

NOTE DEL CORSO

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# COMPUTING METHODS FOR EXPERIMENTAL PHYSICS AND DATA ANALYSIS

A.A. 2022-23

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Compilato il November 12, 2022

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# Chapter 1

## Modulo Base - Scientific Python

Per realizzare gli appunti su questa parte del corso, ho in gran parte utilizzato il materiale disponibile su al link <https://github.com/lucabaldini/cmepda.git>.

## Lun 20 sett - Lezione 1

### Lecture basic 1: Development workflow

#### L'importanza della riproducibilità

La ricerca scientifica dovrebbe essere prima di tutto *corretta* e *riproducibile*. In particolare, *riproducibile* vuol dire che deve essere possibile, partendo dagli stessi dati, arrivare alle stesse conclusioni. Il software ricopre un ruolo fondamentale nella moderna fisica sperimentale. Per questo motivo deve essere trattato alla stregua di un esperimento scientifico, cioè deve essere sviluppato in maniera controllata e deve essere riproducibile.

#### Version control

“Version control”: *A component of software configuration management, version control, also known as revision control or source control, is the management of changes to documents, computer programs, large web sites, and other collections of information*<sup>1</sup>

Un sistema di Version Control permette di raccogliere metadati<sup>2</sup> sul codice ogni volta il codice subisce delle modifiche.

#### Terminologia

- **Repository**: the place where files' current and historical data are stored
- **Revision or version**: the state at a point in time of the entire tree in the repository
- **Clone**: creating a repository containing the revisions from another repository
- **Working copy**: a local copy of files from a repository at a specific revision
- **Checkout**: create a local working copy from the repository
- **Change or diff**: a specific modification to a set of files under version control
- **Commit**: write the changes made in the working copy back to the repository

#### Tipologie di Version Control System

Nel corso degli anni sono state sviluppate diverse tipologie di sistemi di controllo di configurazione. I principali sono i seguenti:

---

<sup>1</sup>Wikipedia

<sup>2</sup>In informatica il metadato è un sistema strutturato di dati sui dati. Il suo scopo è di descrivere il contenuto, la struttura e l'ambito in cui s'inquadra un documento informatico, per la sua gestione e archiviazione nel tempo.

## Local version control systems (e.g. RCS)

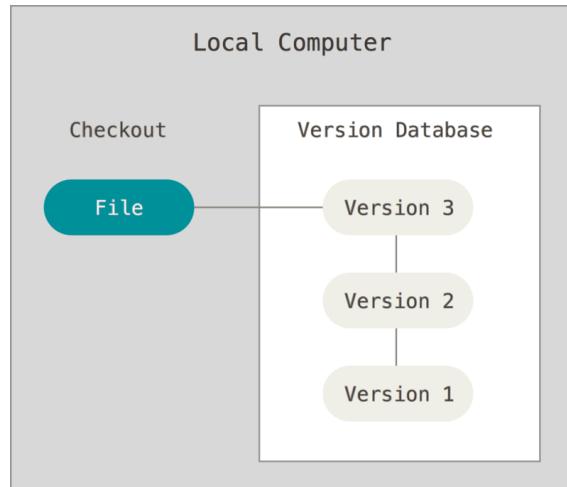


Figure 1.1: Local version control system: Keeps differences between revisions in a local database. Can recreate what any file looked like at any point in time.

## Centralized Version Control Systems (e.g. CVS, Subversion)

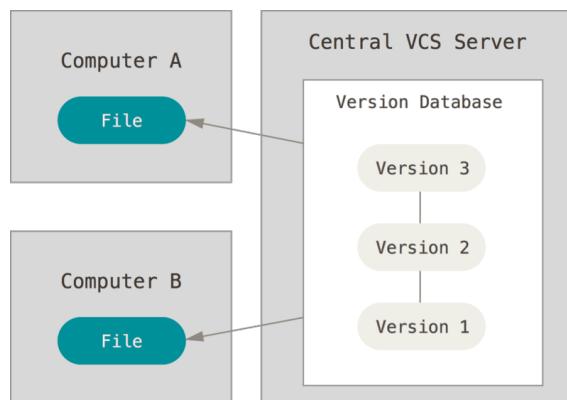


Figure 1.2: Centralized Version Control System: Single server containing all the versioned files. Clients can check out the files from the repository. Most popular model through most of the '90.

Il work-flow tipico dei Centralized Version Control System è molto basico:

1. Check out a local working copy from the remote server
2. Modify the working copy
3. Commit the changes back to the repository

## Distributed version control system (e.g. git, mercurial)

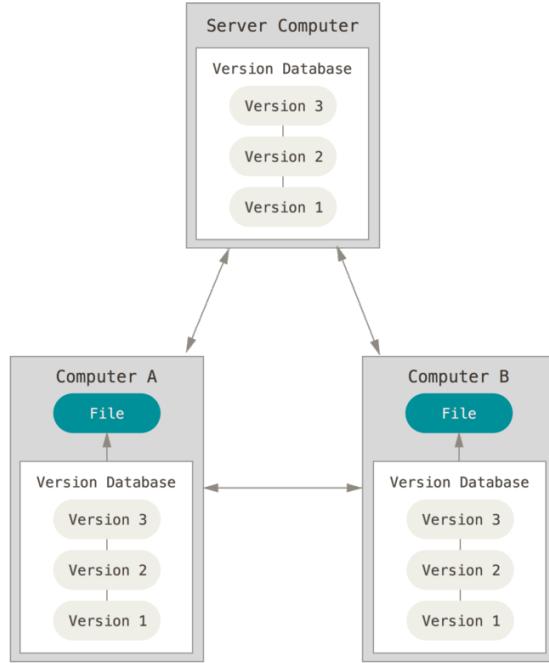


Figure 1.3: Distributed version control system: Clients fully mirror the repository, including its full history. Allows for a much richer variety of work-flows.

### Versioning single files vs. the entire repository

Old VCS only tracked modification on a file-by-file basis; i.e., CVS assigns revision numbers to the single files. All modern VCS track a whole commit as a new revision; i.e., revisions are assigned to the repository.

It makes a lot of sense to version the entire repository. Versioning single files can give a cozy feeling, but when files interact with each other you need to know the status of the entire repository to reliably predict the output!

### Centralized vs. distributed VCS

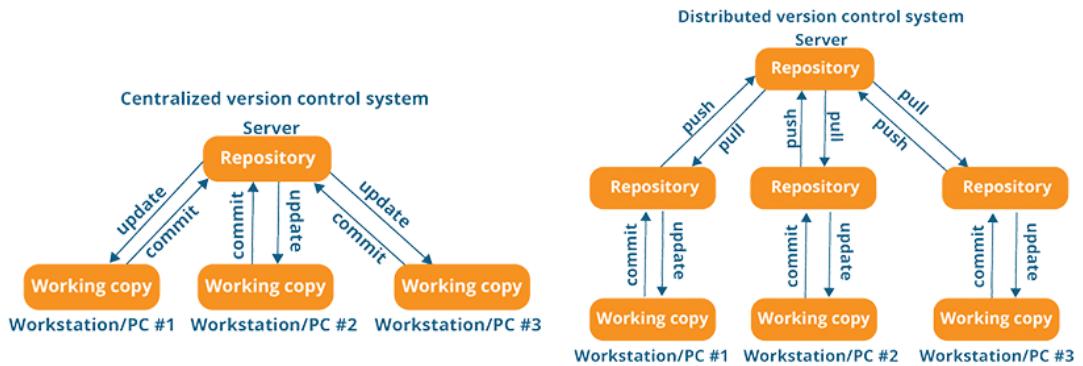


Figure 1.4: Confronto tra un sistema di controllo centralizzato ed uno distribuito.

Il workflow nel caso del *centralized VCS* è **lineare**: Subversion assigns a progressive number to the repo at each commit.

Invece nel caso di un *Distributed VCS*, il workflow è intrinsecamente **non lineare**: *Any one given local repository is not ahead or behind any other repository—just different.*

Ma allora come facciamo ad assegnare una versione (revision) in un sistema distribuito? Per capirlo facciamo una piccola digressione sulle funzioni di hash:

## Funzioni di Hash

Nel linguaggio matematico e informatico, l'hash è una funzione non invertibile che mappa una stringa di lunghezza arbitraria in una stringa di lunghezza predefinita. Esistono numerosi algoritmi che realizzano funzioni hash con particolari proprietà che dipendono dall'applicazione.<sup>3</sup>

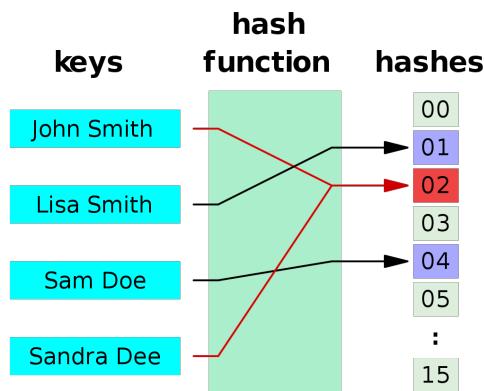


Figure 1.5: Esempio di funzione di hash.

*Hash function maps data of arbitrary size to fixed-size values (e.g., anything to an integer).*

Alcune proprietà che deve avere una buona funzione di hash:

- Deterministica.
- Uniforme nello spazio immagine (minimizza le collisioni).
- Facile da calcolare (e, possibilmente, difficile da invertire).

```

1 print(hash(3))
2 print(hash(3.))
3 print(hash(3.001))
4 print(hash('hello'))
5 print(hash('Hello'))

6
7 [Output]
8 3
9 3
10 2305843009213443
11 -8080512805622017032
12 -8706679013462221575

```

Every immutable object is hashable in Python. Each type gets its own algorithm. This is important because in distributed VCS each commit gets its own hash.

## Altra Terminologia

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<sup>3</sup>Wikipedia

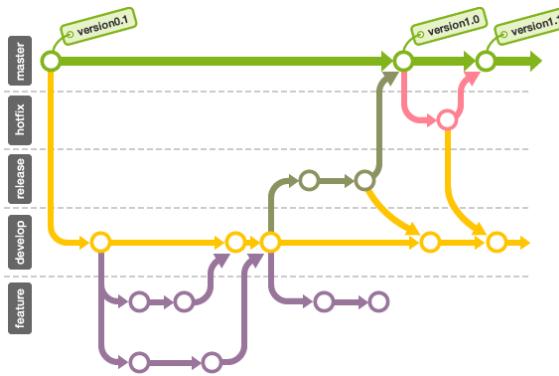


Figure 1.6: Esempio di branching.

- **Branches:** alternative paths where more copies of the same files develop in different ways independently.
- **Master, or trunk, or tip:** the unique line of development that is not a branch.
- **Merge:** application of two sets of changes to a set of files.
- **Conflict:** changes to the same file by two or more developers that the system is unable to reconcile.

## Gio 22 sett - Lezione 2

### Lecture basic 2: Python Basics (1/2)

#### PEP: Python Enhancement Proposal

"PEP stands for Python Enhancement Proposal, and there are several of them. A PEP is a document that describes new features proposed for Python and documents aspects of Python, like design and style, for the community."

#### Coding Conventions

Ci sono delle linee guida su come scrivere il codice. Queste si chiamano *Coding Conventions*, e differiscono per ogni linguaggio.

In particolare la **PEP8**<sup>4</sup> codifica la coding convention in python.

Un esempio può essere quello di usare per le indentazioni gli spazi anziché i tab. Questo perché il tab dipende dall'editor di testo usato e questo è un male!

Esistono dei tool che permettono di controllare automaticamente quanto un codice è *Pythonico*, ad esempio **pylint**<sup>5</sup>. È buona abitudine, prima di pushare un file su github, usare pylint per controllare che non ci siano errori nel codice!.

#### Variables and basic types

Python è quello che si chiama *linguaggio tipizzato forte*<sup>6</sup>. Nonostante ciò, in python le variabili non si dichiarano. Posso scrivere:

```

1 x = 3
2 x = 'ciao'
```

senza che mi dia errore.

Invece in C (così come nella maggior parte dei linguaggi compilati), una volta dichiarata una variabile, essa è di quel tipo per sempre!

Vediamo ora le principali strutture dati di python. Ricordiamo che le strutture dati si differenziano in mutabili e immutabili. In particolare, le strutture dati sono definite dalle operazioni che ci posso fare sopra.

- **Numeri** (Interi, Float): notiamo che in python esiste un solo tipo di interi, detto "a precisione illimitata": posso rappresentare un numero arbitrariamente grande finché non finisco la RAM.
- **Stringhe**: è equivalente usare le virgolette singole (' ') o doppie (" ").
- **Liste**: le liste sono sequenze di elementi, che possono essere di qualsiasi tipo, anche liste stesse. Ad esempio posso accedere al primo elemento della lista `l` facendo `l[0]`; oppure cambiare un elemento facendo `l[0]='ciao'`. Infine posso appendere un elemento alla fine facendo `l.append('last')`.
- **Tuple**: ad esempio `t = (1,2,3)`. Una tupla è come una lista, ma è immutabile. Posso sempre accedere al primo elemento della tupla `t` facendo `t[0]`, ma **non** posso cambiare un elemento facendo ad esempio `t[0]=33`.  
Se scrivo su terminale: `(1,2,3)+(5,6,7)`, otterrò la nuova tupla `(1,2,3,5,6,7)`.

<sup>4</sup>dargli un'occhiata <https://peps.python.org/pep-0008/>

<sup>5</sup><https://www.pylint.org/>

<sup>6</sup>In un linguaggio fortemente tipizzato, il programmatore è tenuto a specificare il tipo di ogni elemento sintattico che durante l'esecuzione denota un valore (per esempio un valore costante, una variabile o un'espressione), e il linguaggio garantisce che tale valore sia utilizzato in modo coerente con il tipo specificato: per esempio, non è possibile eseguire una somma aritmetica su dati di tipo stringa. Questo concetto generale può applicarsi con diverse sfumature; a seconda del contesto.

- **Dizionari:** Sono oggetti di tipo chiave-valore, ovvero mappano (mediante hash table o albero binario) una chiave in un valore. Sono efficienti nel trovare il valore associato alla chiave. Ad esempio 'a':3, 'b':4.

Di seguito alcuni esempi:

```
1 i = 3
2 x = 3.0
3 print(i, type(i))
4 print(x, type(x))
5 s = 'Hi there!'
6 print(s, type(s))
7 l = [1, 2, 'a string']
8 print(l, type(l), l[0])
9 t = (1, 2, 'a string')
10 print(t, type(t), t[0])
11 d = {'key1': 1, 'key2': 2}
12 print(d, type(d), d['key1'])
13 [Output]
14 3 <class 'int'>
15 3.0 <class 'float'>
16 Hi there! <class 'str'>
17 [1, 2, 'a string'] <class 'list'> 1
18 (1, 2, 'a string') <class 'tuple'> 1
19 {'key1': 1, 'key2': 2} <class 'dict'> 1
```

## String Formatting

È poco pythonico usare l'operatore `+` per unire due stringhe, facendo ad esempio `'Luca' + 'Baldini'`. È anche preferibile evitare usare l'operatore `%`. La cosa migliore è usare le *f-string*, come si vede nel seguente esempio:

```
1 name = 'Luca'
2 age = 42
3
4 # The ugly way.
5 print('My name is ' + name + ' I am ' + str(age) + ' year(s) old.')
6
7 # The old way (% operator)
8 print('My name is %s I am %d year(s) old.' % (name, age))
9
10 # The new way (.format)
11 # This is actually *much* more powerful and flexible than implied here.
12 print('My name is {} I am {} year(s) old.'.format(name, age))
13
14 # The newer way---new in Python 3.6. This is awesome!
15 print(f'My name is {name} I am {age} year(s) old.')
16
17
18 [Output]
19 My name is Luca I am 42 year(s) old.
20 My name is Luca I am 42 year(s) old.
21 My name is Luca I am 42 year(s) old.
22 My name is Luca I am 42 year(s) old.
```

## Le Funzioni

**DRY** (Don't Repeat Yourself) è meglio che **WET** (Write Every Time). È importante dare un nome chiaro ed esplicativo alle funzioni che scriviamo.

```

1 import math
2 def square(x):
3     """Return the square of x."""
4
5     return x * x
6 def cartesian_to_polar(x=1., y=1.):
7     """Convert cartesian to polar coordinates.
8
9     r = math.sqrt(x**2. + y**2.)
10    phi = math.atan2(y, x)
11    return r, phi
12    print(square(2.))
13    print(cartesian_to_polar(0., 1.))
14    print(cartesian_to_polar())
15    [Output]
16    4.0
17    (1.0, 1.5707963267948966)
18    (1.4142135623730951, 0.7853981633974483)

```

## Funzioni Variadiche

Sono delle funzioni che accettano un numero variabile di argomenti. Ad esempio:

```

1 import os
2 p1 = os.path.join('path', 'to', 'my', 'file')
3 p2 = os.path.join('howdy', 'partner')
4 print(p1)
5 print(p2)
6 s1 = sum([1, 2])
7 s2 = sum([1, 2, 3, 4, 5])
8 print(s1)
9 print(s2)
10 [Output]
11 path/to/my/file
12 howdy/partner
13 3
14 15

```

## Arbitrary argument lists

```

1 import os
2 def join1(*args):
3     """Horrible: do not use the + operator with strings in a loop.
4
5     out = ''
6     for arg in args:
7         out += '%s/' % arg
8     return out.rstrip('/')
9 def join2(*args):
10    """This a more sensible version---and you get the idea of the *.
11
12    return '/'.join(args)
13 def join3(*args, sep=os.path.sep):
14    """Even better---this will work on any OS.
15
16    return sep.join(args)
17 print(join1('path', 'to', 'file'))
18 print(join2('path', 'to', 'file'))
19 print(join3('path', 'to', 'file'))

```

```

20 [Output]
21 path/to/file
22 path/to/file
23 path/to/file

```

## Un esempio: la funzione di fit

```

1 import numpy as np
2 import matplotlib.pyplot as plt
3 from scipy.optimize import curve_fit
4 x = np.linspace(0., 10., 11)
5 y = 2.5 + 3.2 * x
6 def model(x, m, q):
7     return m * x + q
8 popt, pcov = curve_fit(model, x, y)
9 plt.errorbar(x, y, fmt='o')
10 # Overlay the model without unpacking the best-fit parameters.
11 plt.plot(x, model(x, *popt))
12 # Compare with
13 # mhat, qhat = popt
14 # plt.plot(x, model(x, mhat, qhat))

```

## Keyword arguments

Keyword arguments (or named arguments) are values that, when passed into a function, are identifiable by specific parameter names. A keyword argument is preceded by a parameter and the assignment operator, = . Keyword arguments can be likened to dictionaries in that they map a value to a keyword.

```

1 def func(**kwargs):
2     """
3     """
4     print(kwargs.get('verbose', False))
5     func()
6     func(verbose=True)
7     func(verbose=False)
8     func(verbose=True, num_events=3)
9     func(True)
10    [Output]
11    False
12    True
13    False
14    True
15    Traceback (most recent call last):
16    File "snippets/func_kwargs.py", line 11, in <module>
17    func(True)
18    TypeError: func() takes 0 positional arguments but 1 was given

```

## Basic control flow

```

1 i = 2
2 # Conditional expressions
3 if i == 2:
4     print('Apple')
5 elif i == 3:
6     print('Peach')
7 else:
8     print('Cheese')
9 # For loops

```

```

10  for i in [1, 2, 3]:
11      print(i)
12      # While loops
13      while i != 0:
14          print(i)
15          i -= 1
16      [Output]
17      Apple
18      1
19      2
20      3
21      3
22      2

```

## Advanced Iteration

```

1  list1 = ['a', 'b', 'c']
2  list2 = [10, 11, 12]
3  # Horrible (and very un-Pythonic, too)!
4  for i in range(len(list1)):
5      print(i, list1[i])
6      # Nice-looking.
7      for i, item in enumerate(list1):
8          print(i, item)
9      # Zipping iterables
10     for item1, item2 in zip(list1, list2):
11         print(item1, item2)
12     # List comprehension
13     print([x**2 for x in list2])
14     [Output]
15     0 a
16     1 b
17     2 c
18     0 a
19     1 b
20     2 c
21     a 10
22     b 11
23     c 12
24     [100, 121, 144]

```

## Nota: I numeri in virgola mobile sono esatti

Consideriamo il seguente esempio:

```

1  [lbaldini@nbbaldini slides]$ python
2
3  Python 3.7.4 (default, Jul 9 2019, 16:32:37)
4  [GCC 9.1.1 20190503 (Red Hat 9.1.1-1)] on linux
5  Type "help", "copyright", "credits" or "license" for more information.
6  >>> 0.1 + 0.2 == 0.3
7  False
8  >>> 0.2 + 0.2 == 0.4
9  True

```

Cosa sta succedendo? Il fatto è che, essendo inesatti, non ha senso chiedere se due numeri in virgola mobile siano esatti!

Quando scriviamo un numero in virgola mobile, per il PC è sempre un numero razionale, in quanto è troncato.

## Rappresentazione in virgola mobile

[...]

## References

- <https://scipy-lectures.org/>
- <https://docs.quantifiedcode.com/python-anti-patterns/>
- [https://sebastianraschka.com/Articles/2014\\_python\\_2\\_3\\_key\\_diff.html](https://sebastianraschka.com/Articles/2014_python_2_3_key_diff.html)
- <https://www.python.org/dev/peps/pep-0020/>
- <https://www.python.org/dev/peps/pep-0008/>
- <https://docs.python-guide.org/writing/style/>
- <https://docs.python.org/3/library/stdtypes.html>
- <https://docs.python.org/3/tutorial/controlflow.html#defining-functions>
- <https://docs.python.org/3/tutorial/floatingpoint.html>
- <https://floating-point-gui.de/>
- [https://www.itu.dk/~sestoft/bachelor/IEEE754\\_article.pdf](https://www.itu.dk/~sestoft/bachelor/IEEE754_article.pdf)

## Lecture basic 3: Python Basics (2/2)

### La Python Standard Library

- La gerarchia è sostanzialmente la seguente:
  - The Python core language (all you get at the interpreter startup)
  - The Python standard library (e.g., `math`)
  - An enormous number of third-party packages (e.g., `numpy`)
  - Eventuali librerie scritte da noi
- The standard library is included in every Python distribution
  - And it is (slowly) evolving with time
- With third-party packages you are on your own
  - Although Anaconda solves many of the issues
  - And if you are using GNU-Linux your package manager is probably taking care of everything for you
- (Well—and of course there are your own modules, too...)
- Anything that is out of the core is loaded in memory via an `import` statement

### Il sistema di Import

```

1  from math import *
2  [...]
3  # Terrible: where the hell is sqrt coming from?
4  x = sqrt(2.)
5  from math import sqrt
6  [...]
7  # Better: if you haven't redefined sqrt this is from the math library
8  x = sqrt(2.)
9  import math
10 [...]
11 # Best: five more characters, but at least is clear where sqrt is coming from
12 x = math.sqrt(2.)

```

- The \$PYTHONPATH environmental variables is your friend to control where you want to import modules from
  - You will need to tweak it when you start writing your own packages
- You will need suitable `__init__.py` files to navigate directories

**Nota:** non abusare del sistema di import! Di seguito un esempio ok:

```

1 # This is ok, and vastly recognized by the community
2 import numpy as np
3 from matplotlib import pyplot as plt
4 x = np.linspace(0., 10., 100)
5 y = x**2.
6 plt.plot(x, y)

```

Mentre il seguente esempio è una catastrofe!

```

1 from math import *
2 import logging as log
3 # ... 1000 lines of code in the middle
4 x = log(2.)
5 [Output]
6 Traceback (most recent call last):
7 File "snippets/import2.py", line 6, in <module>
8 x = log(2.)
9 TypeError: 'module' object is not callable

```

## La Standard Library: time, datetime and calendar

- Collections of facilities related to date and time
  - Measure the execution time of your scripts
  - Convert from time to date and vice-versa

"Il tempo è una cosa seria" -Luca Baldini.

## La Standard Library: math

```

1 Python 3.7.4 (default, Jul 9 2019, 16:32:37)
2 [GCC 9.1.1 20190503 (Red Hat 9.1.1-1)] on linux
3 Type "help", "copyright", "credits" or "license" for more information.
4 >>> import math
5 >>> dir(math)
6 ['__doc__', '__file__', '__loader__', '__name__', '__package__', '__spec__',
7 'acos', 'acosh', 'asin', 'asinh', 'atan', 'atan2', 'atanh', 'ceil', 'copysign',
8 'cos', 'cosh', 'degrees', 'e', 'erf', 'erfc', 'exp', 'expm1', 'fabs',
9 'factorial', 'floor', 'fmod', 'frexp', 'fsum', 'gamma', 'gcd', 'hypot', 'inf',
10 'isclose', 'isfinite', 'isinf', 'isnan', 'ldexp', 'lgamma', 'log', 'log10',
11 'log1p', 'log2', 'modf', 'nan', 'pi', 'pow', 'radians', 'remainder', 'sin',
12 'sinh', 'sqrt', 'tan', 'tanh', 'tau', 'trunc']
13 >>>

```

**Nota:** lavorando molto con gli array, ci troveremo ad usare principalmente `numpy` e `scipy`.

## La Standard Library: random

```

1 Python 3.7.4 (default, Jul 9 2019, 16:32:37)
2 [GCC 9.1.1 20190503 (Red Hat 9.1.1-1)] on linux
3 Type "help", "copyright", "credits" or "license" for more information.
4
>>> import random
5
>>> print(dir(random))
6 ['BPF', 'LOG4', 'NV_MAGICCONST', 'RECIP_BPF', 'Random', 'SG_MAGICCONST',
7 'SystemRandom', 'TWOPI', '_BuiltinMethodType', '_MethodType', '_Sequence',
8 '_Set', '__all__', '__builtins__', '__cached__', '__doc__', '__file__',
9 '__loader__', '__name__', '__package__', '__spec__', 'acos', 'bisection', 'ceil',
10 'cos', 'e', 'exp', 'inst', 'itertools', 'log', 'os', 'pi', 'random',
11 'sha512', 'sin', 'sqrt', 'test', 'test_generator', 'urandom', 'warn',
12 'betavariate', 'choice', 'choices', 'expovariate', 'gammavariate', 'gauss',
13 'getrandbits', 'getstate', 'lognormvariate', 'normalvariate', 'paretovariate',
14 'randint', 'random', 'randrange', 'sample', 'seed', 'setstate', 'shuffle',
15 'triangular', 'uniform', 'vonmisesvariate', 'weibullvariate']
16
>>>

```

Anche qui, useremo principalmente numpy.

## La Standard Library: os, os.path, glob and shutil

Servono ad interagire con il sistema operativo:

- Miscellaneous operating system interfaces
  - Access filesystem (access, create and copy files and directories)
  - List directory content
  - Environmental variables
  - Absolute and relative paths
  - Exec OS commands
- All of this in a cross-platform fashion!

## La Standard Library: argparse

È utilissimo per passare informazioni direttamente dalla linea di comando.

- Ever found yourself modifying the source code and running your program with different parameters?
  - This is a terribly bad practice!
  - And git will complain about modified files :-)
- Keep the argparse documentation under your pillow!

## La Standard Library: logging

- Ever found yourself inserting debug print() statements in the code when needed?
  - This is another terrible bad practice!
  - And git will complain about modified files :-)
- Imagine if there was a thing that:
  - allowed to label messages with different levels of severity (e.g., debug, info, warning, error)
  - dynamically set a global filter on the severity level (e.g., do not print debug messages)
- This thing exists and is called `logging`
- Always prefer `logging` over `print`

Esiste anche un altro modulo molto usato che si chiama Loguru. Permette anche di stampare i log su un **logfile**.

## Typical layout of a Python package

Say you have a project called sample:

```
1 README.rst
2 LICENSE
3 setup.py
4 requirements.txt
5 sample/__init__.py
6 sample/core.py
7 sample/helpers.py
8 docs/conf.py
9 docs/index.rst
10 tests/test_basic.py
11 tests/test_advanced.py
```

- Here is how the repository layout might look like:
  - README.rst
  - LICENSE (when in doubt use GPL v3)
  - requirements.txt (dependencies, for pip)
  - sample (actual python code, note it's the same name as the project)
  - docs (documentation)
  - tests (unit tests)
- We shall talk a lot about installation, documentation and unit tests in the second part of the course (advanced Python)

## References

- <https://docs.python.org/3/library/>
- <https://pypi.org/>
- <https://docs.python.org/3/reference/import.html>
- <https://docs.python-guide.org/>
- <https://docs.quantifiedcode.com/python-anti-patterns/>

## Gio 29 sett - Lezione 3

### Lecture basic 4: Algorithms and data structures

Un algoritmo è una sequenza di istruzioni che dicono in modo **non ambiguo** come risolvere un problema. Usare un algoritmo piuttosto che un altro può comportare una grande differenza in termini di efficienza di tempi.

- Algorithms can be expressed in several different ways:
  - Flowcharts
  - Pseudo-code
  - Working code snippets
- The sequence of operation must be expressed **unambiguously**

#### Esempio: ricerca sequenziale vs ricerca binaria

**Problema: trovare un elemento in una lista ordinata.**

Posso fare 2 cose:

1. **Forza bruta:** Faccio un loop sulla lista finché non trovo (o no) l'elemento cercato. Questo diventa sempre più sconveniente man mano che la lista si allunga (se la lista è lunga  $N$ , in media dovrà controllare  $N/2$  elementi).

#### 2. Ricerca Binaria:

- Start from the middle (if that's the target you're done)
- If the target is smaller (larger) than the element in the center, bisect the half-list on the left (right)
- Iterate until you've found the target (or exhausted the list)

In questo caso dovrò controllare in media  $\log_2(n)$  elementi. **Il logaritmo fa una bella differenza!**

### Complessità di un algoritmo

**Misura del costo computazionale di un algoritmo.** Lo quantifico come funzione della grandezza dell'input che noi diamo all'algoritmo. Per es se il nostro algoritmo agisce su una lista, è interessante vedere come scala il tempo di operazione in funzione della lunghezza della lista.

**Ordine di grandezza del numero di istruzioni elementari** è una buona stima del tempo di esecuzione del programma. (anche se le istruzioni elementari possono durare un po' diversamente da pc a pc e da linguaggio a linguaggio).

Il numero di istruzioni fondamentali che un algoritmo esegue dipende dai dati che gli diamo in ingresso (ad es, a parità di lunghezza della lista, dipende da come è ordinata la lista stessa). Posso comunque chiedermi cosa succede nel *best case*, nel *worst case* e in *average*.

```

1 def find_maximum(list_):
2     """Find the biggest element in a list.
3     """
4     maximum = list_[0]
5     for value in list_[1:]:
6         if value > maximum:
7             maximum = value
8     return maximum
9
10 l = [1, 2, 5, 98, 3, 1672, 6, 34, 651]
11 print(find_maximum(l))
12
13 [Output]
14 1672

```

- Example: find the largest element in a list of length  $n$
- How many fundamental instructions is this code executing?
  - (You should realize this is an ill-posed question)
  - One assignment and one list lookup at the beginning: 2
  - One lookup, one assignment and one comparison for each iteration in the for loop:  $3(n - 1)$
  - A variable number of assignments: between 0 and  $(n - 1)$
  - One final return instruction: 1
- Answer: anything between  $3n$  and  $4n - 1$ 
  - (Depending on the input list)
- Message #1: the exact number of fundamental instructions that an algorithm performs is not determined a priori
  - It depends on the input data, instead
  - And so does the running time
- There's a few questions that you can legitimately ask, anyway
  - How many instructions in the worst case?
  - How many instructions in the best case?
  - How many instructions on average?
- Message #2: the exact number of operations doesn't really matter, does it?
  - Different machines have different executions speed
  - Different languages have different meaning of fundamental instruction
- Message #3: still, the running time is related to the number of fundamental instructions

## Andamento asintotico e notazioni O-grand

- Say you have an algorithm operating on an input of length  $n$ 
  - e.g., a list with  $n$  elements
  - or a string with  $n$  characters
- How many fundamental instructions  $N$  does it take to for your algorithm to run?

$$N = f(n)$$

- Asymptotic behavior: drop all the terms that grow slowly with  $n$  and only keep the one that grows faster

$$4n - 1 \approx 4n \quad \text{and} \quad 2n^2 + 6n + 3 \approx 2n^2$$

(for large  $n$ )

- Let's go one step further, and say that we neglect the multiplicative factor in front of the leading term (posso ignorare il fattore moltiplicativo perché tanto non c'è una corrispondenza 1 ad 1 tra il numero di op elementari e il tempo).

$$4n - 1 \approx n \quad \text{and} \quad 2n^2 + 6n + 3 \approx n^2$$

- big-O notation: the two algorithms are  $O(n)$  and  $O(n^2)$

**NB:** Se un algoritmo ha complessità  $n^2$  se ho un input 10 volte più grande, il tempo impiegato sarà 100 volte più grande. (**un algoritmo di complessità  $n^2$  fa schifo**)

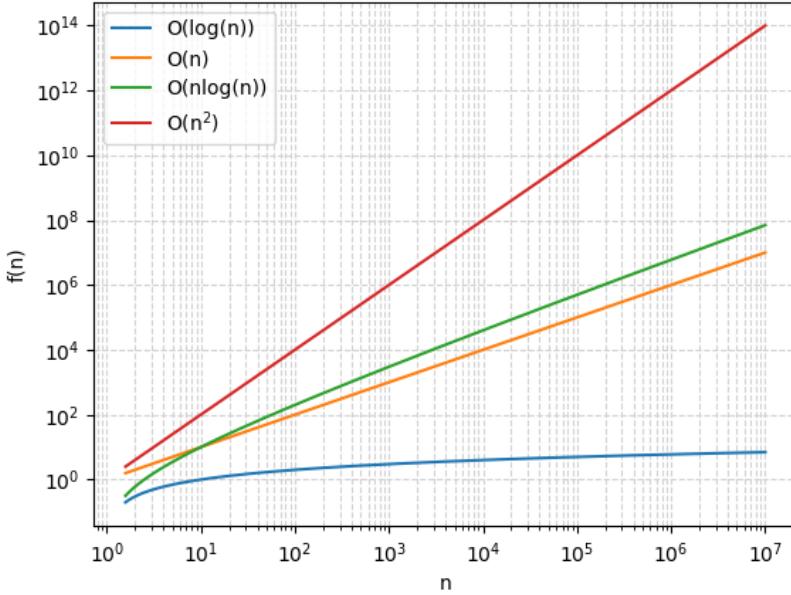


Figure 1.7: Andamenti asintotici di vario tipo. If  $n = 10^6$  and you can beat down the complexity from  $n^2$  to  $n \log(n)$  you are cutting down the execution time by one million!

## Come misuro il comportamento asintotico?

Soprattutto in casi in cui ci sono un sacco di linee di codice.

- **Forza Bruta**

- Implement the algorithm
- Run it on input data of different size and time the run
- (Be careful: results may vary from run to run)
- Plot the running time vs. input size

- **Per Analisi:**

- Go ahead and count the instructions
- Evaluate the best, worst and average case
- (This can be difficult for complex programs, and subject to the idiosyncrasies of the language)

- **Ad Occhio**

- un loop ha tipicamente un costo  $O(n)$
- anche due loop consecutivi hanno costo  $O(n)$
- due loop annidati hanno un costo  $O(n^2)$  appena vedo due loop annidati è un cattivo segno! A volte non si possono evitare, a volte sì!

Una lettura leggera sulla "Complexity of Songs": [http://www.cs.bme.hu/~friedl/alg/knuth\\_song\\_complexity.pdf](http://www.cs.bme.hu/~friedl/alg/knuth_song_complexity.pdf)

## Strutture dati: le liste

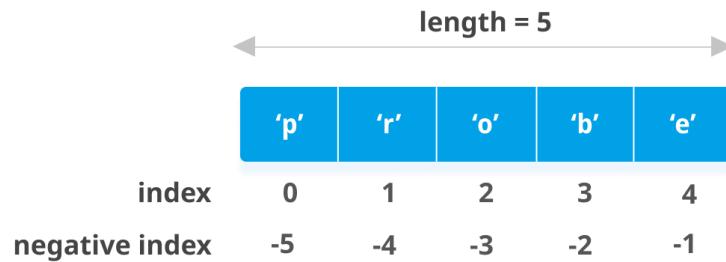


Figure 1.8

Operation	Average case	Worst case
Copy	$O(n)$	$O(n)$
Append	$O(1)$	$O(1)$
Insert	$O(n)$	$O(n)$
Get Item	$O(1)$	$O(1)$
Set Item	$O(1)$	$O(1)$
Delete Item	$O(n)$	$O(n)$
Iteration	$O(n)$	$O(n)$
$\min(s), \max(s)$	$O(n)$	
Get Length	$O(1)$	$O(1)$

Quando tolgo un elemento, una volta tolto devo poi rispostare tutto il resto! Per questo ho  $O(n)$ .

## Hash table

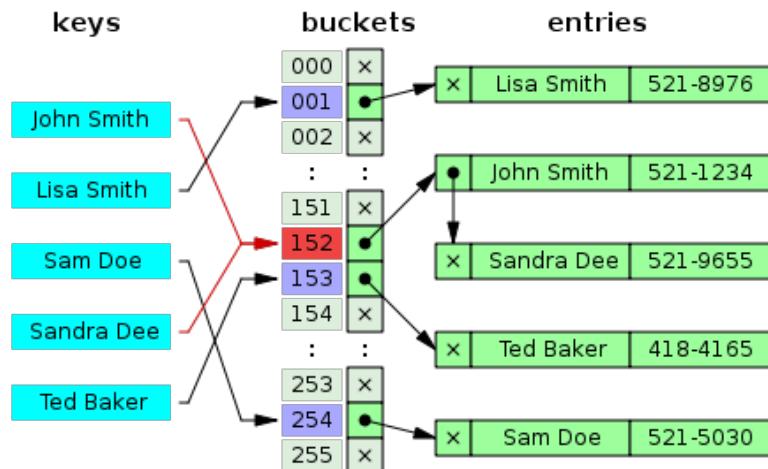


Figure 1.9: Hash table

- Associative array mapping keys to values
- Basic idea:
  - Pre-allocate some space (which might grow or shrink)
  - Keys are mapped to indices via a hash function
  - This is about it, except that you have to be able to handle collisions
- Hash tables (aka dictionaries) are highly optimized in Python

## Strutture dati: I dizionari

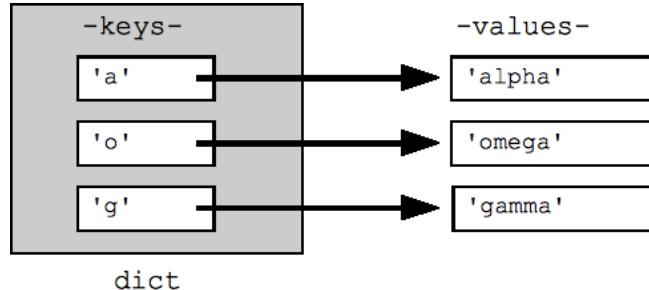


Figure 1.10: Dizionario

Operation	Average case	Worst case
Copy	$O(n)$	$O(n)$
Get Item	$O(1)$	$O(n)$
Set Item	$O(1)$	$O(n)$
Delete Item	$O(1)$	$O(n)$
Iteration	$O(n)$	$O(n)$

Per prendere un elemento, se non ci sono conflitti mi basta calcolare la funzione di hash sulla chiave. Ma se ci sono dei conflitti, nel caso peggiore in cui la struttura è piena, avrò  $n$  conflitti  $O(n)$ .

I dizionari brillano nell'inserzione e nella cancellazione. I dizionari sono ottimi per i contesti in cui devo spesso inserire e/o cancellare cose.

```

1 print(hash(3))
2 print(hash(3.))
3 d = {}
4 d[3] = 'Hi there!'
5 print(d)
6 d[3.] = 'How are you?'
7 print(d)

[Output]
8
9 3
10 3
11 3: 'Hi there!'
12 3: 'How are you?'
13

```

- When a float corresponds to an integer, its hash is the same as that of the integer
- The hash is used to map keys into indices
- Therefore: 3 and 3. are the same key to a dictionary

## Sorting

Programma che data una lista di numeri in virgola mobile, mi restituisce un'altra lista con gli stessi elementi, ma in ordine.

```

1 def sloppy_sort(list_):
2     """Poor man's implementation of a sorting algorithm.
3     """
4     sorted_list = []
5     for item in list_:
6         if len(sorted_list) == 0:

```

```

7     sorted_list.append(item)
8     else:
9         if item < sorted_list[0]:
10            sorted_list.insert(0, item)
11        else:
12            for i, sorted_item in enumerate(sorted_list):
13                if item <= sorted_item:
14                    sorted_list.insert(i, item)
15                    break
16    return sorted_list
17 l = [10, 1, 5, 2, 7, 3, 9, 4]
18 print(l)
19 print(sloppy_sort(l))
20 [Output]
21 [10, 1, 5, 2, 7, 3, 9, 4]
22 [1, 2, 3, 4, 5, 7, 9, 10]

```

Questo è un esempio molto brutto per un sort. Infatti contiene 2 for annidati, che corrispondono ad una complessità di ordine  $O(n^2)$  (che fa schifo).

Esistono diversi algoritmi di sort, di seguito alcuni esempi:

Name	Best	Average	Worst	Memory	Stable	Method	Other notes
Quicksort	$n \log n$ variation is $n$	$n \log n$	$n^2$	$\log n$ on average, worst case space complexity is $n$ ; Sedgewick variation is $\log n$ worst case.	Typical in-place sort is not stable; stable versions exist.	Partitioning	Quicksort is usually done in-place with $O(\log n)$ stack space. <sup>[5][6]</sup>
Merge sort	$n \log n$	$n \log n$	$n \log n$	$n$ A hybrid block merge sort is $O(1)$ mem.	Yes	Merging	Highly parallelizable (up to $O(\log n)$ ) using the Three Hungarians' Algorithm <sup>[7]</sup> or, more practically, Cole's parallel merge sort) for processing large amounts of data.
In-place merge sort	—	—	$n \log^2 n$ See above, for hybrid, that is $n \log n$	1	Yes	Merging	Can be implemented as a stable sort based on stable in-place merging. <sup>[8]</sup>
Heapsort	$n$ if all keys are distinct, $n \log n$	$n \log n$	$n \log n$	1	No	Selection	
Insertion sort	$n$	$n^2$	$n^2$	1	Yes	Insertion	$O(n + d)$ , in the worst case over sequences that have $d$ inversions.
Introsort	$n \log n$	$n \log n$	$n \log n$	$\log n$	No	Partitioning & Selection	Used in several STL implementations.
Selection sort	$n^2$	$n^2$	$n^2$	1	No	Selection	Stable with $O(n)$ extra space or when using linked lists. <sup>[9]</sup>
Timsort	$n$	$n \log n$	$n \log n$	$n$	Yes	Insertion & Merging	Makes $n$ comparisons when the data is already sorted or reverse sorted.
Cubesort	$n$	$n \log n$	$n \log n$	$n$	Yes	Insertion	Makes $n$ comparisons when the data is already sorted or reverse sorted.
Shell sort	$n \log n$	Depends on gap sequence;	Depends on gap sequence; best known is $n^{4/3}$	1	No	Insertion	Small code size, no use of call stack, reasonably fast, useful where memory is at a premium such as embedded and older mainframe applications. There is a worst case $O(n(\log n)^2)$ gap sequence but it loses $O(n \log n)$ best case time.
Bubble sort	$n$	$n^2$	$n^2$	1	Yes	Exchanging	Tiny code size.
Binary tree sort	$n \log n$	$n \log n$	$n \log n$ (balanced)	$n$	Yes	Insertion	When using a self-balancing binary search tree.
Cycle sort	$n^2$	$n^2$	$n^2$	1	No	Insertion	In-place with theoretically optimal number of writes.
Library sort	$n$	$n \log n$	$n^2$	$n$	Yes	Insertion	
Patience sorting	$n$	—	$n \log n$	$n$	No	Insertion & Selection	Finds all the longest increasing subsequences in $O(n \log n)$ .
Smoothsort	$n$	$n \log n$	$n \log n$	1	No	Selection	An adaptive variant of heapsort based upon the Leonardo sequence rather than a traditional binary heap.
Strand sort	$n$	$n^2$	$n^2$	$n$	Yes	Selection	

Figure 1.11: Alcuni algoritmi di sort, tabella presa dalla pagina di Wikipedia [https://en.wikipedia.org/wiki/Sorting\\_algorithm](https://en.wikipedia.org/wiki/Sorting_algorithm)

Python come algoritmo di sort usa **Timsort**:

```

1 l = [10, 1, 5, 2, 7, 3, 9, 4]
2 print(l)
3 l.sort()
4 print(l)
5
6 [Output]
7 [10, 1, 5, 2, 7, 3, 9, 4]
8 [1, 2, 3, 4, 5, 7, 9, 10]

```

- Hybrid algorithm, derived from merge sort and insertion sort
  - Find subsequences of the data that are already ordered

- Use that knowledge to sort the remainder more efficiently
- “Although practicality beats purity” (The Zen of Python)

## References

- <https://en.wikipedia.org/wiki/Algorithm>
- <https://discrete.gr/complexity/>
- <https://wiki.python.org/moin/TimeComplexity>
- [https://en.wikipedia.org/wiki/Sorting\\_algorithm](https://en.wikipedia.org/wiki/Sorting_algorithm)
- <https://bugs.python.org/file4451/timsort.txt>
- <https://en.wikipedia.org/wiki/Timsort>

## Lecture basic 7: Numpy e Scipy

- Among (many) other things, numpy offers:
  - a powerful n-dimensional array object
  - mathematical functions that interoperate natively with arrays
- And scipy provides:
  - integration
  - optimization (a.k.a. fitting)
  - interpolation
  - signal processing

### Array di numpy

Numpy fornisce un’implementazione efficiente di array.

Che differenza c’è tra una lista di python e gli array di numpy? La prima differenza fondamentale è che gli array di numpy sono tendenzialmente **omogenei** (dentro lo stesso array non posso mescolare due tipi).

```

1 import numpy as np
2 # Initialization from a list
3 a1 = np.array([1., 2., 3])
4 print(a1)
5 # Zeros, ones, and fixed values
6 a2 = np.zeros(10)
7 a3 = np.ones((2, 2))
8 a4 = np.full(7, 3.)
9 print(a2)
10 print(a3)
11 print(a4)
12 # Grids
13 a5 = np.linspace(0., 10., 11)
14 a6 = np.logspace(0., 1., 11)
15 print(a5)
16 print(a6)
17
18 [Output]
19 [1. 2. 3.]
20 [0. 0. 0. 0. 0. 0. 0. 0. 0. 0.]
21 [[1. 1.]]
```

```

22      [1. 1.]]
23  [3. 3. 3. 3. 3. 3. 3.]
24  [ 0. 1. 2. 3. 4. 5. 6. 7. 8. 9. 10.]
25  [ 1.          1.25892541 1.58489319 1.99526231 2.51188643 3.16227766
26  3.98107171 5.01187234 6.30957344 7.94328235 10.          ]

```

Altro esempio: `a = np.linspace(1, 10, 10, dtype=int)`  
 Se so che tipo di dati contiene un array, so già in partenza quanta memoria occupa. Questi array di numpy, una volta stanziali, tipicamente mantengono le stesse dimensioni.

## numpy arrays vs. Python lists

```

1 import numpy as np
2 # arrays and lists seem similar...
3 l = [1., 2., 3.]
4 a = np.array(l)
5 print(l)
6 print(a)
7 # ...but they support basic arithmetic in a different fashion
8 print(l + 1)
9 print(a + a)
10
11 [Output]
12 [1.0, 2.0, 3.0]
13 [1. 2. 3.]
14 [1.0, 2.0, 3.0, 1.0, 2.0, 3.0]
15 [2. 4. 6.]

```

- arrays and lists are fundamentally different objects
  - different footprint in memory, operate at different speed
  - arrays are homogeneous, lists don't need to
  - arrays offer a much more powerful indexing/slicing
  - arrays interoperate with numpy mathematical functions

## Broadcasting

Broadcast vuol dire che su questi array posso fare delle operazioni (ad es somma di due array di stessa lunghezza).

```

1 import numpy as np
2 a1 = np.array([1., 2.])
3 a2 = np.array([[1., 2.], [3., 4.]])
4 c = np.pi
5 print(a1)
6 print(a2)
7 print(c)
8 print(a1 * a1)
9 print(a1 * c)
10 print(a1 * a2)
11 [Output]
12 [1. 2.]
13 [[1. 2.]
14  [3. 4.]]
15 3.141592653589793
16 [1. 4.]
17 [3.14159265 6.28318531]
18 [[1. 4.]
19  [3. 8.]]]

```

Under certain conditions, numpy can make operations on arrays of different shape. This is extremely useful when vectorizing problems.

Con numpy posso fare cose fantasmagoriche del tipo:

```
1 c = np.array([[1,2],[3,4]])\ \
2 c = np.linspace(1,16,16).reshape((4,4))
```

**Nota:** Ogni volta che operiamo su array, l'operazione avviene in C (perché il C è molto più veloce di python). Sostituire un loop esplicito in python con un'operazione tra array di numpy è una cosa ottima in termini di efficienza! Questa cosa si chiama **vettorizzazione**.

Consideriamo il seguente ciclo for:

```
1 for v1, v2 in zip(v1, v2):
2     s += vi * v2}
```

dove `zip( , )` serve per looppare in contemporanea su due cose.  
Posso fare la stessa operazione usando gli array di numpy:

```
1 s = (v1 * v2).sum()
```

**Ho vettorizzato il problema.** Cioè sono passato da un ciclo for ad un'operazione tra array. Ho ritrovato la velocità del C, mantenendo l'usabilità di python!

## Lun 3 ott - Lezione 4

### Lecture basic: 5 - OOP<sup>7</sup> introduction (1/2)

Una variabile, ad esempio un intero, funge da contenitore per un dato. Ci sono delle strutture dati, come liste e dizionari, che, oltre a contenere dei dati, sono caratterizzate da un set di operazioni che posso fare su di esse.

- Working with containers like lists or dictionaries, you may have noticed that they can do many thing besides holding the data
  - You can extend a list using `append()` or `insert()`
  - Trying to access a non-existent index in a list triggers a specific error (*IndexError*)
  - You can iterate on a list using the handy for-loop Python syntax
  - and so on...
- In other words, a list is a variable that, in addition to its data, shows some kind of specific behaviour.
- How is that implemented?

Si creano delle entità di codice (oggetti) che uniscono ai dati delle funzionalità per operare su di essi. Quindi non solo definiscono come è fatto quel dato in memoria, ma anche come si manipola quel dato.

L'idea di base è tenere il codice che opera su i dati e i dati stessi in un'unica entità: **l'oggetto**.

Un oggetto è un'entità di codice caratterizzata da:

- **Stato** → dati (attributi o membri)
- **Comportamento** → implementato tramite funzioni (metodi)

La programmazione a oggetti è usatissima, anche se non ovunque. Ad esempio il C non fa uso di programmazione ad oggetti. Comunque, quasi tutti i più importanti linguaggi di programmazione supportano la programmazione ad oggetti.

## Classi e Oggetti

Una classe è un pezzo di codice che descrive come è fatto un oggetto. Se vogliamo programmare a oggetti dobbiamo scrivere delle classi che permettono poi di creare degli oggetti.

Una classe è una generalizzazione del concetto di tipo. Ad es. il tipo "intero" si limita a dire quanto spazio occupa in memoria.

Quando scriviamo una classe dobbiamo anche descrivere le sue funzionalità (definendo delle funzioni). Una volta che abbiamo la nostra classe, ad esempio "**studente**", possiamo creare uno o più oggetti di tipo "**studente**".

- Basic definitions:
  - A **class** is a blueprint for creating objects
  - An **object** is a concrete realization of a class

---

<sup>7</sup>In informatica, la programmazione orientata agli oggetti (in inglese object-oriented programming, in acronimo OOP) è un paradigma di programmazione che permette di definire oggetti software in grado di interagire gli uni con gli altri attraverso lo scambio di messaggi. Particolarmente adatta nei contesti in cui si possono definire delle relazioni di interdipendenza tra i concetti da modellare (contenimento, uso, specializzazione), un ambito che più di altri riesce a sfruttare i vantaggi della programmazione ad oggetti è quello delle interfacce grafiche.

- You can imagine a class like a project, which is used to describe how objects are built and how they work
- You can have multiple objects of the same class
- The relationship is similar to the one between types and variables:
  - A type is an abstract concept, describing how a variable is represented in memory
  - A variable is a concrete realization of it
  - You can have several variables of the same type (like several integers or several strings)
- Indeed, to some extent, a class is the generalization of the concept of type. It specifies not only how an object *is made* but also how *it behaves*.

### Esempio: creiamo la classe televisione

- Let's consider a familiar object, like a television. It has:
  - A state
    - \* On/off (and possibly standby)
    - \* Currently displayed channel
    - \* Volume
    - \* Brightness, contrast, etc...
  - A behaviour
    - \* Pressing the ‘power’ button will turn ON/OFF
    - \* Rotating the volume knob will increase/decrease the volume
    - \* Using the buttons on the remote control will change displayed channel, brightness, contrast etc...
    - \* And don't forget you need to plug-in before use!
- How would that be represented in the code?
  - The state can be represented by some **attributes** (variables):
    - \* A boolean can represent the ON/OFF state
    - \* For the currently displayed channel you can use an integer
    - \* Volume, contrast, luminosity etc... they all get their own variable(s)
  - The behaviour can be implemented through the **methods**:
    - \* For example the `turn_on()` and `turn_off()` functions may change the value of the variable and also produce all the related changes (i.e. start/stop video and audio)
    - \* You will probably have the `next_channel()` and `previous_channel()` functions for zapping and so on...
    - \* Of course it can be much more complex than that!
- Attributes and methods are collectively called **members** of the class
- Each object of a specific class is an **instance** of that class

## Python Classes

Convenzione: le classi le scrivo con l'iniziale maiuscola.

```

1 # Here we define the class
2 class Television:
3     """ Television class. I will follow the convention of starting class names
4         with an uppercase. """
5     pass # oops we have no code yet!
6
7     """To create instances of a class in python we use the parenthesis operator '()' .
8     The syntax is similar to calling a function -- which is actually what is
9     happening behind the scenes, as we will see later"""
10 my_television = Television() # my_television is an instance of the class Television
11
12 print(type(my_television)) # Check its type
13
14 your_television = Television() # And this is another instance
15
16 # Let's check that they are really two different objects
17 print(my_television is not your_television)

```

```

1 [Output]
2 <class '__main__.Television'>
3 True

```

In python tutti i tipi sono anche classi. Non esistono tipi di bassissimo livello.  
Persino le funzioni sono classi.

```

1 # Create an integer variable
2 this_is_an_int = 5
3 # Now check its type
4 print(type(this_is_an_int))
5
6 # Same with a string
7 this_is_a_string = 'Hello world!'
8 print(type(this_is_a_string))
9
10 # Same with a list
11 this_is_a_list = ['Frodo', 'Samvise', 'Meriadoc', 'Peregrino']
12 print(type(this_is_a_list))
13
14 # And even a function!
15 def this_is_a_function():
16     return 0
17
18 print(type(this_is_a_function))

```

```

1 [Output]
2 <class 'int'>
3 <class 'str'>
4 <class 'list'>
5 <class 'function'>

```

## Metodi

Per definire un metodo, basta definire una funzione dentro una classe.

