &= \zeta^t \omega \end{aligned}$$

Note that the action on  $\omega$  has this form because the automorphisms of  $\mathrm{Gal}(F^{\mathrm{tr}}/F)$  act on the roots of the irreducible polynomial  $X^{q^m-1} - \varpi$  in  $F[X]$ . Compute

$$\varphi\tau\varphi^{-1}(\omega) = \varphi\tau(\zeta^{-s/q}\omega) = \varphi(\zeta^{-s/q}\zeta^t\omega) = \zeta^{-s}\zeta^{tq}\zeta^s\omega = \zeta^{tq}\omega.$$

On the other hand,  $\tau^q(\omega) = \zeta^{tq}\omega$ . This completes the proof.  $\square$

**Lemma 2.5.** *The inertial character  $\omega_n : I_F \rightarrow \overline{\mathbb{F}}_p^\times$  can be extended (non-uniquely) to a character of  $\Gamma_F$  if and only if  $n = 1$ .*

*Proof.* Suppose  $\omega_n$  extends to  $\Gamma_F$ . Let  $\varphi \in \Gamma_F$  be a lift of Frobenius, and  $\tau \in I_F$ . Then

$$\begin{aligned} \omega_n(\tau) &= \omega_n(\varphi)\omega_n(\tau)\omega_n(\varphi^{-1}) \\ &= \omega_n(\varphi\tau\varphi^{-1}) \\ &= \omega_n(\tau)^q \end{aligned} \quad (\omega_n \text{ factors through } P_F)$$

Therefore,  $\omega_n$  has image inside  $\mathbb{F}_q^\times$ . This implies  $\mathbb{F}_{q^n}^\times \subset \mathbb{F}_q^\times$ . Therefore,  $n = 1$ .

On the other hand, suppose  $n = 1$ . Set  $\omega_1(\varphi) := 1$ . Every  $\gamma \in \Gamma_F$  can be written as a product  $\gamma = \tau\varphi^d$  for some  $\tau \in I_F$  and  $d \in \mathbb{Z}$ . We set  $\omega_1(\gamma) := \omega_1(\tau)\omega_1(\varphi)^d = \omega_1(\tau)$ .

To show  $\omega_1$  is well-defined, suppose  $\tau\varphi^d = \tau'\varphi^e$ . Then  $1 = \tau^{-1}\tau'\varphi^{e-d}$ . This cannot happen unless  $d = e$ , in which case also  $\tau = \tau'$ .

To show  $\omega_1$  is a homomorphism, we compute:

$$\begin{aligned}
\omega_1(\tau\varphi^d\tau'\varphi^e) &= \omega_1(\tau\varphi^d\tau'\varphi^{-d}\varphi^{d+e}) \\
&= \omega_1(\tau\varphi^d\tau'\varphi^{-d}) && (\text{since } \varphi^d\tau'\varphi^{-d} \in I_F) \\
&= \omega_1(\tau(\tau')^{q^d}) && (\text{since } \omega_1(P_F) = 1) \\
&= \omega_1(\tau)\omega_1(\tau')^{q^d} \\
&= \omega_1(\tau)\omega_1(\tau') && (\text{since } \omega_1(I_F) \subset \mathbb{F}_q^\times) \\
&= \omega_1(\tau\varphi^d)\omega_1(\tau'\varphi^e).
\end{aligned}$$

□

**Corollary 2.6.** *Fix a lift of Frobenius  $\varphi \in \Gamma_F$ . Extend  $\omega_1$  to  $\Gamma_F$  by the condition that  $\omega_1(\varphi) = 1$ . Then any continuous character  $\chi : \Gamma_F \rightarrow \overline{\mathbb{F}}_p^\times$  is of the form:*

$$\chi = \omega_1^r \cdot \mu_\lambda$$

for  $0 \leq r < q-1$  and where  $\mu_\lambda : \Gamma_F \twoheadrightarrow \Gamma_F/I_F \rightarrow \overline{\mathbb{F}}_p^\times$  sends  $\varphi$  to some  $\lambda \in \overline{\mathbb{F}}_p^\times$ .

**Remark 2.7.** The condition “continuous” is the same as “smooth” mod  $p$ .

## 2.2. $n$ -dimensional $\text{Gal}(\overline{F}/F)$ -representations.

**Lemma 2.8** ( $p$ -groups lemma).

Let  $C$  be a field of characteristic  $p > 0$ , and  $|G| = p^k$  for some  $k \geq 1$ . Let  $V$  be a  $C$ -vector space, equipped with a representation of  $G$ . Then  $V^G \neq 0$ .

