

# Data Structures – Week #10

## Graphs & Graph Algorithms

# Outline

- Motivation for Graphs
- Definitions
- Representation of Graphs
- Topological Sort
- Breadth-First Search (BFS)
- Depth-First Search (DFS)
- Single-Source Shortest Path Problem (SSSP)
  - Dijkstra's Algorithm
- Minimum Spanning Trees
  - Prim's Algorithm
  - Kruskal's Algorithm

# Graphs & Graph Algorithms

# Motivation

- Graphs are *useful structures for solving many problems* computer science is interested in including but not limited to
  - *Computer and telephony networks*
  - *Game theory*
  - *Implementation of automata*

# Graph Definitions

- A *graph*  $G=(V,E)$  consists a set of *vertices*  $V$  and a set of *edges*  $E$ .
- An *edge*  $(v,w) \in E$  has a starting vertex  $v$  and an ending vertex  $w$ . An edge sometimes is called an *arc*.
- If the pair is ordered, then the graph is *directed*. *Directed graphs* are also called *digraphs*.
- Graphs which have a third component called a *weight* or *cost* associated with each edge are called *weighted graphs*.

# Adjacency Set and Being *Adjacent*

- Vertex  $v$  is *adjacent* to  $u$  iff  $(u,v) \in E$ . In an undirected graph with  $e=(u,v)$ ,  $u$  and  $v$  are adjacent to each other.
- In Fig. 6.1, the vertices  $v$ ,  $w$  and  $x$  form the *adjacency set* of  $u$  or

$$Adj(u) = \{v, w, x\}.$$

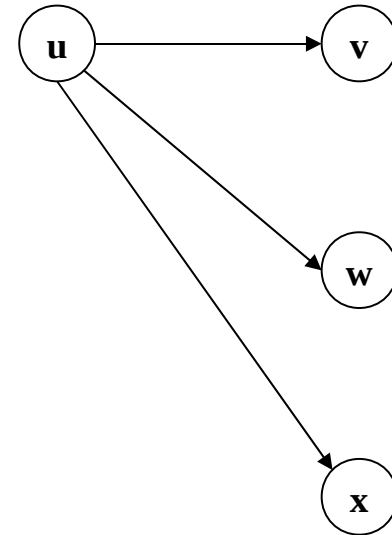


Figure 6.1. Adjacency set of  $u$

# More Definitions

- A *cycle* is a path such that the vertex at the destination of the last edge is the source of the first edge.
  - A digraph is *acyclic* iff it has no cycles in it.
- *In-degree* of a vertex is the *number of edges arriving* at that vertex.
- *Out-degree* of a vertex is the *number of edges leaving* that vertex.

# Path Definitions

- A *path* in a graph is a sequence of vertices  $w_1, w_2, \dots, w_n$  where each edge  $(w_i, w_{i+1}) \in E$  for  $1 \leq i < n$ .
- The *length of a path* is the number of edges on the path, (i.e.,  $n-1$  for the above path). A path from a vertex to itself, containing no edges has a length 0.
- An edge  $(v,v)$  is called a *loop*.
- A *simple path* is one in which all vertices, except possibly the first and the last, are distinct.



# Connectedness

An undirected graph is *connected* if there exists a path from every vertex to every other vertex.

- A digraph with the same property is called *strongly connected*.
- If a digraph is not strongly connected, but the underlying graph (i.e., the undirected graph with the same topology) is connected, then the digraph is said to be *weakly connected*.
- A graph is *complete* if there is an edge between every pair of vertices.

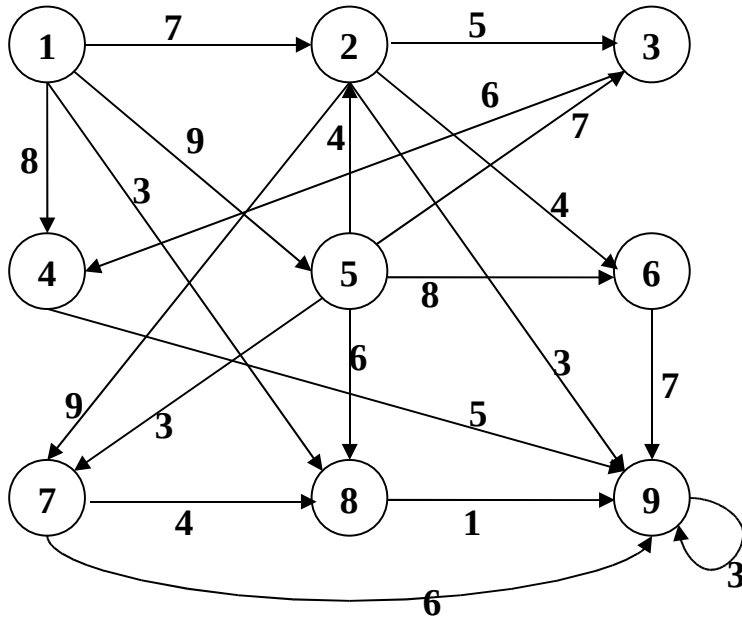
# Representation of Graphs

- Two ways to represent graphs:
  - *Adjacency matrix representation*
  - *Adjacency list representation*

# Adjacency Matrix Representation

- Assume you have  $n$  vertices.
- In a boolean array with  $n^2$  elements, where each element represents the connection of a pair of vertices, you assign *true* to those elements that are connected by an edge and *false* to others.
- *Good for dense graphs!*
- Not very efficient for sparse (i.e., not dense) graphs.
- Space requirement:  $O(|V|^2)$ .

# Adjacency matrix representation (AMR)



	1	2	3	4	5	6	7	8	9
1	$\infty$	7	$\infty$	8	9	$\infty$	$\infty$	3	$\infty$
2	$\infty$	$\infty$	5	$\infty$	$\infty$	4	9	$\infty$	3
3	$\infty$	$\infty$	$\infty$	6	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
4	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	5
5	$\infty$	4	7	$\infty$	$\infty$	8	3	6	$\infty$
6	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	7
7	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	4	6
8	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	1
9	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	3

Disadvantage: Waste of space for sparse graphs

Advantage: Fast access

# Adjacency List Representation

Assume you have  $n$  vertices.

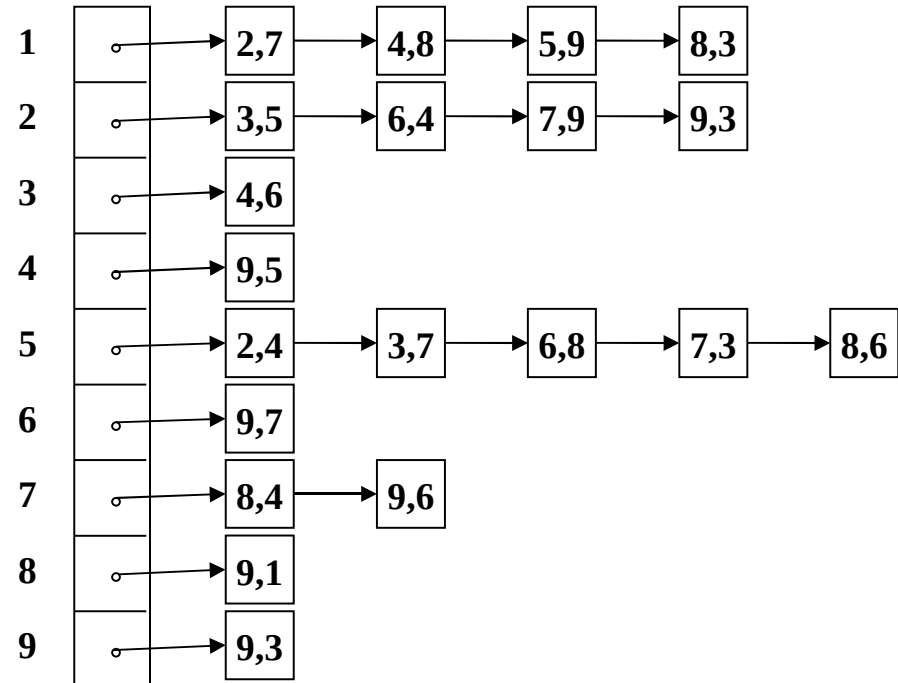
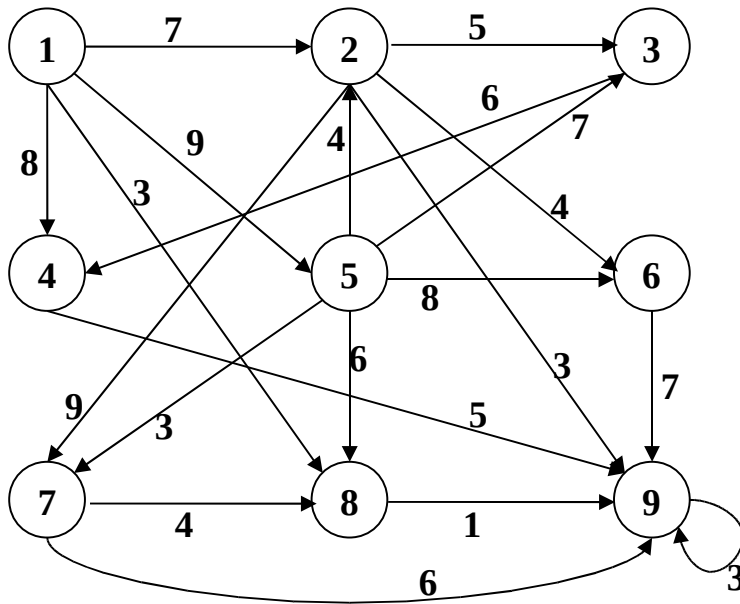
- We employ an array with  $n$  elements, where  $i^{th}$  element represents vertex  $i$  in the graph. Hence, element  $i$  is a header to a list of vertices adjacent to the vertex  $i$ .
- Good for sparse graphs
- Space requirement:  $O(|E| + |V|)$ .

# Adjacency list representation (ALR)

array index: source vertex;

first number: destination vertex;

second number: cost of the corresponding edge



Disadvantage: Sequential search among edges of a node

Advantage: Minimum space requirement

# Topological Sort

- *Topological sort* is an ordering of vertices in an *acyclic digraph* such that if there is a path from  $v_i$  to  $v_j$ , then  $v_j$  appears after  $v_i$  in the ordering.
- Example: course prerequisite requirements.

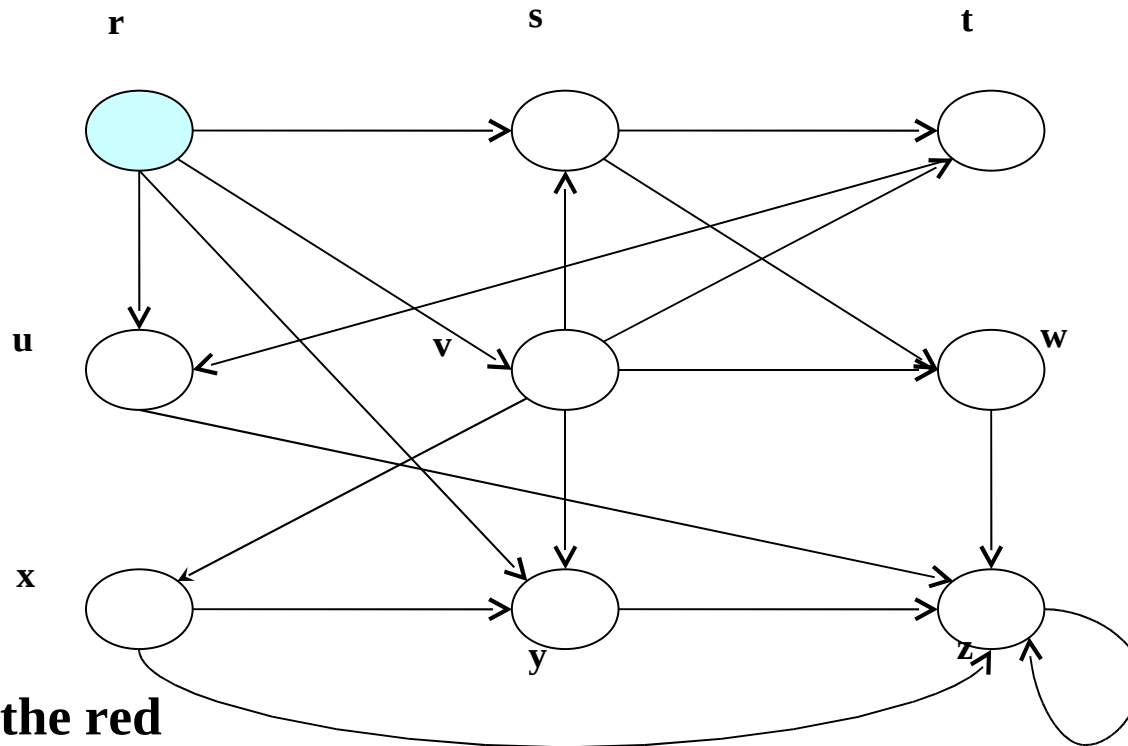
# Algorithm for Topological Sort\*

```
Void Toposort ()
{
    Queue Q; int ctr=0; Vertex v,w;
    Q=createQueue(NumVertex);
    for each vertex v
        if (indegree[v] == 0) enqueue(v,Q);
    while (!IsEmpty(Q)) {
        v=dequeue(Q); topnum[v]=++ctr;
        for each w adjacent to v
            if (--indegree[w] == 0) enqueue(w,Q);
    }
    if (ctr != NumVertex) report error ('graph cyclic!')
    free queue;
}
```

\*From [2]



# An Example to Topological Sort

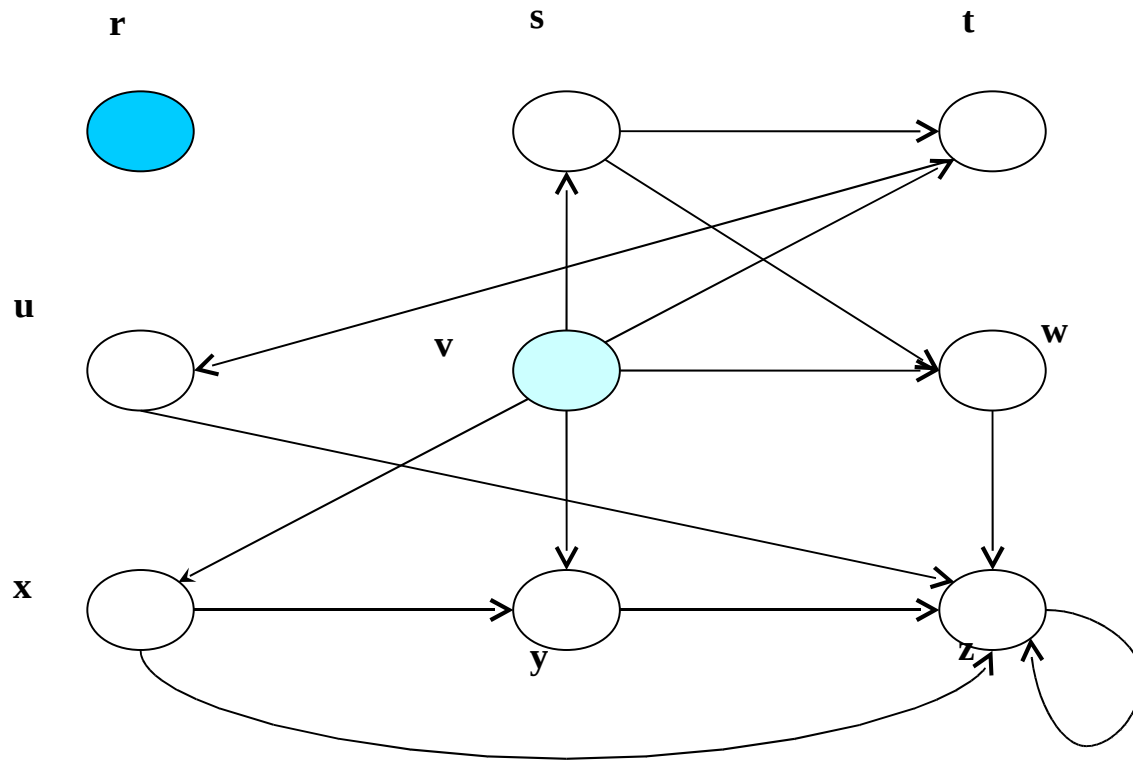


**Q is indicated by the red squares.**

**TN keeps track of the order in which the vertices are processed.**

<b>Q</b>	<div style="border: 1px solid red; padding: 2px; display: inline-block;">r</div>
<b>TN</b>	<div style="border: 1px solid black; width: 40px; height: 30px; display: inline-block;"></div>

