

Recall:  $k$ -alg.  $A$  is affinoid if  $\exists \overline{T_n} \xrightarrow{\text{Take alg.}} A$  making  $A$  into fin. gen.  $T_n$ -module.

Fact:  $T_n \rightarrow A$  can be chosen inj. or surj. Surj.  $T_n \xrightarrow{q} A \rightsquigarrow \text{norm } \|a\| := \inf \{\|f\| : q(f) = a\}$  making  $A$  into  $k$ -Banach alg. All norms on  $A$  making it into Banach  $k$ -alg. are equivalent. Notions of "bdd", "power-bdd", etc. (set of maximal ideals) are preserved by all maps  $A \rightarrow B$ . Spectral seminorm on  $A$  is  $\|f\|_{sp} := \sup \{|f(x)| : x \in \text{Sp}(A)\}$ .

$\|\cdot\|_{sp}$  is not mult. but does satisfy  $\|fg\|_{sp} = \|f\|_{sp} \|g\|_{sp}$ .

Prop: (1)  $\|\cdot\|$  norm on  $A$  making it Banach  $k$ -alg.  $\Rightarrow \|\cdot\|_{sp} \leq \|\cdot\|$ .

(2)  $A = T_n \Rightarrow \|\cdot\|_{sp}$  is the Gauss norm  $\|\cdot\|$ .

Pf: (1) Assume wlog  $\|f\| = 1$ . Then,  $\|f^n\| \leq \|f\|^n = 1 \forall n$ . Given  $x \in \text{Sp}(A)$ ,  $A \rightarrow k_x = A/x$  is a ~~map~~ map preserving power-bdd. elts.  $\Rightarrow |f(x)|^n \leq 1 \text{ indep. of } n \Rightarrow |f(x)| \leq 1 \Rightarrow \|f\|_{sp} \leq 1 = \|f\|$ .

(2) Fix  ~~$f \in T_n$~~   $f \in T_n$  w/  $\|f\| = 1$ . So,  $\|f\|_{sp} \leq 1$ .  $\bar{f} \in \bar{k}[z_1, \dots, z_n]$  is nonzero.  $\exists$  fin. ext.  $\bar{L}/\bar{k}$

and  $(\bar{x}_1, \dots, \bar{x}_n) \in \bar{L}^n$  s.t.  $\bar{f}(\bar{x}_1, \dots, \bar{x}_n) \neq 0$ . Find fin. ext.  $L/\bar{k}$  s.t.  $\bar{L} = L^0/L^\infty$ . Lift  $\bar{x}_1, \dots, \bar{x}_n$  to

$x_1, \dots, x_n \in L$ , inducing  $k\langle z_1, \dots, z_n \rangle \rightarrow L$  via  $z_i \mapsto x_i$ . Now,  $|f(x_1, \dots, x_n)| = 1$ .  $x = \ker(f) \in \text{Sp}(T_n)$

is a point at which  $|f(x)| = 1$ . So,  $\|f\|_{sp} \geq 1 \Rightarrow \|f\|_{sp} = 1 = \|f\|$ . □

Exercise: For  $f \in A$  TFAE:

- (i)  $\inf \{|f(x)| : x \in \text{Sp}(A)\} > 0$ ;
- (ii)  $f(x) \neq 0 \forall x \in \text{Sp}(A)$ ;
- (iii)  $f \in A^\times$ .

For  $A$  affinoid,  $A^0 := \{f \in A : \|f\|_{sp} \leq 1\}$ ,  $A^\infty := \{f \in A : \|f\|_{sp} < 1\}$ .

Prop:  $A$  affinoid.

- (1)  $A$  is Jacobson.
- (2) Spectral seminorm is attained at some pt. (top. nilpotent)
- (3)  $A^0 = \{\text{power-bdd. elts.}\}$ ,  $A^\infty = \{f \in A : \overbrace{f^n} \rightarrow 0\}$ .

Remark: Proof is long and messy.

Cor: Morphism of affinoids  $A \rightarrow B$  sends  $A^\circ$  to  $B^\circ$  and  $A^\infty$  to  $B^\infty$ .

Cor:  $\|\cdot\|$  making  $A$  into Banach  $k$ -alg.  $\Rightarrow \forall f \in A: \|f\|_{sp} = \lim_{n \rightarrow \infty} \|f\|^{1/n}$ .

For  $A$  affinoid recall we can make sense of  $A\langle z_1, \dots, z_n \rangle$ . This has expected univ. property, w.r.t. sending variables to power-bdd. elts.

Let  $\bar{A} := A^\circ / A^\infty \simeq \text{Max}(\bar{A})$  set of maximal ideals. We have reduction map  $r: \text{Sp}(A) \rightarrow \text{Max}(\bar{A})$  given by

$x \in \text{Sp}(A) \mapsto \ker(\bar{A} \rightarrow \bar{k}_x)$  induced by  $A \xrightarrow{x} k_x$ . [the map and the ideal are basically synonymous]

Prop: (1)  $\phi: A \rightarrow B$  map of affinoids  $\Rightarrow$  TFAE:   
 (i)  $\phi$  is finite;   
 (ii)  $\phi^\circ: A^\circ \rightarrow B^\circ$  is integral;   
 (iii)  $\bar{\phi}: \bar{A} \rightarrow \bar{B}$  is finite.   
 } Remark: Proof is long and messy.

