## Haskell Lecture Notes

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# 1 Introducing Haskell

- Haskell is a statically-typed, non-strict, pure functional programming language.
  - Functional: Conceptually, computation proceeds via the application of functions to parameters rather than by sequential instructions manipulating values in memory. Functions are first-class values
  - **Pure:** The functions in question are more like mathematical functions than procedures. They map values in an input domain to values in an output domain. Pure functions have no side effects. These features are valuable when reasoning about and testing our code.
  - Non-strict: By default, Haskell uses a lazy evaluation strategy. Expressions do not need to be evaluated until the results are needed. For example, Haskell can cleanly represent infinite lists because the language only evaluates such an expression as needed.
  - Statically-typed: The type of every expression is known at compile time, preventing run-time errors caused by type incompatibilities. This feature prevents things like Java's NullPointerException, because a function that claims it returns a value of a specific type must live up to that promise. Returning the Haskell equivalent of null is a compile-time type error. Additionally, Haskell makes

use of a technique called **type inference** to figure out the types of most things without needing explicit type annotations.

# 2 A Sample Program

```
sumSquares :: Int -> [Int] -> Int
sumSquares count numbers =
sum (take count (map (^2) numbers))

printSquares :: IO ()
printSquares =
print $ sumSquares 10 [1..]
```

- The type signature in line 1 describes a function with two parameters: an Int and a list of Ints. It returns an Int. In general, the type after the last -> is the return value, and the others are the parameters.
- Haskell would actually infer a more general type than what we see in the type annotation on line 1. Haskell programmers usually include type annotations as a form of machine-checked documentation.
- Haskell functions are defined with an equational syntax as seen in lines 2-3: sumSquares applied to the parameters count and numbers is equal to the expression on the right-hand side of the equation.
- Line 3 shows us several examples of **function application**. The notation for function application is lightweight: simple juxtaposition of terms. The parentheses here are for grouping only.
- Working from the inside out, map applies a function to each element in a list, producing a new new list containing the resulting values.
- (^2) is called a **section**, a shorthand for an anonymous function whose parameters fills in the blank for a binary operator, exponentiation in this case. So map (^2) transforms a list of numbers into their squares.
- Given a number n and a list, take returns the first n items of the list, or the whole list if it has fewer than n elements. sum takes a list of numbers and returns the sum.

- Lines 5-7 define another function, printSquares. It takes no parameters, and its return type, IO (), is quite interesting.
- Look back at the type [Int]. The list type [] is a **parameterized** type. That is, list itself is not a concrete type, but a **type constructor**. We need another type, the parameter, to create a concrete type. Similarly, IO is a type constructor.
- Here we instantiate IO with the type (), the unit type with only a single value, also written as (). The type IO () represents an I/O action with no interesting return value. The IO type constructor is the technique Haskell uses to perform I/O, inherently impure, in a world of pure functions, via a far more general abstraction called the monad.
- Consider the expression [1..]. This expression represents the infinite list of positive integers. However, because Haskell evaluates expressions lazily, merely describing the value is not enough to force the run-time to evaluate the entire thing. In fact, in this case, evaluation of [1..] is only forced by print asking for the value produced by sum, which demands the values yielded by take count, and so on, on demand.
- Notice the \$ in line 7. Pronounced "applied to", it is an operator with extremely low precedence that breaks up the very high precedence of function application, allowing us to avoid nesting parentheses. We could have defined sumSquares using \$ as: sum \$ take count \$ map (^2) numbers.

## 3 Basic Haskell Types

In this section, we take a deeper look at Haskell's type system.

- Haskell offers basic primitive data types: [1]
  - Int and Integer: machine-sized and arbitrary precision integers, respectively.
  - Float and Double: single- and double-precision floating point numbers.
  - Char: Single Unicode characters, implemented in GHC as 31-bit ISO 10646 characters.

- Bool: Boolean values (but see below; Bool is actually a composite type).
- Additionally, we have several composite data types:
  - Linked lists: . The type is written [a] where a is another type.
  - String: character strings; String is literally just a **type synonym** for [Char].
  - Tuples: k-element tuples,  $k \ge 2$ . The type of an n-element tuple is (a1, a2, ..., an) where a1, a2, ..., and an are other types.
- Programmer-defined data types are introduced with the data keyword.
- Type synonyms can be introduced with the type keyword. type EmailAddress = String says that the identifier EmailAddress can be used interchangeably with the type String. The advantage is that EmailAddress is more descriptive.
- The keyword newtype creates a more controlled sort of type synonym.
  - If we want a type to describe e-mail address values but do not want
    it to be interchangeable with Strings in general, we can define a
    new type that simply tags a String value: data EmailAddress
     = EmailAddress String.
  - Wrapping the String in the EmailAddress wrapper comes with some amount of syntactic and run-time overhead each time we want to access the underlying String.
  - Instead we can use newtype EmailAddress = EmailAddress String. Haskell's type checker treats this type exactly like a type introduced with the data keyword, but the compiler generates code that disregards the wrapper, as though we had just used a type synonym.
- Although Haskell can infer the types of most all expressions, types can be stated explicitly with a type annotation using ::. For example:

```
1 nothing :: [String]
2 nothing = []
3
4 moreNothing = []
```

• We define two values, nothing and moreNothing.