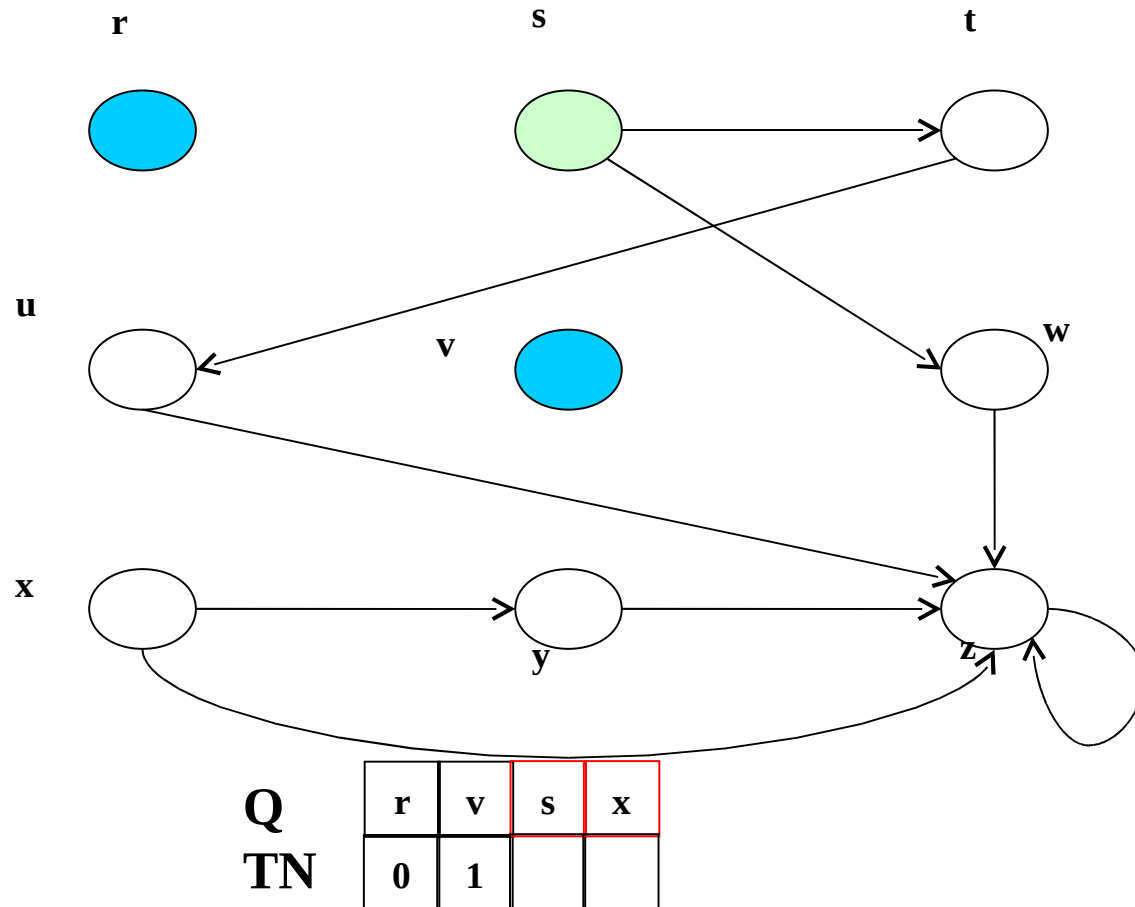
# An Example to Topological Sort



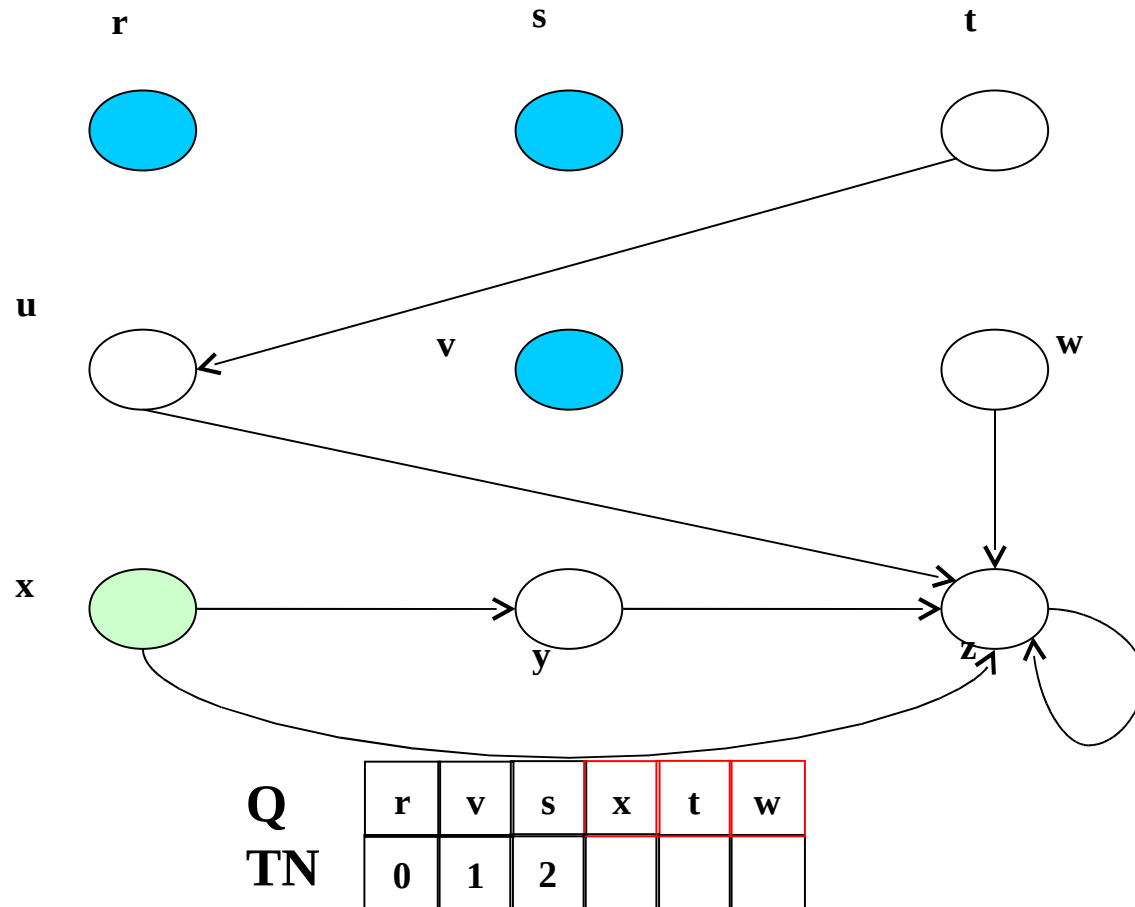
**Q**  
**TN**

r	v
0	

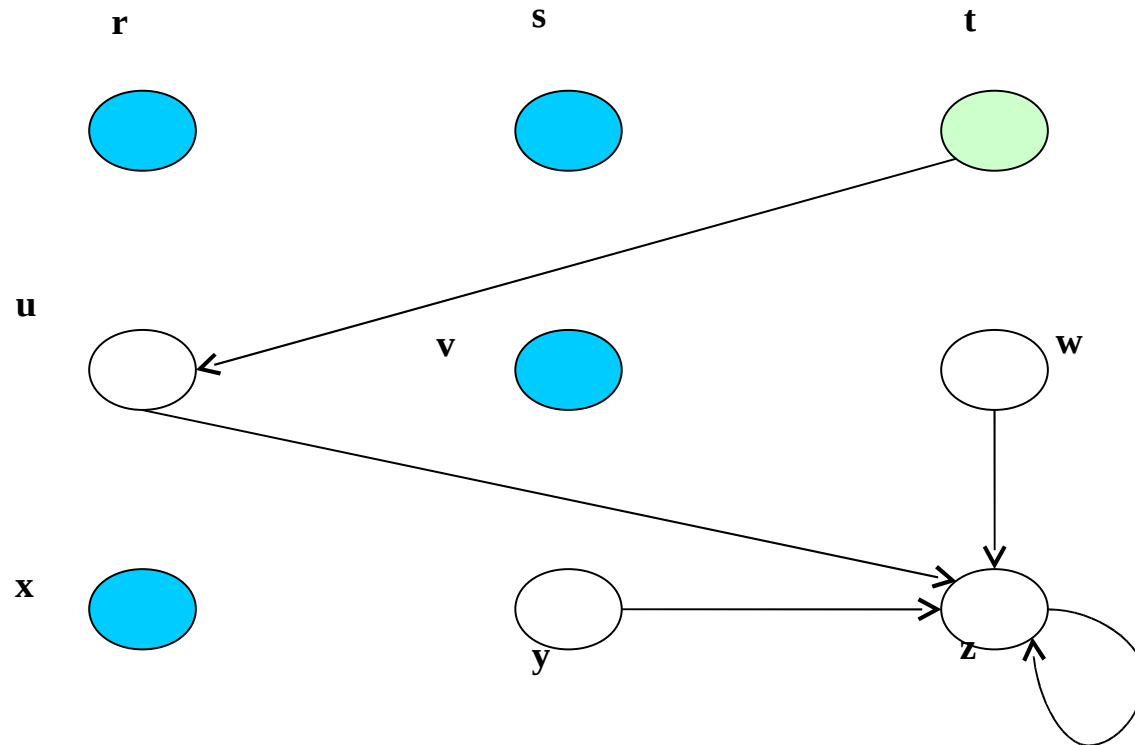
# An Example to Topological Sort



# An Example to Topological Sort

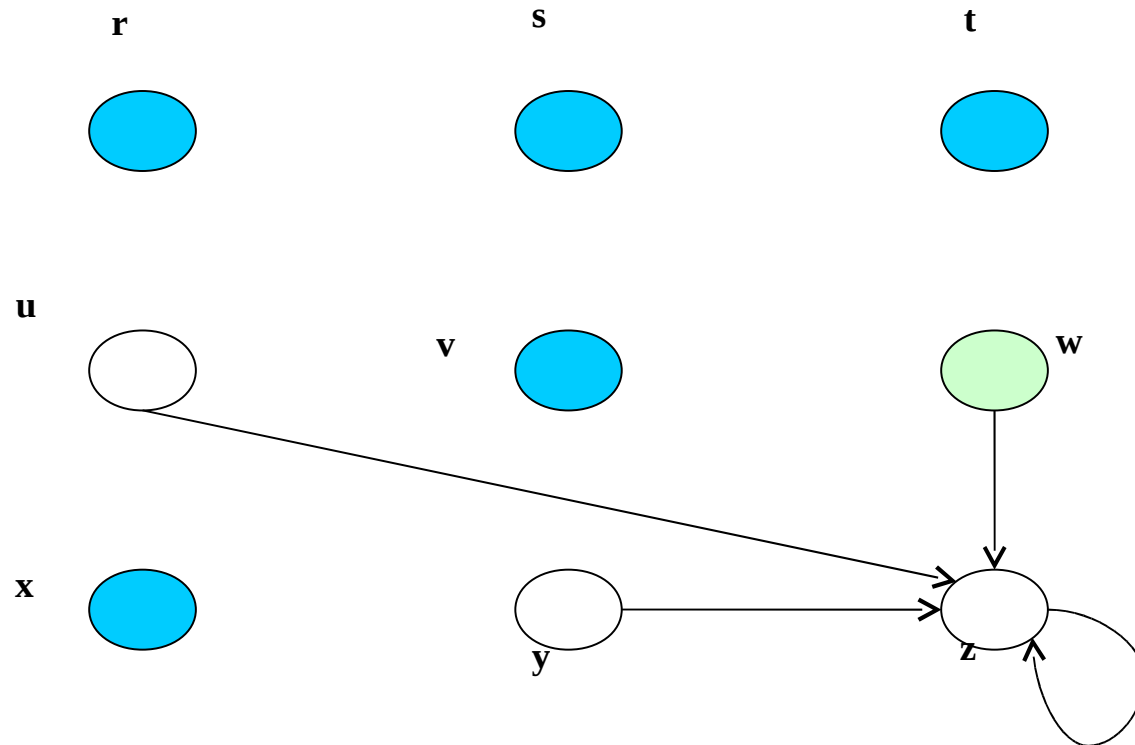


# An Example to Topological Sort



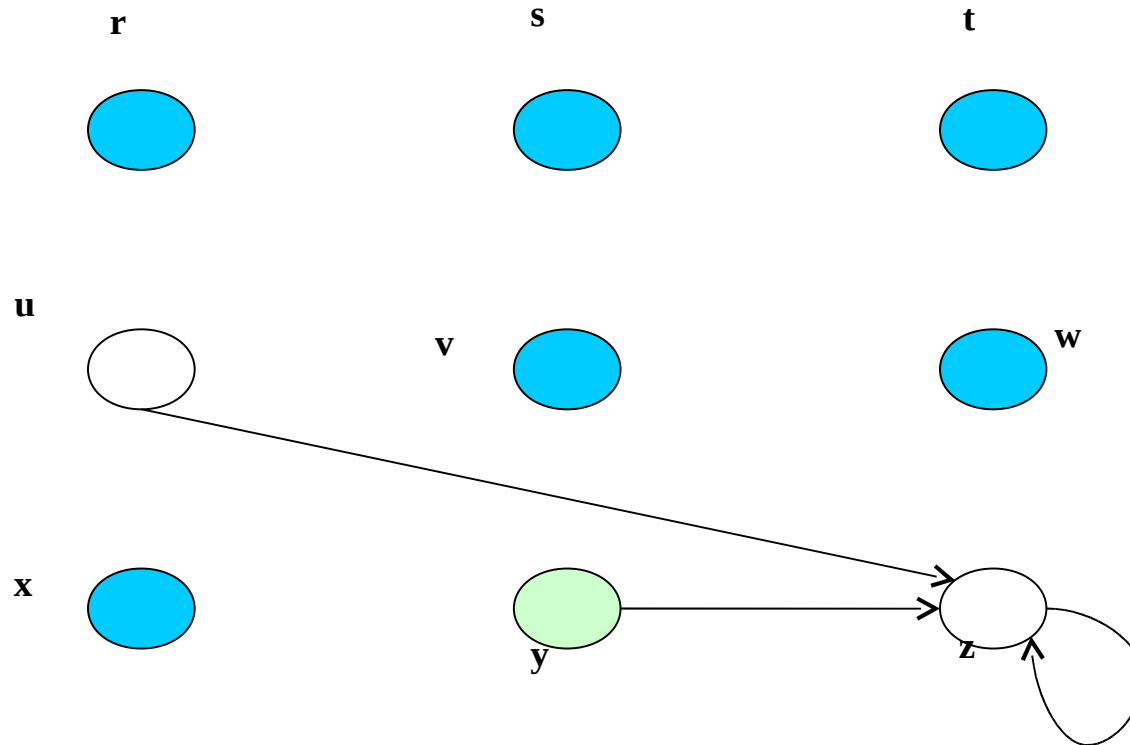
<b>Q</b>	r	v	s	x	t	w	y
<b>TN</b>	0	1	2	3			

# An Example to Topological Sort



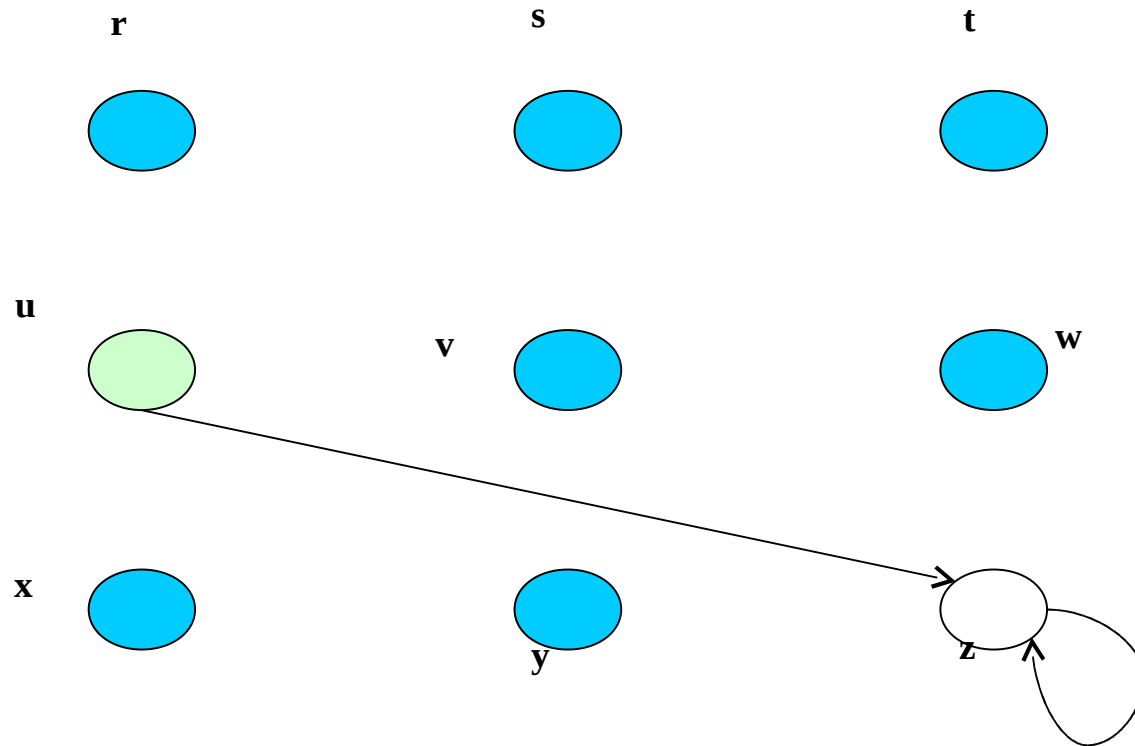
<b>Q</b>	r	v	s	x	t	w	y	u
<b>TN</b>	0	1	2	3	4			

