

# Local Godement Jacquet Theory

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Up until now we have been discussing Tate's thesis, which can be viewed as Godement-Jacquet theory for  $\mathrm{GL}_1$ . We first handled the local case and then tackled the global case from an adèlic perspective, wrapping up with some results on compatibility. Our approach to Godement-Jacquet theory for general  $\mathrm{GL}_n$  will be much the same. This is an important stepping stone to understanding the Braverman-Kazhdan-Ngô program. We will mostly follow the notational and linguistic conventions of [Luo], at times interpolating with [Wang].

## 1 Setup

Fix  $F$  a  $p$ -adic local field (i.e., a finite extension of  $\mathbb{Q}_p$ ) with finite residue field of cardinality  $q$  and  $\varpi$  a uniformizer. Denote by  $M_n$  the affine algebraic  $F$ -group of  $n \times n$  matrices. We equip each of  $M_n(F), \mathrm{GL}_n(F)$  with the  $p$ -adic topology. As for Tate's thesis we need appropriate notions of Schwartz space and Fourier transform. It turns out that the obvious guesses work just fine.

### Definition 1.1.

- Define the **Schwartz space** to be  $\mathcal{S}(M_n) = \mathcal{S}(M_n(F)) := C_c^\infty(M_n(F))$ , the space of complex-valued, locally constant, compactly supported functions on  $M_n(F)$ .
- Let  $\psi = \psi_F : F \rightarrow \mathbb{T}$  denote the unique additive character on  $F$  of conductor  $\mathcal{O}_F$ . This is given explicitly by  $\mathrm{tr}_{F/\mathbb{Q}_p} \circ \psi_0$ , where  $\psi_0$  is the composition

$$\mathbb{Q}_p \longrightarrow \frac{\mathbb{Q}_p}{\mathbb{Z}_p} \xleftarrow{\sim} \frac{\mathbb{Z}[1/p]}{\mathbb{Z}} \hookrightarrow \frac{\mathbb{R}}{\mathbb{Z}} \xrightarrow{\sim} \mathbb{T}$$

characterized by  $\psi_0|_{\mathbb{Z}_p} = 1$  and  $\psi_0(1/p^n) = \exp(2\pi i/p^n)$  for every  $n \geq 1$ .

- Define the **Fourier transform** to be  $\hat{\cdot} = \mathcal{F} = \mathcal{F}_\psi : \mathcal{S}(M_n) \rightarrow \mathcal{S}(M_n)$  given by

$$\hat{f}(x) := \int_{M_n(F)} \psi(\mathrm{tr}(xy)) f(y) d^+y,$$

where  $d^+y$  is the unique additive Haar measure on  $M_n(F)$  self-dual with respect to  $\psi$  in the sense that  $\mathcal{F}^2(f)(x) = f(-x)$  for every  $f \in \mathcal{S}(M_n)$  and  $x \in M_n(F)$ .<sup>1</sup>

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<sup>1</sup>A more general notion is that of a dual or Plancherel measure, which exists for any locally compact Hausdorff abelian topological group equipped with a Haar measure. Our Haar measure in this case is defined explicitly in terms of differentials.

Fix  $(\pi, V)$  an irreducible admissible (Hermitian) representation of  $\mathrm{GL}_n(F)$ <sup>2</sup> and let  $(\pi^\vee, V^\vee)$  denote the smooth contragredient.<sup>3</sup> This comes equipped with a pairing

$$\langle \cdot, \cdot \rangle : V \times V^\vee \rightarrow \mathbb{C}, \quad (v, \lambda) \mapsto \lambda(v).$$

Let  $\mathcal{C}(\pi)$  denote the space of matrix coefficients of  $\pi$ , which is by definition spanned by functions of the form

$$\varphi : \mathrm{GL}_n(F) \rightarrow \mathbb{C}, \quad g \mapsto \langle \pi(g)v, \lambda \rangle$$

for fixed  $v \in V$  and  $\lambda \in V^\vee$ .<sup>4</sup> To each such  $\varphi$  we may associate  $\varphi^\vee$  via  $\varphi^\vee(g) := \varphi(g^{-1})$ , which defines a matrix coefficient of  $\pi^\vee$  under the identification of  $(\pi, V)$  with its double smooth contragredient.

Given  $f \in \mathcal{S}(M_n)$  and  $\varphi \in \mathcal{C}(\pi)$  we have the **local zeta integral**

$$Z(s, f, \varphi) := \int_{\mathrm{GL}_n(F)} f(g)\varphi(g) |\det g|^{s+(n-1)/2} dg,$$

where  $dg$  is the unique Haar measure on  $\mathrm{GL}_n(F)$  defined by  $|\det g|^n \cdot dg = d^+g$  for  $d^+g$  inherited from  $M_n(F)$ .<sup>5</sup> For the sake of convenience we will also have reason to consider the shifted local zeta integral

$$\tilde{Z}(s, f, \varphi) := \int_{\mathrm{GL}_n(F)} f(g)\varphi(g) |\det g|^s dg.$$

Here is the main result to which we will devote much of our attention today.<sup>6</sup>