- Although the equational definitions are identical, we explicitly define the type of nothing to be of type [String], a list of strings, with the type annotation on line 1.
- Without an explicit type annotation, Haskell infers the most general type of moreNothing, [a]. ([a] is a polymorphic type which we will discuss later.)
- Haskell's lists and tuples are specific examples of the language's **algebraic type system**.
- Algebraic data types were introduced in the Hope programming language in 1980. [3]
- An algebraic type system generally offers two sorts of types:
  - **Product types**: A data type with one or more fields.
    - Also referred to as a Cartesian product.
    - Tuples are the archetypal product type.
    - The cardinality of a product type is the product of the sizes of the types of its fields.
    - E.g., (Bool, Bool), the type of 2-tuples of two Boolean values, has a total of  $2 \times 2 = 4$  possible values.
  - An example:

```
data DimensionalValue =
DimensionalValue Float Dimension
```

- DimensionalValue represents a Float value tagged with a unit of measure of type Dimension. We will see later how we might describe that type.
- The data keyword introduces a data type definition.
- The identifier before the = is the name of the new type.
- Following the = are the type's constructor definitions. The first identifier is the **data constructor**, followed by arbitrarily many field declarations.
- By convention, if there is only one data constructor, it has the same name as the type itself.
- Our Dimensional Value type is equivalent to a tuple (Float, Dimension), but we have given it a distinct and descriptive name.
- Sum types: A data type with one or more alternatives.

- Also referred to as a disjoint union.
- Enumerations are the archetypal sum types
- An example:

```
data Dimension = Seconds

| Meters
| Grams
```

- As before, data introduces a new type, here named Dimension.
- The vertical pipe | separates the various alternatives.
- Each alternative is given as a data constructor definition as described above. Here we define three simple data constructors, Seconds, Meters, and Grams.
- These identifiers can be used as literal values of the type Dimension.
- The cardinality of a sum type is the sum of the sizes of the types of its alternatives.
- The type Dimension has 1 + 1 + 1 = 3 possible values.
- Haskell's Bool data type is defined as a sum type with data constructors True and False.
- The power of algebraic data types comes when we combine the two: sums of products and products of sums:

```
1
       data PlaneTicket
2
            = PlaneTicket Section MealOption
3
4
       data Section | Coach
                        Business
5
6
                       FirstClass
8
       data MealOption = Regular
9
                         | Vegetarian
10
       data TravelDetails = Train
11
12
                            | Automobile
13
                            | Plane PlaneTicket
```

- Here we define several types that might describe the domain model of a travel-agency application.
- PlaneTicket is a product type over two sum types: the section (FirstClass, Business, or Coach) and the meal option

(Regular or Vegetarian).

- TravelDetails is a sum type over two singleton data constructors Train and Automobile and a unary product alternative that tags PlaneTicket details with the data constructor Plane
- How many possible values are there for the TravelDetails type?  $1 + 1 + 1 \times (3 \times 2) = 8$ .

# 4 Polymorphic types

- Earlier, we described the list and tuple types in terms of other, unspecified data types:
  - [a] is the type of lists with elements of some type a.
  - (a, b) is the type of 2-tuples with first element of some type a and second element of some type b.
- Here, a and b are type variables.
- Lexically, type variables must begin with a lowercase letter. Concrete data types and data constructors must begin with an uppercase letter.
- Data types that contain type variables are called **polymorphic types**.
- This type of polymorphism is known as **parametric polymorphism**: substituting the concrete type Char for the **type parameter** a in [a] gives the **concrete type** [Char].
- Parametric polymorphism is distinct from the **inclusion polymorphism** seen in object-oriented programming.
- This example shows how we might implement our own linked-list and 2-tuple types:

- Introducing type variables on the left-hand side of the = indicates that we are defining a polymorphic types. List is parametric in a single type variable a and Pair is parametric in two type variables, a and b.
- The two type variables called a in the definitions of List and Pair are distinct. That is, the scope of a type variable is a single data definition.
- What is the difference between our definition of Pair and UniformPair?
   UniformPair is parametric in only one type variable so both of its elements must be of the same type.
- We see that List is a sum of products: A List containing elements of type a is either the empty list Nil or it is a value of type a followed by another List containing elements of type a. Thus, List a is a recursively-defined data type.
- Let us also make a distinction here between:
  - a concrete type, like List Integer or (String, Dimension) that has no type variables;
  - a polymorphic type like List a that has one or more type variables;
  - a type constructor like List that, if applied to a concrete type, yields a concrete type, and if applied to a type variable yields a polymorphic type.
    - Data constructors are applied to values to produce new values. For example, Cons must be applied to a value of type a and a value of type List a to produce a value of type List a. Nil is a nullary data constructor is already a value of type List a any more value
    - Analogously, type constructors are applied to types to produce new types. For example, List applied to the type Integer produces the type List Integer.

# 5 Function Types

• The examples we have looked at so far are for the types of values. However, Haskell supports **first-class functions**: functions can be passed as parameters into functions and be be returned as the result of a function.

- That is to say, in Haskell, functions **are** values. So how do we describe their types?
- First, we never actually define new function types with data, although we can define synonyms for function types with type.
- The one true function type constructor is ->, as in a -> b, the polymorphic type of functions with domain a and co-domain b.
  - What does the function type a -> a represent? Functions with identical domain and co-domain.
  - With no other information about the type a, what sort of function can have the type a -> a? The identity function.
- The functions described by -> appear to only have one parameter, the type on the left of the ->. Haskell has operations (read: functions) like addition that take two parameters, so how can we describe the type of such a function?
- Recall that functions can return other functions as their result. Haskell
  models multi-parameter functions with single parameter functions that
  return a new function ready to consume more parameters. This technique is called currying, named for the logician Haskell Curry.

```
1 add x = y \rightarrow x + y
```

- We define add as a function that takes a single parameter x.
- It returns an anonymous function, introduced by (meant to suggest the Greek  $\lambda$ ). Its parameter is called y. The result of this anonymous function is the sum of x + y.
- When calling add, the actual parameter provided for the formal parameter x is preserved in a **closure** that, along with the body of the anonymous function, makes up the function value we return.
- Haskell does not actually inconvenience us by requiring this notation.
   We can just define add as:

```
1 add x y = x + y
```

• However, Haskell really is using currying under the hood. As such, we can **partially apply** functions. Even with the simple definition, add

- 5 is not an error, it returns a function value ready to accept another parameter and add it to 5.
- Now the type of add should be more clear. Assuming we are only adding Integers, it must be Integer -> (Integer -> Integer).
- -> is right associative, so we can simplify this to just Integer -> Integer -> Integer.
- In this form, we can view the type after the last -> as the return type of the function and all the other types as the types of the function's parameters.
- We still need parentheses for grouping if one of the parameters is a function:
  - Consider the function map :: (a -> b) -> [a] -> [b].
  - What are the types of the parameters and return value of map? The first parameter is a function with domain a and co-domainb. The second parameter is a list of as. The result is a list of bs.
  - How is that different from map':: a -> b -> [a] -> [b]? map' takes three parameters (an a, a b, and a list of as) and returns a list of bs.
  - To what extent can you infer the semantics of map from its type alone?
- In general, we call functions that have one or more functions as their parameters or that return functions as their result **higher-order functions**. As we will see, they central to more advanced techniques in functional programming.