# An Example to Topological Sort



<b>Q</b>	r	v	s	x	t	w	y	u
<b>TN</b>	0	1	2	3	4	5		

# An Example to Topological Sort

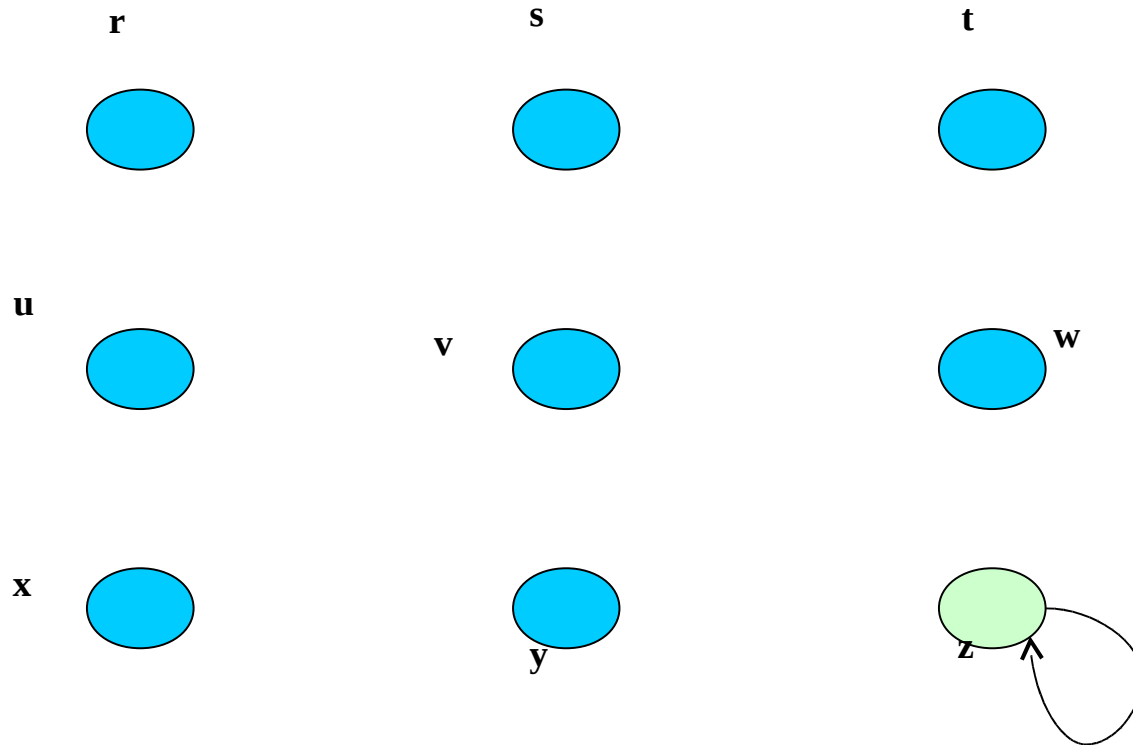


$Q$   
TN

r	v	s	x	t	w	y	u
0	1	2	3	4	5	6	

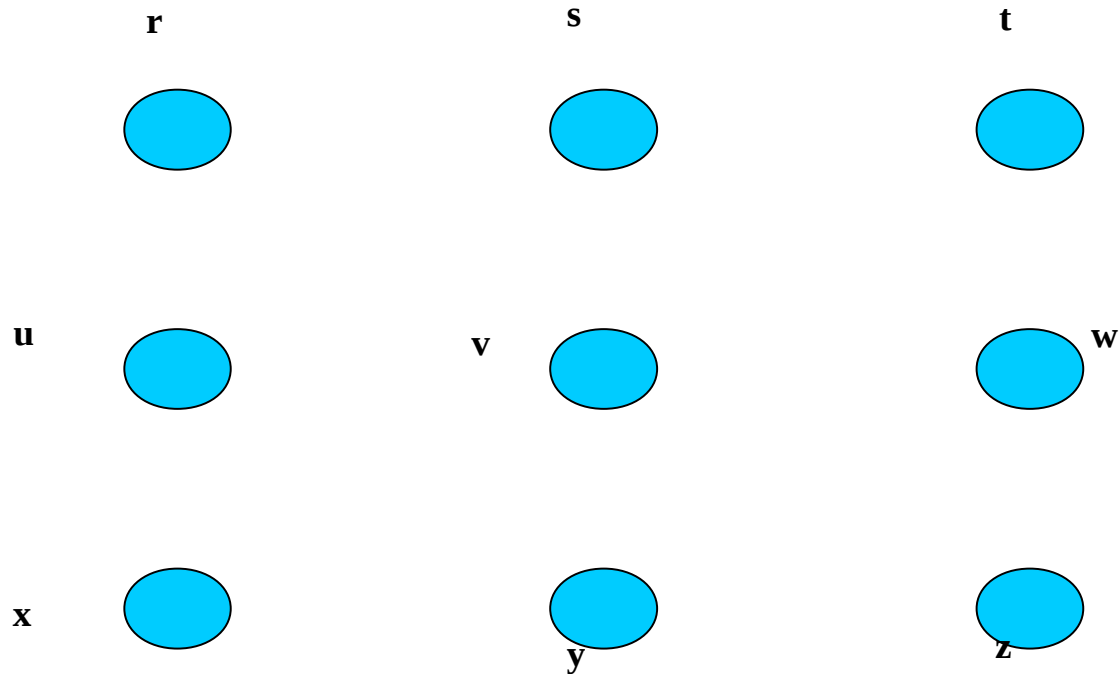


# An Example to Topological Sort



<b>Q</b>	r	v	s	x	t	w	y	u	z
<b>TN</b>	0	1	2	3	4	5	6	7	

# An Example to Topological Sort



<b>Q</b>	r	v	s	x	t	w	y	u	z
<b>TN</b>	0	1	2	3	4	5	6	7	8

# Breadth-First Search (BFS)

- Given a graph,  $G$ , and a source vertex,  $s$ , breadth-first search (BFS) checks to discover every vertex reachable from  $s$ .
- BFS discovers vertices reachable from  $s$  in a **breadth-first** manner.
- That is, vertices a distance of  $k$  away from  $s$  are systematically discovered before vertices reachable from  $s$  through a path of length  $k+1$ .

# Breadth-First Search (BFS)

- To follow how the algorithm proceeds, BFS colors each vertex white, gray or black.
- **Unprocessed nodes** are colored **white** while **vertices discovered** (encountered during search) turn to **gray**. **Vertices processed** (i.e., vertices with all neighbors discovered) become **black**.
- Algorithm terminates when all vertices are visited.

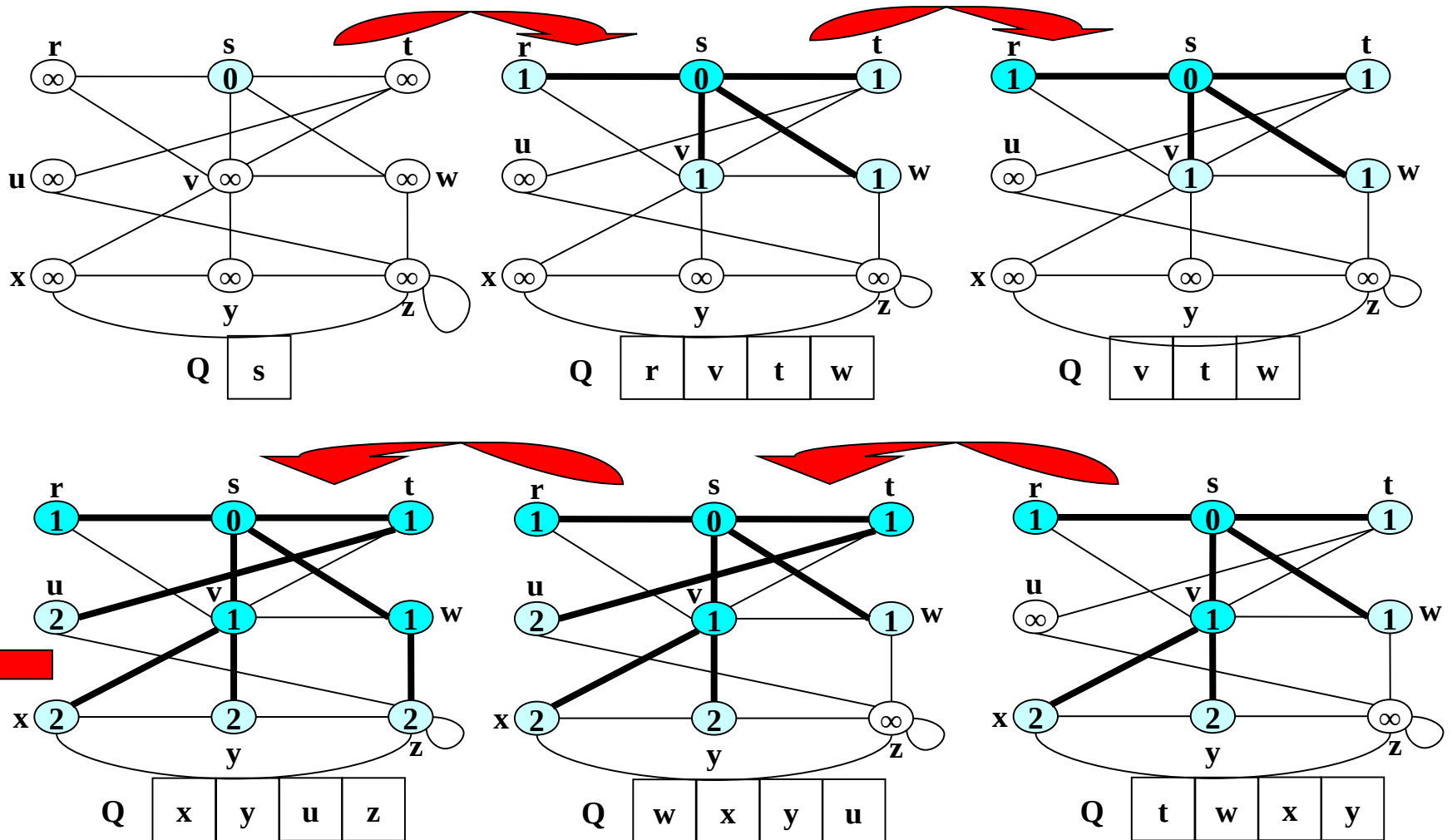
# Algorithm for Breadth-First Search\*

```
BFS(Graph G, Vertex s)
{
// initialize all vertices
for each vertex  $u \in V[G] - \{s\}$  {
    color[u]=white;
    dist[u]= $\infty$ ;
    from[u]=NULL;
}
color[s]=gray;
dist[s]=0;
from[s]=NULL;
Q={}; enqueue(Q,s);
```

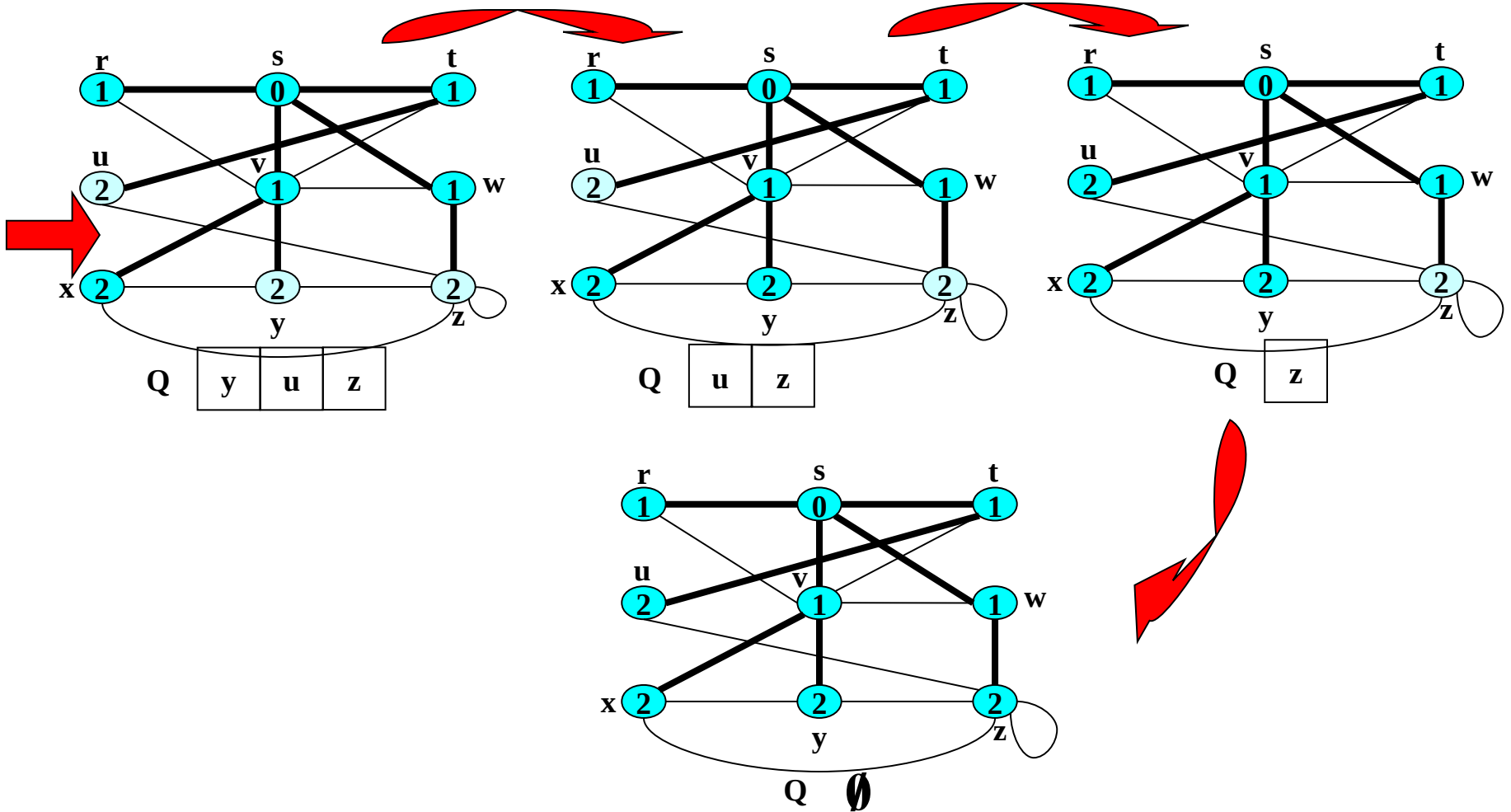
\*From [1]

```
while (!isEmpty(Q)) {
    u=dequeue(Q);
    for each  $v \in \text{Adj}[u]$ 
        if (color
[v]==white) {
            color[v]=gray;
            dist[v]=dist[u]
+1;
            from[v]=u;
            enqueue(Q,v);
        }
    color[u]=black;
}
```

# An Example to BFS



# Rest of Example



# Depth-First Search (DFS)

- Unlike in BFS, *depth-first search* (DFS), performs a search going deeper in the graph.
- The search proceeds discovering vertices that are deeper on a path and looks for any left edges of the most recently discovered vertex  $u$ .
- If all edges of  $u$  are found, DFS backtracks to the vertex  $t$  which  $u$  was discovered from to find the remaining edges.