(2) Reduction map  $r: \text{Sp}(A) \rightarrow \text{Max}(\bar{A})$  is surj.

(Case 1)   
Pf of (2): First assume  $A = k^{\overline{T_n}}\langle z_1, \dots, z_n \rangle$ . Pick  $\bar{m} \in \text{Max}(\bar{T_n}) = \text{Max}(\bar{k}[z_1, \dots, z_n]) \leadsto \bar{L} = \bar{T_n}/\bar{m}$  fin. ext. of  $\bar{k}$ .   
 (w/  $L^\circ/L^\infty = \bar{L}$ )

let  $\bar{b}_1, \dots, \bar{b}_n \in \bar{L}$  be images of  $z_1, \dots, z_n \in \bar{T_n}$ . Lift to some  $L$ . Lift to get  $b_1, \dots, b_n \in L^\circ$ .  $\exists! T_n \rightarrow L$  w/

$z_i \mapsto b_i$ . Now look at the kernel to conclude surjectivity.

(Case 2) Now assume  $A$  sits in a diagram

$$\begin{array}{ccc} A & \rightarrow & \text{Frac}(A) = L \\ \uparrow & & \uparrow \text{finite Galois} \\ T_n & \rightarrow & \text{Frac}(T_n) = T_n \end{array}$$

s.t.  $A$  is integral closure of  $T_n$  in  $L$ .

[implicit here that  $A$  is integral domain]

(+ Case 1)

So,  $T_n \rightarrow A$  finite  $\Rightarrow \bar{T_n} \rightarrow \bar{A}$  finite. By Going Up Thm,

$$\begin{array}{ccc} \text{Sp}(A) & \rightarrow & \text{Max}(\bar{A}) \\ \downarrow & & \downarrow \\ \text{Sp}(T_n) & \rightarrow & \text{Max}(\bar{T_n}) \end{array}$$

Lemma:  $G = \text{Gal}(A/\mathcal{T}_n) \subseteq \overset{\text{Aut}}{\text{Gal}}(A/\mathcal{T}_n) \subseteq \text{Aut}(A^\circ/\mathcal{T}_n^\circ)$  acts transitively on

- all maximal ideals of  $A^\circ$  above gives maximal ideal of  $\mathcal{T}_n^\circ$ ;
- all maximal ideals of  $\bar{A}$  above gives maximal ideal of  $\bar{\mathcal{T}}_n$ .

(Case 3)  $A$  is any integral domain. Still have  $A \rightarrow \bar{A}$ ,  $\mathcal{T}_n \rightarrow \bar{\mathcal{T}}_n$ ,  $\mathcal{B} := \text{Galois closure of } A/\mathcal{T}_n$ ,  $\mathcal{B} := \text{integral closure of } \bar{\mathcal{T}}_n \text{ in } \mathcal{B}$

Going Up Thm + Case 2 gives  $\text{Sp}(\mathcal{B}) \twoheadrightarrow \text{Max}(\bar{\mathcal{B}})$ , forcing  $\text{Sp}(A) \twoheadrightarrow \text{Max}(\bar{A})$ .

$\downarrow$   $\downarrow$   
 $\text{Sp}(A) \rightarrow \text{Max}(\bar{A})$

(Case 4)  $A$  arbitrary. Since  $A$  is Noetherian, it has fin. many minimal primes.  $\mathcal{B} := \bigoplus A/\mathfrak{p}$  is direct sum  $\mathfrak{p} \trianglelefteq A$  minimal prime

of integral affinoids. Now  $A \rightarrow \mathcal{B}$  is finite and apply Going Up Thm + Case 3. □

### Derivations

For technical reasons assume  $k$  is perfect. For affinoid  $A$  form  $\Omega_{A/k}^1$ .

Prop:  $\exists$  fin. gen.  $A$ -module  $\Omega_{A/k}^f$  (finite differentials) w/ derivation universal for fin. gen.  $A$ -modules.

Remark: We are not claiming that such a thing exists for  $A$  not affinoid.

We will discuss this more next time.

~~Prop~~

Pf: Suppose first  $A = k[z_1, \dots, z_n]$ . Define  $\Omega_{T_n/k}^f := T_n dz_1 \oplus \dots \oplus T_n dz_n$  and  $df := \frac{\partial f}{\partial z_1} dz_1 + \dots + \frac{\partial f}{\partial z_n} dz_n$ .  
( $f \in \text{Mod } T_n$ )

key is showing that a derivation  $E: T_n \rightarrow M \curvearrowright E(z_i) = 0 \forall i \Rightarrow E = 0$ . Clearly  $E(k[z_1, \dots, z_n]) = 0$ .