## 6 Ad-Hoc Polymorphism with Type Classes

- At the machine level, adding two integers is quite a different operation from adding two floating-point numbers. High-level languages, in an effort to hide this detail, **overload** the semantics of the addition operator to support these distinct operations using the same operator.
- In a strongly-typed language like Haskell, what might the type of (+) be?

- In Haskell, infix binary operators are just syntactic sugar for functions of two parameters. When referring to binary operators outside of their normal infix notation, Haskell requires them to be surrounded by parentheses.
- Int -> Int -> Int or similar is insufficient, since the type of (+) needs to be general enough to describe adding together two operands of many different numeric types.
- a -> a -> a seems promising: two operands and a result, all of the same type. However, this type signature unifies with TravelDetails
   -> TravelDetails -> TravelDetails and addition of that type does not make sense.
- What happens if we ask the Haskell compiler to infer the type of (+)?
  - The most popular Haskell compiler, GHC, has a REPL interface called GHCi.
  - The command :t expression asks GHCi to infer the type of expression.
  - :t (+) yields the inferred type: (Num a) => a -> a -> a.
- (Num a) => ... is a class constraint on the type signature that follows the =>.
- Normally, a free type variable in a type signature can be unified with any type at all.
- A class constraint on a type variable restricts the types that it can be unified with to types that are **instances** of the named **type class**.
- So (+) :: (Num a) => a -> a -> a says that (+) is a function of two operands and result all of some type a where a is an instance of the type class Num.
- A type class acts a bit like a **interface** in object-oriented programming. It acts as a contract: any type that is an instance of a type class must implement certain methods to qualify.
- The terminology may be a bit confusing:
  - In an OO language, an object is an instance of a class which might implement an interface.
  - In Haskell, a value has a type which might be an instance of a type class.

# 7 Basic Typeclasses from The Haskell Prelude

Haskell offers quite a bit of functionality in its standard library. In particular, the **Prelude**, the set of type and function definitions imported automatically into every program, defines

One of the most basic typeclasses is Eq, consisting of types that implement an equality-testing operation. Here is how it is defined:

- The class keyword introduces a type class definition, followed by the name of the type class and a type variable that we will use in the description of the type class's interface. Think of this type variable as a formal parameter in a function definition.
- The Eq type class defines two required operations, equality and inequality. In this case, the two have exactly the same signature, so the type annotation is shared.
- If we asked Haskell to infer the type of (==), what would we get? (==)
  :: (Eq a) => a -> a -> Bool.
- Then we see two equational function definitions. These are default implementations for Eq's operations.
- This means we do not have to define both (==) and (/=). Each is defined in terms of the other, so an implementation for one is enough. The compiler will complain if neither is implemented.
- Because we can define default implementations, type classes are actually more like **abstract classes** in OO languages.

Typeclasses can themselves have class constraints. Here is the definition of Ord, which describes operations available for totally ordered data types:

- Class constraints in a type annotation, as in line 1 above, require that the constrained type variable be an instance of the given typeclass.
- In this case, for a type to be an instance of Ord, it must also be an instance of Eq. It should be pretty clear why that is necessary.
- The full definition of Ord gives default implementations for all these
  operations so that an instance need only implement either compare or
  (<=).</li>

There are several other typeclasses worth mentioning:

- Show instances can be turned into a String representation with show
  :: (Show a) => a -> String.
- Read instances know how to undo the process and turn a string into a
  value.
- Bounded instances are types with a smallest and largest values, given as two polymorphic constants minBound, maxBound :: (Bounded a) => a.
- Enum instances are sequentially ordered types. Given a value in that sequence, we can use succ, pred :: (Enum a) => a -> a to get the next or previous value. We can use enumFromTo :: (Enum a) => a -> a -> [a] to get a list containing the elements in the sequence between a start value and an end value, inclusive.

Haskell's standard library also defines a hierarchy of numeric typeclasses.

- We saw that GHC would infer the type (Num a) => a -> a -> a for the (+) operator. That, along with (\*), (-) (the binary subtraction operator), negate (for unary negation), and a couple of others define the most basic interface for numeric types.
- Fractional extends Num with division in (/) and reciprocation in recip.
- Floating extends Fractional with real-valued logarithms, exponentiation, trigonometric functions and even (Floating a) => pi :: a, the polymorphic constant  $\pi$ .

The full numeric hierarchy is even richer and there is plenty of detail in the Prelude's typeclasses that we have glossed over. Full details are available in [1, section 6.4].

## 8 Creating New Typeclass Instances

Haskell typeclasses are **open**, meaning that we can define new instances of typeclasses defined in the Prelude or elsewhere.

Let's see how we can implement some of the Prelude's basic typeclasses for a simple type.

```
data Section = Coach
1
2
                 Business
3
                 | FirstClass
4
5
   instance Eq Section where
6
       FirstClass == FirstClass = True
7
       Business
                   == Business
                                  = True
8
       Coach
                   == Coach
                                  = True
9
                                  = False
10
11
   instance Ord Section where
12
                   <= y
                                  | x == y = True
       X
                   <= _
13
       Coach
                                            = True
                   <= Coach
14
       Business
                                            = False
15
       Business
                   <= FirstClass
                                            = True
```

- An instance declaration begins with the keyword instance, followed by equational definitions for the various functions defined for the class.
- The definition of (==) for Eq is straightforward. We define the function in four cases. In the first three equations, we enumerate the cases where values could be considered equal and the last equation is a catch-all: the underscore character matches any value, so any case not matched by the first three equations will get caught by the fourth and will return False.
- We define an Ord instance by enumerating the ways in which Section values can be ordered. The first equation uses a **guard**: **x** and **y** will match any values, but the match is only successful if the **guard** expression evaluates to True.
- The Show instance is trivial: we simplify define a string value to return for each of Section's three data constructors.