# Algorithm for Depth-First Search\*

```
DFS(Graph G, Vertex s)
{
// initialize all vertices
  for each vertex  $u \in V[G]$ 
    color[u]=white;
    from[u]=NULL;
  }
  time=0;
  for each vertex  $u \in V[G]$ 
    if (color[u]==white)
      DFS-visit(u);
}
```

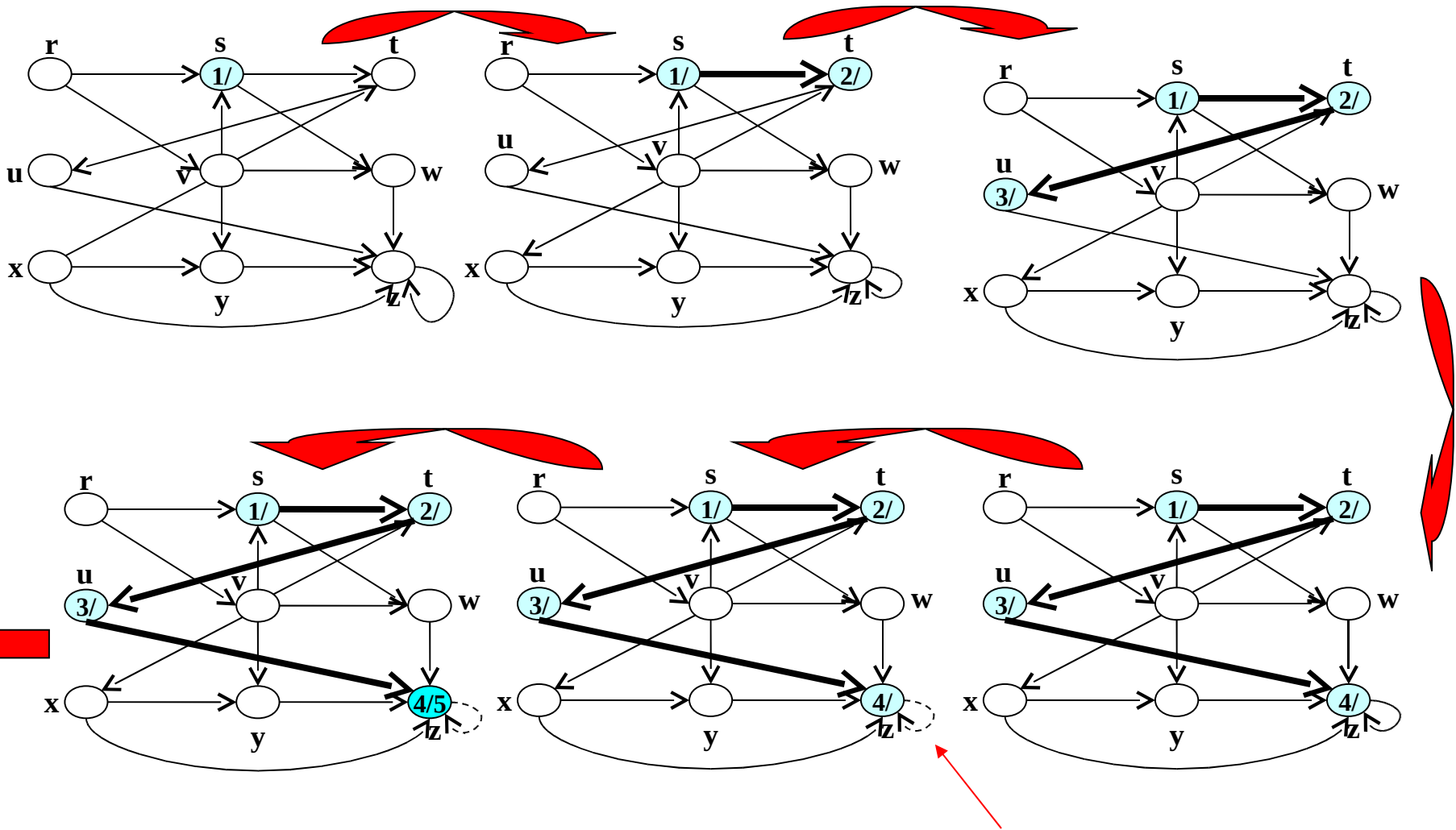
```
DFS-visit(u)
{
  color[u]=gray; //u just discovered
  time++;
  d[u]=time;
  for each  $v \in \text{Adj}[u]$  //check edge
    (u,v)
      if (color[v] == white) {
        from[v]=u;
        DFS-visit(v); //recursive
        call
      }
  color[u]=black; // u is done
  processing
  f[u] = time++;
}
```

\*From [1]

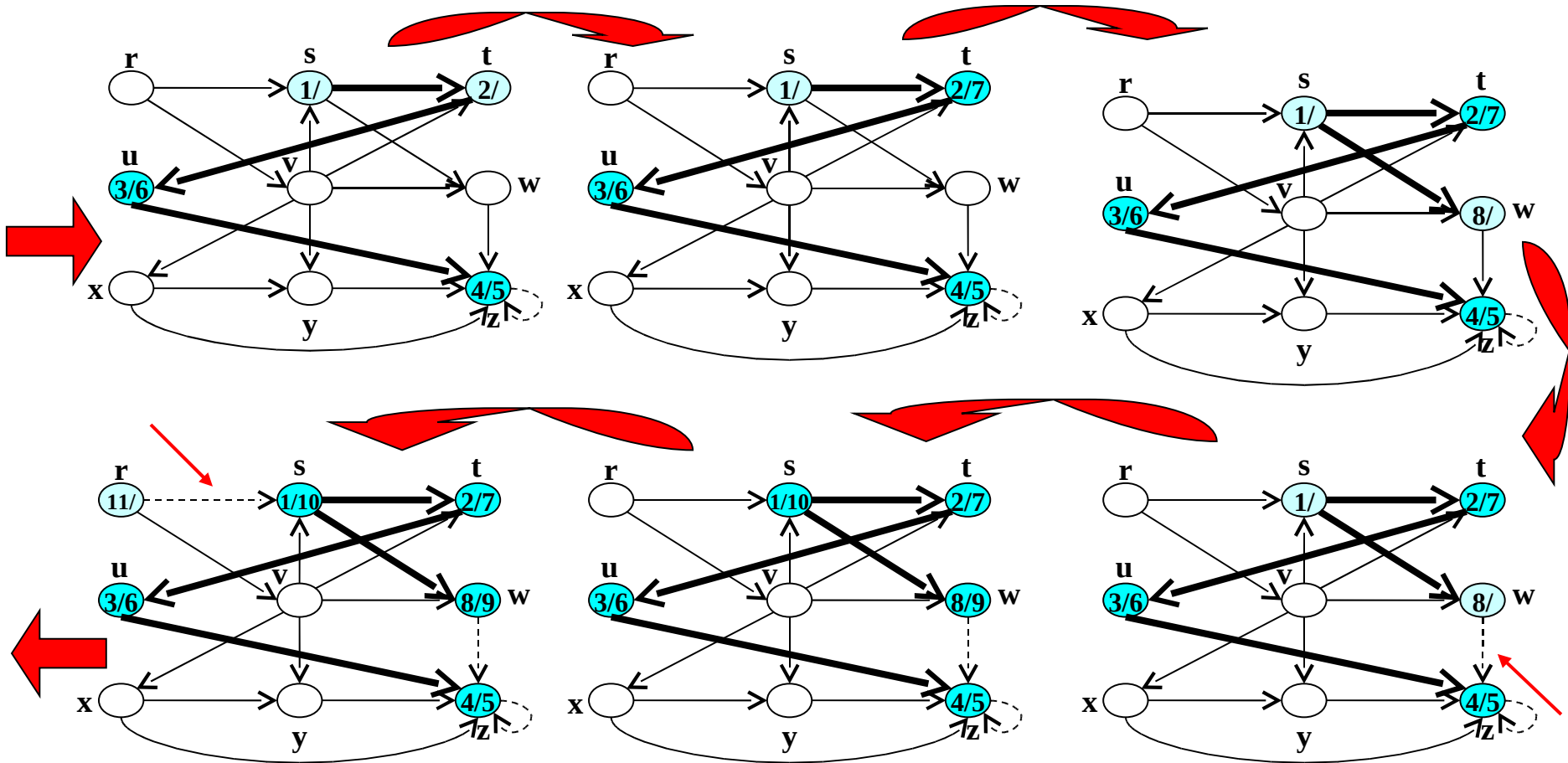
# Depth-First Search

- The function  $\text{DFS}()$  is a “manager” function calling the recursive function  $\text{DFS-visit}(u)$  for each vertex in the graph.
- $\text{DFS-visit}(u)$  starts by **graying** the vertex  $u$  just discovered. Then it recursively visits and discovers (and hence grays) all those nodes  $v$  in the adjacency set of  $u$ ,  $\text{Adj}[u]$ . At the end,  $u$  is finished processing and turns to **black**.
- time in  $\text{DFS-visit}(u)$  time-stamps each vertex  $u$  when
  - $u$  is discovered using  $d[u]$
  - $u$  is done processing using  $f[u]$ .

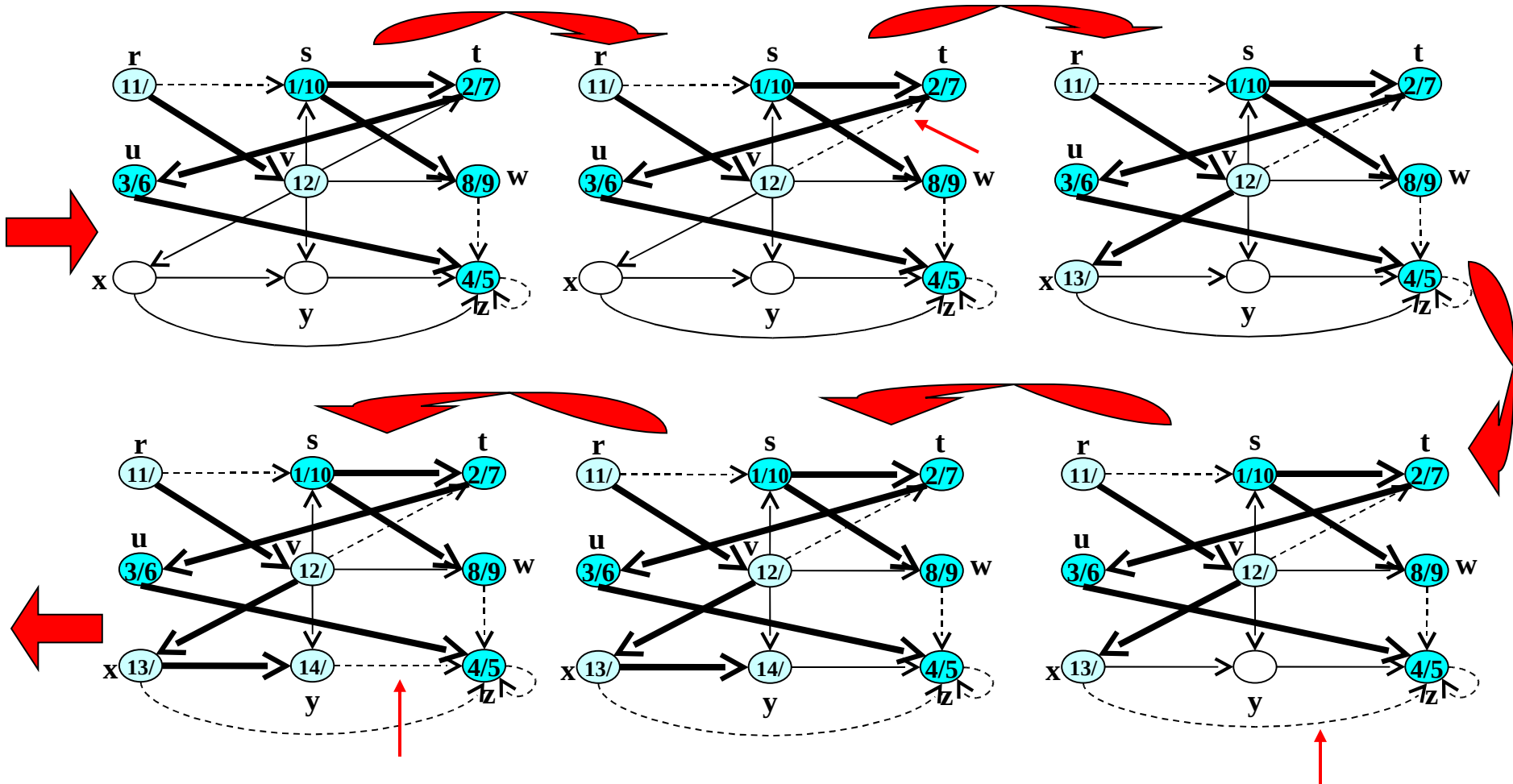
# An example to DFS



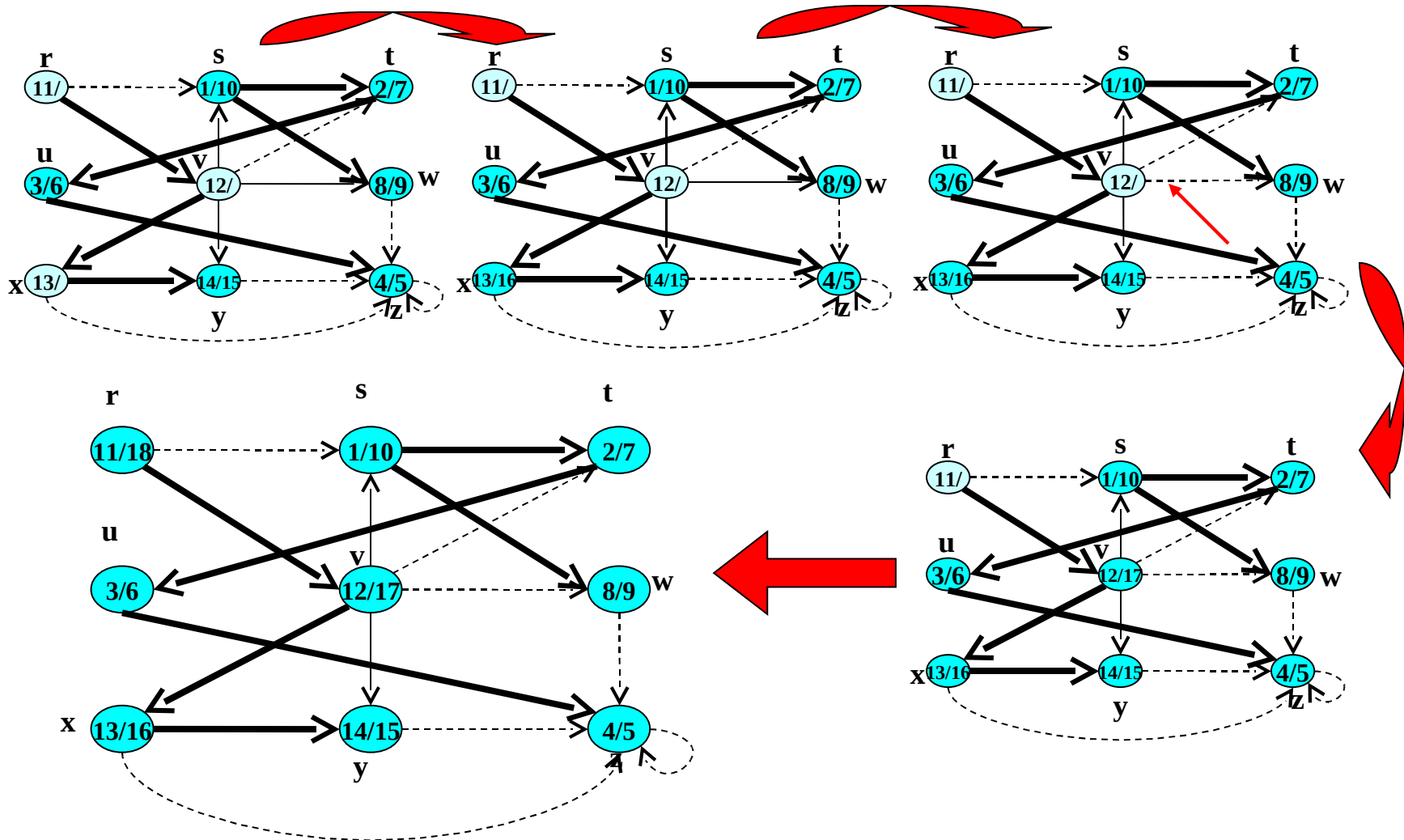
# Example cont'd...



# Example cont'd...



# End of Example



# Single-Source Shortest Paths (SSSP)

- SSSP Problem:
- Given a weighted digraph  $G(V, E)$ , we need to efficiently find the shortest path

$$p^* = (u_i, u_{i+1}, \dots, u_j, \dots, u_{k-1}, u_k)$$

between two vertices  $u_i$  and  $u_k$ .

- The shortest path  $p^*$  is the path with the minimum weight among all paths  $p_l = (u_i, \dots, u_k)$ , or

$$w(p^*) = \min_l [w(p_l)]$$

# Dijkstra's Algorithm

- Dijkstra's algorithm solves the SSSP problem on a weighted digraph  $G=(V,E)$  *assuming no negative weights* exist in  $G$ .
- Input parameters for Dijkstra's algorithm
  - the graph  $G$ ,
  - the weights  $w$ ,
  - a source vertex  $s$ .
- It uses
  - a set  $V_F$  holding vertices with final shortest paths from the source vertex  $s$ .
  - $\text{from}[u]$  and  $\text{dist}[u]$  for each vertex  $u \in V$  as in BFS.
  - A min-heap  $Q$