### Theorem 1.2.

- (a)  $Z(s, f, \varphi)$  is absolutely convergent for  $\mathrm{Re}(s) \gg 0$ .
- (b)  $Z(s, f, \varphi)$  is a rational function in  $q^{-s}$ .
- (c) The  $\mathbb{C}[q^{\pm s}]$ -submodule  $I(s, \pi) \subseteq \mathbb{C}(q^{-s})$  spanned by  $\{Z(s, f, \varphi) : f \in \mathcal{S}(M_n), \varphi \in \mathcal{C}(\pi)\}$  is a principal fractional ideal of  $\mathbb{C}[q^{\pm s}]$ , generated by **Euler factor**  $L(s, \pi) := P(q^{-s})^{-1}$  for some  $P(X) \in \mathbb{C}[X]$  with  $P(0) = 1$ .<sup>7</sup>
- (d) There exists a unique (**local**)  $\gamma$ -**factor**  $\gamma(s, \pi, \psi) \in \mathbb{C}(q^{-s})$  such that

$$Z(1-s, \hat{f}, \varphi^\vee) = \gamma(s, \pi, \psi) Z(s, f, \varphi)$$

for every  $f \in \mathcal{S}(M_n)$  and  $\varphi \in \mathcal{C}(\pi)$ .<sup>8</sup>

<sup>2</sup>How do we obtain such a representation? A theorem of Harish-Chandra guarantees that any irreducible smooth unitary representation of  $G(F)$  is admissible for  $G$  a reductive algebraic group over  $F$ .

<sup>3</sup>Here,  $\mathrm{GL}_n(F)$  acts on  $\mathrm{Hom}(V, \mathbb{C})$  via  $g \cdot \lambda := \lambda \circ \pi(g^{-1})$  and  $V^\vee$  is the subspace of smooth linear functionals in  $\mathrm{Hom}(V, \mathbb{C})$ .

<sup>4</sup>Some sources choose not to take the span for defining matrix coefficients, a choice which ultimately does not matter. One reason to care about matrix coefficients is that, at least for unitary representations of compact td groups, they determine the representation in a sense that can be made precise. This leads to results like the Peter-Weyl theorem.

<sup>5</sup>Some authors take the exponent on  $|\det g|$  to simply be  $s$  rather than  $s + (n-1)/2$ . Our convention ensures that the zeta functional equation is reminiscent to the one for Tate's thesis.

<sup>6</sup>One method of proof for this result which we will not touch on in these notes is to use the explicit classification of irreducible admissible representations of  $\mathrm{GL}_n(F)$ .

<sup>7</sup>The condition  $P(0) = 1$  is achieved by scaling and ensures uniqueness of the Euler factor.

<sup>8</sup>The dependence of the local  $\gamma$ -factor on  $\psi$  comes from our choice of Fourier transform.

The uniqueness of  $\gamma(s, \pi, \psi)$  is clear by (b) and the fact that it must have value  $Z(1-s, \widehat{f}, \varphi^\vee)/Z(s, f, \varphi)$  for **any** choice of  $f \in \mathcal{S}(M_n)$  and  $\varphi \in \mathcal{C}(\pi)$ . Using the **local  $\epsilon$ -factor**

$$\epsilon(s, \pi, \psi) := \gamma(s, \pi, \psi) \frac{L(s, \pi)}{L(1-s, \pi^\vee)},$$

the functional equation takes on the form

$$\frac{Z(1-s, \widehat{f}, \varphi^\vee)}{L(1-s, \pi^\vee)} = \epsilon(s, \pi, \psi) \frac{Z(s, f, \varphi)}{L(s, \pi)}.$$

It follows that  $\epsilon(s, \pi, \psi)$  is an element of  $\mathbb{C}[q^{\pm s}]^\times$  hence a monomial in  $q^{-s}$ .

The set  $\{Z(s, f, \varphi) : f \in \mathcal{S}(M_n), \varphi \in \mathcal{C}(\pi)\}$  need not be closed under addition. Assuming (b), however, we do have that this set is closed under scaling by  $q^{ms}$  for  $m \in \mathbb{Z}$ . Indeed, given  $Z(s, f, \varphi) \in I(\pi, s)$  we have

$$\begin{aligned} q^{ms} Z(s, f, \varphi) &= q^{ms} \int_{\mathrm{GL}_n(F)} f(g) \varphi(g) |\det g|^{s+(n-1)/2} dg \\ &= q^{-m(n-1)/2n} \int_{\mathrm{GL}_n(F)} f(q^{-m/n} g) \varphi(q^{-m/n} g) |\det g|^{s+(n-1)/2} dg \\ &= q^{-m(n-1)/2n} Z(s, f(q^{-m/n} \cdot), \varphi(q^{-m/n} \cdot)) \\ &\in I(s, \pi), \end{aligned}$$

where we have used the change of variables  $g \mapsto q^{m/n} g$ .<sup>9</sup> Since  $\mathbb{C}[q^{\pm s}]$  is Noetherian,  $I(s, \pi)$  is a fractional ideal of  $\mathbb{C}[q^{\pm s}]$  if and only if it is finitely generated as a  $\mathbb{C}[q^{\pm s}]$ -module. Moreover,  $I(s, \pi)$  is necessarily principal if it is fractional since  $\mathbb{C}[q^{\pm s}]$  is a PID. The notation of the theorem then says that  $I(s, \pi) = L(s, \pi) \mathbb{C}[q^{\pm s}]$ .