We can imagine that defining instances for these typeclasses would be quite similar for any algebraic data type. It seems trivial to automatically construct an Eq instance for any simple sum type. Furthermore, because of the recursive nature of algebraic data types, it would be easy to extend that idea to arbitrary sum-of-products types.

- This is pseudocode for the general form of an Eq instance for a sum of N constructors that are each a product of  $K_N$  values.
- In words, two S values are equal if their data constructors are equal and each pair of constituent values are equal.

In fact, Haskell offers the ability to **derive** typeclass instances, and not just for Eq.

- The deriving keyword instructs the compiler to automatically derive instances for the typeclasses that follow.
- The Haskell 98 standard can derive instances for Eq, Ord, Enum, Bounded, Show, and Read.
- In automatically derived instances of Ord, Enum, and Bounded, the order of declaration of the data constructors is used. So our derived Ord instance for Section still returns True for Coach <= FirstClass.</li>

Because the derived definitions are recursive, we might not always be able to derive instances when the constituents of product types do not support the operations we need:

- Here, we cannot automatically derive an Eq instance for Section because BeverageOption is not an instance of Eq. We cannot determine if two values using the FirstClass constructor are equal because we have no way of checking two BeverageOption values for equality.
- Similarly, we could not derive an Ord instance since we have no notion of ordering on BeverageOptions.
- In this case, adding a deriving clause to our definition of the BeverageOption type would resolve the problem.

# 9 Maybe, Lists, and The Functor Typeclass

Now let us consider a type defined in the Haskell Prelude, Maybe:

```
1 data Maybe a = Nothing
2 | Just a
```

- Maybe is the Haskell version of the **option type**. It offers us a way to represent a value that might not exist. Nothing is the null value, and the Just constructor wraps an actual value.
- For example, we might want a function that parses an integer value from a string to have the return type Maybe Integer, since the parse might fail.
- Compare this to Java's type system where null is a possible value for any reference type. null is a Person even though null does not respond to any of Person's methods—or any methods at all!
- In Haskell, on the other hand, a function that claims to return a Person always returns a full-fledged Person (barring exceptional failure) and a function that sometimes returns a null-like value must declare that in its type, e.g., String -> Maybe Integer.

The safety we get when we use an option type is nice, but it comes with some inconvenience: If I have a value of type Maybe Integer, how do I add five to it? In general, how do I unwrap a value of the form Just x to get at x? It is not difficult in principle:

```
1
   parseInteger :: String -> Maybe Integer
2
   # Implemented elsewhere
3
4
   example1 :: String -> Integer
   example1 str = case parseString str of
6
                       Just x \rightarrow x + 5
7
                       Nothing -> 0
8
9
   example2 :: String -> Maybe Integer
10
   example2 str = case parseString str of
11
                       Just x \rightarrow Just (x + 5)
12
                       Nothing -> Nothing
```

- However, this code has some shortcomings:
  - In example1, we are making an assumption about how to handle the error case (returning zero if the parse failed) that is now interwoven with the independent process of adding five.
  - Both examples repeat the code to test both the Just and Nothing case. Moreover, if we used Maybe frequently (which is encouraged), this would start to get rather annoying.

We will reject example1 because we really would like to maintain the orthogonality of dealing with Maybe values and our actual operation. However we can use higher-order functions to factor out repetition we see in analyzing Maybes.

```
applyToMaybe :: (a -> b) -> (Maybe a) -> (Maybe b)
applyToMaybe f Nothing = Nothing
applyToMaybe f (Just x) = Just (f x)
```

- applyToMaybe factors out handling the Nothing and Just cases of Maybe values.
- We also get some vocabulary for lifting normal function application into the world of Maybe values.

• In our add five example, we can now just use applyToMaybe (+5) \$ parseString str

Here is another example. We have seen Haskell's basic, homogeneous list type. It offers us a way to represent a collection of zero or more values of some type.

We have also seen the function map:: (a -> b) -> [a] -> [b] that applies a function to each element in a list and returns the results collected into a new list.

```
1 map :: (a -> b) -> [a] -> [b]
2 map f [] = []
3 map f (x:xs) = f x : (map f xs)
```

If we compare applyToMaybe and map, we see some important similarities:

- Both functions have an empty case and a case where one or more values are unwrapped, a function applied, and the result(s) wrapped back up.
- If we ignore the special case of Haskell's list type syntax, the functions have analogous types of the form  $(a \rightarrow b) \rightarrow f a \rightarrow f b$

## 9.1 The Functor Typeclass

In fact, this pattern is codified in Haskell with the Functor type class:

```
class Functor f where
fmap :: (a -> b) -> f a -> f b
```

- A Functor instance is always a polymorphic data type with a single type parameter.
- At one level we can think of Functors as simply mappable containers.
- At another level, we can think of them as values in some sort of context, where fmap lifts function application into that new context.

#### 9.1.1 Functor Instances

Here are some instances of the Functor type class:

#### Maybe

- We can think of Maybe a as a context representing a value of type a with the possibility of failure.
- In this context, we can think of fmap as creating new functions that know how to propagate these failure states.

#### • Lists, i.e., []

- The implementation of fmap for lists is literally just the Prelude's map function.
- From the context perspective, we can think of lists as non-deterministic values; i.e., the result of applying the function (\* 2) to the non-deterministic value that might be one of [1, 2, 3] would be the non-deterministic value that might be one of [2, 4, 6].