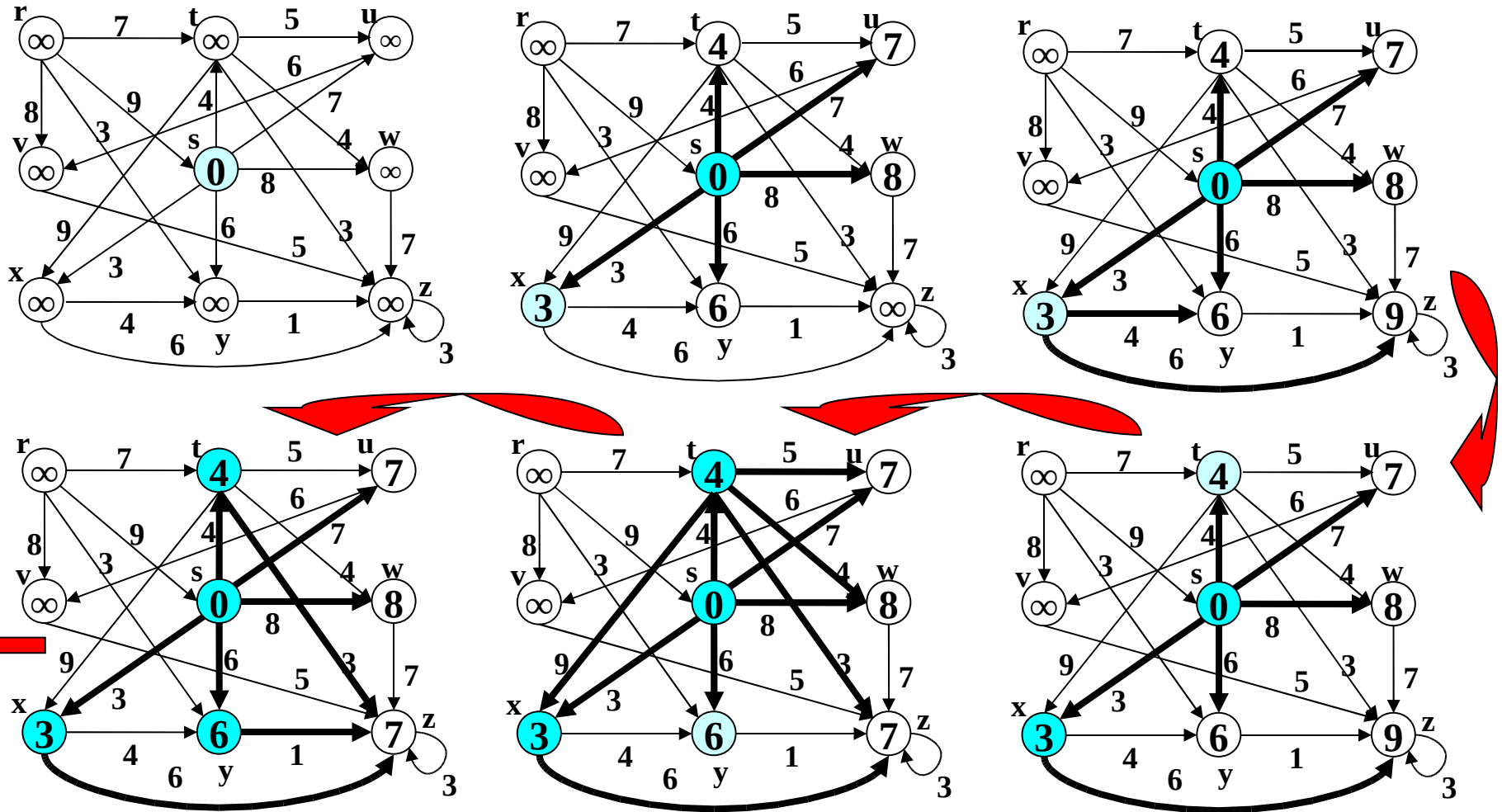


# Dijkstra's Algorithm

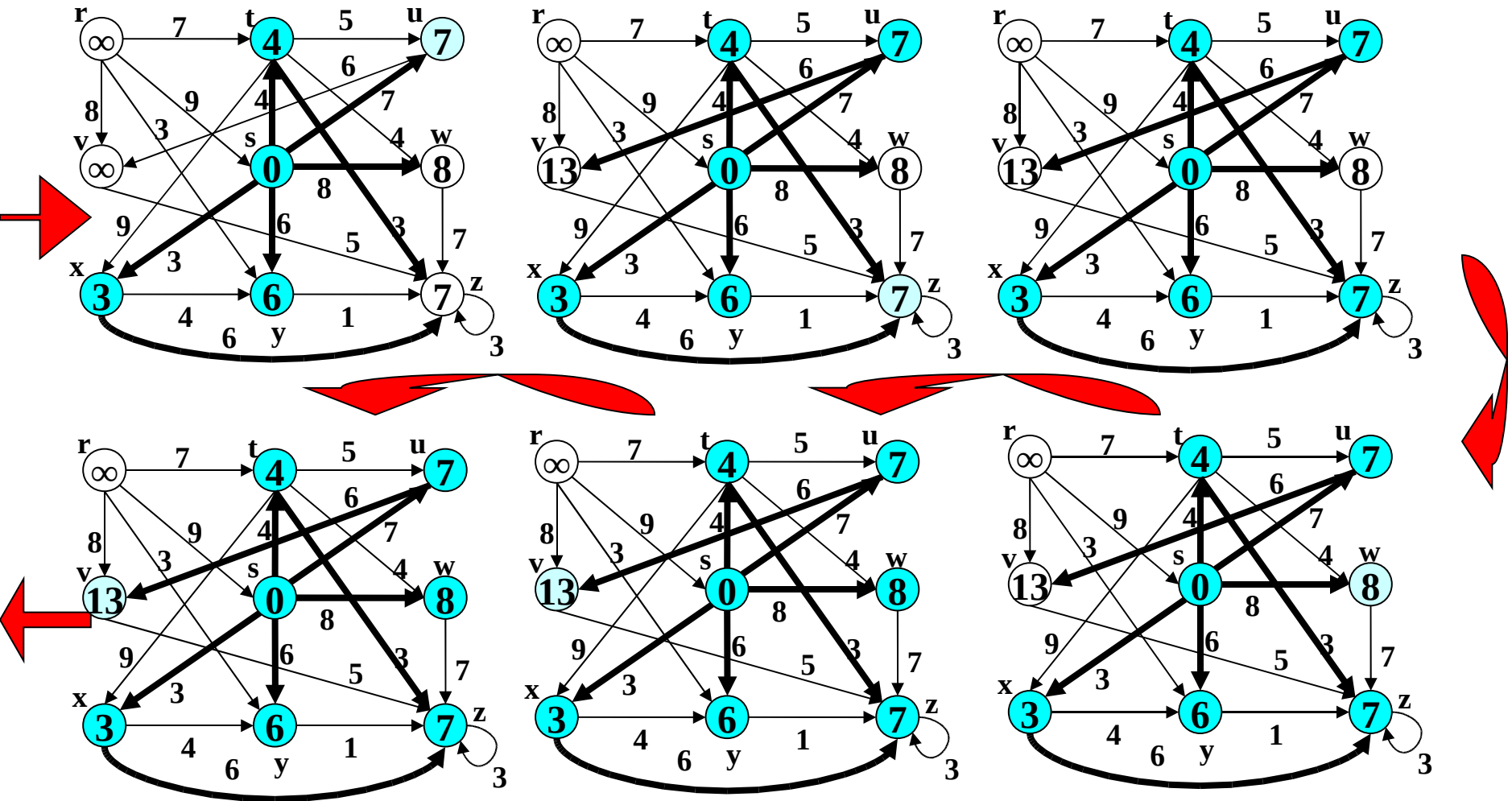
```
Dijkstra(Graph G,  
    Weights w, Vertex s)  
{  
    for each vertex  $u \in V[G]$  {  
        dist[u] =  $\infty$ ;  
        from[u] = NULL;  
    }  
    dist[s] = 0;  
     $V_F = \emptyset$ ;  
    Q = all vertices  $u \in V$  ;
```

```
    while (!IsEmpty(Q)) {  
        u = deleteMin(Q);  
        add u to  $V_F$  ;  
        for each vertex  $v \in \text{Adj}(u)$   
            if (dist[v] > dist[u]  
+ w(u,v)) {  
                dist[v] = dist[u]  
+ w(u,v);  
                from[v] = u;  
            }  
        } // end of while  
    } //end of function
```

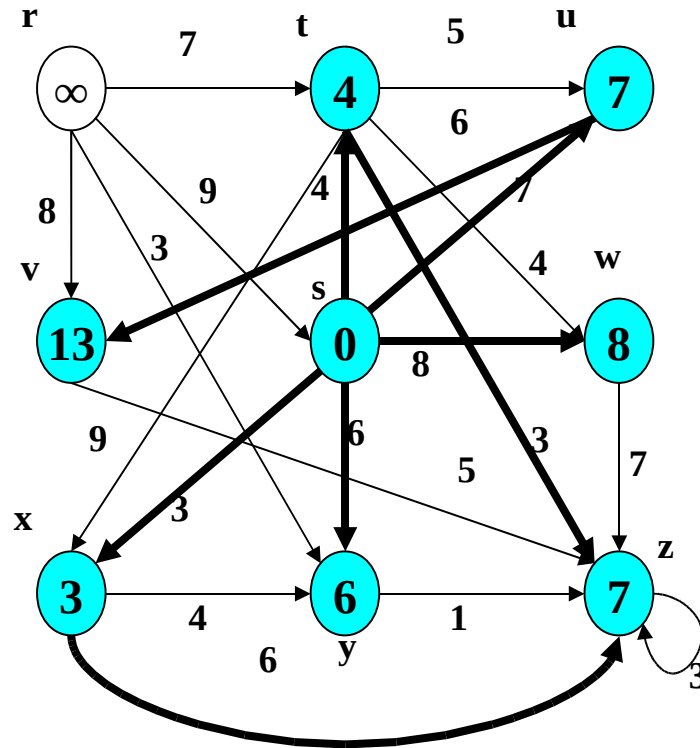
# Dijkstra's Algorithm – An Example



# Dijkstra's Algorithm – An Example



# Resulting Shortest Paths



**Note that  $r$  is not reachable from  $s$ !**

# Minimum Spanning Trees (MSTs)

- Problem:
- Given a *connected weighted undirected graph*  $G=(V,E)$ , find an acyclic subset  $S \subseteq E$ , such that  *$S$  connects all vertices in  $G$  and the sum of the weights of the edges in  $S$  are minimum.*
- The solution to the problem is provided by a *minimum spanning tree*.

# Minimum Spanning Trees (MSTs)

- MST is
  - a *tree* since it connects all vertices by an *acyclic subset* of  $S \subseteq E$ ,
  - *spanning* since it *spans the graph* (connects all its vertices)
  - *minimum* since its weights are minimized.

# Prim's Algorithm

- Prim's algorithm operates *similar to Dijkstra's* algorithm to find shortest paths.
- Prim's algorithm *proceeds always with a single tree*.
- It starts with an arbitrary vertex  $t$ .
- It progressively connects an isolated vertex to the existing tree by adding the edge with the minimum possible weight to the tree.

# Prim's Algorithm

```

Prim(Graph G,
      Weights w, Vertex t)
{
  for each vertex  $u \in V[G]$  {
    dist[u] =  $\infty$ ;
    from[u] = NULL;
  }
  dist[t] = 0;
   $V_F = \emptyset$ ;
  Q = all vertices  $u \in V$ ;

```

```

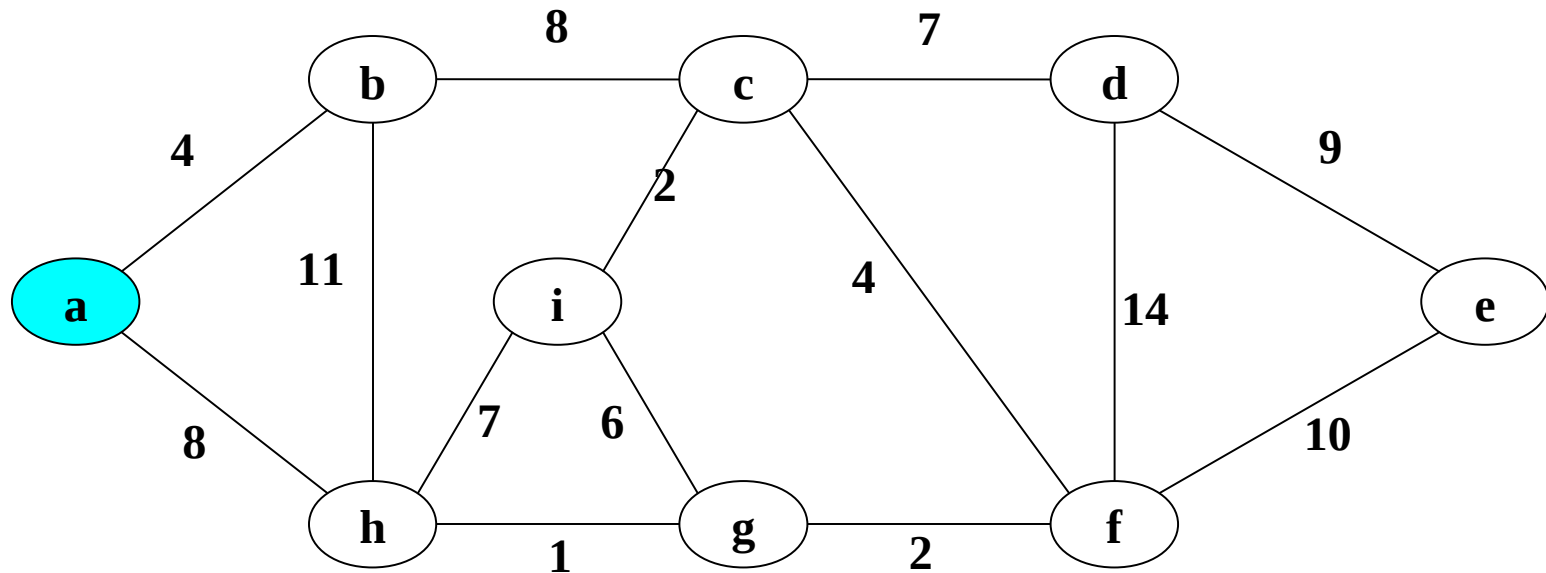
  while (!IsEmpty(Q)) {
     $O(V \lg V)$ 
     $u = \text{deletemin}(Q)$ ;
    add u to  $V_F$ ;
    for each vertex  $v \in \text{Adj}(u)$ 
       $O(E)$ 
      if ( $v \in Q$  and
           $w(u,v) < \text{dist}[v]$ ) {
        dist[v] =  $w(u,v)$ ;
         $O(\lg V)$ 
        from[v] = u;
      }
    } // end of while
  } //end of function

```

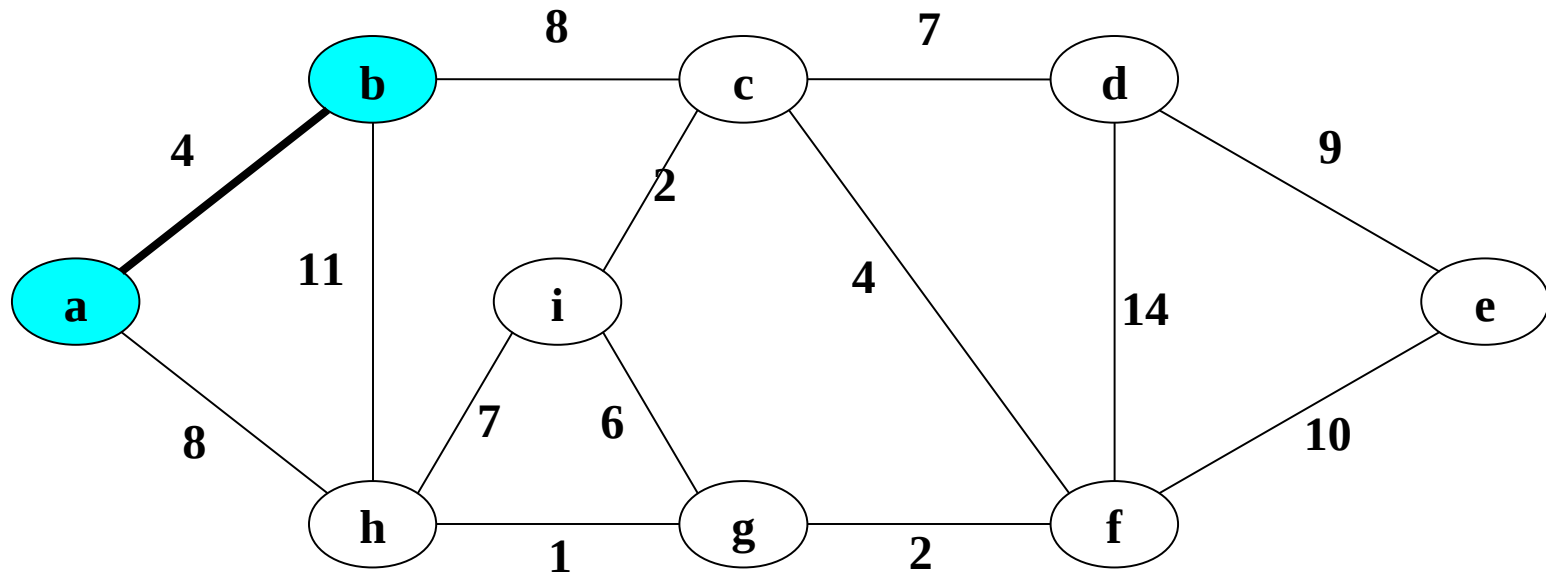
**Running Time:**  $O(V \lg V + E \lg V) = O(E \lg V)$



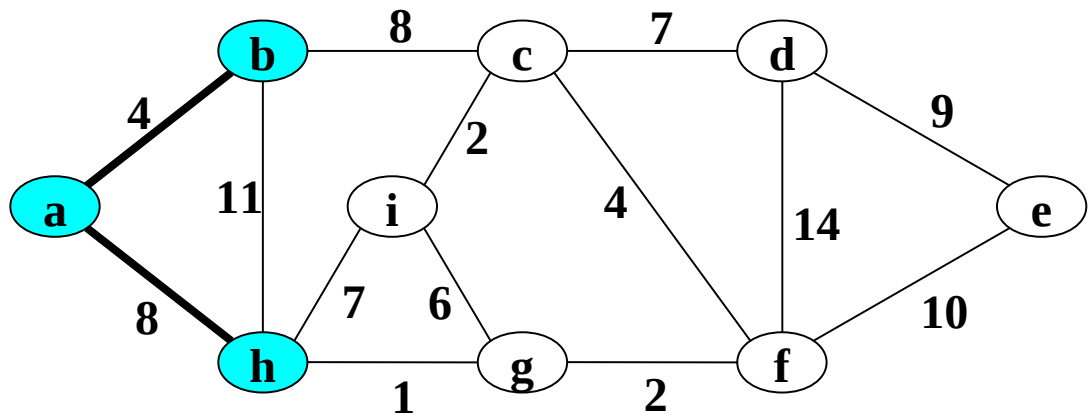
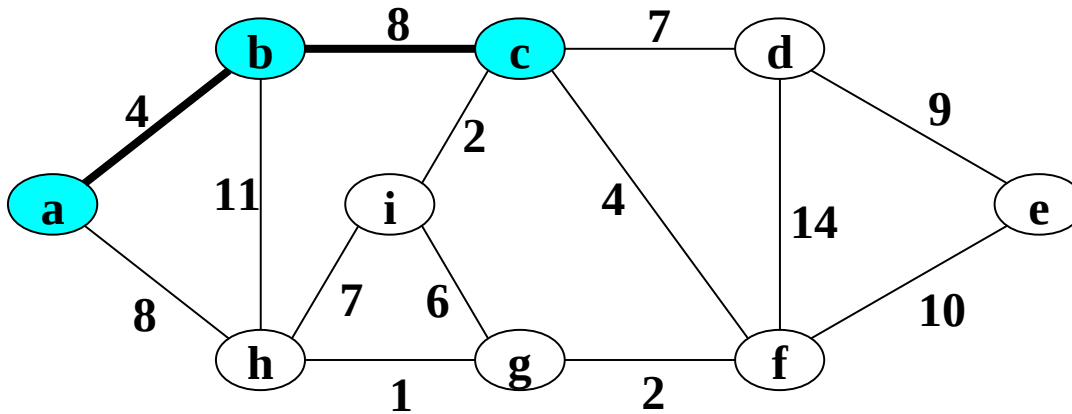
# Prim's Algorithm - Example