Tutti i metodi di una classe ricevono automaticamente come primo argomento l'oggetto su cui li chiamiamo (`self`).

```

1  class Television:
2      """ Class describing a television.
3          """
4      def turn_on(self, channel=1): # Class method
5          """All the class methods get the object instance as their first argument.
6              It is customary to call this argument 'self', though is not required
7                  by the language rules (you can call it 'pippo' and it will work
8                      just as well)
9          """
10         print('Turning on {}'.format(self))
11         print('Showing channel {}'.format(channel))
12
13 tv = Television()
14 # Class methods and members are accessed through the '.' (dot) operator
15 # You must not pass the 'self' argument, it is added automatically!
16 tv.turn_on(channel=3)
```

```

1 [Output]
2 Turning on <__main__.Television object at 0x7fc718217470>
3 Showing channel 3
```

## Attributi

```

1  class Television:
2      """ Class describing a television.
3          """
4      pass
5
6  tv = Television()
7  # Add an attribute manually, with a simple assignment
8  # Attributes are accessed through the '.' (dot) operator
9  tv.x = 1
10 print(tv.x)
11 # This attribute is not shared with other instances of the class
12 another_tv = Television()
13 print(another_tv.x)
```

```

1 [Output]
2 1
3 Traceback (most recent call last):
4 File "snippets/class_attributes_1.py", line 13, in <module>
5     print(another_tv.x)
6     AttributeError: 'Television' object has no attribute 'x'
```

```

1  class Television:
2      """ Class describing a television.
3          """
4      def add_an_attribute(self):
```

```

5      """ Add a class attribute (remember the meaning of 'self') """
6      self.current_channel = 1
7
8  tv = Television()
9  # Add an attribute from inside a class method
10 tv.add_an_attribute()
11 print(tv.current_channel)
12
13 # Again, attributes are not shared
14 another_tv = Television()
15 another_tv.add_an_attribute()
16 # Changing the attribute for one will not affect other instances of the class
17 tv.current_channel = 5
18 # The following line will print 1, not 5
19 print(another_tv.current_channel)

```

```

1 [Output]
2 1
3 1

```

## Costruttore

- Adding attributes like that would be crazy... what would happen if I forgot to call the 'add\_a\_class\_attribute()' method in the previous example?
- Luckily there is a solution for that: the class **constructor**
- The constructor is a special method that is called automatically each time a class instance is created
- A specificity of the constructor is that it cannot return anything
- In Python the constructor is the `__init__` method<sup>8</sup>
- Class methods like `__init__`, with the name surrounded by two underscores, are called **special** methods or **dunder** methods.
- It is good practice to define all your class attributes inside the constructor!

```

1 class Television:
2     """ Class describing a television.
3     """
4     def __init__(self, owner):
5         """ The special method __init__ is called each time a class instance is
6             created. We can pass arguments to the constructor, just like any
7             function."""
8         print('Creating a television instance...')
9         self.model = 'Sv32X-553T' # This class attribute is hard-coded
10        self.owner = owner # This is set to the value of the argument
11
12    def print_info(self): # Let's see
13        """ Print the model and owner"""
14        message = 'This is television model {}, owned by {}'
15        print(message.format(self.model, self.owner))
16

```

<sup>8</sup>Actually the real constructor – that is the function responsible for creating the class instances – is the `new` operator, but 99% of the time you don't need to define that, as all classes have a default one which does the job for you

```

17 my_television = Television('Alberto')
18 my_television.print_info()
19 batman_television = Television('Batman')
20 batman_television.print_info()

```

```

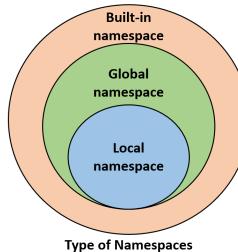
1 [Output]
2 Creating a television instance...
3 This is television model Sv32X-553T, owned by Alberto
4 Creating a television instance...
5 This is television model Sv32X-553T, owned by Batman

```

## Namespaces

Python dietro le quinte è basato su dei dizionari.

A **namespace** in Python is essentially a dictionary of *unique* names, each one associated to an object (which can be anything: a variable, a function, a class etc...).



Python creates separate namespaces for many things: for example, each time a function is called a namespace for local variables is created.

You can access objects in the local namespace (and those above – see picture) just with their name(s); for others you need the '.' (dot) operator.

The space of visibility of a variable is called its **scope**.

### Instance attributes vs class attributes

- Each class has a namespace. Plus, each instance of the class gets its own additional namespace
- The class namespace is automatically visible from each instance namespace, but not the opposite
- An attribute in an instance namespace is an **instance attribute**, and cannot be seen or modified by other instances of the class
- An attribute in the class namespace is a **class attribute** and is shared among all the instances
- Since class attributes are not related to a specific instance, they can be accessed without creating one!
- Class attributes are useful to set class constants, or default values, or share data among instances

### Class attributes (and their strange behaviour)

```

1 class Television:
2     """ Class describing a television.
3     """
4     NUMBER_OF_CHANNELS = 999 # This is a class attribute

```

```

5      # We don't need an instance to access class attributes
6      print(Television.NUMBER_OF_CHANNELS)
7      # But we can also access it through instances
8      tv = Television()
9      print(tv.NUMBER_OF_CHANNELS)
10
11     # Changing the attribute in the class namespace will change it for every instance
12     another_tv = Television()
13     Television.NUMBER_OF_CHANNELS = 998
14     print(another_tv.NUMBER_OF_CHANNELS)
15
16     # But assigning to that attribute in an instance namespace will create a copy!
17     # Result: the other instances won't be affected!
18     tv.NUMBER_OF_CHANNELS = 997
19     print(another_tv.NUMBER_OF_CHANNELS)

```

1 [Output]  
2 999  
3 999  
4 998  
5 998

### Ricapitolando:

- Object Oriented Programming (OOP) is a widespread programming paradigm, supported by many programming languages (old and modern), including Python
- An object has a state and a behaviour, represented by member variables (attributes) and member functions (methods) respectively
  - A class is a blueprint for creating objects, each object is an instance of a class
  - In Python classes are defined with the 'class' keyword and instanciated with the '()' operator
  - Class attributes and methods (globally called members) are accessed through the '.' operator
  - All the class methods get the object instance as their first argument (usually named 'self')
  - You should declare class attributes in the constructor a.k.a. the `__init__` function
  - Instance attributes are not shared: each instance has its own copy of the data
  - Class attributes are declared outside methods and are shared among all the instances of a class

## Encapsulation - hidden state and interfaces

C'è un'importante differenza tra interfaccia e implementazione.

Pensiamo sempre all'esempio della televisione:

- Note that part of the state is hidden from the user (E.g. internal switches, transistors, etc...)
- You do not need to know what's going on inside the case to operate a TV!
- All you need to know is how to use the **interface** (the remote control, the knobs, the power button, the plug...)
- The **implementation** details are hidden: only the TV producer cares about them, not the user.
- This leads us to the concept of **encapsulation**
  - *The state of an object should only be accessed and altered through its publicly exposed interface*
- That way it is easier to find bugs: you know that, if something is wrong with an object, the problem lays inside the class code
- That way you can also *enforce behaviour*: for example you can prevent from changing the channel if the TV is off

**Nota:** una variabile privata è accessibile solo dall'interno della classe.

## Enforcing behaviour

```

1  class Television:
2      """ Class describing a television.
3      """
4
5      def __init__(self):
6          """ Class constructor"""
7          self.is_on = False
8          self.current_channel = 1
9
10     def turn_on(self):
11         """ Turn on the tv (I omit the turn_off() method for brevity)"""
12         print('Turning on!')
13         self.is_on = True
14
15     def next_channel(self):
16         """ Go to next channel. Works only if the tv is on! """
17         if (self.is_on):
18             self.current_channel += 1
19
20     tv = Television()
21     tv.next_channel() # This will do nothing
22     print(tv.current_channel)
23     tv.turn_on()
24     tv.next_channel() # This will work
25     print(tv.current_channel)

```

```

1 [Output]
2 1
3 Turning on!
4 2

```

At this point you may be wondering: I can read and modify any class attribute from outside the class using the '.' (dot) operator! Doesn't that break encapsulation? Yes it does - but there are ways to fix it!

## Pythonic encapsulation

- In languages like C++ you can explicitly declare that some class attributes (and methods) are *private*
- In Python there is no concept of *enforced* private attributes
- However, there exists a convention that any attribute/method name prepended by one or two underscore(s) should be considered "private"
- It's like a warning for the class user: you should never access that directly!
- In the case of two underscores Python will actually do a subtle thing to help keeping the data private – it will prepend `_classname` to the actual attribute name (see next example)
- However, not everyone in the Python community loves that
- "Never, ever use two leading underscore. This is annoyngly private"  
[Alex Martelli, member of the Python Software Foundation, author of 'Python in a Nutshell' and co-author of 'The Python cookbook']

## "Private" attributes in Python

```

1  class Television:
2      """ Class describing a television.
3      """
4      def __init__(self, owner):
5          """ Class constructor"""
6          # Single underscore - tells the user he shouldn't access the variable
7          # directly outside the class
8          self._model = 'Sv32X-553T'
9          # Double underscore - python will prepend _Television to the name
10         self.__owner = owner
11
12
13 tv = Television('Alberto')
14 # The following line is bad practice, but it's technically possible
15 print(tv._model)
16 # Even with two underscores I can still access it if I know the "trick"
17 print(tv._Television__owner)

```

```

1 [Output]
2 Sv32X-553T
3 Alberto

```

## Pythonic encapsulation with properties

- The possibility of making variables "private" (enforced or not) is not enough of course, because sometimes we still want to let the user read or even modify the value of the attribute
- The "old" solution for that is providing access functions (the infamous "getters/setters")
- But the awsome solution is using `properties` (since Python 2.2)

- Properties look similar to getters and setters, but with a twist: you keep accessing the attribute with the dot operator
- In order to understand why this makes a *huge* difference let's start with an example: suppose you have a class for 2-D vectors

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d. We use float() to make sure of storing
5         the coordinates in the correct format """
6     def __init__(self, x, y):
7         self.x = float(x)
8         self.y = float(y)
9
10    def module(self):
11        return math.sqrt(self.x**2 + self.y**2)
12
13    def angle(self):
14        return math.atan2(self.y, self.x)
15
16 v = Vector2d(3., -1.)
17 print(v.x, v.y)
18 print(v.module(), v.angle())

```

```

1 [Output]
2 3.0 -1.0
3 3.1622776601683795 -0.3217505543966422

```

- Suppose you later realize that you use your Vector2d a lot for performing rotations
- It would be much faster to store the angle and the module, instead of x and y, as the rotation would reduce to a simple addition of the angles
- You may think of rewriting your class in that way...

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d - storing module and angle. """
5     def __init__(self, module, angle):
6         self.module = float(module)
7         self.angle = float(angle)
8
9     def x(self):
10        return self.module * math.cos(self.angle)
11
12    def y(self):
13        return self.module * math.sin(self.angle)
14
15 v = Vector2d(3.1622776601683795, -0.3217505543966422)
16 print(v.module, v.angle)
17 print(v.x(), v.y())

```

In questo caso `module` e `angle` sono attributi, mentre `x` e `y` sono funzioni.

```

1 [Output]
2 3.1622776601683795 -0.3217505543966422
3 3.0 -1.0

```

- ... now, however, you have a big problem: your old code is broken!
- In every place where you were calling `v.x` or `v.module()` now you get an error that needs to be fixed
- If other people use your code that is even worse, because you are breaking *their* code
- Without properties the solution would have been to design the class from the start with private attributes and access functions

## Old-style encapsulation: never do that!

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d. Old-style encapsulation."""
5     def __init__(self, x, y):
6         self._x = float(x)
7         self._y = float(y)
8
9     def x(self):
10        return self._x
11
12    def y(self):
13        return self._y
14
15    def module(self):
16        return math.sqrt(self._x**2 + self._y**2)
17
18    def angle(self):
19        return math.atan2(self._y, self._x)
20
21 v = Vector2d(3., -1.)
22 print(v.x(), v.y())
23 print(v.module(), v.angle())

```

```

1 [Output]
2 3.0 -1.0
3 3.1622776601683795 -0.3217505543966422

```

- The class data are now "encapsulated", but that is still not ideal:
  1. You have written a lot of code just to provide access to a bunch of variables
  2. You will have to write even more methods if you want to let the user modify that variables as well (e.g. `set_x()`, `set_y()` and so on)
  3. You have to write all this code right from the start, even if you never need it, otherwise you run the risk of getting screwed later
- `properties` solve the problem by *emulating attributes*

## Properties to emulate attributes

La property emula l'esistenza di un attributo.

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d - storing module and angle."""
5     def __init__(self, module, angle):

```

```

6     self.module = float(module)
7     self.angle = float(angle)
8
9     @property
10    def x(self):
11        return self.module * math.cos(self.angle)
12
13    @property
14    def y(self):
15        return self.module * math.sin(self.angle)
16
17 v = Vector2d(3.1622776601683795, -0.3217505543966422)
18 print(v.module, v.angle)
19 # We don't need to call v.x() anymore - we can simply use v.x!
20 print(v.x, v.y)

```

```

1 [Output]
2 3.1622776601683795 -0.3217505543966422
3 3.0 -1.0

```

## Setter properties

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d - storing module and angle."""
5     def __init__(self, module, angle):
6         self.module = float(module)
7         self.angle = float(angle)
8
9     @property
10    def x(self):
11        return self.module * math.cos(self.angle)
12
13    @property
14    def y(self):
15        return self.module * math.sin(self.angle)
16
17    @x.setter
18    def x(self, x): # this function must be called as the property
19        """ Here we actually need to update module and angle"""
20        self.module, self.angle = math.sqrt(x**2 + self.y**2), \
21                                math.atan2(self.y, x)
22
23 v = Vector2d(3.1622776601683795, -0.3217505543966422)
24 print(v.x)
25 v.x = 1.
26 print(v.x)

```

Alla riga 25, dietro le quinte sto chiamando il setter (x è un attributo emulato).

```

1 [Output]
2 3.0
3 1.0000000000000002

```

## Make attributes read-only using properties

```

1  class Television:
2      """ Class describing a television.
3      """
4
5      def __init__(self, owner):
6          """ Class constructor"""
7
8          self._owner = owner # owner is private
9
10
11     @property
12     def owner(self):
13         return self._owner
14
15     @owner.setter
16     def owner(self, new_owner):
17         """ Make the attribute read-only by acting on the setter"""
18         print('Nope {}. Do you want to steal my tv?'.format(new_owner))
19
20
21     tv = Television('Batman')
22     print('This tv belongs to {}'.format(tv.owner))
23     tv.owner = 'Joker'
24     print('This tv belongs to {}'.format(tv.owner))

```

```

1 [Output]
2 This tv belongs to Batman
3 Nope Joker. Do you want to steal my tv?
4 This tv belongs to Batman

```

### Final note on properties

- Bottom line: when writing a class, you don't need to make attributes private right from the start
- You can start with public attributes, and use properties later to enforce access/modification rules
- That way you only write the code that you really need

Ricapitolando: property permette di fingere che esistano attributi che in realtà non esistono.

Inizio con variabili pubbliche. Se in futuro decido di trasformarle in private, definisco una property.

Quando scriviamo una classe partiamo con tutte le variabili pubbliche. Dopodiché, se ci accorgiamo ad esempio che una variabile sia di sola lettura: la rendo privata, definisco la property di lettura e poi, volendo, definisco la property di scrittura in modo che mi restituiscia un errore.

## Interfaccia vs Implementazione

Quando scriviamo un codice dobbiamo pensarci in termini dell'interfaccia.

L'interfaccia pubblica deve essere più stabile possibile: scrivo il codice in modo da non cambiare l'interfaccia. Nel caso di una classe, gli attributi pubblici fanno parte dell'interfaccia.

- A physicist thinks:

– "I have this super-cool algorithm to solve the problem I am working on: I will code it carefully, than put together quickly some basic interface to pass data to it and write results to screen / file. I need the results quickly for my paper; I can always improve the interface later, right?"

- A programmer thinks:

– "I will create a nice interface for the user to handle input/output in different formats and I will try to keep it as stable as possible in the future. I will start with no algorithm at all – I will just use random numbers to test the interface. I can always implement the actual algorithm later, right?"

- You don't need to think like a programmer - doing physics is your goal - but remember that **interfaces are important**
- The concept of interface does not just apply to the program as a whole: every significant portion of code (function, class) has its interface
- The interface of a class in Python is made by all its "public" members (methods and attributes) – i.e. those without an underscore at the beginning of their name
- Changing the interface may break every other piece of code that uses it. You want to do that *as less as possible*
- You should not access "private" members directly - even if you can. Always pass through the interface

### Short summary

- Encapsulation is the technique of hiding part or all the class state to the user; he can only access and modify that through the class methods
- Encapsulation helps debugging by limiting the number of places in the code that can mutate the state of an object
- It can also be useful to enforce behaviour
- Encapsulation in Python is not enforced by the language, but rather relies on conventions
- Class members with an underscore at the beginning of their name are considered 'private' and should not be accessed directly outside the class
- You can use properties to encapsulate your data at any moment in time - never use 'getters' and 'setters'
- Interfaces should not change frequently!

## Ereditarietà

L'idea dell'ereditarietà è quella di riutilizzare il più possibile il codice. Funziona creando funzioni specializzate di una classe di partenza.

**Nota:** l'ereditarietà è transitiva.

- Suppose for a moment that you are coding the Monte Carlo for a physics experiment
- You want to simulate interactions of charged particles in some detector using OOP paradigm
- You may have a class Detector and a class for each particle that you need to simulate
- Let's say you have a class Electron, a class Positron, a class Proton and a class Alpha
- If you think about it, these classes will have a lot of code in common
- For example they all need to store their mass, charge, position, velocity (or momentum), possibly spin etc...
- They may also have similar behaviour, though that is less obvious
- We know that duplicate code is evil (DRY): how do we avoid that?
- Many languages - including Python offer a solution for that: **inheritance**
- A class can inherit from another one, automatically obtaining all its functionalities (attributes and methods) and then extending or specializing them
- The class which we inherit from is called *Base* class, *Parent* class or (in Python) *Superclass*
- The class inheriting is called *Derived* class or *Child* class
- In our problem we can imagine to have a base class 'Particle' and many specialized classes inheriting from it
- Inheritance is transitive: if class C inherits from class B, and class B inherits from class A, then class C is also a child of class A (and possesses all its functionalities)

## Inheritance: a basic example

Nel costruttore della classe figlia si chiama il costruttore della classe madre esplicitamente. Nota: questa chiamata è un po' diversa.

```

1 import math
2
3 class Particle:
4     """ Class describing a generic particle.
5     """
6     def __init__(self, mass, charge=0, name=None, momentum=0.):
7         """ Class constructor"""
8         self.mass = mass # in MeV
9         self.charge = charge # in e
10        self.name = name
11        self.momentum = momentum # in MeV/c
12
13    def energy(self):
14        """ Return the energy of the particle in MeV/c^2"""
15        return math.sqrt(self.momentum**2. + self.mass**2.)
16
17 class Electron(Particle):
18     """ Class describing an electron. We inherit from Particle
19     """
20     def __init__(self, momentum=0.):
21         """ Derived class constructor. We call the base class constructor"""
22         Particle.__init__(self, 0.511, -1., 'e-', momentum)
23

```

```

24     el = Electron(momentum=1.)
25     print('Energy of {} is {:.4f} MeV/c^2'.format(el.name, el.energy()))

```

```

1 [Output]
2 Energy of e- is 1.1230 MeV/c^2

```

## Overload

Overload dei metodi: lo stesso metodo lo definiamo sia nella classe madre che nelle classi figlie. Facendo ciò, succede che il metodo nella classe figlia "sovrascrive" il metodo nella classe base.

```

1  class Animal:
2      def sound(self):
3          return None
4
5  class Dog(Animal):
6      def sound(self):
7          """ This will shadow the method in the base class"""
8          return 'Woof!'
9
10 class Cat(Animal):
11     def sound(self):
12         """ This will shadow the method in the base class"""
13         return 'Meow!'
14
15 class SilentAnimal(Animal):
16     pass # I make no sound
17
18 animals = [Animal(), Cat(), Dog(), SilentAnimal()]
19 for animal in animals:
20     print(animal.sound())

```

```

1 [Output]
2 None
3 Meow!
4 Woof!
5 None

```

## Ereditarietà multipla

```

1  class AudioDevice:
2      def play(self, channel):
3          print('You are listening to channel n. {}'.format(channel))
4
5  class VideoDevice:
6      def play(self, channel):
7          print('You are looking to channel n. {}'.format(channel))
8
9  # Multiple inheritance!
10 class Television(AudioDevice, VideoDevice):
11     def show(self, channel):
12         AudioDevice.play(self, channel)
13         VideoDevice.play(self, channel)
14
15 tv = Television()

```

```

16 tv.show(5)
17 # Is this a good idea?
18 tv.play(6) # Which one do we get? Why?
19 # Hint
20 # print(Television.mro())

```

mro sta per *resolution order*: la classe da cui eredita per prima è la prima in questo ordine di precedenza.

```

1 You are listening to channel n. 5
2 You are looking to channel n. 5
3 You are listening to channel n. 5

```

**Nota:** Don't abuse inheritance!

In generale: più i pezzi di codice sono indipendenti e più è facile programmare.

## Composizione

Ho come attributo di una classe un oggetto di un'altra classe.

- **Composition** is a different technique for reusing functionalities
- The concept is simple: just use an object of some class as a member of a different one
- For example we can create the classes 'Enigne' and 'Wheel' and than the class 'Car' will have a member of type Engine and 4 members of type Wheel
- A class like 'Car' in the example is sometimes called an **aggregate** class

```

1 class Engine:
2     """ Class describing a fuel engine
3     """
4     def start(self):
5         """ Start the engine """
6         print('Broom broom!')
7
8 class Car:
9     """Class describing a car.
10    """
11    def __init__(self):
12        self.engine = Engine()
13
14    def drive(self):
15        """ Start the car """
16        self.engine.start()
17
18 ferrari = Car()
19 ferrari.drive()

```

```

1 [Output]
2 Broom broom!

```

## Composition vs Inheritance

la composizione si usa quando voglio rappresentare una classe di appartenenza. Mentre con l'ereditarietà rappresento una relazione diversa: un elettrone eredita dalla classe particella perché un elettrone è una particella.

- Composition models a '**has-a**' relation in the real world: a Car *has* a Engine
- Inheritance models a '**is-a**' relation in the real world: an Electron *is* a Particle
- It may not always be obvious which one to use in your specific case: choose wisely!

Se siamo nel dubbio è meglio usare la composizione: è più safe.

## Pitfalls of Inheritance

- Inheritance is a wild beast. There are entire libraries written about how and when (not) to use it
- Question for you: should a Square inherits from a Rectangle?
- Seems legit: a Square *is* a specialized Rectangle
- But what happens if the Rectangle class has a changeHeight() method?
- **Liskov Substitution Principle:** you should always be able to use a derived class instead of a base class in your code
- In other words: a derived class should always extend or specialize the functionalities of the base class, never restrict them!

### Short summary

- A class can inherit functionalities from one or more other classes (Inheritance)
- The class that inherits is call Derived (or Child) class the inherited one is the Base (or Parent) class
- Inheritance models an *is-a* relationship
- Classes can also incorporate other objects as class members (Composition)
- Composition models an *has-a* relationship
- Inheritance is tricky: use it with care!

## Gio 6 ott - Lezione 5

### Lecture basic: 5 - OOP Introduction (2/2)

- Suppose we want to create a class for managing 2D vectors<sup>9</sup>
- That's just for learning: there are already plenty of libraries for doing array operations - like numpy!
- Anyway let's start coding some useful methods for it

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d. We use float() to make sure of storing
5         the coordinates in the correct format """
6     def __init__(self, x, y):
7         self.x = float(x)
8         self.y = float(y)
9
10    def module(self):
11        return math.sqrt(self.x**2 + self.y**2)
12
13    def info(self):
14        print ('Vector2d({}, {})'.format(self.x, self.y))
15
16    def add(self, other):
17        return Vector2d(self.x + other.x, self.y + other.y)
18
19 v = Vector2d(3., -1.)
20 v.info()
21 print(v.module())
22 z = Vector2d(1., 1.5)
23 t = v.add(z)
24 t.info()
```

```

1 [Output]
2 Vector2d(3.0, -1.0)
3 3.1622776601683795
4 Vector2d(4.0, 0.5)
```

- This kind of works but..... isn't that ugly?
- Look at the lines `v.info()` or `v.module()`. It would be far more readable to just do `print(v)` and `abs(v)`
- And what about `t = v.add(z)`? Why not `t = v + z`?
- In Python there is a tool that allows you to do just that: **special methods**
- Last lesson we saw that special methods (or dunder methods or magic methods) are methods like `__init__` and got a special treatment by the Python interpreter
- There are a few tens of special methods in Python. Let's see how they work

<sup>9</sup>The content of this lesson is vastly based on the book '*Fluent Python*' by Luciano Ramalho

## Special Methods

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d """
5     def __init__(self, x, y):
6         self.x = float(x)
7         self.y = float(y)
8
9     def __abs__(self):
10        # Special method!
11        return math.sqrt(self.x**2 + self.y**2)
12
13 v = Vector2d(3., -1.)
14 # The Python interpreter automatically replace abs(v) with Vector2d.__abs__(v)
15 print(abs(v))

```

```

1 [Output]
2 3.1622776601683795

```

- And what about `print()`?
- There are actually two special methods used for that: `__str__` and `__repr__`
- `__str__` is meant to return a concise string for the user; it is called with `str()`
- `__repr__` is meant to return a richer output for debug. It is called with `repr()`
- `print()` automatically tries to get a string out of the object using `__str__`
- If there isn't one, it searches for `__repr__`. A default `__repr__` is automatically generated for you, if you haven't defined one

### `__str__` and `__repr__`

```

1 class Vector2d:
2     """ Class representing a Vector2d """
3     def __init__(self, x, y):
4         self.x = float(x)
5         self.y = float(y)
6
7     def __repr__(self):
8         # We don't want to hard-code the class name, so we dynamically get it
9         class_name = type(self).__name__
10        return ('{}({}, {})'.format(class_name, self.x, self.y))
11
12     def __str__(self):
13         """ We convert the coordinates to a tuple so that we can reuse the
14         __str__ method of tuples, which already provides a nice formatting.
15         Notice the two parenthesis: this line is equivalent to:
16         temp_tuple = (self.x, self.y)
17         return str(temp_tuple)
18         """
19
20         return str((self.x, self.y))
21
22 v = Vector2d(3., -1.)
23 print(v) # Is the same as print(str(v))
24 print(repr(v))
25 print('I got {} with __str__ and {!r} with __repr__.format(v, v))
```

```

1 [Output]
2 (3.0, -1.0)
3 Vector2d(3.0, -1.0)
4 I got (3.0, -1.0) with __str__ and Vector2d(3.0, -1.0) with __repr__

```

## Mathematical operations

```

1 class Vector2d:
2     """ Class representing a Vector2d """
3     def __init__(self, x, y):
4         self.x = float(x)
5         self.y = float(y)
6
7     def __add__(self, other):
8         return Vector2d(self.x + other.x, self.y + other.y)
9
10    def __mul__(self, scalar):
11        return Vector2d(scalar * self.x, scalar * self.y)
12
13    def __rmul__(self, scalar):
14        # Right multiplication - because a * Vector is different from Vector * a
15        return self * scalar # We just call __mul__, no code duplication!
16
17    def __str__(self):
18        # We keep this to show the results nicely
19        return str((self.x, self.y))
20
21 v, z = Vector2d(3., -1.), Vector2d(-5., 1.)
22 print(v+z)
23 print(3 * v)
24 print(z * 5)

```

```

1 [Output]
2 (-2.0, 0.0)
3 (9.0, -3.0)
4 (-25.0, 5.0)

```

## In-place operations

```

1 class Vector2d:
2     """ Class representing a Vector2d """
3     def __init__(self, x, y):
4         self.x = float(x)
5         self.y = float(y)
6
7     def __iadd__(self, other):
8         self.x += other.x
9         self.y += other.y
10        return self
11
12    def __imul__(self, other):
13        self.x *= other.x
14        self.y *= other.y
15        return self
16

```

```

17     def __str__(self):
18         return str((self.x, self.y))
19
20     v = Vector2d(3., -1.)
21     z = Vector2d(-5., 1.)
22     v += z
23     print(v)
24     v *= z
25     print(v)

```

```

1 [Output]
2 (-2.0, 0.0)
3 (10.0, 0.0)

```

L'addizione fatta con `__iadd__` è concettualmente diversa da quella fatta con `__add__`. Infatti in questo caso non sto creando un nuovo vettore, ma sto modificando gli attributi del vettore su cui è chiamata la funzione.

## Comparisons

### In-place operations

```

1 import math
2
3 class Vector2d:
4     """ Class representing a Vector2d """
5     def __init__(self, x, y):
6         self.x = float(x)
7         self.y = float(y)
8
9     def __abs__(self):
10        # We need this for __eq__
11        return math.sqrt(self.x**2 + self.y**2)
12
13    def __eq__(self, other):
14        # Implement the '==' operator
15        return ((self.x, self.y) == (other.x, other.y))
16
17    def __ge__(self, other):
18        # Implement the '>=' operator
19        return abs(self) >= abs(other)
20
21    def __lt__(self, other):
22        # Implement the '<' operator
23        return abs(self) < abs(other)
24
25    def __repr__(self):
26        # We define __repr__ for showing the results nicely
27        class_name = type(self).__name__
28        return ('{}({}, {})'.format(class_name, self.x, self.y))

```

Alla riga 15 sfruttiamo l'uguaglianza delle `tuple` in modo da risparmiarci l'implementazione from scratch dell'uguaglianza tra `float`.

```

1 from vector2d_comparable import Vector2d
2
3 v, z = Vector2d(3., -1.), Vector2d(3., 1.)
4 print(v >= z, v == z, v < z)
5 # This works even if we don't define the __gt__ method explicitly

```

```

6   print(v > z)

7
8   vector_list = [Vector2d(3., -1.), Vector2d(-5., 1.), Vector2d(3., 0.)]
9   print(vector_list)
10 # Tho make the following line work we need to implement either __ge__ and __lt__
11 # or __gt__ and __le__ (we need a complementary pair of operator)
12 vector_list.sort()
13 print(vector_list)
14 # Note: we got the full power of timsort for free! Nice :)
```

```

1 [Output]
2 True False False
3 False
4 [Vector2d(3.0, -1.0), Vector2d(-5.0, 1.0), Vector2d(3.0, 0.0)]
5 [Vector2d(3.0, 0.0), Vector2d(3.0, -1.0), Vector2d(-5.0, 1.0)]
```

## An hashable Vector2d

- Ok now let's try to make our vector2d *hashable*
- Hashable objects can be put in *sets* and used as keys for dictionaries
- To make an object hashable we need to fulfill 3 requirements:
  - It has to be immutable - otherwise you may not retrieve the correct hash
  - It needs to implement a `__eq__` function, so one can compare objects of this class
  - It needs a (reasonable) `__hash__` function
- Rules for a good hash function:
  - Must return the same value for objects that compare as equal
  - Should rarely return the same value for different objects
  - Should sample the result space uniformly

```

1 class Vector2d:
2     """ Class representing a Vector2d """
3     def __init__(self, x, y):
4         """ We tell the user that x and y are private """
5         self._x = float(x)
6         self._y = float(y)
7
8     @property
9     def x(self):
10        """ Provides read only access to x - since there is no setter """
11        return self._x
12
13    @property
14    def y(self):
15        """ Provides read only access to y - since there is no setter """
16        return self._y
17
18    def __eq__(self, other):
19        return ((self.x, self.y) == (other.x, other.y))
20
21    def __hash__(self):
22        """ As hash value we provide the logical XOR of the hash of the two
23        coordinates """
24        return hash(self._x) ^ hash(self._y)
```

```

26     def __repr__(self):
27         # Again we need __repr__ to display the results nicely
28         class_name = type(self).__name__
29         return '{}({!r}, {!r})'.format(class_name, self.x, self.y)

```

```

1  from vector2d_hashable import Vector2d
2
3  v, t, z = Vector2d(3., -1.), Vector2d(-5., 1.), Vector2d(3., -1.)
4  # Check the equality
5  print(v == t, v == z, t == z)
6  # Check the hash: v and z are equal, so they will have the same hash
7  print(hash(v), hash(t), hash(z))
8  # v and t have different hash, so they can be in the same set
9  print({v, t})
10 # v and z have the same hash -- only one will be stored in the set!
11 print({v, z})

```

```

1 [Output]
2 False True False
3 -3 -6 -3
4 Vector2d(-5.0, 1.0), Vector2d(3.0, -1.0)
5 Vector2d(3.0, -1.0)

```

## Array N-dimensionali

- 2d array are boring... why not a N-d array?
- Of course we cannot store the components explicitly like before
- We need a container for that and we will use *array* from the array library
- This is an example of **composition**
- Question for you: why not a list or a tuple? → Perché le liste sono lente, non sono pensate per fare operazioni matematiche (i dati in memoria non sono contigui)
- Note: *array* uses a typecode (a single character) for picking the type. 'd' is the typecode for float numbers in double precision.

```

1 import math
2 from array import array
3
4 class Vector:
5     """ Class representing a multidimensional vector"""
6     typecode = 'd' #We use a class attribute to save the code required for array
7
8     def __init__(self, components):
9         self._components = array(self.typecode, components)
10
11    def __repr__(self):
12        """ Calling str() of an array produces a string like
13        array('d', [1., 2., 3., ...]). We remove everything outside the
14        square parenthesis and add our class name at the beginning."""
15        components = str(self._components)
16        components = components[components.find('['): -1]
17        class_name = type(self).__name__
18        return '{}({!r})'.format(class_name, components)
19
20    def __str__(self):

```

```

21         return str(tuple(self._components)) # Using str() of tuples as before
22
23 v = Vector([5., 3., -1, 8.])
24 print(v)
25 print(repr(v))

```

A riga 6 stiamo salvando il `typecode` come attributo della classe: ovvero è condiviso con tutte le istanze della classe.

```

1 (5.0, 3.0, -1.0, 8.0)
2 Vector([5.0, 3.0, -1.0, 8.0])

```

- Now that we have an arbitrary number of components, we cannot access them like `vector.x`, `vector.y`, ... anymore
- What we want is a syntax similar to that of lists: `vector[0]`, `vector[1]` and so on
- There are two magic methods for that: `__getitem__` for access and `__setitem__` for modifying
- While we are at it, we also implement the `__len__` method, which allows us to call `len(vector)`

```

1 import math
2 from array import array
3
4 class Vector:
5     """ Classs representing a multidimensional vector"""
6     typecode = 'd'
7
8     def __init__(self, components):
9         self._components = array(self.typecode, components)
10
11    def __getitem__(self, index):
12        """ That's super easy, as we get to reuse the __getitem__ of array!"""
13        return self._components[index]
14
15    def __setitem__(self, index, new_value):
16        """ Same as __getitem__, we just delegate to the __setitem__ of array"""
17        self._components[index] = new_value
18
19    def __len__(self):
20        """ Did I just write that we like to delegate? """
21        return len(self._components)
22
23    def __repr__(self):
24        components = str(self._components)
25        components = components[components.find('['): -1]
26        class_name = type(self).__name__
27        return '{}({})'.format(class_name, components)

```

```

1 from vector_random_access import Vector
2
3 v = Vector([5., 3., -1, 8.])
4
5 print(len(v))
6
7 print(v[0], v[1])
8

```

```

9  v[1] = 10.
10 print(v)
11
12 print(v[9]) # This will generate an error!

```

```

1 [Output]
2 4
3 5.0 3.0
4 Vector([5.0, 10.0, -1.0, 8.0])
5 Traceback (most recent call last):
6   File "snippets/test_vector_random_access.py", line 12, in <module>
7     print(v[9]) # This will generate an error!
8   File "/data/work/teaching/cmepda/slides/latex/snippets/vector_random_access.py", line 13,
9     return self._components[index]
10 IndexError: array index out of range

```

## An Iterable Vector

- Now our vector behaves a bit like a native python list
- However a list has a very powerful feature we miss: it's **iterable**
- An *iterable* in Python is something that has a `__iter__` method, which returns an **iterator**
- Technically, an iterator is an object that implements the `__next__` special method, which is used to retrieve elements one at a time
- We will not discuss iterators any further here: instead, we will just exploit composition and borrow the `__iter__` method from the underlying array

```

1 import math
2 from array import array
3
4 class Vector:
5     """ Class representing a multidimensional vector"""
6     typecode = 'd'
7
8     def __init__(self, components):
9         self._components = array(self.typecode, components)
10
11     def __iter__(self):
12         """ We don't need to code anything... an array is already iterable!"""
13         return iter(self._components)
14
15 if __name__ == '__main__':
16     v = Vector([5.1, 3.7, -25.])
17     for component in v:
18         print(component)

```

```

1 [Output]
2 5.1
3 3.7
4 -25.0

```

## Duck Typing<sup>10</sup>

```

1  class Duck:
2      """ This is a duck - it quacks"""
3
4      def quack(self):
5          print('Quack!')
6
7  class Goose:
8      """ This is a goose - it quacks too"""
9
10     def quack(self):
11         print('Quack!')
12
13 class Penguin:
14     """ This is a penguin -- He doesn't quack!"""
15     pass
16
17 birds = [Duck(), Goose(), Penguin()]
18
19 for bird in birds:
20     bird.quack()

```

```

1  [Output]
2  Quack!
3  Quack!
4  Traceback (most recent call last):
5      File "snippets/duck_typing.py", line 20, in <module>
6          bird.quack()
7  AttributeError: 'Penguin' object has no attribute 'quack'

```

## Polymorphism

- Reuse the same code for different things
- In statically typed languages this is typically done with inheritance, e.g. we make Duck and Goose inherit from a base class QuackingBird() or something like that
- Python is dynamic, so we can use duck typing for that. We just need to implement the quack() method for both Ducks() and Goose() and we are done
- In other words we obtain polymorphism just by satisfying the required interface (in this case the quack() function)

## The power of iterables

- Having an iterable Vector (thanks to the `__iter__` magic method) makes all the difference in the world
- There are a lot of built-in and library functions in python accepting a generic iterable as input:
  - `sum`: Sum all the elements
  - `max/min`: Return the maximum/minimum
  - `enumerate`: Iterate with automatic counting of iterations
  - `map`: Apply a function to the elements one by one
  - `filter`: Iterate only on the elements passing a given condition

<sup>10</sup>"If it looks like a duck and quacks like a duck, it must be a duck."

- *zip*: Iterate over pairs of elements (requires two iterables)
- Countless others can be found in the *itertools* library
  - *islice*: Slice the loop with start, stop and step
  - *takewhile*: Stop looping when a condition becomes false
  - *chain*: Loop through many sequences one after another
  - *cycle*: Loop over the sequence repeatedly, indefinitely
  - *permutations*: Get all the permutations of a given length
  - And so on...
- With duck typing we can now use any of that for our Vector class – isn't that cool?

```

1  from vector_iterable import Vector
2  from itertools import permutations
3
4  vec = Vector([1., 2., 4.])
5
6  # Select only the elements passing a given condition
7  def filter_function(x):
8      return x > 3.
9
10 filtered = [x for x in filter(filter_function, vec)] # list comprehension
11 print(filtered)
12
13 # Print all the permutations of two elements
14 for p in permutations(vec, 2):
15     print(p)

```

```

1  [Output]
2  [4.0]
3  (1.0, 2.0)
4  (1.0, 4.0)
5  (2.0, 1.0)
6  (2.0, 4.0)
7  (4.0, 1.0)
8  (4.0, 2.0)

```

## A vector that behaves like a duck

```

1  import math
2  from array import array
3
4  class Vector:
5      """ Classs representing a multidimensional vector"""
6      typecode = 'd'
7
8      def __init__(self, components):
9          self._components = array(self.typecode, components)
10
11     def __len__(self):
12         return len(self._components)
13
14     def __iter__(self):
15         return iter(self._components)
16
17     def __str__(self):

```

```

18     return str(tuple(self)) # tuple() accept an iterable
19
20     def __abs__(self):
21         return math.hypot(*self._components)
22
23     def __add__(self, other):
24         """ zip returns a sequence of pairs from two iterables"""
25         return Vector([x + y for x, y in zip(self, other)])
26
27     def __eq__(self, other):
28         return (len(self) == len(other)) and \
29                 (all(a == b for a, b in zip(self, other))) # Efficient test!

```

```

1  from vector_ducked import Vector
2
3  v = Vector([1., 2., 3.])
4  t = Vector([1., 2., 3., 4.])
5  z = Vector([1., 2., 5.])
6  u = Vector([1., 2., 3.])
7
8  print(v)
9  print(abs(v))
10 print(sum(v))
11 print(v == t, v == z, v == u)
12 print(v+z)
13 print(v+t) # Note the result: this is due to the behaviour of zip()!

```

```

1 [Output]
2 (1.0, 2.0, 3.0)
3 3.741657386773941
4 6.0
5 False False True
6 (2.0, 4.0, 8.0)
7 (2.0, 4.0, 6.0)

```

## Function are classes

- Remember that in the past lesson I told you that functions are objects of the 'function' class.
- How are they implemented?
- With a special method - of course: `__call__`
- Every object implementing a `__call__` method is called **callable**

Esempio: Noi tipicamente a `curve_fit` passiamo una funzione, ma in realtà possiamo passarle un qualsiasi *callable*!

## A simple callable for a straight line

```

1 class Line:
2     """Class representing a straight line"""
3     def __init__(self, slope=1., intercept=0.):
4         self.slope = slope
5         self.intercept = intercept
6
7     def __call__(self, x):
8         return self.slope * x + self.intercept

```

```

9
10     def __str__(self):
11         return 'y = {} x + {}'.format(self.slope, self.intercept)
12
13     def __repr__(self):
14         return 'Slope = {}, Intercept = {}'.format(self.slope, self.intercept)
15
16 line = Line(slope=-2., intercept=1.)
17 print(line)
18 print(repr(line))
19 print(line(2.))

```

```

1 [Output]
2 y = -2.0 x + 1.0
3 Slope = -2.0, Intercept = 1.0
4 -3.0

```

## Create a call counter

```

1 class CallCounter:
2
3     """Wrap a generic function and count the number of times it is called"""
4
5     def __init__(self, func):
6         # We accept as input a function and store it (privately)
7         self._func = func
8         self.num_calls = 0
9
10    def __call__(self, *args, **kwargs):
11        """ This is the method doing the trick. We use *args and **kwargs to
12            pass all possible arguments to the function that we are wrapping"""
13        # We increment the counter
14        self.num_calls += 1
15        # And here we just return whatever the wrapped function returns
16        return self._func(*args, **kwargs)
17
18    def reset(self):
19        self.num_calls = 0

```

Il "wrapper" aggiunge un layer di funzionalità intermedie.  
Riga 16: siccome non conosciamo quali argomenti prende questa funzione, dobbiamo fare in modo che prenda un qualsiasi numero di argomenti.

## Fit hacking

```

1 import numpy
2 from scipy.optimize import curve_fit
3 import matplotlib.pyplot as plt
4 from callable import CallCounter
5
6 def line(x, m, q):
7     return m * x + q
8
9 # Generate the datasets: a straight line + gaussian fluctuations
10 x = numpy.linspace(0., 1., 20)
11 y = line(x, 2., 10.) + numpy.random.normal(0, 0.1, len(x))

```

```

12
13     # Fit
14     counting_func = CallCounter(line)
15     popt, pcov = curve_fit(counting_func, x, y, p0=[-1., -100.]) # p0 is mandatory here
16     print('Fitted with {} function calls'.format(counting_func.num_calls))
17
18     # Show the results
19     m, q = popt
20     plt.figure('fit with custom callable')
21     plt.plot(x, y, 'bo')
22     plt.plot(x, line(x, m, q), 'r-')
23     plt.show()

```

Riga 15: sto passando a `curve_fit` la funzione "wrappata". In questo caso devo per forza passargli `p0`, altrimenti `curve_fit` non ha modo di capire il numero di parametri: tipicamente per capirlo guarda gli argomenti della funzione che gli passiamo, ma in questo caso gli stiamo passando un callable generico!

```

1 [Output]
2 Fitted with 9 function calls

```

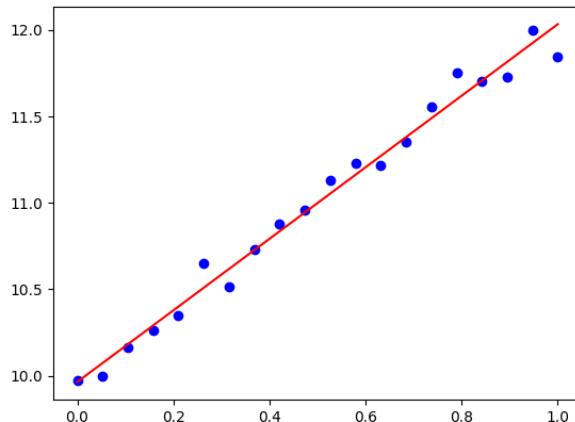


Figure 1.12: The fit works as usual

### Summary:

- Special methods can be used to greatly enhance the readability of the code
- There are tens of special methods in python, covering logical operations, mathematical operations, array-style access, iterations, formatting and many other things...
- Implementing the required interface in your classes you will be able to reuse a lot of code written for the standard containers thanks to duck typing, which is the pythonic way to polymorphism

## Lun 10 ott - Lezione 6

### Lecture Advanced 1: Testing and documentation

#### How do I make sure my program is correct?

In un linguaggio compilato alcune le verifiche le fa il compilatore, che fa per lo meno alcune verifiche. Nei linguaggi interpretati, come python è più difficile, perché fino a quando non **eseguiamo** il codice, nessuno sa che argomenti passiamo a una funzione. Si fanno due cose nei linguaggi interpretati: unit testing e static analysis (es. pylint).

- The short answer is: in real life you don't!
  - Especially if your code is asynchronous
- That is not the same a saying there is nothing you can do
- For compiled languages the compiler will flag all obvious (and a whole lotta of non-obvious) mistakes
  - This doesn't really apply to Python, since Python is interpreted
  - Although the interpreter will stop upon syntax errors
- Besides paying attention, there are two things that you can do even in interpreted languages:
  1. Unit testing
  2. Static analysis
- Generally people hate both, but they should come right next to version control in your work-flow toolbox

#### Unit testing naïve example

```

1 def square(x):
2     """Function returning the square of x.
3     """
4     return x**2.
5
6 def test():
7     """Dumb unit test---make sure that the square of 2. is 4.
8     """
9     assert square(2.) == 4.
10    print('Passed---cool!')
11
12 if __name__ == '__main__':
13     test()

```

```

1 [Output]
2 Passed---cool!

```

Il test in questo caso si assicura che il quadrato di 2 faccia 4. Chiaramente questo è un caso particolare. Unit testing significa spezzare il codice in unità elementari, individuare alcuni casi interessanti e verificare che in questi casi tutto funzioni a dovere.

Se facciamo crescere il nostro programma organicamente con una serie di unit test, riusciamo ad evitare molti errori!

## Unit testing in a nutshell

- Break up your program in many small pieces
  - Each piece should encapsulate a well-defined and (possibly) simple functionality. *Le funzioni che fanno 100 cose insieme non vanno bene, è meglio scomporle in 100 funzioni!*
- This is usually accomplished by means of a sensible hierarchy of functions and classes
  - And this is typically the hardest task when structuring your code
  - And the code will evolve with time, so you will find yourself **refactoring code** from time to time
  - Remember to be dry: don't repeat yourself
- Unit testing is: make sure that each single piece is correct by implementing a series of basic checks
  - You know what each elementary piece of code is suppose to be
  - Make sure it does
  - And make sure it does with any valid input
- This is much simpler than testing the whole program at once
  - Although you have to do that, too
- **Test-Driven Development (TDD)**
  1. Write an empty placeholder for your new function
  2. Write all the unit tests (they will fail)
  3. Implement your function and tweak it until all the tests pass

**TDD:** Quando scriviamo un codice, tipicamente abbiamo delle specifiche da rispettare. Scrivo prima il test in base alle specifiche; poi scrivo il corpo vuoto della funzione; e infine implemento la funzione finché passi tutti i test.

**È importante scrivere test e documentazione di pari passo con la stesura del codice!**  
Non devo aspettare la fine per farli!

## Back to our naïve example

Cosa può andare storto?

Cosa succede se passo una stringa alla nostra funzione? Avrò un `TypeError`. In questo caso è facile individuare l'errore, ma a volte non è così semplice. È molto utile scrivere un unit test che controlli che in input alla funzione sto dando un numero.

Ci sono infiniti modi in cui una cosa potrebbe andare storto!

```

1 def square(x):
2     """Function returning the square of x.
3     """
4     return x**2.
5
6 def test():
7     """Dumb unit test---make sure that the square of 2. is 4.
8     """
9     assert square(2.) == 4.
10    print('Passed---cool!')
11
12 if __name__ == '__main__':
13     test()

```

```

1 [Output]
2 Passed---cool!

```

- This is fine, but everything happens manually
  - You have to run the script yourself
  - You have to inspect the output yourself
- As your code grows in complexity, this is not very effective

[Le variabili d'ambiente sono la chiave per il funzionamento del sistema operativo.]

## Unit tests the Python way: The unittest module

C'è un'altra cosa che si chiama pytest, che ultimamente ha soppiantato unittest, ma la logica è la stessa.

Idealmente ogni volta che faccio una modifica vorrei runnare tutti i test.

C'è una cosa che si chiama **continuous integration**.

```

1 import unittest
2
3 def square(x):
4     """Function returning the suare of x.
5
6     In real life this would be in a differnt module!
7     """
8     return x**2.
9
10
11 class TestSquare(unittest.TestCase):
12
13     def test(self):
14         """Dumb unit test---make sure that the square of 2. is 4.
15         """
16         self.assertAlmostEqual(square(2.), 4.)
17
18
19 if __name__ == '__main__':
20     unittest.main()

```

```

1 [Output]
2 .
3 -----
4 Ran 1 test in 0.000s
5 OK

```

## Wait a moment... How is this different?

- This is much better!
- The base TestCase class offers all the goodies for unit testing
  - assertTrue(), assertFalse(), assertEquals(), assertAlmostEqual()...
- The execution can be easily made automatic:
  - Put all your unit test modules into a test folder

- Run `python -m unittest discover`
- (Or, even better, write a small Makefile or .bat script to do that)
- That's it—all your tests are run in sequence
- Did you just find a bug in your code?
  - Make sure you add a unit test along with the fix, so that you'll never be hurt again by that particular bug
- Are you adding a new feature?
  - Make sure the new code is covered by unit tests
  - You should not be obsessed by the coverage, but you should definitely aim for it to be as large as possible
- You should always make sure that all the unit tests are passing before merging stuff on the master
- More about this in a bit (we'll be talking about continuous integration)

## Static code analysis

- By its very nature, Python will show you all the errors at runtime
- Say you have a bug in a part of the code that is exercised very rarely, and not covered by unit tests
  - Python might crash the first time you exercise it...
  - or Python might happily do *something* that is not what you intended
- It might take years for even realizing that there is a bug
- Many common mistakes can be found by just looking at the code
  - And in fact all of them can, at least in principle
- Part of it can be done programmatically
  - Generally, a program will not *understand* your program
  - But a program can be trained to spot some kind of errors and inconsistencies
- Pylint and pyflakes are good examples of such tools

## Static analysis: an example

```

1 x = 1.
2 y = 2.
3 very_uncommon_condition = False
4 if very_uncommon_condition:
5     print(x + z)
6 else:
7     print(x + y)

```

```

1 [Output]
2 3.0

```

- And here is the pylint output

```
[lbaldini@nbbaldini latex]$ pylint snippets/linting1.py
*****
Module snippets.linting1
snippets/linting1.py:1:0: C0111: Missing module docstring (missing-docstring)
snippets/linting1.py:1:0: C0103: Constant name "x" doesn't conform to UPPER_CASE
naming style (invalid-name)
snippets/linting1.py:2:0: C0103: Constant name "y" doesn't conform to UPPER_CASE
naming style (invalid-name)
snippets/linting1.py:3:0: C0103: Constant name "very_uncommon_condition" doesn't
conform to UPPER_CASE naming style (invalid-name)
snippets/linting1.py:5:14: E0602: Undefined variable 'z' (undefined-variable)

-----
Your code has been rated at -5.00/10 (previous run: -5.00/10, +0.00)
```

## Static code analysis

- You should consider using static code analysis routinely
- Static analysis tools tend to be quite verbose
  - And often times verbose is the same as annoying
- They try and enforce many different (good!) things at once
  - Formal correctness
  - Efficiency
  - Avoiding anti-patterns
  - Style guides
  - Generic conventions
- They also are typically highly customizable
  - i.e., you can mute errors you don't care about
  - But be advised: you most of the times you should probably care
- Finding a good balance is generally not too hard
- And trust me: it will help you in the long run

## Digression: optional static typing in Python

```
1 def square(x):
2     """Return the square of a number.
3     """
4     return x**2.
5
6 def annotated_square(x: float) -> float:
7     """Return the square of a number.
8     """
9     return x**2.
10
11 print(square(2.))
12 print(annotated_square(2.))
```

```
1 [Output]
2 4.0
3 4.0
```

- Recent Python 3 versions support type annotations
- The Python interpreter recognizes but does nothing with annotations
  - And so what?

- Well... they are handy (as comments are)
  - The code is easier to read
  - Even more checks wrt un-annotated code can be done by tools such as mypy

## Continuous integration

- Imagine for a second...
- Wouldn't it be nice if somebody run all the unit tests of my package every time I push on the master or make a pull request?
- And, since we are at it, sent me an email if any of the tests fail?
- ... Well, such a thing exists and it is standard practice in code development
- People even made a name for it: **Continuous Integration (CI)**
- CI cloud-base services exists just like code-hosting services exist
  - Travis-CI and circleci are two good examples
- They interoperates seamlessly with github, gitlab or bitbucket
- Setting up CI for your package is usually fairly simple
- **One-sentence summary: go ahead and do it. Always.**

## Documentation

La documentazione vive insieme al codice! E il meccanismo che si usa per implementare il codice sono le "docstring". C'è una sintassi ben precisa che permette ad un tool automatico, che nel caso di python si chiama Sphynx, di generare la documentazione.

Ci sono vari programmi di host per la documentazione (ad esempio uno open source è **readthedocs**).

The screenshot shows a detailed view of the SciPy documentation for the `scipy.optimize.curve_fit` function. At the top, there's a navigation bar with links to SciPy.org, Docs, SciPy v1.3.1 Reference Guide, Optimization and Root Finding (`scipy.optimize`), index, modules, next, and previous. Below the navigation, the title is `scipy.optimize.curve_fit`. The main content area contains the function's docstring, which includes parameters `f`, `xdata`, `ydata`, `p0`, `sigma`, `absolute_sigma`, `check_finite`, `bounds`, and `method`. It also describes the use of non-linear least squares to fit a function `f` to data. The parameters `f` and `xdata` are described as callable objects. The parameter `p0` is described as an array-like object containing initial guess values. The parameter `sigma` is described as a sequence or matrix of standard deviations of errors in `ydata`. The parameter `absolute_sigma` is a boolean indicating whether `sigma` represents absolute or relative standard deviations. The page also includes sections for `Previous topic` (`scipy.optimize.lsq_linear`), `Next topic` (`scipy.optimize.root_scalar`), and `Quick search`.

Figure 1.13: How do the hell they do that?

```

... 506     def curve_fit(f, xdata, ydata, p0=None, sigma=None, absolute_sigma=False,
507                     check_finite=True, bounds=(-np.inf, np.inf), method=None,
508                     jac=None, **kwargs):
509     """
510     Use non-linear least squares to fit a function, f, to data.
511
512     Assumes ``ydata = f(xdata, *params) + eps``.
513
514     Parameters
515     -----
516     f : callable
517         The model function, f(x, ...). It must take the independent
518         variable as the first argument and the parameters to fit as
519         separate remaining arguments.
520     xdata : array_like or object
521         The independent variable where the data is measured.
522         Should usually be an M-length sequence or an (k,M)-shaped array for
523         functions with k predictors, but can actually be any object.
524     ydata : array_like
525         The dependent data, a length M array - nominally ``f(xdata, ...)``.
526     p0 : array_like, optional
527         Initial guess for the parameters (length N). If None, then the
528         initial values will all be 1 (if the number of parameters for the
529         function can be determined using introspection, otherwise a
530         ValueError is raised).
531     sigma : None or M-length sequence or MxM array, optional
532         Determines the uncertainty in `ydata`. If we define residuals as
533         ``r = ydata - f(xdata, *popt)``, then the interpretation of `sigma`
534         depends on its number of dimensions:
535
536         - A 1-d `sigma` should contain values of standard deviations of
537           errors in `ydata`. In this case, the optimized function is
538           ``chisq = sum((r / sigma) ** 2)``.
539
540         - A 2-d `sigma` should contain the covariance matrix of
541           errors in `ydata`. In this case, the optimized function is
542           ``chisq = r.T @ inv(sigma) @ r``.
543
544     .. versionadded:: 0.19
545
546     None (default) is equivalent of 1-d `sigma` filled with ones.
547     absolute_sigma : bool, optional
548         If True, `sigma` is used in an absolute sense and the estimated parameter
549         covariance `pcov` reflects these absolute values.
550
551         If False, only the relative magnitudes of the `sigma` values matter.
552         The returned parameter covariance matrix `pcov` is based on scaling
553         `sigma` by a constant factor. This constant is set by demanding that the
554         reduced `chisq` for the optimal parameters `popt` when using the

```

Figure 1.14: the documentation is embedded in the code. . .

## Sphinx: the documentation tool for Python

### Sphynx basics

- Process all the relevant information to produce several types of output
  - Most notably html and LaTeX
- Two different sources:
  1. The doctrings in the Python modules
  2. Additional markup files (in reStructuredText) containing auxiliary information
- Typical workflow:
  - Use `sphinx-quickstart` once when you setup your project
  - Tweak the generated `conf.py` file to suit your needs
  - Go ahead and have fun!
- Sphinx is *very* powerful
  - e.g., <https://docs.python-guide.org/> is written in Sphinx, and so is all the Python documentation

The screenshot shows the Sphinx documentation website. At the top, there's a dark blue header with the Sphinx logo (an eye icon) and the word "SPHINX" in large letters, followed by "Python Documentation Generator". To the right of the logo are links for "Home", "Get it", "Docs", and "Extend/Develop". Below the header, the main content area has a light blue background. On the left, a "Welcome" section introduces Sphinx as a tool for creating intelligent and beautiful documentation. It includes a quote from a user: "Cheers for a great tool that actually makes programmers want to write documentation!". A list of features follows, such as "Output formats", "Extensive cross-references", and "Hierarchical structure". In the center, there's a "Documentation" sidebar with links to "First steps with Sphinx", "Contents", and "Changes". To the right, there's a "A project" sidebar with links for "Download", "Install Sphinx with:", "Questions?", "Suggestions?", and a "Quick search" bar.

Figure 1.15: .

## Ok, I have the documentation compiled, now what do I do with it?

- Wouldn't it be nice if the documentation was automatically compiled and uploaded on the web each time I push on the master?
- This is possible and is called [readthedocs.com](#)
  - And, again, this is a cloud-based service that can interoperate easily with github, gitlab or bitbucket

**NOTA:** Per il progetto di fine anno bisogna fare tutto questo, compreso avere la documentazione su un sito come [readthedocs](#).

## Torniamo a numpy

L'ultima volta abbiamo visto il broadcasting.

### Mathematical functions in Numpy

```

1 import numpy as np
2 import math
3
4 a = np.array([0.1, 1., 10.])
5
6 print(np.log10(a))
7 print(np.exp(a))
8 print(np.sin(a))
9
10 print(np.log10(0.1))
11
12 print(math.log10(a))

```

```

1 [Output]
2 [-1.  0.  1.]
3 [1.10517092e+00 2.71828183e+00 2.20264658e+04]
4 [ 0.09983342  0.84147098 -0.54402111]
5 -1.0
6 Traceback (most recent call last):
7   File "snippets/numpy_functions.py", line 12, in <module>
8     print(math.log10(a))
9 TypeError: only size-1 arrays can be converted to Python scalars

```

numpy mathematical functions interoperate natively with arrays (and work on plain old numbers, too).

### Array and Masks

Masks are a powerful tool in numpy. They can replace conditional expressions in a for loop in vectorization context .