Let  $\mathfrak{m} \trianglelefteq T_n$  maximal. We have  $k[z_1, \dots, z_n] \twoheadrightarrow T_n/\mathfrak{m}^s$  ( $\forall s > 0$ )

(1) Use induction on  $s$  to show  $T_n/\mathfrak{m}^s$  is fin. dim/k.

(2) Show image is closed and dense.

So,  $T_n = k[z_1, \dots, z_n] + \mathfrak{m}^s \Rightarrow E(T_n) = E(\mathfrak{m}^s) \forall s > 0$ . Leibniz rule  $\Rightarrow E(\mathfrak{m}^{2s}) \subseteq \mathfrak{m}^s E(\mathfrak{m}^s)$ .

Hence,  $E(T_n) \subseteq \mathfrak{m}^s M$ . Krull Intersection Thm  $\Rightarrow \exists a \in T_n \curvearrowright a \equiv 1 \pmod{\mathfrak{m}} \text{ s.t. } a E(T_n) = 0$ .

So, localization of  $E(T_n)$  at  $\mathfrak{m}$  is 0. But, vanishing at localization of every maximal ideal is same as vanishing.

For general  $A$  write  $A = T_n / (f_1, \dots, f_s)$ . Define  $\Omega_{A/k}^f := A \otimes_{T_n} \Omega_{T_n/k}^f / (T_n df_1 + \dots + T_n df_s)$ .

□

This works (and doesn't depend on lifts).

Prop: Assume  $A$  affinoid  $\curvearrowright$  no zero divisors and  $\mathcal{A} := \text{Frac}(A)$ .  
 $\swarrow$  (AKA integral domain)  
 Then,  $\text{krull dim of } A = \dim_{\mathcal{A}} \mathcal{A} \otimes_A \Omega_{A/k}^f$ . Moreover,

For maximal  $\mathfrak{m} \trianglelefteq A$ , TFAE:

(i) Localization  $A_{\mathfrak{m}}$  is regular.

(ii) Localization of  $\Omega_{A/k}^f$  is free over  $A_{\mathfrak{m}}$ .

(iii)  $A/\mathfrak{m}$ -dim of  $A/\mathfrak{m} \otimes_A \Omega_{A/k}^f = \text{krull dim of } A_{\mathfrak{m}}$ .

## Rigid Spaces

Analogy of metric top.

Fix affinoid  $X = \text{Sp}(A)$ . Canonical top. is gen. by  $X(f) := \{x \in X : |f(x)| \leq 1\}$  as  $f$  varies.

Remark: Zariski top. here is gen. by  $U_f := \{x \in X : f(x) \neq 0\}$  as  $f$  varies.

Lemma:  $f \in A, \epsilon > 0 \leadsto X(f; \epsilon) := \{x \in X : |f(x)| \leq \epsilon\}$ . This is open and  $\exists g \in A$  s.t.  $X(g) \subseteq X(f; \epsilon)$ .

In particular,  $\{x \in X : |f(x)| < \epsilon\} = \bigcup_{\delta < \epsilon} X(f; \delta)$  is open.

(So,  $X(g)$  is open nbhd of  $x$   
on which  $|f|$  is constant.)

Prop: Fix  $f \in A$  and  $x \in X$  s.t.  $f(x) \neq 0$ . Then,  $\exists g \in A$  s.t.  $g(x) = 0$  and  $X(g) \subseteq \{y \in X : |f(y)| = |f(x)|\}$ .

In particular,  $\{x \in X : |f(x)| \leq \epsilon\} \cap \{x \in X : |f(x)| \geq \epsilon\}$  are all open hence clopen.

Pf: Consider  $f(x) \in k_x = A/x$ . Let  $P(T) \in k[T]$  be its minimal polyn. and consider  $g := P(f) \in A$ .

$g(x) = \text{image of } P(f) \text{ in } A/x = P(f(x)) = 0$ . Let  $\alpha_1, \dots, \alpha_n \in k^{\text{alg}}$  be roots of  $P(T) = (T - \alpha_1) \cdots (T - \alpha_n)$ .

$\forall i \exists k_x \hookrightarrow k^{\text{alg}}$  s.t.  $f(x) \mapsto \alpha_i \Rightarrow \forall i \quad |\alpha_i| = |f(x)| = \epsilon$ .

Claim: If  $y \in X$  satisfies  $|g(y)| < \epsilon^n \Rightarrow |f(y)| = \epsilon$ .

Indeed,  $|f(y)| \neq \epsilon \Rightarrow \forall k_y \hookrightarrow k^{\text{alg}} : |f(y) - \alpha_i| = \max\{|f(y)|, |\alpha_i|\} \geq |\alpha_i| = \epsilon$ .

$\Rightarrow g(y) = P(f(y)) = (f(y) - \alpha_1) \cdots (f(y) - \alpha_n)$  has  $|g(y)| \geq \epsilon^n \Rightarrow$

So,  $\{y \in X : |g(y)| < \epsilon^n\} \subseteq \{y \in X : |f(y)| = \epsilon\}$ . Lemma  $\Rightarrow$  this contains  $X(g')$  for some  $g' \in A$  w  $\frac{g'}{g}(x) = 0$ .  $\square$