## K-theory and G-theory of projective bundles and derived blow-ups (plus miscellany)

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## Overview

- Finiteness conditions
- 2 Operations in *K*-theory
- 3 Operations in *G*-theory
- 4 Blow-ups
- 5 K- and G-theory of blow-ups
- 6 End



## The Noetherian assumption

Convention: everything derived, ( $\mathrm{Sp},\otimes$ ) is the symmetric monoidal category of spectra.

#### **Definition**

A ring  $A \in s\Re ing$  is Noetherian is  $\pi_0 A$  is Noetherian and each  $\pi_n A$  is finitely generated (= finitely presented) over  $\pi_0 A$ .

#### **Definition**

An algebraic stack X is *Noetherian* if it is qcqs and if for any smooth map  $Spec\ A \to X$ , the ring A is Noetherian.

Throughout, we assume all algebraic stacks to be Noetherian, hence all rings to be Noetherian.



## Perfect modules

#### Definition

Finiteness conditions

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Let  $A \in s\Re$ ing.

- The category of finitely presented modules  $\operatorname{Mod}_A^{tp}$  is the smallest stable subcategory of  $\operatorname{Mod}_A$  which contains A.
- The category of perfect modules is the closure of  $\operatorname{Mod}_A^{p}$  under extensions in  $\operatorname{Mod}_A$ .

#### Lemma

 $M \in \mathcal{M}\mathrm{od}_A$  is finitely presented if and only if it is obtained from 0 by a finite number of cell attachments.



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## Perfect modules

#### Lemma

 $M\in \operatorname{\mathcal{M}od}_{\mathcal{A}}$  is perfect iff it is compact iff it is dualizable .



#### Coherent modules

#### **Definition**

 $M \in \mathcal{M}\mathrm{od}_A$  is *coherent* if  $\pi_n M$  if finitely presented over  $\pi_0 A$  for all n, and M has bounded homotopy. Notation:  $\mathrm{Coh}(A)$ .

<sup>a</sup>Lurie does not demand the boundedness assumption (e.g. in SAG). We want this due to the Eilenberg-Mazur swindle.

#### Coherent modules

#### Definition

Finiteness conditions

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 $M \in \operatorname{Mod}_A$  is *coherent* if  $\pi_n M$  if finitely presented over  $\pi_0 A$  for all n, and M has bounded homotopy. Notation:  $\operatorname{Coh}(A)$ .

#### Lemma

If  $R \in Coh(A)$ , then  $Perf(A) \subset Coh(A)$ .



## Global versions & K-theory (once more)

Write Art for the category of algebraic stack. Fix  $X \in Art$ .

- $\mathcal{M} \in \mathrm{QCoh}(X)$  is coherent or perfect if it is so smooth-locally.
- Notation: Coh(X) and Perf(X).
- If X has bounded structure sheaf, then  $\operatorname{Perf}(X) \subset \operatorname{Coh}(X)$ .
- The K-theory space of X is  $K(X) := K(\operatorname{Perf}(X))$ (resp. the *spectrum* is  $K^B(X) := K^B(\operatorname{Perf}(X))$ ).
- The *G*-theory space of X is G(X) := K(Coh(X))(resp. the *spectrum* is  $G^B(X) := K^B(Coh(X))$ .

Recall,  $K^B(\mathcal{C})$  is roughly (equivalent to the spectrum defined) as follows:

- Define  $\mathcal{C} \subset F\mathcal{C}$  such that  $K(F\mathcal{C}) = 0$ , and put  $\Sigma\mathcal{C} := F\mathcal{C}/\mathcal{C}$ .
- Then  $\mathcal{C} \to F\mathcal{C} \to \Sigma\mathcal{C}$  is (strict?) exact, so  $K_{n+1}(\Sigma\mathcal{C}) = K_n(\mathcal{C})$ .
- Put  $K^B(\mathcal{C}) := \operatorname{colim}_n \Omega^n K(\Sigma^n \mathcal{C})$ .
- Note  $\pi_n \Omega^m K(\Sigma^m \mathcal{C}) = \pi_{n+m} K(\Sigma^m \mathcal{C}) = \pi_n K(\mathcal{C})$ .



