

# Homework 2

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## 1. Definitions

- (a) The Complexity Class NPC: The set of all problems in NP for which every problem in NP is reducible to each of these problems.
- (b) Reduction: A problem,  $\alpha$  is reducible to another problem  $\beta$  if an instance of  $\alpha$  can be converted to an instance of  $\beta$  in polynomial-time such that the instances of  $\alpha$  and  $\beta$  will have identical decisions.

## 2. NP Membership

- (a) TSP decision problem: in NP because given a candidate cycle and cost, it can be verified in polynomial-time.
- (b) # of tours with cost  $\leq k = 1000000$  : Not in NP. Say the certificate was 1 million tours, it would be possible to verify that each tour is valid, however verifying that more tours do not exist is not possible using known polynomial-time algorithms.
- (c) tours with cost  $\leq k \geq 1000000$ : In NP. A **yes** instance is verifiable in polynomial-time, by simply verifying that each of the 1000000+ tours found has cost  $\leq k$ .
- (d)  $N^{th}$ -shortest tour  $\leq k$ : In NP. Proving that the  $N^{th}$  tour has cost  $\leq k$  does not necessarily involve finding all  $N$  cheapest tours. For a **yes** instance, a certificate consisting of  $N$  tours, the greatest of which has cost  $\leq k$  would suffice.
- (e)  $N^{th}$ -shortest tour  $\geq k$ : Not in NP. Verifying a **yes** instance of this problem would require proving that more short tours do not exist, a task which has no known solution in polynomial-time.

## 3. $HC \leq TSP$

- (a) For TSP, set  $k = \infty$ . A graph with a Hamiltonian Cycle (a **yes** instance of HC) then also has a shortest Hamiltonian Cycle with cost  $\leq \infty$  (a **yes** instance of TSP).
- (b) A graph with no Hamiltonian Cycles (a **no** instance of HC) also has no shortest Hamiltonian Cycle with cost  $\leq \infty$  making it a **no** instance of TSP.

## 4. $SP \leq SS$

- (a) For Subset Sum, set the target size to  $\frac{1}{2}$  the total size.
- (b) If a set can be divided into two partitions with equal value, then the value of each must be  $\frac{1}{2}$  the total value. This means that at least one subset exists with value equal to  $\frac{1}{2}$  the total, meaning that a **yes** instance of SP is also a **yes** instance of SS.
- (c) If a set has a subset with value  $\frac{1}{2}$  of the total, then the remaining items also have the value  $\frac{1}{2}$  the total value. This means that a **yes** instance of SS is also a **yes** instance of SP, completing the proof that  $SP \leq SS$ .

5. Informally,  $A_1 \leq A_2$  means that  $A_2$  is harder than or equal to  $A_1$ . More formally,  $A_1$  can be solved by performing a polynomial amount of work to transform it into an instance of  $A_2$ . If  $A_1$  cannot be solved in polynomial-time, then transforming it then solving it will also be impossible in polynomial-time.
6. If  $A_1 \in NPC$ , this means that all problems in  $NP$  reduce to  $A_1$ . Reduction is transitive, so if  $A_1 \leq A_2$ , this means that  $NP \leq A_2$ . By definition, this makes  $A_2$  part of  $NPC$ .
7. If  $A_1 \in NPC$  then  $NP \leq A_1$ . Likewise if  $A_2 \in NPC$ , then  $NP \leq A_2$ . Since  $A_1, A_2 \in NP$ ,  $A_1 \leq A_2$  and  $A_2 \leq A_1$ .
8. If  $A_1 \in NPC$ , then  $NP \leq A_1$ . If also  $A_1 \in P$ , then  $NP \in P$ . Because  $A_2 \in NP$ ,  $A_2 \in P$ .
9. By the definition of  $NPC$ , all  $NPC$  problems are reducible to each other. This means that if a single  $NPC$  problem were solved in polynomial-time, all  $NPC$  problems would be solvable in polynomial-time. Thousands of  $NPC$  problems have been found, and not a single one has been solved in polynomial-time. This seems to indicate that  $NPC \notin P$ .
10. The proof of this problem lies in showing that  $\Pi' \leq \Pi$ . As  $\Pi'$  is a sub-problem of  $\Pi$ , this is trivial. The transformation from an instance of  $\Pi'$  to an instance of  $\Pi$  is not needed, as instances of  $\Pi'$  are already instances of  $\Pi$ . By the definition of a sub-problem, a **yes** instance of  $\Pi'$  will be a yes instance of  $\Pi$ , and a **no** instance of  $\Pi'$  will be a **no** instance of  $\Pi$ . This proves that  $\Pi' \leq \Pi$ . If  $\Pi' \in NPC$ , then  $NP \leq \Pi' \leq \Pi$ . This means that  $NP \leq \Pi$ , which by the definition of  $NPC$  proves that  $\Pi \in NPC$ .