```

1 import numpy as np
2
3 a = np.linspace(0., 10., 11)
4 mask1 = a >= 2.5
5 mask2 = a < 8.5
6
7 print(a)
8 print(mask1)
9 print(mask2)
10 print(a[mask1])
11 print(a[mask2])
12 print(a[np.logical_and(mask1, mask2)])

```

```

1 [Output]
2 [ 0.  1.  2.  3.  4.  5.  6.  7.  8.  9.  10.]
3 [False False False True True True True True True True]
4 [ True True True True True True True True False False]
5 [ 3.  4.  5.  6.  7.  8.  9.  10.]

```

```

6 [0.  1.  2.  3.  4.  5.  6.  7.  8.]
7 [3.  4.  5.  6.  7.  8.]

```

### Altro esempio:

```

1 a = np.random.uniform(size=10)
2 mask = a > 0.5
3
4 mask.sum() #mi restituisce il numero di elementi che soddisano la condizione
5
6 #posso passare una maschera tra parentesi quadre per indirizzare gli elementi di un array.
7 #Mi restituisce un nuovo array contenente solo gli elementi che soddisfano la condizione
8
9 a[mask]

```

**Da fare:** guardare come si fa lo slicing di un array

### Digression: pseudo-random number generators

```

1 import random
2 x = random.random()

```

- Every programming language comes with a Pseudo Random Number Generator (PRNG)
  - Python is no exception: <https://docs.python.org/3/library/random.html>
  - Mersenne-Twister, 53-bit precision, period of  $2^{19937} - 1$ .
- PRNGs are an interesting (and fun) subject by themselves:
  - Donald E. Knuth, *The Art of Computer Programming, Volume 2: Seminumerical Algorithms*, 3rd Edition
  - M. Matsumoto and T. Nishimura, *Mersenne Twister: A 623-dimensionally equidistributed uniform pseudorandom number generator*, ACM Transactions on Modeling and Computer Simulation Vol. 8, No. 1, January pp.3–30 1998.
- A PRNG produces random floats uniformly in [0.0, 1.0).

**Nota** Il modulo random di numpy mi permette di generare numeri random non uno alla volta, ma in array!

### Vettorizzazione

Avoid explicit for loops in Python whenever you can!

**pandas:** utile per leggere e scrivere file excel.

```

1 import random
2 import time
3 import numpy as np
4
5 # How many random numbers (uniformly distributed between 0 and 1) do you
6 # want to throw?
7 n = 1000000
8
9 # The slow way: explicit for loop in Python.
10 t0 = time.time()
11 x = []
12 for i in range(n):
13     x.append(random.random())
14 dt = time.time() - t0

```

```
15 print('Elapsed time: %.3f s' % dt)
16
17 # The quick way: vectorizing in numpy
18 t0 = time.time()
19 x = np.random.random(size=n)
20 dt = time.time() - t0
21 print('Elapsed time: %.3f s' % dt)
```

```
[Output]
Elapsed time: 0.137 s
Elapsed time: 0.015 s
```

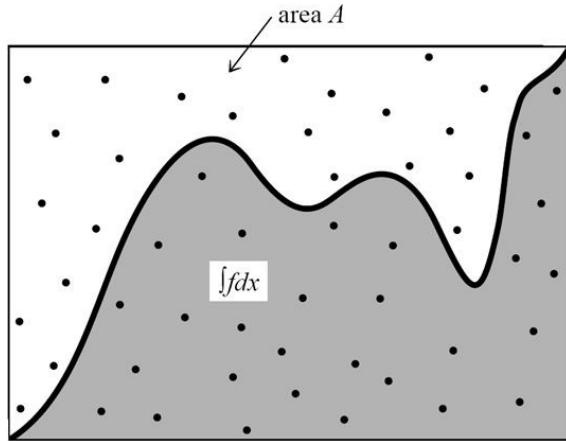
## How does vectorization work?

- Python is known to be slow
  - This is the price you pay for being so beautiful and flexible
- Does it matter? It depends...
  - If you are parsing a text file or fetching a web page probably not
  - If you are performing a CPU-intensive processing on a TB of data probably yes
- What's so magic in using numpy?
  - Routines are highly optimized to crunch numbers
  - When you perform an array operation in Python you are actually executing optimized C code
- Basic message: avoid for loops in pure Python when crunching numbers

## Secondo Assegnamento

How do I throw PRN with arbitrary pdf?

Hit or miss:

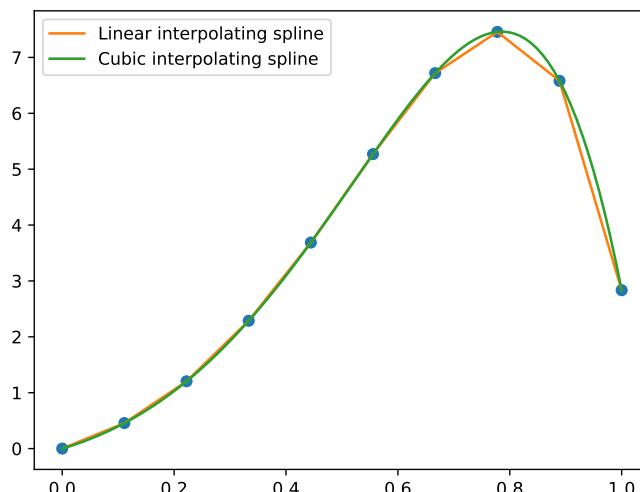


- Hit or miss, aka acceptance/rejection method:
  - Enclose your pdf in a rectangle
  - Throw a  $x$  and a  $y$
  - Accept  $x$  if  $y \leq f(x)$
- This is horrible—please don't use it!

### Inverse transform

- Probability density function (pdf)
 
$$p(x) \quad (\geq 0)$$
- Cumulative function (cf)
 
$$F(x) = \int_{-\infty}^x p(x')dx'$$
- Percent-point function (ppf)
 
$$x = F^{-1}(q)$$
- Awesome fact: if  $q$  is uniformly distributed in  $[0, 1]$ , then  $x = F^{-1}(q)$  is distributed according to  $p(x)$ !

An interesting object: splines



- Defined piecewise by polynomials of degree  $k$  ( $k = 3$  fairly popular)
  - Interpolating: passing through a set of pre-defined points
  - First  $k - 1$  derivatives continuous at the control points
- Superior to polynomial interpolation or curve fitting in many cases

## Splines: construction and properties

```

1 import numpy as np
2 from scipy.interpolate import InterpolatedUnivariateSpline
3
4 x = np.linspace(0., 1., 10)
5 y = np.exp(3. * x) * np.sin(3. * x)
6
7 s1 = InterpolatedUnivariateSpline(x, y, k=1)
8 s3 = InterpolatedUnivariateSpline(x, y, k=3)
9
10 print(s1(0.234))
11 print(s1.integral(0.2, 0.8))

```

```

1 [Output]
2 1.3192110648078448
3 2.6659857771053925

```

- Evaluation is fairly inexpensive
  - If the input  $x$ -array is sorted can do a binary search in  $O(\log(N))$  complexity
- Derivatives and integrals are easy
  - Can be calculated *exactly* by means of elementary arithmetic operations

## References

- <https://numpy.org/>
- <https://www.scipy.org/>
- <https://docs.scipy.org/doc/numpy/reference/arrays.indexing.html>
- <https://docs.scipy.org/doc/numpy/user/basics.broadcasting.html>
- <https://docs.scipy.org/doc/scipy/reference/interpolate.html>

## Gio 13 ott - Lezione 7

# Advanced Python Features

## Errors and Exceptions

- Error handling is one of the most important problem to solve when designing a program
- What should I do when I piece of code fails?
- What does fail mean?
  - Invalid input e.g. passing a path to a non existent file, or passing a string to a function for dividing numbers
  - Valid output not found, e.g searching the position of the letter 'd' in the string 'elephant'
  - Output cannot be find in a reasonable amount of time
  - Runtime resource failures: network connection down, disk space ended...
- Two phylosophies (historically):
  - Return some error flag (in different ways) to tell the user that something went wrong
  - Exceptions
- Example: a typical convention for programs is to return 0 from the main if the execution was successful and an error code (integer number) otherwise

## Error flags (no)

```

1 # The 'find()' method for strings in python uses an error flag
2 text = 'elephant'
3 print(text.find('p')) # upon success returns the position of the substring
4 print(text.find('d')) # returns -1 if the substring is not found
5
6 # Why is this dangerous?
7 def cut_before(input_string, substring):
8     """ Cut a string from the beginning up to the position before that of
9         the given substring, then return it """
10    pos = input_string.find(substring)
11    return input_string[:pos]
12
13 # If the substring exists in the string everything works fine
14 print(cut_before('We all live in a Yellow Submarine', 'Yellow'))
15 # What will be the output here?
16 print(cut_before('We all live in a Yellow Submarine', 'Red'))

```

```

1 [Output]
2 3
3 -1
4 We all live in a
5 We all live in a Yellow Submarin

```

## Problems of error flags

Error codes have their use (and are fine in some cases) but they suffer from a few issues:

- Choosing them is often arbitrary (and sometimes is difficult to make a sensible choice)
  - What if all the numbers can represent meaningful output of the function?

- Are cumbersome to use
  - Which error flag is used by a function? 0? -1? 99999999? → you have to go through the documentation for each!
  - If you have a deep hierarchy of functions you have to perform checks and pass the error up at every level!
- What if the caller of a function does not check the error flag?
  - The bug can propagate **silently** through its code!

We want something that:

- Is clearly separated from the returned output
- Cannot be silently ignored by the user
- Is easy to report to upper level without lots of lines of code

## A different way

```

1 # index() is the same as find(), but raise an exception in case of failure
2 def cut_before(input_string, substring):
3     """ Cut a string from the beginning up to the position before that of
4         the given substring, then return it """
5     pos = input_string.index(substring)
6     return input_string[:pos]
7
8 # If the substring exists in the string everything works fine
9 print(cut_before('We all live in a Yellow Submarine', 'Yellow'))
10 # No silent bug here!
11 print(cut_before('We all live in a Yellow Submarine', 'Red'))

```

```

1 [Output]
2 We all live in a
3 Traceback (most recent call last):
4   File "snippets/exceptions_vs_err_flags.py", line 11, in <module>
5     print(cut_before('We all live in a Yellow Submarine', 'Red'))
6   File "snippets/exceptions_vs_err_flags.py", line 5, in cut_before
7     pos = input_string.index(substring)
8 ValueError: substring not found

```

La filosofia base di Python è evitare di inventare delle cose.  
Come faccio ad intercettare questo value error e in quel caso a fargli fare qualcosa di specifico?

## Eccezioni

- An exception is an object that can be **raised** (in other languages also *thrown*) by a piece of code to signal that something went wrong
- When an exception is raised the normal flow of the code is interrupted
- The program automatically propagate the exception back in the function hierarchy until it found a place where the exception is **caught** and handled
- If the exception is never caught, not even in the main, the program crash **with a specific error message**
- Catching the exception is done with a *try - except* block

Se non intercettiamo l'eccezione, il flusso del codice viene interrotto. Possiamo dire "se ho questa eccezione allora faccio questo...".

## Try block

```

1 def cut_before(input_string, substring):
2     try:
3         result = input_string[:input_string.index(substring)]
4         print('This line is not executed if an exception is raised in the try block')
5         return result
6     # Catch the correct exception type with 'except'
7     except ValueError:
8         print('This line is executed only if a ValueError is raised in the try block')
9
10 cut_before('We all live in a Yellow Submarine', 'Yellow')
11 cut_before('We all live in a Yellow Submarine', 'Red')
```

```

1 [Output]
2 This line is not executed if an exception is raised in the try block
3 This line is executed only if a ValueError is raised in the try block
```

Per ogni try possiamo anche mettere più di un except.  
Dobbiamo cercare di intercettare le eccezioni nel modo più specifico possibile!

### else, finally

- There are two more optional statements in a try-block:
  - *else*: executed only if no exception is raised in the try block
  - *finally*: executed no matter what
- *finally* is executed even if there is a return statement in the try block
- can be used to release important resources (e.g. closing a file, or a connection)

### Using else and finally

```

1 def cut_before(input_string, substring):
2     try:
3         result = input_string[:input_string.index(substring)]
4         print('This line is not executed if an exception is raised in the try block')
5     except ValueError:
6         print('This line is executed only if a ValueError is raised in the try block')
7     else: # optional!
8         print('This line is executed only if no exception is raised in the try block')
9         return result
10    finally: # optional!
11        print('This line is always executed')
12
13 cut_before('We all live in a Yellow Submarine', 'Yellow')
14 cut_before('We all live in a Yellow Submarine', 'Red')
```

```

1 [Output]
2 This line
3 This line
4 This line
5 This line
6 This line
7 A. Manfreda (INFN)
```

```
8   is
9   is
10  is
11  is
12  is
13 not executed if an exception is raised in the try block
14 executed only if no exception is raised in the try block
15 always executed
16 executed only if a ValueError is raised in the try block
17 always executed
```

L'eccezione è un oggetto. E dentro di essa possiamo encapsulare tutte le informazioni necessarie per capire cosa è andato storto!

## The beauty of exceptions

- If that was all, exceptions would only be moderately useful
- The real bargain is that you can send back information together with the exception
- In fact you *are sending a full object*: the exception itself. Surprised?
- Inside the exception you can report all kind of data useful to reconstruct the exact error, which can be used by the caller for debug or to produce meaningful error messages
- You can also select which exceptions you catch, leaving the others propagate up
- Python provides a rich hierarchy of exception classes, which you can further customize (if you want) by deriving your own subclasses

## The family tree of Python exceptions

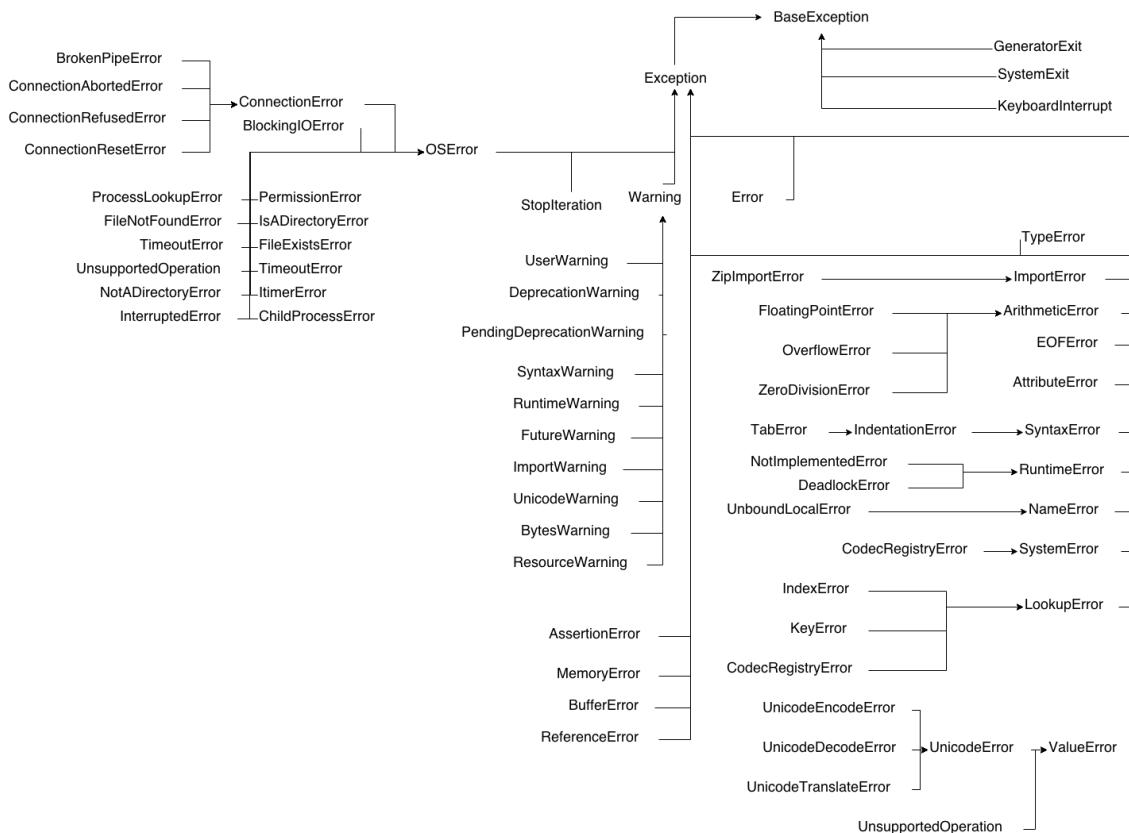


Figure 1.16: family tree

## Catching specific exceptions

```
1 try:
2     with open ('i_do_not_exist.txt') as lab_data_file:
3         """ Do some process here...
4         """
5     pass
6
7 except FileNotFoundError as e: # we assign a name to the exception
8     print(e)
9
10 # We can be less specific by catching a parent exception
11 except OSError as e: # OSError is a parent class of FileNotFoundError
12     print(e)
13
14 # catching Exception will catch almost everything!
15 except Exception as e:
16     print(e)
```

```
[Output]
[Errno 2] No such file or directory: 'i_do_not_exist.txt'
```

Exception sta per qualsiasi altro tipo di errore.

## Exception caveats

- Warning: catching *Exception*, will also catch *SyntaxError* and *NameError*

- This mean that the code will 'run' even if there is a typo in it!
- Bottom line: you should never catch generically for *Exception*, always be more specific
- Even worse, you should never catch for *BaseException* as that would even prevent the user from aborting the execution with a *KeyboardInterrupt* (e.g. Ctrl-C)
- Unless that is what you need, of course

## There is no check - only try

- In Python exceptions are the default methods for handling failures
- Many functions raise an exception when something goes wrong
- The common approach is: do not chech the input beforehand. Use it and be ready to catch exceptions if any.
- *Easier to ask for forgiveness than permission.*

## Catching specific exceptions

```

1 import os
2
3 file_path = 'i_do_not_exists.txt'
4
5 # Defensive version
6 if os.path.exists(file_path):
7     # What if the file is deleted between these two lines? (by another process)
8     # What if the file exists but you don't have permission to open it?
9     data_file = open(file_path)
10 else:
11     # Do something
12     print('Oops - file \'{}\' does not exist'.format(file_path))
13
14 # Pythonic way - you should prefer this one!
15 try:
16     data_file = open(file_path)
17 except OSError as e: # Cover more problems than FileNotFoundError
18     print('Oops - cannot read the file!\n{}'.format(e))

```

```

1 [Output]
2 Oops - file 'i_do_not_exists.txt' does not exist
3 Oops - cannot read the file!
4 [Errno 2] No such file or directory: 'i_do_not_exists.txt'

```

## Raising exceptions

- Up to now we have been dealing with exceptions generated by Python functions
- What about raising exceptions ourselves?

```

1 def raising_function():
2     # You can pass an useful message to the exceptions you raise
3     raise RuntimeError('this is a useful debug message')
4
5 try:

```

```

6     raising_function()
7 except RuntimeError as e:
8     # The message can be retrieved by printing the exception
9     print(e)

```

```

1 [Output]
2 this is a useful debug message

```

Valutare bene prima di mettere `sys.exit('guarda che ho bisogno di quel file')`. Se invece sollevo un'eccezione, offre la scelta a chi esegue il codice.

## Custom exceptions

- Beside the built-in exceptions provided by Python, you can add your own custom exceptions by inheriting from the *Exception* class
- This serves two purposes:
  - Make the exception handling code more specific, and hence more readable
  - Allows you to pass additional data with your exception - in the form of attributes of the class - which can be used for debug or any other purpose

```

1 class SimpleCustomError(Exception):
2     pass # Yeah that's it
3
4 raise SimpleCustomError('simple error')

```

```

1 [Output]
2 Traceback (most recent call last):
3   File "snippets/custom_exceptions.py", line 4, in <module>
4     raise SimpleCustomError('simple error')
5 __main__.SimpleCustomError: simple error

```

altro esempio:

```

1 class ValueTooLargeError(ValueError):
2     def __init__(self, value):
3         self.value = value
4         super().__init__('{}: {} is too large'.format(self.__class__.__name__,
5                           self.value))
6
7 value = 100
8 try:
9     if value > 10:
10        raise ValueTooLargeError(value)
11 except ValueError as e:
12     print(e)

```

```

1 [Output]
2 ValueTooLargeError: 100 is too large

```

## Where to catch exceptions?

- Differently from error flags, which need to be checked as early as possible, you are not in a rush with exceptions
- Remember: your goal is to provide the user a meaningful error message and useful debug information.
- You should catch an exception only when you have enough context to do that - which sometimes means waiting a few levels in the hierarchy!

I blocchi try-except devono essere il più piccolo possibile, e il più specifico possibile!

## When to catch

```

1 def parse_line(line):
2     """ Parse a line of the file and return the values as float"""
3     values = line.strip('\n').split(' ')
4     # the following two lines may generate exceptions if they fail!
5     time = float(values[0])
6     tension = float(values[1])
7     return time, tension
8
9 with open('snippets/data/fake_measurements.txt') as lab_data_file:
10    for line in lab_data_file:
11        if not line.startswith('#'): # skip comments
12            time, tension = parse_line(line)
13            print(time, tension)

```

```

1 [Output]
2 0.1 15.2
3 0.2 12.4
4 Traceback (most recent call last):
5 File "snippets/when_to_catch.py", line 12, in <module>
6     time, tension = parse_line(line)
7 File "snippets/when_to_catch.py", line 6, in parse_line
8     tension = float(values[1])
9 ValueError: could not convert string to float: 'pippo'

```

## Catch too early

```

1 def parse_line(line):
2     """ Parse a line of the file and return the values as float"""
3     values = line.strip('\n').split(' ')
4     try:
5         time = float(values[0])
6         tension = float(values[1])
7     except ValueError as e:
8         print(e) # This is not useful - which line of the file has the error?
9         return None # We can't really return something meaningful
10    return time, tension
11
12 with open('snippets/data/fake_measurements.txt') as lab_data_file:
13    for line in lab_data_file:
14        if not line.startswith('#'): # skip comments
15            time, tension = parse_line(line)
16            print(time, tension) # This line still crash badly!

```

```
1 [Output]
2 0.1 15.2
3 0.2 12.4
4 could not convert string to float: 'pippo'
5 Traceback (most recent call last):
6 File "snippets/when_to_catch_1.py", line 15, in <module>
7     time, tension = parse_line(line)
8 TypeError: 'NoneType' object is not iterable
```

## Catch when needed

```
1 def parse_line(line):
2     """ Parse a line of the file and return the values as float"""
3     values = line.strip('\n').split(' ')
4     time = float(values[0])
5     tension = float(values[1])
6     return time, tension
7
8 with open('snippets/data/fake_measurements.txt') as lab_data_file:
9     for line_number, line in enumerate(lab_data_file): # get the line number
10         if not line.startswith('#'): # skip comments
11             try:
12                 time, tension = parse_line(line)
13                 print(time, tension)
14             except ValueError as e:
15                 print('Line {} error: {}'.format(line_number, e))
```

```
1 [Output]
2 0.1 15.2
3 0.2 12.4
4 Line 3 error: could not convert string to float: 'pippo'
5 0.4 13.2
```

## Lun 17 ott - Lezione 8

### Iterators

Quando una classe implementa il metodo `__iter__` allora diventa *iterabile*.

### Iterators and iterables

Un iteratore è un oggetto definito dal fatto di sapere qual è il prossimo elemento, grazie al metodo magico `__next__`.

- An *iterable* in Python is something that has a `__iter__` method, which returns an **iterator**
- An *iterator* is an object that implement a `__next__` method which is used to retrieve elements one at the time
- When there are no more elements to return, the iterator signals that with a specific exception: `StopIteration()`
- An iterator also implement an `__iter__` method that return... itself. So an iterator is also technically an iterable<sup>11</sup>! (But the opposite is not true)

Perché passare dall'iteratore? Perché non implementare il metodo `__next__` direttamente sul nostro oggetto? Il fatto è che posso avere più iteratori attivi su uno stesso contenitore dati. Per questo non posso implementare il metodo `__next__` direttamente nella classe di dati, ma devo passare per l'iteratore.

### A 'for' loop unpacked

```

1 my_list = [1., 2., 3.]
2
3 # For-loop syntax
4 for element in my_list:
5     print(element)
6
7 # This is equivalent (but much less readable and compact)
8 list_iterator = iter(my_list)
9 while True:
10     try:
11         print(next(list_iterator))
12     except StopIteration:
13         break

```

Salvo il mio iteratore in una variabile e inizio un ciclo (potenzialmente infinito). Quando l'iterazione solleva l'eccezione `StopIteration` interrompo il ciclo.

```

1 [Output]
2 1.0
3 2.0
4 3.0
5 1.0
6 2.0
7 3.0

```

---

<sup>11</sup>Only 'technically' because an iterator has no data of its own, so you always need a 'real' iterable to actually iterate

## A simple iterator

```

1  class SimpleIterator:
2      """ Class implementing a super naive iterator"""
3
4      def __init__(self, container):
5          self._container = container
6          self.index = 0
7
8      def __next__(self):
9          try:
10              # Note: here we are calling the __getitem__ method of self._container
11              item = self._container[self.index]
12          except IndexError:
13              raise StopIteration
14          self.index += 1
15          return item
16
17      def __iter__(self):
18          return self
19
20  class SimpleIterable:
21      """ A very basic iterable """
22
23      def __init__(self, *elements):
24          # We use a list to store elements internally.
25          # This provide us with the __getitem__ function
26          self._elements = list(elements)
27
28      def __iter__(self):
29          return SimpleIterator(self._elements)

```

Nel costruttore gli passo il contenitore di dati su cui voglio iterate. Mi salvo una referenza a questo contenitore dati. E faccio partire l'indice da zero. Nota: questo funziona per le liste, tuple e array, ma non per i dizionari, che non restituiscono `IndexError`, ma `KeyError`!

```

1  from simple_iterator import SimpleIterable
2
3  my_iterable = SimpleIterable(1., 2., 3., 'stella')
4  for element in my_iterable:
5      print(element)

```

```

1  [Output]
2  1.0
3  2.0
4  3.0
5  stella

```

## A crazy iterator

```

1  import random
2
3  class CrazyIterator:
4      """ Class implementing a crazy iterator"""
5
6      def __init__(self, container):
7          random.seed(1)

```

```

8         self._container = container
9
10    def __next__(self):
11        try:
12            # We get one possibility out of len(self._container) to exit
13            index = random.randint(0, len(self._container))
14            item = self._container[index]
15        except IndexError:
16            raise StopIteration
17        return item
18
19    def __iter__(self):
20        return self
21
22 class CrazyIterable:
23     """ Similar to a simple iterable, but with a twist... """
24
25     def __init__(self, *elements):
26         self._elements = list(elements)
27
28     def __iter__(self):
29         return CrazyIterator(self._elements)

```

```

1 from crazy_iterator import CrazyIterable
2
3 my_iterable = CrazyIterable('A', 'B', 'C', 'D', 'E')
4 for element in my_iterable:
5     print(element)

```

```

1 [Output]
2 B
3 E
4 A
5 C
6 A
7 D
8 D
9 D

```

## Python tools for iterables

- Python provides a number of functions that consume an iterable and return a single value:
  - `sum`: Sum all the elements
  - `all`: Return true if a given condition is true for all the elements
  - `any`: Return true if a given condition is true for at least one element
  - `max`: Return the max
  - `min`: Return the minimum
  - `functools.reduce`: Apply a function recursively to pairs of elements

## Generatori

Gli iteratori operano su dati **esistenti**. Tuttavia, a volte vorremmo iterare su qualcosa che non esiste già da prima. Ad esempio, se vogliamo generare in maniera iterativa tutti i numeri della serie di Fibonacci; ad ogni iterazione vogliamo generare il prossimo.

Questa cosa non si può fare con gli iteratori, ma si fa con i **generatori**:

- We have seen that iterators are useful to iterate over container
- However that assumes a containers exists → memory usage
- Generators allow you to loop over sequences of items even when they don't exist before - the items are just created **lazily** the moment they are required (**lazy**: una cosa che viene fatta all'ultimo momento possibile.)
- For example you can write a generator to loops over the Fibonacci succession. You can't create the sequence earlier, since it is not finite!
- Generators are created through either **generator expressions** or **generator functions**
- In real life most of the time you will simply use pre-made functions that return a generator, like `range()` (in Python 3)
- Generator can be used to iterate in for loops, just like iterators

## Generators first look

Sui generatori possiamo iterare esattamente come sugli iteratori: la sintassi è la stessa.

```

1  """ range() is a function that returns a generator in Python 3. The list of
2  numbers never exists entirely, they are created one at a time.
3  Note: In Python 2 range() does create the full list at the beginning.
4  There used to be a xrange() function for lazy generation, which is now
5  deprecated in Python 3. """
6  for i in range(4): # generators act like iterators in for loop
7      print(i)
8
9  data = [12, -1, 5]
10 square_data_generator = (x**2 for x in data) # generator expression!
11 for square_datum in square_data_generator: # again, works like an iterator
12     print(square_datum)

```

```

1 [Output]
2 0
3 1
4 2
5 3
6 144
7 1
8 25

```

## Generator functions

- A **generator function** is a function that contains the keyword `yield` at least once in his body
- When you call a generator function the code is not executed - instead a generator object is created and returned (even if you don't have a return statement)
- Each call to `next()` on the returned generator will make the function code run until it finds a `yield` statement
- Then the execution is paused and the value of the expression on the right of `yield` is returned (yielded) to the caller
- A further call of `next` will resume the execution from where it was suspended until the next `yield` and so on

- Eventually, when the function body ends, *StopIteration* is raised
- Usually generators functions contain a loop - but it's not mandatory!

Quando noi chiamiamo una funzione generatrice, non viene eseguito il corpo della funzione, bensì viene restituito un generatore.

```

1 def generator_function_simple():
2     print('First call')
3     yield 1
4     print('Second call')
5     yield 2
6     print('I am about to rise a StopIteration exception...')
7
8 gen = generator_function_simple() # A generator function returns a generator
9 print(next(gen)) # We stop at the first yield and get the value
10 print(next(gen)) # Second yield
11 next(gen) # The third next() will throw StopIteration

```

```

1 [Output]
2 First call
3 1
4 Second call
5 2
6 I am about to rise a StopIteration exception...
7 Traceback (most recent call last):
8   File "snippets/generator_functions.py", line 11, in <module>
9     next(gen) # The third next() will throw StopIteration
10 StopIteration

```

## Infinite sequence generators

Tipicamente all'interno del generatore c'è un loop.

```

1 # Generator function that provides infinite fibonacci numbers
2 def fibonacci():
3     a, b = 0, 1
4     while True:
5         yield a
6         a, b = b, a + b
7
8 # We need to impose a stop condition externally to use it
9 max_n = 7
10 fib_numbers = []
11 for i, fib in enumerate(fibonacci()):
12     if i >= max_n:
13         break
14     else:
15         fib_numbers.append(fib)
16 print(fib_numbers)
17
18 # Another way of doing that is using 'islice' from itertools
19 import itertools
20 # Generator expression
21 fib_gen = (fib for fib in itertools.islice(fibonacci(), max_n))
22 print(list(fib_gen))

```

```

1 [Output]
2 [0, 1, 1, 2, 3, 5, 8]
3 [0, 1, 1, 2, 3, 5, 8]

```

`islice` prende un certo numero di elementi da un iteratore.

Un generatore serve in tutti quei casi in cui voglio generare i vari elementi in maniera lazy.

## Python generator functions

- Python provides a number of built-in functions that return a generator from an iterable, such as:
  - `enumerate`: Automatic counting of iterations
  - `map`: Apply a function to the elements
  - `filter`: Return only the elements passing a given condition
  - `zip`: Return pairs of elements (requires two sequences)
  - `reversed`: Loop in the reversed order
- Countless others can be found in the `itertools` library
  - `islice`: Slice the loop with start, stop and step
  - `takewhile`: Stop looping when a condition becomes false
  - `accumulate`: Get the results of applying the function iteratively to pair of elements
  - `chain`: Loop through many sequences one after another
  - `cycle`: Loop over the sequence repeatedly, indefinitely
  - `permutations`: Get all the permutations of a given length
  - `product`: Compute the cartesian product of iterables
  - `groupby`: Group by value of some key (function)
  - And so on...
- Take a look at the documentation of each function to see how to properly call it!

## Itertools showcase

```

1 from itertools import accumulate, product, chain, groupby, permutations, combinations
2 import operator
3
4 l1 = [1, 2, 3, 4]
5 print(list(accumulate(l1)))
6 print(list(accumulate(l1, func=operator.mul)))
7 print(list(combinations(l1, 3)))
8
9 l2 = [5, 6]
10 print(list(permutations(l2, 2)))
11 print(list(product(l1, l2)))
12
13 def is_even(n):
14     return n % 2 == 0
15
16 l3 = list(chain(l1, l2))
17 # groupby expect the list to be sorted by the grouping function
18 l3.sort(key=is_even)
19 for k, g in groupby(l3, key=is_even):
20     print(k, list(g))

```

```

1 [Output]
2 [1, 3, 6, 10]
3 [1, 2, 6, 24]
4 [(1, 2, 3), (1, 2, 4), (1, 3, 4), (2, 3, 4)]
5 [(5, 6), (6, 5)]
6 [(1, 5), (1, 6), (2, 5), (2, 6), (3, 5), (3, 6), (4, 5), (4, 6)]
7 False [1, 3, 5]
8 True [2, 4, 6]

```

## Lambda functions

Sono un modo per creare una funzione anonima (senza un nome).

- **Anonymous functions**, or **lambda functions** are a construct typical of **functional programming**
- [https://en.wikipedia.org/wiki/Lambda\\_calculus](https://en.wikipedia.org/wiki/Lambda_calculus)
- [https://en.wikipedia.org/wiki/Functional\\_programming](https://en.wikipedia.org/wiki/Functional_programming)
- In Python a lambda function is essentially a special syntax for creating a function on the fly, without giving it a name
- They are limited to **a single expression**, which is returned to the user
- Many of the typical uses for lambdas are already covered in python by generator expressions and comprehension, so this is more like a niche feature of the language

```

1 # Here we create a lambda function and assign a name to it (ironically)
2 multiply = lambda x, y: x * y
3 # Use it
4 print(multiply(5, -1))
5
6 # Typical use is inside generator expressions
7 numbers = range(10)
8 squares = list(map(lambda n: n**2, numbers))
9 print(squares)
10
11 # However, remember that you can do the same with list comprehension
12 squares = [n**2 for n in numbers]
13 print(squares)

```

Il corpo di una funzione deve essere solo una riga.

`lambda argomenti: output`

```

1 [Output]
2 -5
3 [0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
4 [0, 1, 4, 9, 16, 25, 36, 49, 64, 81]

```

## Recap example: file iterator

```

1 from itertools import dropwhile
2
3 class LabFileIterator:
4     def __init__(self, file_obj):
5         self._lines = dropwhile(lambda line: line.startswith('#'), file_obj)
6

```

```

7     def __next__(self):
8         line = next(self._lines)
9         values = line.strip('\n').split(' ')
10        time = float(values[0])
11        tension = float(values[1])
12        return time, tension
13
14    def __iter__(self):
15        return self
16
17 with open('snippets/data/fake_measurements.txt') as lab_data_file:
18     try:
19         for line_number, (time, tension) in enumerate(LabFileIterator(lab_data_file)):
20             print(line_number, time, tension)
21     except ValueError as e:
22         # Here we get the wrong line number! Why?
23         print('Line {} error: {}'.format(line_number, e))

```

```

1 [Output]
2 0 0.1 15.2
3 1 0.2 12.4
4 Line 1 error: could not convert string to float: 'pippo'

```

## File iterator redone

```

1 from itertools import dropwhile
2
3 class LabFile:
4     def __init__(self, file_obj):
5         self._file = file_obj
6
7     def __iter__(self):
8         # Enumerate is now inside dropwhile, so all lines are counted
9         # This is a bit convoluted, though
10        for i, line in dropwhile(lambda x : x[1].startswith('#'),
11                                  enumerate(self._file)):
12            values = line.strip('\n').split(' ')
13            try:
14                time = float(values[0])
15                tension = float(values[1])
16            except ValueError as e:
17                print('Line {} error: {}'.format(i, e))
18                continue
19            yield time, tension
20
21 with open('snippets/data/fake_measurements.txt') as lab_data_file:
22     for time, tension in LabFile(lab_data_file):
23         print(time, tension)

```

```

1 [Output]
2 0.1 15.2
3 0.2 12.4
4 Line 3 error: could not convert string to float: 'pippo'
5 0.4 13.2

```

## File iterator, final version

```

1  class LabFile:
2      def __init__(self, file_obj):
3          self._file = file_obj
4
5      def __iter__(self):
6          # This is more readable
7          for i, line in enumerate(self._file):
8              if line.startswith('#'):
9                  continue
10             values = line.strip('\n').split(' ')
11             try:
12                 time = float(values[0])
13                 tension = float(values[1])
14             except ValueError as e:
15                 print('Line {} error: {}'.format(i, e))
16                 continue
17             yield time, tension
18
19 with open('snippets/data/fake_measurements.txt') as lab_data_file:
20     for time, tension in LabFile(lab_data_file):
21         print(time, tension)

```

```

1 [Output]
2 0.1 15.2
3 0.2 12.4
4 Line 3 error: could not convert string to float: 'pippo'
5 0.4 13.2

```

## Decorators

*non ha avuto tempo di farli tutti, vediamo solo:*

### The `@classmethod` decorator

costruttore alternativo

- We have already seen a built-in Python decorator: `@property`
- We used that to get proper encapsulation
- There is another built-in decorator one which is very useful for classes: `@classmethod`
- A classmethod is like a class attribute: you don't need an instance to use it
- A class method can access class attributes but not instance attributes
- The main use for class methods is to provide `alternate constructors`

```

1 import numpy
2
3 class LabData:
4
5     def __init__(self, times, values):
6         """ Our usual constructor """
7         self.times = numpy.array(times, dtype=numpy.float64)
8         self.values = numpy.array(values, dtype=numpy.float64)
9

```

```
10  @classmethod # The classmethod decorator
11  def from_file(cls, file_path): # We get the class as first argument, not self
12      """ Constructor from a file"""
13      print(cls)
14      times, values = numpy.loadtxt(file_path, unpack=True)
15      # We call the constructor of 'cls' which is our LabData
16      # This is not a 'real' constructor, we need to return the object!
17      return cls(times, values)
18
19  # We call the alternate constructor from the class itself, not from an instance!
20  lab_data = LabData.from_file('snippets/data/measurements.txt')
21  print(lab_data.values)
```

