

Motivation  $q = e^{2\pi iz}$

$$\theta(z) := \sum_{n \geq 0} \# \{n : n = a^2 + b^2 + c^2 + d^2\} q^n = \sum_{\substack{\lambda \in (\mathbb{Z}^4, \langle \cdot, \cdot \rangle) \\ \lambda = (a, b, c, d)}} q^{\langle \lambda, \lambda \rangle}$$

"orthogonal variant"

## Key Properties

- (1) modular form (proved by Poisson summation)
- (2) Siegel-Weil formula = explicit Eisenstein series

In this case:  $\# \{n : n = a^2 + b^2 + c^2 + d^2\}$   
 $= 8 \sum_{\substack{d|n \\ n \neq d}} d$

## Function Fields

(char.  $\neq 2$ )

$X$  smooth proj. geom. conn. /  $\mathbb{F}_q$ . We want "unitary variant".  $\iota : X' \rightarrow X$  finite étale double cover.

$\mathcal{F} \in \text{Vec}(X) \mapsto h : \mathcal{F} \xrightarrow{\sim} \sigma^* \mathcal{F}^\vee$  s.t.  $\sigma^* h^\vee = h$ .

$$\mathcal{F}^\vee := \text{Hom}(\mathcal{F}, \omega_{X'})$$

" $\theta \sim$  count global sections of  $\mathcal{F}$ " (of which there are not many).

Consider

$$\mathcal{L} \in \text{Pic}(X'). \text{ Consider } \text{Hom}(\mathcal{L}, \mathcal{F}) \ni t. \leadsto \mathcal{L} \xrightarrow{t} \mathcal{F} \xrightarrow{h} \sigma^* \mathcal{F}^\vee \xrightarrow{\sigma^* t^\vee} \sigma^* \mathcal{L}^\vee$$

$$\begin{aligned} T &\in \text{Hom}_H(\mathcal{L}, \sigma^* \mathcal{L}^\vee) \\ &\cong \text{Ext}_H^1(\sigma^* \mathcal{L}^\vee, \mathcal{L}) \\ &\text{by Serre duality} \end{aligned}$$

This should give  $\sum_{t \in \text{Hom}(\mathcal{L}, \mathcal{F})} "q^T"$ . What should this be? Fix additive char.  $\psi : \mathbb{F}_q \rightarrow \mathbb{C}^\times$ .

( $H \sim$  "hermitian condition")

Function on  $\text{Ext}_H^1(\sigma^* \mathcal{L}^\vee, \mathcal{L}) \cong [M]$ .

$$\left\{ \begin{array}{ccc} \mathcal{L} & \rightarrow & M \\ \downarrow & & \downarrow \\ \mathcal{L} & \rightarrow & \sigma^* \mathcal{L}^\vee \end{array} \right\}$$

Lagrangian hermitian structure

## Key Properties

(1) Modularity: independent of  $\mathcal{L}$  (only depends on  $M$ )

$$\theta(\mathcal{L} \leq M) = \sum_{t \in \text{Hom}(\mathcal{L}, \mathcal{F})} \psi(\langle T, [M] \rangle)$$

(2) Some analogue of Siegel-Weil formula (in terms of explicit Eisenstein series)

$P_{2m}$  Siegel parabolic of  $U(2m)$

[ $m$  comes from vector bundles of higher rank, which we can consider.]

## Weil's Uniformization

$\uparrow [\text{Bun}_{U_m}]$

$$G(F) \backslash G(\mathbb{A}_F) / G(\hat{\mathcal{O}}_F) \cong \text{Bun}_G(\mathbb{F}_q).$$

$$\{(\mathcal{L} \leq M)\} = \text{Bun}_{2m}(\mathbb{F}_q) \quad (\forall m=1)$$

$$\downarrow \\ \text{Bun}_{U(2m)}(\mathbb{F}_q).$$

Remark: We can prove this using Riemann-Roch, which is secretly the same as Poisson summation.