End

Finiteness conditions

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## Cup product

#### Lemma

A biexact functor  $\mathbb{C} \times \mathbb{D} \to \mathcal{E}$  induces  $K^B(\mathbb{C}) \otimes K^B(\mathbb{D}) \to K^B(\mathcal{E})$ , which induces maps  $K_n(\mathbb{C}) \times K_m(\mathbb{D}) \to K_{n+m}(\mathcal{E})$ .

Now

$$\operatorname{\mathcal{P}erf}(X) \times \operatorname{\mathcal{P}erf}(X) \xrightarrow{(-)\otimes(-)} \to \operatorname{\mathcal{P}erf}(X)$$

is biexact, which gives us a map

$$\cup: K^B(X) \otimes K^B(X) \to K^B(X)$$

called the *cup product*. This makes  $K^B(X)$  into an  $\mathbb{E}_{\infty}$ -ring spectrum. (Reason: use naturality in multilinear functors and symmetric monoidal structure on  $\mathcal{P}erf(X)$ ?)

## Pullback & Gysin map

For  $f: X \to Y$  in  $\mathcal{A}\mathrm{rt}$ , the exact, symmetric monoidal functor  $f^*: \mathrm{Perf}(Y) \to \mathrm{Perf}(X)$  induces a map of  $\mathbb{E}_{\infty}$ -ring spectra

$$f^*: K^B(Y) \to K^B(X)$$

#### **Definition**

If  $f_*: \operatorname{QCoh}(X) \to \operatorname{QCoh}(Y)$  preserves perfect complexes, then we have the *Gysin map* 

$$f_*: K^B(X) \to K^B(Y)$$

#### Remark

In [K21], certain technical conditions are given to ensure the Gysin map exists and interacts nicely with the cup product. I will highlight one.

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## Finite cohomological dimension

#### Definition

Let  $f: X \to Y$  in Art.

- f is of finite cohomological dimension (fcd) if there is  $n \ge 0$  such that  $f_*(\operatorname{QCoh}(X)_{>0}) \subset \operatorname{QCoh}(Y)_{>-n}$
- f is universally of fcd if for all qcqs Y' over Y, the base change  $X' \rightarrow Y'$  is of fcd

Now consider a cartesian square

$$X' \xrightarrow{g_2} X$$

$$\downarrow^{f'} \qquad \downarrow$$

$$Y' \xrightarrow{g_1} Y$$

This gives a natural map

$$\varphi: g_1^* f_* \to f_*' g_2^*$$

If f is universally of fcd, it satisfies base-change, i.e.,  $\varphi$  is an equivalence.

## Finite cohomological dimension

#### Proposition

If  $f: X \to Y$  is universally of fcd, then  $f_*: \operatorname{QCoh}(X) \to \operatorname{QCoh}(Y)$  preserves perfect complexes iff it does so smooth-locally.



## Projection formula

#### Lemma

If  $f: X \to Y$  is universally of fcd, then it satisfies the projection formula, stating that

$$f_*(M) \otimes N \to f_*(M \otimes f^*N)$$

is an equivalence, for all  $M \in \mathrm{QCoh}(X)$ ,  $N \in \mathrm{QCoh}(Y)$ .

#### Proposition

If  $f: X \to Y$  is universally of fcd such that  $f_*$  preserves perfect complexes, then

$$f_*(m) \cup y \simeq f_*(m \cup f^*(y))$$

for all  $m \in K^B(X)$ ,  $y \in K^B(Y)$ .

## Projection formula

## Proposition

If  $f: X \to Y$  is universally of fcd such that  $f_*$  preserves perfect complexes, then

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for all  $m \in K^B(X)$ ,  $y \in K^B(Y)$ .



## Absolute perfection

#### Definition

Let  $X \in Art$ .