```
1  [Output]
2  <class '__main__.LabData'>
3  [15.2 12.4 11.7 13.2]
```



## Chapter 2

# Parallel Computing

Per realizzare gli appunti su questa parte del corso, ho usato le slides del prof. Gianluca Lamanna disponibili su e-learning.

## Gio 20 ott - Lezione 9

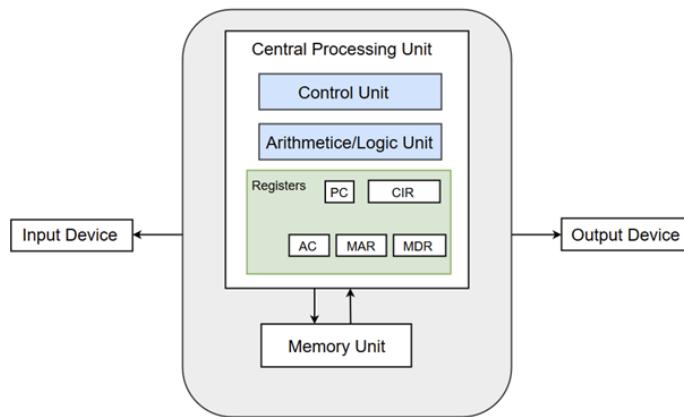
# Computer architecture from a performance point of view: from serial to parallel

### Architettura di Von Neumann

L'architettura di Von Neumann è una tipologia di architettura hardware per computer digitali programmabili a programma memorizzato la quale condivide i dati del programma e le istruzioni del programma nello stesso spazio di memoria, contrapponendosi all'architettura Harvard nella quale invece i dati del programma e le istruzioni del programma sono memorizzati in spazi di memoria distinti. Introdotta nel 1945 da John Von Neumann, consiste di 5 elementi:

1. Processing unit (arithmetic logic unit)
2. Control unit (instruction pool)
3. Memory
4. Bus
5. I/O

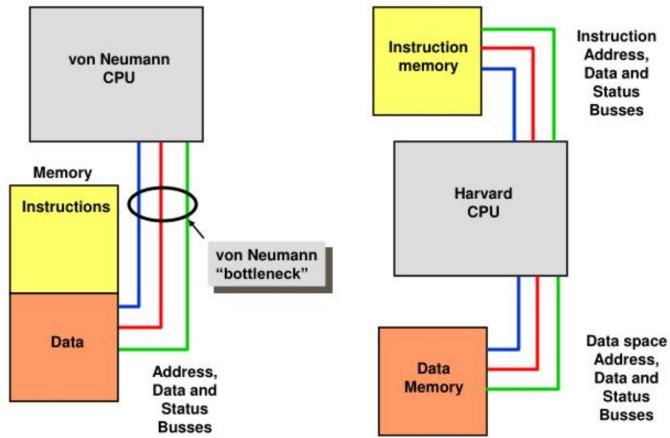
**Von-Neumann Basic Structure:**



Da una parte abbiamo i dati, dall'altra abbiamo i programmi. La parte di controllo copia i dati dalla memoria in una memoria temporanea e vi esegue i comandi contenuti nei programmi.

### Von Neumann Bottleneck

L'architettura di Von Neumann presenta delle limitazioni legate al fatto che viene condiviso lo stesso bus per dati e istruzioni, creando il cosiddetto *Von Neumann Bottleneck*.



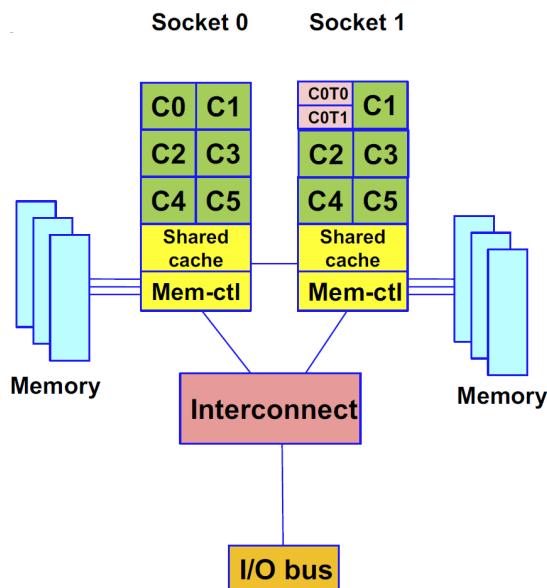
Esistono varie strategie per mitigare questo fenomeno:

1. Caching and memory gerarchy on chip
2. Separate access to data and instructions (Harvard Architecture)
3. Branch prediction

### Simple Server architecture

In a server multiple components interact during the program execution.

- Processors/cores
  - I-cache, D-cache
- Shared Caches
  - For instruction and data
- Memory controllers
- I/O subsystems
  - Storage, network, peripherals



An example: NUMA architecture (non-uniform memory access).

## Memoria

Ci sono 2 parametri che caratterizzano le memorie:

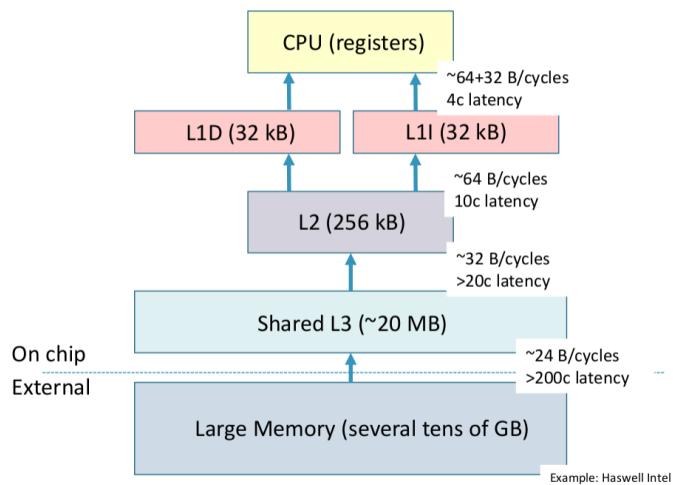
**Banda:** numero di byte che posso estrarre dalla memoria ad ogni colpo di clock.

**Latenza:** quanto tempo ci vuole dopo che abbiamo richiesto i dati ad ottenerli effettivamente.

Se ho un'operazione che eseguo molto spesso, non conviene ogni volta accedere a questa operazione. Analogamente, se abbiamo gli stessi dati su cui fare delle operazioni, li carichiamo nella cache una volta sola e poi facciamo le operazioni.

In particolare, la cache è strutturata su più livelli, ognuno dei quali ha performance diverse in termini di Banda e Latenza.

La gerarchia di "data access" e "instruction fetching" è fondamentale nell'architettura dei computer.



Più il clock va veloce e più il processore è veloce. Tuttavia la velocità del clock non può aumentare all'infinito. Si cercano metodi per andare più veloci del tempo scandito dal clock.

## Seven dimensions of performance

The «modern» PC performance depends on (at least) seven characteristics:

- Hardware vectors
  - Superscalars
  - Pipelining
  - Hardware multithreading
  - Multiple cores
  - Multiple sockets
  - Multiple nodes
- { Data and Instruction level

{ Task level

} Application level

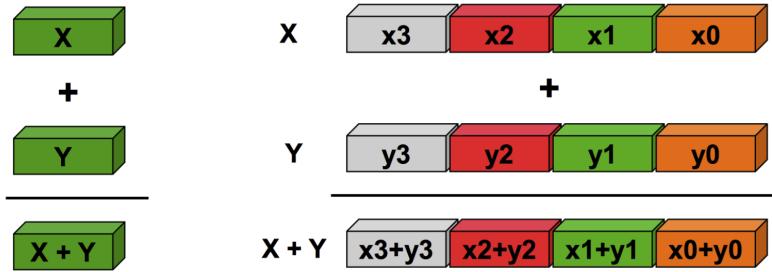
## Processori Vettoriali

Finora abbiamo visto processori "scalari".

Modern processors implement registers for vectorization (SSE/SSE2 and AVX)

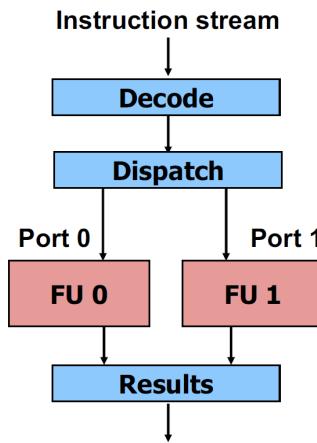
- Scalar mode:
  - One operation produces one result

- SIMD (Single Instruction Multiple Data) is a simple way to parallelize
  - One operation produces multiple results



## Superscalari

Abbiamo tanti processori scalari, ognuno dei quali fa singole operazioni su singoli elementi di memoria.

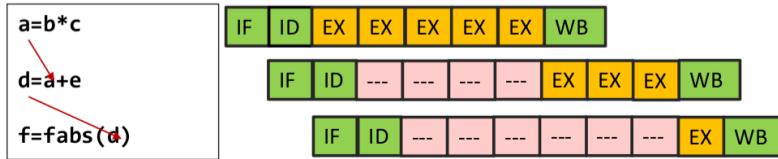


- Architecture between pure «scalar» and pure «vector»
  - Several hardware units can execute different operation on different data at the same time
- Functional Units (FU) can have identical or different computing capabilities
  - Decoder and Dispatcher must have the capability to manage two instruction in one clock cycle
- Useful for Branch Prediction
  - Execute at the same time different branches in an algorithm then choose the correct one

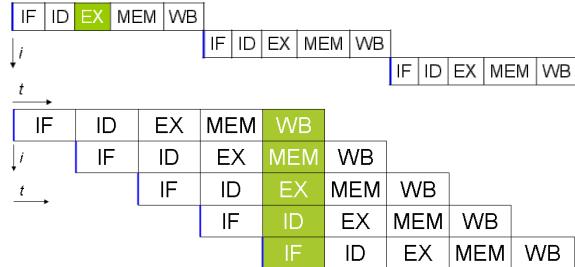
**Branch prediction:** Ho sufficienti risorse per eseguire contemporaneamente varie branch di un programma.

## Pipelining

Pipelining consists in the capability to execute different stage of consecutive instructions at the same time.



The pipeline is an important ingredient in modern processors. However, it isn't always possible to fully exploit the pipeline.



### Summary:

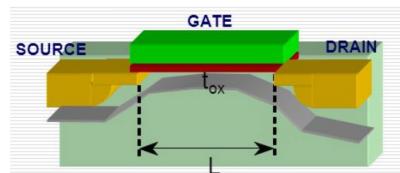
- Superscalars, Pipelining and Vectorialization are methods to exploit some «parallelism» at the instruction and data level: ILP
    - Probably OOO (Out-of-order) execution should be included in this category
  - The possible improvement thanks to ILP depends on problem and data structures
    - 1x-10x for Superscalars and Pipeline
    - 2x, 4x, 8x, 16x for the vectorialization
  - These methods show «saturation» because they are limited by the CPU resources available
    - Pentium 4: 30 pipeline stages (nowadays 10-15 maximum)
    - ARM A57 (Apple A7/A8): 9 ports/6 instructions superscalar
    - Intel Tiger Lake: vector of 512 bits for a subset of AVX512 instructions
- ... the point is: can CPU resources grow indefinitely?

## Dennard Scaling

Aka MOSFET scaling (Dennard scaling after an article from Dennard et al. in 1974 in IEEE Journal of Solid State Circuits)

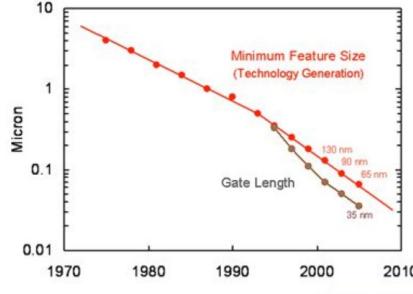
**-In each generation of CMOS based IC the power consumption remains the same**

Breakdown of Dennard scaling around 2006: With very small integration it is not true anymore that the power consumption is the same, due to increasing in current leakage. The increasing of the speed of the transistors switching (frequency) is not anymore linear with the performance of the CPU



Energy consumption has become more important to users (For mobile, IoT, and for large clouds).

Processors have reached their power limit: Thermal dissipation is maxed out (chips turn off to avoid overheating!). Even with better packaging: heat and battery are limits.



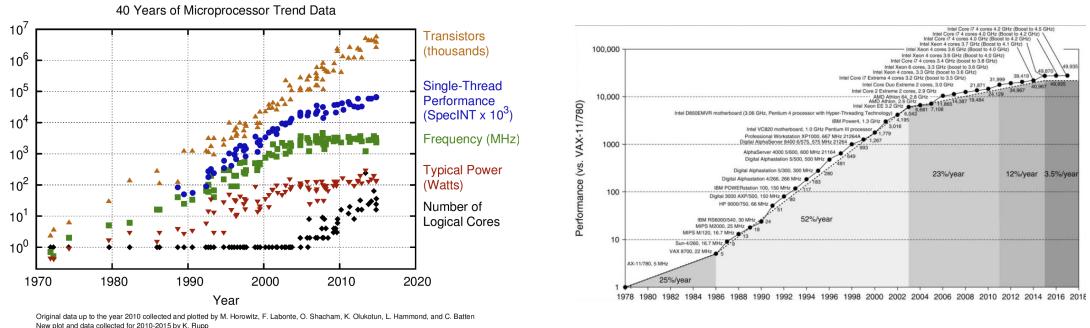
Device or Circuit Parameter	Scaling Factor
Device dimension $t_{ox}, L, W$	$1/k$
Doping concentration $N_a$	$k$
Voltage $V$	$1/k$
Current $I$	$1/k$
Capacitance $eA/t$	$1/k$
Delay time per circuit $VC/I$	$1/k$
Power dissipation per circuit $VI$	$1/k^2$
Power density $VI/A$	1

Table I: Scaling Results for Circuit Performance (from Dennard)

## Moore scaling

Moore's «law» is the empirical observation that the number of transistors doubles about each two years (the performance of CPU doubles each 18 months).

Moore's prediction was verified for decades, however, around 2005 it starts to show saturation! Moore's law is closely related to Dennard scaling.



## Hardware parallelism

How to avoid saturation?

Instruction level parallelism achieved significant performance advantages. But the performance are related to clock speed. Increasing in ILP is still possible but the complexity of CPU is more than linear, diminishing return in efficiency.

We need a next level in parallelism!

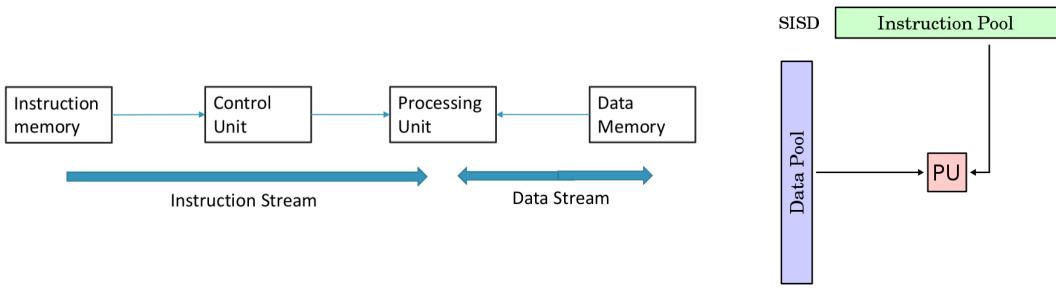
## Flynn's taxonomy

Classification of computers architectures based on the number of data streams and instructions streams.

- Single Instruction Single Data (SISD): Traditional sequential computing
- Single Instruction Multiple Data (SIMD)
- Multiple Instructions Single Data (MISD)
- Multiple Instructions Multiple Data (MIMD)

## SISD: Single Instruction Single Data

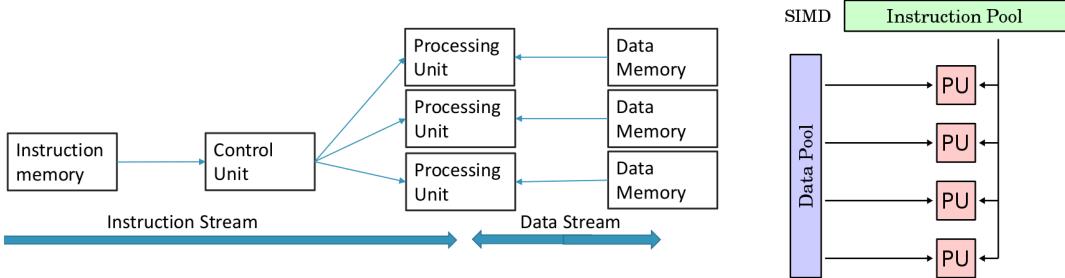
Only one instruction operates for each time slot on one data (sequential processing).



## SIMD: Single Instruction Multiple Data

At one time one instruction operates on multiple data.

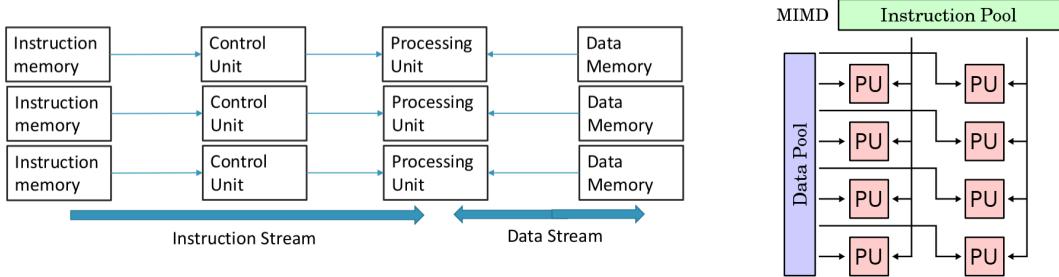
- Very similar to vector processors (although in the vector architecture the parallelism is obtained with a pipeline, while in SIMD the operations are really parallel on vector's element.)
- Array processors
- Most modern processors contain one or more SIMD sections



## MIMD: Multiple Instruction Multiple Data

Multiple instructions streams operate on multiple data stream.

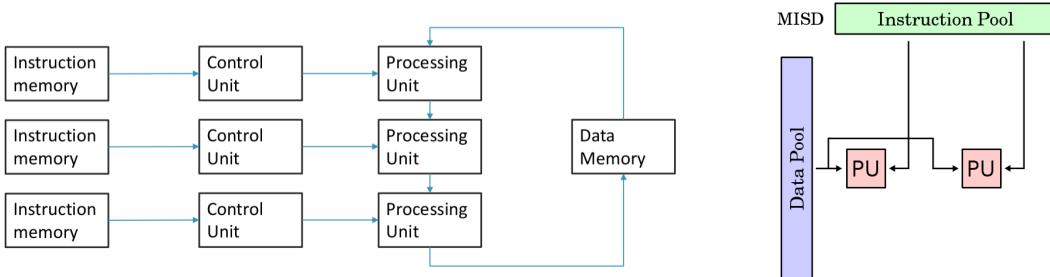
- Most of supercomputers are organized as MIMD architecture
- Multi-core superscalar, multi-processors and distributed systems



## MISD: Multiple Instruction Single Data

Not commonly seen. Sometime the systolic array is seen as MISD.  
Usually is an architecture used for fault tolerance and not for computing.

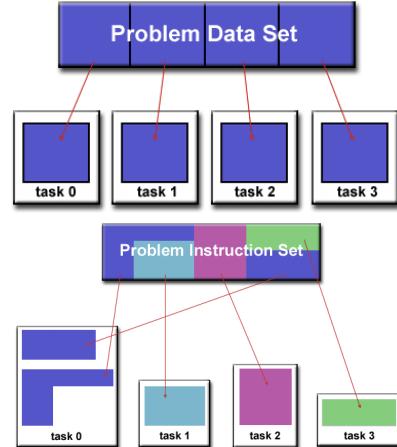
## Logic partitioning and decomposition



The choice of the architecture depends on the problem.

- Domain decomposition
  - Single program, multiple data
  - decomposition based on Input domain, output domain, both
- Functional decomposition
  - Multiple programs, multiple data
  - Independent tasks
  - Pipeling

Ad esempio, se devo fare il prodotto tra matrici, divido le matrici in blocchi e faccio il prodotto.



## Multiprocessor Execution Model

A specific architecture is suitable for a specific problem, but all needs «multiprocessors». Examples:

- Each processor has its own PC and executes an independent stream of instructions (MIMD)
- Different processors can access the same memory space
- Processors can communicate via shared memory by storing/loading to/from common locations

Two ways to use a multiprocessor:

- Deliver high throughput for independent jobs via job-level parallelism
- Improve the run time of a single program that has been specially designed to run on a multiprocessor - a parallel-processing program

## Sequential processing

Only one “thread” of execution:

- One step follows another in sequence
- One processor is all that is needed to run the algorithm

**Thread definition:** It is the smallest of a program that can be managed independently by a scheduler (typically in the operating system).

- A thread is a component of a process
- Multiple threads can exist within one process
- Systems with a single processor generally implement multithreading by time slicing (software threads)



## Concurrent Processing

A system in which:

- Multiple tasks can be executed at the same time
- The tasks may be duplicates of each other, or distinct tasks
- The overall time to perform the series of tasks is reduced

### Advantages:

- Concurrent processes can reduce duplication.
- The overall runtime of the algorithm can be significantly reduced.
- More real-world problems can be solved than with sequential algorithms alone.

### Disadvantages

- Runtime is not always reduced, so careful planning is required
- Concurrent algorithms can be more complex than sequential algorithms
- Shared data can be corrupted
- Communication between tasks is needed



### Types of concurrent processing:

- Multiprogramming
- Multiprocessing
- Multitasking
- Distributed Systems

### Multiprogramming

- Share a single CPU among many users or tasks.
- May have a time-shared algorithm or a priority algorithm for determining which task to run next
- Gives the illusion of simultaneous processing through rapid swapping of tasks (interleaving).

### Multiprocessing

- Executes multiple tasks at the same time
- Uses multiple processors to accomplish the tasks
- Each processor may also timeshare among several tasks
- Has a shared memory that is used by all the tasks

### Multitasking

- A single user can have multiple tasks running at the same time.
- Can be done with one or more processors.
- Used to be rare and for only expensive multiprocessing systems, but now most modern operating systems can do it.

### Distributed systems

- Multiple computers working together with no central program "in charge."
- No bottlenecks from sharing processors
- No central point of failure
- Complexity
- Communication overhead
- Distributed control

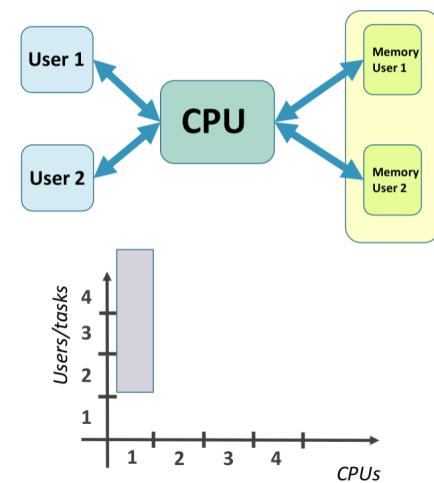


Figure 2.6: Multiprogramming

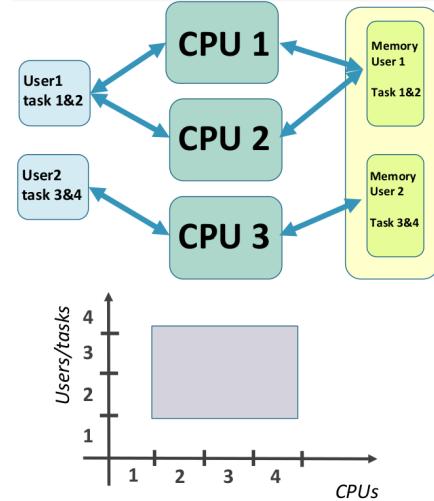
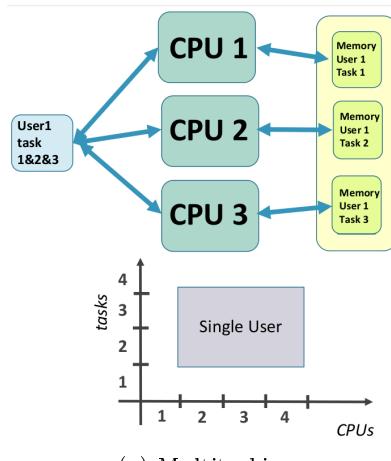
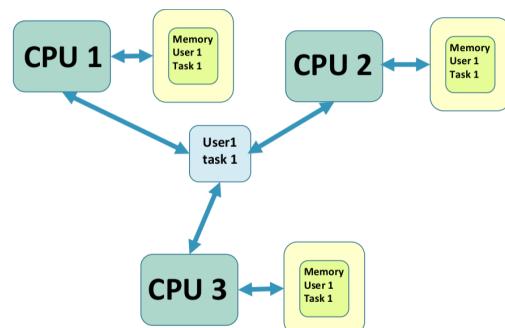


Figure 2.7: Multiprocessing



(a) Multitasking



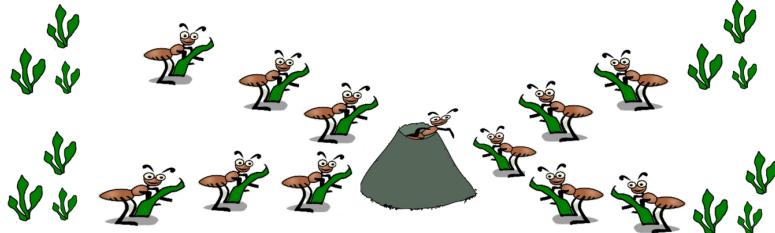
(b) Distributed Systems

## Parallelism vs Concurrency

**Concurrency** is the execution of multiple tasks at the same time, regardless of the number of processors.

**Parallelism** is the execution on multiple processors on the same task:

- Breaking the task into meaningful pieces
- Doing the work on many processors
- Coordinating and putting the pieces back together.



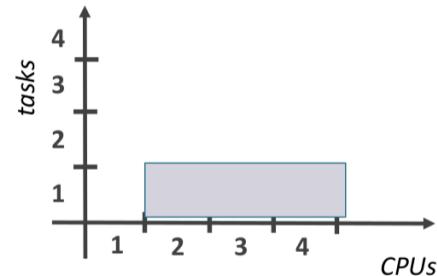
## Parallelization

For a wide class of algorithms parallelization is the most powerfull way to decrease execution time (not complexity).

-Example: a problem with  $O(N \log N)$  complexity (for instance Quicksort) on  $\log N$  processors will take the time needed by  $O(N)$  algorithms

-Example: a problem with  $O(N^2)$  complexity (for instance binary search) on  $N$  processors will take the time needed by  $O(N)$  algorithms

**Parallelization is not free.** Processors must be controlled and coordinated. We need a way to govern which processor does what work; this involves extra work.



Often the program must be written in a special programming language for parallel systems. Often, a parallelized program for one machine (with, say, 2K processors) is not optimal on other machines (with, say, 2L processors).

## Speedup and Efficiency

How much gain can we get from parallelizing an algorithm?

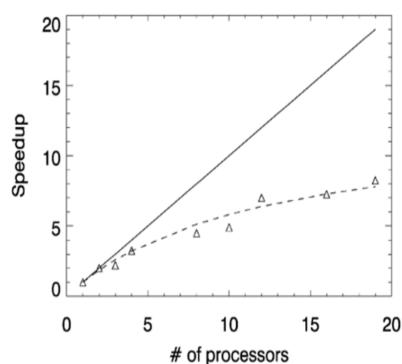
Let's define the «speedup» as (where  $n$  is the number of processors):

$$S_n = T_{\text{serial}} / T_{\text{parallel}}(n)$$

For a perfect parallel algorithm  $S_n = n$ . That's pratically impossible, even if for very specific cases could be also  $S_n > n$  (superlinear case).

The efficiency is defined as:

$$E = S_n / n \quad (2.1)$$



It is a measure of how well our algorithm is using the processors.

## Cost and Scalability

**Cost:** the number of CPU required

$$c = nT_p(n) = \frac{T_1}{E}$$

**Scalability:** capability to remain efficient with the increasing of the number of processors.

## Amdhal's law (1967)

If only one part ( $P_K$ ) of the code can be improved, the maximum improvement is given by:

$$1 / \sum \frac{P_K}{S_K}$$

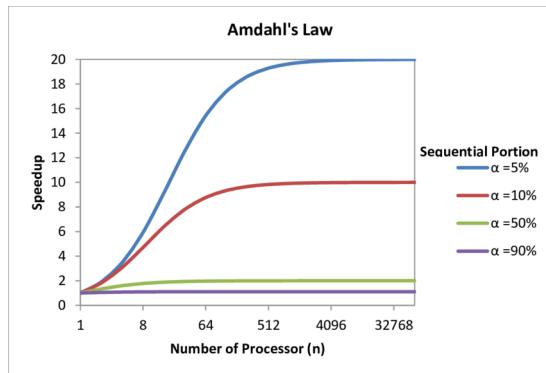
Where  $k$  is the part of the code and  $S_k$  is the speedup of the part-k.

In the case of parallel programming:

$$S_n = \frac{n}{nF + (1 - F)}$$

if  $n \rightarrow \infty$  the speedup is  $S_n = 1/F$

For instance if the fraction of serial code is 10% ( $F=0.10$ ) the maximum speedup is «only» 10 (regardless the number of processors). Apparently the parallelism is usefull only for «embarrassingly parallel» problems, with a small number of processors.



## Overhead of parallelization

### Load balancing

In case of several tasks in parallel the execution time of each task must be similar. Otherwise the total time is dominated by the slower task.

Some processor could be inefficiently IDLE. It's not easy to design a priori a good load balancing.

### Synchronization

If the tasks use the same memory (shared memory) to exchange data a logic of lock-unlock must be designed. This involves a waste of time.

### Communication latency

If data must be moved between processors the overhead due to data transmission can be really relevant.

## Limits of Amdhal's law

Apparently the Amdahl's law puts important limits to the advantages of parallel computing. But there are importants caveat to this law:

- Amdahl assumes that the best solution is always the best serial algorithm. Often some problem must be solved in parallel

- Some architectural design can help parallel processing (for instance the caching)

- Amdahl assumes that the dimension of the problem is always the same with the increasing of the number of processors. But more processors often means that wider problem can be addressed.

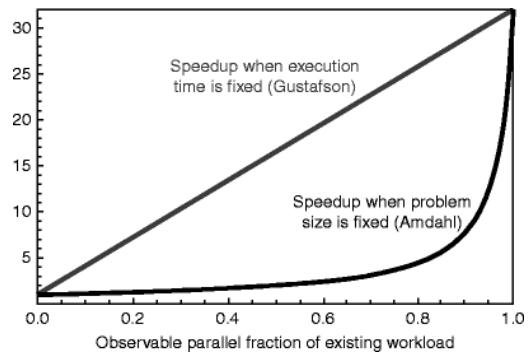
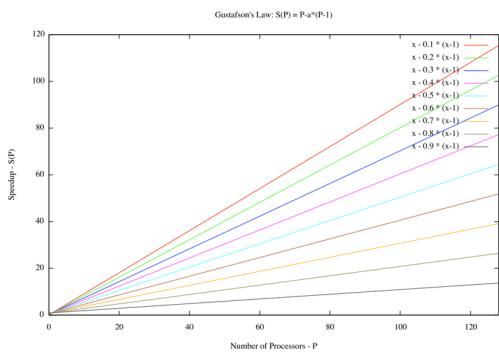
## Gustafson's law (1988)

Let's assume s is the time of the serial part (and p is the time parallel part).

Let's assume that the problem grows with the number of processor (N) and that the serial part remains always the same.

Under these assumptions the speedup is given by:

$$s_n = N + (1 - N)s$$



The speedup is linear with N.

### Recap:

Standard processors are designed for “sequential” programming

- Several “tricks” are applied at instruction level to better exploit the Von Neuman structure (Vector processors, superscalars, pipeline, ...)

- Starting from about 2005 the performances serial processors start to show saturation (Moore’s law, Denard’s scaling)

- To overcome these limitations it is necessary to rethink the way of programming (Concurrency & Parallelism)

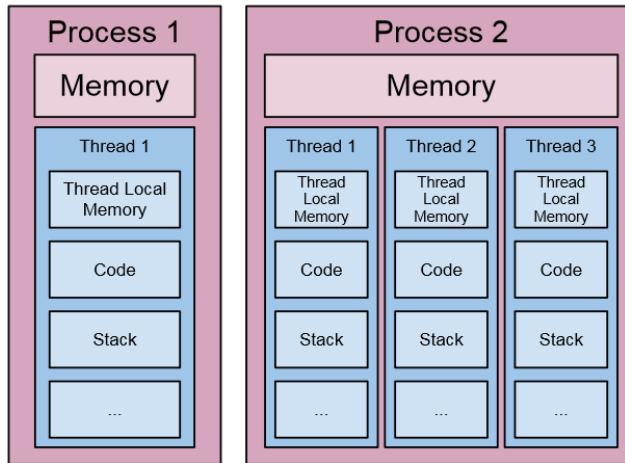
- The idea: divide the problem in sub-problems to be addressed simultaneously (different architectures for parallelism: Flynn’s taxonomy)

## Multithreading and multiprocessing in Python

### Threads and processes

Threads and processes are the way to use concurrency in python.

Python implements a very simple thread-safe mechanism: Global Interpreter Lock (GIL). In order to prevent conflicts only one statement in one thread is executed at a time (single-threading).



### The Global Interpreter Lock (GIL)

The Global Interpreter Lock refers to the fact that the Python interpreter is not thread safe. There is a global lock that the current thread holds to safely access Python objects. Because only one thread can acquire Python Objects/C API, the interpreter regularly releases and reacquires the lock every 100 bytecode of instructions. The frequency at which the interpreter checks for thread switching is controlled by the `sys.setcheckinterval()` function. In addition, the lock is released and reacquired around potentially blocking I/O operations.

It is important to note that, because of the GIL, the CPU-bound applications won't be helped by threads. In Python, it is recommended to either use processes, or create a mixture of processes and threads.

### Processi e Thread

Il processo è un'istanza del programma che abbiamo scritto. Ogni processo ha una memoria dedicata.

Quando due processi vengono lanciati, le due memorie non si parlano tra loro, sono completamente separate. Ogni processo ha una memoria chiusa.

All'interno di un singolo processo possiamo creare task differenti. Queste task possono essere viste come parti diverse del programma eseguite in modo seriale (ad es quando definiamo più funzioni che fanno compiti differenti per rendere più leggibile il programma).

Possiamo rendere questi task dei *thread*: pezzi di codice che runna indipendentemente dagli altri. C'è una memoria comune che è la memoria del processo. Poi ci sono thread diversi che runnano su risorse differenti (o sulla stessa risorsa) contemporaneamente.

Qualche volta è necessario che questi thread che stanno lavorando insieme, comunichino tra di loro. Magari vogliono leggere o scrivere qualcosa sulla memoria condivisa. Serve un meccanismo di comunicazione tra i vari thread. In che modo farli comunicare dipende da noi.

Il sistema operativo mette a disposizione due modi per mettere in comunicazione i thread, cercando di evitare possibili conflitti. **Mutex**: è un sistema di locking: quando un thread vuole accedere a una parte di memoria o a una risorsa hardware, dice "questo lo sto usando io" e gli altri

thread devono mettersi in coda fino a quando il lock non viene sganciato.

**Meccanismo dei Semafori:** si basa sul fatto che un thread comunichi agli altri thread cosa sta facendo. Nel caso del Mutex vince chi mette il lock e solo lui può toglierlo. Nel caso del semaforo c'è un meccanismo di priorità logica che permette a qualcun altro di prendere in mano la risorsa.

Python non permette di fare thread! Python è un linguaggio pensato per essere semplice, nel senso che impedisce di fare troppe cavolate.

Python è un linguaggio fortemente tipizzato. Non dichiaro mai le variabili, ma dopo che faccio `a = 1`, da quel punto la variabile è un intero e non posso cambiarlo, non posso successivamente scrivere `a = 1.5`.

GIL = Global Interpreter Lock. Si possono definire i thread, ma fisicamente non vengono runnati insieme, bensì in modo seriale. Allora perché farlo?

I thread sono utili quando è necessario fare I/O.

Se ho un thread che deve accedere a un file, python me lo permette.

Se voglio fare roba concorrenziale di **calcolo** contemporaneamente? Dobbiamo utilizzare i *processi*: istanze di programmi.

Posso dire che tre funzioni all'interno di un programma vengano fatte in processi differenti, che effettivamente runneranno in parallelo. Questo ha lo svantaggio che i processi abbiano memorie differenti, quindi devo trovare un modo per farle comunicare. Ho però il vantaggio di poter uccidere (kill) un singolo processo.

C'è un altro modo per fregare GIL, ovvero non usare python. Ad esempio quando usiamo alcune librerie, wrappate in python, ma scritte in C. E quelle librerie al loro interno usano i thread!

*controllare di avere i moduli "multiprocessing" e "threading"*

#### Process: pros and cons

##### **pros:**

- A process is an instance of a program, managed by operating system (memory space allocated by the kernel).
- Two processes can execute code simultaneously in the same python program
- Separated memory space
- Takes advantage of multiple cores and CPUs
- Child processes are killable
- Avoid GIL limitations

##### **cons:**

- Relatively high overhead
- Open and close processes takes more time
- Sharing information between processes is very slow
- Model not adaptable to parallelism

#### Threads: pros and cons

##### **pros:**

- Processes produce threads (sub-processes) to handle sub-tasks (threads live inside the process and share the same memory space)

- Can use shared memory
- Threads communication
- Lightweight
- Very small overhead
- Great option for I/O bound application

##### **cons:**

- Subject to GIL (although there are workarounds)
- Not killable
- Potential of race condition
- Same memory space

## When to use threads vs processes?

**Processes** speed up Python operations that are CPU intensive because they benefit from multiple cores and avoid the GIL.

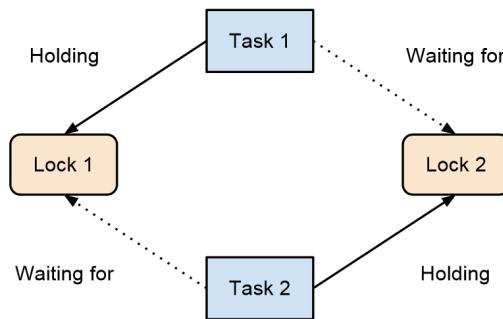
**Threads** are best for IO tasks or tasks involving external systems because threads can combine their work more efficiently. Processes need to pickle their results to combine them which takes time.

Threads provide no benefit in python for CPU intensive tasks because of the GIL.

## Things to be afraid of! (not only in python...)

**Starvation:** a task is constantly denied necessary resource. The task can never finish (starves).

**Deadlock:** Usually a deadlock occurs when two or more tasks wait cyclically for each other.



## Lun 24 ott - Lezione 10

### The multiprocessing module

#### HelloWorld

Create a process to run the function f()

```

1  from multiprocessing import Process
2
3  def f(name):
4      print('Hello '+name)
5
6  #MAIN
7  if __name__=="__main__":
8      p = Process(target=f, args=('World',))
9      p.start()
10     p.join()

```

Trasformeremo quello che fa la funzione in un processo.

Una volta definito il processo, lo dobbiamo fare partire usando il metodo `p.start`. L'esecuzione di un thread può avvenire in modo sincrono e asincrono. Tipicamente avviene in modo asincrono: quando l'interprete trova `p.start` avvia il processo. Il processo parte; Il controllo del flusso va direttamente alla riga successiva, indipendentemente dal fatto che il processo sia terminato. Questo succede a meno che non utilizziamo `p.join`. In tal caso il processo avviene in modo sincrono: finché non è finito il processo, si aspetta.

#### FatherAndSons

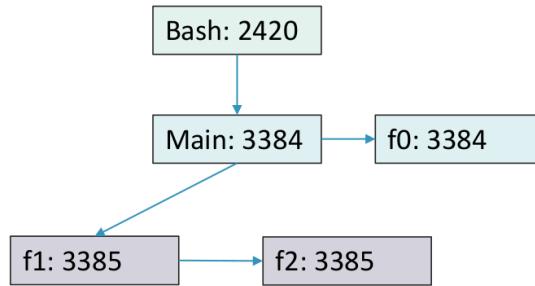
Generate a tree of processes

```

1  from multiprocessing import Process
2  import os
3
4  def f0(name):
5      print()
6      print("----> function "+name)
7      print ("I am still the main process with ID "
8            +str(os.getpid())+" my father is ID:"+str(os.getppid()))
9
10 def f1(name):
11     print()
12     print("----> function "+name)
13     print ("I am the first sub-process with ID "
14           +str(os.getpid())+" my father is ID:"+str(os.getppid()))
15     f2('two')
16
17 def f2(name):
18     print()
19     print("----> function "+name)
20     print ("I am still the first sub-process with ID "
21           +str(os.getpid())+" my father is ID:"+str(os.getppid()))
22     print("This is the end!")
23
24 #MAIN
25 if __name__=="__main__":
26     print ("I am the main process with ID: "+str(os.getpid()))
27     f0('zero')
28     p = Process(target=f1, args=('one',))
29     p.start()
30     p.join()

```

Ho un processo main in cui viene chiamata la funzione f0. Il main genera un processo, definito dalla funzione f1, nel quale viene chiamata f2.



Su linux, se sulla linea di comando scriviamo `ps`, mi dice quali processi sono in esecuzione.

### Use the Queue to get the result from multiple processes

```

1 import multiprocessing as mp
2
3 # define a example function
4 def Hello(pos,name):
5     msg="Hello "+name
6     output.put((pos, msg))
7
8 if __name__=="__main__":
9     # Define an output queue
10    output = mp.Queue()
11
12    # Setup a list of processes that we want to run
13    processes = [mp.Process(target=Hello, args=(x, "Gianluca")) for x in range(4)]
14
15    # Run processes
16    for p in processes:
17        p.start()
18
19    # Exit the completed processes
20    for p in processes:
21        p.join()
22
23    # Get process results from the output queue
24    results = [output.get() for p in processes]
25
26    print(results)
  
```

La queue è una scatola in cui mettiamo dentro il risultato dei vari processi, per poi aprirla nel main.

**nota:** non possiamo assumere l'ordine delle cose che facciamo. Lo scheduler decide quando far partire i processi, che potrebbero finire in un ordine diverso da quello atteso.

### How to distribute work to workers (aka cpu cores)

Use the Pool class.

Try `Pool.map`

Try `Pool.map_async`

See also `Pool.apply` e `Pool.apply_async`

```

1 def cube(x):
2     print(str(os.getpid())+" "+str(os.getppid()))
  
```

```

3     return x**3
4 #MAIN
5 if __name__=="__main__":
6     pool = mp.Pool(processes=4)
7     results = pool.map(cube,range(1,7))
8     print(results)

```

```

1 #MAIN
2 if __name__=="__main__":
3     pool = mp.Pool(processes=4)
4     results = pool.map_async(cube,range(1,7))
5     print(results.get())

```

**nota** i processi avviati con pool.map sono di per sé sincroni (e partono subito), perciò non serve usare join (e start).

### Another example with pool.map and pool.map\_async

Notice the time measurement

```

1 import multiprocessing as mp
2 import time
3 import os
4 def doingstuffs(x):
5     print ("Process: "+str(x)+" "+str(os.getpid()))
6     time.sleep(1)
7 if __name__=="__main__":
8     start=time.time()
9     pool = mp.Pool(processes=4)
10    results = pool.map(doingstuffs,range(1,10))
11    end=time.time()
12    print("elapsed time: "+str(end-start))

```

```

1 results = pool.map_async(doingstuffs,range(1,10))
2 ...
3 print(results.get())

```

### Communication between processes

Un modo per (*illuderci di*) passare informazione da un processo all'altro è utilizzare le variabili globali.

```

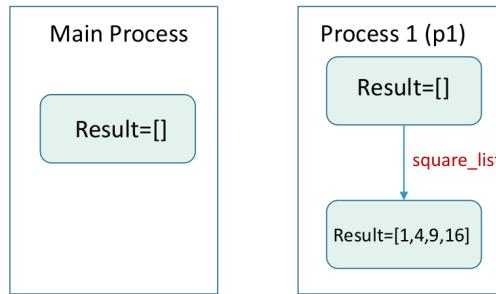
1 import multiprocessing
2
3 # empty list with global scope
4 result = []
5
6 def square_list(mylist):
7     global result
8     for num in mylist:
9         result.append(num * num)
10    print("Result(in process p1): "+str(result))
11
12 #MAIN
13 if __name__=="__main__":
14     # input list
15     mylist = [1,2,3,4]
16     # creating new process

```

```

17     p1 = multiprocessing.Process(target=square_list, args=(mylist,))
18     # starting process
19     p1.start()
20     # wait until process is finished
21     p1.join()
22
23     # print global result list
24     print("Result(in main program): "+str(result))
25

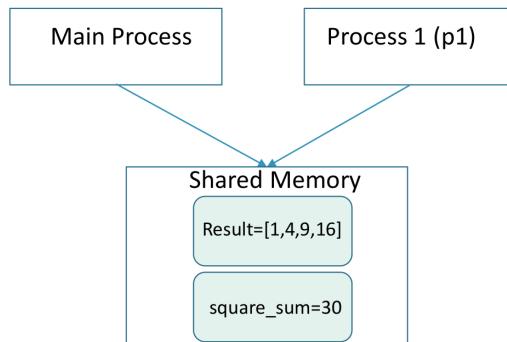
```



Different memory spaces allocated for each process. Try to print result in both processes.

### Comm. between processes: shared memory

Normalmente abbiamo visto che le memorie sono separate. È possibile definire una zona di memoria (*shared memory*) comune ad entrambi i processi.



Shared memory: multiprocessing module provides Array and Value objects to share data between processes.

**Array:** array allocated from shared memory.

**Value:** object allocated from shared memory.

```

1 import multiprocessing
2
3 def square_list(mylist, result, square_sum):
4     for idx, num in enumerate(mylist):
5         result[idx] = num * num
6     # square_sum value
7     square_sum.value = sum(result)
8     # print result Array
9     print("Result(in process p1): "+str(result[:]))
10    # print square_sum Value
11    print("Sum of squares(in process p1): "+str(square_sum.value))
12
13 if __name__=="__main__":
14     # input list
15     mylist = [1,2,3,4]

```

```

16     # creating Array of int data type with space for 4 integers
17     result = multiprocessing.Array('i', 4)
18     # creating Value of int data type
19     square_sum = multiprocessing.Value('i')
20     # creating new process
21     p1 = multiprocessing.Process(target=square_list, args=(mylist, result, square_sum))
22
23     # starting process
24     p1.start()
25     # wait until process is finished
26     p1.join()
27
28     # print result array
29     print("Result(in main program): "+str(result[:]))
30     # print square_sum Value
31     print("Sum of squares(in main program): "+str(square_sum.value))
32

```

Nella shared memory non posso mettere oggetti complicati come i dizionari.

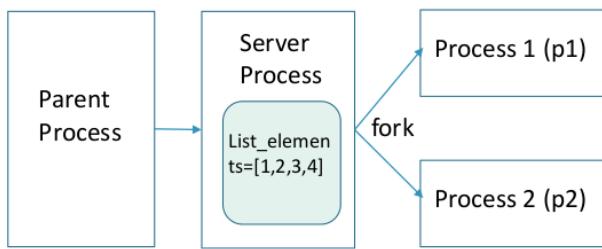
### Comm. between processes: server process

Server process : Whenever a python program starts, a server process is also started. From there on, whenever a new process is needed, the parent process connects to the server and requests it to fork a new process. A server process can hold Python objects and allows other processes to manipulate them. multiprocessing module provides a Manager class which controls a server process. Hence, managers provide a way to create data which can be shared between different processes. Server process allows to share any type of object (dict, lists,...). It is also possible to connect a server process to the network

```

1 import multiprocessing
2
3 def add_element(record,records):
4     records.append(record)
5     print("New element added to records list")
6
7 def sum_elements(records):
8     summ=sum(records)
9     print("New sum is: "+str(summ))
10
11 #MAIN
12 with multiprocessing.Manager() as manager:
13     list_elements=[1,2,3,4]
14     records=manager.list(list_elements)
15     new_element=5
16
17     print("Old sum is: "+str(sum(list_elements)))
18     #creating new processes
19     p1 = multiprocessing.Process(target=add_element, args=(new_element,records))
20     p2= multiprocessing.Process(target=sum_elements, args=(records,))
21
22     #running process p1 to insert new element
23     p1.start()
24     p1.join()
25
26     #running process p2 to sum list elements
27     p2.start()
28     p2.join()
29

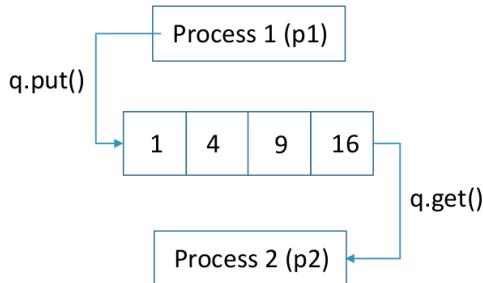
```



### Comm. between processes: queue

**Queue** : A simple way to communicate between process with multiprocessing is to use a Queue to pass messages back and forth.

Any Python object can pass through a Queue.



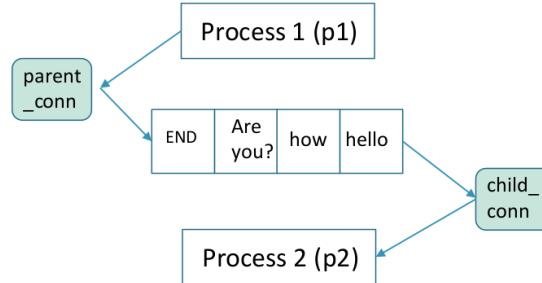
```

1 import multiprocessing
2
3 def square_list(mylist, q):
4     # append squares of mylist to queue
5     for num in mylist:
6         q.put(num * num)
7
8 def print_queue(q):
9     print("Queue elements:")
10    while not q.empty():
11        print(q.get())
12    print("Queue is now empty!")
13
14 #MAIN
15 if __name__=="__main__":
16     # input list
17     mylist = [1,2,3,4]
18     # creating multiprocessing Queue
19     q = multiprocessing.Queue()
20
21     # creating new processes
22     p1 = multiprocessing.Process(target=square_list, args=(mylist, q))
23     p2 = multiprocessing.Process(target=print_queue, args=(q,))
24
25     # running process p1 to square list
26     p1.start()
27     p1.join()
28     # running process p2 to get queue elements
29     p2.start()
30     p2.join()
  
```

**nota:** quando estraggo un elemento dalla coda, lo rimuovo da essa.

### Comm. between process: pipe

In linea di principio, la coda permette di avere più *endpoint*: non necessariamente entra da un lato ed esce da un altro. Invece la pipe è così: la dobbiamo immaginare proprio come un tubo.



Se ho soltanto due processi: uno che scrive e uno che legge, allora è più conveniente usare le pipe perché sono più veloci.

**Pipes** : A pipe can have only two endpoints. Hence, it is preferred over queue when only two-way communication is required. Queue is slower (it's built on top of pipe).

multiprocessing module provides `Pipe()` function which returns a pair of connection objects connected by a pipe. The two connection objects returned by `Pipe()` represent the two ends of the pipe. Each connection object has `send()` and `recv()` methods (among others).

### Synchronization between processes

Process synchronization is defined as a mechanism which ensures that two or more concurrent processes do not simultaneously execute some particular program segment known as critical section. A race condition occurs when two or more processes can access shared data and they try to change it at the same time. As a result, the values of variables may be unpredictable and vary depending on the timings of context switches of the processes.

```

1 import multiprocessing
2
3 def withdraw(balance):
4     for x in range(10000):
5         balance.value = balance.value - 1
6 def deposit(balance):
7     for x in range(10000):
8         balance.value = balance.value + 1
9
10 def perform_transactions():
11     # initial balance (in shared memory)
12     balance = multiprocessing.Value('i', 100)
13     # creating new processes
14     p1 = multiprocessing.Process(target=withdraw, args=(balance,))
15     p2 = multiprocessing.Process(target=deposit, args=(balance,))
16     # starting processes
17     p1.start()
18     p2.start()
19     # wait until processes are finished
20     p1.join()
21     p2.join()
22     # print final balance
23     print("Final balance = {}".format(balance.value))
24
25 #MAIN
26 for x in range(10):
27     # perform same transaction process 10 times
28     perform_transactions()

```

Se permettiamo a due processi di scrivere contemporaneamente sulla stessa locazione di memoria succede un casino!

The multiprocessing module provides a Lock class to deal with the race conditions. Lock is implemented using a Semaphore object provided by the Operating System. A semaphore is a synchronization object that controls access by multiple processes to a common resource in a parallel programming environment. It is simply a value in a designated place in operating system (or kernel) storage that each process can check and then change. Depending on the value that is found, the process can use the resource or will find that it is already in use and must wait for some period before trying again.

```

1 import multiprocessing
2
3 # function to withdraw from account
4 def withdraw(balance, lock):
5     for x in range(10000):
6         lock.acquire()
7         balance.value = balance.value - 1
8         lock.release()
9
10 # function to deposit to account
11 def deposit(balance, lock):
12     for x in range(10000):
13         lock.acquire()
14         balance.value = balance.value + 1
15         lock.release()
16
17 def perform_transactions():
18     # initial balance (in shared memory)
19     balance = multiprocessing.Value('i', 100)
20     # creating a lock object
21     lock = multiprocessing.Lock()
22
23     # creating new processes
24     p1 = multiprocessing.Process(target=withdraw, args=(balance,lock))
25     p2 = multiprocessing.Process(target=deposit, args=(balance,lock))
26     # starting processes
27     p1.start()
28     p2.start()
29     # wait until processes are finished
30     p1.join()
31     p2.join()
32
33     # print final balance
34     print("Final balance = "+str(balance.value))
35
36 #MAIN
37 if __name__=="__main__":
38     for x in range(10):
39         # perform same transaction process 10 times
40         perform_transactions()
```

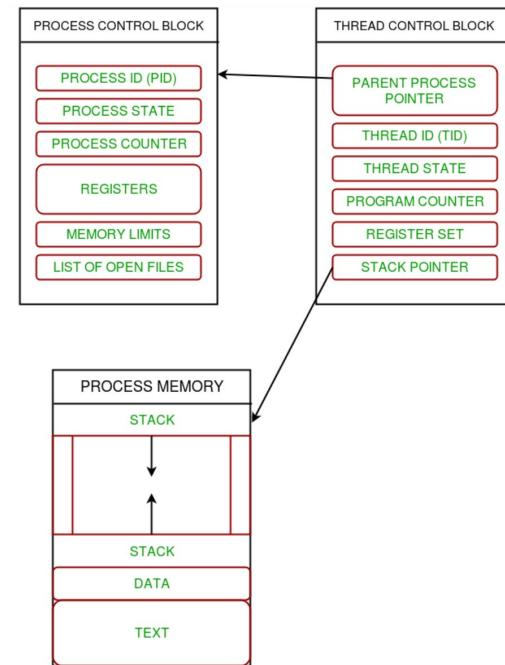
Il lock si utilizza ogni volta che si vuole impedire che la stessa risorsa venga usata due volte.

## Threading

A thread is an entity within a process that can be scheduled for execution. Also, it is the smallest unit of processing that can be performed in an OS (Operating System).

In simple words, a thread is a sequence of such instructions within a program that can be executed independently of other code. For simplicity, you can assume that a thread is simply a subset of a process! Multiple threads can exist within one process where:

- Each thread contains its own register set and local variables (stored in stack).
- All threads of a process share global variables (stored in heap) and the program code.



## Threading module

The threads aren't different processes. Due to GIL the parallelism is only «Logic».

```

1 import threading
2 import os
3
4 def task1():
5     print("Task 1 assigned to thread: "+threading.current_thread().name)
6     print("ID of process running task 1: "+str(os.getpid()))
7 def task2():
8     print("Task 2 assigned to thread: "+threading.current_thread().name)
9     print("ID of process running task 2: "+str(os.getpid()))
10 #MAIN
11 if __name__=="__main__":
12     # print ID of current process
13     print("ID of process running main program: "+str(os.getpid()))
14     # print name of main thread
15     print("Main thread name: "+threading.main_thread().name)
16
17     # creating threads
18     t1 = threading.Thread(target=task1, name='t1')
19     t2 = threading.Thread(target=task2, name='t2')
20     # starting threads
21     t1.start()
22     t2.start()
23     # wait until all threads finish
24     t1.join()
25     t2.join()
```

## Threads synchronization

```

1 import threading
2
3 # global variable x
4 x = 0
5
6 def increment():
```

```

7     global x
8     x += 1
9
10    def thread_task():
11        for _ in range(100000):
12            increment()
13
14    def main_task():
15        global x
16        # setting global variable x as 0
17        x = 0
18        # creating threads
19        t1 = threading.Thread(target=thread_task)
20        t2 = threading.Thread(target=thread_task)
21
22        # start threads
23        t1.start()
24        t2.start()
25        # wait until threads finish their job
26        t1.join()
27        t2.join()
28
29    #MAIN
30    for i in range(10):
31        main_task()
32        print("Iteration {0}: x = {1}".format(i,x))

```

```

1 import threading
2
3 # global variable x
4 x = 0
5
6 def increment():
7     global x
8     x += 1
9
10    def thread_task(lock):
11        for _ in range(100000):
12            lock.acquire()
13            increment()
14            lock.release()
15
16    def main_task():
17        global x
18        # setting global variable x as 0
19        x = 0
20        # creating a lock
21        lock = threading.Lock()
22
23        # creating threads
24        t1 = threading.Thread(target=thread_task, args=(lock,))
25        t2 = threading.Thread(target=thread_task, args=(lock,))
26
27        # start threads
28        t1.start()
29        t2.start()
30        # wait until threads finish their job
31        t1.join()
32        t2.join()
33
34    #MAIN
35    for i in range(10):

```

```

36     main_task()
37     print("Iteration {0}: x = {1}".format(i,x))

```

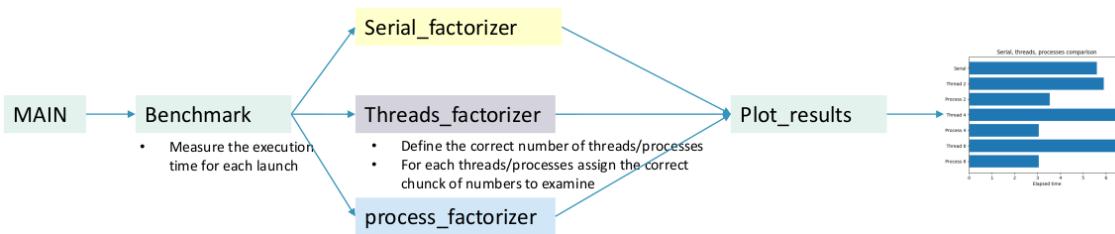
## Comparison between Threads and Processes

Write a code to factorize a list of numbers: the 300 odd numbers from 1000000000001 and 1000000000597.

Try to benchmark the time needed to factorize this list by using:

- Serial code
- 2,4,8 Threads
- 2,4,8 Processes

Produce a plot with the results



```

1 import math
2 import multiprocessing
3 import random
4 import threading
5 import time
6 import matplotlib.pyplot as plt
7 import numpy
8
9
10 class Timer(object):
11     def __init__(self, name=None):
12         self.name = name
13         self.timeee=0
14
15     def __enter__(self):
16         self.tstart = time.time()
17
18     def __exit__(self, type, value, traceback):
19         if self.name:
20             print('[%s] %s' % (self.name, end=' '))
21             self.timeee=(time.time() - self.tstart)
22             print('Elapsed: %s' % (time.time() - self.tstart))
23             self.output()
24
25     def output(self):
26         return self.timeee
27
28
29 def factorize_naive(n):
30     """ A naive factorization method. Take integer 'n', return list of
31         factors.
32         """
33     if n < 2:
34         return []
35     factors = []
36     p = 2
37

```

```

38     while True:
39         if n == 1:
40             return factors
41         r = n % p
42         if r == 0:
43             factors.append(p)
44             n = n // p
45         elif p * p >= n:
46             factors.append(n)
47             return factors
48         elif p > 2:
49             # Advance in steps of 2 over odd numbers
50             p += 2
51         else:
52             # If p == 2, get to 3
53             p += 1
54     assert False, "unreachable"
55
56
57 # Each "factorizer" function returns a dict mapping num -> factors
58 def serial_factorizer(nums):
59     return {n: factorize_naive(n) for n in nums}
60
61 def threaded_factorizer(nums, nthreads):
62     def worker(nums, outdict):
63         """ The worker function, invoked in a thread. 'nums' is a
64             list of numbers to factor. The results are placed in
65             outdict.
66         """
67         for n in nums:
68             outdict[n] = factorize_naive(n)
69
70     # Each thread will get 'chunksize' nums and its own output dict
71     chunksize = int(math.ceil(len(nums) / float(nthreads)))
72     threads = []
73     outs = [{} for i in range(nthreads)]
74
75     for i in range(nthreads):
76         # Create each thread, passing it its chunk of numbers to factor
77         # and output dict.
78         t = threading.Thread(
79             target=worker,
80             args=(nums[chunksize * i:chunksize * (i + 1)],
81                   outs[i]))
82         threads.append(t)
83         t.start()
84
85     # Wait for all threads to finish
86     for t in threads:
87         t.join()
88
89     # Merge all partial output dicts into a single dict and return it
90     return {k: v for out_d in outs for k, v in out_d.items()}
91
92 def mp_worker(nums, out_q):
93     """ The worker function, invoked in a process. 'nums' is a
94         list of numbers to factor. The results are placed in
95         a dictionary that's pushed to a queue.
96     """
97     outdict = {}
98     for n in nums:
99         outdict[n] = factorize_naive(n)
100        out_q.put(outdict)

```

```

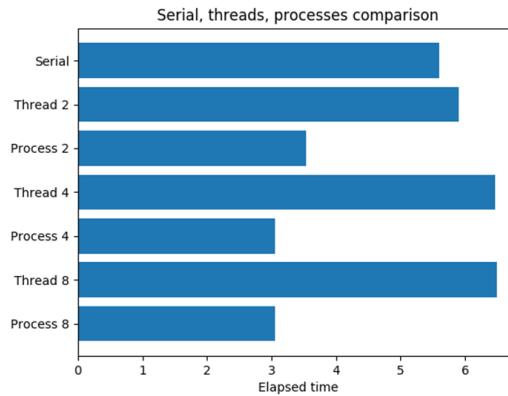
101
102
103 def mp_factorizer(nums, nprocs):
104     # Each process will get 'chunksize' nums and a queue to put his out
105     # dict into
106     out_q = multiprocessing.Queue()
107     chunksize = int(math.ceil(len(nums) / float(nprocs)))
108     procs = []
109
110     for i in range(nprocs):
111         p = multiprocessing.Process(
112             target=mp_worker,
113             args=(nums[chunksize * i:chunksize * (i + 1)],
114                   out_q))
115         procs.append(p)
116         p.start()
117
118     # Collect all results into a single result dict. We know how many dicts
119     # with results to expect.
120     resultdict = {}
121     for i in range(nprocs):
122         resultdict.update(out_q.get())
123
124     # Wait for all worker processes to finish
125     for p in procs:
126         p.join()
127
128     return resultdict
129
130 def plot_results(elapsed):
131     plt.rcdefaults()
132     fig, ax = plt.subplots()
133     laby = ('Serial', 'Thread 2', 'Process 2', 'Thread 4', 'Process 4', 'Thread 8', 'Process 8')
134     y_pos = numpy.arange(len(laby))
135     ax.barch(y_pos, elapsed, align='center')
136     ax.set_yticks(y_pos)
137     ax.set_yticklabels(laby)
138     ax.invert_yaxis() # labels read top-to-bottom
139     ax.set_xlabel('Elapsed time')
140     ax.set_title('Serial, threads, processes comparison')
141     plt.show()
142     wait()
143
144 def benchmark(nums):
145     print('Running benchmark...')
146     elapsed_times = []
147
148     tserial=Timer('serial')
149     with tserial as qq:
150         s_d = serial_factorizer(nums)
151     elapsed_times.append(tserial.output())
152
153     for numparallel in [2, 4, 8]:
154         tthread=Timer('threaded %s' % numparallel)
155         with tthread as qq:
156             t_d = threaded_factorizer(nums, numparallel)
157         elapsed_times.append(tthread.output())
158         tmpar=Timer('mp %s' % numparallel)
159         with tmpar as qq:
160             m_d = mp_factorizer(nums, numparallel)
161         elapsed_times.append(tmpar.output())
162
163     print (elapsed_times)

```

```

164     plot_results(elapsed_times)
165
166
167 #MAIN
168 N = 299
169
170 nums = [99999999999]
171 for i in range(N):
172     nums.append(nums[-1] + 2)
173 print(nums)
174 benchmark(nums)

```



## Why should I use threads?

GIL is bypassed in two cases:

- running programs in external C code (ex: numpy)
- in case of I/O operation: Python release the lock waiting for I/O

A typical application is the use of the network. Writing to a disk, display an image to the screen, print on a printer,...