*Proof.* We forget the  $C$ -vector space structure on  $V$ , and view it as a  $\mathbb{F}_p$ -vector space. We may also replace  $V$  by the  $\mathbb{F}_p$ -span of  $\{gv\}_{g \in G}$  for any  $v \in V$ ,  $v \neq 0$ . Then  $V$  is finite-dimensional over  $\mathbb{F}_p$ , as  $G$  is finite.

Now we have  $\pi : G \rightarrow \text{GL}_d(\mathbb{F}_p)$  for some  $d$ , and the image of  $\pi$  is contained in a Sylow  $p$ -subgroup of  $\text{GL}_d(\mathbb{F}_p)$ , all of which are conjugate to:

$$\begin{pmatrix}
1 & * & * & \dots & * \\
0 & 1 & * & \dots & * \\
0 & 0 & 1 & \dots & * \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \dots & 1
\end{pmatrix}.$$

So after conjugating,  $\text{im } \pi$  fixes the first basis vector. □

**Lemma 2.9** (pro- $p$ -groups lemma).

Let  $C$  be a field of characteristic  $p > 0$ , and  $G$  be a pro- $p$  group. Let  $(\pi, V)$  be a smooth non-zero  $G$ -representation on a  $C$ -vector space. Then  $V^G \neq 0$ .

*Proof.* Replacing  $V$  by the  $C$ -span of  $\{gv\}_{g \in G}$  for any  $v \in V$ ,  $v \neq 0$ , we can assume that there is some  $v$  that generates  $V$ . Since  $\text{Stab}_G(v)$  is an open subgroup of  $G$ , there is an open normal subgroup  $H \trianglelefteq G$  such that  $H \leq \text{Stab}_G(v)$ . Then

$$V = \text{span}_C\{gv : g \in G\} = \text{span}_C\{gv : [g] \in G/H\}.$$

So the (finite)  $p$ -group  $G/H$  acts on  $V$ . The result follows from the  $p$ -groups lemma. □

**Proposition 2.10.** *Let  $\rho : \Gamma_F \rightarrow \mathrm{GL}_n(\overline{\mathbb{F}}_p)$  be a continuous irreducible representation. Then*

$$\rho|_{I_F} = \bigoplus_{i=1}^n \omega_{m_i}^{r_i}$$

for some integers  $m_i \geq 1$  and some  $0 \leq r_i < q^{m_i} - 1$ .

*Proof.* Let  $V := V_\rho$ . Since  $P_F$  is pro- $p$ , and  $\rho$  is smooth, the pro- $p$ -groups lemma tells us that  $V^{P_F} \neq 0$ . Since  $P_F \trianglelefteq \Gamma_F$ ,  $V^{P_F}$  is  $\Gamma_F$ -stable. Since  $V$  is irreducible,  $V^{P_F} = V$  as representations of  $\Gamma_F$ . Therefore,  $\rho$  factors through  $\Gamma_F/P_F$ .

Now consider the restriction  $\rho|_{I_F} : I_F \rightarrow \mathrm{GL}_n(\overline{\mathbb{F}}_p)$ . Since  $\rho$  is trivial on  $P_F$ , we have:

$$\rho|_{I_F} : I_F \twoheadrightarrow I_F/P_F \rightarrow \mathrm{GL}_n(\overline{\mathbb{F}}_p).$$

The group  $I_F/P_F$  is profinite, as it is a product of profinite groups. Since  $\rho|_{I_F}$  is continuous,  $\ker \rho$  is an open normal subgroup of  $I_F/P_F$ , which furthermore implies that  $\ker \rho$  is closed and finite index in  $I_F/P_F$ . Thus  $\rho|_{I_F}$  factors through a finite quotient  $H$  of  $I_F/P_F$ , and by the explicit description of  $I_F/P_F$ , we know that the order of  $H$  is coprime to  $p$ .