We will now show that  $I(s, \pi)$  is in fact finitely generated as a  $\mathbb{C}[q^{\pm s}]$ -module. The key to this is the following two observations.

- (a) Pick some  $h \in \mathrm{GL}_n(F)$  and define  $f_1, \varphi_1$  via right translating  $f, \varphi$  by  $h$  – i.e.,  $f_1(g) := f(gh)$  and  $\varphi_1(g) := \varphi(gh)$ . Then, a simple change of variables gives

$$Z(s, f_1, \varphi_1) = |\det h|^{-s-(n-1)/2} Z(s, f, \varphi).$$

Writing  $\det h = u\varpi^m$  for some  $u \in \mathcal{O}_F^\times$  and  $m \in \mathbb{Z}$  gives

$$|\det h|^{-s-(n-1)/2} = |u\varpi^m|^{-s-(n-1)/2} = (q^{-m})^{-s-(n-1)/2} = q^{m(n-1)/2} q^{ms} \in \mathbb{C}[q^{\pm s}].$$

- (b) Suppose  $\varphi = \langle \pi(\cdot)v, \lambda \rangle$  for some  $v \in V$  and  $\lambda \in V^\vee$ . Using that  $\pi$  is smooth, choose  $K_0 \leq \mathrm{GL}_n(F)$  compact open such that  $v \in V^{K_0}$  and so the restriction of  $\varphi$  to  $K_0$  has constant value  $\varphi_0$ . Then,

$$Z(s, \mathbb{1}_{K_0}, \varphi) = \varphi_0 \int_{\mathrm{GL}_n(F)} \mathbb{1}_{K_0}(g) \varphi(g) |\det g|^{s+(n-1)/2} dg$$

is a constant independent of  $s$  (which is nonzero if  $\varphi$  is nontrivial). Indeed, even though the integral in question is over  $K_0$ , we may translate and scale as in (a) to get that  $K_0 = \mathrm{GL}_n(\mathcal{O}_F)$  and integrate with respect to the usual (normalized) Haar measure on this group. The determinant factor then goes away and we are left with some nonzero multiple of  $\varphi_0$ .

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<sup>9</sup>By definition, the function  $f(q^{-m/n} \cdot)$  applies  $f$  to the input scaled by  $q^{-m/n}$ . The same applies to  $\varphi(q^{-m/n} \cdot)$ .

One then uses that  $\pi$  is admissible (so  $V^{K_0}$  is finite dimensional for every  $K_0 \leq \mathrm{GL}_n(F)$  compact open) and the fact that every element of  $\mathcal{S}(M_n)$  is locally constant of compact support.

**Note:** The above comments seem a bit fishy. It seems like there is somehow “too much” information to account for. Maybe we need to use that something has finite index somewhere, or something like that...

**Remark 1.3.** *The above analysis tells us very little about what the Euler factor  $L(s, \pi)$  actually looks like. This is no accident. In the case of Tate’s thesis we already knew what our Euler factors should look like – namely,*

$$L(s, \eta) = \begin{cases} (1 - q^{-s})^{-1}, & \eta \text{ is trivial,} \\ 1, & \text{otherwise,} \end{cases}$$

for  $\eta : F^\times \rightarrow \mathbb{C}^\times$  unitary. Using this we were able to bootstrap our way up and prove that we had meromorphic continuation and a functional equation. For general  $\mathrm{GL}_n$  we basically do things in the opposite direction since calculating Euler factors is a subtle matter. One indication of this is the fact that, given an elliptic curve  $E/\mathbb{Q}$  with conductor  $N$ , the associated (Hasse-Weil) Euler factor at  $p$  is

$$L_p(s, E/\mathbb{Q}) = \begin{cases} (1 - a_p(E)p^{-s} + p^{1-2s})^{-1}, & p \nmid N, \\ (1 - a_p(E)p^{-s})^{-1}, & p \mid N, p^2 \nmid N, \\ 1, & p^2 \mid N, \end{cases}$$

where  $a_p(E) := p + 1 - |E(\mathbb{F}_p)|$ .

**Corollary 1.4.** *Let  $\omega_\pi : F^\times \cong \mathcal{Z}(\mathrm{GL}_n(F)) \rightarrow \mathbb{C}^\times$  denote the central character of  $\pi$ , defined by  $\pi(zg) = \omega_\pi(z)\pi(g)$  for every  $z \in F^\times$  and  $g \in \mathrm{GL}_n(F)$ .<sup>10</sup> Then,*

$$\gamma(1 - s, \pi^\vee, \psi)\gamma(s, \pi, \psi) = \omega_\pi(-1).$$

*Proof.* Let  $f \in \mathcal{S}(M_n)$  and  $\varphi \in \mathcal{C}(\pi)$ . Twice applying the functional equation of the previous theorem gives

$$Z(s, \mathcal{F}^2(f), \varphi) = \gamma(1 - s, \pi^\vee, \psi)Z(1 - s, \widehat{f}, \varphi^\vee) = \gamma(1 - s, \pi^\vee, \psi)\gamma(s, \pi, \psi)Z(s, f, \varphi).$$