```

1 import requests
2 import threading as thr
3 from time import perf_counter
4
5 buffer_size=1024
6 #define a function to manage the download
7 def download(url):
8     response = requests.get(url, stream=True)
9     filename = url.split("/")[-1]
10    with open(filename,"wb") as f:
11        for data in response.iter_content(buffer_size):
12            f.write(data)
13
14 #MAIN
15 if __name__ == "__main__":
16     urls= [
17         "http://cds.cern.ch/record/2690508/files/201909-262_01.jpg",
18         "http://cds.cern.ch/record/2274473/files/05-07-2017_Calorimeters.jpg",
19         "http://cds.cern.ch/record/2274473/files/08-07-2017_Spectrometer_magnet.jpg",
20         "http://cds.cern.ch/record/2127067/files/_MG_3944.jpg",
21         "http://cds.cern.ch/record/2274473/files/08-07-2017_Electronics.jpg",
22     ]
23
24     t = perf_counter()

```

```

25     #sequential download
26         for url in urls:
27             download(url)
28         print("Time: "+str(perf_counter()-t))

```

Versione parallela: faccio 5 thread che scaricano contemporaneamente 5 immagini:

```

1 import threading as thr
2 import requests
3 import os
4 from time import perf_counter
5
6 buffer_size=1024
7
8 #define a function to manage the download
9 def download(url):
10     response = requests.get(url, stream=True)
11     filename = url.split("/")[-1]
12     with open(filename,"wb") as f:
13         for data in response.iter_content(buffer_size):
14             f.write(data)
15
16
17 #MAIN
18 if __name__ == "__main__":
19     urls= [
20         "http://cds.cern.ch/record/2690508/files/201909-262_01.jpg",
21         "http://cds.cern.ch/record/2274473/files/05-07-2017_Calorimeters.jpg",
22         "http://cds.cern.ch/record/2274473/files/08-07-2017_Spectrometer_magnet.jpg",
23         "http://cds.cern.ch/record/2127067/files/_MG_3944.jpg",
24         "http://cds.cern.ch/record/2274473/files/08-07-2017_Electronics.jpg",
25     ]
26
27 #define 5 threads
28     threads = [thr.Thread(target=download, args=(urls[x],)) for x in range(4)]
29
30     t = perf_counter()
31
32 #start threads
33     for thread in threads:
34         thread.start()
35
36 #join threads
37     for thread in threads:
38         thread.join()
39
40     print("Time: "+str(perf_counter()-t))

```

Performaces depend on network speed. Overheads for thread start and lock release.

## Process vs Threads

Process	Thread
Separate memory	Shared memory
More memory	Less memory
Killable children (but can become zombies)	No zombies
More overhead	Less Overhead
Slower creation and destruction	Faster creation and destruction
Easier to code and debug	Harder to code and debug
No GIL: yes for CPU-bound problems	GIL: No for CPU-bound problems (ok for I/O)

## Gio 27 ottobre - Lezione 11

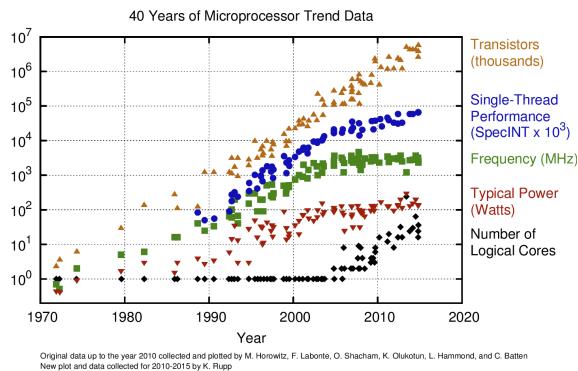
### Introduction to GPU computing (1)

#### Moore's Law

Moore's law: "The performance of microprocessors and the number of their transistors will double every 18 months".

The increasing of performance is related to the clock.

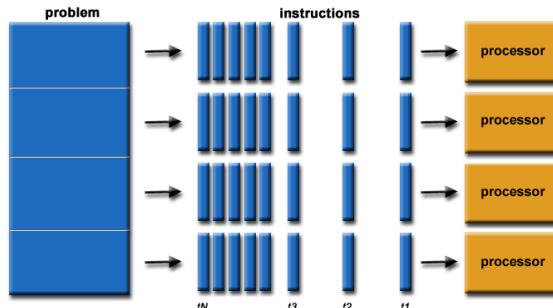
Faster clock means higher dissipation → power wall



#### Parallel programming

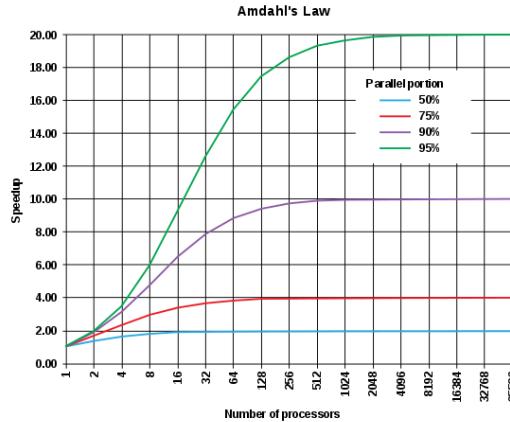
Parallel computing is no longer something for SuperComputers. All the processors nowadays are multicores.

The use of parallel architectures is mainly due to the physical constraints to frequency scaling.



#### Limits of parallel programming

Several problems can be split in smaller problems to be solved concurrently. In any case the maximum speedup is not linear, but it depends on the serial part of the code (Amdahls's law). The situation can improve if the amount of parallelizable part depends on the resources (Gustafson's Law).



$$S_{latency} = \frac{1}{1 - p + \frac{p}{s}}$$

$$S_{latency} = 1 - p + sp$$

## What are GPUs?

The GPUs are processors dedicated to parallel programming for graphical application. Rendering, Image transformation, ray tracing, etc. are typical application where parallelization can help a lot.

## Standard GPU pipeline

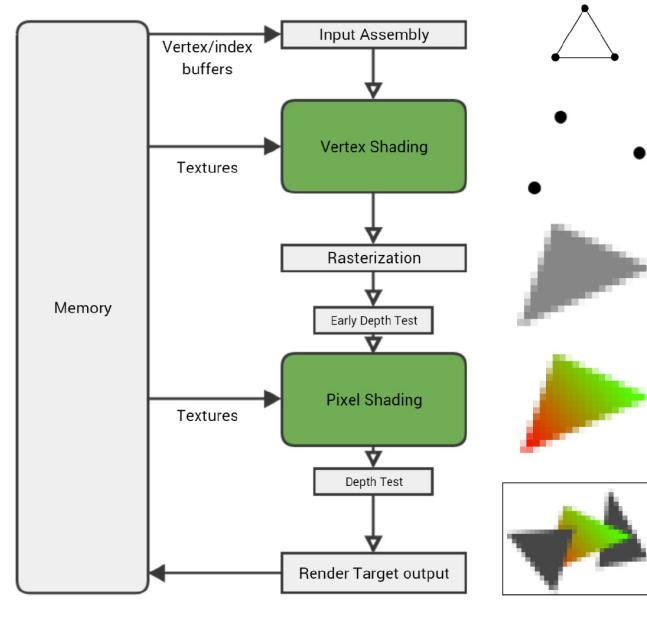
**Vertex/index buffers:**  
Description of image with vertices and their connection to triangles

**Vertex shading**  
For every vertex: calculate position on screen based on original position and camera view point

**Rasterization**  
Get per-pixel color values

**Pixel shading**  
For every pixel: get color based on texture properties (material, light, ...)

**Rendering**  
Write output to render target



<http://fragmentbuffer.com/gpu-performance-for-game-artists/>

Ogni triangolino è indipendente dall'altro. Possiamo agire contemporaneamente su questi triangolini in maniera parallela.

## Standard GPU requirements

**Graphics pipeline:** huge amount of arithmetic on independent data:

- Transforming positions
- Generating pixel colors

-Applying material properties and light situation to every pixel

#### **Hardware needs**

-Access memory simultaneously and contiguously

-Bandwidth more important than latency

-Floating point and fixed-function logic

## What are the GPUs?

The technical definition of a GPU is "a single-chip processor with integrated transform, lighting, triangle setup/clipping, and rendering engines that is capable of processing a minimum of 10 million polygons per second."

The possibility to use the GPU for generic computing (GPGPU) has been introduced by NVIDIA in 2007 (CUDA)

In 2008 OpenCL: consortium of different firms to introduce a multi-platform language for many-cores computing.

## Why the GPUs?

-GPU is a way to cheat the Moore's law

Implementano la possibilità di eseguire operazioni ad una velocità superiore a quella del clock.

-SIMD/SIMT parallel architecture

-The PC no longer get faster, just wider.

-Very high computing power for «vectorizable» problems

-Impressive derivative almost a factor of 2 in each generation

-Continuous development

-Easy to have a desktop PC with teraflops of computing power, with thousand of cores.

-Several applications in HPC, simulation, scientific computing...

Vogliamo imparare a sfruttare una tecnologia utilizzata sul mercato (che migliora ogni anno), per i nostri scopi di calcolo scientifico.

## A lot of cores...

Tesla GPU	"Fermi" GF100	"Fermi" GF104	"Kepler" GK104	"Kepler" GK110	"Maxwell" GM200	"Pascal" GP100
Compute Capability	2.0	2.1	3.0	3.5	5.3	6.0
Streaming Multiprocessors (SMs)	16	16	8	15	24	56
FP32 CUDA Cores / SM	32	32	192	192	128	64
FP32 CUDA Cores	512	512	1536	2880	3072	3584
FP64 Units	-	-	512	960	96	1792
Threads / Warp	32	32	32	32	32	32
Max Warps / Multiprocessor	48	48	64	64	64	64
Max Threads / Multiprocessor	1536	1536	2048	2048	2048	2048
Max Thread Blocks / Multiprocessor	8	8	16	16	32	32
32-bit Registers / Multiprocessor	32768	32768	65536	65536	65536	65536
Max Registers / Thread	63	63	63	255	255	255
Max Threads / Thread Block	1024	1024	1024	1024	1024	1024
Shared Memory Size Configurations	16 KB 48 KB	16 KB 48 KB	16 KB 32 KB	16 KB 32 KB	96 KB	64 KB
			48 KB	48 KB		
Hyper-Q	No	No	No	Yes	Yes	Yes
Dynamic Parallelism	No	No	No	Yes	Yes	Yes
Unified Memory	No	No	No	No	No	Yes
Pre-Emption	No	No	No	No	No	Yes

GPU Features	GTX 1080Ti	RTX 2080 Ti	Quadro P6000	Quadro RTX 6000
Architecture	Pascal	Turing	Pascal	Turing
GPCs	6	6	6	6
TPCs	28	34	30	36
SMs	28	68	30	72
CUDA Cores / SM	128	64	128	64
CUDA Cores / GPU	3584	4352	3840	4608
Tensor Cores / SM	NA	8	NA	8
Tensor Cores / GPU	NA	544	NA	576
RT Cores	NA	68	NA	72
GPU Base Clock MHz (Reference / Founders Edition)	1480 / 1480	1350 / 1350	1506	1455

## Metrics

**FLOPS** (Floating Point operation per second):

It is a measurement of the computing power of a processor. Theoretically is defined as:

$$FLOPS = \text{clock} * \text{cores} * \text{Operation/cycle}$$

Actually this formula doesn't take into account several things

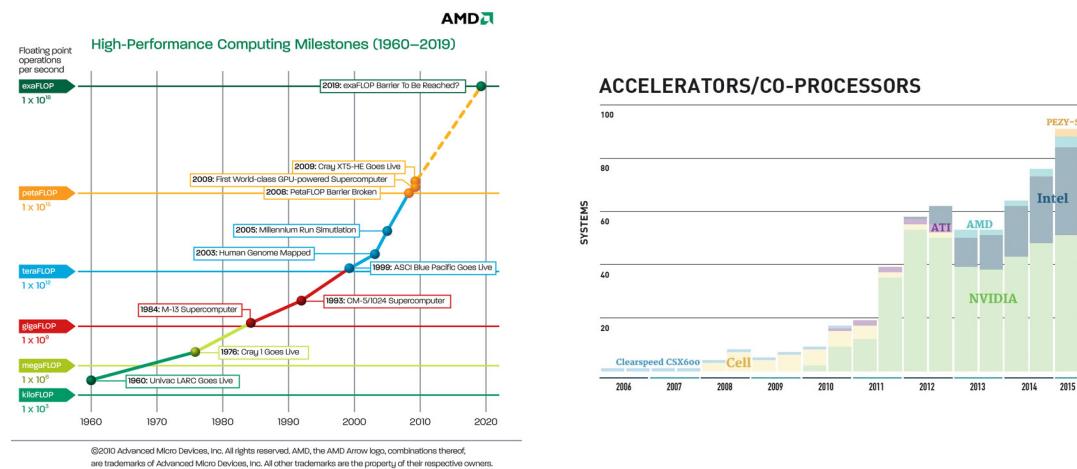
The real estimation is made experimentally by using standard packages (LINPACK, LAPACK)

The FLOPS is only a first indication of the computing power.

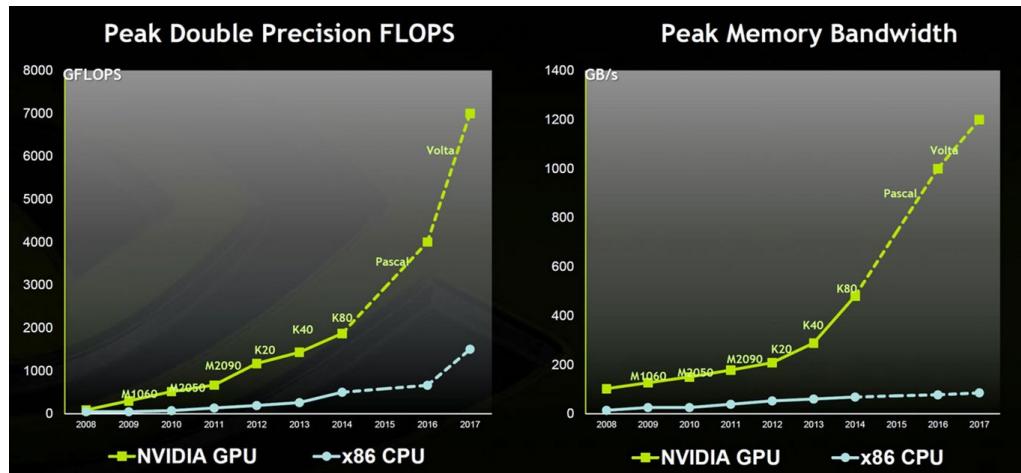
Other metrics has been invented to avoid the limitations of the FLOPS.

### SPECint and SPECfp

They are suites of 12 benchmarks of different type (for integer and floating point). The estimation is relative to a particular machine.



## Computing power comparison



## CPU

-**Multilevel and Large Caches**: Convert long latency memory access to short latency cache latency.

-**Branch prediction**: To reduce latency in branching -Instruction level parallelism (ILP)

-Powerful ALU: Reduced operation latency

-Memory management

-Large control part

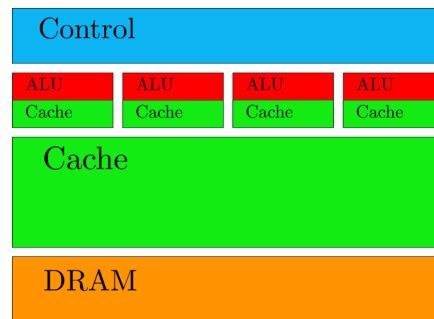


Figure 2.11: CPU: latency oriented design

## GPU

- SIMT/SIMD (Single instruction Multiple Thread/Data) architecture
- SMX (Streaming Multi Processors) to execute kernels
- Thread level parallelism: Massive threading to hide the latency
- Limited caching: To boost memory throughput
- Limited control
- No branch prediction, but branch predication



Figure 2.12: GPU: throughput oriented design

## CPU vs GPU



- + Large main memory
- + Fast clock rate
- + Large caches
- + Branch prediction
- + Powerful ALU
- Relatively low memory bandwidth
- Cache misses costly
- Low performance per watt



- + High bandwidth main memory
- + Latency tolerant (parallelism)
- + More compute resources
- + High performance per watt
- Limited memory capacity
- Low per-thread performance
- Extension card

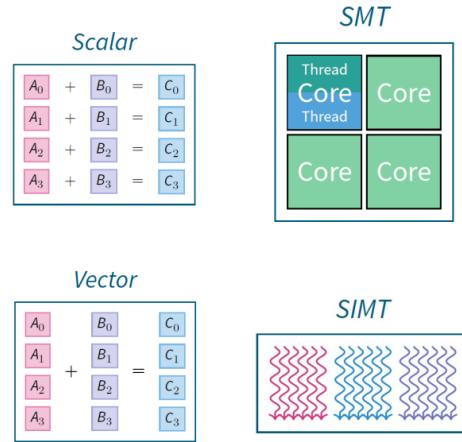


	<b>Intel Core E7-8890 v3</b>	<b>GeForce GTX 1080</b>
Core count	18 cores / 36 threads	20 SMs / 2560 cores
Frequency	2.5 GHz	1.6 GHz
Peak Compute Performance	1.8 GFLOPs	8873 GFLOPs
Memory bandwidth	Max. 102 GB/s	320 GB/s
Memory capacity	Max. 1.54 TB	8 GB
Technology	22 nm	16 nm
Die size	662 mm <sup>2</sup>	314 mm <sup>2</sup>
Transistor count	5.6 billion	7.2 billion
Model	Minimize latency	Hide latency through parallelism

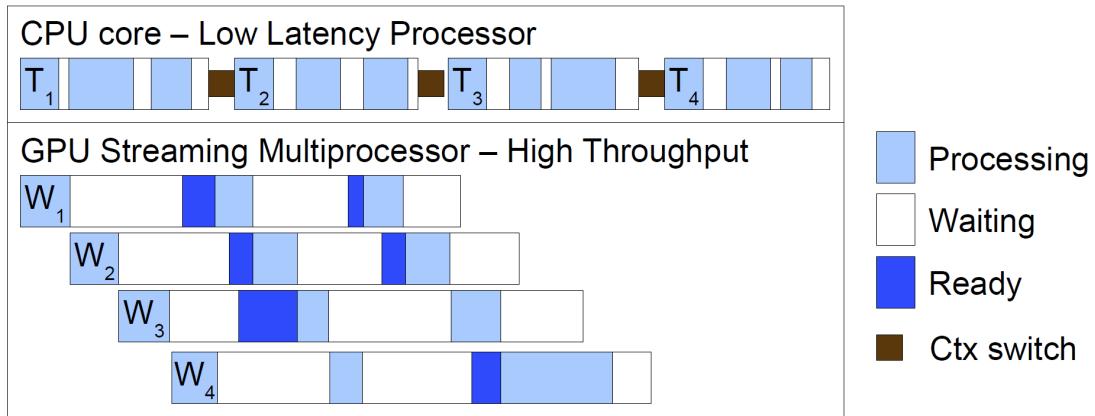
## SIMT

Consideriamo un processore da 4000 core. La struttura SIMD prevederebbe che tutti i core facciano la stessa cosa nello stesso momento (ad es sommare due vettori di 4000 elementi).

- Standard CPU : Scalar processors
- SIMD CPU: vector processors
- Simultaneous threads in multicore processors
- SIMT (Single Instruction Multiple Threads)
  - CPU core GPU multiprocessor (SMX)
  - Working unit: a set of threads (32, a warp)
  - Fast switching of threads



## CPU core vs GPU SMX



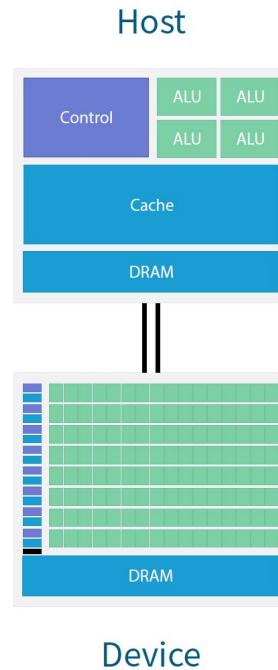
The latency in a SMX is hidden thanks to very deep pipelines.

The multithreading in a single CPU core is based on context switching.

## GPU+CPU

The winning application uses both CPU and GPU:

- CPUs for sequential parts
  - can be 10X faster than GPU for sequential code
- GPUs for parallel part where throughput wins
  - can be 100X faster than CPU for parallel code
- The Host-Device connection is done with PCIe-gen3 (16 GB/s) or NVLINK (80 GB/s)
  - Relatively slow
  - Do as little as possible
- The bandwidth between GPU and video memory is HBM2 (720 GB/s in P100, 900 GB/s in V100)



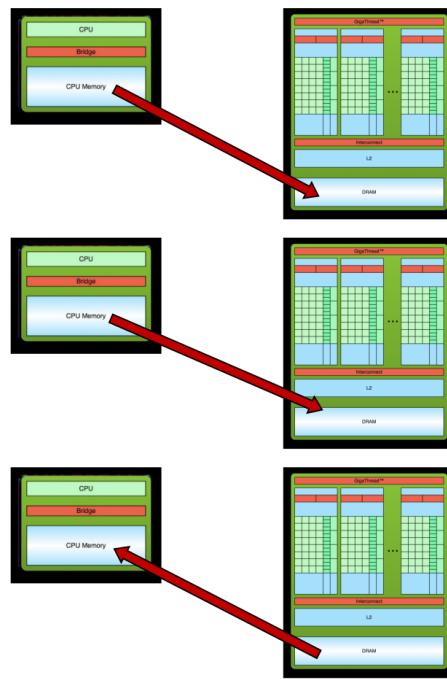
### Summary:

- Superscalars, Pipelining and Vectorization are methods to exploit some «parallelism» at the instruction and data level: ILP
  - Probably OOO (Out-of-order) execution should be included in this category
- The possible improvement thanks to ILP depends on problem and data structures
  - 1x-10x for Superscalars and Pipeline
  - 2x,4x,8x,16x for the vectorization
- These methods show «saturation» because they are limited by the CPU resources available
  - Pentium 4: 30 pipeline stages (nowadays 10-15 maximum)
  - ARM A57 (Apple A7/A8): 9 ports/6 instructions superscalar
  - Intel Tiger Lake: vector of 512 bits for a subset of AVX512 instructions

... the point is: can CPU resources grow indefinitely?

## Introduction to GPU computing (2)

### CUDA model



CUDA is a set of C/C++ extensions to enable the GPGPU computing on NVIDIA GPUs. Dedicated APIs allow to control almost all the functions of the graphics processor.

Three steps:

1. copy data from Host to Device
2. copy Kernel and execute
3. copy back results

### Grid, blocks and threads

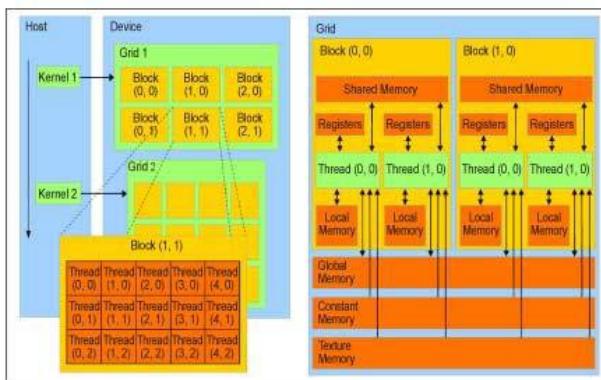
The computing resources are logically (and physically) grouped in a flexible parallel model of computation:

- 1D, 2D and 3D grid
- With 1D, 2D and 3D blocks
- With 1D, 2D and 3D threads

Only threads can communicate and synchronize in a block.

Threads in different blocks do not interact, threads in same block execute same instruction at the same time.

The “shape” of the system is decided at kernel launch time.



## GPU structure

I singoli thread runnano sui core. I thread sono raggruppate in blocchi. Un blocco è implementato da un multiprocessore.



## Multiprocessor

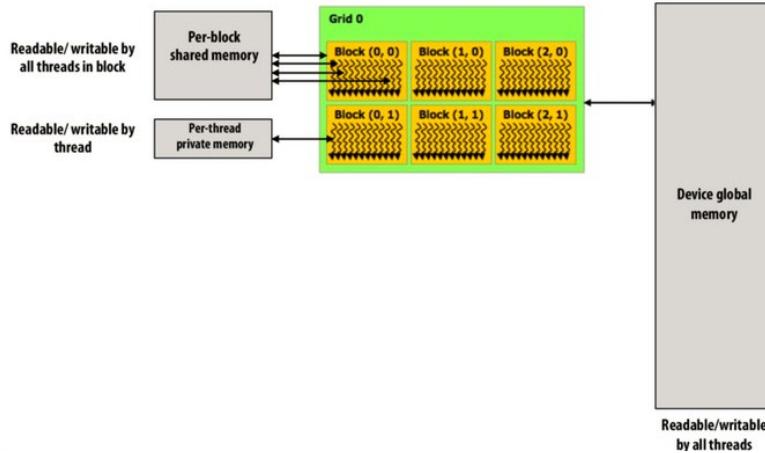
Anche se il numero di core è più piccolo del numero di thread, continua a poterli runnare, perché lo scheduler si prende il compito di fare eseguire i vari compiti in maniera parallela.



## Memory

The memory hierarchy is fundamental in GPU programming. Most of the memory managing and data locality is left to the user.

- Unified Address Space
- Global Memory
  - On board, relatively slow, lifetime of the application, accessible from host and device
- Shared memory/registers
  - On Chip, very fast, lifetime of blocks/threads, accessible from kernel only



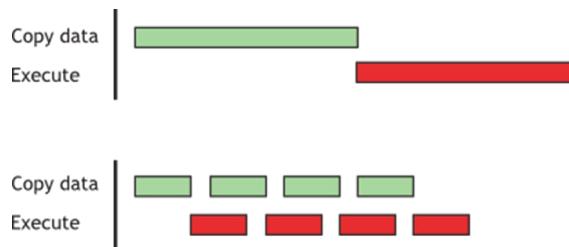
## Asynchronicity

**Problem:** Memory transfer is comparably slow

**Solution:** Do something else in meantime (computation)!

Overlap tasks:

-Copy and compute engines run separately (streams) -GPU needs to be fed: Schedule many computations -CPU can do other work while GPU computes; synchronization



## How to program GPU?

- CUDA is the “best” way to program NVIDIA GPU at “low level”
- If your code is almost CPU or if you need to accelerate dedicated functions, you could consider to use
  - Directives
    - \* OpenMP, OpenACC, ...
  - Libraries
    - \* Thrust, ArrayFire, ...
- OpenCL is a framework equivalent to CUDA to program multiplatforms
  - GPU, CPU, DSP, FPGA, ...
- C/C++ and Fortran are the “official” languages for CUDA
  - Python and other languages are supported through wrapping and libraries

## Libraries: cuBLAS

GPU-parallel linear algebra routines (152 routines).

Single, double, complex data types

Possibility to use multiple GPUs

Example (among 152 routines): Saxpy: given two vectors  $x[10]$  and  $y[10]$  compute  $y[i] = a * x[i] + y[i]$

<https://docs.nvidia.com/cuda/cublas/index.html>

<https://developer.nvidia.com/cublas>

```

1 int a = 42;
2 int n = 10;
3 float x[n], y[n];
4 // fill x, y
5 cublasInit();
6 float * d_x, * d_y;
7 cudaMalloc((void **)&d_x, n * sizeof(x[0]));
8 cudaMalloc((void **)&d_y, n * sizeof(y[0]));
9 cublasSetVector(n, sizeof(x[0]), x, 1, d_x, 1);
10 cublasSetVector(n, sizeof(y[0]), y, 1, d_y, 1);
11 cublasSaxpy(n, a, d_x, 1, d_y, 1);
12 cublasGetVector(n, sizeof(y[0]), d_y, 1, y, 1);
13 cublasShutdown();
```

## Libraries: Thrust

-Template library

-Data parallel primitives (scan(), sort(), reduce(), ... )

-Comes when you install CUDA for free

```

1 int a = 42;
2 int n = 10;
3 thrust::host_vector<float> x(n), y(n);
4 // fill x, y
5 thrust::device_vector d_x = x, d_y = y;
6 using namespace thrust::placeholders;
7 thrust::transform(d_x.begin(), d_x.end(), d_y.begin(), d_y.begin(), a * _1 + _2);
8 x = d_x;
```

## Directives: OpenMP, OpenACC

The directive is the best transparent way to use GPU.

You must only «annotate» the part of the code you want to parallelize:

```

1 #pragma acc loop
2 for (int i = 0; i < 100; i++) {};
```

## Hello world

Per compilare si usa il compilatore nvcc. Lo si installa installando CUDA dal sito NVIDIA.

```
nvcc -o HelloWorldGpu HelloWorldGpu.cu -arch=compute_30 -code=sm_30
```

**Pro:** Portability; easy to program

**Cons:** Not all the raw GPU power available; harder to debug; easy to program wrong

OpenACC is more focused on GPU, while OpenMP is for multi-computers (but still usable with GPU)

```

1 void saxpy_acc(int n, float a, float * x, float * y) {
2     #pragma acc kernels
3         for (int i = 0; i < n; i++) y[i] = a * x[i] + y[i];
4     }
5 ...
6 int a = 42;
7 int n = 10;
8 float x[n], y[n];
9 // fill x, y
10 saxpy_acc(n, a, x, y);

```

## Direct Programming: CUDA vs OpenCL

CUDA:

- NVIDIA GPU's Platform
- Platform: Drivers, programming language (CUDA C/C++), API, compiler, debuggers, profilers, ...
- Only NVIDIA GPUs
- Compilation with dedicated compiler (nvcc)
- CUDA fortran

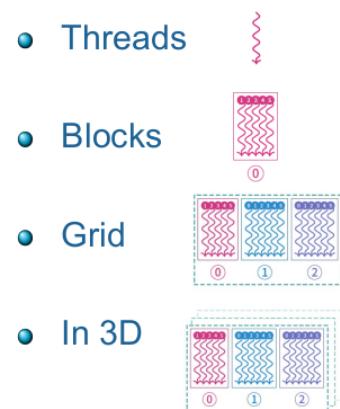
OpenCL:

- Consortium: Open Computing Language by Khronos Group (Apple, IBM, AMD, NVIDIA, ...)
- Programming language (OpenCL C/C++), API, and compiler
- Targets CPUs, GPUs, FPGAs, and other many-core machines
- Fully open source
- Different compilers available

## CUDA C/C++

The function running on GPU is called Kernel.

- Access own ID by global variables threadIdx.x, blockIdx.y, ...
- Execution order non-deterministic!
- Only threads in one warp (32 threads of block) can communicate quickly
- A kernel can call other kernels to run on the same GPU (more than one kernel can be executed in the GPU at the same time)
- The kernels exploit the SIMD/SIMT structure of the GPU



## Example

```

1 __global__ void saxpy_cuda(int n, float a, float * x, float * y) {
2     int i = blockIdx.x * blockDim.x + threadIdx.x;
3     if (i < n) y[i] = a * x[i] + y[i];
4 }
5 int a = 42;
6 int n = 10;
7 float x[n], y[n];
8 // fill x, y
9 cudaMallocManaged(&x, n * sizeof(float));
10 cudaMallocManaged(&y, n * sizeof(float));
11 saxpy_cuda<<<2, 5>>>(n, a, x, y);
12 cudaDeviceSynchronize();

```

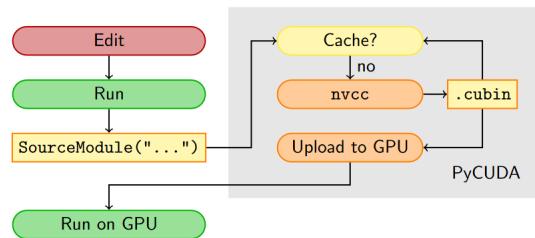
First the data must be copied on the device from the host  
 Then the kernel is launched  
 The architecture of threads and blocks is decided at run time

## PyCUDA

GPUs are everything that scripting languages are not (Highly parallel; very architecture-sensitive; built for maximum throughput).

In this sense GPU and Python can complement each other.

“Alternative” to write the code: Scripting for ‘brains’ and GPUs for ‘inner loops’



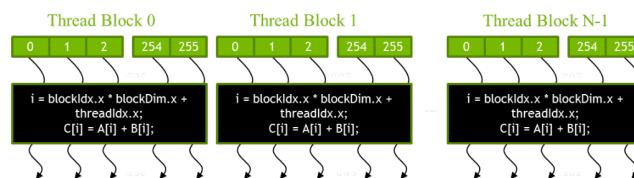
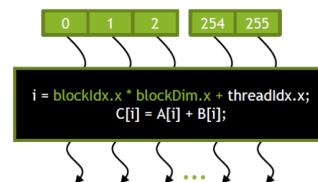
## CUDA threads and blocks

**A CUDA kernel is executed by a grid of threads.**

All threads in a grid run the same code (SIMD or better SPMD (Single Program Multiple Data)). Each thread has indexes that it uses to compute memory addresses and make control decisions.

### Organize threads in blocks

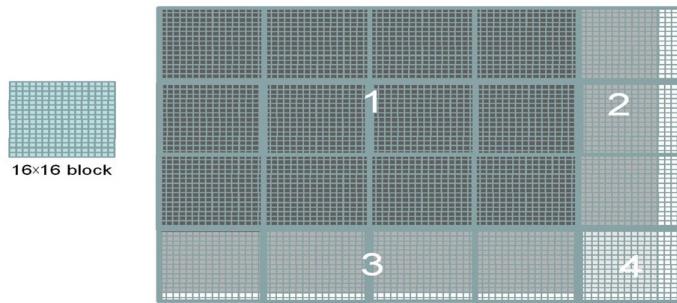
Threads within a block cooperate via shared memory, atomic operations and barrier synchronization. Threads in different blocks do not interact.



## GPU for images

Assume to have a picture of 62x76 pixels.

You want to increase the «luminosity» of each pixel by a factor of 2.



```

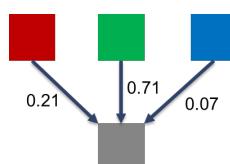
1  __global__ void PictureKernel(float* d_Pin, float* d_Pout,
2    int height, int width)
3  {
4
5      // Calculate the row # of the d_Pin and d_Pout element
6      int Row = blockIdx.y*blockDim.y + threadIdx.y;
7
8      // Calculate the column # of the d_Pin and d_Pout element
9      int Col = blockIdx.x*blockDim.x + threadIdx.x;
10
11     // each thread computes one element of d_Pout if in range
12     if ((Row < height) && (Col < width)) {
13         d_Pout[Row*width+Col] = 2.0*d_Pin[Row*width+Col];
14     }
15 }
```

```

1 // assume that the picture is m * n,
2 // m pixels in y dimension and n pixels in x dimension
3 // input d_Pin has been allocated on and copied to device\\
4 // output d_Pout has been allocated on device
5 ...
6 dim3 DimGrid((n-1)/16 + 1, (m-1)/16+1, 1);
7 dim3 DimBlock(16, 16, 1);
8 PictureKernel<<<DimGrid,DimBlock>>>(d_Pin, d_Pout, m, n);
9 ...z
```

## RGB to Grayscale conversion

Assume you want to convert an image in which you have the rgb code for each pixel in greyscale. Rgb is a standard to define the quantity of red, green and blue in each pixel. A greyscale image is an image in which the value of each pixel carries only intensity information.



Conversion formula: **For each pixel (I, J) do:**  $\text{grayPixel}[I,J] = 0.21*\text{r} + 0.71*\text{g} + 0.07*\text{b}$

```

1 #define CHANNELS 3 // we have 3 channels corresponding to RGB
2 // The input image is encoded as unsigned characters [0, 255]
3 __global__ void colorConvert(unsigned char * grayImage,
4                             unsigned char * rgbImage,
5                             int width, int height) {
6     int x = threadIdx.x + blockIdx.x * blockDim.x;
7     int y = threadIdx.y + blockIdx.y * blockDim.y;
```

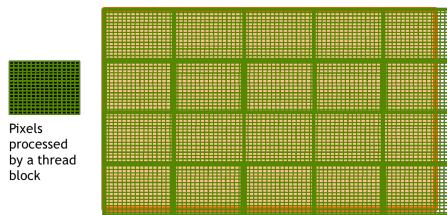
```

9  if (x < width && y < height) {
10     // get 1D coordinate for the grayscale image
11     int grayOffset = y*width + x;
12     // one can think of the RGB image having
13     // CHANNEL times columns than the gray scale image
14     int rgbOffset = grayOffset*CHANNELS;
15     unsigned char r = rgbImage[rgbOffset]; // red value for pixel
16     unsigned char g = rgbImage[rgbOffset + 2]; // green value for pixel
17     unsigned char b = rgbImage[rgbOffset + 3]; // blue value for pixel
18     // perform the rescaling and store it
19     // We multiply by floating point constants
20     grayImage[grayOffset] = 0.21f*r + 0.71f*g + 0.07f*b;
21 }
22 }
```

## Blurring an image

Assume you want to Blur an image.

Defines a Blur box: the blurring is a kind of «average» of the pixel in the blurring box.



```

1  __global__
2  void blurKernel(unsigned char * in, unsigned char * out, int w, int h) {
3      int Col = blockIdx.x * blockDim.x + threadIdx.x;
4      int Row = blockIdx.y * blockDim.y + threadIdx.y;
5
6      if (Col < w && Row < h) {
7          int pixVal = 0;
8          int pixels = 0;
9
10         // Get the average of the surrounding 2xBLUR_SIZE x 2xBLUR_SIZE box
11         for(int blurRow = -BLUR_SIZE; blurRow < BLUR_SIZE+1; ++blurRow) {
12             for(int blurCol = -BLUR_SIZE; blurCol < BLUR_SIZE+1; ++blurCol) {
13
14                 int curRow = Row + blurRow;
15                 int curCol = Col + blurCol;
16                 // Verify we have a valid image pixel
17                 if(curRow > -1 && curRow < h && curCol > -1 && curCol < w) {
18                     pixVal += in[curRow * w + curCol];
19                     pixels++; // Keep track of number of pixels in
20                     // the accumulated total
21                 }
22             }
23         }
24
25         // Write our new pixel value out
26         out[Row * w + Col] = (unsigned char)(pixVal / pixels);
27     }
28 }
```

### Recap: CUDA program structure

- Global variables declaration
- Function prototypes
  - `--global__ void kernelOne(...)`
- Main
  - allocate memory space on the device transfer data from host to device
  - execution configuration setup
  - kernel call – `kernelOne<<execution configuration>>( args... );`
  - transfer results from device to host
  - optional: compare against golden (host computed) solution
- Kernel – `void kernelOne(type args,...)`
  - variables declaration - `--local__`, `--shared__`
    - \* automatic variables transparently assigned to registers or local memory
  - `syncthreads() ...`

## Hands-on CUDA/C

Characteristics of GPU we are using: GeForce GTX650

CUDA Driver Version / Runtime Version	9.1 / 9.1
CUDA Capability Major/Minor version number:	3.0
Total amount of global memory:	981 MBytes (1028915200 bytes)
( 2) Multiprocessors, (192) CUDA Cores/MP:	384 CUDA Cores
GPU Max Clock rate:	1058 MHz (1.06 GHz)
Memory Clock rate:	2500 Mhz
Memory Bus Width:	128-bit
L2 Cache Size:	262144 bytes
Maximum Texture Dimension Size (x,y,z)	1D=(65536), 2D=(65536, 65536), 3D=(4096, 4096, 4096)
Maximum Layered 1D Texture Size, (num) layers	1D=(16384), 2048 layers
Maximum Layered 2D Texture Size, (num) layers	2D=(16384, 16384), 2048 layers
Total amount of constant memory:	65536 bytes
Total amount of shared memory per block:	49152 bytes
Total number of registers available per block:	65536
Warp size:	32
Maximum number of threads per multiprocessor:	2048
Maximum number of threads per block:	1024
Max dimension size of a thread block (x,y,z):	(1024, 1024, 64)
Max dimension size of a grid size (x,y,z):	(2147483647, 65535, 65535)
Maximum memory pitch:	2147483647 bytes
Texture alignment:	512 bytes
Concurrent copy and kernel execution:	Yes with 1 copy engine(s)
...	



## Hello World

-Try to change the kernel launch parameters

```

1 #include <cuda.h>
2 #include <stdio.h>
3
4 __global__ void mykernel(void) {
5     printf("Hello World from GPU! (block: %d thread: %d)\n",blockIdx.x,threadIdx.x);
6 }
7
8 int main(void) {
9     mykernel <<<3,4>>>();
10    cudaDeviceSynchronize();
11    printf("Hello World from Host!\n");
12    return 0;
13 }
```

la funzione `print` è un po' particolare, è bene usarla solo per il debugging.

il lancio del kernel è sempre asincrono sulla GPU. Se tolgo `cudaDeviceSyncronize()`, la fine del programma (`return 0`) arriva prima dei print.

## Vector Sum (Serial)

We want to sum two vectors of 1048576 elements each. First we will try to write a «serial» version of the code. Due to the presence of cuda functions to measure the time, this code must be compiled with nvcc.

**Time: 5.0 ms**

```

1 #include <stdio.h>
2
3 #define N 1048576
4
5 void RandomVector(int *a, int nn){
6     for (int i=0;i<nn;i++) {
7         a[i]=rand()%100+1;
```

```

8     }
9 }
10
11 //serial sum
12 void VecAddSerial(int *a, int *b, int *c){
13     for (int i=0;i<N;i++){
14         c[i] = a[i]+b[i];
15     }
16 }
17
18 int main(void) {
19     int *h_a, *h_b, *h_c;
20     int size = N*sizeof(int);
21
22     float time;
23     cudaEvent_t start,stop;
24     cudaEventCreate(&start);
25     cudaEventCreate(&stop);
26
27     //Alloc in Host (and filling)
28     h_a = (int *)malloc(size);
29     h_b = (int *)malloc(size);
30     h_c = (int *)malloc(size);
31     RandomVector(h_a,N);
32     RandomVector(h_b,N);
33
34     //start time
35     cudaEventRecord(start);
36
37     //Launch Serial Sum on CPU
38     VecAddSerial(h_a,h_b,h_c);
39
40     //stop time
41     cudaEventRecord(stop);
42     cudaEventSynchronize(stop);
43     cudaEventElapsedTime(&time, start, stop);
44
45     //Print Result
46     // for(int i=0;i<N;i++){
47     //     printf ("%d h_a:%d h_b:%d h_c:%d\n",i,h_a[i],h_b[i],h_c[i]);
48     //}
49
50     //print time
51     printf("Time: %3.5f ms\n",time);
52
53     //Cleanup
54     free(h_a);
55     free(h_b);
56     free(h_c);
57
58     return(0);
59 }
```

## Vector Sum (parallel)

Let's try to parallelize, by using several blocks. Remember to copy data from host to device and results back.

```

1 #include <stdio.h>
2
3 #define N 1048576
4
```

```

5   void RandomVector(int *a, int nn){
6     for (int i=0;i<nn;i++) {
7       a[i]=rand()%100+1;
8     }
9   }
10
11 //kernel
12 __global__ void VecAddGpu(int *a, int *b, int *c){
13   c[blockIdx.x] = a[blockIdx.x]+b[blockIdx.x];
14 }
15
16 int main(void) {
17   int *h_a, *h_b, *h_c;
18   int *d_a, *d_b, *d_c;
19   int size = N*sizeof(int);
20
21   float time;
22   cudaEvent_t start,stop;
23   cudaEventCreate(&start);
24   cudaEventCreate(&stop);
25
26   //Alloc in Host (and filling)
27   h_a = (int *)malloc(size);
28   h_b = (int *)malloc(size);
29   h_c = (int *)malloc(size);
30   RandomVector(h_a,N);
31   RandomVector(h_b,N);
32
33   //Alloc in Device
34   cudaMalloc((void **)&d_a, size);
35   cudaMalloc((void **)&d_b, size);
36   cudaMalloc((void **)&d_c, size);
37
38
39
40   //Copy input vectors form host to device
41   cudaMemcpy(d_a, h_a, size, cudaMemcpyHostToDevice);
42   cudaMemcpy(d_b, h_b, size, cudaMemcpyHostToDevice);
43
44   //start time
45   cudaEventRecord(start);
46
47   //Launch Kernel on GPU
48   VecAddGpu<<<N,1>>>(d_a,d_b,d_c);
49   cudaDeviceSynchronize();
50
51   //stop time
52   cudaEventRecord(stop);
53   cudaEventSynchronize(stop);
54   cudaEventElapsedTime(&time, start, stop);
55
56   //Copy back the results
57   cudaMemcpy(h_c, d_c, size, cudaMemcpyDeviceToHost);
58
59
60
61   //Print Result
62   //   for(int i=0;i<N;i++){
63   //     printf ("%d h_a:%d h_b:%d h_c:%d\n",i,h_a[i],h_b[i],h_c[i]);
64   //}
65
66   //print time
67   printf("Time: %3.5f ms\n",time);

```

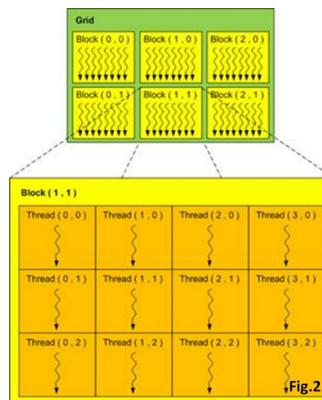
```

68     //Cleanup
69     free(h_a);
70     free(h_b);
71     free(h_c);
72     cudaFree(d_a);
73     cudaFree(d_b);
74     cudaFree(d_c);
75
76     return(0);
77 }

```

The results is not what we expect → Time: 17 ms !!! Why?

Abbiamo chiesto di far lavorare solo due thread per blocco! Stiamo sfruttando male la GPU (il coverage delle risorse è molto basso)



### Vector Sum (parallel): 2° attempt

Then let's try to use one single block and N Threads:

```

1 <skip>
2 //Launch Kernel on GPU
3     VecAddGpu<<<1,N>>>(d_a,d_b,d_c);
4     cudaDeviceSynchronize();

```

Time=0.007 ms → SpeedUp = 714 !!!

Uhhmmmmmmmmmm...A reasonable speedup is around 100 or less

**Try to print something:**

The results

The error code

Try to have a look to the maximum size of threads per block...

### Vector Sum (parallel): final attempt

**Use both threads and blocks.**

The total number of threads must be equal to the number of elements in the vectors.

Define an «index» by using the block/thread identifier. The kernel must be adapted to this structure.

```

1 <skip>
2 #define THREADS_PER_BLOCK 128
3 <skip>
4 //kernel
5 __global__ void VecAddGpu(int *a, int *b, int *c){

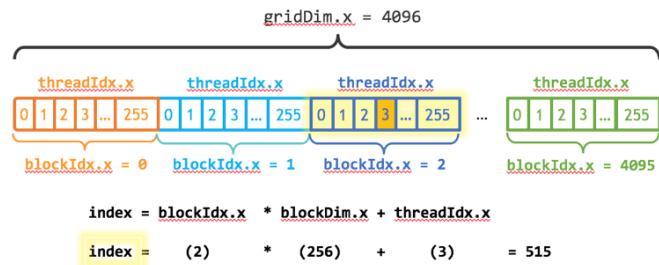
```

```

6         int index = threadIdx.x + blockIdx.x*blockDim.x;
7         c[index] = a[index]+b[index];
8     }
9
10    <skip>
11    //Launch Kernel on GPU
12    VecAddGpu<<<N/THREADS_PER_BLOCK,THREADS_PER_BLOCK>>>(d_a,d_b,d_c);
13    cudaDeviceSynchronize();

```

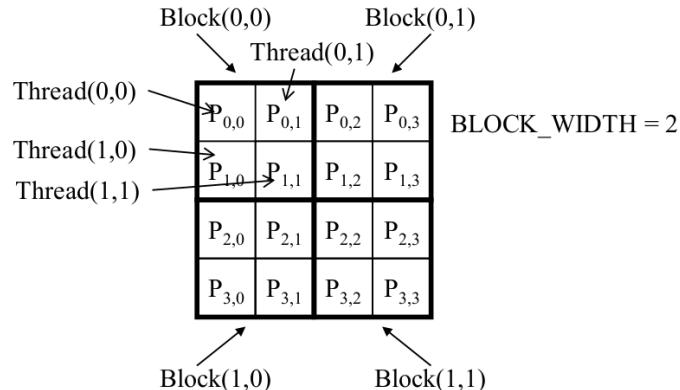
Time=0.45 ms. Without errors!



**Nota:** In generale dobbiamo esplicitamente chiedere se è avvenuto un errore.

## Matrix Multiplication

- Assume you want to multiply two large matrices.
- 2D structure of threads and blocks.
- Each thread computes one element of the matrix.
- Use the blocks to subdivide the matrix in sub-blocks.



```
__global__ void MatrixMulKernel(float* M, float* N, float* P, int
Width) {

    // Calculate the row index of the P element and M
    int Row = blockIdx.y*blockDim.y+threadIdx.y;

    // Calculate the column index of P and N
    int Col = blockIdx.x*blockDim.x+threadIdx.x;

    if ((Row < Width) && (Col < Width)) {
        float Pvalue = 0;
        // each thread computes one element of the block sub-matrix
        for (int k = 0; k < Width; ++k) {
            Pvalue += M[Row*Width+k]*N[k*Width+Col];
        }
        P[Row*Width+Col] = Pvalue;
    }
}
```

$$a_{1,2} = b_{1,1} * c_{1,2} + b_{1,2} * c_{2,2}$$

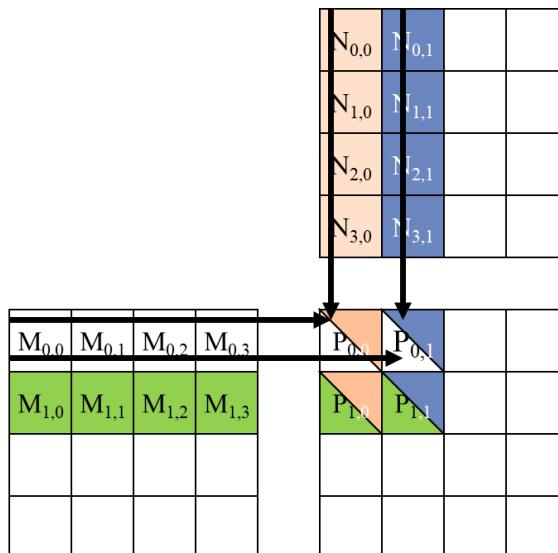
## Limitations to computing power

A lot of access to memory:

- For each element computed we need  $2N$  global memory access
- For each element computed we need  $2N$  operations ( $N$  multiplications and  $N$  sums)
- The compute-to-global-memory-access is  $1:1=1$

In the GTX650 the memory bandwidth is  $7\text{GB/s}$

- Assume  $100 \times 100$  matrices
- How many operands per seconds we can load?  $7\text{GB}/(2N \cdot 4\text{bytes}) = 8.75 \text{ Moperands/s}$
- Being the computer-to-global-memory-access limited to 1 this means that the computing throughput is  $8.75 \text{ MFlops}$
- Very far from the  $800 \text{ Gflops}$  of the board!

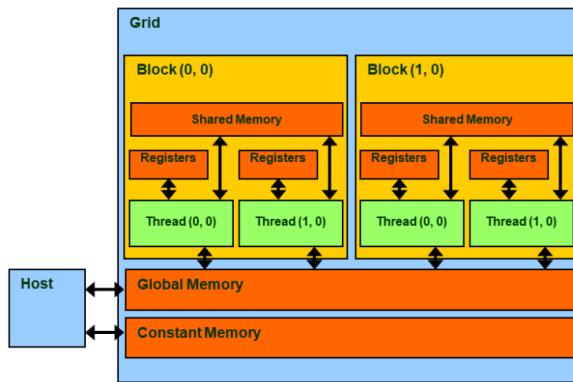


## Shared Memory

L'operazione di moltiplicazione tra matrici permette di poter parlare di un aspetto fondamentale della programmazione di GPU: la memoria condivisa (shared memory). L'idea è portare i dati più usati sulla shared memory che è di più facile accesso.

**Shared memory:** A special type of memory whose contents are explicitly defined and used in the kernel source code.

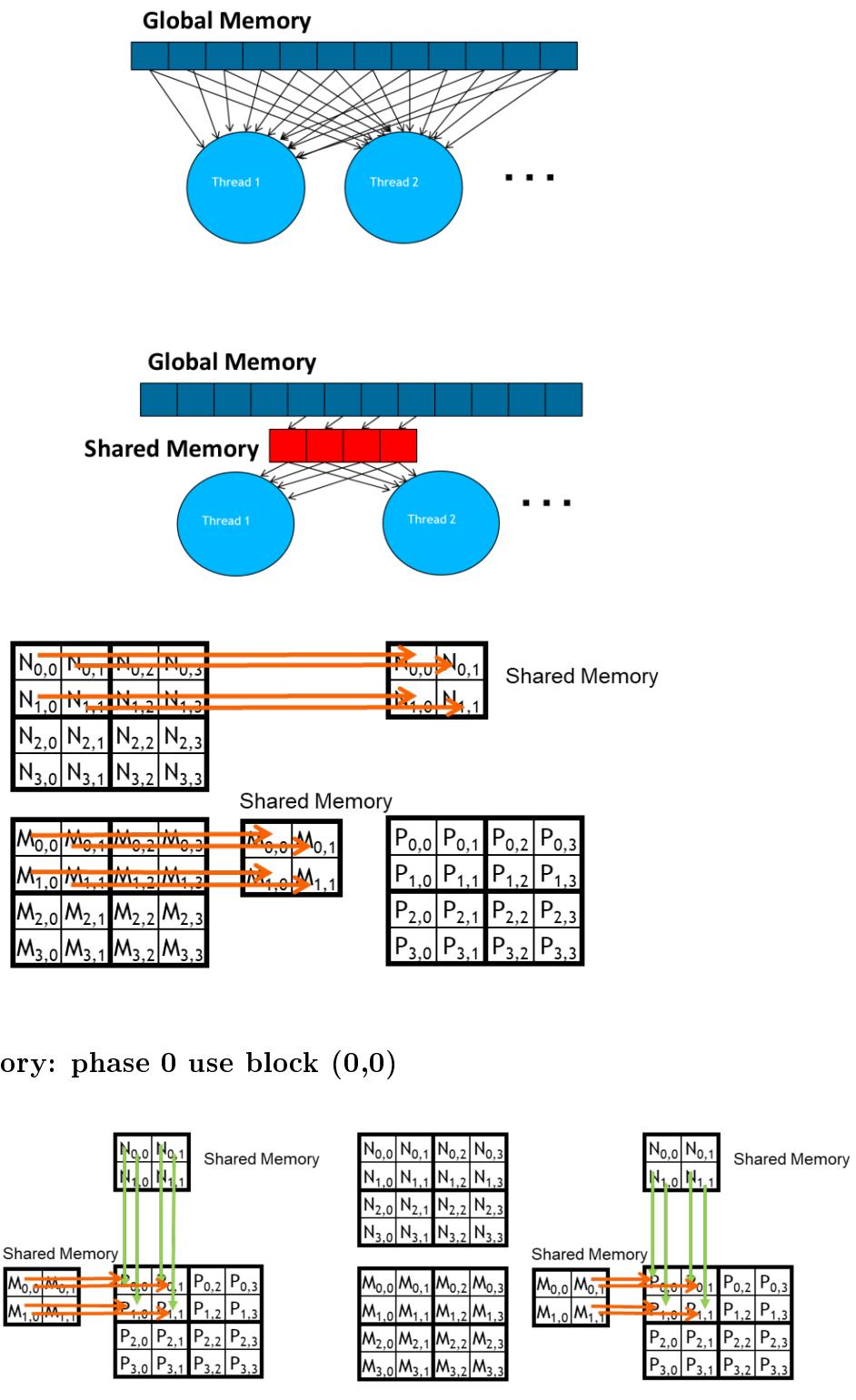
- One in each SM
- Accessed at much higher speed (in both latency and throughput) than global memory
- Scope of access and sharing - thread blocks
- Lifetime – thread block, contents will disappear after the corresponding thread finishes terminates execution
- Accessed by memory load/store instructions
- A form of scratchpad memory in computer architecture



Variable declaration	Memory	Scope	Lifetime
int LocalVar;	register	thread	thread
<code>__device__ __shared__ int SharedVar;</code>	shared	block	block
<code>__device__ int GlobalVar;</code>	global	grid	application
<code>__device__ __constant__ int ConstantVar;</code>	constant	grid	application

## Shared memory for Matrix Multiplication

- Identify a “tile” of global memory contents that are accessed by multiple threads
- Load the tile from global memory into on-chip memory
- Use barrier synchronization to make sure that all threads are ready to start the phase
- Have the multiple threads to access their data from the on-chip memory
- Use barrier synchronization to make sure that all threads have completed the current phase
- Move on to the next tile



	Phase 0		Phase 1			
thread <sub>0,0</sub>	<b>M<sub>0,0</sub></b> ↓ Mds <sub>0,0</sub>	N <sub>0,0</sub> ↓ Nds <sub>0,0</sub>	PValue <sub>0,0</sub> += Mds <sub>0,0</sub> *Nds <sub>0,0</sub> + Mds <sub>0,1</sub> *Nds <sub>1,0</sub>	<b>M<sub>0,2</sub></b> ↓ Mds <sub>0,0</sub>	N <sub>2,0</sub> ↓ Nds <sub>0,0</sub>	PValue <sub>0,0</sub> += Mds <sub>0,0</sub> *Nds <sub>0,0</sub> + Mds <sub>0,1</sub> *Nds <sub>1,0</sub>
thread <sub>0,1</sub>	<b>M<sub>0,1</sub></b> ↓ Mds <sub>0,1</sub>	N <sub>0,1</sub> ↓ Nds <sub>1,0</sub>	PValue <sub>0,1</sub> += Mds <sub>0,0</sub> *Nds <sub>0,1</sub> + Mds <sub>0,1</sub> *Nds <sub>1,1</sub>	<b>M<sub>0,3</sub></b> ↓ Mds <sub>0,1</sub>	N <sub>2,1</sub> ↓ Nds <sub>0,1</sub>	PValue <sub>0,1</sub> += Mds <sub>0,0</sub> *Nds <sub>0,1</sub> + Mds <sub>0,1</sub> *Nds <sub>1,1</sub>
thread <sub>1,0</sub>	<b>M<sub>1,0</sub></b> ↓ Mds <sub>1,0</sub>	N <sub>1,0</sub> ↓ Nds <sub>1,0</sub>	PValue <sub>1,0</sub> += Mds <sub>1,0</sub> *Nds <sub>0,0</sub> + Mds <sub>1,1</sub> *Nds <sub>1,0</sub>	<b>M<sub>1,2</sub></b> ↓ Mds <sub>1,0</sub>	N <sub>3,0</sub> ↓ Nds <sub>1,0</sub>	PValue <sub>1,0</sub> += Mds <sub>1,0</sub> *Nds <sub>0,0</sub> + Mds <sub>1,1</sub> *Nds <sub>1,0</sub>
thread <sub>1,1</sub>	<b>M<sub>1,1</sub></b> ↓ Mds <sub>1,1</sub>	N <sub>1,1</sub> ↓ Nds <sub>1,1</sub>	PValue <sub>1,1</sub> += Mds <sub>1,0</sub> *Nds <sub>0,1</sub> + Mds <sub>1,1</sub> *Nds <sub>1,1</sub>	<b>M<sub>1,3</sub></b> ↓ Mds <sub>1,1</sub>	N <sub>3,1</sub> ↓ Nds <sub>1,1</sub>	PValue <sub>1,1</sub> += Mds <sub>1,0</sub> *Nds <sub>0,1</sub> + Mds <sub>1,1</sub> *Nds <sub>1,1</sub>

time →

## Synchronization

Synchronize all threads in a block → `__syncthreads()`

All threads in the same block must reach the `__syncthreads()` before any of the them can move on.

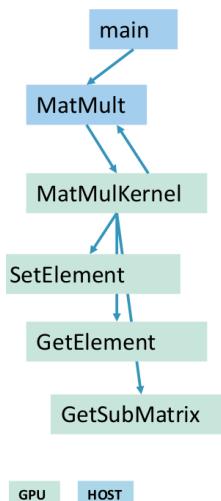
Best used to coordinate the phased execution tiled algorithms:

- To ensure that all elements of a tile are loaded at the beginning of a phase
- To ensure that all elements of a tile are consumed at the end of a phase

A un certo punto del codice vogliamo essere certi che tutti i thread siano arrivati allo stesso punto, uso `__syncthreads()`. Garantisce che quando abbiamo bisogno di un certo risultato, esso è già disponibile.

**Nota:** L'operazione di `cudaMalloc` sulla GPU viene fatta dall'host. Invece, allocare uno spazio di memoria nella shared memory non si fa nell'host ma nel kernel.

## MatrixMultiplication code



GPU HOST

```

1 #include "MatrixMultiplication_shared.h"
2
3 // Matrix multiplication - HOST CODE
4 // (Matrix dimensions are assumed to be multiples of BLOCK_SIZE)
5 void MatMul(const Matrix A, const Matrix B, Matrix C) {
6
7     // Load A and B to device memory
8     Matrix d_A;
9     d_A.width = d_A.stride = A.width;
10    d_A.height = A.height;
  
```

```

11     size_t size = A.width * A.height * sizeof(float);
12     cudaError_t err = cudaMalloc(&d_A.elements, size);
13     printf("CUDA malloc A: %s\n",cudaGetErrorString(err));
14     cudaMemcpy(d_A.elements, A.elements, size, cudaMemcpyHostToDevice);
15     Matrix d_B;
16     d_B.width = d_B.stride = B.width;
17     d_B.height = B.height;
18     size = B.width * B.height * sizeof(float);
19     err = cudaMalloc(&d_B.elements, size);
20     printf("CUDA malloc B: %s\n",cudaGetErrorString(err));
21     cudaMemcpy(d_B.elements, B.elements, size, cudaMemcpyHostToDevice);
22     // Allocate C in device memory
23     Matrix d_C;
24     d_C.width = d_C.stride = C.width;
25     d_C.height = C.height;
26     size = C.width * C.height * sizeof(float);
27     err = cudaMalloc(&d_C.elements, size);
28     printf("CUDA malloc C: %s\n",cudaGetErrorString(err));
29
30     float time;
31     cudaEvent_t start,stop;
32     cudaEventCreate(&start);
33     cudaEventCreate(&stop);
34     //start time
35     cudaEventRecord(start);
36
37     // Invoke kernel
38     dim3 dimBlock(BLOCK_SIZE, BLOCK_SIZE);
39     dim3 dimGrid(B.width / dimBlock.x, A.height / dimBlock.y);
40     MatMulKernel<<<dimGrid, dimBlock>>>(d_A, d_B, d_C);
41     err = cudaThreadSynchronize();
42     //stop time
43     cudaEventRecord(stop);
44     cudaEventSynchronize(stop);
45     cudaEventElapsedTime(&time, start, stop);
46
47     printf("Run kernel: %s\n", cudaGetErrorString(err));
48     //print time
49     printf("Time: %3.5f ms\n",time);
50
51     // Read C from device memory
52     err = cudaMemcpy(C.elements, d_C.elements, size, cudaMemcpyDeviceToHost);
53     printf("Copy C off of device: %s\n",cudaGetErrorString(err));
54     // Free device memory
55     cudaFree(d_A.elements);
56     cudaFree(d_B.elements);
57     cudaFree(d_C.elements);
58 } //END HOST FUNCTION
59
60 //-----
61 // Get a matrix element
62 __device__ float GetElement(const Matrix A, int row, int col) {
63     return A.elements[row * A.stride + col];
64 }
65
66 //-----
67 // Set a matrix element
68 __device__ void SetElement(Matrix A, int row, int col, float value) {
69     A.elements[row * A.stride + col] = value;
70 }
71
72 //-----
73

```

