

We'll supplement Haines & Harris w/ Milne.

Motivation

$$\ker(SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{Z}/m))$$

$\mathcal{H} \subseteq \mathbb{C}$ upper half-plane w/ usual action by $SL_2(\mathbb{R})$. $\Gamma(m) =$ full congruence subgroup of level m .

$Y(m) (= \mathbb{C}) := \Gamma(m) \backslash \mathcal{H}$. This is complex mfd (w/ some care needed for $m \leq 2$). Fix primitive $\zeta_m \in \mu_m \subseteq \mathbb{C}$.

Bijection $Y(m)(\mathbb{C}) = \{ \text{elliptic curves } E/\mathbb{C} \text{ w/ generators } P, Q \in E[m] \text{ s.t. } e_m(P, Q) = \zeta_m \} = (*)$.
 \leftarrow Weil pairing

We get smooth quasiproj. alg. var. $Y(m)/\mathbb{Q} \xrightarrow{\mathbb{Q}(\zeta_m)} \text{s.t. } Y(m)(\mathbb{C}) = (*)$.

Remark: This particular descent is canonical in terms of minimal fields of definition. We will gloss over the fact that we get equiv. mfd structures from sol. of moduli problem and grp. action quotient.

How did Shimura generalize this? let F be degree d totally real $\#$ field. Label $\tau_1, \dots, \tau_d \in \text{Hom}_{\mathbb{Q}}(F, \mathbb{R})$.

$F \otimes_{\mathbb{Q}} \mathbb{R} \xrightarrow{\sim} \mathbb{R}^{\times d}$, $x \otimes \alpha \mapsto (\tau_1(x)\alpha, \dots, \tau_d(x)\alpha)$. Let B be quaternion alg. / F split at τ_1, \dots, τ_r nonsplit at $\tau_{r+1}, \dots, \tau_d$.

B is fin. dim. F -alg. s.t. $B \otimes_{F, \tau_i} \mathbb{R} \cong \begin{cases} M_2(\mathbb{R}), & 1 \leq i \leq r \\ \mathbb{H}, & r < i \leq d \end{cases} \Rightarrow B \otimes_{\mathbb{Q}} \mathbb{R} \cong \prod_{i=1}^d B \otimes_{F, \tau_i} \mathbb{R} \cong M_2(\mathbb{R})^r \times \mathbb{H}^{d-r}$. In particular,

$B \in M_2(\mathbb{R})^r \Rightarrow B^{\times} \subseteq GL_2(\mathbb{R})^r$. $\Gamma \subseteq B^{\times} \cap SL_2(\mathbb{R})^r$ congruence subgroup. \leadsto r -dim complex mfd $Y_{\Gamma}^{(\mathbb{C})} := \Gamma \backslash \mathcal{H}^r$.

Remark: For general setup this has no moduli interpretation. In the totally split case one can work w/ ab. var.'s.

Baily-Borel: $Y_{\Gamma}(\mathbb{C})$ is smooth quasiproj. alg. var. / \mathbb{C} .

Shimura: \exists distinguished $\#$ field $E_p \subseteq \mathbb{C}$ and distinguished choice of smooth quasiproj. alg. var. Y_p recovering $Y_p(\mathbb{C})$.

Deligne: reformulated this to ~~restate~~ emphasize the sense in which Y_p is "canonical" and handle other quotients.

Goal: Count pts. over finite fields and work w/ zeta functions.

Hodge structures

Thm (Hodge): X smooth proj. var. / \mathbb{C} (or more generally complex kähler). \exists canon. decomposition $H^d(X, \mathbb{C}) \cong \bigoplus_{p+q=d} H^{p,q}(X)$.

$\overline{H^{p,q}(X)} \cong H^{q,p}(X)$ and $H^{p,q}(X) \cong H^2(X, \omega_X^p)$. \leadsto Hodge filtration $F^p H^d(X, \mathbb{C}) = \bigoplus_{p' \geq p} H^{p',q}(X)$.
 $\hookrightarrow H^{p,q}(X) = F^p \cap \overline{F^q}$.
 (only knows about X as top. space) \downarrow (can tell us about complex structure) \downarrow

Thm (Deligne?, Grothendieck?): X smooth proj. var. / k .

$\Rightarrow \exists$ canon. filtration $F^p H_{\text{dR}}^d(X/\overline{k}) \subseteq H_{\text{dR}}^d(X/\overline{k})$ s.t. $g_{\mathbb{C}}^p \cong H^2(X, \omega_X^p)$. This agrees w/ Hodge's thm when $k = \mathbb{C}$.

Def: $V := \text{fin. dim. vec. space} / \mathbb{R}$. Hodge structure of weight d on V is decomposition

$$V_{\mathbb{C}} = \bigoplus_{\substack{p+q=d \\ p,q \geq 0}} V^{p,q} \text{ s.t. } \overline{V^{p,q}} = V^{q,p}. \text{ We have filtration picture from before. (minus signs à la Deligne) (different conventions...)} \\ \swarrow$$

This bigrading is encoded by $h: \mathbb{C}^* \times \mathbb{C}^* \rightarrow GL(V_{\mathbb{C}}) \mapsto h(z_1, z_2) \cdot v = z_1^p z_2^q v$ for $v \in V^{p,q}$ s.t.

$$h(z, z) = z^d \text{id}_{V_{\mathbb{C}}} \quad \forall z \in \mathbb{C}^* \text{ and } \overline{h(z_1, z_2)} = h(\bar{z}_1, \bar{z}_2) \quad \forall z_1, z_2 \in \mathbb{C}^*. \text{ Consider now Deligne's locus}$$

$$S := \text{Res}_{\mathbb{C}/\mathbb{R}} G_{m, \mathbb{R}}. \quad S(\mathbb{C}) \cong (\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C})^* \cong \mathbb{C}^* \times \mathbb{C}^* \quad (\text{Exercise: } \mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \xrightarrow{\sim} \mathbb{C} \times \mathbb{C}, z \otimes w \mapsto (zw, z\bar{w})).$$

$$\text{We have action on } \mathbb{C}^* \times \mathbb{C}^* \text{ that conjugates and swaps the entries.} \\ \begin{array}{ccc} S(\mathbb{C}) & = & \mathbb{C}^* \times \mathbb{C}^* \quad (z, \bar{z}) \\ \cup & & \cup \\ S(\mathbb{R}) & = & \mathbb{C}^* \quad \uparrow \quad (\text{fixed pts.}) \\ & & z \end{array}$$

Second condition says $h: S(\mathbb{C}) \rightarrow GL(V_{\mathbb{C}})$ descends to $h: S(\mathbb{R}) \rightarrow GL(V)$. (also copy of $G_m(\mathbb{C}) = \mathbb{C}^*$ emb. diagonally via $z \mapsto (z, z)$)

Upshot: Weight & Hodge structure on V is given by morphism $S \rightarrow GL(V)$ of real alg. grps. s.t. restriction to $G_{m, \mathbb{R}} \rightarrow GL(V)$ is $z \mapsto z^d$.