So Maschke's theorem for finite groups tells us that  $\rho|_{I_F}$  is semisimple, and since  $I_F/P_F$  is abelian, Schur's lemma tells us that the irreducible constituents of  $\rho|_{I_F}$  are one-dimensional. We conclude that  $\rho|_{I_F}$  is a sum of characters. We classified all such characters earlier.  $\square$

**Proposition 2.11.** *Let  $\Gamma_{F_n} := \langle I_F, \varphi^n \rangle$ .*

- (i) *The element  $\varphi^{-1}$  acts transitively (by an  $n$ -cycle) on the eigenspaces of  $\rho|_{I_F}$ . So  $\varphi^{-n}$  preserves the eigenspace decomposition of  $\rho|_{I_F}$ . This forces all of the  $m_i \mid n$  (and so in particular we can choose  $m_i = n$ ) from the previous proposition. This also tells us that  $\varphi^n$  acts by a scalar on each of the eigenspaces.*
- (ii) *In general, if  $m \mid n$ , then the inertial character  $\omega_m : I_F \rightarrow \overline{\mathbb{F}}_p^\times$  can be extended to a character on  $\Gamma_{F_n}$  by imposing that  $\omega_m(\varphi^n) = 1$ .*
- (iii) *There exists a single  $\lambda \in \overline{\mathbb{F}}_p^\times$  and a character  $\kappa_\lambda : \Gamma_{F_n} \rightarrow \overline{\mathbb{F}}_p^\times$  which is trivial on  $I_F$  and for which  $\kappa_\lambda(\varphi^n) = \lambda$  such that*

$$\rho|_{\Gamma_{F_n}} = \bigoplus_{i=1}^n \omega_n^{r_i q^{i-1}} \kappa_\lambda.$$

*Proof.*

- (i) Let  $v$  be in the  $\omega_{m_i}^{r_i}$ -isotypic component of  $V$ , and  $\tau \in I_F$ . Since  $\varphi\tau\varphi^{-1} = \tau^q$  in  $I_F/P_F$ :

$$\tau\varphi^{-1}v = \varphi^{-1}\tau^q v = \omega_{m_i}^{r_i}(\tau)^q \varphi^{-1}v.$$

So  $\varphi^{-1}v$  is in the  $\omega_{m_i}^{qr_i}$ -isotypic component of  $V$ , and it is in particular an eigenvector for the representation  $\rho|_{I_F}$ . To make things easy, let us assume for simplicity that all of the characters  $\omega_{m_i}^{r_i}$  are distinct. The irreducibility of  $\rho$  implies that for all  $j$  there exists  $k$  such that  $\varphi^{-k}v$  is  $\omega_{m_j}^{r_j}$ -isotypic. So the action of  $\varphi^{\mathbb{Z}}$  on  $v$  is transitive, in the sense that the action sends  $v$  to every possible eigenspace of  $\rho|_{I_F}$ . So  $\varphi$  must act on the eigenspaces via an  $n$ -cycle, and  $\varphi^{-n}v$  lands back into the  $\omega_{m_i}^{r_i}$ -isotypic component. Since this is true for all  $i$ ,  $\varphi^{-n}$  respects the eigenspace decomposition of  $\rho|_{I_F}$ . Since  $\varphi^{-n}v$  is  $\omega_{m_i}^{r_i}$ -isotypic, one has  $\tau\varphi^{-n}v = \omega_{m_i}^{r_i}(\tau)\varphi^{-n}v$  for  $\tau \in I_F$ . But also:

$$\tau\varphi^{-n}v = \varphi^{-n}\tau^{q^n}v = \omega_{m_i}^{r_i}(\tau)^{q^n}\varphi^{-n}v.$$

So  $\omega_{m_i}^{r_i} = \omega_{m_i}^{r_i q^n}$ . This implies  $m_i \mid n$ , so we can in particular choose  $m_i = n$ . So far everything we did works for an arbitrary  $i$ . Now let  $m := m_1$  and  $r := r_1$ , and note that we can let  $m_1 = n$  as just discussed. To reach any isotypic component, we just have to push the  $\omega_n^r$ -isotypic component around by  $\varphi^{-1}$ . So this leads us to conclude:

$$\rho|_{I_F} = \bigoplus_{i=1}^n \omega_n^{r q^{i-1}}.$$

- (ii) We just need to extend  $\omega_n$ . If we can do that, then the extension of  $\omega_m$  is an easy consequence of the formula generating  $\omega_m$  from  $\omega_n$  as characters of  $I_F$ .