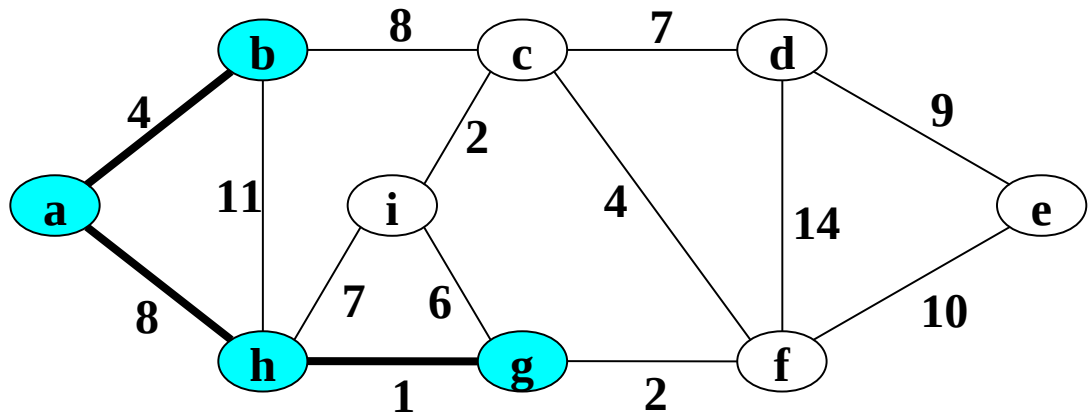
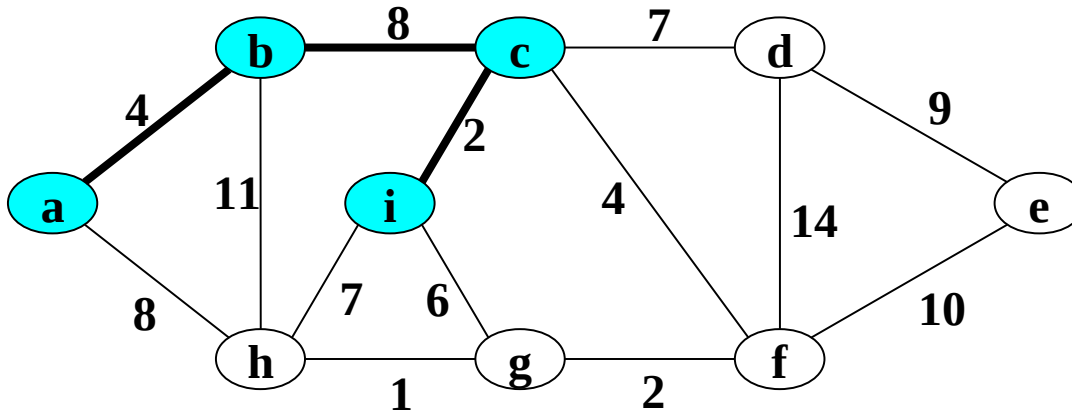
# Prim's Algorithm - Example



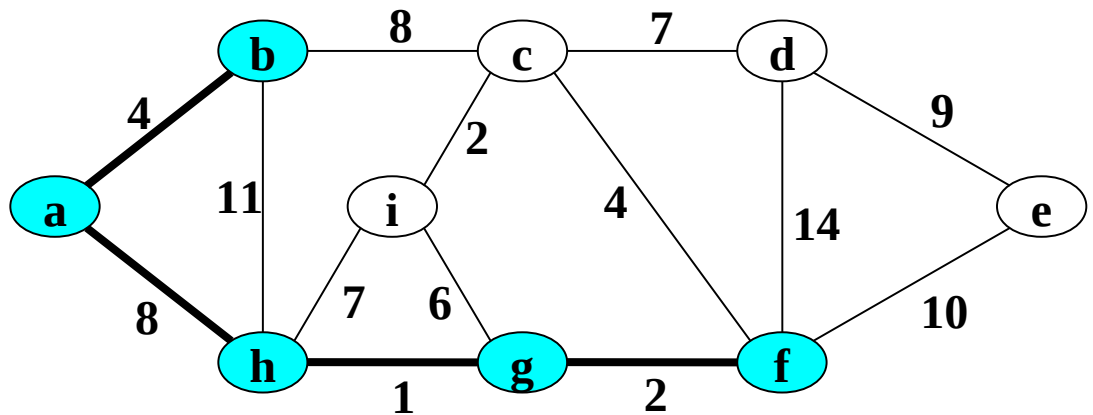
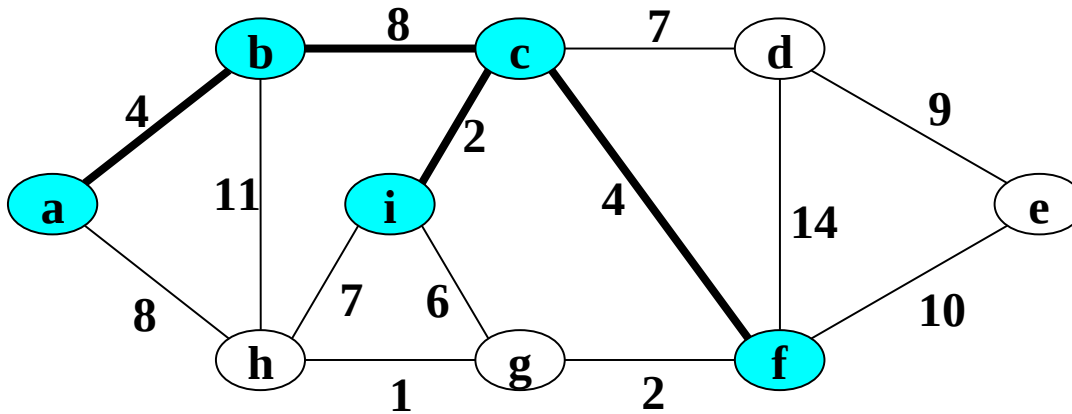
# Prim's Algorithm - Example



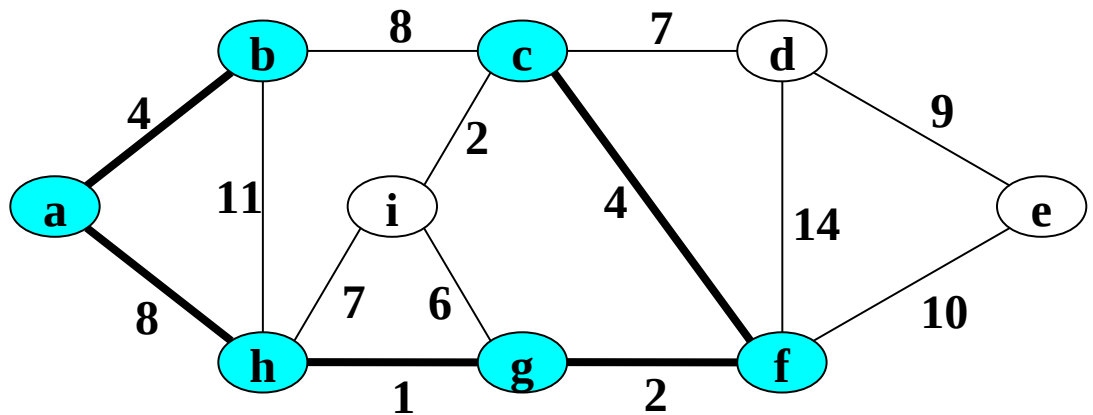
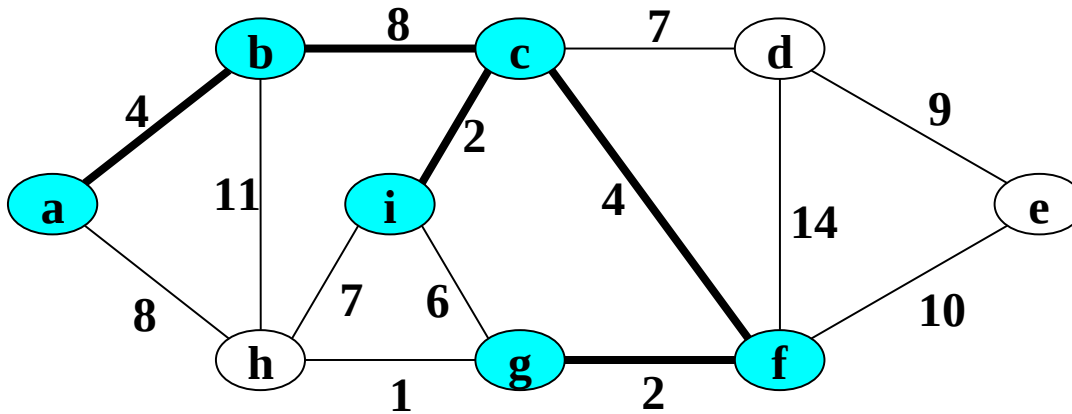
# Prim's Algorithm - Example



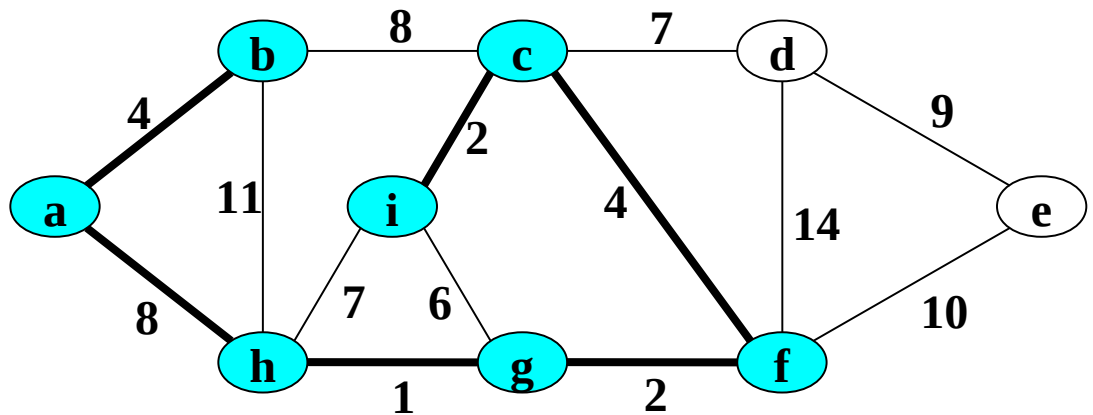
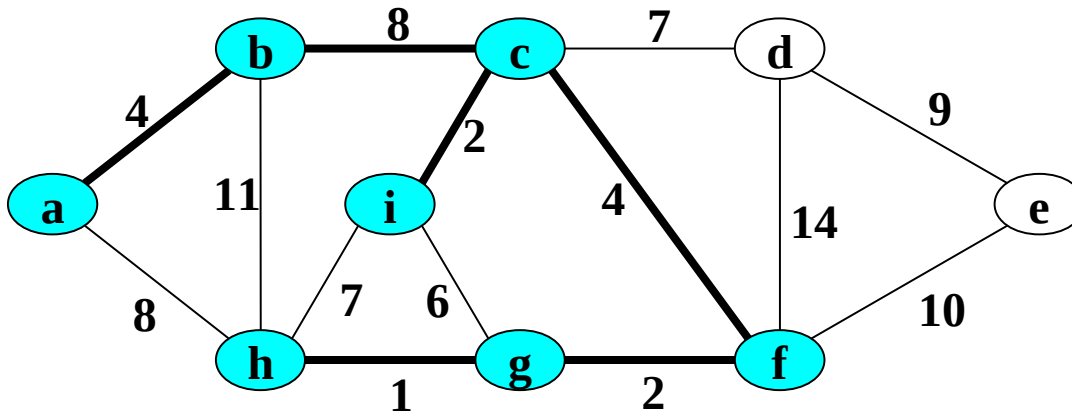
# Prim's Algorithm - Example



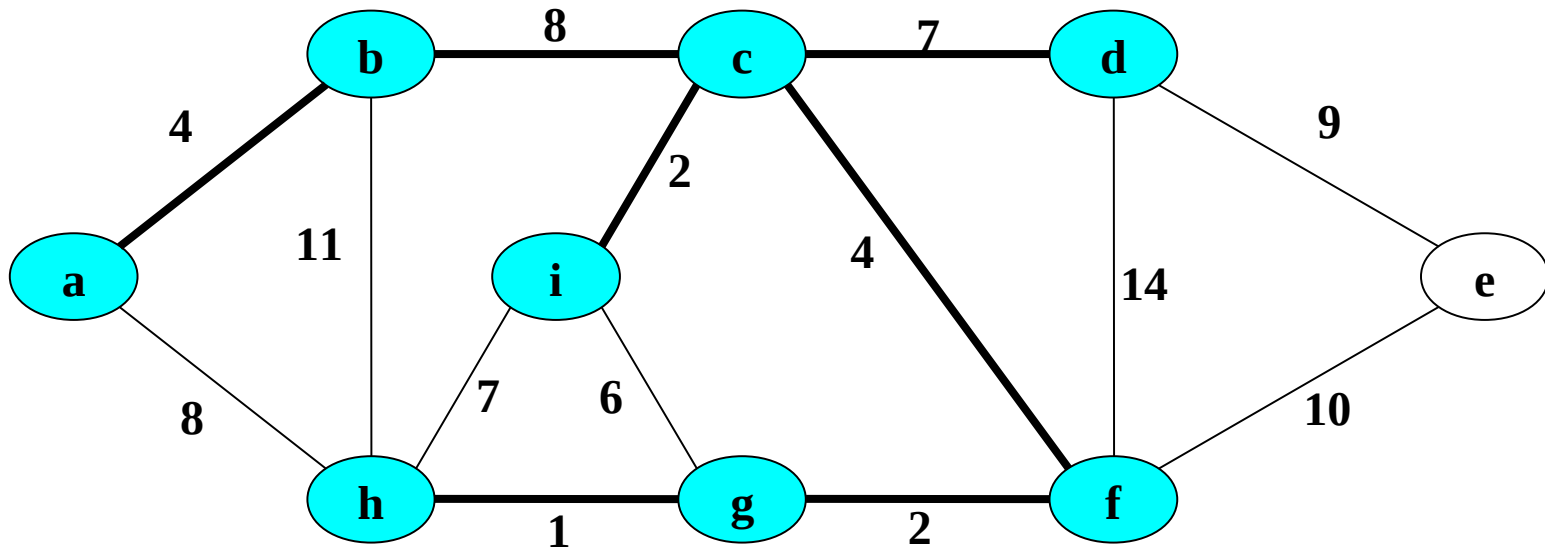
# Prim's Algorithm - Example



# Prim's Algorithm - Example

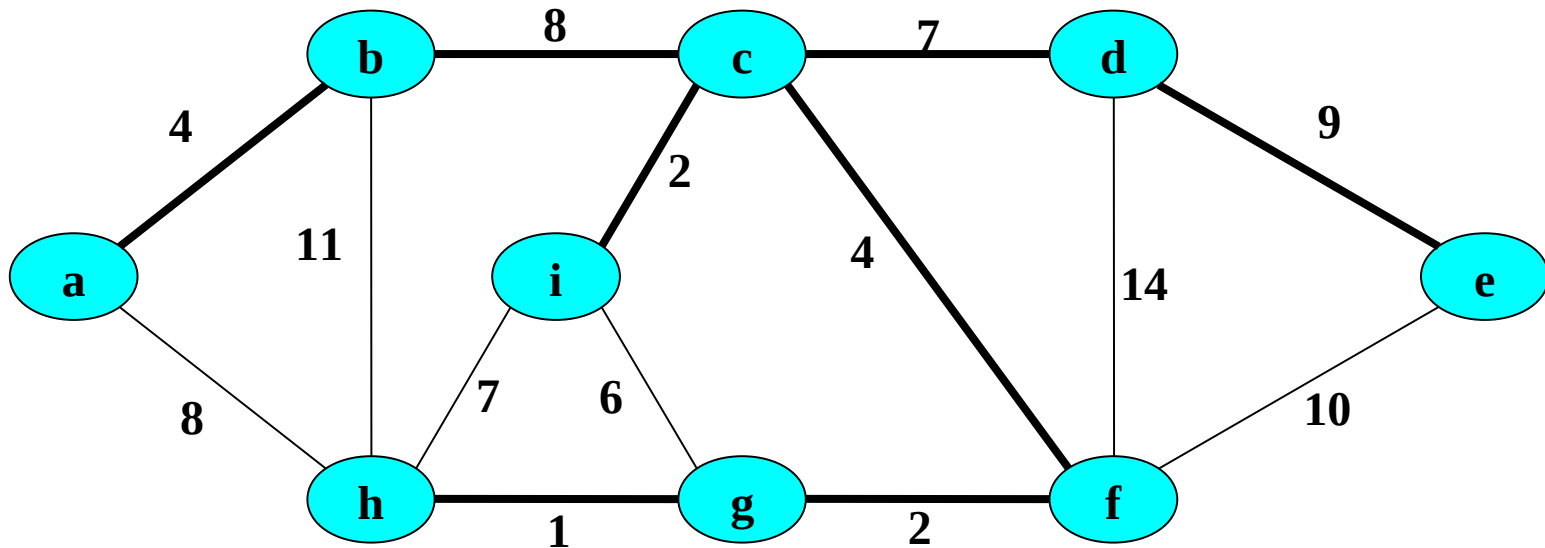


# Prim's Algorithm - Example





# Prim's Algorithm - Example



# Kruskal's Algorithm

- Kruskal's Algorithm is *another greedy algorithm*.
- It is about *finding the least weight and connecting with that two trees in the forest*.
- *Initially*, there exists *a forest of many single-node trees*.