- X is perfect if the canonical map  $\operatorname{Ind}(\operatorname{\mathcal Perf}(X)) \to \operatorname{QCoh}(X)$  is an equivalence.
- For  $Z \subset |X|$  closed, write QCoh(X on Z) for the full subcategory of  $F \in \mathrm{QCoh}(X)$  supported on Z. Similarly for  $\mathrm{Perf}(X \text{ on } Z)$ .
- Now X is absolutely perfect if

$$\operatorname{Ind}(\operatorname{\mathcal Perf}(X \text{ on } Z)) \xrightarrow{\simeq} \operatorname{QCoh}(X \text{ on } Z)$$

for all cocompact closed  $Z \subset |X|$ .

Note: if X is perfect then  $\operatorname{Perf}(X) = \operatorname{QCoh}(X)^{\omega}$ .



#### Localization

Put  $K^B(X \text{ on } Z) := K^B(\text{Perf}(X \text{ on } Z)).$ 

#### Proposition

If X is absolutely perfect, then for every cocompact  $Z \subset |X|$ , we have an exact triangle

$$K^B(X \ on \ Z) \to K^B(X) \xrightarrow{j^*} K^B(X \setminus Z)$$

## The G-spectrum is the G-space

#### Proposition

The canonical map  $G(X) \rightarrow G^B(X)$  is an equivalence.

#### Roughly:

- The theorem of the heart says that if  $\mathcal{C}$  has bounded t-structure, then  $K(\mathcal{C}) \simeq K(\mathcal{C}^{\heartsuit})$ .
- An abelian category is *noetherian* if all objects are noetherian.
- If  $\mathcal{C}$  has bounded *t*-structure and the heart is noetherian, then  $K(\mathcal{C}) \simeq K^B(\mathcal{C})$ .
- Since Coh(X) has bounded t-structure and  $Coh(X)^{\heartsuit}$  is noetherian, the claim follows.



## Cap product

Observe that

$$\operatorname{\mathcal{P}erf}(X) \times \operatorname{\mathcal{C}oh}(X) \xrightarrow{(-)\otimes (-)} \operatorname{Q}\operatorname{\mathcal{C}oh}(X)$$

lands in Coh(X). Indeed, for Spec  $A \to X$ ,

$$\operatorname{Mod}_A^{fp} \times \operatorname{Coh}(A) \xrightarrow{(-)\otimes (-)} \operatorname{Mod}_A$$

lands in Coh(A) since  $A \otimes M = M$ . Now use that Coh(A) is stable under retracts.

#### Definition

The functor  $\operatorname{Perf}(X) \times \operatorname{Coh}(X) \xrightarrow{(-)\otimes(-)} \operatorname{Coh}(X)$  induces the *cap product* 

$$\cap: K^B(X) \otimes G(X) \rightarrow G(X)$$

making G(X) a  $K^B(X)$ -module.

## Gysin map

Suppose that  $f: X \to Y$  is of finite Tor-amplitude n. Then  $f^*$  restricts to a functor  $\operatorname{QCoh}(Y)_{\leq 0} \to \operatorname{QCoh}(X)_{\leq n}$ , and therefore gives a functor

$$f^*: \operatorname{Coh}(Y) \to \operatorname{Coh}(X)$$

#### Definition

For f of finite Tor-amplitude, pulling back induces the Gysin map

$$f^*: G(Y) \rightarrow G(X)$$

## Projection formula

Suppose  $f_*: \operatorname{QCoh}(X) \to \operatorname{QCoh}(Y)$  preserves coherent complexes. Then we have a *direct image map* 

$$f_*: G(X) \to G(Y)$$

If moreover f is universally of fcd, then

$$y \cap f_*(x) \simeq f_*(f^*(y) \cap x)$$

for all  $x \in G(X)$ ,  $y \in K^B(Y)$ . Moreover, base-change holds against maps of finite Tor amplitude.