One needs to check that the extension of  $\omega_n$  is well-defined and that it satisfies the homomorphism property. The latter crucially depends on the fact that  $\omega_n$  and  $\varphi^n$  have the same  $n$ . We give a partial calculation. Let  $\tau, \tau' \in I_F$ . Then

$$\omega_n(\tau \varphi^n \tau' \varphi^{-n}) = \omega_n(\tau \tau'^{q^n}) = \omega_n(\tau) \omega_n(\tau')^{q^n} = \omega_n(\tau) \omega_n(\tau').$$

- (iii) We know that  $\varphi^n$  preserves the eigenspaces of  $\rho|_{I_F}$ . It suffices to check that  $\varphi^n$  acts by the same scalar in each eigenspace. Suppose  $\varphi^n$  acts on the  $\omega_m^r$ -isotypic component by the scalar  $\lambda$ , and acts on the  $\omega_{m'}^{r'}$ -isotypic component by the scalar  $\mu$ . Let  $v$  be  $\omega_m^r$ -isotypic. There exists  $j$  such that  $\varphi^{-j}$  is  $\omega_{m'}^{r'}$ -isotypic. Then

$$\mu \varphi^{-j} v = \varphi^n \varphi^{-j} v = \varphi^{-j} \varphi^n v = \lambda \varphi^{-j} v.$$

So  $\mu = \lambda$ . The semisimple decomposition of  $\rho|_{\Gamma_{F_n}}$  follows easily. □

**Corollary 2.12.** *Let  $\rho : \Gamma_F \rightarrow \mathrm{GL}_n(\overline{\mathbb{F}}_p)$  be a continuous irreducible representation. Then  $\rho$  is isomorphic, for some  $0 \leq r < q^n - 1$  and  $\lambda \in \overline{\mathbb{F}}_p^\times$ , to the induction*

$$\mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F} \omega_n^r \kappa_\lambda.$$

Moreover, the only non-trivial isomorphisms are, for some  $j \in \mathbb{Z}$ , of the form

$$\mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F} \omega_n^r \kappa_\lambda \cong \mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F} \omega_n^{r q^j} \kappa_\lambda.$$

**Remark 2.13.** *The converse is not true. Not all of these inductions are irreducible!*

### 3. MOD $p$ LOCAL LANGLANDS CORRESPONDENCE FOR $\mathrm{GL}_2(\mathbb{Q}_p)$

Let us recall some facts from the complex representation theory of finite groups, which continue to be true in our setting, even though our groups are not finite.

**Proposition 3.1** (Irreducibility of induction from normal subgroup).

*Let  $G$  be a finite group, and  $H \trianglelefteq G$  be a normal subgroup. Let  $\rho$  be an irreducible complex representation of  $H$ . Then  $\mathrm{Ind}_H^G \rho$  is irreducible if and only if  $\rho \not\cong \rho^b$  for all  $b \notin H$ . Here,*

$$\rho^b(g) := \rho(bgb^{-1}).$$

*Proof.* Let  $\chi$  be the character of  $\rho$ . Then check the right hand side is equivalent to:

$$\langle \chi, \mathrm{Res}_H^G \mathrm{Ind}_H^G \chi \rangle_H = \langle \mathrm{Ind}_H^G \chi, \mathrm{Ind}_H^G \chi \rangle_G = 1.$$

□



**Proposition 3.2** (Push-pull formula).

Let  $G$  be a finite group, and  $H \leq G$  be a subgroup. Let  $\rho$  and  $\sigma$  be finite-dimensional complex representations of  $H$  and  $G$ , respectively. Then,

$$\mathrm{Ind}_H^G(\rho \otimes \sigma|_H) \cong \mathrm{Ind}_H^G(\rho) \otimes \sigma.$$

The first thing we can do is pull out the  $\kappa_\lambda$  from the induction formula. Let  $\lambda_0 \in \overline{\mathbb{F}}_p^\times$  be any root of  $X^n - \lambda$ , and define a character  $\mu_{\lambda_0} : \Gamma_F \rightarrow \overline{\mathbb{F}}_p^\times$  which is trivial on  $I_F$  and for which  $\mu_{\lambda_0}(\varphi) = \lambda_0$ . Then  $\mu_{\lambda_0}|_{\Gamma_{F_n}} = \kappa_\lambda$ , so that

$$\mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F}(\omega_n^r \kappa_\lambda) \cong \mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F}(\omega_n^r) \otimes \mu_{\lambda_0}.$$