#### • Tree

- Mapping over a collection makes sense for trees, but what might Tree represent from the perspective of values in a context?
- Interestingly, while mapping over elements in a set seems reasonable enough, Haskell's Set type cannot be directly declared an instance of Functor. Because Set is implemented via balanced binary trees, it has an Ord constraint on the types it can contain. This extra constraint is incompatible with the general Functor definition; we would need fmap to have the type (Ord a, Ord b) => (a -> b) -> f a -> f b.
- Map, however can be a Functor. Rather, maps with keys of type k, i.e., Map k can be a Functor. Haskell Maps are represented using balanced binary trees over the key type k, so there is still an Ord constraint, Functor cares about the type of the values, not the type of the keys.

### • ((->) e)

• This type looks a big strange. Haskell's syntax does not allow it, but read this type as (e ->).

- Concretely, the type of the fmap implementation here would be (a -> b) -> (e -> a) -> (e -> b)
- [5] describes ((->) e) as "a (possibly infinite) set of values of a, indexed by values of e," or "a context in which a value of type e is available to be consulted in a read-only fashion."
- If we have a predicate isOdd :: Int -> Bool, and fmap it over a function length :: String -> Int that returns the length of its parameter, we get a new function of type String -; Bool that returns whether or not the String's length is odd.
- From the context perspective, fmap isOdd takes us from Ints indexed by Strings to Bools indexed by Strings.

#### 9.1.2 Functor Laws

For the Haskell type system, anything that implements fmap:: (a -> b) -> f a -> f b is perfectly suitable as an instance of Functor. However, the concept of functors come to us from the branch of mathematics called category theory, where functors must satisfy certain laws. In Haskell terms:

```
1     fmap id == id
2     fmap (g . h) == (fmap g) . (fmap h)
```

- Mapping the identify function over the contents of a Functor just gives back the original Functor.
- fmap distributes over function composition.
- Ultimately, these two laws just mean that a well-behaved Functor instance only operates on the contents of the Functor, leaving its structure unchanged.

Consider this badly-behaved instance definition for lists taken from [5].

- This implementation of fmap duplicates all the output values: fmap (+1) [1, 2, 3] returns [2, 2, 3, 3, 4, 4].
- The first law is broken because fmap id [1, 2, 3] returns [1, 1, 2, 2, 3, 3] rather than [1, 2, 3].
- The second law is broken because fmap ((+1) . (\*2)) [1,2,3] returns [3, 3, 5, 5, 7, 7] rather than [3, 5, 7].

Although Haskell's type system is quite powerful, it is in general undecideable whether a Functor instance satisfies the two laws described above, so that requirement cannot be checked at compile time. Since other Haskell code in the standard libraries and elsewhere will expect new Functor instances to be well-behaved, it is the responsibility of the programmer to prove, at least to their own satisfaction, that their implementation satisfies those laws. We will look at more typeclasses later on, each with their own laws, and this caveat applies to them as well.

# 10 Applicative Functors

When we looked at the Functor type class, we saw in fmap a way for us to lift normal functions into the domain of computational contexts, the Functor instances, where they can operate on values in those contexts.

But recall that in Haskell, functions are themselves first-class values. So how do we use a function that is itself in a computational context? This question is answered by the concept of applicative functors, realized in Haskell with the Applicative type class.

Consider this scenario. We are given an Integer with the possibility that it might not actually be there, i.e., Maybe Integer. We are also given a function to apply to that value, again with the possibility that it might not actually be there, i.e., Maybe (Integer -> Integer). Of course, since either the function or the parameter might be Nothing, the return value needs to be able to propagate this possibility of failure. How would we accomplish that?

```
2 maybeApplyToMaybe Nothing _ = Nothing
3 maybeApplyToMaybe _ Nothing = Nothing
4 maybeApplyToMaybe (Just f) (Just x) = Just \$ f x
```

There are three cases:

- Line 2: When we get no function to apply, the parameter does not matter: the result is Nothing.
- Line 3: When we get no parameter to apply the function to, the function does not matter: the result is Nothing.
- Line 4: When we actually get a function and an parameter, we can unwrap them from their Maybe wrapper, apply the function to the parameter, and return the result wrapped back up.

What happens if we want to use a function with two or more parameter in this way? We do not actually have to write any more code: Haskell's default of curried functions gives us native partial application of functions and maybeApplyToMaybe gets that for free.

Say we have a function add :: Integer -> Integer -> Integer. The expression add 5 has type Integer -> Integer.

If we look back at line 4 of the previous example, we apply our unwrapped function to the unwrapped parameter, and return the result wrapped back up. Since the partial application add 5 returns a function of type Integer -> Integer, maybeApplyToMaybe (Just add) (Just 5) returns a value of type Maybe (Integer -> Integer).

## 10.1 The Applicative Type Class

We saw in the previous section that map for lists and applyToMaybe shared a common pattern, which led us to the general Functor type class. The same idea works here, and the resulting type class is called Applicative, short for applicative functor.

```
class Functor f => Applicative f where
pure :: a -> f a
    (<*>) :: f (a -> b) -> f a -> f b
```

The Applicative type class has its own class constraint: every instance of f of Applicative must also be an instance of Functor. In fact, most of the standard library's Functor instances are also Applicatives as well.

Importantly, though, Applicative and its functions are not included in the Prelude and must be imported manually from the Control.Applicative module.

### 10.2 Applicative's Functions

If we look back at maybeApplyToMaybe :: Maybe (Integer -> Integer) -> Maybe Integer -> Maybe Integer, we see simply a monomorphic instance of the more general, polymorphic type of (<\*>). In fact, Haskell would have inferred a more general type for maybeApplyToMaybe: Maybe (a -> b) -> Maybe a -> Maybe b and our implementation is essentially the standard library's implementation of (<\*>) for Maybe.

That means we can scrap our 17 character function name and rewrite maybeApplyToMaybe (Just (+5)) (Just 2) as Just (+5) <\*> Just 2.