At the same time,

$$\begin{aligned} Z(s, \mathcal{F}^2(f), \varphi) &= \int_{\mathrm{GL}_n(F)} \mathcal{F}^2(f)(g)\varphi(g)|\det g|^{s+(n-1)/2} dg \\ &= \int_{\mathrm{GL}_n(F)} f(-g)\varphi(g)|\det g|^{s+(n-1)/2} dg \\ &= \int_{\mathrm{GL}_n(F)} f(g)\varphi(-g)|(-1)^n \det g|^{s+(n-1)/2} dg \\ &= \int_{\mathrm{GL}_n(F)} f(g)\omega_\pi(-1)\varphi(g)|\det g|^{s+(n-1)/2} dg \\ &= \omega_\pi(-1)Z(s, f, \varphi). \end{aligned}$$

<sup>10</sup>Note that  $\omega_\pi$  need not be unitary. We can fix this by twisting by a power of  $|\cdot|$  since then  $\omega_\pi$  will be an extension of a character of  $\mathcal{O}_F$  obtained by a choice of uniformizer and thus unitary. This corresponds to twisting  $\pi$  by a power of  $|\det|$ . The character  $\omega_\pi$  exists since Schur’s Lemma tells us that the restriction of  $\pi$  to the center factors through  $\mathbb{C}^\times$ .

The result follows. □

Our strategy for proving Theorem 1.2 has two major steps.

**Step 1** Use Tate’s thesis and the “niceness” of supercuspidal representations to prove the theorem in the supercuspidal case.

**Step 2** Use parabolic induction to reduce to the case that  $\pi$  is supercuspidal.

These notes will address Step 1, leaving Step 2 to Héctor.

## 2 Step 1 – The Supercuspidal Case

We begin by recalling some things about supercuspidal representations. Let  $G$  denote a reductive algebraic group over  $F$ . Unless otherwise stated,  $(\pi, V)$  denotes a representation of  $G(F)$ .

### Definition 2.1.

- Let  $\varphi \in \mathcal{C}(\pi)$  and  $H \trianglelefteq G(F)$ . We say  $\varphi$  is **compactly supported mod  $H$**  if the image of  $\text{supp}(\varphi)$  in  $G(F)/H$  is compact. Equivalently, there exists  $K \subset\subset G(F)$  such that  $\text{supp}(\varphi)$  is contained in  $HK$ .
- The representation  $\pi$  is **supercuspidal** (resp., **quasicuspidal**) if it is admissible (resp., smooth) and each element of  $\mathcal{C}(\pi)$  is compactly supported mod  $Z(G(F))$ .<sup>11</sup>
- Let  $P \leq G$  be parabolic with Levi subgroup  $M$  and unipotent radical  $N$ . Given  $(\sigma, W)$  a smooth representation of  $M(F)$ , the **induced representation**  $I(\sigma) = \text{Ind}_P^G(\sigma) = \text{Ind}_P^G(W)$  whose elements are locally constant functions  $f : G(F) \rightarrow W$  such that  $f(mng) = \delta_P(m)^{1/2} \sigma(m) f(g)$  for every  $m \in M(F), n \in N(F), g \in G(F)$ , where  $\delta_P$  is the modular quasicharacter of  $P$ .<sup>12</sup> There is also a **compactly induced representation**  $\text{cInd}_P^G(\sigma)$  that is defined in the same way with an extra compact support condition.
- Using the above setup, define  $V(N) := \langle v - \pi(u)v : v \in V, u \in N(F) \rangle$ . The **Jacquet module** or **coinvariant space** of  $N$  is  $V_N := V/V(N)$ . Alongside this we define  $\pi_N := \pi_{M(F)} \otimes \delta_P^{1/2}$ .

From the above we obtain **parabolic induction functors**

$$\text{cInd}_P^G, \text{Ind}_P^G : \text{Rep}_{\text{sm}}(M(F)) \rightarrow \text{Rep}_{\text{sm}}(G(F))$$

and **Jacquet functors**

$$\cdot_N : \text{Rep}_{\text{sm}}(G(F)) \rightarrow \text{Rep}_{\text{sm}}(M(F)), \quad (\pi, V) \mapsto (\pi_N, V_N).$$

Here,  $\text{Rep}_{\text{sm}}(G(F))$  denotes the category of smooth complex representations of  $G(F)$  with its symmetric monoidal tensor product structure.

**Theorem 2.2** (Frobenius Reciprocity). *The Jacquet functor  $\cdot_N$  is left adjoint to  $\text{Ind}_P^G$ . More precisely, evaluation at the identity gives a natural  $\mathbb{C}$ -linear isomorphism*

$$\text{Hom}_{G(F)}(V, \text{Ind}_P^G(W)) \rightarrow \text{Hom}_{M(F)}(V_N, W)$$

<sup>11</sup>If  $\pi$  is irreducible then one can show that  $\pi$  is supercuspidal if and only if it is quasicuspidal.