So moving forward, we will set  $\lambda = 1$  so that  $\kappa_\lambda$  is trivial. But we will compensate for this by allowing twists by smooth characters. In other words, we want to try and understand irreducible representations of the form

$$\mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F}(\omega_n^r) \otimes \chi$$

for an arbitrary smooth character  $\chi : \Gamma_F \rightarrow \overline{\mathbb{F}}_p^\times$ . Clearly, the irreducibility of this induction does not depend on twisting by characters, so we are reduced to understanding

$$\mathrm{Ind}_{\Gamma_{F_n}}^{\Gamma_F}(\omega_n^r).$$

From this point onward, let us fix  $n = 2$  and  $F = \mathbb{Q}_p$ , so that we restrict our attention to just the 2-dimensional representations of  $\mathrm{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$ , with the goal of stating the mod  $p$  local Langlands correspondence for  $\mathrm{GL}_2(\mathbb{Q}_p)$ .

**Proposition 3.3.** *Let  $\mathbb{Q}_{p^2}/\mathbb{Q}_p$  denote the unique unramified extension of degree 2. Then for every  $0 \leq r < p^2 - 1$ , we defined an induced representation*

$$\mathrm{Ind}_{\Gamma_{\mathbb{Q}_{p^2}}}^{\Gamma_{\mathbb{Q}_p}}(\omega_2^r).$$

*This is reducible if and only if  $(p+1) \mid r$ .*

*Proof.* We want to find the values of  $r$  for which:

$$\omega_2^r(\tau)^p = \omega_2^r(\varphi\tau\varphi^{-1}) =: (\omega_2^r)^\varphi(\tau) = \omega_2^r(\tau).$$

This is true if and only if  $\omega_2^{r(p-1)} = 1$ . So  $r(p-1) \equiv 0 \pmod{p^2-1}$ , and hence

$$r \equiv 0 \pmod{p+1}. \quad \square$$

**Proposition 3.4.** *Let  $r \geq p+1$ . Recall the formula  $\omega := \omega_1 = \omega_2^{p+1}$  and the fact that  $\omega$  can be extended to a character of  $\Gamma_{\mathbb{Q}_p}$ . This implies*

$$\mathrm{Ind}_{\Gamma_{\mathbb{Q}_{p^2}}}^{\Gamma_{\mathbb{Q}_p}}(\omega_2^r) = \mathrm{Ind}_{\Gamma_{\mathbb{Q}_{p^2}}}^{\Gamma_{\mathbb{Q}_p}}(\omega_2^{r-p-1}\omega) = \mathrm{Ind}_{\Gamma_{\mathbb{Q}_{p^2}}}^{\Gamma_{\mathbb{Q}_p}}(\omega_2^{r-p-1}) \otimes \omega.$$

*Proof.* Use the push-pull formula.  $\square$

**Corollary 3.5.** *Let  $\rho : \Gamma_{\mathbb{Q}_p} \rightarrow \mathrm{GL}_2(\overline{\mathbb{F}}_p)$  be a continuous irreducible representation. Then there exists a smooth character  $\chi : \Gamma_{\mathbb{Q}_p} \rightarrow \overline{\mathbb{F}}_p^\times$  and  $r \in \{0, \dots, p-1\}$  such that*

$$\rho \cong \rho(r, \chi) := \mathrm{Ind}_{\Gamma_{\mathbb{Q}_{p^2}}}^{\Gamma_{\mathbb{Q}_p}}(\omega_2^{r+1}) \otimes \chi.$$

*The only non-trivial intertwiners between these representations are*

$$\rho(r, \chi) \cong \rho(r, \chi\mu_{-1}) \cong \rho(p-1-r, \chi\omega^r) \cong \rho(p-1-r, \chi\omega^r\mu_{-1}).$$

Let  $G := \mathrm{GL}_2(\mathbb{Q}_p)$ ,  $K := \mathrm{GL}_2(\mathbb{Z}_p)$ ,  $B := \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$  be the standard upper triangular Borel subgroup of  $G$ , and  $Z \cong \mathbb{Q}_p^\times$  be the centre of  $G$ . Recall the classification of irreducible smooth admissible mod  $p$  representations of  $G := \mathrm{GL}_2(\mathbb{Q}_p)$ .