In general, (<\*>) is the function (used infix like an operator) that takes a function in some Applicative context f and a value in the same context and handles the plumbing of unwrapping the function and the value, applying the function to the value, and returning the result wrapped back up in the f context.

We have not said much about the other half of the Applicative class, but it is quite simple and the type is very telling. What makes sense for the type a -> f a?

We are getting a value of any type and returning a value of that type in the context described by f. Without knowing anything about the type a of the parameter, we cannot modify it in any way. So, from the type alone, we can

surmise that pure probably injects a value into the context described by f in some default way.

What might Maybe's implementation of pure look like? It is literally just Just!

### 10.3 Building a Better fmap

It might seem that all we really get from Applicative is a way to factor out the unwrapping required to apply a function in a context to a value in a context, but there is more here.

Consider the operator (<\$>) :: (a -> b) -> f a -> f b provided by the Control.Applicative module. It that takes a function, injects it into f with pure, and then uses (<\*>) to apply it to a value in f.

The type of (<\$>) should look familiar: it is the same type as fmap. In fact, assuming both Functor and Applicative laws (which we will see in a moment) the two are synonyms: g <\$> pure x == fmap g \$ pure x.

What we really get out of Applicative is a better version of fmap.

Suppose we called fmap (+) (Just 3). This is not an error, we will just partially apply (+) to the wrapped value 3 and get back basically Just (3+), a function value inside a Maybe context, exactly where we were at the beginning of this section.

Now that we have seen how Applicatives work, we have the tools needed to finish fmapping a function with two parameters: fmap (+) (Just 3) <\*> Just 5, in Applicative terms: pure (+) <\*> Just 3 <\*> Just 5, or even more idiomatically: (+) <\$> Just 3 <\*> Just 5.

From a practical perspective, we could write a function that attempted to build a PlaneTicket value based on optional Section and MealOption values:

```
1 createPlaneTicket
2 :: Maybe Section
```

```
3   -> Maybe MealOption
4   -> Maybe PlaneTicket
5   createPlaneTicket section meal
6   = PlaneTicket <\$> section <*> meal
```

createPlaneTicket uses the language of Applicative to succinctly lifts the PlaneTicket data constructor into the Maybe context where the MealOptions and Sections might not exist.

In fact, the type that Haskell would actually infer for createPlaneTicket is (Applicative f) => f Section -> f MealOption -> f PlaneTicket and would work for any Applicative, such as lists, which we will take a look at shortly.

### 10.4 Applicative Laws

There are four laws that Applicative instances should follow, with the same motivations and caveats described when we discussed the Functor laws.

- Identity: pure id <\*> v == v
- Homomorphism: pure g <\*> pure x == pure (g x)
- Interchange: g <\*> pure x == pure (\$ x) <\*> g
- Composition: g <\*> (h <\*> k) == pure (.) <\*> g <\*> h <\*> k
- Functor Instance: fmap g x == pure g <\*> x

The **identity law** can be thought of as putting an upper bound on what can actually happen inside the plumbing of the **Applicative** implementation. If that plumbing does anything that fails to preserve identity, it is not a proper **Applicative**.

The intuition behind the **homomorphism law** is that these operations are just lifting function application into Applicative contexts. If we have a function f and a value x, inject each into the context via pure and apply them via (<\*>), we should get the same thing as if we had injected f x into the context directly.

The **interchange law** is a bit tricky. To start, remember that the (\$) operator is just function application with a very low precedence. So (\$ y) is a function that takes a function and applies it to y. What the interchange law is trying to express is that the order in which we evaluate the function and its parameter should not matter in a proper Applicative instance.

We can think of the **composition law** as formalizing an associative property for  $(i^*i)$  in terms of Haskell's standard function composition operator (.).

Finally, the **functor instance law** describes how an **Applicative** instance should behave relative to its **Functor** instance and is required for the equivalence between (<\$>) and **fmap** to hold for an **Applicative** instance.

[5][section 4.2] offers a bit more detail on the Applicative laws.

### 10.5 Applicative and Lists

When we looked at Functors, our two canonical examples were Maybe and lists, but we have not really mentioned lists yet in this section. The problem is not that lists are not Applicatives, but that there are two perfectly reasonable ways to implement the Applicative instance for lists!

Lists are a context that support zero or more values. So suppose we had a list of functions and wanted to apply them (in the Applicative sense) to some values also in a list context:

```
1 [(+1), (*2), (^3)] <*> [4, 5, 6]
```

We could certainly interpret this as pair-wise application, applying (+1) to 4, (\*2) to 5, etc.

However, recall that we could view lists not just as a container of zero or more values but as a kind of non-deterministic value where [4, 5, 6] represents a value that might be any one of those numbers. In this interpretation, it might make more sense to do apply each function from the left-hand list to each value value in the right-hand list.

The result is a list containing the possible values when a non-deterministic function is applied to a non-deterministic value, yielding a total of 9 possible values in this example.

In fact, the Haskell library's Applicative instance for lists uses the latter interpretation. The implementation looks like this:

```
instance Applicative [] where
pure x = [x]
gs <*> xs = [ g x | g <- gs, x <- xs ]</pre>
```

- pure injects a value into the list context by creating a singleton list containing that value.
- (<\*>) applies each function from the left-hand list to each value in the right-hand list as discussed. It does so via Haskell's **list comprehension** syntax.

What about the pair-wise version of Applicative for lists? Due to language constraints, the list type cannot have two implementations for the same type class. Instead, Haskell offers type called ZipList that wraps a normal list but offers a different Applicative instance:

```
newtype ZipList a = ZipList { getZipList :: [a] }
instance Applicative ZipList where
pure x = repeat x
(ZipList gs) <*> (ZipList xs) = ZipList (zipWith (\$) gs xs)
```

- The newtype keyword defines a type synonym that is checked at compile time but discarded so there is no run-time overhead. A ZipList can never be used as a normal list, but there is no additional overhead. Record syntax is used here to automatically create a function getZipList to translate normal lists to ZipLists.
- zipWith takes two lists and applies a function pair-wise to the elements of those lists. In this case the function is (\$), which we have seen previously. So the ZipList instance of Applicative is implementing pair-wise application.