#### Localization

Since Coh(X) has bounded *t*-structure, the theorem of the heart says that

$$G(X) \simeq K(\operatorname{Coh}(X)^{\heartsuit}) \simeq K(\operatorname{Coh}(X_{\operatorname{cl}})^{\heartsuit}) \simeq G(X_{\operatorname{cl}})$$

#### Lemma

Let  $i: Z \to X$  be a closed immersion with open complement  $j: U \to X$ . Then we have an exact triangle

$$G(Z) \xrightarrow{i_*} G(X) \xrightarrow{j^*} G(U)$$

As before, we have an exact sequence

$$\operatorname{Coh}(X \text{ on } Z) \to \operatorname{Coh}(X) \xrightarrow{j^*} \operatorname{Coh}(U)$$



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## Dévissage for closed immersions

#### Lemma

Let  $A \subset B$  be an inclusion of abelian categories, such that A is closed under subobjects and quotients, and each  $B \in B$  has a filtration

$$0 = B_r \subset B_{r-1} \subset \cdots \subset B_1 \subset B_0 = B$$

such that all  $B_i/B_{i-1}$  lie in A. Then  $K(\mathcal{B}) \simeq K(A)$ .

By proper pushforward, we have  $Coh(Z) \to Coh(X \text{ on } Z)$ . We will show this induces an equivalence on K-theory.

## Nil invariance

## Corollary

Let  $Z \rightarrow X$  be a surjective closed immersion. Then

$$i_*:G(Z)\to G(X)$$

is an equivalence.

## Étale excision

Let  $j:U\to X$  be an open immersion with closed complement  $Z\subset |X|$ . Let  $X'\to X$  be étale ( $\Rightarrow$  finite Tor-amplitude) such that  $f^{-1}(Z)_{\mathrm{red}}\cong Z_{\mathrm{red}}$ . Then the following induced square is cartesian

$$G(X) \longrightarrow G(U)$$

$$\downarrow_{f^*} \qquad \qquad \downarrow_{f^*}$$

$$G(X') \longrightarrow G(f^{-1}U)$$

## Quasi-smoothness and virtual codimension

Let  $f: X \to Y$  in Art.

- f is quasi-smooth if it is locally of finite presentation and  $L_{X/Y}$  has Tor-amplitude  $[-\infty, 1]$ .
- If f is a closed immersion of schemes, then it is quasi-smooth iff Zariski-locally on the target it is of the form  $V(f_1, \ldots, f_n) \to Y$  for sections  $f_i$  on Y.
- If f is a closed immersion of algebraic stack, then it is quasi smooth iff it has a smooth atlas of schemes which is a quasi-smooth closed immersion.
- The virtual codimension of a quasi-smooth closed immersion is the number of sections being cut out.
- Equivalently,  $N_{X/Y} := L_{X/Y}[-1]$  is smooth-locally of finite presentation with rank the virtual codimension.



## Derived blow-ups

Let  $Z \to X$  be a closed immersion in Art. A virtual Cartier divisor is a quasi-smooth closed immersion  $D \to T$  of virtual codimenson 1.

#### Definition

The blow-up of X in Z is the space

$$\mathsf{BI}_Z \, X(T) := \left\{ \begin{array}{c} D \stackrel{i_D}{\longrightarrow} T \\ \downarrow_g & \downarrow \\ Z \longrightarrow X \end{array} \right. \quad \begin{array}{c} \bullet \ i_D \text{ is a virtual Cartier divisor} \\ \bullet \ D_{\mathrm{cl}} \cong (T \times_X Z)_{\mathrm{cl}} \\ \bullet \ g^* N_{Z/X} \to N_{D/T} \text{ surjective} \end{array}$$

- i<sub>D</sub> is a virtual Cartier divisor

#### Proposition

The stack  $BI_7X$  is algebraic. If Z, X are schemes, then so is  $BI_7X$ .