<sup>12</sup>Our normalization is such that  $I(\sigma)$  is unitarizable if  $\sigma$  is unitarizable.

for every pair of smooth representations  $(\pi, V)$  of  $G(F)$  and  $(\sigma, W)$  of  $M(F)$ .

**Theorem 2.3** (Jacquet). *The Jacquet functor preserves admissibility. Moreover, a smooth irreducible representation  $(\pi, V)$  of  $G(F)$  is quasicuspidal if and only if  $V_N = 0$  for every  $N$  the unipotent radical of a parabolic subgroup of  $G$ .*

Let's resume tackling the proof of Theorem 1.2 in the case that  $\pi$  is supercuspidal. By translating and scaling we may assume without loss of generality that  $\text{supp}(\varphi) \subseteq F^\times K$  for  $K := \text{GL}_n(\mathcal{O}_F)$ . Choose suitable Haar measures  $da$  on  $F^\times$  and  $dk$  on  $K$  such that  $dg = dadk$  and  $dk(K) = 1$ .<sup>13</sup> Define  $T(s, f, \varphi) : F \rightarrow \mathbb{C}$  via

$$T(s, f, \varphi)(a) := \int_K f(ak)\varphi(k)|\det k|^s dk = \int_K f(ak)\varphi(k) dk.$$

Then,  $T(s, f, \varphi) \in \mathcal{S}(F)$  and

$$\tilde{Z}(s, f, \varphi) = \int_{F^\times} f(g)\varphi(g)|\det g|^s dg = \int_{F^\times} T(s, f, \varphi)\omega_\pi(a)|a|^{ns} da = Z(T(s, f, \varphi), \omega_\pi|\cdot|^{ns}),$$

with the latter a local zeta function of a character in the sense of Tate's thesis. Tate's thesis tells us that  $Z(T(s, f, \varphi), \omega_\pi|\cdot|^{ns})$  converges absolutely for  $\text{Re}(s) \gg 0$  and so the same is true for  $\tilde{Z}(s, f, \varphi)$  hence  $Z(s, f, \varphi)$ .<sup>14</sup> Our goal now is to prove the existence of the desired local  $\gamma$ -factor  $\gamma(s, \pi, \psi)$ . The first step is to reinterpret our local zeta integrals in terms of operators. Given  $s \in \mathbb{C}$  with  $\text{Re}(s) \gg 0$ , we have

$$Z(s, \pi) : \mathcal{S}(M_n) \otimes V \otimes V^\vee \rightarrow \mathbb{C}, \quad f \otimes v \otimes \lambda \mapsto Z(s, f, \langle \pi(\cdot)v, \lambda \rangle).$$

Equivalently, this may be viewed as a map  $Z(s, \pi, \cdot) : \mathcal{S}(M_n) \rightarrow \text{End}_{\mathbb{C}}(V)$  satisfying

$$\langle Z(s, \pi, f)v, \lambda \rangle = Z(s, f, \langle \pi(\cdot)v, \lambda \rangle)$$

for every  $v \in V$  and  $\lambda \in V^\vee$ . From here there are two approaches.

- (1) Work with all test functions in  $\mathcal{S}(M_n)$ , dealing with the “boundary” of  $M_n(F)$  by sorting matrices by their rank. This is the approach taken by [Luo].
- (2) Restrict attention to a suitably nice class of test functions in  $\mathcal{S}(M_n)$ . Show that such test functions satisfy the desired functional equation and then show that there is “enough” of these nice functions to get the functional equation for all of  $\mathcal{S}(M_n)$ . This is the approach taken by [Wang].

We will comment more on approach (2) in a little while. For now let's flesh out approach (1). Equip  $\mathcal{S}(M_n)$  with the structure of a smooth  $\text{GL}_n(F) \times \text{GL}_n(F)$ -module via

$$((g, h) \cdot f)(x) := f(g^{-1}xh).$$

For ease of notation we let  $G := \text{GL}_n(F) \times \text{GL}_n(F)$ . Consider now the  $\mathbb{C}$ -vector space  $\mathcal{S}(M_n) \otimes V \otimes V^\vee$ . We wish to equip this space with the structure of a smooth  $G$ -representation so that  $Z(s, \pi)$  is a  $G$ -equivariant functional on  $\mathcal{S}(M_n) \otimes V \otimes V^\vee$  – i.e., so that  $Z(s, \pi) \in \text{Hom}_G(\mathcal{S}(M_n) \otimes V \otimes V^\vee, \mathbb{C})$ . To do this, suppose that the  $G$ -module structure on  $V$  is encoded by a (continuous) group

<sup>13</sup>Note that  $|\det k| = 1$  for every  $k \in K$  since by definition  $K = \{g \in M_n(\mathcal{O}_F) : \det g \in \mathcal{O}_F^\times\}$ .