```

74 // Get the BLOCK_SIZExBLOCK_SIZE sub-matrix Asub of A that is
75 // located col sub-matrices to the right and row sub-matrices down
76 // from the upper-left corner of A
77 __device__ Matrix GetSubMatrix(Matrix A, int row, int col) {
78     Matrix Asub;
79     Asub.width = BLOCK_SIZE;
80     Asub.height = BLOCK_SIZE;
81     Asub.stride = A.stride;
82     Asub.elements = &A.elements[A.stride * BLOCK_SIZE * row + BLOCK_SIZE * col];
83     return Asub;
84 }
85
86 //-----
87 // Matrix multiplication kernel called by MatMul()
88 __global__ void MatMulKernel(Matrix A, Matrix B, Matrix C) {
89     // Block row and column
90     int blockRow = blockIdx.y;
91     int blockCol = blockIdx.x;
92
93     // Each thread block computes one sub-matrix Csub of C
94     Matrix Csub = GetSubMatrix(C, blockRow, blockCol);
95
96     // Each thread computes one element of Csub
97     // by accumulating results into Cvalue
98     float Cvalue = 0.0;
99
100    // Thread row and column within Csub
101    int row = threadIdx.y;
102    int col = threadIdx.x;
103
104    // Loop over all the sub-matrices of A and B that are
105    // required to compute Csub
106    // Multiply each pair of sub-matrices together
107    // and accumulate the results
108    for (int m = 0; m < (A.width / BLOCK_SIZE); ++m) {
109        // Get sub-matrix Asub of A
110        Matrix Asub = GetSubMatrix(A, blockRow, m);
111
112        // Get sub-matrix Bsub of B
113        Matrix Bsub = GetSubMatrix(B, m, blockCol);
114
115        // Shared memory used to store Asub and Bsub respectively
116        __shared__ float As[BLOCK_SIZE][BLOCK_SIZE];
117        __shared__ float Bs[BLOCK_SIZE][BLOCK_SIZE];
118
119        // Load Asub and Bsub from device memory to shared memory
120        // Each thread loads one element of each sub-matrix
121        As[row][col] = GetElement(Asub, row, col);
122        Bs[row][col] = GetElement(Bsub, row, col);
123
124        // Synchronize to make sure the sub-matrices are loaded
125        // before starting the computation
126        __syncthreads();
127
128        // Multiply Asub and Bsub together
129        for (int e = 0; e < BLOCK_SIZE; ++e)
130            Cvalue += As[row][e] * Bs[e][col];
131
132        // Synchronize to make sure that the preceding
133        // computation is done before loading two new
134        // sub-matrices of A and B in the next iteration
135        __syncthreads();
136    }
137
138    // Write Csub to device memory
139    // Each thread writes one element
140    SetElement(Csub, row, col, Cvalue);
141
142 } //end kernel
143
144
145 int main(int argc, char* argv[]){
146     Matrix A, B, C;

```

```

137     int a1, a2, b1, b2;
138     a1 = atoi(argv[1]); /* Height of A */
139     a2 = atoi(argv[2]); /* Width of A */
140     b1 = a2;           /* Height of B */
141     b2 = atoi(argv[3]); /* Width of B */
142     A.height = a1;
143     A.width = a2;
144     A.elements = (float*)malloc(A.width * A.height * sizeof(float));
145     B.height = b1;
146     B.width = b2;
147     B.elements = (float*)malloc(B.width * B.height * sizeof(float));
148     C.height = A.height;
149     C.width = B.width;
150     C.elements = (float*)malloc(C.width * C.height * sizeof(float));
151     for(int i = 0; i < A.height; i++)
152         for(int j = 0; j < A.width; j++)
153             A.elements[i*A.width + j] = (random() % 3);
154     for(int i = 0; i < B.height; i++)
155         for(int j = 0; j < B.width; j++)
156             B.elements[i*B.width + j] = (random() % 2);
157     MatMul(A, B, C);
158
159 /*
160  for(int i = 0; i < min(10, A.height); i++){
161      for(int j = 0; j < min(10, A.width); j++)
162          printf("%f ", A.elements[i*A.width + j]);
163          printf("\n");
164      }
165      printf("\n");
166  for(int i = 0; i < min(10, B.height); i++){
167      for(int j = 0; j < min(10, B.width); j++)
168          printf("%f ", B.elements[i*B.width + j]);
169          printf("\n");
170      }
171      printf("\n");
172  for(int i = 0; i < min(10, C.height); i++){
173      for(int j = 0; j < min(10, C.width); j++)
174          //printf("%f ", C.elements[i*C.width + j]);
175          //printf("\n");
176      }
177      printf("\n");
178  */
179
180 } //END MAIN

```

## Memory coalescing

La programmazione sulle GPU è incrementale. I dati all'interno della memoria sono letti in maniera coalescente (vero per tutte le ddr, e soprattutto per le gpu).

La memoria è organizzata in sezioni (burst).



I dati vengono copiati all'interno di questi burst. A un certo punto vogliamo accedere a questi dati. Se noi chiediamo ad esempio il dato contenuto nella locazione 0, la memoria mi fornisce i dati di tutto il burst contenente 0.

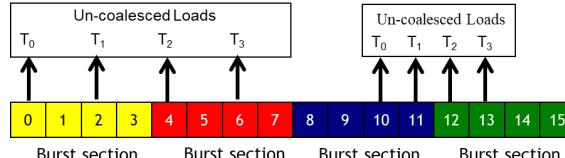
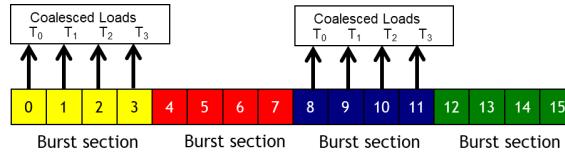
Sia la global memory che la shared memory sono organizzate in questo modo. Possiamo organizzare i dati nella memoria in modo da poter sfruttare questo comportamento.

Each address space is partitioned into burst sections. Whenever a location is accessed, all other

locations in the same section are also delivered to the processor.

Basic example: a 16-byte address space, 4-byte burst sections.

In practice, we have at least 4GB address space, burst section sizes of 128-bytes or more.

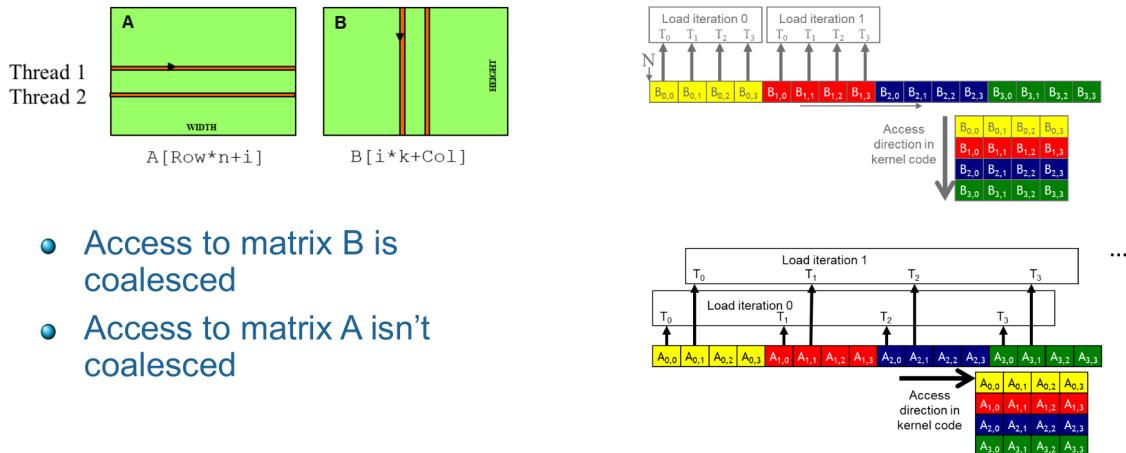


If all accessed locations fall into the same burst section, only one DRAM request will be made and the access is fully coalesced.

When the accessed locations spread across burst section boundaries:

- Coalescing fails
- Multiple DRAM requests are made
- The access is not fully coalesced.
- Some of the bytes accessed and transferred are not used by the threads

## Memory access in Matrix Multiplication



L'accesso alla matrice A non è coalescente. Mentre l'accesso alla matrice B è coalescente. Il vantaggio è quindi relativo, perché in questi casi domina il più lento.

La cosa da tenere in mente è che, per avere un migliore speedup, bisogna pensare anche a come sono organizzati i dati in memoria!

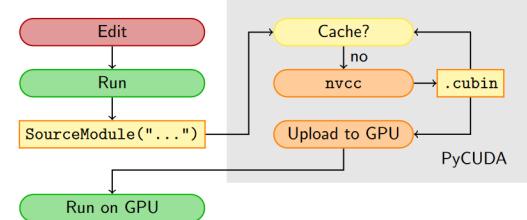
**Gio 27 ottobre - Lezione 11**

## Introduction to GPU computing (3)

### PyCuda Module & Numba

Numba è una libreria che permette di generare funzioni in C che quindi possono essere parallelizzate.

- PyCUDA lets you access Nvidia's CUDA parallel computation API from Python
  - All the CUDA features can be accessed through pyCUDA
- Supports Just-in-time compilation of the CUDA kernels in C
- Small overhead with respect to the C implementation to the GPU part
- Several additional features
  - Example: cuda exceptions translated to python exception
- One of the virtues of PyCUDA is that it allows us to use the class **GPUArray**
- We will use Numba to compile ufuncs on GPU
- <https://pypi.org/project/pycuda/>
- <https://dokumentation.de/pycuda/>
- <http://numba.pydata.org/numba-doc/latest/cuda/index.html>



### Colab

Also known as Colaboratory, is free Jupyter notebook running on google cloud. The notebooks are stored in google drive (<http://colab.research.google.com>)

The notebooks are environment to write text and run code based on Python3 → It's possible to run on cloud computers housing GPUs.

Thanks to the IPython library it's possible to run shell commands (including compilers) on the cloud filesystem.

-Possibility to add modules in the development environment.

### Jupyter

Colab implement a cloud version of the Jupyter notebook (<https://jupyter.org/>). It's free and open-source.

A Jupyter Notebook document is a JSON document:

- ordered list of input/output cells
- can contain code, text, latex, mathematics, plots and media
- ".ipynb" extension.

It implements a language shell (aka interactive toplevel) environment built on IPython library.

- IPython is command shell for interactive python
- Jupyter is a web-based, graphics implementation of IPython

Other programming languages (49) are supported including R, Matlab, Julia, etc.  
**Tutorial:** <https://www.geeksforgeeks.org/how-to-use-jupyter-notebook-an-ultimate-guide/>

## Hands on GPU:

# handson\_gpu\_2022

October 31, 2022

## 1 Setup Iniziale

1. Attivare il supporto GPU in Runtime->Change Runtime Type->Hardware Accelerator
2. Check if pyCUDA è installato

```
[ ]: import pycuda
```

```
[ ]: !pip install pycuda
```

```
[2]: import pycuda
```

4. Controlla la versione di CUDA installata

```
[3]: !nvcc --version
```

```
nvcc: NVIDIA (R) Cuda compiler driver
Copyright (c) 2005-2021 NVIDIA Corporation
Built on Sun_Feb_14_21:12:58_PST_2021
Cuda compilation tools, release 11.2, V11.2.152
Build cuda_11.2.r11.2/compiler.29618528_0
```

## 2 Esplorare la Bash

```
[5]: !ls
```

```
drive  handson_gpu_2022_files  handson_gpu_2022.ipynb  sample_data
```

```
[6]: mkdir test_dir
```

```
[7]: cd test_dir
```

```
/content/test_dir
```

```
[ ]: ls
```

```
[11]: !touch ciao
```

```
[12]: ls
ciao

[13]: rm ciao
[16]: ls
[17]: pwd
[17]: '/content/test_dir'
[18]: cd ..
/content

[19]: !gcc --version
gcc (Ubuntu 7.5.0-3ubuntu1~18.04) 7.5.0
Copyright (C) 2017 Free Software Foundation, Inc.
This is free software; see the source for copying conditions. There is NO
warranty; not even for MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE.
```

### 3 Caratteristiche della GPU in uso

Proviamo a capire le caratteristiche della GPU che abbiamo a disposizione. E' importante sapere la struttura della GPU per capire che operazioni possiamo fare.

```
[20]: !nvidia-smi
Mon Oct 31 10:59:57 2022
+-----+
| NVIDIA-SMI 460.32.03      Driver Version: 460.32.03      CUDA Version: 11.2      |
|-----+-----+-----+
| GPU  Name      Persistence-M| Bus-Id      Disp.A  | Volatile Uncorr. ECC  | | | |
| Fan  Temp     Perf  Pwr:Usage/Cap|           Memory-Usage | GPU-Util  Compute M.  |
|          |             |                |           |                |          MIG M. |
|-----+-----+-----+
|  0  Tesla T4            Off  | 00000000:00:04.0 Off |                  0 | | | |
| N/A   40C    P8     9W /  70W |           0MiB / 15109MiB |      0%     Default |
|          |             |                |           |                |          N/A |
|-----+-----+-----+
+-----+
| Processes:
|  GPU  GI  CI          PID  Type  Process name          GPU Memory |
|-----+-----+-----+-----+-----+-----+-----+-----+
```

	ID	ID	Usage	
	No running processes found			

oppure si può usare il modulo pycuda, interrogando le funzioni del driver

```
[21]: import pycuda.driver as drv
drv.init()
drv.get_version()
devn=drv.Device.count()
print("N GPU "+str(devn))
devices = []
for i in range(devn):
    devices.append(drv.Device(i))
for sp in devices:
    print("GPU name: "+str(sp.name))
    print("Compute Capability = "+str(sp.compute_capability()))
    print("Total Memory = "+str(sp.total_memory()/(2.*20))+' MBytes')
    attr = sp.get_attributes()
    print(attr)
```

```
N GPU 1
GPU name: <bound method name of <pycuda._driver.Device object at
0x7fcc247393b0>>
Compute Capability = (7, 5)
Total Memory = 15109.75 MBytes
{pycuda._driver.device_attribute.ASYNC_ENGINE_COUNT: 3,
pycuda._driver.device_attribute.CAN_MAP_HOST_MEMORY: 1,
pycuda._driver.device_attribute.CAN_USE_HOST_POINTER_FOR_REGISTERED_MEM: 1,
pycuda._driver.device_attribute.CLOCK_RATE: 1590000,
pycuda._driver.device_attribute.COMPUTE_CAPABILITY_MAJOR: 7,
pycuda._driver.device_attribute.COMPUTE_CAPABILITY_MINOR: 5,
pycuda._driver.device_attribute.COMPUTE_MODE:
pycuda._driver.compute_mode.DEFAULT,
pycuda._driver.device_attribute.COMPUTE_PREEMPTION_SUPPORTED: 1,
pycuda._driver.device_attribute.CONCURRENT_KERNELS: 1,
pycuda._driver.device_attribute.CONCURRENT_MANAGED_ACCESS: 1,
pycuda._driver.device_attribute.DIRECT_MANAGED_MEM_ACCESS_FROM_HOST: 0,
pycuda._driver.device_attribute.ECC_ENABLED: 1,
pycuda._driver.device_attribute.GENERIC_COMPRESSION_SUPPORTED: 0,
pycuda._driver.device_attribute.GLOBAL_L1_CACHE_SUPPORTED: 1,
pycuda._driver.device_attribute.GLOBAL_MEMORY_BUS_WIDTH: 256,
pycuda._driver.device_attribute.GPU_OVERLAP: 1,
pycuda._driver.device_attribute.HANDLE_TYPE_POSIX_FILE_DESCRIPTOR_SUPPORTED: 1,
pycuda._driver.device_attribute.HANDLE_TYPE_WIN32_HANDLE_SUPPORTED: 0,
pycuda._driver.device_attribute.HANDLE_TYPE_WIN32_KMT_HANDLE_SUPPORTED: 0,
pycuda._driver.device_attribute.HOST_NATIVE_ATOMIC_SUPPORTED: 0,
```

```
pycuda._driver.device_attribute.INTEGRATED: 0,
pycuda._driver.device_attribute.KERNEL_EXEC_TIMEOUT: 0,
pycuda._driver.device_attribute.L2_CACHE_SIZE: 4194304,
pycuda._driver.device_attribute.LOCAL_L1_CACHE_SUPPORTED: 1,
pycuda._driver.device_attribute.MANAGED_MEMORY: 1,
pycuda._driver.device_attribute.MAXIMUM_SURFACE1D_LAYERED_LAYERS: 2048,
pycuda._driver.device_attribute.MAXIMUM_SURFACE1D_LAYERED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_SURFACE1D_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_SURFACE2D_HEIGHT: 65536,
pycuda._driver.device_attribute.MAXIMUM_SURFACE2D_LAYERED_HEIGHT: 32768,
pycuda._driver.device_attribute.MAXIMUM_SURFACE2D_LAYERED_LAYERS: 2048,
pycuda._driver.device_attribute.MAXIMUM_SURFACE2D_LAYERED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_SURFACE2D_WIDTH: 131072,
pycuda._driver.device_attribute.MAXIMUM_SURFACE3D_DEPTH: 16384,
pycuda._driver.device_attribute.MAXIMUM_SURFACE3D_HEIGHT: 16384,
pycuda._driver.device_attribute.MAXIMUM_SURFACE3D_WIDTH: 16384,
pycuda._driver.device_attribute.MAXIMUM_SURFACECUBEMAP_LAYERED_LAYERS: 2046,
pycuda._driver.device_attribute.MAXIMUM_SURFACECUBEMAP_LAYERED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_SURFACECUBEMAP_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE1D_LAYERED_LAYERS: 2048,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE1D_LAYERED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE1D_LINEAR_WIDTH: 268435456,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE1D_MIPMAPPED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE1D_WIDTH: 131072,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_ARRAY_HEIGHT: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_ARRAY_NUMSLICES: 2048,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_ARRAY_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_GATHER_HEIGHT: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_GATHER_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_HEIGHT: 65536,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_LINEAR_HEIGHT: 65000,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_LINEAR_PITCH: 2097120,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_LINEAR_WIDTH: 131072,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_MIPMAPPED_HEIGHT: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_MIPMAPPED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE2D_WIDTH: 131072,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE3D_DEPTH: 16384,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE3D_DEPTH_ALTERNATE: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE3D_HEIGHT: 16384,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE3D_HEIGHT_ALTERNATE: 8192,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE3D_WIDTH: 16384,
pycuda._driver.device_attribute.MAXIMUM_TEXTURE3D_WIDTH_ALTERNATE: 8192,
pycuda._driver.device_attribute.MAXIMUM_TEXTURECUBEMAP_LAYERED_LAYERS: 2046,
pycuda._driver.device_attribute.MAXIMUM_TEXTURECUBEMAP_LAYERED_WIDTH: 32768,
pycuda._driver.device_attribute.MAXIMUM_TEXTURECUBEMAP_WIDTH: 32768,
pycuda._driver.device_attribute.MAX_BLOCKS_PER_MULTIPROCESSOR: 16,
pycuda._driver.device_attribute.MAX_BLOCK_DIM_X: 1024,
pycuda._driver.device_attribute.MAX_BLOCK_DIM_Y: 1024,
```

```

pycuda._driver.device_attribute.MAX_BLOCK_DIM_Z: 64,
pycuda._driver.device_attribute.MAX_GRID_DIM_X: 2147483647,
pycuda._driver.device_attribute.MAX_GRID_DIM_Y: 65535,
pycuda._driver.device_attribute.MAX_GRID_DIM_Z: 65535,
pycuda._driver.device_attribute.MAX_PERSISTING_L2_CACHE_SIZE: 0,
pycuda._driver.device_attribute.MAX_PITCH: 2147483647,
pycuda._driver.device_attribute.MAX_REGISTERS_PER_BLOCK: 65536,
pycuda._driver.device_attribute.MAX_REGISTERS_PER_MULTIPROCESSOR: 65536,
pycuda._driver.device_attribute.MAX_SHARED_MEMORY_PER_BLOCK: 49152,
pycuda._driver.device_attribute.MAX_SHARED_MEMORY_PER_BLOCK_OPTIN: 65536,
pycuda._driver.device_attribute.MAX_SHARED_MEMORY_PER_MULTIPROCESSOR: 65536,
pycuda._driver.device_attribute.MAX_THREADS_PER_BLOCK: 1024,
pycuda._driver.device_attribute.MAX_THREADS_PER_MULTIPROCESSOR: 1024,
pycuda._driver.device_attribute.MEMORY_CLOCK_RATE: 5001000,
pycuda._driver.device_attribute.MEMORY_POOLS_SUPPORTED: 1,
pycuda._driver.device_attribute.MULTIPROCESSOR_COUNT: 40,
pycuda._driver.device_attribute.MULTI_GPU_BOARD: 0,
pycuda._driver.device_attribute.MULTI_GPU_BOARD_GROUP_ID: 0,
pycuda._driver.device_attribute.PAGEABLE_MEMORY_ACCESS: 0,
pycuda._driver.device_attribute.PAGEABLE_MEMORY_ACCESSUSES_HOST_PAGE_TABLES: 0,
pycuda._driver.device_attribute.PCI_BUS_ID: 0,
pycuda._driver.device_attribute.PCI_DEVICE_ID: 4,
pycuda._driver.device_attribute.PCI_DOMAIN_ID: 0,
pycuda._driver.device_attribute.READ_ONLY_HOST_REGISTER_SUPPORTED: 1,
pycuda._driver.device_attribute.RESERVED_SHARED_MEMORY_PER_BLOCK: 0,
pycuda._driver.device_attribute.SINGLE_TO_DOUBLE_PRECISION_PERF_RATIO: 32,
pycuda._driver.device_attribute.STREAM_PRIORITIES_SUPPORTED: 1,
pycuda._driver.device_attribute.SURFACE_ALIGNMENT: 512,
pycuda._driver.device_attribute.TCC_DRIVER: 0,
pycuda._driver.device_attribute.TEXTURE_ALIGNMENT: 512,
pycuda._driver.device_attribute.TEXTURE_PITCH_ALIGNMENT: 32,
pycuda._driver.device_attribute.TOTAL_CONSTANT_MEMORY: 65536,
pycuda._driver.device_attribute.UNIFIED_ADDRESSING: 1,
pycuda._driver.device_attribute.WARP_SIZE: 32}

```

oppure anche con il metodo DeviceData()

```
[22]: from pycuda import autoinit
from pycuda.tools import DeviceData
specs = DeviceData()
print ('Max threads per block = '+str(specs.max_threads))
print ('Warp size           =' +str(specs.warp_size))
print ('Warps per MP        =' +str(specs.warps_per_mp))
print ('Thread Blocks per MP =' +str(specs.thread_blocks_per_mp))
print ('Registers           =' +str(specs.registers))
print ('Shared memory       =' +str(specs.shared_memory))
```

Max threads per block = 1024

```

Warp size          =32
Warps per MP      =64
Thread Blocks per MP =8
Registers          =65536
Shared memory       =49152

```

## 4 Esempio GPU in C

(comunque ci servirà dopo) Proviamo a scrivere e compilare un programma GPU in C. Notare il comando (magic) all'inizio che serve per salvare nel workspace il contenuto della cella in un file

```
[23]: %%writefile VecAdd.cu
# include <stdio.h>
# include <cuda_runtime.h>
// CUDA Kernel
__global__ void vectorAdd(const float *A, const float *B, float *C, int numElements)
{
    int i = blockDim.x * blockIdx.x + threadIdx.x;
    if (i < numElements)
    {
        C[i] = A[i] + B[i];
    }
}

/**
 * Host main routine
 */
int main(void)
{
    int numElements = 15;
    size_t size = numElements * sizeof(float);
    printf("[Vector addition of %d elements]\n", numElements);

    float a[numElements], b[numElements], c[numElements];
    float *a_gpu, *b_gpu, *c_gpu;

    cudaMalloc((void **)&a_gpu, size);
    cudaMalloc((void **)&b_gpu, size);
    cudaMalloc((void **)&c_gpu, size);

    for (int i=0;i<numElements;++i ){

        a[i] = i*i;
        b[i] = i;
    }
}
```

```

}

// Copy the host input vectors A and B in host memory to the device inputu
vectors in

// device memory
printf("Copy input data from the host memory to the CUDA device\n");
cudaMemcpy(a_gpu, a, size, cudaMemcpyHostToDevice);
cudaMemcpy(b_gpu, b, size, cudaMemcpyHostToDevice);

// Launch the Vector Add CUDA Kernel
int threadsPerBlock = 256;
int blocksPerGrid =(numElements + threadsPerBlock - 1) / threadsPerBlock;
printf("CUDA kernel launch with %d blocks of %d threads\n", blocksPerGrid,u
threadsPerBlock);
vectorAdd<<<blocksPerGrid, threadsPerBlock>>>(a_gpu, b_gpu, c_gpu,u
numElements);

// Copy the device result vector in device memory to the host result vector
// in host memory.
printf("Copy output data from the CUDA device to the host memory\n");
cudaMemcpy(c, c_gpu, size, cudaMemcpyDeviceToHost);

for (int i=0;i<numElements;++i ){
    printf("%f \n",c[i]);
}

// Free device global memory
cudaFree(a_gpu);
cudaFree(b_gpu);
cudaFree(c_gpu);

printf("Done\n");
return 0;
}

```

Writing VecAdd.cu

[24]: ls

```

drive/           handson_gpu_2022.ipynb
test_dir/
handson_gpu_2022_files/ sample_data/
VecAdd.cu

```

[26]: !nvcc -o VecAdd VecAdd.cu -arch=compute\_70 -code=sm\_70

[27]: !./VecAdd

```

[Vector addition of 15 elements]
Copy input data from the host memory to the CUDA device
CUDA kernel launch with 1 blocks of 256 threads
Copy output data from the CUDA device to the host memory
0.000000
2.000000
6.000000
12.000000
20.000000
30.000000
42.000000
56.000000
72.000000
90.000000
110.000000
132.000000
156.000000
182.000000
210.000000
Done

```

## 5 Implementazione con pycuda

Facciamo un primo esempio con pycuda

importiamo i moduli che servono

```
[28]: from pycuda import autoinit
from pycuda import gpuarray
import numpy as np
```

definiamo i vettori a, b e c sull'host. Tutti di lunghezza 15, a con i numeri da 0..14 e b con i quadrati. c è inizializzato a 0

```
[29]: aux = range(15)
a = np.array(aux).astype(np.float32)
b = (a*a).astype(np.float32)
c = np.zeros(len(aux)).astype(np.float32)
```

Definiamo i vettori sulla GPU e copiamo dentro il contenuto dei vettori a,b e c definiti sull'host

```
[30]: a_gpu = gpuarray.to_gpu(a)
b_gpu = gpuarray.to_gpu(b)
c_gpu = gpuarray.to_gpu(c)
```

un primo modo semplice per sommare i vettori e semplicemente usare il +

```
[31]: c_gpu=a_gpu+b_gpu
```

stampiamo i risultati

```
[32]: print(c_gpu)
```

```
[ 0.  2.  6. 12. 20. 30. 42. 56. 72. 90. 110. 132. 156. 182.  
210.]
```

```
[33]: c_gpu
```

```
[33]: array([ 0.,  2.,  6., 12., 20., 30., 42., 56., 72., 90., 110.,  
132., 156., 182., 210.], dtype=float32)
```

Un secondo modo è quello di utilizzare il metodo elementwise, che applica la stessa "Operation" a tutti gli elementi dei vettori

```
[34]: from pycuda.elementwise import ElementwiseKernel  
myCudaFunc = ElementwiseKernel(arguments = "float *a, float *b, float *c",  
                                 operation = "c[i] = a[i]+b[i]",  
                                 name = "mySumK")
```

```
[35]: myCudaFunc(a_gpu,b_gpu,c_gpu)
```

```
[36]: c_gpu
```

```
[36]: array([ 0.,  2.,  6., 12., 20., 30., 42., 56., 72., 90., 110.,  
132., 156., 182., 210.], dtype=float32)
```

Il vantaggio è che si possono definire anche operazioni piu' complesse della semplice somma, ad esempio

```
[37]: from pycuda.elementwise import ElementwiseKernel  
lin_comb = ElementwiseKernel(  
    "float a, float *x, float b, float *y, float *z",  
    "z[i] = a*x[i] + b*y[i]",  
    "linear_combination")
```

in ogni caso l'operazione che vogliamo fare deve stare su una sola riga, quindi non può essere troppo complicata

```
[38]: lin_comb(3.,a_gpu,5.,b_gpu,c_gpu)
```

```
[39]: c_gpu
```

```
[39]: array([ 0.,  8.,  26.,  54.,  92., 140., 198., 266., 344.,  
432., 530., 638., 756., 884., 1022.], dtype=float32)
```

Il terzo metodo è il piu' "generico". Si utilizza il metodo SourceModule che permette di definire anche kernel piu' complessi. L'idea è che questi kernel siano comunque scritti in Cuda/C

```
[40]: from pycuda.compiler import SourceModule
```

carichiamo il file contenente il codice in c che avevamo scritto prima (fare !ls se avete dubbi sul nome che gli avete dato)

```
[41]: !ls
```

```
drive           handson_gpu_2022.ipynb  test_dir  VecAdd.cu
handson_gpu_2022_files  sample_data          VecAdd
```

```
[42]: cudaCode = open("VecAdd.cu", "r") #apro il file
myCUDACode = cudaCode.read() #copio il testo del file nella lista myCUDACode
```

dentro la lista myCUDACode ci sta il nostro programma:

```
[43]: myCUDACode
```

```
[43]: '# include <stdio.h>\n# include <cuda_runtime.h>\n// CUDA Kernel\n_global_\nvoid vectorAdd(const float *A, const float *B, float *C, int numElements)\n{\n    int i = blockDim.x * blockIdx.x + threadIdx.x;\n    if (i < numElements)\n        C[i] = A[i] + B[i];\n}\n/* Host main routine */\nint main(void)\n{\n    int numElements = 15;\n    size_t size = numElements *\n    sizeof(float);\n    printf("[Vector addition of %d elements]\n",\n        numElements);\n    float a[numElements],b[numElements],c[numElements];\n    float *a_gpu,*b_gpu,*c_gpu;\n    cudaMalloc((void **)&a_gpu, size);\n    cudaMalloc((void **)&b_gpu, size);\n    cudaMalloc((void **)&c_gpu, size);\n    for (int i=0;i<numElements;++i ){\n        a[i] = i*i;\n        b[i] = i;\n    }\n    // Copy the host input vectors A and B in host memory to the device\n    // input vectors in\n    // device memory\n    printf("Copy input data from the\n    host memory to the CUDA device\n");\n    cudaMemcpy(a_gpu, a, size,\n        cudaMemcpyHostToDevice);\n    cudaMemcpy(b_gpu, b, size,\n        cudaMemcpyHostToDevice);\n    // Launch the Vector Add CUDA Kernel\n    int threadsPerBlock = 256;\n    int blocksPerGrid =(numElements + threadsPerBlock -\n        1) / threadsPerBlock;\n    printf("CUDA kernel launch with %d blocks of %d\n        threads\n", blocksPerGrid, threadsPerBlock);\n    vectorAdd<<<blocksPerGrid,\n        threadsPerBlock>>>(a_gpu, b_gpu, c_gpu, numElements);\n    // Copy the device\n    // result vector in device memory to the host result vector\n    // in host\n    // memory.\n    printf("Copy output data from the CUDA device to the host\n    memory\n");\n    cudaMemcpy(c, c_gpu, size, cudaMemcpyDeviceToHost);\n    for (int i=0;i<numElements;++i ){\n        printf("%f \n",c[i]);\n    }\n    // Free device global memory\n    cudaFree(a_gpu);\n    cudaFree(b_gpu);\n    cudaFree(c_gpu);\n    printf("Done\n");\n    return 0;\n}
```

compiliamo il codice just-in-time con il metodo SourceModule()

SourceModule() chiama il coompilatore e genera dentro myCode una funzione per avere a disposizione il kernel.

```
[44]: myCode = SourceModule(myCUDACode)
```

```
/usr/local/lib/python3.7/dist-packages/ipykernel_launcher.py:1: UserWarning: The
CUDA compiler succeeded, but said the following:
kernel.cu(17): warning: function "main" cannot be declared in a linkage-
specification
```

```
"""Entry point for launching an IPython kernel.
```

ora il kernel (e l'host) è compilato. Importiamolo nel programma in python

```
[45]: importedKernel = myCode.get_function("vectorAdd")
```

Fino ad ora la "geometria" della GPU l'ha gestita automaticamente pyCuda. Ora siamo noi a definire la "geometria" della GPU che vogliamo usare:

```
[46]: nThreadsPerBlock = 256
nBlockPerGrid = 1
nGridsPerBlock = 1
```

resetiamo il vettore c\_gpu (per essere sicuri sia vuoto)

```
[47]: c_gpu.set(c)
c_gpu
```

```
[47]: array([0., 0., 0., 0., 0., 0., 0., 0., 0., 0., 0., 0., 0., 0., 0.],
           dtype=float32)
```

Il puntatore nella memoria gpu è dato dall'attributo gpudata

```
[48]: a_gpu.gpudata
```

```
[48]: <pycuda._driver.DeviceAllocation at 0x7fcc161cc080>
```

```
[49]: b_gpu.gpudata
```

```
[49]: <pycuda._driver.DeviceAllocation at 0x7fcc161cc2b0>
```

lanciamo il kernel importato passandogli i **puntatori** dei vettori e la geometria della GPU

```
[50]: importedKernel(a_gpu.gpudata, b_gpu.gpudata, c_gpu.gpudata,
                     block=(nThreadsPerBlock,nBlockPerGrid,nGridsPerBlock))
```

```
[51]: c_gpu
```

```
[51]: array([ 0.,   2.,   6.,  12.,  20.,  30.,  42.,  56.,  72.,  90., 110.,
           132., 156., 182., 210.], dtype=float32)
```

ovviamente questo ultimo metodo è eccessivo se ho

## 6 Somma di Matrici

Puliamo la memoria

```
[52]: %reset
```

Once deleted, variables cannot be recovered. Proceed (y/[n])? y  
importiamo le cose che ci servono

```
[53]: import numpy as np
from pycuda import gpuarray, autoinit
import pycuda.driver as cuda
from pycuda.tools import DeviceData
from pycuda.tools import OccupancyRecord as occupancy
```

inizializziamo gli array con le dimensioni appropriate

```
[54]: presCPU, presGPU = np.float32, 'float'
#presCPU, presGPU = np.float64, 'double'
a_cpu = np.random.random((512,512)).astype(presCPU)
b_cpu = np.random.random((512,512)).astype(presCPU)
c_cpu = np.zeros((512,512), dtype=presCPU)
```

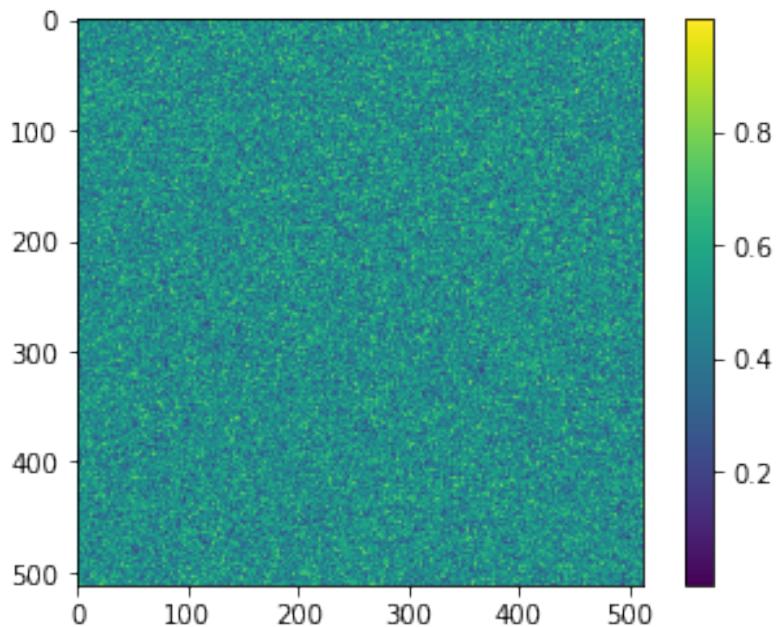
carichiamo matplotlib per poterlo usare nella Ipython

```
[55]: %matplotlib inline
```

```
[56]: from matplotlib import pyplot as plt
```

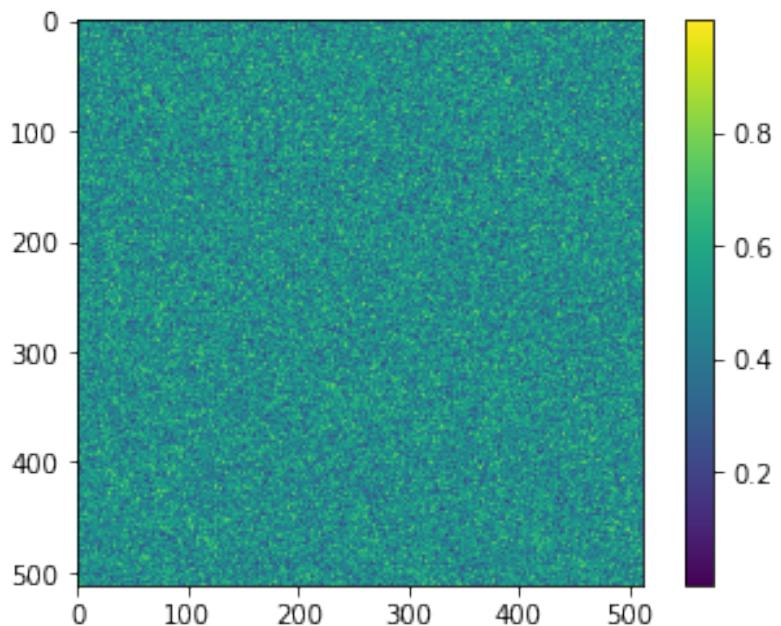
```
[57]: plt.imshow(a_cpu)
plt.colorbar()
```

```
[57]: <matplotlib.colorbar.Colorbar at 0x7fcc160380d0>
```



```
[58]: plt.imshow(b_cpu)
plt.colorbar()
```

```
[58]: <matplotlib.colorbar.Colorbar at 0x7fcc100b6750>
```



copiamo gli array sulla gpu

```
[59]: a_gpu = gpuarray.to_gpu(a_cpu)
      b_gpu = gpuarray.to_gpu(b_cpu)
      c_gpu = gpuarray.to_gpu(c_cpu)
```

```
[60]: c_gpu
```

```
[60]: array([[0., 0., 0., ..., 0., 0., 0.],
           [0., 0., 0., ..., 0., 0., 0.],
           [0., 0., 0., ..., 0., 0., 0.],
           ...,
           [0., 0., 0., ..., 0., 0., 0.],
           [0., 0., 0., ..., 0., 0., 0.],
           [0., 0., 0., ..., 0., 0., 0.]], dtype=float32)
```

facciamo la somma prima sull'host

```
[61]: c_cpu=a_cpu+b_cpu
```

```
[62]: c_cpu
```

```
[62]: array([[1.2318479 , 0.7115891 , 1.0627784 , ..., 1.0367434 , 1.2572979 ,
           1.435501 ],
           [1.1625738 , 0.69545865, 1.1233734 , ..., 1.7289808 , 0.98316765,
           1.472131 ],
           [0.31298584, 0.7491113 , 0.5359408 , ..., 0.9131348 , 0.6572113 ,
           0.75546235],
           ...,
           [1.0218427 , 1.3309641 , 0.73231196, ..., 1.7113471 , 1.4630361 ,
           0.8734757 ],
           [1.1179438 , 1.7768974 , 1.6918807 , ..., 1.1337817 , 0.73312706,
           0.67441964],
           [0.6375501 , 0.8411865 , 0.85855544, ..., 0.9857786 , 0.13109833,
           0.6627484 ]], dtype=float32)
```

misuriamo il tempo che ci vuole sull'host per fare la somma

```
[63]: t_cpu = %timeit -o c_cpu = a_cpu+b_cpu
```

172  $\mu$ s  $\pm$  9.2  $\mu$ s per loop (mean  $\pm$  std. dev. of 7 runs, 10000 loops each)

definiamo il kernel gpu per fare la somma

```
[64]: cudaKernel = '''
__global__ void matrixAdd(float *A, float *B, float *C)
{
    int tid_x = blockDim.x * blockIdx.x + threadIdx.x;
    int tid_y = blockDim.y * blockIdx.y + threadIdx.y;
```

```

    int tid    = gridDim.x * blockDim.x * tid_y + tid_x;
    C[tid] = A[tid] + B[tid];
}
...

```

ora dobbiamo compilare questo kernel e generare la funzione da usare in python

[65]: `from pycuda.compiler import SourceModule  
myCode = SourceModule(cudaKernel)`

[66]: `addMatrix = myCode.get_function("matrixAdd") # The output of get_function is  
→the GPU-compiled function.`

[67]: `type(addMatrix)`

[67]: `pycuda._driver.Function`

dobbiamo decidere la geoemtria della GPU. Ad esempio si possono cercare di sfruttare tutt i threads a disposizione in un blocco. Quati thread ci sono in un blocco?

[68]: `dev = cuda.Device(0)  
devdata = DeviceData(dev)  
print ("Using device : "+dev.name())  
print("Max threads per block: "+str(dev.max_threads_per_multiprocessor))`

```
Using device : Tesla T4
Max threads per block: 1024
```

Quindi possiamo usare blocchi 32x32. Le nostre matrici sono 512x512, per cui dobbiamo usare 16x16 blocchi

[69]: `cuBlock = (32,32,1)  
cuGrid = (16,16,1)`

abbiamo già compilato il kernel con SourceModule. Ora abbiamo due modi per lanciarlo. O chiamiamo direttamente la funzione (come abbiamo fatto sopra per la somma di vettori)

`kernelFunction(arg1,arg2, ... ,block=(n,m,l),grid=(r,s,t))`

oppure usiamo la "preparation"

```
kernelFunction.prepare('ABC..') # Each letter corresponds to an input data type of the function
kernelFunction.prepared_call(grid,block,arg1.gpudata,arg2,...) # When using GPU arrays, they s
```

il primo metodo è, per noi

[70]: `addMatrix(a_gpu,b_gpu,c_gpu,block=cuBlock,grid=cuGrid)`

con la preparation è possibile midurare il tempo di esecuzione

```
[71]: addMatrix.prepare('PPP')
addMatrix.prepared_call(cuGrid,cuBlock,a_gpu.gpudata,b_gpu.gpudata,c_gpu.
    ↪gpudata)
```

```
[72]: time2 = addMatrix.prepared_timed_call(cuGrid,cuBlock,a_gpu.gpudata,b_gpu.
    ↪gpudata,c_gpu.gpudata)
```

```
[73]: time2()
```

```
[73]: 2.7008000761270522e-05
```

per controllare il risultato dobbiamo copiare il risultato dalla gpu alla cpu

```
[74]: c = c_gpu.get()
```

controlliamo il risultato per cpu e gpu

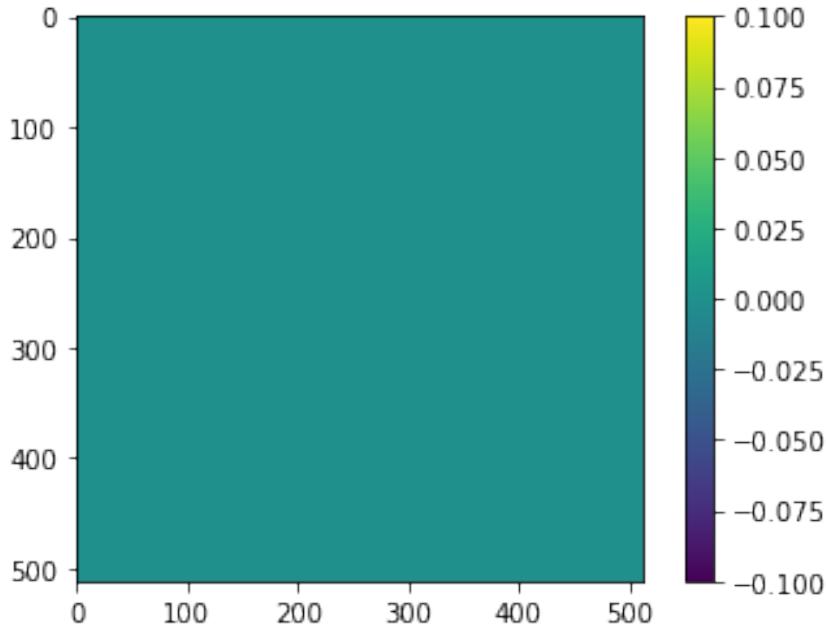
```
[75]: c, c_cpu
```

```
[75]: (array([[1.2318479 , 0.7115891 , 1.0627784 , ..., 1.0367434 , 1.2572979 ,
       1.435501 ],
       [1.1625738 , 0.69545865, 1.1233734 , ..., 1.7289808 , 0.98316765,
       1.472131 ],
       [0.31298584, 0.7491113 , 0.5359408 , ..., 0.9131348 , 0.6572113 ,
       0.75546235],
       ...,
       [1.0218427 , 1.3309641 , 0.73231196, ..., 1.7113471 , 1.4630361 ,
       0.8734757 ],
       [1.1179438 , 1.7768974 , 1.6918807 , ..., 1.1337817 , 0.73312706,
       0.67441964],
       [0.6375501 , 0.8411865 , 0.85855544, ..., 0.9857786 , 0.13109833,
       0.6627484 ]], dtype=float32),
array([[1.2318479 , 0.7115891 , 1.0627784 , ..., 1.0367434 , 1.2572979 ,
       1.435501 ],
       [1.1625738 , 0.69545865, 1.1233734 , ..., 1.7289808 , 0.98316765,
       1.472131 ],
       [0.31298584, 0.7491113 , 0.5359408 , ..., 0.9131348 , 0.6572113 ,
       0.75546235],
       ...,
       [1.0218427 , 1.3309641 , 0.73231196, ..., 1.7113471 , 1.4630361 ,
       0.8734757 ],
       [1.1179438 , 1.7768974 , 1.6918807 , ..., 1.1337817 , 0.73312706,
       0.67441964],
       [0.6375501 , 0.8411865 , 0.85855544, ..., 0.9857786 , 0.13109833,
       0.6627484 ]], dtype=float32))
```

per confrontare meglio, guardiamo i plot

```
[76]: plt.imshow(c-c_cpu,interpolation='none')
plt.colorbar()
```

```
[76]: <matplotlib.colorbar.Colorbar at 0x7fcfbfc38d0>
```



```
[77]: np.sum(np.sum(np.abs(c_cpu-c)))
```

```
[77]: 0.0
```

in effetti i risultati sono uguali

## 7 Moltiplicazione tra matrici

scriviamo un kernel per la moltiplicazione di matrici

```
[78]: cudaKernel12 = '''
__global__ void matrixMul(float *A, float *B, float *C)
{
    int tid_x = blockDim.x * blockIdx.x + threadIdx.x; // Row
    int tid_y = blockDim.y * blockIdx.y + threadIdx.y; // Column
    int matrixDim = gridDim.x * blockDim.x;
    int tid    = matrixDim * tid_y + tid_x; // element i,j

    float aux=0.0f;
```

```

for ( int i=0 ; i<matrixDim ; i++ ){
    //
    aux += A[matrixDim * tid_y + i]*B[matrixDim * i + tid_x] ;

}

C[tid] = aux;

}
...

```

compiliamo e importiamo con SourceModule

```
[79]: myCode = SourceModule(cudaKernel2)
mulMatrix = myCode.get_function("matrixMul")
```

eseguiamolo con la stessa struttura a blocchi definita per la somma di matrici

```
[80]: mulMatrix(a_gpu,b_gpu,c_gpu,block=cuBlock,grid=cuGrid)
```

sulla CPU sarà invece

```
[81]: dotAB = np.dot(a_cpu, b_cpu)
```

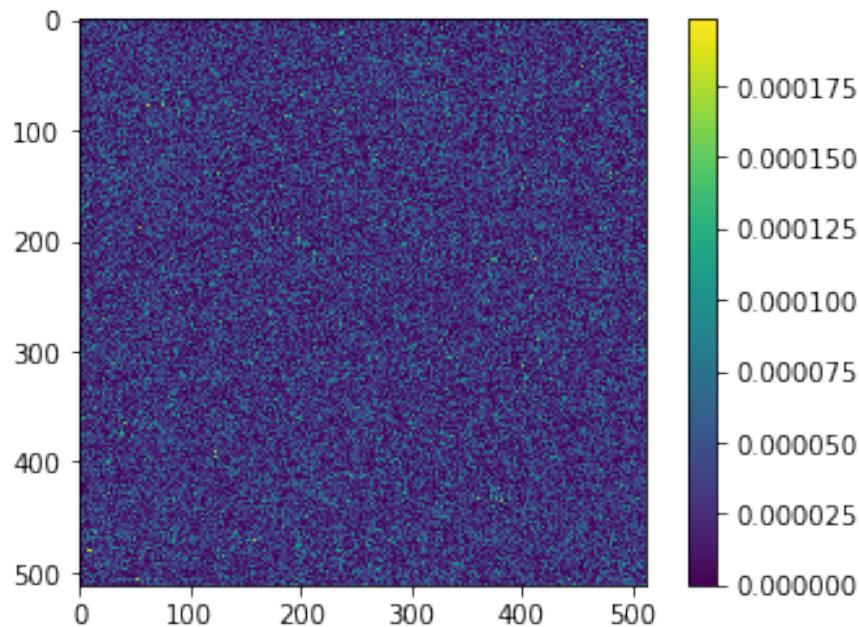
vediamo il risultato è lo stesso

```
[82]: diff = np.abs(c_gpu.get()-dotAB)
np.sum(diff)
```

```
[82]: 8.635231
```

```
[83]: plt.imshow(diff,interpolation='none')
plt.colorbar()
```

```
[83]: <matplotlib.colorbar.Colorbar at 0x7fcbf970cb90>
```



```
[84]: dotAB
```

```
[84]: array([[121.42797 , 122.31373 , 120.10916 , ... , 119.41626 , 121.96463 ,
   118.80441 ],
 [130.3919 , 129.17444 , 126.29321 , ... , 130.36664 , 131.5235 ,
  128.30759 ],
 [124.68309 , 122.24606 , 120.65952 , ... , 119.66283 , 123.355774,
  121.70793 ],
 ...,
 [126.59974 , 126.97113 , 126.88341 , ... , 124.75375 , 123.9772 ,
  127.03604 ],
 [129.1057 , 128.1775 , 128.33745 , ... , 125.19934 , 126.26902 ,
  126.57745 ],
 [124.317215, 119.97131 , 122.27707 , ... , 120.317505, 123.454956,
  122.56614 ]], dtype=float32)
```

```
[85]: c_gpu
```

```
[85]: array([[121.42807 , 122.31372 , 120.109215, ... , 119.41626 , 121.9646 ,
  118.80444 ],
 [130.39189 , 129.17444 , 126.2932 , ... , 130.36668 , 131.52351 ,
  128.30759 ],
 [124.68307 , 122.24605 , 120.659485, ... , 119.662834, 123.35574 ,
  121.707886],
 ...,
```

```
[126.59972 , 126.97111 , 126.88342 , ... , 124.753716, 123.9772 ,  
127.035995],  
[129.10559 , 128.17752 , 128.33742 , ... , 125.19935 , 126.26911 ,  
126.57746 ],  
[124.317154, 119.971306, 122.2771 , ... , 120.317474, 123.45495 ,  
122.56615 ]], dtype=float32)
```

```
[86]: presCPU, presGPU = np.float64, 'double'  
a_cpu = np.random.random((512,512)).astype(presCPU)  
b_cpu = np.random.random((512,512)).astype(presCPU)  
c_cpu = np.zeros((512,512), dtype=presCPU)
```

```
[87]: a_gpu = gpuarray.to_gpu(a_cpu)  
b_gpu = gpuarray.to_gpu(b_cpu)  
c_gpu = gpuarray.to_gpu(c_cpu)
```

```
[88]: a_cpu.dtype
```

```
[88]: dtype('float64')
```

```
[89]: cudaKernel3 = '''  
__global__ void matrixMul64(double *A, double *B, double *C)  
{  
    int tid_x = blockDim.x * blockIdx.x + threadIdx.x; // Row  
    int tid_y = blockDim.y * blockIdx.y + threadIdx.y; // Column  
    int matrixDim = gridDim.x * blockDim.x;  
    int tid = matrixDim * tid_y + tid_x; // element i,j  
  
    double aux = 0.0;  
    for ( int i=0 ; i<matrixDim ; i++ ){  
        //  
        aux += A[matrixDim * tid_y + i]*B[matrixDim * i + tid_x] ;  
  
    }  
  
    C[tid] = aux;  
}  
'''
```

```
[90]: myCode64 = SourceModule(cudaKernel3)  
mulMatrix64 = myCode64.get_function("matrixMul64")
```

```
[91]: mulMatrix64(a_gpu,b_gpu,c_gpu,block=cuBlock,grid=cuGrid)
```

```
[92]: dotAB = np.dot(a_cpu, b_cpu)
```

```
[93]: c_gpu.dtype
```

```
[93]: dtype('float64')
```

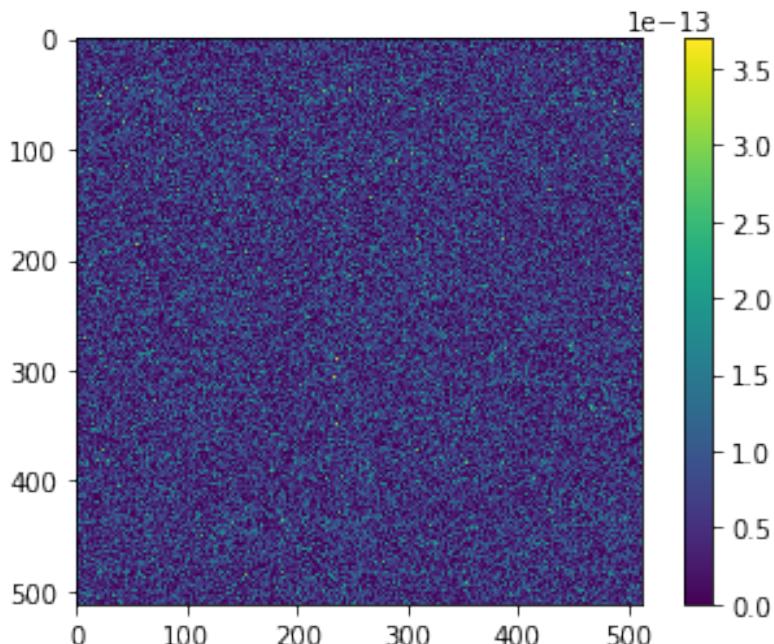
```
[94]: dotAB.dtype
```

```
[94]: dtype('float64')
```

```
[95]: diff = np.abs(c_gpu.get()-dotAB)
```

```
[96]: plt.imshow(diff,interpolation='none')
plt.colorbar()
```

```
[96]: <matplotlib.colorbar.Colorbar at 0x7fcbf966b510>
```



```
[96]:
```

## 8 Ancora sulla somma di vettori

```
[97]: %reset
```

Once deleted, variables cannot be recovered. Proceed (y/[n])? y

Vogliamo confrontare i tempi per la somma di vettori di dimensione variabile, tra CPU e GPU

Iniziamo con la versione CPU

```
[98]: %matplotlib inline
from matplotlib import pyplot as plt

[99]: import numpy as np

[100]: from time import time
def myColorRand():
    return (np.random.random(),np.random.random(),np.random.random())

[101]: dimension = [2**i for i in range(5,25) ]
myPrec = np.float32

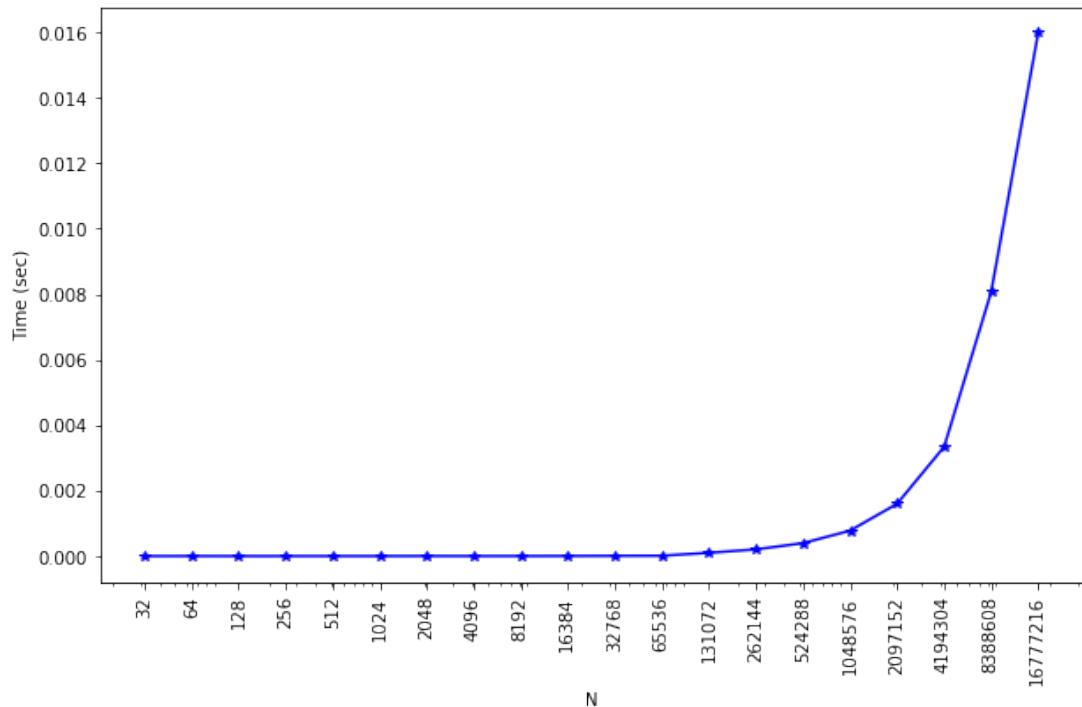
[102]: dimension
```

[102]: [32,  
64,  
128,  
256,  
512,  
1024,  
2048,  
4096,  
8192,  
16384,  
32768,  
65536,  
131072,  
262144,  
524288,  
1048576,  
2097152,  
4194304,  
8388608,  
16777216]