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## Projective bundles

#### **Definition**

Let  $X \in \operatorname{Art}$  and  $\mathcal{E} \in \operatorname{QCoh}(X)$  locally free of finite rank. Then the *projective bundle* of  $\mathcal{E}$  is the stack  $\pi : \mathbb{P}(\mathcal{E}) \to X$  such that

$$\mathbb{P}(\mathcal{E})(f:T\to X):=\{(\mathcal{L},u)\mid \mathcal{L}\in \mathfrak{P}\mathrm{ic}(T),u:f^*(\mathcal{E})\twoheadrightarrow \mathcal{L}\}$$

Since line bundles on X are defined smooth-locally, the data  $(\mathcal{L}, u)$  glue into an invertible sheaf  $\mathcal{O}(1)$  on  $\mathbb{P}(\mathcal{E})$  and a surjection  $\pi^*(\mathcal{E}) \to \mathcal{O}(1)$ .

## Universal virtual Cartier divisor

The identity map  $BI_ZX \rightarrow BI_ZX$  corresponds to the square

$$\mathbb{P}_{Z}(N_{Z/X}) \xrightarrow{i_{D}} \operatorname{BI}_{Z} X$$

$$\downarrow^{g} \qquad \downarrow$$

$$Z \xrightarrow{X} X$$

which is the universal square such that

- i<sub>D</sub> is a virtual Cartier divisor
- It is cartesian on  $(-)_{cl}$
- $g^*N_{Z/X} \to N_{\mathbb{P}_Z(N_{Z/X})/\operatorname{Bl}_Z X}$  surjective



## Semi-orthogonal decompositions

#### **Definition**

Let  $\mathcal C$  be a stable category with full stable subcategory  $\mathcal D.$ 

 $\bullet$  The category of  $\textit{left orthogonals to } \mathfrak D$  is the full subcategory

$$^{\perp}\mathcal{D}:=\{x\in\mathcal{C}\mid\forall d\in\mathcal{D}:\mathcal{C}(x,d)\simeq*\}$$

#### **Definition**

Let  $\mathcal{C}$  be stable. A *semi-orthogonal decomposition* of  $\mathcal{C}$  is a sequence  $\mathcal{C}(0), \ldots, \mathcal{C}(-n)$  of full stable subcategories such that

- For all integers i > j it holds  $\mathfrak{C}(i) \subset {}^{\perp}\mathfrak{C}(j)$ ;
- $\mathbb{C}$  is generated by  $\mathbb{C}(0), \dots, \mathbb{C}(-n)$  under finite limits and finite colimits.



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#### Lemma

Let  $\mathcal{C}$  be stable, with semi-orthogonal decomposition  $(\mathcal{C}(0),\ldots,\mathcal{C}(-n))$ . For  $0 \le m \le n$ , define  $\mathcal{C}_{\le -m} \coloneqq \mathrm{span}(\mathcal{C}(-m) \cup \cdots \cup \mathcal{C}(-n))$  and put  $\mathcal{C}_{\le -n-1} \coloneqq \{0\}$ . Then there are split short exact sequences

$$\mathcal{C}(-m) \to \mathcal{C}_{\leq -m} \to \mathcal{C}_{\leq -m-1}$$

for each  $0 \le m \le n$ .

## Lemma ('Generalized additivity theorem')

Let C be stable, with semi-orthogonal decompostion (C(0), ..., C(-n)). For E an additive invariant (= exact on split exact sequences), it holds

$$E(\mathcal{C}) \simeq \bigoplus_{0 < m < n} E(\mathcal{C}(-m))$$



## Semi-orthogonal decomposition on $\mathrm{QCoh}(\mathbb{P}(\mathcal{E}))$

Let  $\mathcal{E}$  be locally free of rank n+1, and consider  $\pi: \mathbb{P}(\mathcal{E}) \to X$ .

#### Lemma

For each  $0 \le k \le n$  we have a fully faithful functor

$$\operatorname{QCoh}(X) o \operatorname{QCoh}(\mathbb{P}(\mathcal{E})) : \mathcal{F} \mapsto \pi^* \mathcal{F} \otimes \mathcal{O}(-k)$$

#### Definition

For any -k, let  $\mathcal{C}(-k)$  be the essential image of the functor  $\mathcal{F} \mapsto \pi^* \mathcal{F} \otimes \mathcal{O}(-k)$ .