<sup>14</sup>We can also just show the convergence directly, using the same argument as for Tate's thesis.

homomorphism  $\rho : G \rightarrow \text{Aut}_{\mathbb{C}}(V)$ . This induces an action of  $G$  on  $V^{\vee}$  via  $(g, h) \cdot \lambda := \lambda \circ \rho(g^{-1}, h^{-1})$  and hence a (diagonal) action of  $G$  on  $\mathcal{S}(M_n) \otimes V \otimes V^{\vee}$ . Fix now some  $f \in \mathcal{S}(M_n)$ ,  $v \in V$ , and  $\lambda \in V^{\vee}$ . From the above we have

$$\begin{aligned} Z(s, \pi)((g, h) \cdot f \otimes v \otimes \lambda) &= Z(s, \pi)((g, h) \cdot f \otimes \rho(g, h)v \otimes \lambda \circ \rho(g^{-1}, h^{-1})) \\ &= \int_{\text{GL}_n(F)} f(g^{-1}xh) \langle \pi(x)(\rho(g, h)v), \lambda \circ \rho(g^{-1}, h^{-1}) \rangle |\det x|^{s+(n-1)/2} dx. \end{aligned}$$

**TO DO: Finish defining the action  $\rho$ ...**

By the same token, the operator

$$Z^{\vee}(s, \pi) : \mathcal{S}(M_n) \otimes V \otimes V^{\vee} \rightarrow \mathbb{C}, \quad f \otimes v \otimes \lambda \mapsto Z(1-s, \widehat{f}, (\langle \pi(\cdot)v, \lambda \rangle)^{\vee})$$

lies in the same Hom space for suitable  $s$ .

**Theorem 2.4.**

$$\dim \text{Hom}_G(\mathcal{S}(M_n) \otimes V \otimes V^{\vee}, \mathbb{C}) = 1.$$

As an immediate corollary we get that  $Z^{\vee}(s, \pi) = \gamma_s(\pi, \psi) Z(s, \pi)$  for some  $\gamma_s(\pi, \psi) \in \mathbb{C}$ . The function  $s \mapsto \gamma_s(\pi, \psi)$  then defines  $\gamma(s, \pi, \psi)$  as desired.<sup>15</sup>

*Proof.* The space  $\mathcal{S}(M_n)$  admits a filtration

$$\{0\} = S_{n+1} \subsetneq S_n \subsetneq \cdots \subsetneq S_0 = \mathcal{S}(M_n),$$

where  $S_k$  is defined to be the subspace of  $\mathcal{S}(M_n)$  of functions supported on matrices of rank  $\geq k$ . Since the underlying action of  $G$  on  $M_n(F)$  preserves rank, we have that each  $S_k$  inherits the structure of a smooth  $G$ -module. Each successive quotient  $S_k/S_{k+1}$  consists of functions in  $\mathcal{S}(M_n)$  supported on matrices of rank exactly  $k$  and so there is a splitting

$$\mathcal{S}(M_n) \cong S_0/S_1 \oplus S_1/S_2 \oplus \cdots \oplus S_n/S_{n+1}.$$

Hence, we have an isomorphism

$$\text{Hom}_G(\mathcal{S}(M_n) \otimes V \otimes V^{\vee}, \mathbb{C}) \cong \bigoplus_{k=0}^n \text{Hom}_G(S_k/S_{k+1} \otimes V \otimes V^{\vee}, \mathbb{C}).$$

We claim that

$$\dim \text{Hom}_G(S_k/S_{k+1} \otimes V \otimes V^{\vee}, \mathbb{C}) = \begin{cases} 1, & k = n, \\ 0, & k \neq n, \end{cases}$$

noting that  $S_n/S_{n+1} \leq C_c^{\infty}(\text{GL}_n(F))$ .<sup>16</sup> To begin, we have

$$C_c^{\infty}(\text{GL}_n(F)) \cong \text{cind}_{\text{GL}_n(F)}^G(\mathbb{C}),$$

where  $\mathbb{C}$  denotes the trivial representation of  $\text{GL}_n(F)$  and  $\text{cind}_{\text{GL}_n(F)}^G$  denotes the ordinary compact group theoretic induction associated to  $\text{GL}_n(F)$  embedded diagonally in  $G$ . Explicitly, the RHS

<sup>15</sup>To be precise, we get the desired functional equation on a strip but then we can extend.

<sup>16</sup>Note that there is a difference between  $C_c^{\infty}(\text{GL}_n(F))$  and the “restriction” of  $\mathcal{S}(M_n) = C_c^{\infty}(M_n(F))$  to  $\text{GL}_n(F)$  due to the difference in topology – i.e., the topology on  $\text{GL}_n(F)$  is **not** the subspace topology coming from  $M_n(F)$ .

consists of compactly supported locally constant functions  $T : G \rightarrow \mathbb{C}$  such that  $T(gg_1, gg_2) = T(g_1, g_2)$  for every  $g, g_1, g_2 \in \mathrm{GL}_n(F)$ . The isomorphism is given by sending  $f$  to the function that takes  $(g, h)$  to  $f(g^{-1}h)$ . It follows that

$$\begin{aligned} \mathrm{Hom}_G(C_c^\infty(\mathrm{GL}_n(F)) \otimes V \otimes V^\vee, \mathbb{C}) &\cong \mathrm{Hom}_G(\mathrm{cind}_{\mathrm{GL}_n(F)}^G(\mathbb{C}) \otimes V \otimes V^\vee, \mathbb{C}) \\ &\cong \mathrm{Hom}_G(V \otimes V^\vee, \mathrm{ind}_{\mathrm{GL}_n(F)}^G(\mathbb{C})) \\ &\cong \mathrm{Hom}_{\mathrm{GL}_n(F)}(V \otimes V^\vee, \mathbb{C}) \\ &\cong \mathrm{Hom}_{\mathrm{GL}_n(F)}(V, V) = \mathrm{End}_{\mathrm{GL}_n(F)}(V), \end{aligned}$$

where we have used ordinary group theoretic Frobenius reciprocity and  $\mathrm{ind}$  denotes ordinary group theoretic induction. Since  $\pi$  is irreducible and admissible, the last space is 1-dimensional by Schur's Lemma. This settles the edge case  $k = n$ .