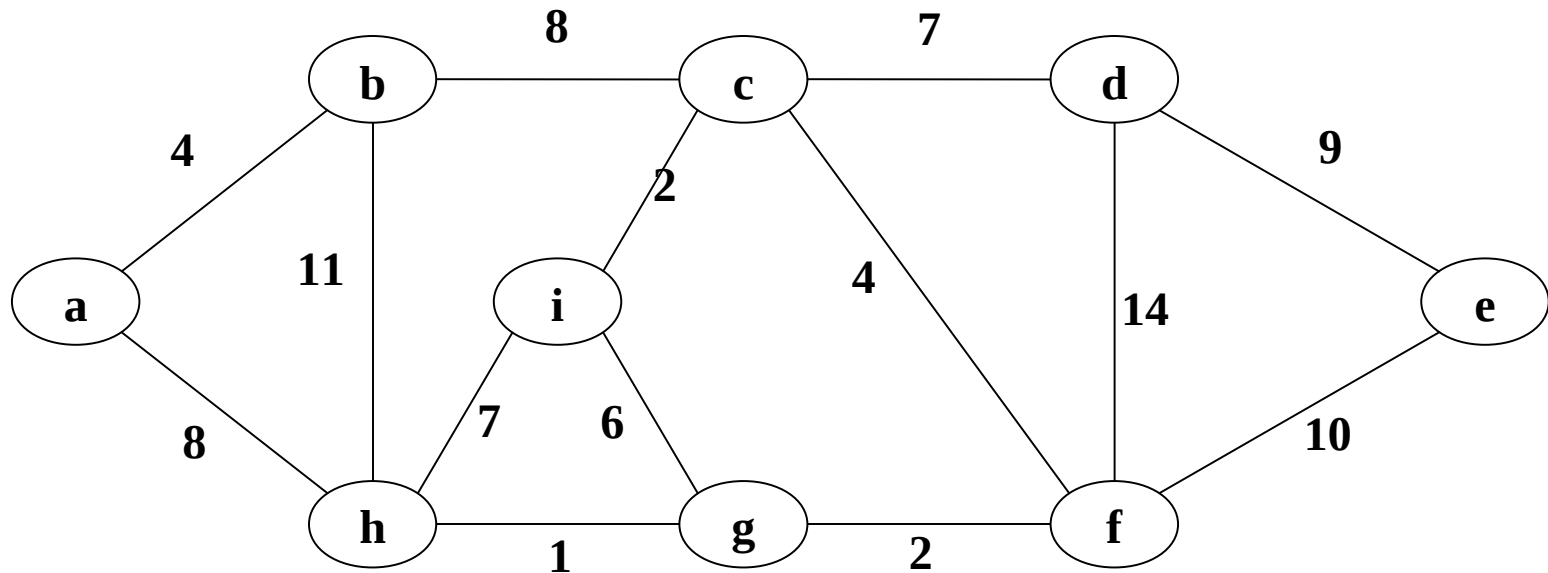
# Kruskal's Algorithm

Kruskal(Graph G,  
Weights w)

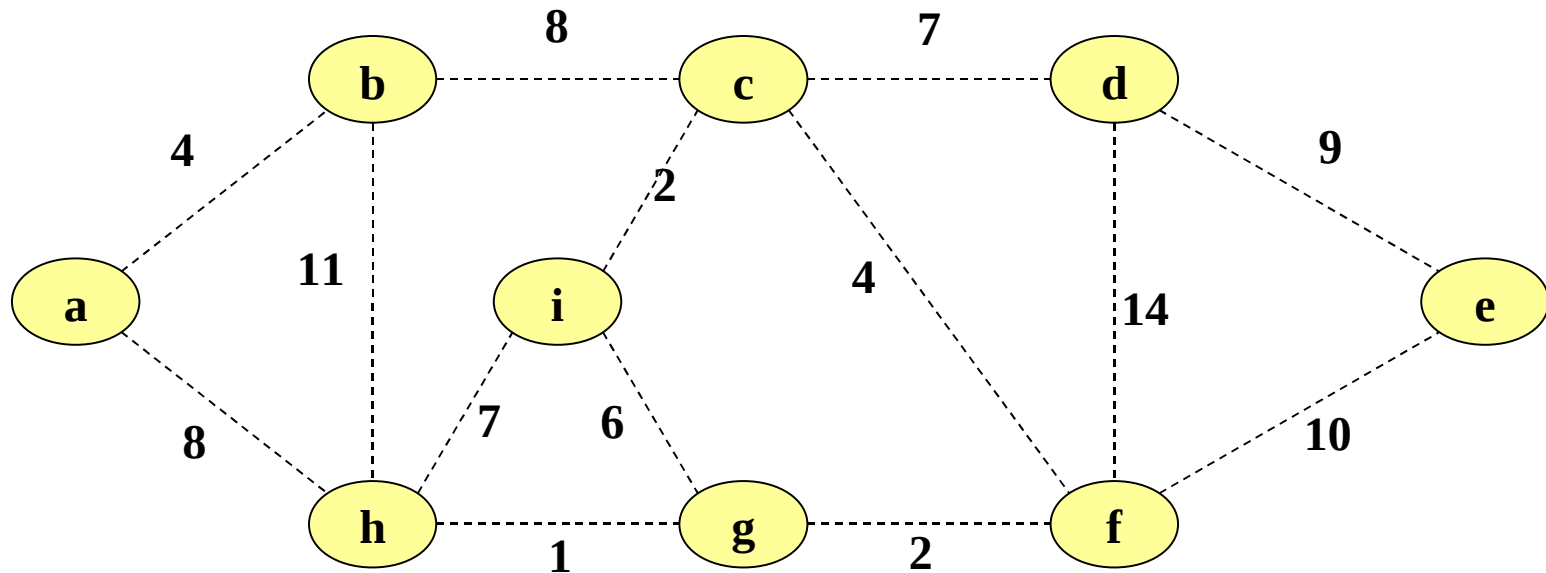
```
{  
  for each vertex  $u \in V[G]$  {  
    make each vertex to a single-element tree;  
  }  
  sort edges in ascending order by their weight w; O(E \lg E)  
  for each edge  $(u, v) \in E$  O(E)  
    if (u and v are in two different trees) { \lg E = O(\lg V)  
      add (u,v) to the MST; since  $|E| < |V|^2$   
      combine both trees;  
    }  
  dist [u]=0;  
  return;
```

**Running Time:  $O(E \lg E + E) = O(E \lg E) = O(E \lg V)$**

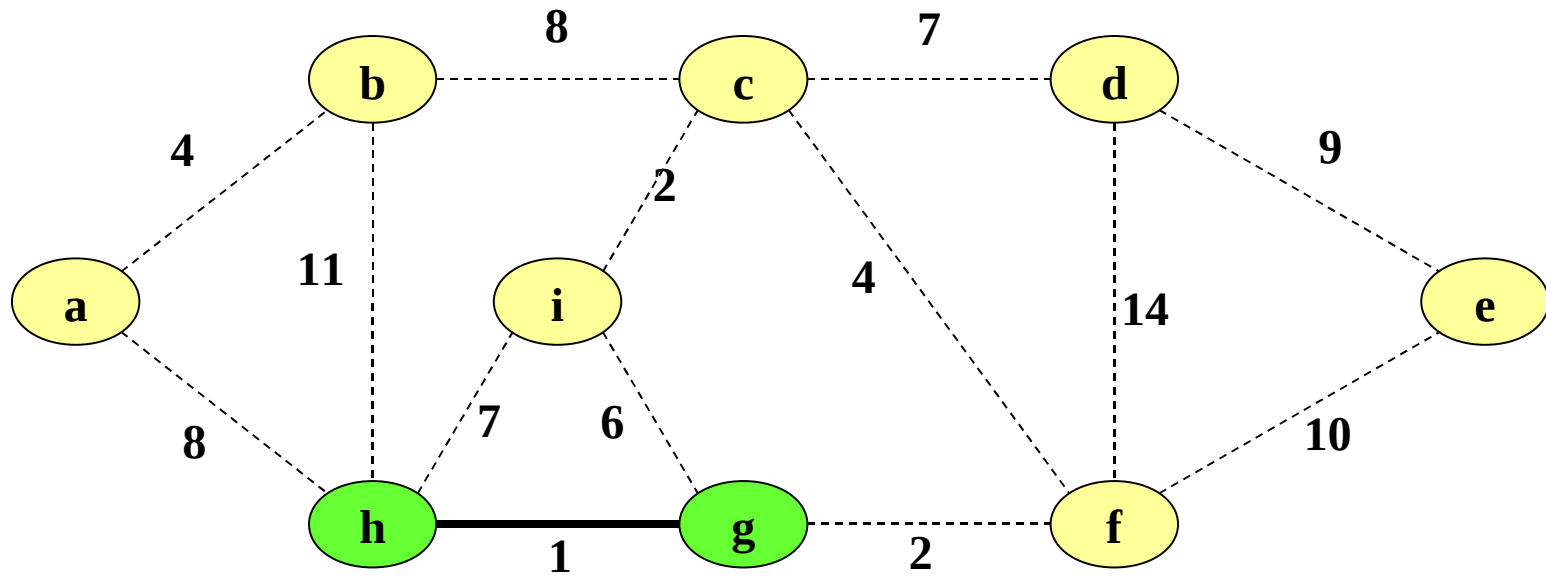
# Kruskal's Algorithm - Example



# Kruskal's Algorithm - Example

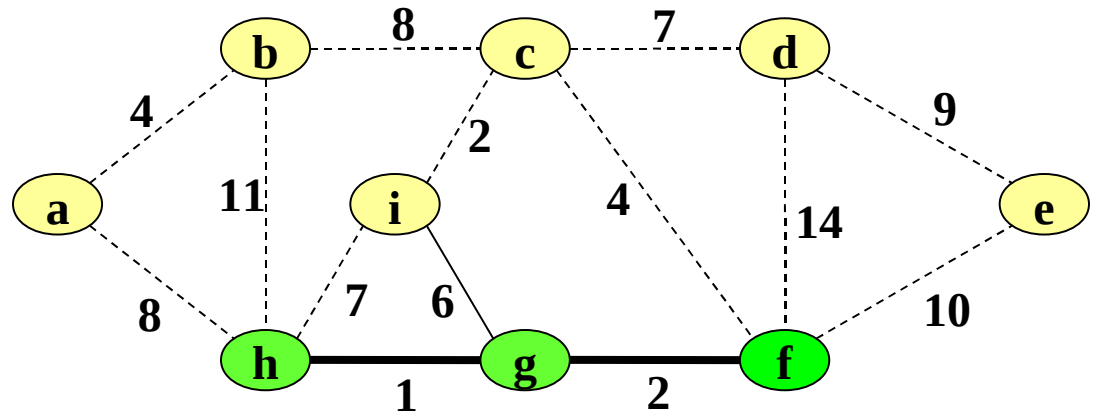
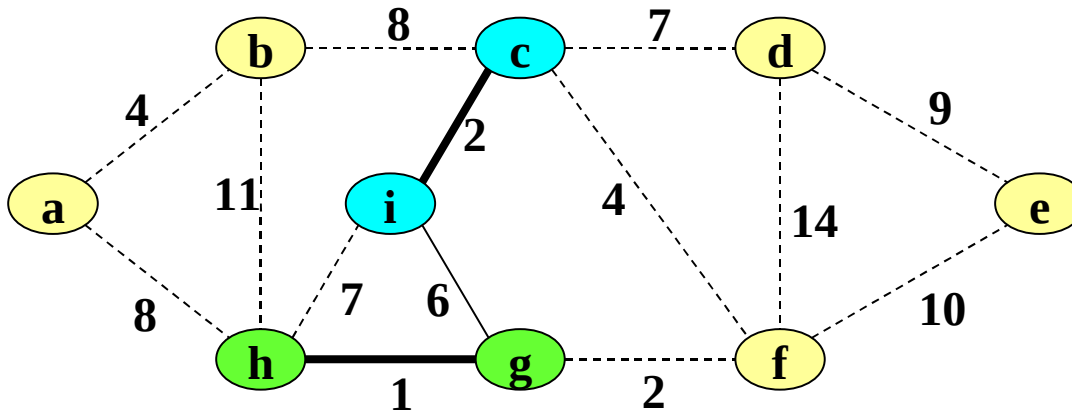


# Kruskal's Algorithm - Example

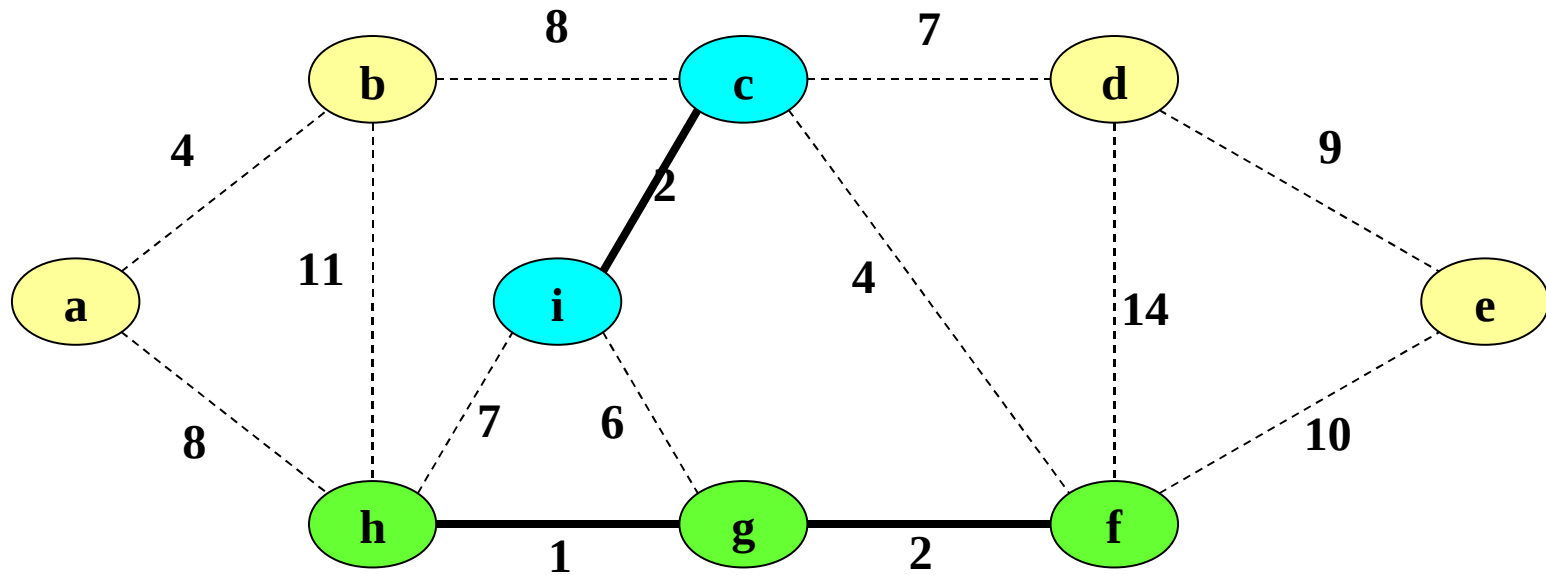


# Kruskal's Algorithm – Example

## Two Alternatives



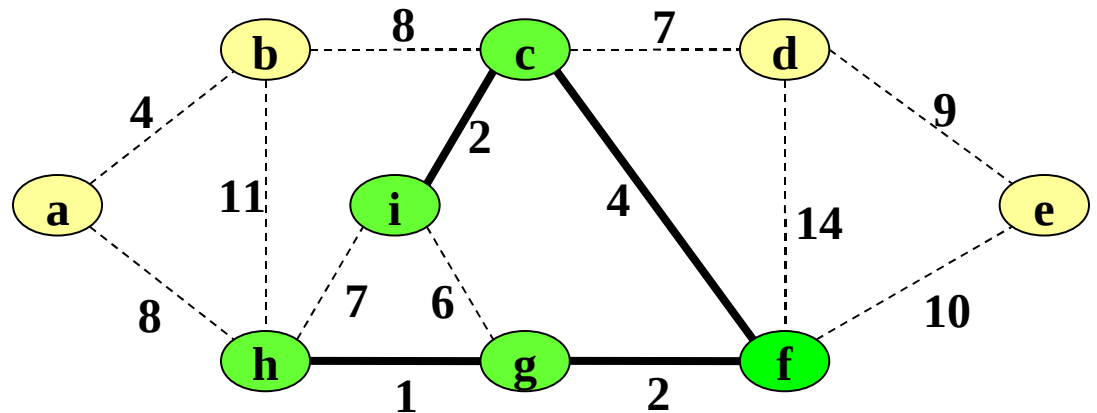
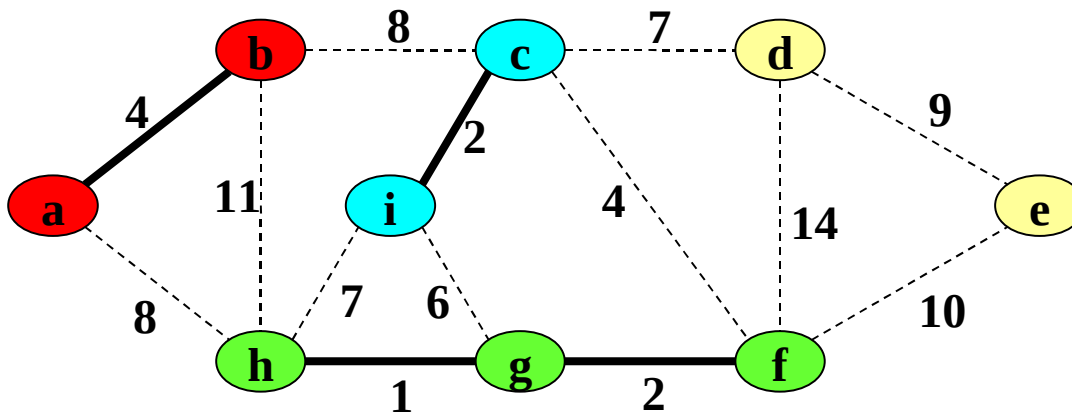
# Kruskal's Algorithm - Example