**Definition 3.6.** Given  $0 \leq r < p - 1$ ,  $\lambda \in \overline{\mathbb{F}}_p$ , and a smooth character  $\chi : \mathbb{Q}_p^\times \rightarrow \overline{\mathbb{F}}_p^\times$ , let

$$\pi(r, \lambda, \chi) := \frac{\mathrm{c}\text{-Ind}_{KZ}^G(\mathrm{Sym}^r \overline{\mathbb{F}}_p^2)}{(T_p - \lambda)} \otimes (\chi \circ \det).$$

**Theorem 3.7.** Let  $\lambda \in \overline{\mathbb{F}}_p$ ,  $r \in \{0, \dots, p - 1\}$ , and  $\chi : \mathbb{Q}_p^\times \rightarrow \overline{\mathbb{F}}_p^\times$  be a smooth character.

- (i)  $\pi(r, \lambda, \chi)$  is smooth and admissible, with central character  $\omega^r \chi^2$ .
- (ii)  $\pi(r, \lambda, \chi)$  is irreducible, unless  $(r, \lambda) \in \{(0, \pm 1), (p - 1, \pm 1)\}$ .
- (iii) For  $(r, \lambda) \in \{(0, \pm 1), (p - 1, \pm 1)\}$ , there are composition series

$$\begin{aligned} 0 &\longrightarrow \mathrm{St} \otimes (\chi \mu_\lambda \circ \det) \longrightarrow \pi(0, \lambda, \chi) \longrightarrow \chi \mu_\lambda \circ \det \longrightarrow 0 \\ 0 &\longrightarrow \chi \mu_\lambda \circ \det \longrightarrow \pi(p - 1, \lambda, \chi) \longrightarrow \mathrm{St} \otimes (\chi \mu_\lambda \circ \det) \longrightarrow 0 \end{aligned}$$

- (iv) These are all of the irreducible smooth admissible representations of  $\mathrm{GL}_2(\mathbb{Q}_p)$  over  $\overline{\mathbb{F}}_p$ .

**Definition 3.8.** Let  $\lambda = 0$ ,  $r \in \{0, \dots, p - 1\}$ , and  $\chi : \mathbb{Q}_p^\times \rightarrow \overline{\mathbb{F}}_p^\times$  a smooth character. Then representations of the form  $\pi(r, 0, \chi)$  are called *supersingular*.

**Proposition 3.9.**

- (i) For  $\lambda \neq 0$ ,  $r \in \{0, \dots, p - 1\}$ , and  $\chi : \mathbb{Q}_p^\times \rightarrow \overline{\mathbb{F}}_p^\times$ ,

$$\pi(r, \lambda, \chi)^{\mathrm{ss}} \cong \mathrm{Ind}_B^G(\chi \mu_{1/\lambda}, \chi \mu_\lambda \omega^r)^{\mathrm{ss}}.$$

- (ii) For  $\lambda = 0$ ,  $r \in \{0, \dots, p - 1\}$ , and  $\chi : \mathbb{Q}_p^\times \rightarrow \overline{\mathbb{F}}_p^\times$ ,

$$\pi(r, 0, \chi) \cong \pi(r, 0, \chi \mu_{-1}) \cong \pi(p - 1 - r, 0, \chi \omega^r) \cong \pi(p - 1 - r, 0, \chi \omega^r \mu_{-1}).$$

**Theorem 3.10** (The semi-simple mod  $p$  local Langlands correspondence).

Let  $\chi$  and  $\omega := \omega_1$  be smooth characters  $\Gamma_{\mathbb{Q}_p} \rightarrow \overline{\mathbb{F}}_p^\times$ , which can also be viewed as smooth characters  $\mathbb{Q}_p^\times \rightarrow \overline{\mathbb{F}}_p^\times$  via local class field theory. Then

- (i) For  $r \in \{0, \dots, p - 1\}$ :

$$\rho(r, \chi) \longleftrightarrow \pi(r, 0, \chi).$$

- (ii) For  $r \in \{0, \dots, p - 2\}$  and  $\lambda \in \overline{\mathbb{F}}_p^\times$ :

$$(\omega^{r+1} \mu_\lambda \oplus \mu_{1/\lambda}) \otimes \chi \longleftrightarrow \pi(r, \lambda, \chi)^{\mathrm{ss}} \oplus \pi(p - 3 - r, 1/\lambda, \omega^{r+1} \chi)^{\mathrm{ss}}.$$

**Remark 3.11.** The objects on the Galois side have determinant  $\omega^{r+1} \chi^2$ , and the objects on the automorphic side have central character  $\omega^r \chi^2$ .

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