Let's now settle the edge case  $k = 0$ . In this case,  $S_0/S_1$  is the trivial representation and so we are reduced to considering  $\mathrm{Hom}_G(V \otimes V^\vee, \mathbb{C})$ , which vanishes since  $(\pi, V)$  is a supercuspidal representation of  $\mathrm{GL}_n(F)$  and so  $\pi \otimes \pi^\vee$  (and any appropriate twist) is a supercuspidal representation of  $G$ .<sup>17</sup> We're now left with the case  $0 < k < n$ . Let  $P$  denote the standard parabolic subgroup of  $\mathrm{GL}_n$  of type  $(n - k, k)$ . The key ingredient is the following isomorphism:

$$S_k/S_{k+1} \cong \mathrm{cInd}_{P \times P}^{\mathrm{GL}_n \times \mathrm{GL}_n}(C_c^\infty(\mathrm{GL}_k(F))).$$

We won't concern ourselves with proving this (and the indexing might be off).<sup>18</sup> From this we get

$$\mathrm{Hom}_G(S_k/S_{k+1} \otimes V \otimes V^\vee, \mathbb{C}) \cong \mathrm{Hom}_G(V \otimes V^\vee, \mathrm{Ind}_{P \times P}^{\mathrm{GL}_n \times \mathrm{GL}_n}(C_c^\infty(\mathrm{GL}_k(F))),$$

which vanishes as before by the fact that  $\pi$  is supercuspidal and the adjunction between parabolic induction and the Jacquet functor.  $\square$

**Remark 2.5.** In [Luo] we see further that  $L(s, \pi) = 1$  for  $n > 2$  as a result of the so-called matrix Paley-Wiener Theorem.

Now, what can we say about approach (2)? [Wang] chooses to consider the space  $\mathcal{S}_0(M_n)$  defined to be the collection of  $f \in \mathcal{S}(M_n)$  such that  $\mathrm{supp}(f) \subseteq \mathrm{GL}_n(F)$  and

$$\int_{N(F)} f(g_1 u g_2) du = 0$$

for every  $g_1, g_2 \in \mathrm{GL}_n(F)$  and  $N$  a unipotent radical of some parabolic subgroup of  $\mathrm{GL}_n$ . One of the nice things about this space is that it is stable under the action of the Fourier transform.  $\mathcal{S}_0(M_n)$  is also "big enough" in the following sense.

**Proposition 2.6.**

(a) Given  $T \in \mathrm{End}_{\mathbb{C}}(V)$  and  $s \in \mathbb{C}$ , there exists  $f \in \mathcal{S}_0(M_n)$  such that  $Z(s, \pi, f) = T$ .

(b) Fix  $v \in V$  nonzero. Then,  $V$  is spanned by the collection of  $u \in V$  such that

$$\text{there exists } f \in \mathcal{S}_0(M_n), c \neq 0, m \in \mathbb{Z} \text{ such that } Z(s, \pi, f)v = cq^{-ms}u \text{ for every } s \in \mathbb{C}.$$

The idea is then to pair this proposition with a generalized version of Plancherel's Formula.

<sup>17</sup>The fact that  $S_0/S_1$  is trivial is somewhat non-obvious but can be shown by considering minors.

<sup>18</sup>See pages 132-133 of *Automorphic Representations and L-Functions for the General Linear Group* by Goldfeld-Hundley. The key observation is that a rank  $k$  matrix is determined by an invertible  $k \times k$  submatrix.



### 3 Spherical Representations

In general, local Euler factors associated to irreducible admissible representations of  $\mathrm{GL}_n(F)$  can be hard to write down explicitly. One case where we can say something explicit is when  $(\pi, V)$  is *spherical* – i.e.,  $\dim V^K = 1$  for  $K := \mathrm{GL}_n(\mathcal{O}_F)$ . In this case,  $\pi^\vee$  is also spherical and choosing  $v_0 \in V^K$  and  $v_0^\vee \in (V^\vee)^K$  such that  $\langle v_0, v_0^\vee \rangle = 1$  allows us to define the so-called **zonal spherical function**

$$\Gamma : \mathrm{GL}_n(F) \rightarrow \mathbb{C}, \quad g \mapsto \langle \pi(g)v_0, v_0^\vee \rangle.$$

Let  $B = TU$  be the standard Levi decomposition of the standard Borel subgroup of  $\mathrm{GL}_n$ . Choose  $\chi_1, \dots, \chi_n \in X(F^\times)$  unramified, so that then  $\chi := \chi_1 \cdots \chi_n$  is a character of  $T(F)$ . Associate to this  $\pi_\chi := \mathrm{Ind}_B^{\mathrm{GL}_n}(\chi)$ . Letting  $V$  denote the  $\mathbb{C}$ -vector space of this representation, it turns out that  $\dim V^K = 1$  and we may consider the spherical representation  $\pi_0$  defined to be the irreducible component of  $\pi_\chi$  containing  $V^K$ .