# Semi-orthogonal decomposition on $\operatorname{QCoh}(\mathbb{P}(\mathcal{E})), \operatorname{Perf}(\mathbb{P}(\mathcal{E})), \operatorname{Coh}(\mathbb{P}(\mathcal{E}))$

#### Proposition

The categories  $C(0), \ldots, C(-n)$  form a semi-orthogonal decomposition of  $QCoh(\mathbb{P}(\mathcal{E}))$ . These restrict to  $Perf(\mathbb{P}(\mathcal{E}))$ ,  $Coh(\mathbb{P}(\mathcal{E}))$ .



## Projective bundle formulae

#### Theorem

Let  $\mathcal E$  be a locally free complex of rank n+1 on X. Then

$$K^B(\mathbb{P}(\mathcal{E})) \simeq \bigoplus\nolimits_{0 \leq k \leq n} K^B(X)$$

$$G(\mathbb{P}(\mathcal{E})) \simeq \bigoplus_{0 \leq k \leq n} K^B(X)$$

## Blow-up formulas

Let  $Z \to X$  be a quasi-smooth closed immersion of virtual codimension n, write  $\pi : \operatorname{Bl}_Z X \to X$  and  $p : \mathbb{P}_Z(N_{Z/X}) \to Z$ .

- $\pi^* : \operatorname{QCoh}(X) \to \operatorname{QCoh}(\operatorname{Bl}_Z X)$  is fully faithful. Write image as  $\mathcal{D}(0)$ .
- For all  $1 \le k \le n-1$ , the composition

$$\operatorname{QCoh}(Z) \xrightarrow{p^*(-)\otimes \mathcal{O}(-k)} \operatorname{QCoh}(\mathbb{P}(N_{Z/X})) \xrightarrow{i_*} \operatorname{QCoh}(\mathsf{Bl}_Z X)$$

is fully faithful. Write image as  $\mathcal{D}(-k)$ 

- Now  $\mathcal{D}(0), \dots, \mathcal{D}(-n+1)$  forms a semi-orthogonal decomposition on  $\mathrm{QCoh}(\mathsf{Bl}_Z\,X)$ .
- This restricts to perfect and coherent complexes.
- We thus have

$$K^B(\mathsf{Bl}_Z X) \simeq K^B(X) \oplus \bigoplus_{1 \leq k \leq n-1} K^B(Z)$$
 $G(\mathsf{Bl}_Z X) \simeq G(X) \oplus \bigoplus_{1 \leq k \leq n-1} G(Z)$ 



## Vector bundles

Let  $\mathcal{E}$  be a locally free sheaf of finite rank on  $X \in Art$ .

- The canonical map  $h: \mathcal{E} \to \mathcal{E} \oplus \mathcal{O}_X$  induces a surjection  $h^{\vee}: (\mathcal{E} \oplus \mathcal{O}_X)^{\vee} \to \mathcal{E}^{\vee}$ .
- We thus have a closed immersion  $j : \mathbb{P}(\mathcal{E}^{\vee}) \to \mathbb{P}((\mathcal{E} \oplus \mathcal{O}_{X})^{\vee})$ .
- Let  $\mathbb{V}(\mathcal{E}^{\vee})$  be the vector bundle of sections of  $\mathcal{E}$ , i.e.

$$\mathbb{V}(\mathcal{E}^{\vee})(f:T\to X):=\{v:f^*\mathcal{E}^{\vee}\to\mathcal{O}_T\}$$

- We have an obvious map  $i : \mathbb{V}(\mathcal{E}^{\vee}) \to \mathbb{P}((\mathcal{E} \oplus \mathcal{O}_X)^{\vee}).$
- The map i is the open complement of j.

## Homotopy invariance

#### Proposition

For  $\mathcal{E}$  locally free of finite rank on  $X \in \mathcal{A}\mathrm{rt}$ , the map

$$\pi^*: G(X) \to G(\mathbb{V}(\mathcal{E}))$$

induced by  $\pi: \mathbb{V}(\mathcal{E}) \to X$ , is invertible.



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## Thank you!



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