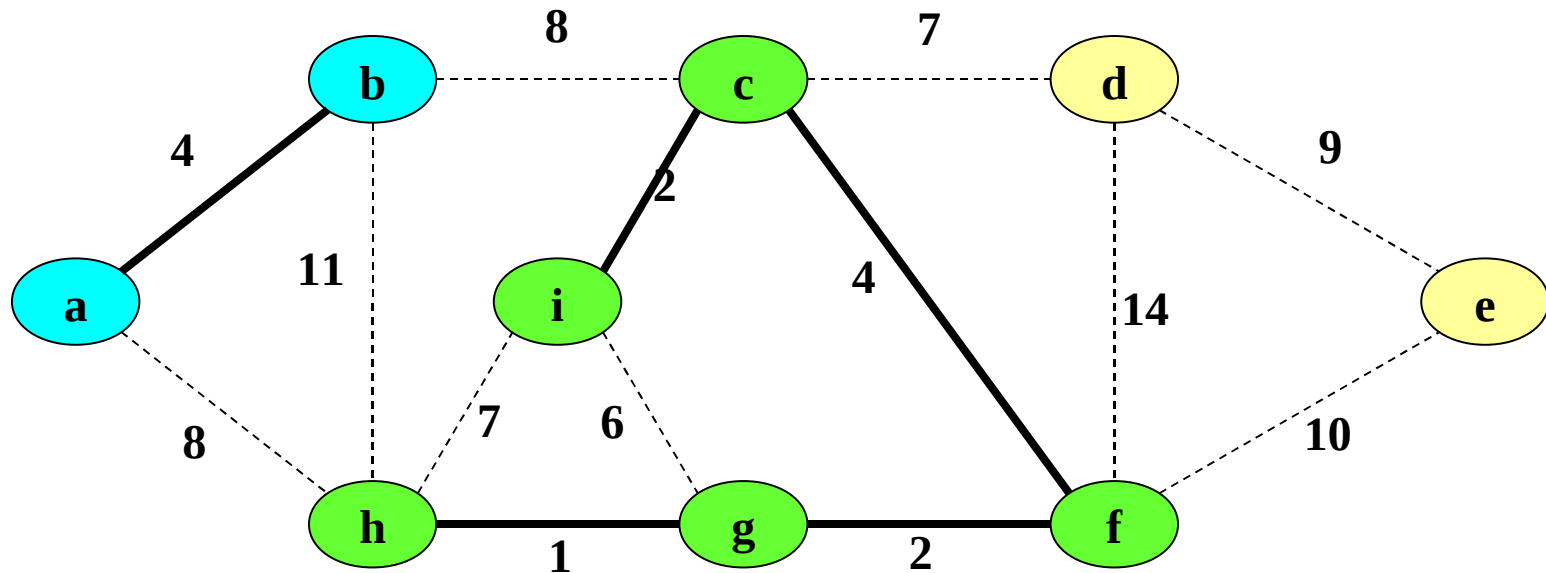


# Kruskal's Algorithm – Example

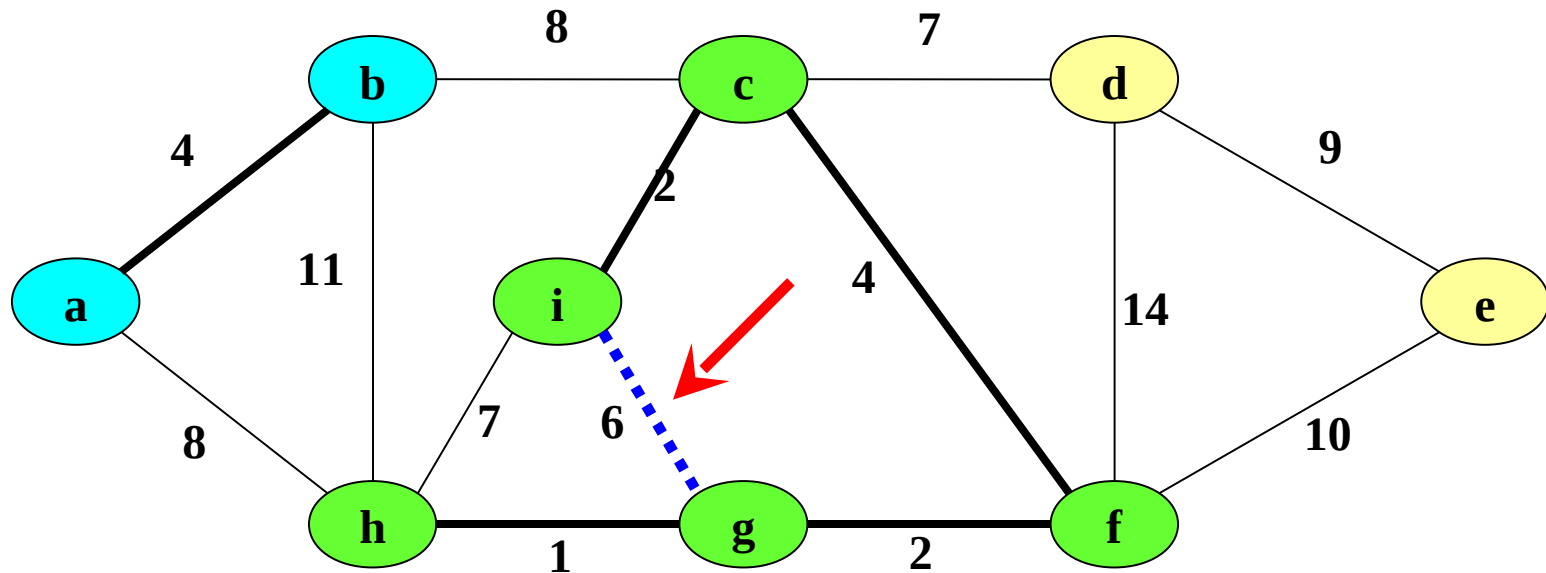
## Two Alternatives



# Kruskal's Algorithm - Example



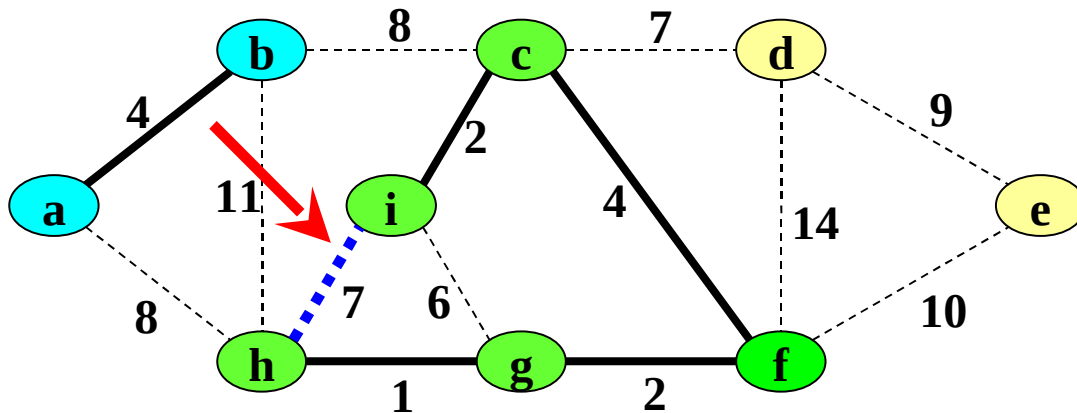
# Kruskal's Algorithm - Example



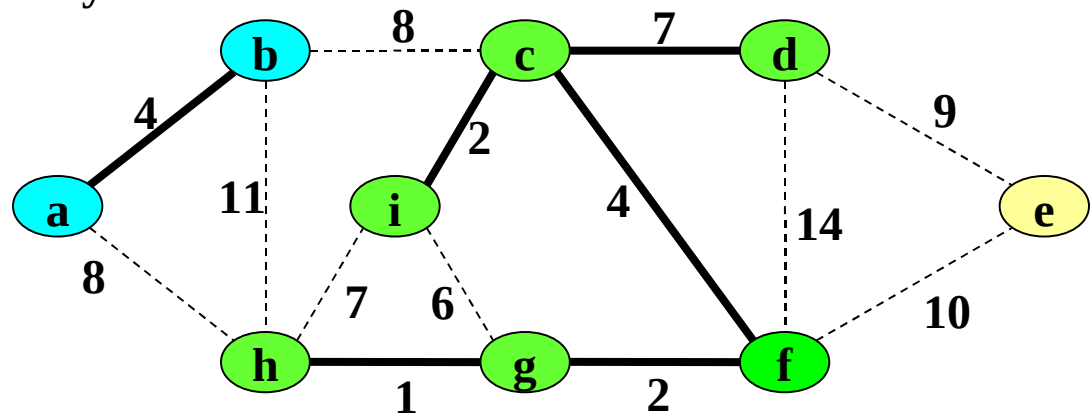
**Edge not accepted! It builds a cycle!**

# Kruskal's Algorithm – Example

## Two Alternatives

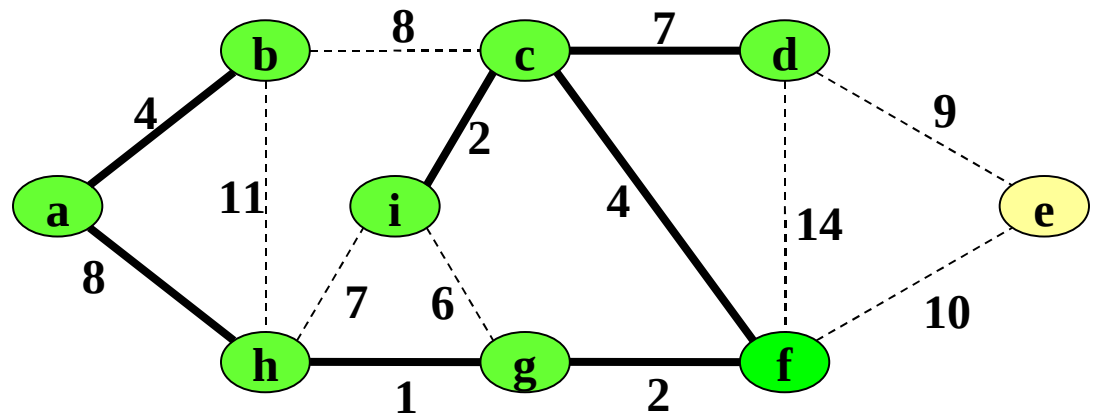
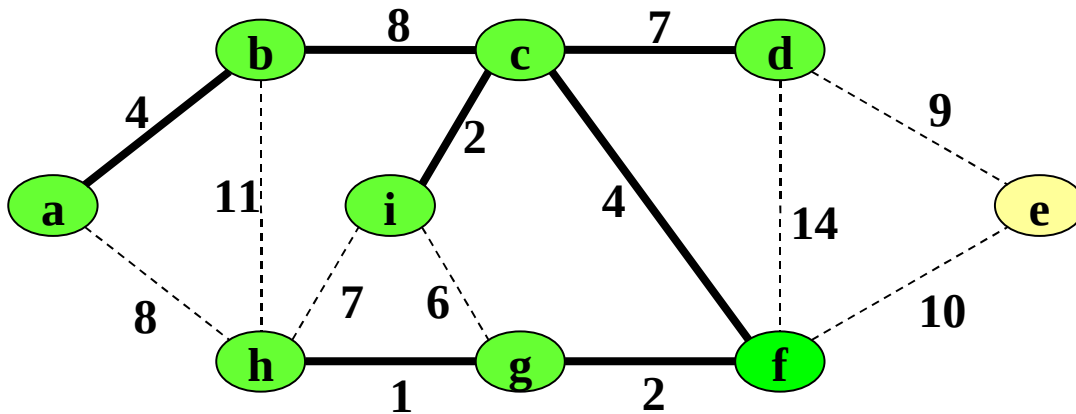


Edge not accepted! It builds a cycle!



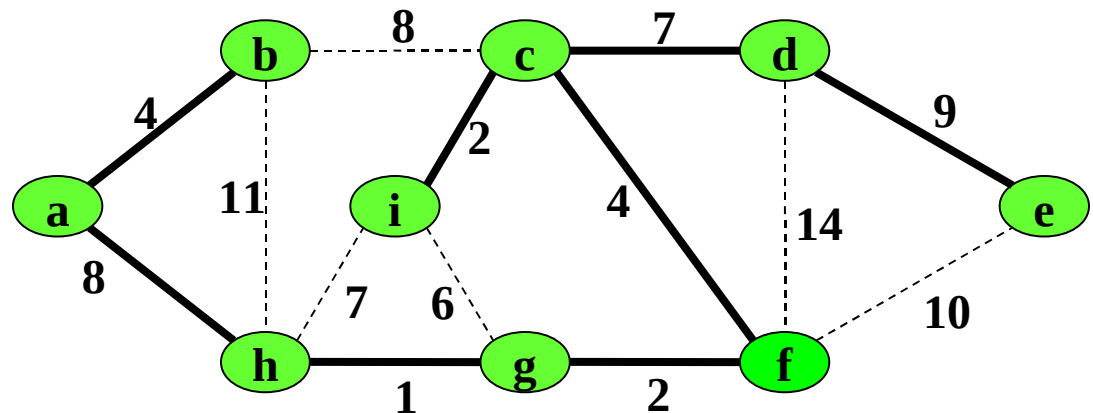
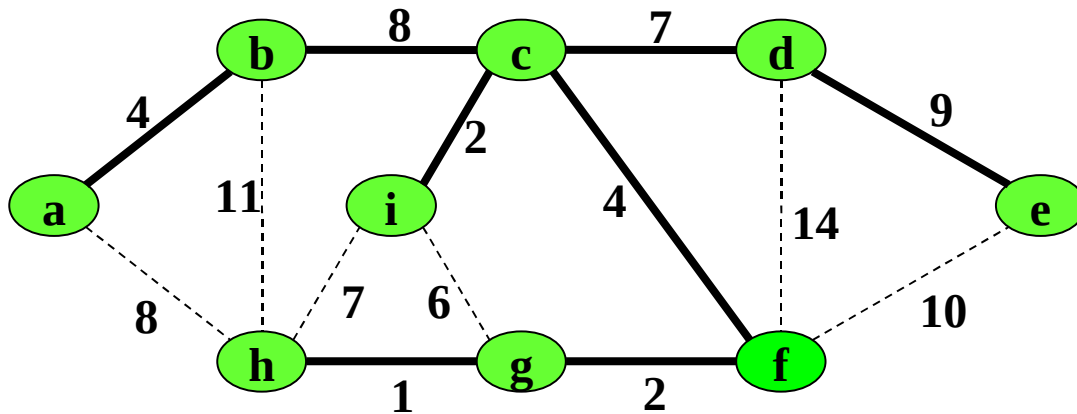
# Kruskal's Algorithm – Example

## Two Alternatives



# Kruskal's Algorithm – Example

## Two Alternatives



# References

- [1] T.H. Cormen, C.E. Leiserson, R.L. Rivest, C. Stein, “Introduction to Algorithms,” 2<sup>nd</sup> Edition, 2003, MIT Press
- [2] M.A. Weiss, “Data Structures and Algorithm Analysis in C,” 2<sup>nd</sup> Edition, 1997, Addison Wesley