**Theorem 3.1.** *We have  $\epsilon(s, \pi_0, \psi) = 1$  and  $L(s, \pi_0) = L(s, \chi_1) \cdots L(s, \chi_n)$ .*

*Proof.* The key to the proof is to choose  $f \in \mathcal{S}(M_n)$  and  $\varphi \in \mathcal{C}(\pi_0)$  which are particularly amenable to calculation. Choosing  $f$  is easy – anything bi- $K$ -invariant will suffice for now but eventually we will want it to be  $\mathbb{1}_{M_n(\mathcal{O}_F)}$ . Choosing  $\varphi$  is a little more tricky. Consider the Iwasawa decomposition  $\mathrm{GL}_n(F) = B(F)K$ , which also comes with a Haar measure decomposition  $dg = dbdk$  with  $dk(K) = 1$ . A theorem proved independently by Borel-Matsumoto and Casselman tells us that there exists a unique vector  $\phi \in \pi_\chi$  such that  $\phi(bk) = \delta_B(b)^{1/2}\chi(b)$  for every  $b \in B(F)$  and  $k \in K$  (hence  $\phi$  is identically 1 on  $K$ ). We similarly get  $\phi^\vee$  associated to  $\pi_\chi^\vee \cong \mathrm{Ind}_B^{\mathrm{GL}_n}(\chi^{-1})$ . To  $\pi_\chi$  and hence  $\pi_0$  we may associate the zonal spherical function  $\Gamma_\chi \in \mathcal{C}(\pi_0)$  defined by

$$\Gamma_\chi(g) = \langle \pi_0(g)\phi, \phi^\vee \rangle = \int_K \phi(kg)\phi^\vee(k) dk = \int_K \phi(kg) dk.$$

We take this to be our choice of matrix coefficient. For  $\mathrm{Re}(s) \gg 0$ , we then have

$$\begin{aligned} Z(s, f, \Gamma_\chi) &= \int_{\mathrm{GL}_n(F)} f(g)\Gamma_\chi(g) |\det g|^{s+(n-1)/2} dg \\ &= \int_{\mathrm{GL}_n(F)} \int_K f(g)\phi(kg) |\det g|^{s+(n-1)/2} dg \\ &= \int_{\mathrm{GL}_n(F)} \int_K f(g)\phi(g) |\det g|^{s+(n-1)/2} dg, \end{aligned}$$

where the last equality comes from switching the order of integration, changing variables, and using the bi- $K$ -invariance of  $f$ . Using the above Iwasawa decomposition this becomes

$$\int_{B(F)} f(b)\delta_B(b)^{1/2}\chi(b) |\det b|^{s+(n-1)/2} db.$$

Every element  $b \in B(F)$  has the explicit form

$$b = \begin{pmatrix} a_1 & & u_{jk} \\ & \ddots & \\ 0 & & a_n \end{pmatrix}.$$

Choose Haar measures  $d^\times a_i$  on  $F^\times$  and  $du_{jk}$  on  $F$  such that  $d^\times a_i(\mathcal{O}_F^\times) = 1$  and  $du_{jk}(\mathcal{O}_F) = 1$ . One explicitly computes that

$$db = \prod_{1 \leq i \leq n} |a_i|^{-(n-i)} d^\times a_i \prod_{j,k} du_{jk}$$

and

$$\delta_B(b) = \prod_{1 \leq i \leq n} |a_i|^{n+1-2i}.$$

Hence, our desired integral becomes

$$\int_{(F^\times)^n \times F^{n(n-1)/2}} f \begin{pmatrix} a_1 & & u_{jk} \\ & \ddots & \\ 0 & & a_n \end{pmatrix} \prod_{1 \leq i \leq n} \chi_i(a_i) |a_i|^s d^\times a_i \prod_{j,k} du_{j,k}.$$

Using the isomorphism  $\mathcal{S}(M_n) \cong \mathcal{S}(F)^{\otimes n^2}$ , we may identify the function

$$(a_1, \dots, a_n) \mapsto \int_{F^{n(n-1)/2}} f \begin{pmatrix} a_1 & & u_{jk} \\ & \ddots & \\ 0 & & a_n \end{pmatrix} \prod_{j,k} du_{j,k}$$

with an element of  $\mathcal{S}(F)^{\otimes n}$ . Assuming without loss of generality that this gives a simple tensor  $f_1 \otimes \dots \otimes f_n$ , we get

$$Z(s, f, \Gamma_\chi) = Z(s, f_1, \chi_1) \cdots Z(s, f_n, \chi_n).$$

Taking  $f := \mathbb{1}_{M_n(\mathcal{O}_F)}$  gives the desired result on  $L$ -functions. The fact that  $\epsilon(s, \pi_0, \psi) = 1$  follows from the fact that this choice of  $f$  is Fourier-stable.  $\square$