```
[103]: nLoops = 100
timeCPU = []
for n in dimension:
    v1_cpu = np.random.random(n).astype(myPrec)
    v2_cpu = np.random.random(n).astype(myPrec)
    tMean = 0
    for i in range(nLoops):
        t = time()
        v = v1_cpu+v2_cpu
        t = time() - t
        tMean += t/nLoops
```

```
timeCPU.append(tMean)
```

```
[104]: plt.figure(1,figsize=(10,6))
plt.semilogx(dimension,timeCPU,'b-*')
plt.ylabel('Time (sec)')
plt.xlabel('N')
plt.xticks(dimension, dimension, rotation='vertical')
plt.show()
```



Proviamo a fare la versione GPU

Per prima cosa guardiamo la semplice somma (primo metodo)

```
[105]: import pycuda
from pycuda import gpuarray
```

```
[106]: timeGPU1 = []
bandWidth1 = []
for n in dimension:
    v1_cpu = np.random.random(n).astype(myPrec)
    v2_cpu = np.random.random(n).astype(myPrec)
    t1Mean = 0
    t2Mean = 0
    for i in range(nLoops):
```

```

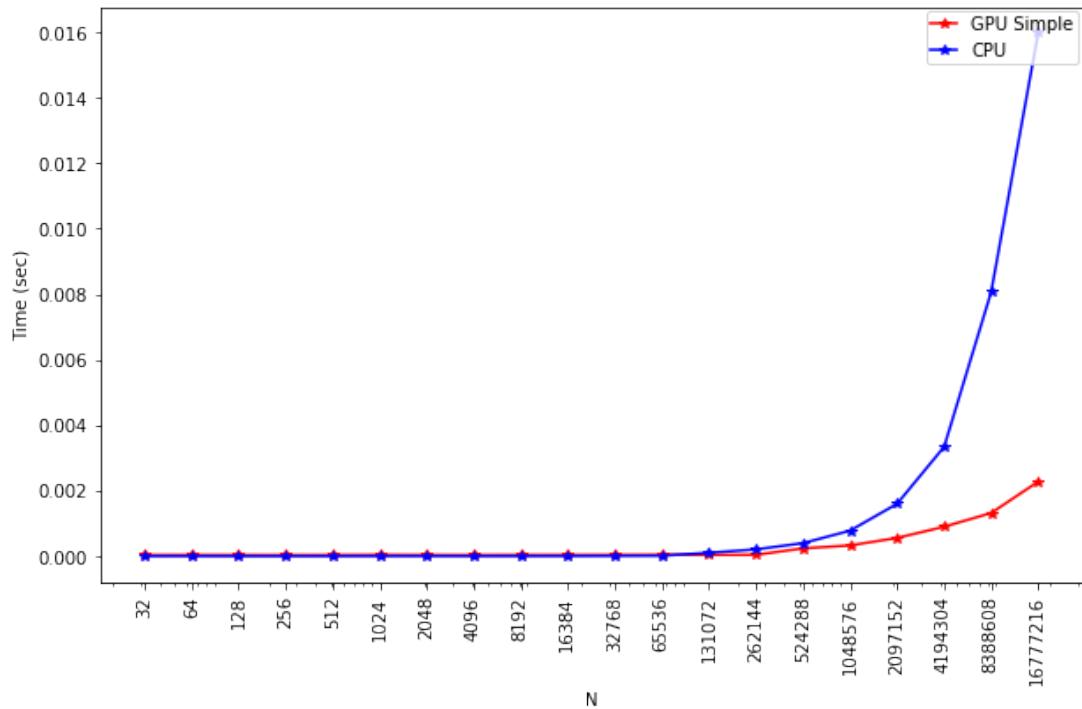
t = time()
vaux = gpuarray.to_gpu(v1_cpu)
t = time() -t
t1Mean += t/nLoops
bandWidth1.append(t1Mean)
v1_gpu = gpuarray.to_gpu(v1_cpu)
v2_gpu = gpuarray.to_gpu(v2_cpu)
for i in range(nLoops):
    t = time()
    v = v1_gpu+v2_gpu
    t = time() -t
    t2Mean += t/nLoops
timeGPU1.append(t2Mean)
v1_gpu.gpudata.free()
v2_gpu.gpudata.free()
v.gpudata.free()

```

```

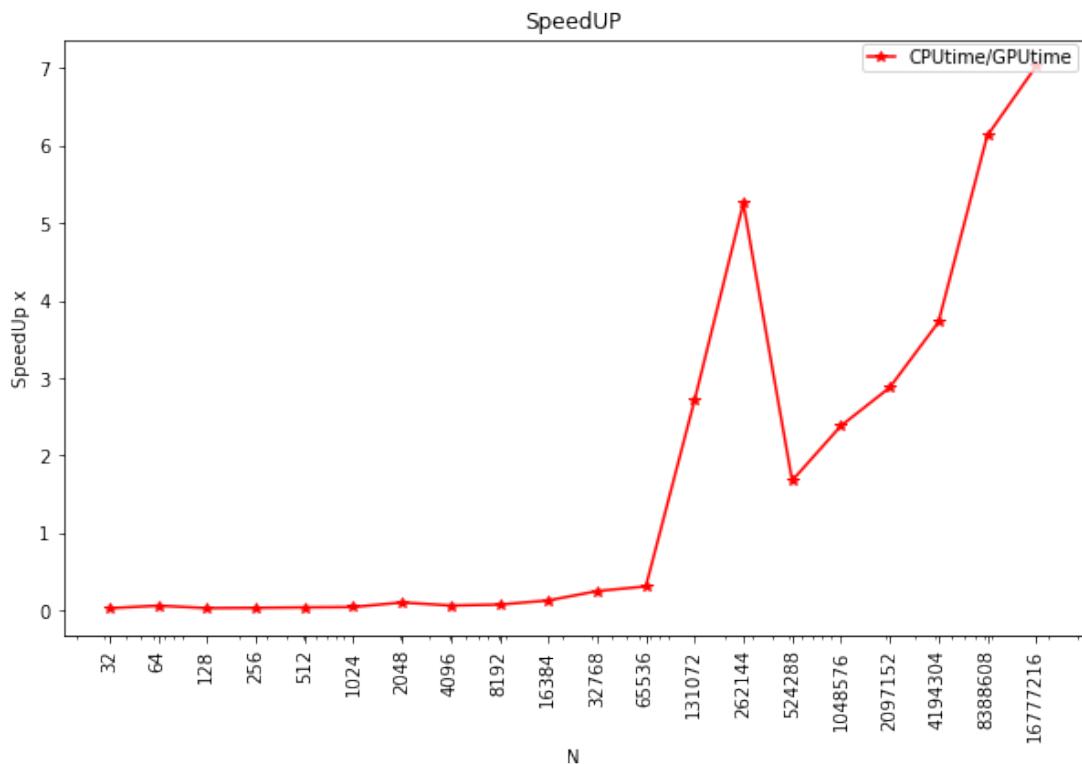
[107]: plt.figure(1,figsize=(10,6))
plt.semilogx(dimension,timeGPU1,'r-*',label='GPU Simple')
plt.semilogx(dimension,timeCPU,'b-*',label='CPU')
plt.ylabel('Time (sec)')
plt.xlabel('N')
plt.xticks(dimension, dimension, rotation='vertical')
plt.legend(loc=1,labelspacing=0.5,fancybox=True, handlelength=1.5,
           borderaxespad=0.25, borderpad=0.25)
plt.show()

```



```
[108]: plt.figure(1,figsize=(10,6))

a = np.array(timeGPU1)
b = np.array(timeCPU)
plt.semilogx(dimension,b/a,'r-*',label='CPUtime/GPUtime')
plt.ylabel('SpeedUp x')
plt.xlabel('N')
plt.title('SpeedUP')
plt.xticks(dimension, dimension, rotation='vertical')
plt.legend(loc=1,labelspacing=0.5,fancybox=True, handlelength=1.5,
           borderaxespad=0.25, borderpad=0.25)
plt.show()
```



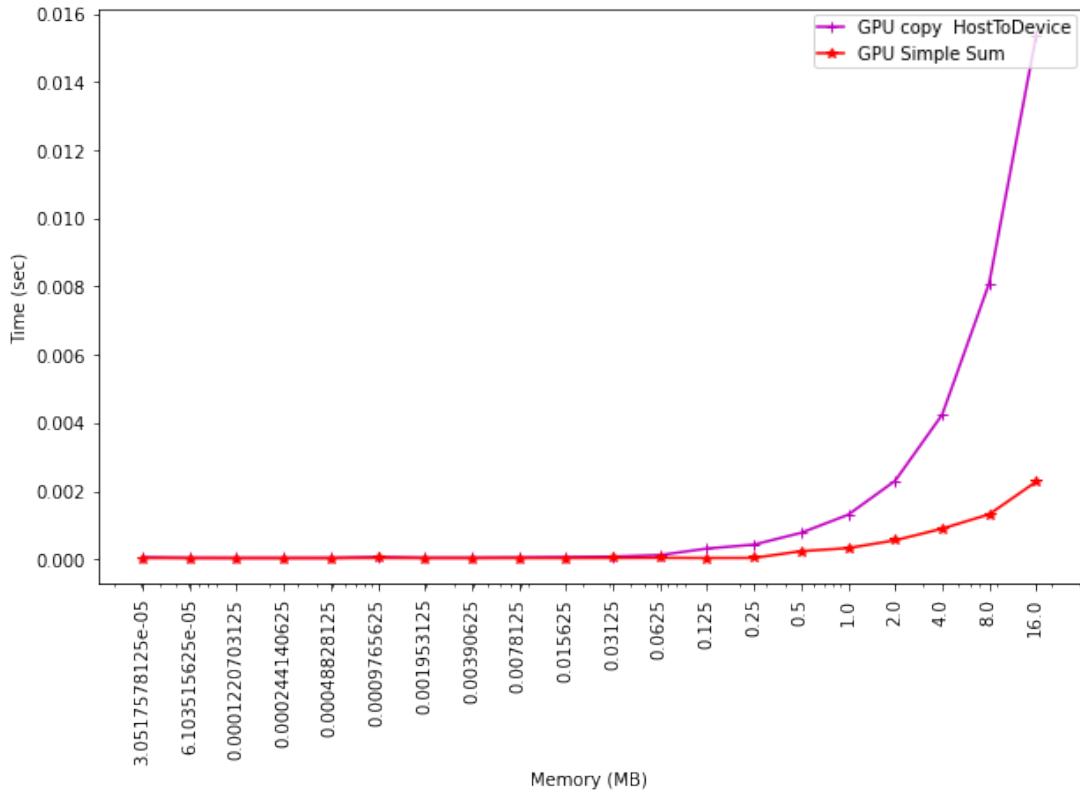
proviamo anche a valutare il tempo di trasferimento su GPU

```
[109]: plt.figure(1,figsize=(10,6))
sizeMB = np.array(dimension)/(2.**20)
plt.semilogx(sizeMB,bandWidth1,'m--+',label='GPU copy HostToDevice')
plt.semilogx(sizeMB,timeGPU1,'r-*',label='GPU Simple Sum')
plt.ylabel('Time (sec)')
```

```

plt.xlabel('Memory (MB)')
plt.xticks(sizeMB, sizeMB, rotation='vertical')
plt.legend(loc=1, labelspacing=0.5, fancybox=True, handlelength=1.5,
           borderaxespad=0.25, borderpad=0.25)
plt.show()

```



proviamo ad usare elementwise (secondo metodo)

```
[110]: from pycuda.elementwise import ElementwiseKernel
myCudaFunc = ElementwiseKernel(arguments = "float *a, float *b, float *c",
                                  operation = "c[i] = a[i]+b[i]",
                                  name = "mySumK")
```

```
[111]: import pycuda.driver as drv
start = drv.Event()
end = drv.Event()
```

```
[112]: timeGPU2 = []
for n in dimension:
    v1_cpu = np.random.random(n).astype(myPrec)
    v2_cpu = np.random.random(n).astype(myPrec)
```

```

v1_gpu = gpuarray.to_gpu(v1_cpu)
v2_gpu = gpuarray.to_gpu(v2_cpu)
vr_gpu = gpuarray.to_gpu(vr_cpu)
t3Mean=0
for i in range(nLoops):
    start.record()
    myCudaFunc(v1_gpu,v2_gpu, vr_gpu)
    end.record()
    end.synchronize()
    secs = start.time_till(end)*1e-3
    t3Mean+=secs/nLoops
timeGPU2.append(t3Mean)
v1_gpu.gpudata.free()
v2_gpu.gpudata.free()
vr_gpu.gpudata.free()

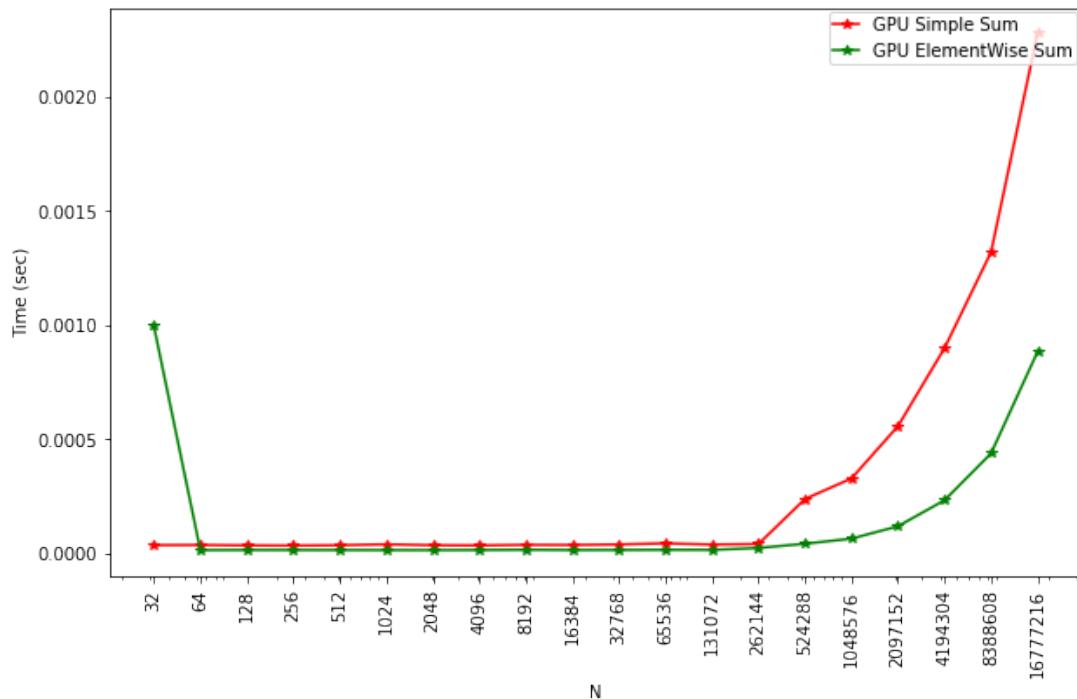
```

```

[113]: plt.figure(1,figsize=(10,6))
plt.semilogx(dimension,timeGPU1,'r-*',label='GPU Simple Sum')
plt.semilogx(dimension,timeGPU2,'g-*',label='GPU ElementWise Sum')
plt.ylabel('Time (sec)')
plt.xlabel('N')
plt.xticks(dimension, dimension, rotation='vertical')
plt.legend(loc=1,labelspacing=0.5,fancybox=True, handlelength=1.5,
           borderaxespad=0.25, borderpad=0.25)

```

[113]: <matplotlib.legend.Legend at 0x7fcbf8fd4d90>



Implementazione con SourceModule. E' possibile variare la geometria di griglia e blocchi

```
[114]: from pycuda.compiler import SourceModule

[115]: presCPU, presGPU = np.float32, 'float'
        cudaCode = open("VecAdd.cu", "r")
        cudaCode = cudaCode.read()
        cudaCode = cudaCode.replace('float', presGPU)
        myCode = SourceModule(cudaCode)
        vectorAddKernel = myCode.get_function("vectorAdd")
        vectorAddKernel.prepare('PPP')
```

```
[115]: <pycuda._driver.Function at 0x7fcc1618db20>
```

```
[ ]: timeGPU3 = []
occupancyMesure=[]
for nt in [32,64,128,256,512,1024]:
    aux = []
    auxOcc = []
    for n in dimension:
        v1_cpu = np.random.random(n).astype(myPrec)
        v2_cpu = np.random.random(n).astype(myPrec)
        v1_gpu = gpuarray.to_gpu(v1_cpu)
        v2_gpu = gpuarray.to_gpu(v2_cpu)
        vr_gpu = gpuarray.to_gpu(v2_cpu)
        cudaBlock = (nt,1,1)
        cudaGrid = (int((n+nt-1)/nt),1,1)

        cudaCode = open("VecAdd.cu", "r")
        cudaCode = cudaCode.read()
        cudaCode = cudaCode.replace('float', presGPU)
        downVar = ['blockDim.x','blockDim.y','blockDim.z','gridDim.x','gridDim.y','gridDim.z']
        upVar = [str(cudaBlock[0]),str(cudaBlock[1]),str(cudaBlock[2]),
                 str(cudaGrid[0]),str(cudaGrid[1]),str(cudaGrid[2])]
        dicVarOptim = dict(zip(downVar,upVar))
        for i in downVar:
            cudaCode = cudaCode.replace(i,dicVarOptim[i])
        #print cudaCode
        myCode = SourceModule(cudaCode)
        vectorAddKernel = myCode.get_function("vectorAdd")
        vectorAddKernel.prepare('PPP')

        print ('Size= '+str(n)+" threadsPerBlock= "+str(nt))
        print (str(cudaBlock)+" "+str(cudaGrid))
```

```

t5Mean = 0
for i in range(nLoops):
    timeAux = vectorAddKernel.
    ↪prepared_timed_call(cudaGrid,cudaBlock,v1_gpu.gpudata,v2_gpu.gpudata,vr_gpu.
    ↪gpudata)
    t5Mean += timeAux()/nLoops
    aux.append(t5Mean)
    v1_gpu.gpudata.free()
    v2_gpu.gpudata.free()
    vr_gpu.gpudata.free()
    timeGPU3.append(aux)
    occupancyMesure.append(auxOcc)

```

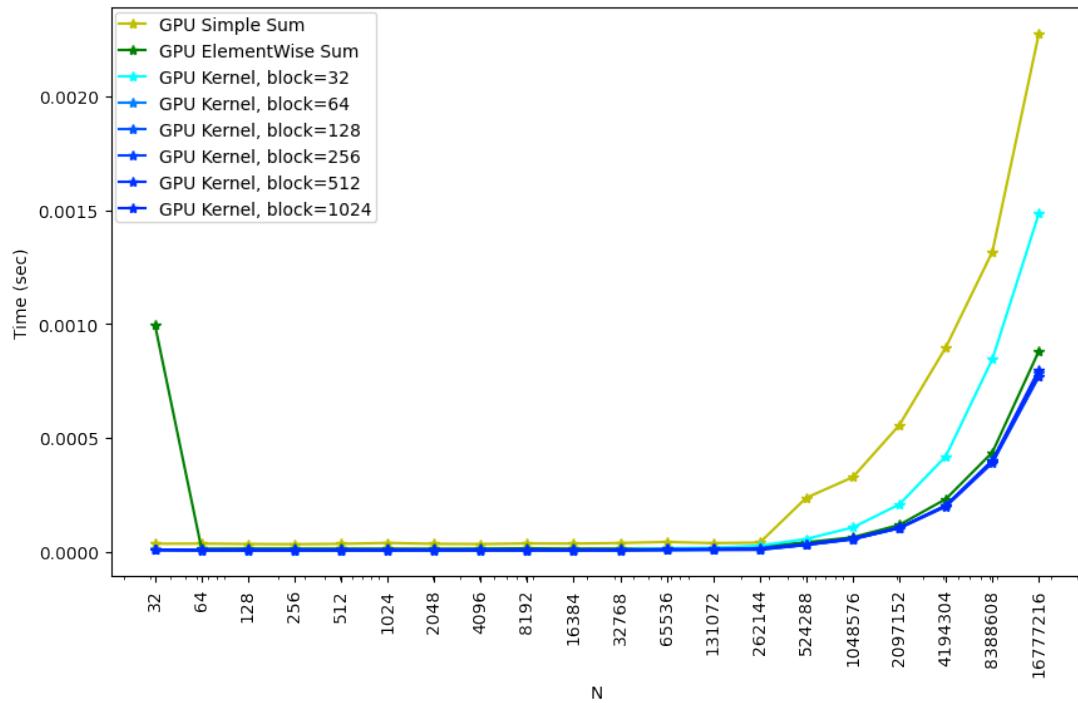
[117]: timeGPU3[0]

[117]: [7.949759974144397e-06,  
7.21632000524551e-06,  
7.254079999402168e-06,  
7.3756800033152106e-06,  
7.390080024488268e-06,  
7.483840039931238e-06,  
7.038079998455943e-06,  
8.753280001692472e-06,  
8.093119999393824e-06,  
8.041280033066873e-06,  
9.224319923669098e-06,  
1.487168000079691e-05,  
1.6904639974236497e-05,  
2.615999998524785e-05,  
5.546847987920043e-05,  
0.00010585823982954026,  
0.00020728544026613226,  
0.00041910720199346525,  
0.0008457052761316303,  
0.0014863404846191404]

[118]: plt.figure(1,figsize=(10,6),dpi=100)
plt.semilogx(dimension,timeGPU1,'y-\*',label='GPU Simple Sum')
plt.semilogx(dimension,timeGPU2,'g-\*',label='GPU ElementWise Sum')
count = 0
for nt in [32,64,128,256,512,1024]:
 plt.semilogx(dimension,timeGPU3[count],'\*',label='GPU Kernel, block={0}'.
 ↪format(nt),color=(0,1./(count+1),1))
 count+=1
plt.ylabel('Time (sec)')
plt.xlabel('N')
plt.xticks(dimension, dimension, rotation='vertical')

```
plt.legend(loc=2, labelspacing=0.5, fancybox=True, handlelength=1.5, borderaxespad=0.25, borderpad=0.25)
```

[118]: <matplotlib.legend.Legend at 0x7fcfbf8ef8a50>



le sfumature di blu corrispondono a un diverso numero di thread per blocco

[118]:

## 9 Numba

9.1 Numba è un metodo generico per utilizzare funzioni di C (tra cui roba di GPU) in python.

[2]: %reset

Once deleted, variables cannot be recovered. Proceed (y/[n])? y

E' necessario far trovare due librerie che normalmente non sono nel path

[3]: import os  
os.environ['NUMBAPRO\_LIBDEVICE'] = "/usr/local/cuda-10.0/nvvm/libdevice"

```
os.environ['NUMBAPRO_NVVM'] = "/usr/local/cuda-10.0/nvvm/lib64/libnvvm.so"
```

abbiamo già visto che numpy sfrutta il fatto che molte funzioni (ufunc, universal functions) sono compilate in C e aggiscono sugli elementi dei vettori in maniera automatica. Nel seguente esempio confrontiamo le performance di numpy con quelle della normale radice quadrata su opportuni vettori

```
[4]: import numpy as np
```

```
[5]: import math
x = np.arange(int(1e7), dtype=np.float32)
%timeit np.sqrt(x)
%timeit [math.sqrt(xx) for xx in x]
```

```
5.64 ms ± 102 µs per loop (mean ± std. dev. of 7 runs, 100 loops each)
1.83 s ± 137 ms per loop (mean ± std. dev. of 7 runs, 1 loop each)
```

proviamo a compilare una funzione utente con numba. Per far questo usiamo il decoratore @vectorize

```
[6]: import math
import numpy as np
from numba import vectorize
@vectorize
def cpu_sqrt(x):
    return math.sqrt(x)

%timeit cpu_sqrt(x)
```

```
9.01 ms ± 135 µs per loop (mean ± std. dev. of 7 runs, 1 loop each)
```

proviamo ora a fare una versione GPU in cui la ufunc è compilata per essere eseguita sulla GPU. A differenza della funzione CPU è necessario specificare i tipi di output e input nel decoratore: output(input). L'array di input deve avere il tipo corretto.

```
[7]: @vectorize(['float32(float32)'], target='cuda')
def gpu_sqrt(x):
    return math.sqrt(x)
```

```
[8]: %timeit gpu_sqrt(x)
```

```
24.7 ms ± 3.96 ms per loop (mean ± std. dev. of 7 runs, 1 loop each)
```

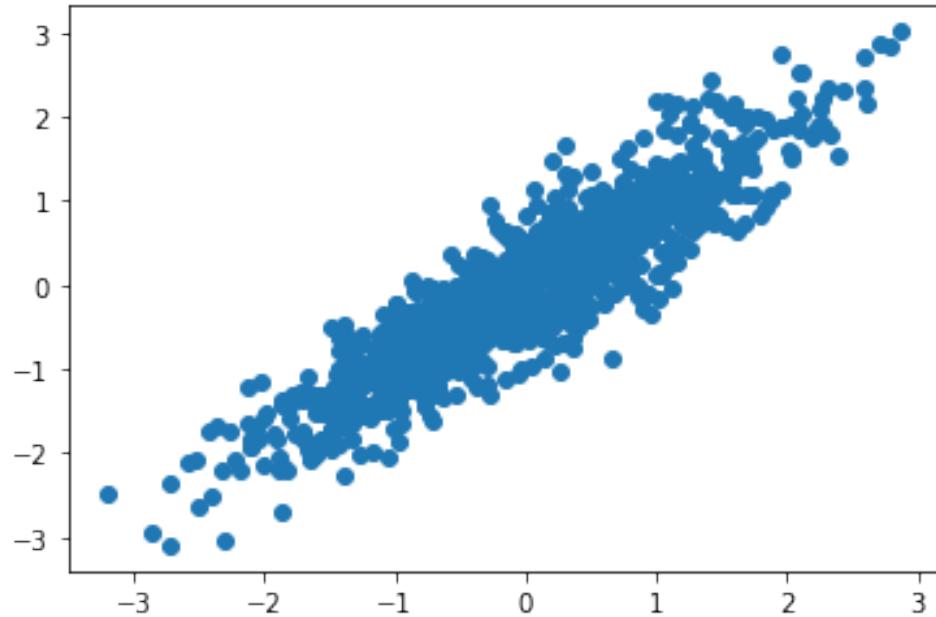
apparentemente la versione GPU è più lenta della versione CPU. La ragione di questo è che l'operazione che stiamo facendo è troppo semplice e quindi non abbiamo vantaggio computazionale rispetto all'overhead di copiatura dell'array sul device.

facciamo un esempio più complicato. Generiamo dei punti in 2D con correlazione.

```
[9]: points = np.random.multivariate_normal([0,0], [[1.,0.9], [0.9,1.]], 1000).
      ↪astype(np.float32)
```

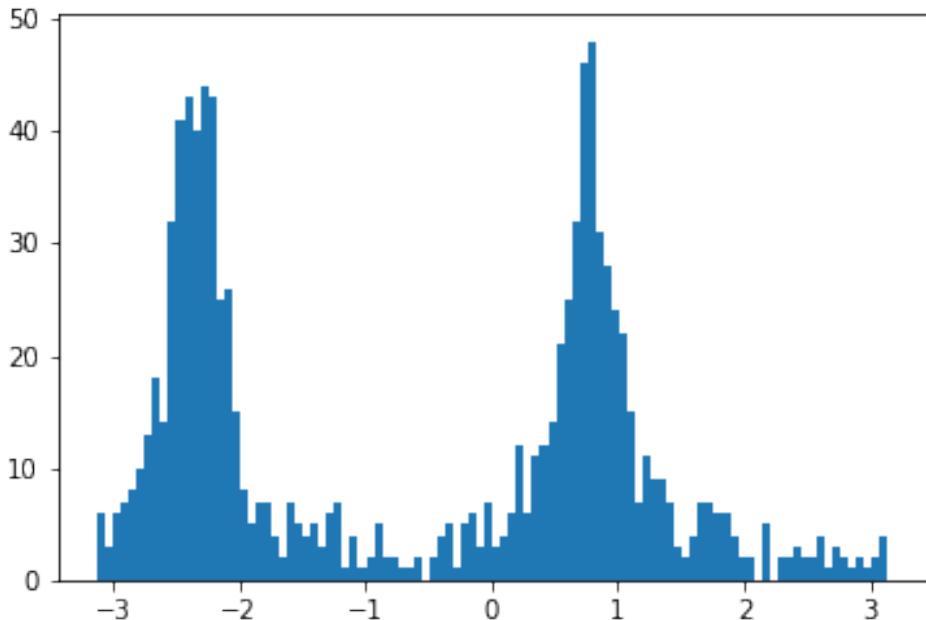
```
[10]: import matplotlib.pyplot as plt  
plt.scatter(points[:,0], points[:,1])
```

```
[10]: <matplotlib.collections.PathCollection at 0x7fe0b7f4a2d0>
```



ora proviamo a trasformare in coordinate polari

```
[11]: theta = np.arctan2(points[:,1], points[:,0])  
_ = plt.hist(theta, bins=100)
```



vediamo 2 picchi perchè la correlazione puo' essere  $\pi/4$  o  $3\pi/4$ . Proviamo a fare la stessa cosa con la GPU. Definiamo una ufunc gpu

```
[12]: @vectorize(['float32(float32, float32)'], target='cuda')
def gpu_arctan2(y, x):
    theta = math.atan2(y,x)
    return theta
```

```
[13]: theta = gpu_arctan2(points[:,1], points[:,0])
```

```
ValueError
last

    <ipython-input-13-2811abcd6c9> in <module>
----> 1 theta = gpu_arctan2(points[:,1], points[:,0])

    /usr/local/lib/python3.7/dist-packages/numba/cuda/vectorizers.py in __call__(self, *args, **kws)
      34             the input arguments.
      35             """
----> 36         return CUDAUFuncMechanism.call(self.functions, args, kws)
```

```

37
38     def reduce(self, arg, stream=0):

    /usr/local/lib/python3.7/dist-packages/numba/np/ufunc/deviceufunc.py in ↵
call(cls, typemap, args, kws)
    285             any_device = True
    286         else:
--> 287             dev_a = cr.to_device(a, stream=stream)
    288             devarys.append(dev_a)
    289

    /usr/local/lib/python3.7/dist-packages/numba/cuda/vectorizers.py in ↵
to_device(self, hostary, stream)
    168
    169     def to_device(self, hostary, stream):
--> 170         return cuda.to_device(hostary, stream=stream)
    171
    172     def to_host(self, devary, stream):

    /usr/local/lib/python3.7/dist-packages/numba/cuda/cudadrv/devices.py in ↵
_require_cuda_context(*args, **kws)
    230     def _require_cuda_context(*args, **kws):
    231         with _runtime.ensure_context():
--> 232             return fn(*args, **kws)
    233
    234     return _require_cuda_context

    /usr/local/lib/python3.7/dist-packages/numba/cuda/api.py in ↵
to_device(obj, stream, copy, to)
    119     if to is None:
    120         to, new = devicearray.auto_device(obj, stream=stream, ↵
copy=copy,
--> 121                                         user_explicit=True)
    122         return to
    123     if copy:

    /usr/local/lib/python3.7/dist-packages/numba/cuda/cudadrv/devicearray.py in ↵
auto_device(obj, stream, copy, user_explicit)
    872             copy=False,
    873             subok=True)
--> 874         sentry_contiguous(obj)
    875         devobj = from_array_like(obj, stream=stream)

```

```

876         if copy:

    /usr/local/lib/python3.7/dist-packages/numba/cuda/cudadrv/devicearray.py_
→in sentry_contiguous(ary)
846     core = array_core(ary)
847     if not core.flags['C_CONTIGUOUS'] and not core.
→flags['F_CONTIGUOUS']:
--> 848         raise ValueError(errmsg_contiguous_buffer)
849
850

    ValueError: Array contains non-contiguous buffer and cannot be_
→transferred as a single memory region. Please ensure contiguous buffer with_
→numpy .ascontiguousarray()

```

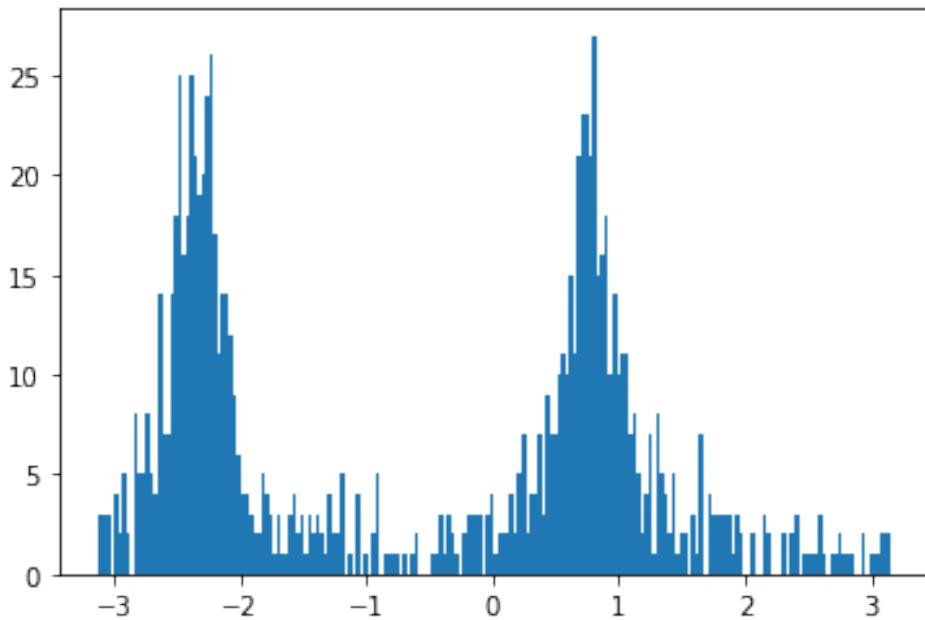
il fatto è che stiamo scrivendo una funzione in C, che lavora con i puntatori. la y del primo punto è accanto alla x del primo punto. Quindi la prima colonna (delle x) contiene degli elementi a salti di uno

non funziona perchè le slice che abbiamo considerato non sono valori contigui in memoria, invece si devono passare array contigui come argomento. Per fortuna c'è una funzione per renderli contigui.

```
[14]: x = np.ascontiguousarray(points[:,0])
y = np.ascontiguousarray(points[:,1])
```

```
[15]: theta = gpu_arctan2(y, x)
_ = plt.hist(theta, bins=200)
```

```
/usr/local/lib/python3.7/dist-packages/numba/cuda/dispatcher.py:488:
NumbaPerformanceWarning: Grid size 1 will likely result in GPU under-utilization
due to low occupancy.
warn(NumbaPerformanceWarning(msg))
```

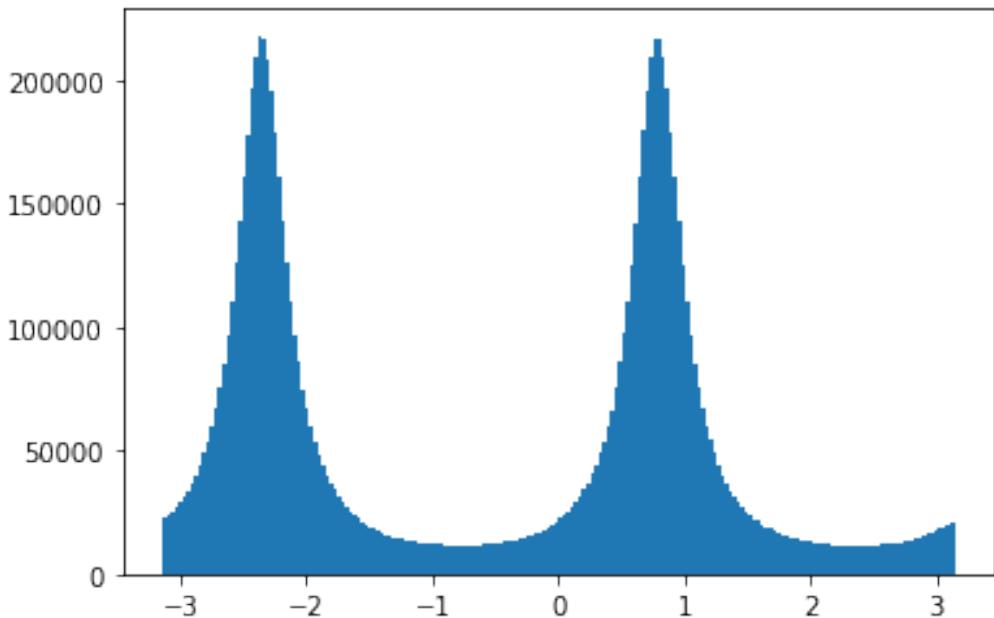


funziona. Proviamo a farlo con piu' punti

```
[16]: points = np.random.multivariate_normal([0,0], [[1.,0.9], [0.9,1.]], int(1e7)).  
      ↪astype(np.float32)  
x = np.ascontiguousarray(points[:,0])  
y = np.ascontiguousarray(points[:,1])
```

```
[17]: _ = plt.hist(gpu_arctan2(y, x), bins=200)
```



quantifichiamo il tempo

```
[18]: %timeit np.arctan2(y, x)
```

299 ms ± 5.28 ms per loop (mean ± std. dev. of 7 runs, 1 loop each)

```
[19]: %timeit gpu_arctan2(y, x)
```

36 ms ± 769 µs per loop (mean ± std. dev. of 7 runs, 10 loops each)

visto che ci siamo confrontiamo anche con plain python

```
[20]: %timeit [math.atan2(point[1], point[0]) for point in points]
```

5.12 s ± 49.5 ms per loop (mean ± std. dev. of 7 runs, 1 loop each)

Nelle ufunc (su GPU o meno) che abbiamo visto fino ad ora, l'argomento è un array e il risultato è un array di scalari delle stesse dimensioni ottenuto applicando una funzione su ogni elemento dell'array di input. Vogliamo generalizzare questa cosa permettendo cose piu' complicate, come il fatto che il calcolo avvenga solo su una parte dell'array di input e che l'output possa essere anche un array di dimensioni differenti da quello di input. Si usa guvectorize

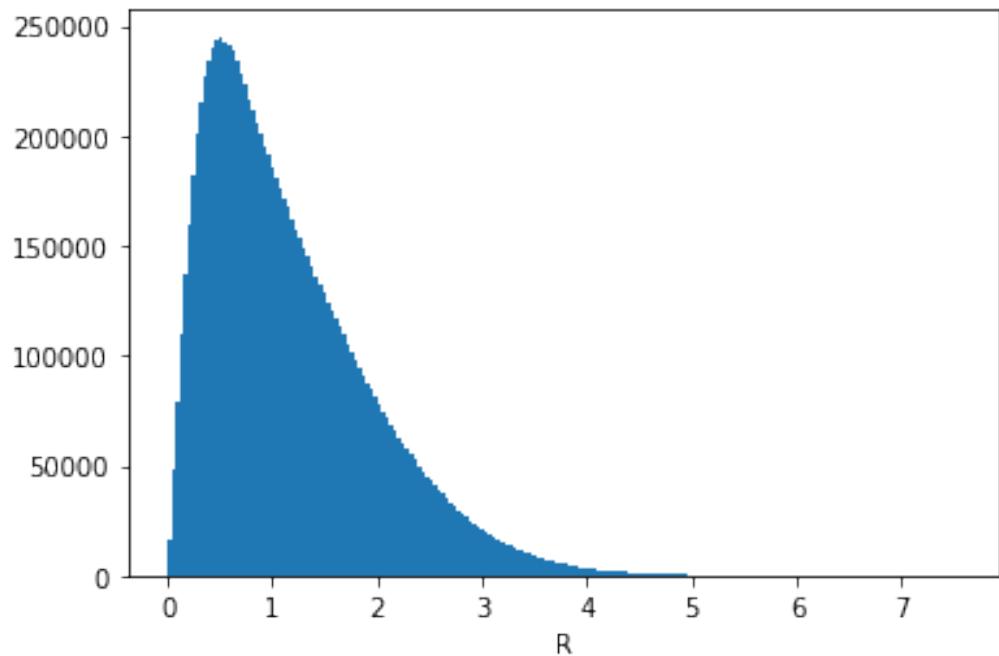
```
[21]: from numba import guvectorize
```

```
@guvectorize([('float32[:, :], float32[:, :])'],
             '(n)->(n)',
             target='cuda')
def gpu_polar(vec, out):
```

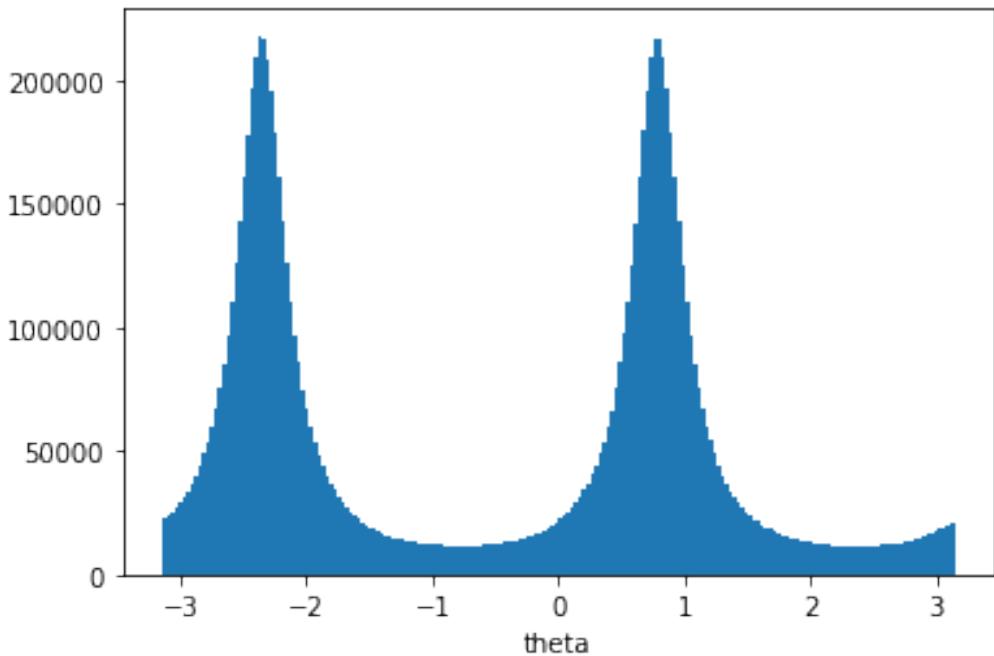
```
x = vec[0]
y = vec[1]
out[0] = math.sqrt(x**2 + y**2)
out[1] = math.atan2(y,x)
```

```
[22]: polar_coords = gpu_polar(points)
```

```
[23]: _ = plt.hist(polar_coords[:,0], bins=200)
_ = plt.xlabel('R')
```



```
[24]: _ = plt.hist(polar_coords[:,1], bins=200)
_ = plt.xlabel('theta')
```



facciamo un altro esempio su guvectorize, ovvero la media per righe in un vettore 2D

```
[25]: @guvectorize([('float32[:, :], float32[:])'],
                  '(n)->()',
                  target='cuda')
def gpu_average(array, out):
    acc = 0
    for val in array:
        acc += val
    out[0] = acc/len(array)
    print(len(array))
```

definiamo il vettore 2D

```
[26]: a = np.arange(100).reshape(20, 5).astype(np.float32)
a
```

```
[26]: array([[ 0.,  1.,  2.,  3.,  4.],
       [ 5.,  6.,  7.,  8.,  9.],
       [10., 11., 12., 13., 14.],
       [15., 16., 17., 18., 19.],
       [20., 21., 22., 23., 24.],
       [25., 26., 27., 28., 29.],
       [30., 31., 32., 33., 34.],
       [35., 36., 37., 38., 39.]])
```

```
[40., 41., 42., 43., 44.],  
[45., 46., 47., 48., 49.],  
[50., 51., 52., 53., 54.],  
[55., 56., 57., 58., 59.],  
[60., 61., 62., 63., 64.],  
[65., 66., 67., 68., 69.],  
[70., 71., 72., 73., 74.],  
[75., 76., 77., 78., 79.],  
[80., 81., 82., 83., 84.],  
[85., 86., 87., 88., 89.],  
[90., 91., 92., 93., 94.],  
[95., 96., 97., 98., 99.]], dtype=float32)
```

```
[27]: gpu_average(a)
```

```
/usr/local/lib/python3.7/dist-packages/numba/cuda/dispatcher.py:488:  
NumbaPerformanceWarning: Grid size 1 will likely result in GPU under-utilization  
due to low occupancy.  
    warn(NumbaPerformanceWarning(msg))
```

```
[27]: array([ 2.,  7., 12., 17., 22., 27., 32., 37., 42., 47., 52., 57., 62.,  
       67., 72., 77., 82., 87., 92., 97.], dtype=float32)
```

## 10 Generare il PDF del Notebook

```
[ ]: !apt-get install texlive texlive-xetex texlive-latex-extra pandoc  
!pip install pypandoc
```

si deve montare il proprio google drive (seguire il link per ottenere la chiave di accesso)

```
[29]: from google.colab import drive  
drive.mount('/content/drive')
```

Drive already mounted at /content/drive; to attempt to forcibly remount, call  
drive.mount("/content/drive", force\_remount=True).

si deve copiare il notebook nella directory della macchina virtuale

```
[30]: !cp "drive/My Drive/Colab Notebooks/handson_gpu_2022.ipynb" ./
```

ora si puo' convertire in pdf

```
[ ]: !jupyter nbconvert --to PDF "handson_gpu_2022.ipynb"
```

scaricare il file pdf prodotto dal menu files nel pannello di sinistra (premere il destro sul file e fare download)