- Because zipWith truncates the result to the length of the shorter of its two parameter, it makes sense for pure to inject values into the ZipList context by creating an infinite list via repeat.
- If we used the same pure implementation as normal lists, pure g <\*> [1..] == [g 1] and the functor instance law no longer holds.

### 10.6 Summary

In this section we have looked at applicative functors and Haskell's Applicative type class. We have seen how Applicative offers an abstraction for applying functions even when the functions themselves were wrapped up in a context just as fmap allowed us to apply bare functions to values in a context.

In the next section we will discuss the Monad type class and see how it further extends the notion of computational contexts that we have built up via Functor and Applicative.

### 11 Monads

Consider the following Java-like pseudocode:

We have several domain objects:

- User, which has method getContactInfo() that returns the user's contact information with the type
- ContactInfo, which as a method getEmailAddress() that returns the associated email address with type
- EmailAddress, which has a method getDomain() which returns the the domain portion of that email address as a String.

Now suppose that a User's ContactInfo is optional so that getContactInfo() might return null. Likewise, a ContactInfo record's EmailAddress is optional so that getEmailAddress() might return null.

That makes the above code snippet dangerous. The getEmailAddress() and getDomain() calls could be performed on null references, causing a NullPointerException. If uncaught, the program crashes.

We could try this:

```
1
   String emailDomain;
2
   ContactInfo contactInfo;
3
   EmailAddress emailAddress;
4
5
   contactInfo = user.getContactInfo();
6
7
   if ( contactInfo != null )
8
9
       emailAddress = contactInfo.getEmailAddress();
10
11
       if ( emailAddress != null )
12
       {
13
            emailDomain = emailAddress.getDomain();
14
       }
       else
15
16
       {
17
            emailDomain = null;
18
       }
19
   }
20
   else
21
   {
22
       emailDomain = null;
23
   }
```

We have managed to propagate possible null values through the chain of method calls, but at the cost of a great deal of boilerplate code.

Of course, we have already seen how Haskell's Maybe type lets us encode the possibility of null-like values explicitly. Suppose we have analogous Haskell types and functions. The above code translates to something like:

Although we are now explicit about the possibility of a null result, we have not really addressed the issue of boilerplate. If we had to chain together even more calls, our code would quickly stair-step right off the screen.

We can see a pattern, however. When we apply getContactInfo to user, we do a pattern match. If we got an actual value (the Just case), we take that value and pass it on to the getEmailAddress call. In the null case, though, we short-circuit the chain of function calls and just return Nothing. The same strategy is used when we try to pass the result of getEmailAddress into getDomain.

We can generalize this pattern:

```
chainMaybe :: Maybe a -> (a -> Maybe b) -> Maybe b
Nothing 'chainMaybe' f = Nothing
(Just x) 'chainMaybe' f = fx
```

Note: Here we juse backticks around the function name to cause Haskell treat the function like an infix operator, not unlike using parentheses around an infix operator causes Haskell to treat it like a regular, prefix function.

Having factored out the pattern matching to handle both cases, we can rewrite our stair-stepped chain of function calls:

```
userEmailDomain :: User -> Maybe String
userEmailDomain user =
(Just user) 'chainMaybe' getContactInfo
'chainMaybe' getEmailAddress
'chainMaybe' getDomain
```

Now our code is as readable as the original Java chain of method calls, but with the null-safety of the clunky second attempt.

### 11.1 The Monad Type Class

Not surprisingly, Haskell offers a type class to describe this same pattern in polymorphic terms:

```
class Monad m where
    (>>=) :: m a -> (a -> m b) -> m b
    (>>) :: m a -> m b -> m b
    return :: a -> m a
    fail :: String -> m a
    m >> k = m >>= \_ -> k
```

- (>>=) is the monadic chaining operator. It is just a polymorphic, infix equivalent of chainMaybe, which is how Maybe's implementation of (>>=) is defined.
- (>>) can be thought of as a chaining operator where the value passed into the right-hand function is simply ignored. It is given a default implementation in terms of (>>=) on line 5.
- return is the Monad class's general method for injecting a value into a monadic wrapper. Code that uses the Monad interface cannot use specific constructors like Just on line 3 of our final userEmailDomain implementation, so Monad instances implement return to define the behavior. If return sounds familiar, it is because it is actually identical to pure from Applicative. We will discuss this further in a moment.
- fail offers a way for Monad instances to short-circuit evaluation when a computation has failed. Use of fail is discouraged in general because some Monads, including IO, implement fail by raising a fatal error. Maybe's implementation of fail returns Nothing.

### 11.2 Monad and Applicative

We mentioned before that return and pure were basically identical. In fact, conceptually, Applicative is a superclass of Monad. In fact, the hierarchy from Functor to Applicative to Monad represents progressively more flexible operations on with values inside some context.

However, while Functor and Monad were part of the Haskell standard library as described in the Haskell 98 standard [1], applicative functors were not introduced until 2008 in the paper *Applicative Programming with Effects* [7] by McBride and Paterson.

Altering the standard library's definition of Monad to include an Applicative class constraint would break existing user-defined Monad instances that did not offer an Applicative implementation. However, a proposal to make this change is likely to be implemented in the near future. For that reason, newer versions of GHC will issue warnings when Monad instances are declared without accompanying Applicative instances.

In the mean time, all the Monad instances we will discuss have accompanying Applicative.

### 11.3 The List Monad

Now that we have seen the basic definition of the Monad type class and seen a simple instance in Maybe, we can look at a more complex example: lists.

Recall that lists can be viewed as simple containers or as computational contexts supporting non-deterministic values. The list monad is based on this non-deterministic value perspective.

- The Functor instance for lists lifted function application into the domain of non-deterministic values.
- The Applicative instance for lists (i.e., not the ZipList instance) introduces the ability to apply non-deterministic function values to non-deterministic values.