Want "higher"  $\Theta$ -functions  $\Theta^r$ : generating series w/ coeffs. cycle classes  $\in CH_*(\text{Sht}^r_{U(n)})$   
 $\downarrow$  ["special cycles"]  $\downarrow$   $\uparrow$   
 coeffs.  $[Z^r_\Sigma(T)]$  generalizing  $\{t: \Sigma \rightarrow \mathbb{F}$  lying over  $T\}$   $(X')^r$  (some kind of shtuka)  
 $\nwarrow$  (rank  $\Sigma = n$ )

Conjecture: "higher" modularity and "higher" Siegel-Weil

$$\deg [Z^r_\Sigma(T)] \sim \frac{\partial^r}{\partial s^r} \Big|_{s=0} \text{Eis}(s).$$

$r=0$ : classical story  
 $r=1$ : # field analogue on  $\text{Sht}^1_{U(n)}$   
 $r>1$ : new!  
 $\uparrow$   
 (work of Kudla, Rapoport, Howard, Mutagusi, Peca, etc.)

Towards  $\text{Sht}^r_{U(n)}$

$HK^r_{U(n)}$  = moduli of  $\left\{ \begin{array}{l} x'_1, \dots, x'_r \in X' \\ (\mathcal{F}_0, \mathcal{L}_0), \dots, (\mathcal{F}_r, \mathcal{L}_r) \in \text{Bun}_{U(n)} \end{array} \right\}$   
 $\swarrow \rho_0 \downarrow \dots \downarrow \rho_r$  "modification"  $\mathcal{F}_{i-1}|_{X' - x'_i - \sigma x'_i} \xrightarrow{\sim} \mathcal{F}_i|_{X' - x'_i - \sigma x'_i}$   
 $(X')^r \text{Bun}_{U(n)} \text{Bun}_{U(n)}$   $\leftarrow$  (don't want absolutely all such isoms. ...)

Remark: Taking rational pts. recovers "old story" of Hecke operators.

$\text{Sht}^r_{U(n)} \rightarrow HK^r_{U(n)} \xrightarrow{\text{(projection maps)}} \text{moduli of } \left\{ \mathcal{F}_0 \dashrightarrow \dots \dashrightarrow \mathcal{F}_r \xrightarrow{\sim} \text{Frob}^* \mathcal{F}_0 \right\}$   
 $\downarrow \quad \downarrow$   
 $\text{Bun}_{U(n)} \xrightarrow{(\text{id}, \text{Frob})} \text{Bun}_{U(n)} \times \text{Bun}_{U(n)} \xrightarrow{(\rho_0, \rho_r)}$

Example: ( $r=0$ )  $\{ \mathcal{F}_0 \xrightarrow{\sim} \text{Frob}^* \mathcal{F}_0 \} \simeq \text{Bun}_{U(n)}(\mathbb{F}_q)$ .

Special Cycles

Will define  $Z^r_\Sigma(T)$ .  $\Sigma$  rank  $n$  vector bundle /  $X'$ .

$$\text{Sht}^r \leftarrow Z^r_\Sigma = \left\{ \begin{array}{c} \Sigma = \Sigma = \dots = \Sigma \\ t_0 \downarrow \quad t_1 \downarrow \quad \quad \quad t_r \downarrow \\ \mathcal{F}_0 \dashrightarrow \dots \dashrightarrow \mathcal{F}_r \xrightarrow{\sim} \text{Frob}^* \mathcal{F}_0 \end{array} \right\}$$

The role of  $T$  here is similar to before. We say  $T$  is "nonsingular" if it is injective.

•  $Z_{\mathcal{E}}^r(T)$  has "virtual codim"  $mr$ ,  $m = \text{rank}(\mathcal{E})$  :  $[Z_{\mathcal{E}}^r(T)] \in CH^{mr}(ShT^r)$

This is not the actual codim, unfortunately.

•  $m=1$ ,  $T$  nonsingular  $\Rightarrow Z_{\mathcal{E}}^r(T)$  is LCI of codim  $r \Rightarrow [Z_{\mathcal{E}}^r(T)]$  can be taken as naive fundamental class.

$$\mathcal{E} = \mathcal{L}_1 \oplus \mathcal{L}_2 \text{ w/ } \mathcal{L}_1, \mathcal{L}_2 \text{ rank 1} \Rightarrow \underbrace{Z_{\mathcal{L}_1}^r(T_1) \times_{ShT^r} Z_{\mathcal{L}_2}^r(T_2)}_{T = \begin{pmatrix} T_1 & * \\ * & T_2 \end{pmatrix}} = \text{"U"} \quad Z_{\mathcal{E}}^r(T).$$

This is evidence that the "higher"  $\theta^r$  is modular.

$\rightarrow$  called "linear invariance", after an idea of Ben Howard

Look at top Chern classes of Hodge bundles...