• Finally, we can think of the Monad instance for lists as lifting computation in general into the domain of non-deterministic values.

Here is how the list instance of Monad is defined:

```
instance Monad [] where
return x = [x]

xs >>= f = concat (map f xs)
fail _ = []
```

- return is, again, equivalent to pure and simply returns a singleton list containing the given element.
- fail returns the empty list.
- (>>=) first maps f :: a -> [a] over xs yielding a value of type [[a]], i.e., a list of lists. Then concat :: [[a]] -> [a] concatenates each of those lists into a single list. For example, concat [[1, 2], [3, 4]] == [1, 2, 3, 4].

Suppose we wanted to work with a square root function that non-deterministically returned both the postive and negative square roots of its parameter:

```
1 sqrt':: Double -> [Double]
2 sqrt' x = [sqrt x, negate $ sqrt x]
```

Now, when we evaluate  $[4.0, 9.0] \gg \text{sqrt'}$ , we map sqrt' over the list, yielding [[2.0, -2.0], [3.0, -3.0]]. Then concat is applied, yielding [2.0, -2.0, 3.0, -3.0].

Suppose we try to evaluate [16.0, 81.0] >>= sqrt' >>= sqrt' (note that (>>=) is left associative) so we can simplify this expression:

```
1 ([16.0, 81.0] >>= sqrt') >>= sqrt'
2 == [4.0, -4.0, 9.0, -9.0] >>= sqrt'
3 == [2.0, -2.0, NaN, NaN, 3.0, -3.0, NaN, NaN]
```

When sqrt' is applied to one of the negative intermediate values, the result is NaN, since we cannot take the real square root of a negative. We would like to extend our non-deterministic square root function to deal with that case.

We use *guard clauses* to deal with the two cases. If the parameter is non-negative, yield two possible values, otherwise, yield no values at all.

Now, if we evaluate [16.0, 81.0] >>= sqrt'' >>= sqrt'' we get [2.0, -2.0, 3.0, -3.0]. From the perspective of non-deterministic values, these are the values that could result from applying sqrt'' twice to the non-deterministic value [16.0, 81.0]. When applying sqrt'' to negative values, the return value of [] represents a path of computation that has failed, and no trace of it shows up in the final result.

#### 11.4 The Monad Laws

Like Functor and Applicative, there are laws that govern how Monad instances should behave:

```
Left identity: return a >>= f == f a
Right identity: m >>= return == m
Associativity: (m >>= f) >>= g == m >>= (x -> f x >>= g x)
```

The left and right identity laws describe are primarily concerned with the neutral behavior of return. The associativity law, with the behavior of sequences of monadic operations linked together with (>>=).

Interestingly, none of these laws look much like identity or associativity as we might recall from algebra. However, if we introduce a new (but related) operator, they do:

```
1 (>=>) :: Monad m => (a -> m b) -> (b -> m c) -> a -> m c 2 (f >=> g) x = f x >>= (\y -> g y)
```

The operator (>=>) acts like the standard function composition operator (.) :: (a -> b) -> (b -> c) -> a -> c and if we rewrite the above laws in terms of (>=>), the names look far more appropriate:

```
Left identity: return >=> f == f
Right identity: f >=> return == f
Associativity: (f >=> g) >=> h == f >=> (g >=> h)
```

#### 11.5 The State Monad

In the imperative programming paradigm, our programs essentially operate by mutating global state. To swap the values of two variables a and b, we might store the value in a into a temporary variable t, store the value in b into a, then store the value in t into b. Our programs just shuffle bit patterns around in memory.

In the end, Haskell programs are doing the same thing, but we are interested in expressing our programs in terms of higher-level constructs. However, the mutating state is a powerful tool and the State monad allows us to do emulate this style of programming by providing the framework through which a value of some type can be passed through a sequence of monadic operations, possibly being replaced along the way. We do not actually write values to locations in memory, but the end result is similar, while being built on the same monadic abstraction we have looked at so far.

Here is the definition of the State type and its Monad instance.

```
newtype State s a = State { runState :: s -> (a,s) }
instance Monad (State s) where
return x = State $ \s -> (x,s)
(State h) >>= f = State $ \s -> let (a, newState) = h s
```

The State type constructor takes two type parameters: s is the type of the state value and a is the result type. Just as we might call Maybe Integer an Integer value in Maybe's context of possible failure, State String Integer might be referred to as an Integer value in the context of a stateful computation, where the state is a String value.

The newtype definition of State uses Haskell's record syntax to created a named field. A State value is actually a wrapper around a function of type s -> (a, s). The record syntax automatically creates a function runState which pulls that function out of the wrapper so that it can be applied to an initial state value of type s, returning the result of type a and the final state, again of type s.

The State type is polymorphic in two type variables, but recall that the definition of the Monad type class had only a single type variable. As line 3 suggests, it might be more appropriate to think of it as the State s monad, with each concrete type (State [Integer], State String, etc.) as being separate, incompatible monads that happen to have identical implementations.

State's implementation of return takes a value x and returns a function (wrapped with the State constructor) that, given any state value s returns (x, s). We can think of the result of return in the State monad as a stateful computation that simply returns a value and leaves the state untouched. This is compatible with our understanding of return as a neutral operation that injects a value into as bare a context as possible.

The implementation of (>>=) is a bit more involved:

Describe the types of the two operands, State h and f Describe let clause, bindings for intermediate values Describe the result

[Describe an example, using [Integer] as the state type, with push and pop operations]

#### 11.6 do-Notation

- Describe do-notation syntax, syntactic sugar, Left-arrow binding
- Rewrite State example using do-notation
- Desugaring do-notation

### 11.7 The I0 Monad

- Describe IO monad as mechanism for definining impure computations in a pure language
- IO values aren't "flagged" values, but lazy descriptions of computations that go out and get values from the outside world when finally executed.
- Examples
- Describe how userEmailDomain behaves if written as generic monadic operation and applied in list monad, IO monad

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