```
[ ]: !jupyter nbconvert --to LaTeX "handson_gpu_2022.ipynb"
```

# Chapter 3

## Machine Learning

*Gio 3 novembre - Lezione 12*

### Introduction to Machine Learning

#### Topics

1. Introduction to machine learning
  - (a) Basic concepts: loss, overfit, underfit
  - (b) Examples of linear regression, boosted decision trees
  - (c) Exercise with colab, numpy, scikit
2. Deep Neural Networks
  - (a) Basic FeedForward networks and backpropagation
  - (b) Importance of depth, gradient descent, optimizers
  - (c) Introduction to tools and first exercises
3. Convolutional and Recurrent networks
  - (a) Reduction of complexity with invariance: RNN and CNN
  - (b) CNN exercise
4. Autoencoders and Generative Adversarial Networks
  - (a) GAN exercises
5. Graph Neural Networks
  - (a) PointCloud exercise

#### Machine Learning Basics

**Wikipedia:** Machine learning (ML) is a field of inquiry devoted to understanding and building methods that '*learn*', that is, methods that leverage data to improve performance on some set of tasks. It is seen as a part of *artificial intelligence*. Machine learning algorithms build a model based on sample data, known as training data, in order to make predictions or decisions **without being explicitly programmed to do so**. Machine learning algorithms are used in a wide variety of applications, such as in medicine, email filtering, speech recognition, and computer vision, where it is difficult or unfeasible to develop conventional algorithms to perform the needed tasks.

Noi siamo abituati a dare al computer degli ordini imperativi.

In experimental and applied physics examples are everywhere...

- Particle identification and kinematic measurement

- Signal to background discrimination (BDT and DNN are very popular in HEP experiments)
- Computer assisted processing of medical exams (ECG, CT, etc...)
- Processing of astrophysics data

## Types of typical ML problems

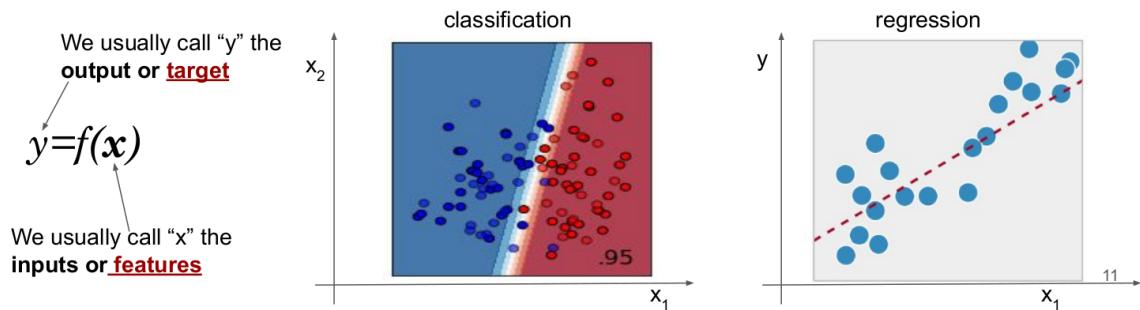
- **Classification:** which category a given input belongs to.
- **Regression:** value of a real variable given the input.
- **Clustering:** group similar samples
- **Anomaly detection:** identify inputs that are “different” from the others
- **Generation/synthesis of samples:** produce new samples, similar to the original data, starting from noise/random numbers
- **Denoising:** remove noise from an input dataset
- **Transcriptions:** describe in some language the input data
- **Translations:** translate between languages
- **Encoding and decoding:** transform input data to a different representation
- ...many more...

## Function approximation

The goal of a ML algorithm is to approximate an unknown function (often related to some Probability Density Function of the data) given some example data.

The function is often  $f(\mathbf{x}) : R^n \rightarrow R^m$  (in many simple problems  $m = 1$ )

- **In classification** we try to approximate the probability for each example, given the inputs represented as a vector  $\mathbf{x}$ , to belong to a given category ( $y$ ) (e.g. the probability to be a LHC Higgs signal event vs a Standard Model background one)
- In **regression** we approximate the function that given the inputs ( $x$ ) returns the value of the variable to predict ( $y$ ) (e.g. given the data read from some particle detectors, estimate the particle energy).



## Model and Hyper-parameters

A model for the functions that can be used to approximate the “ $f(\mathbf{x})$ ” must be defined. The model can be something simple (e.g. sum of polynomials up to degree N) or more complex (e.g. all the functions that could be coded in M lines of C++).

Different ML techniques are based on different “models”:

- Each technique (“class of model”) further allow to specify the exact model

- The parameters describing the exact model are called “hyper-parameters” (e.g. the degree N of the polynomial, or the maximum number of C++ line M can be considered hyper parameters)

We will see example of techniques with different models and complexity: (Linear regression, Decision trees, Principal Component Analysis, Nearest Neighbor, Artificial Neural Networks).

## Parameters

A specific model typically have parameters (e.g. the coefficients of the polynomials or the characters of the 10 lines of C++). Parameters are what we learn from data in the “training phase”. Different models or similar model with different hyper-parameters settings have different n.d.o.f. in the parameters phase space.

$$y(x) = ax + bx^2 + cx^3 + d \quad (\text{a, b, c, d are the parameters})$$

I parametri sono la cosa che voglio imparare nella fase di training. Mentre gli iperparametri li fisso prima di allenare il modello facendogli vedere i dati, i parametri sono invece proprio quelli che imparo.

## Objective function

DObbiamo stabilire una metrica per dire quanto è buona la nostra approssimazione, dati gli esempi su ci ci stamo allenando.

A goal for what is “a good approximation” have to be defined This is called objective function (or loss function or error function . . . ) Is a function that returns higher(or lower) value depending how good or bad the approximation is. Loss functions have to be minimized.

Examples of loss functions:

- Classification problems: binary cross entropy
- Regression problems: Mean Square Error (i.e. the chi2 with sigma=1, I hope you are not surprised by this choice!)

The process is not very different from a typical phys-lab1 chi2 fit... but the number of parameters can be several orders of magnitude larger ( $10^3$  to  $10^6$ ).

### Objective function: binary cross entropy

In classification problems the function to approximate is typically  $R^n \rightarrow [0, 1]$ , Where, for example, 0 means background and 1 means signal.

The binary cross entropy is defined as follows ( $\hat{y}_i$  is the output of the classifier)

$$D_{BCE}(y, \hat{y}) = -\frac{1}{N} \sum_{i=1}^N [y_i \log(\hat{y}_i) + (1-y_i) \log(1-\hat{y}_i)]$$

The above function has large value when an example with  $y=1$  is classified as a  $\hat{y}_i \sim 0$  and no loss when  $\hat{y}_i \sim 1$ . Viceversa if  $y=0$  ...

Minimizing the binary cross-entropy we maximize the likelihood in a process with 0/1 outcome (where the output of the function is interpreted as a probability).

$$\begin{aligned} L &= \prod_i p_i^{y_i} (1-p_i)^{1-y_i} \\ -\log(L) &= -\log\left(\prod_i p_i^{y_i} (1-p_i)^{1-y_i}\right) = -\sum_i [y_i \log(p_i) + (1-y_i) \log(1-p_i)] \end{aligned}$$

## Learning / Training

For a given model, and given set of hyper-parameters, how do we infer the parameters that minimize the objective function? The idea of ML is to get the parameters from “data” in a so called “training” step. Each ML technique has a different approach to training.  
Different types of training:

- **Supervised:** i.e. for each example we know the correct answer
- **Unsupervised:** we do not know “what is what”, we ask the ML algorithm to learn the probability density function of the examples in the features (i.e. the inputs!) space
- **Reinforcement learning:** have agents playing a punishment/reward game

### Supervised learning

We want to teach something we (the supervisors) already know (at least on the training samples). For each example we need to have the “right answer” / “truth”, for example:

- Labels telling if a given example signal or background, typically  $y \in \{0, 1\}$  (e.g. 0=background, 1=signal)
- Labels classifying the content of an image (multiple labels are possible)
- One-hot encoding used when multiple categories are possible:
  - $y=[0 \ 1 \ 0 \ 0]$  means an element of the “2nd class”,  $y=[0 \ 0 \ 0 \ 1]$  means an element of the “4th class”
  - Much better than  $y \in \{0, 1, 2, 3\}$  if class “2” has no reason to be closer to “3” than to “0”
  - Allows interpretation of the output (e.g. [0.1 0.3 0.06 0.001]) as the probability to belong to each of the classes
  - Allow for multi labeling (i.e. one sample can be belong to more than one category)
- In regression problems the “truth” is the “correct values” of some quantity
  - e.g. generated energy of a particle in a detector simulation

Sample can be labelled in various ways:

- Humans labelling existing data
- Data being “generated” from known functions (e.g. simulations)

Learn the probability of the label  $y$ , given the input  $\mathbf{x}$ , i.e.  $P(y|\mathbf{x})$

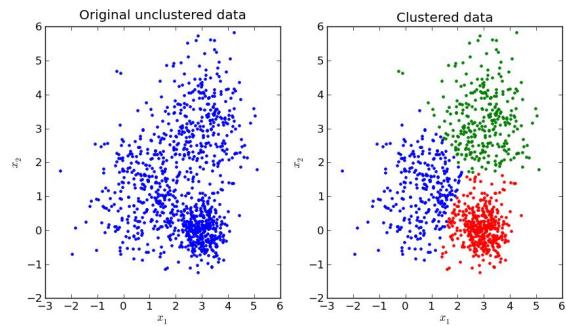


Multi-Class		Multi-Label	
C = 3	Samples	Samples	Samples
Labels (t)	[0 0 1] [1 0 0] [0 1 0]	Labels (t)	[1 0 1] [0 1 0] [1 1 1]

### Unsupervised learning

Often we do not have labels (or we have labels only for few data points). Unsupervised learning techniques allow to train networks that can perform similar tasks as the supervised ones, e.g.

- Classification of “common” patterns (clustering)
- Dimensionality reduction, compression
- Prediction of missing inputs
- Anomaly detection



In practice learn the Probability Density Function of the data, independently of any “label” variable, i.e.  $P(\mathbf{x})$

### Supervised vs unsupervised

Supervised and unsupervised are not as different as one would imagine, in fact.

Unsupervised  $P(\mathbf{x})$  can be seen as  $n$  supervised problems, one for each feature of the input vector

$$p(\mathbf{x}) = \prod_{i=1}^n p(x_i | x_1, \dots, x_{i-1})$$

Supervised  $P(y | \mathbf{x})$  can also be computed, if we treat  $y$  as an “ $\mathbf{x}$ ” in unsupervised learning deriving hence  $p(\mathbf{x}, y)$ , as

$$p(y | \mathbf{x}) = \frac{p(\mathbf{x}, y)}{\sum_y p(\mathbf{x}, y')}$$

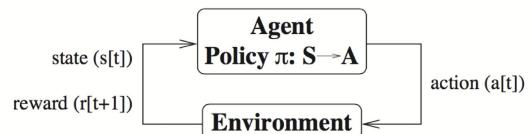
The probability of  $x$  and  $y$  happening

The probability of  $x$  happening (obtained as the sum over all possible  $y$  of “ $x$  and  $y$  happening)

### Reinforcement learning (not covered in this lectures)

Applies to “agents” acting in an “environment” that updates their state.

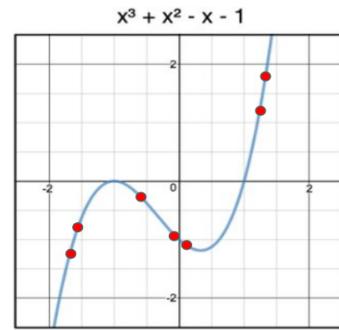
It is similar to supervised learning as a “reward” has to be calculated. The supervisor anyhow doesn’t necessarily know what is the best action to perform in a given state to interact with the environment, it just computes the final reward. Learn to make best decision in a given situation



- The right move in chess or go match
- Drive a car in the traffic
- Etc.

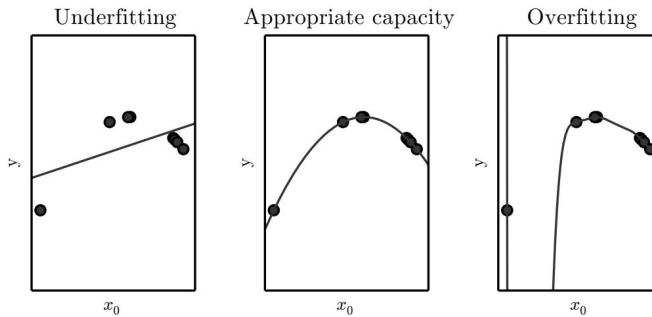
### Capacity and representational power

Different models (i.e. ML techniques/hyper-parameters values) allow to represent different type of functions. Models with more free parameters typically can approximate a larger number of functions (or can better approximate a given function) => higher capacity. Remember: we do not know the actual function to approximate, we just want to learn from examples. With limited samples we have a tradeoff to handle: accuracy in representation vs generalization of the results.

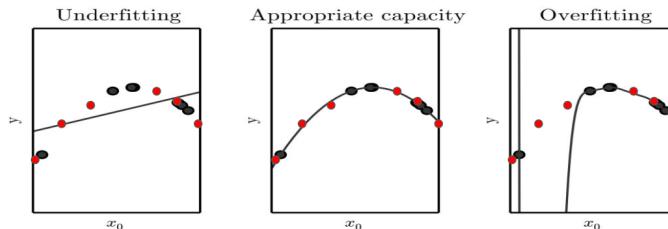


**Underfitting:** the sample is badly represented.

**Overfitting / Appropriate capacity** are less obvious to define.  
(Lack of “generalization” → overfitting).

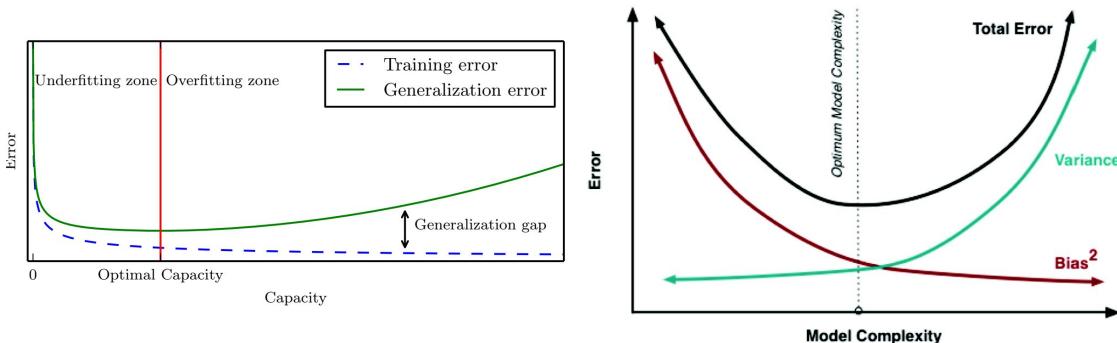


Typical method is to check on independent sample for the same process (Or just split your sample in two and use only half for training).



## Generalization

We can compare the accuracy between the “training” sample and the “generalization/validation” sample.



### Bias/variance trade-off

- $y$ : function (with random noise)
- $h(x)$ : approximated function

$$E[(y - h(x))^2] = E[(y - \bar{y})^2] + E[(\bar{y} - \tilde{h}(x))^2] + E[(h(x) - \tilde{h}(x))^2]$$

Noise      Bias Squared      Variance

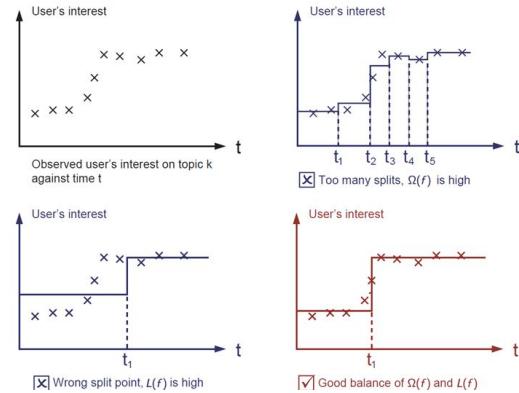
## Regularization

Vogliamo evitare che "impari a memoria" il set.

In order to control the "generalization gap", the objective function can be modified adding a regularization term (Introduce a "cost" in increasing the capacity of the model or in accessing some parts of the model-parameters space).

the examples in training dataset can be increased with augmentation techniques:

- Adding stochastic noise to existing examples
- Transforming the existing examples with transformation that are known to be invariant for the solution we look for



<https://xgboost.readthedocs.io/en/latest/tutorials/model.html>

## Hyperparameters(model) optimization

It is normal to have to test a few, if not several, configurations in the model hyper-parameter space:

- Scans of hyper-parameters are often performed
- Different techniques used

Effectively a "second" minimization is done

- First minimization is on the parameter => minimize on the "training dataset"
- Second minimization is on the hyper-parameters => minimize on the "validation dataset"

A third dataset ("test dataset") is then also needed

- To assess the performance of the algorithm in an unbiased way
- To make an unbiased prediction of the algorithm output

Original dataset is typically split in uneven parts to be used as *training*, *validation* and *test*



## K-folding cross validation

If the sample is statistically limited, splitting in 3 chunks means loosing examples.

With K-folding, "K" independent trainings are performed, each using a different chunk of data for "training" and for "testing" (and another one for validation if a hyper parameter scan is performed)

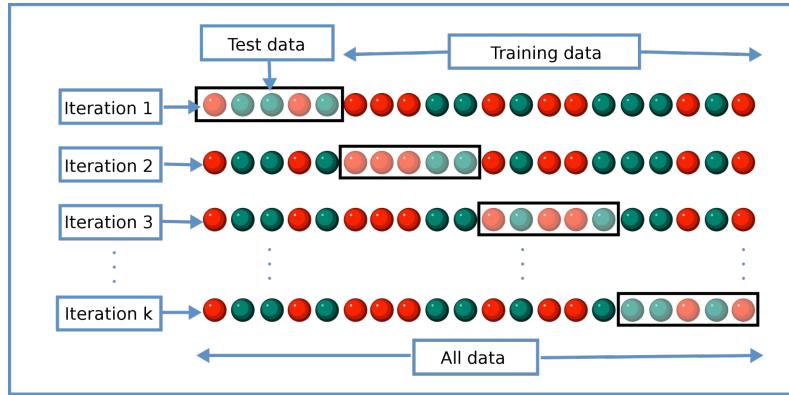
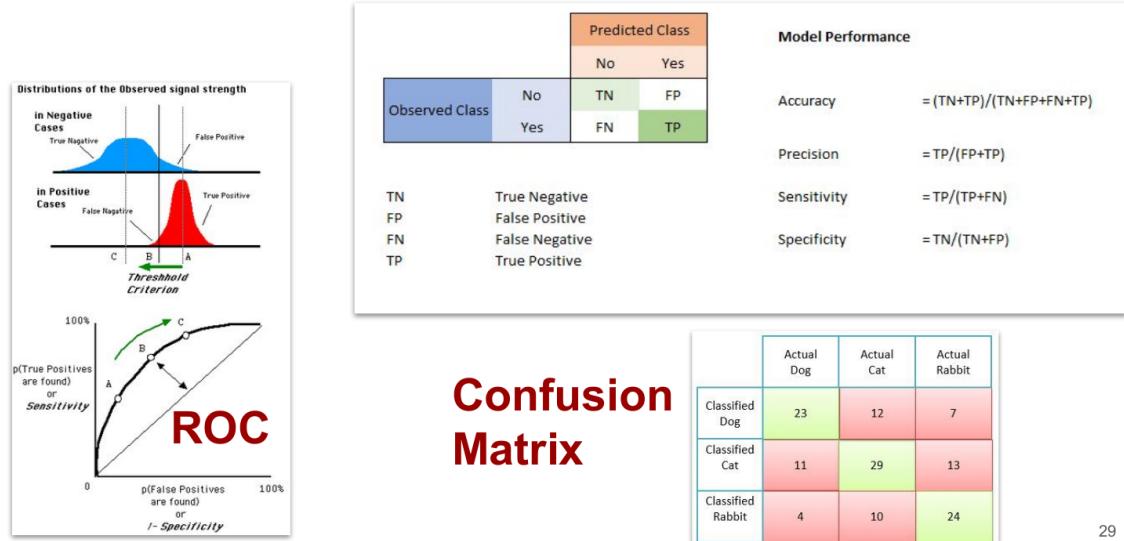


Figure 3.2: Nella figura i dati sono divisi solo in 2 (test e training), ma si possono dividere in 3 come abbiamo visto prima

## Inference

A ML model that has been trained can than be used to act on some new data (or on the test dataset if a prediction has to be made). The evaluation of the algorithm output on the “unseen” data is called inference. From a computing time point of view inference is usually much faster than training.

## Accuracy, Precision, Sensitivity, Specificity



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## Examples of ML techniques

### Linear regression (Supervised)

Solve a regression problem, i.e. predict the value of  $y$  when  $\mathbf{x}$  is given. Approximate an unknown “ $y=f(\mathbf{x})$ ” given some examples of  $(y, \mathbf{x})$

**Model:**  $y = w_i x_i$ , i.e. the function is a linear combination of the input parameters

**Parameters:**  $w_i$

Let's suppose we have  $m$  examples in the form of pairs  $(\mathbf{x}, y)_j$

The **objective function** can be the mean squared error,  $MSE = |y_j - w_i x_{ij}|^2 / m$

**Training:** find the parameters  $w_i$  that minimize the MSE on the given dataset. Linear regression have an analytical solution (i.e. a minimum for the MSE) that can be computed by requiring the gradient of the MSE to be zero (if you want to see the math [https://en.wikipedia.org/wiki/Linear\\_regression#Least-squares\\_estimation\\_and\\_related\\_techniques](https://en.wikipedia.org/wiki/Linear_regression#Least-squares_estimation_and_related_techniques)).

We could increase the **capacity** of the model using polynomials instead of linear functions. The number of parameters would increase as we now would have the second order coefficients too

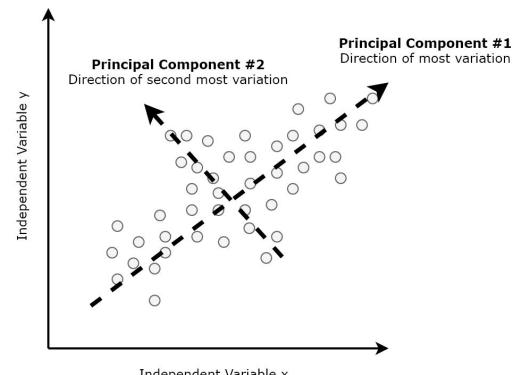
## Principal Component Analysis (aka PCA) (Unsupervised)

Orthogonal transformation of the input phase space such that

- The first transformed coordinate has maximum variance
- The 2nd transformed coordinate has 2nd max variance
- etc.

Can be computed as the eigenvalue decomposition of the covariance matrix

$$\sigma_{ij}^2 = \frac{1}{n} \sum_{h=1}^n (x_{hi} - \mu_i)(x_{hj} - \mu_j),$$



Useful to transform the data in a normalized form (scaling by the variance of each component).

Reduce dimensionality (by taking only first N components) capturing only the largest deviations from the mean value.

More complex dimensionality reduction Manifold Learning: <https://github.com/jakevdp/PythonDataScienceHandbook/blob/master/notebooks/05.10-Manifold-Learning.ipynb>

## Nearest neighbors

A very powerful way to do classification or regression is to look at points in the training datasets that are close to sample to evaluate. Multiple neighbors can be used for a more stable evaluation. On large dataset it could be a problem to keep all training points for the evaluation phase.

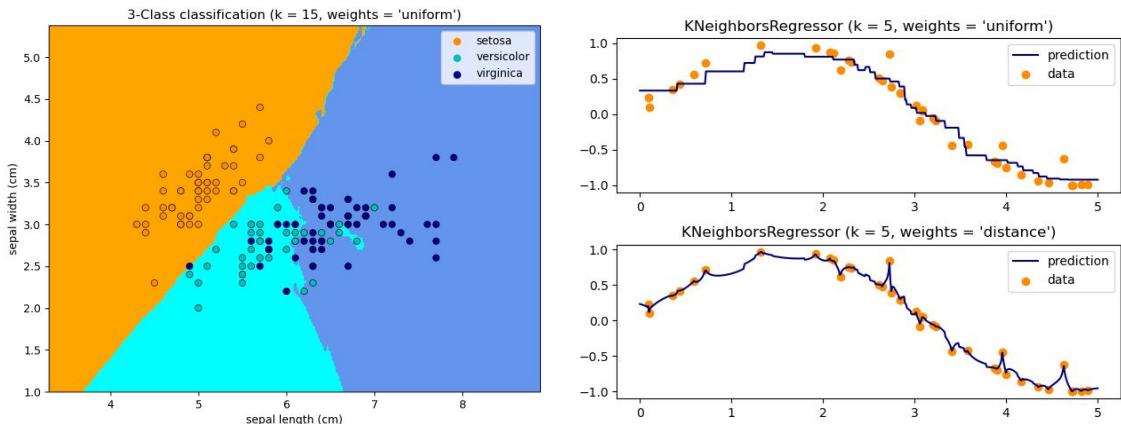


Figure 3.3: Figures from <https://scikit-learn.org/stable/modules/neighbors.html>.

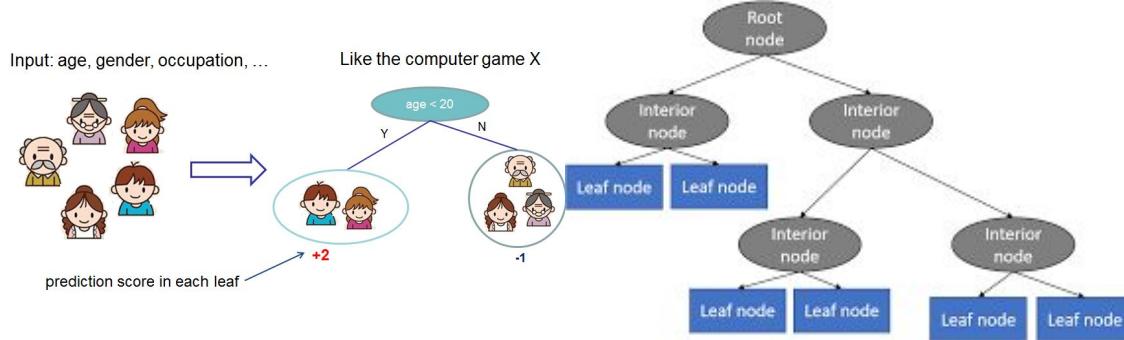
## Decision trees

The functions used in the “model” are decision trees, each node has a pass/fail condition on some input variable.

### Classification and regression trees (CART)

- Examples are categorized based on individual “cuts” on a single input feature
- A score is given in each leaf

Trees can have different depths (depth is an hyper-parameter)



<https://xgboost.readthedocs.io/en/latest/tutorials/model.html>

## Ensembles of trees

A single tree is typically not a very performant.  
Combine multiple trees (#trees is an hyperpar)

- Random forest (bagging)
- Gradient boosting
- Adaptive boosting

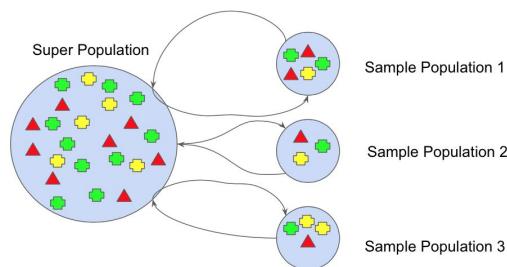
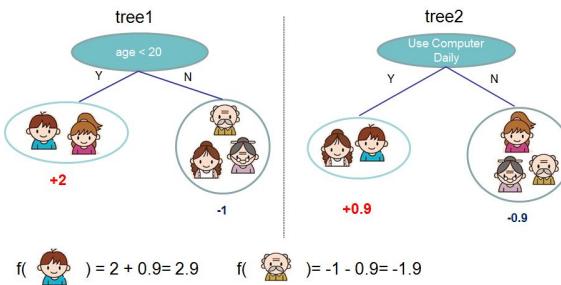


Figure 3.5: Bagging

$$\begin{aligned}
 \hat{y}_i^{(0)} &= 0 \\
 \hat{y}_i^{(1)} &= f_1(x_i) = \hat{y}_i^{(0)} + f_1(x_i) \\
 \hat{y}_i^{(2)} &= f_1(x_i) + f_2(x_i) = \hat{y}_i^{(1)} + f_2(x_i) \\
 &\dots \\
 \hat{y}_i^{(t)} &= \sum_{k=1}^t f_k(x_i) = \hat{y}_i^{(t-1)} + f_t(x_i)
 \end{aligned}$$

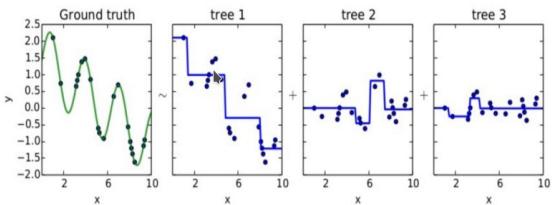
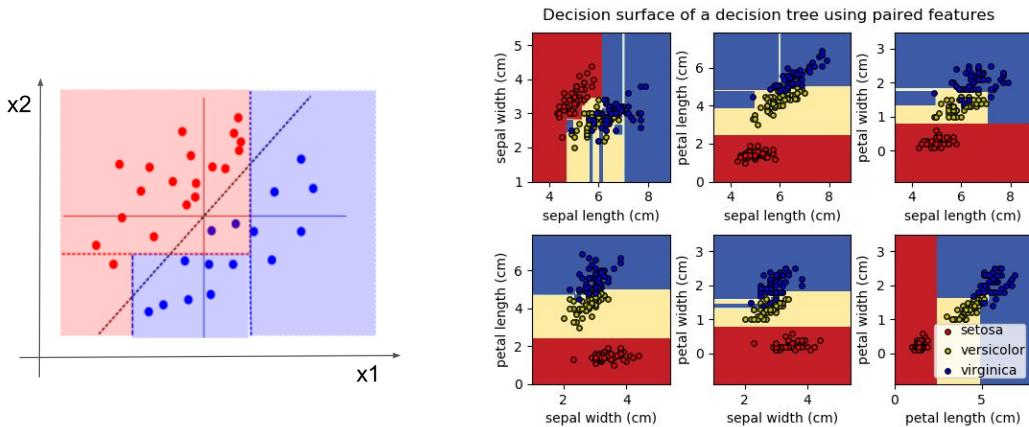


Figure 3.6: Gradient Boosting

## Limitations of decision trees

Cuts are axis aligned!

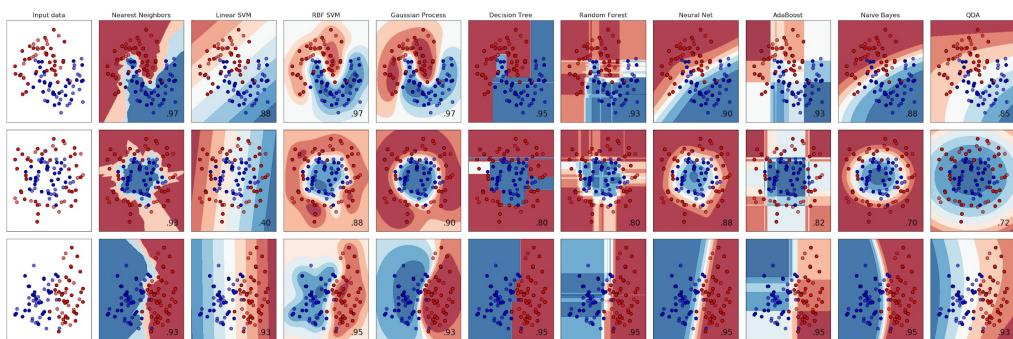
Classification of  $x_1 > x_2$  is a hard problem for a decision tree



## Many more ML techniques!

Scikit-learn library offers many ML techniques implementation in python.

[https://scikit-learn.org/stable/auto\\_examples/classification/plot\\_classifier\\_comparison.html#sphx-glr-auto-examples-classification-plot-classifier-comparison-py](https://scikit-learn.org/stable/auto_examples/classification/plot_classifier_comparison.html#sphx-glr-auto-examples-classification-plot-classifier-comparison-py)



## What do we need to create our first ML program

-Load some data

- We use numpy arrays as data structures, today we load data from some existing repositories
- We need an “X” and a “y” array for input data and for labels (for a supervised algorithm)

- Different examples on the same dataset are placed in ROWS
    - Rows are corresponding to the first index in numpy multi index array (aka tensor)
    - Columns correspond to different “input features”
- Use some existing library implementing a ML algorithm (Python library exists for almost any ML algorithm).
- Feed the data to the library (We need to understand for each library how you run the “training” step)

Check the result: We need to understand how to do the inference of a trained model, for example on a new sample or on a new dataset.

## Hands-on

First exercise is taken from Python Data Science Handbook by Jake VanderPlas with some minor edits (the content is available on GitHub Click here and “make a copy” to be able to edit: [https://colab.research.google.com/drive/1SqN5fuiB5-2EP6UKUmwqjQd\\_b3uUNu2r?usp=sharing](https://colab.research.google.com/drive/1SqN5fuiB5-2EP6UKUmwqjQd_b3uUNu2r?usp=sharing)  
NB: the example uses scikit learn library, that we will NOT use in the next lectures

# Copy\_of\_Scikit-Learn

November 3, 2022

*This notebook contains an excerpt from the [Python Data Science Handbook](#) by Jake VanderPlas; the content is available [on GitHub](#).*

## 1 Introducing Scikit-Learn

There are several Python libraries which provide solid implementations of a range of machine learning algorithms. One of the best known is [Scikit-Learn](#), a package that provides efficient versions of a large number of common algorithms. Scikit-Learn is characterized by a clean, uniform, and streamlined API, as well as by very useful and complete online documentation. A benefit of this uniformity is that once you understand the basic use and syntax of Scikit-Learn for one type of model, switching to a new model or algorithm is very straightforward.

This section provides an overview of the Scikit-Learn API; a solid understanding of these API elements will form the foundation for understanding the deeper practical discussion of machine learning algorithms and approaches in the following chapters.

We will start by covering *data representation* in Scikit-Learn, followed by covering the *Estimator* API, and finally go through a more interesting example of using these tools for exploring a set of images of hand-written digits.

### 1.1 Data Representation in Scikit-Learn

Machine learning is about creating models from data: for that reason, we'll start by discussing how data can be represented in order to be understood by the computer. The best way to think about data within Scikit-Learn is in terms of tables of data.

#### 1.1.1 Data as table

A basic table is a two-dimensional grid of data, in which the rows represent individual elements of the dataset, and the columns represent quantities related to each of these elements. For example, consider the [Iris dataset](#), famously analyzed by Ronald Fisher in 1936. We can download this dataset in the form of a Pandas `DataFrame` using the [seaborn](#) library:

```
[52]: import seaborn as sns  
iris = sns.load_dataset('iris')  
print(iris)
```

```
#iris.head()
import numpy as np
iris_np=np.array(iris)
print(iris_np)
```

	sepal_length	sepal_width	petal_length	petal_width	species
0	5.1	3.5	1.4	0.2	setosa
1	4.9	3.0	1.4	0.2	setosa
2	4.7	3.2	1.3	0.2	setosa
3	4.6	3.1	1.5	0.2	setosa
4	5.0	3.6	1.4	0.2	setosa
..	..	..	..	..	..
145	6.7	3.0	5.2	2.3	virginica
146	6.3	2.5	5.0	1.9	virginica
147	6.5	3.0	5.2	2.0	virginica
148	6.2	3.4	5.4	2.3	virginica
149	5.9	3.0	5.1	1.8	virginica

[150 rows x 5 columns]

```
[[5.1 3.5 1.4 0.2 'setosa']
 [4.9 3.0 1.4 0.2 'setosa']
 [4.7 3.2 1.3 0.2 'setosa']
 [4.6 3.1 1.5 0.2 'setosa']
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 [5.2 3.5 1.5 0.2 'setosa']]
```

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[5.5 4.2 1.4 0.2 'setosa']
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[6.9 3.1 4.9 1.5 'versicolor']
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[6.5 2.8 4.6 1.5 'versicolor']
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[6.3 2.5 4.9 1.5 'versicolor']
[6.1 2.8 4.7 1.2 'versicolor']
[6.4 2.9 4.3 1.3 'versicolor']
[6.6 3.0 4.4 1.4 'versicolor']
```

```
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[6.0 2.9 4.5 1.5 'versicolor']
[5.7 2.6 3.5 1.0 'versicolor']
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[5.5 2.4 3.7 1.0 'versicolor']
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[5.5 2.6 4.4 1.2 'versicolor']
[6.1 3.0 4.6 1.4 'versicolor']
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[6.2 2.9 4.3 1.3 'versicolor']
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[7.3 2.9 6.3 1.8 'virginica']
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[6.4 2.7 5.3 1.9 'virginica']
[6.8 3.0 5.5 2.1 'virginica']
[5.7 2.5 5.0 2.0 'virginica']
[5.8 2.8 5.1 2.4 'virginica']
[6.4 3.2 5.3 2.3 'virginica']
[6.5 3.0 5.5 1.8 'virginica']
[7.7 3.8 6.7 2.2 'virginica']
[7.7 2.6 6.9 2.3 'virginica']
[6.0 2.2 5.0 1.5 'virginica']
[6.9 3.2 5.7 2.3 'virginica']
[5.6 2.8 4.9 2.0 'virginica']
[7.7 2.8 6.7 2.0 'virginica']
[6.3 2.7 4.9 1.8 'virginica']
```

```
[6.7 3.3 5.7 2.1 'virginica']
[7.2 3.2 6.0 1.8 'virginica']
[6.2 2.8 4.8 1.8 'virginica']
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[7.2 3.0 5.8 1.6 'virginica']
[7.4 2.8 6.1 1.9 'virginica']
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[6.4 2.8 5.6 2.2 'virginica']
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[6.1 2.6 5.6 1.4 'virginica']
[7.7 3.0 6.1 2.3 'virginica']
[6.3 3.4 5.6 2.4 'virginica']
[6.4 3.1 5.5 1.8 'virginica']
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[5.8 2.7 5.1 1.9 'virginica']
[6.8 3.2 5.9 2.3 'virginica']
[6.7 3.3 5.7 2.5 'virginica']
[6.7 3.0 5.2 2.3 'virginica']
[6.3 2.5 5.0 1.9 'virginica']
[6.5 3.0 5.2 2.0 'virginica']
[6.2 3.4 5.4 2.3 'virginica']
[5.9 3.0 5.1 1.8 'virginica']]
```

Here each row of the data refers to a single observed flower, and the number of rows is the total number of flowers in the dataset. In general, we will refer to the rows of the matrix as *samples*, and the number of rows as `n_samples`.

Likewise, each column of the data refers to a particular quantitative piece of information that describes each sample. In general, we will refer to the columns of the matrix as *features*, and the number of columns as `n_features`.

**Features matrix** This table layout makes clear that the information can be thought of as a two-dimensional numerical array or matrix, which we will call the *features matrix*. By convention, this features matrix is often stored in a variable named `X`. The features matrix is assumed to be two-dimensional, with shape `[n_samples, n_features]`, and is most often contained in a NumPy array or a Pandas `DataFrame`, though some Scikit-Learn models also accept SciPy sparse matrices.

The samples (i.e., rows) always refer to the individual objects described by the dataset. For example, the sample might be a flower, a person, a document, an image, a sound file, a video, an astronomical object, or anything else you can describe with a set of quantitative measurements.

The features (i.e., columns) always refer to the distinct observations that describe each sample in a quantitative manner. Features are generally real-valued, but may be Boolean or discrete-valued in some cases.

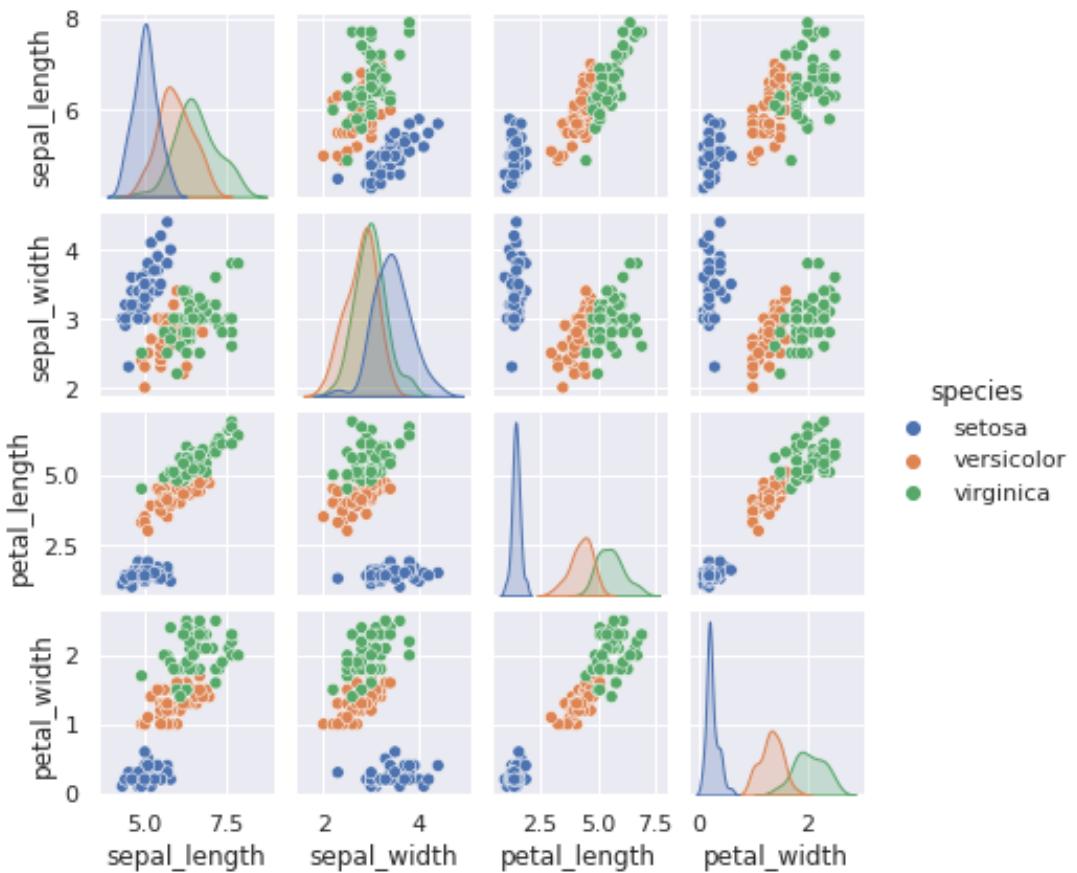
**Target array** In addition to the feature matrix `X`, we also generally work with a *label* or *target* array, which by convention we will usually call `y`. The target array is usually one dimensional, with length `n_samples`, and is generally contained in a NumPy array or Pandas `Series`. The target array may have continuous numerical values, or discrete classes/labels. While some Scikit-Learn estimators do handle multiple target values in the form of a two-dimensional, `[n_samples, n_targets]` target array, we will primarily be working with the common case of a one-dimensional target array.

Often one point of confusion is how the target array differs from the other features columns. The distinguishing feature of the target array is that it is usually the quantity we want to *predict from the data*: in statistical terms, it is the dependent variable. For example, in the preceding data we may wish to construct a model that can predict the species of flower based on the other measurements; in this case, the `species` column would be considered the target array.

With this target array in mind, we can use Seaborn (see [Visualization With Seaborn](#)) to conveniently visualize the data:

```
[53]: %matplotlib inline
import seaborn as sns; sns.set()
sns.pairplot(iris, hue='species', size=1.5);
```

```
/usr/local/lib/python3.7/dist-packages/seaborn/axisgrid.py:2076: UserWarning:
The `size` parameter has been renamed to `height`; please update your code.
warnings.warn(msg, UserWarning)
```



For use in Scikit-Learn, we will extract the features matrix and target array from the `DataFrame`, which we can do using some of the Pandas `DataFrame` operations discussed in the [Chapter 3](#):

```
[54]: #X_iris = iris.drop('species', axis=1)
print(iris_np.shape)
print(iris_np[:10]) #first 10 lines
X_iris=iris_np[:,0:-1]
print(X_iris.shape)
print(X_iris[:10]) #first 10 lines
```

```
(150, 5)
[[5.1 3.5 1.4 0.2 'setosa']
 [4.9 3.0 1.4 0.2 'setosa']
 [4.7 3.2 1.3 0.2 'setosa']
 [4.6 3.1 1.5 0.2 'setosa']
 [5.0 3.6 1.4 0.2 'setosa']
 [5.4 3.9 1.7 0.4 'setosa']
 [4.6 3.4 1.4 0.3 'setosa']
 [5.0 3.4 1.5 0.2 'setosa']]
```

```
[4.4 2.9 1.4 0.2 'setosa']
[4.9 3.1 1.5 0.1 'setosa']]
```

(150, 4)

```
[[5.1 3.5 1.4 0.2]
 [4.9 3.0 1.4 0.2]
 [4.7 3.2 1.3 0.2]
 [4.6 3.1 1.5 0.2]
 [5.0 3.6 1.4 0.2]
 [5.4 3.9 1.7 0.4]
 [4.6 3.4 1.4 0.3]
 [5.0 3.4 1.5 0.2]
 [4.4 2.9 1.4 0.2]
 [4.9 3.1 1.5 0.1]]
```

```
[55]: y_iris = iris_np[:, -1]
print(y_iris.shape)
print(y_iris)
```

```
(150,)
```

```
['setosa' 'setosa' 'setosa' 'setosa' 'setosa' 'setosa' 'setosa' 'setosa'
 'setosa' 'setosa' 'versicolor' 'versicolor' 'versicolor' 'versicolor'
 'versicolor' 'virginica' 'virginica' 'virginica' 'virginica' 'virginica'
 'virginica' 'virginica' 'virginica' 'virginica' 'virginica' 'virginica']
```

With this data properly formatted, we can move on to consider the *estimator* API of Scikit-Learn:

## 1.2 Scikit-Learn’s Estimator API

The Scikit-Learn API is designed with the following guiding principles in mind, as outlined in the [Scikit-Learn API paper](#):

- *Consistency*: All objects share a common interface drawn from a limited set of methods, with consistent documentation.
- *Inspection*: All specified parameter values are exposed as public attributes.
- *Limited object hierarchy*: Only algorithms are represented by Python classes; datasets are represented in standard formats (NumPy arrays, Pandas `DataFrames`, SciPy sparse matrices) and parameter names use standard Python strings.
- *Composition*: Many machine learning tasks can be expressed as sequences of more fundamental algorithms, and Scikit-Learn makes use of this wherever possible.
- *Sensible defaults*: When models require user-specified parameters, the library defines an appropriate default value.

In practice, these principles make Scikit-Learn very easy to use, once the basic principles are understood. Every machine learning algorithm in Scikit-Learn is implemented via the Estimator API, which provides a consistent interface for a wide range of machine learning applications.

### 1.2.1 Basics of the API

Most commonly, the steps in using the Scikit-Learn estimator API are as follows (we will step through a handful of detailed examples in the sections that follow).

1. Choose a class of model by importing the appropriate estimator class from Scikit-Learn.
2. Choose model hyperparameters by instantiating this class with desired values.
3. Arrange data into a features matrix and target vector following the discussion above.
4. Fit the model to your data by calling the `fit()` method of the model instance.
5. Apply the Model to new data:
  - For supervised learning, often we predict labels for unknown data using the `predict()` method.
  - For unsupervised learning, we often transform or infer properties of the data using the `transform()` or `predict()` method.

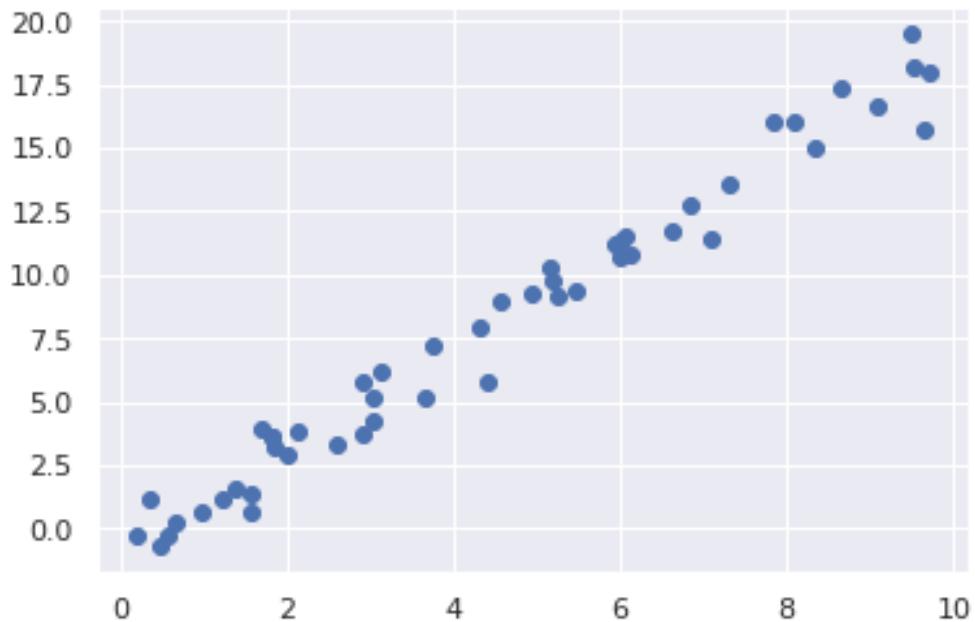
We will now step through several simple examples of applying supervised and unsupervised learning methods.

### 1.2.2 Supervised learning example: Simple linear regression

As an example of this process, let’s consider a simple linear regression—that is, the common case of fitting a line to  $(x, y)$  data. We will use the following simple data for our regression example:

```
[56]: import matplotlib.pyplot as plt
import numpy as np
```

```
rng = np.random.RandomState(42)
x = 10 * rng.rand(50)
y = 2 * x - 1 + rng.randn(50)
plt.scatter(x, y);
```



With this data in place, we can use the recipe outlined earlier. Let's walk through the process:

**1. Choose a class of model** In Scikit-Learn, every class of model is represented by a Python class. So, for example, if we would like to compute a simple linear regression model, we can import the linear regression class:

```
[57]: from sklearn.linear_model import LinearRegression
```

Note that other more general linear regression models exist as well; you can read more about them in the [sklearn.linear\\_model module documentation](#).

**2. Choose model hyperparameters** An important point is that *a class of model is not the same as an instance of a model*.

Once we have decided on our model class, there are still some options open to us. Depending on the model class we are working with, we might need to answer one or more questions like the following:

- Would we like to fit for the offset (i.e.,  $y$ -intercept)?
- Would we like the model to be normalized?
- Would we like to preprocess our features to add model flexibility?
- What degree of regularization would we like to use in our model?

- How many model components would we like to use?

These are examples of the important choices that must be made *once the model class is selected*. These choices are often represented as *hyperparameters*, or parameters that must be set before the model is fit to data. In Scikit-Learn, hyperparameters are chosen by passing values at model instantiation. We will explore how you can quantitatively motivate the choice of hyperparameters in [Hyperparameters and Model Validation](#).

For our linear regression example, we can instantiate the `LinearRegression` class and specify that we would like to fit the intercept using the `fit_intercept` hyperparameter:

```
[58]: model = LinearRegression(fit_intercept=True)
model
```

```
[58]: LinearRegression()
```

Keep in mind that when the model is instantiated, the only action is the storing of these hyperparameter values. In particular, we have not yet applied the model to any data: the Scikit-Learn API makes very clear the distinction between *choice of model* and *application of model to data*.

**3. Arrange data into a features matrix and target vector** Previously we detailed the Scikit-Learn data representation, which requires a two-dimensional features matrix and a one-dimensional target array. Here our target variable `y` is already in the correct form (a length-`n_samples` array), but we need to massage the data `x` to make it a matrix of size [`n_samples`, `n_features`]. In this case, this amounts to a simple reshaping of the one-dimensional array:

```
[59]: print(x.shape)
X = x.reshape(-1,1)    #alternative x[:, np.newaxis]
print(X.shape)
```

```
(50,)
(50, 1)
```

**4. Fit the model to your data** Now it is time to apply our model to data. This can be done with the `fit()` method of the model:

```
[60]: model.fit(X, y)
```

```
[60]: LinearRegression()
```

This `fit()` command causes a number of model-dependent internal computations to take place, and the results of these computations are stored in model-specific attributes that the user can explore. In Scikit-Learn, by convention all model parameters that were learned during the `fit()` process have trailing underscores; for example in this linear model, we have the following:

```
[61]: model.coef_
```

```
[61]: array([1.9776566])
```

```
[62]: model.intercept_
```

```
[62]: -0.9033107255311146
```

These two parameters represent the slope and intercept of the simple linear fit to the data. Comparing to the data definition, we see that they are very close to the input slope of 2 and intercept of -1.

One question that frequently comes up regards the uncertainty in such internal model parameters. In general, Scikit-Learn does not provide tools to draw conclusions from internal model parameters themselves: interpreting model parameters is much more a *statistical modeling* question than a *machine learning* question. Machine learning rather focuses on what the model *predicts*. If you would like to dive into the meaning of fit parameters within the model, other tools are available, including the [Statsmodels Python package](#).

**5. Predict labels for unknown data** Once the model is trained, the main task of supervised machine learning is to evaluate it based on what it says about new data that was not part of the training set. In Scikit-Learn, this can be done using the `predict()` method. For the sake of this example, our "new data" will be a grid of  $x$  values, and we will ask what  $y$  values the model predicts:

```
[63]: xfit = np.linspace(-1, 11)
print(xfit)
```

```
[-1.          -0.75510204 -0.51020408 -0.26530612 -0.02040816  0.2244898
 0.46938776  0.71428571  0.95918367  1.20408163  1.44897959  1.69387755
 1.93877551  2.18367347  2.42857143  2.67346939  2.91836735  3.16326531
 3.40816327  3.65306122  3.89795918  4.14285714  4.3877551   4.63265306
 4.87755102  5.12244898  5.36734694  5.6122449   5.85714286  6.10204082
 6.34693878  6.59183673  6.83673469  7.08163265  7.32653061  7.57142857
 7.81632653  8.06122449  8.30612245  8.55102041  8.79591837  9.04081633
 9.28571429  9.53061224  9.7755102   10.02040816 10.26530612 10.51020408
 10.75510204 11.          ]
```

As before, we need to coerce these  $x$  values into a `[n_samples, n_features]` features matrix, after which we can feed it to the model:

```
[64]: print(xfit.shape)
Xfit = xfit.reshape(-1,1)
print(Xfit.shape)
yfit = model.predict(Xfit)
print(yfit)
```

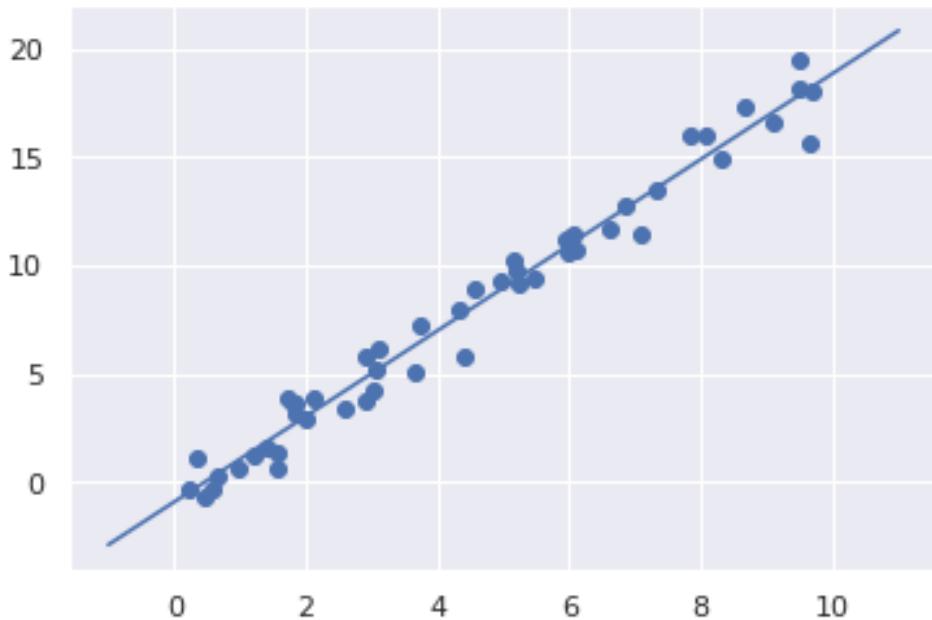
```
(50,)
(50, 1)
[-2.88096733 -2.39664326 -1.9123192  -1.42799513 -0.94367106 -0.459347
 0.02497707  0.50930113  0.9936252   1.47794926  1.96227333  2.44659739
 2.93092146  3.41524552  3.89956959  4.38389366  4.86821772  5.35254179
 5.83686585  6.32118992  6.80551398  7.28983805  7.77416211  8.25848618
 8.74281024  9.22713431  9.71145837  10.19578244 10.68010651 11.16443057
```

```
11.64875464 12.1330787 12.61740277 13.10172683 13.5860509 14.07037496  
14.55469903 15.03902309 15.52334716 16.00767122 16.49199529 16.97631936  
17.46064342 17.94496749 18.42929155 18.91361562 19.39793968 19.88226375  
20.36658781 20.85091188]
```

Finally, let's visualize the results by plotting first the raw data, and then this model fit:

```
[65]: plt.scatter(x, y)  
plt.plot(xfit, yfit)
```

```
[65]: <matplotlib.lines.Line2D at 0x7f63e7281250>
```



```
[66]: print(np.stack((xfit,yfit),axis=1))
```

```
[[ -1.00000000e+00 -2.88096733e+00]  
[ -7.55102041e-01 -2.39664326e+00]  
[ -5.10204082e-01 -1.91231920e+00]  
[ -2.65306122e-01 -1.42799513e+00]  
[ -2.04081633e-02 -9.43671064e-01]  
[  2.24489796e-01 -4.59346999e-01]  
[  4.69387755e-01  2.49770665e-02]  
[  7.14285714e-01  5.09301132e-01]  
[  9.59183673e-01  9.93625197e-01]  
[  1.20408163e+00  1.47794926e+00]  
[  1.44897959e+00  1.96227333e+00]  
[  1.69387755e+00  2.44659739e+00]  
[  1.93877551e+00  2.93092146e+00]
```

```
[ 2.18367347e+00  3.41524552e+00]
[ 2.42857143e+00  3.89956959e+00]
[ 2.67346939e+00  4.38389366e+00]
[ 2.91836735e+00  4.86821772e+00]
[ 3.16326531e+00  5.35254179e+00]
[ 3.40816327e+00  5.83686585e+00]
[ 3.65306122e+00  6.32118992e+00]
[ 3.89795918e+00  6.80551398e+00]
[ 4.14285714e+00  7.28983805e+00]
[ 4.38775510e+00  7.77416211e+00]
[ 4.63265306e+00  8.25848618e+00]
[ 4.87755102e+00  8.74281024e+00]
[ 5.12244898e+00  9.22713431e+00]
[ 5.36734694e+00  9.71145837e+00]
[ 5.61224490e+00  1.01957824e+01]
[ 5.85714286e+00  1.06801065e+01]
[ 6.10204082e+00  1.11644306e+01]
[ 6.34693878e+00  1.16487546e+01]
[ 6.59183673e+00  1.21330787e+01]
[ 6.83673469e+00  1.26174028e+01]
[ 7.08163265e+00  1.31017268e+01]
[ 7.32653061e+00  1.35860509e+01]
[ 7.57142857e+00  1.40703750e+01]
[ 7.81632653e+00  1.45546990e+01]
[ 8.06122449e+00  1.50390231e+01]
[ 8.30612245e+00  1.55233472e+01]
[ 8.55102041e+00  1.60076712e+01]
[ 8.79591837e+00  1.64919953e+01]
[ 9.04081633e+00  1.69763194e+01]
[ 9.28571429e+00  1.74606434e+01]
[ 9.53061224e+00  1.79449675e+01]
[ 9.77551020e+00  1.84292916e+01]
[ 1.00204082e+01  1.89136156e+01]
[ 1.02653061e+01  1.93979397e+01]
[ 1.05102041e+01  1.98822637e+01]
[ 1.07551020e+01  2.03665878e+01]
[ 1.10000000e+01  2.08509119e+01]]
```

Typically the efficacy of the model is evaluated by comparing its results to some known baseline, as we will see in the next example

### 1.2.3 Supervised learning example: Iris classification

Let's take a look at another example of this process, using the Iris dataset we discussed earlier. Our question will be this: given a model trained on a portion of the Iris data, how well can we predict the remaining labels?

For this task, we will use an extremely simple generative model known as Gaussian naive Bayes,

which proceeds by assuming each class is drawn from an axis-aligned Gaussian distribution (see [In Depth: Naive Bayes Classification](#) for more details). Because it is so fast and has no hyperparameters to choose, Gaussian naive Bayes is often a good model to use as a baseline classification, before exploring whether improvements can be found through more sophisticated models.

We would like to evaluate the model on data it has not seen before, and so we will split the data into a *training set* and a *testing set*. This could be done by hand, but it is more convenient to use the `train_test_split` utility function:

```
[67]: from sklearn.model_selection import train_test_split  
Xtrain, Xtest, ytrain, ytest = train_test_split(X_iris, y_iris,  
                                              random_state=1)
```

With the data arranged, we can follow our recipe to predict the labels:

```
[68]: from sklearn.naive_bayes import GaussianNB # 1. choose model class  
model = GaussianNB() # 2. instantiate model  
model.fit(Xtrain, ytrain) # 3. fit model to data  
y_model = model.predict(Xtest) # 4. predict on new data  
  
print(np.stack((y_model,ytest),axis=1))  
print(y_model!=ytest)
```

```
[['setosa' 'setosa']  
 ['versicolor' 'versicolor']  
 ['versicolor' 'versicolor']  
 ['setosa' 'setosa']  
 ['virginica' 'virginica']  
 ['versicolor' 'versicolor']  
 ['virginica' 'virginica']  
 ['setosa' 'setosa']  
 ['setosa' 'setosa']  
 ['virginica' 'virginica']  
 ['versicolor' 'versicolor']  
 ['setosa' 'setosa']  
 ['virginica' 'virginica']  
 ['versicolor' 'versicolor']  
 ['versicolor' 'versicolor']  
 ['setosa' 'setosa']  
 ['versicolor' 'versicolor']  
 ['versicolor' 'versicolor']  
 ['setosa' 'setosa']  
 ['setosa' 'setosa']  
 ['versicolor' 'versicolor']  
 ['versicolor' 'versicolor']  
 ['virginica' 'versicolor']  
 ['setosa' 'setosa']  
 ['virginica' 'virginica']  
 ['versicolor' 'versicolor']
```

```

['setosa' 'setosa']
['setosa' 'setosa']
['versicolor' 'versicolor']
['virginica' 'virginica']
['versicolor' 'versicolor']
['virginica' 'virginica']
['versicolor' 'versicolor']
['virginica' 'virginica']
['virginica' 'virginica']
['setosa' 'setosa']
['versicolor' 'versicolor']
['setosa' 'setosa']]
[False False False False False False False False False False
 False False False False False False False False False True False
 False False False False False False False False False False False
 False False]

```

Finally, we can use the `accuracy_score` utility to see the fraction of predicted labels that match their true value:

```
[69]: from sklearn.metrics import accuracy_score
accuracy_score(ytest, y_model)
```

```
[69]: 0.9736842105263158
```

With an accuracy topping 97%, we see that even this very naive classification algorithm is effective for this particular dataset!

#### 1.2.4 Unsupervised learning example: Iris dimensionality

As an example of an unsupervised learning problem, let's take a look at reducing the dimensionality of the Iris data so as to more easily visualize it. Recall that the Iris data is four dimensional: there are four features recorded for each sample.

The task of dimensionality reduction is to ask whether there is a suitable lower-dimensional representation that retains the essential features of the data. Often dimensionality reduction is used as an aid to visualizing data: after all, it is much easier to plot data in two dimensions than in four dimensions or higher!

Here we will use principal component analysis (PCA; see [In Depth: Principal Component Analysis](#)), which is a fast linear dimensionality reduction technique. We will ask the model to return two components—that is, a two-dimensional representation of the data.

Following the sequence of steps outlined earlier, we have:

```
[70]: from sklearn.decomposition import PCA # 1. Choose the model class
model = PCA(n_components=2) # 2. Instantiate the model with
                           # hyperparameters
```

```

model.fit(X_iris)                      # 3. Fit to data. Notice y is not
                                         ↪specified!
X_2D = model.transform(X_iris)          # 4. Transform the data to two dimensions

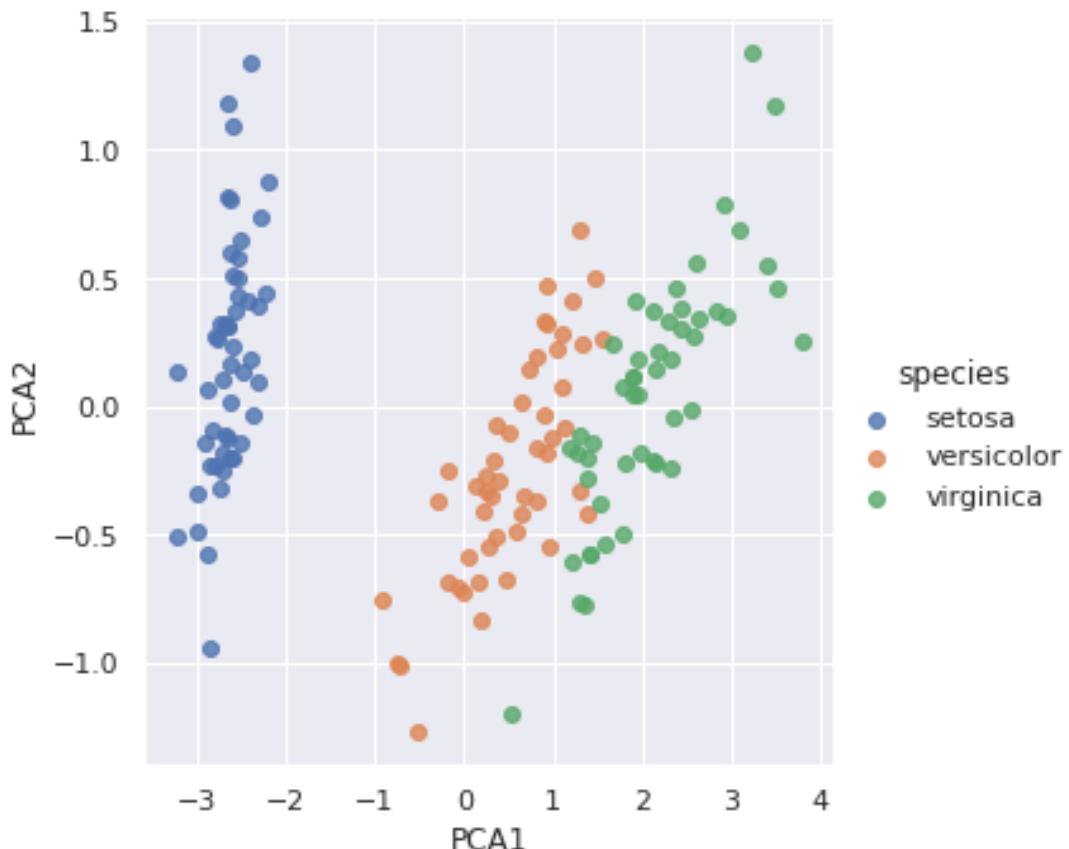
```

Now let's plot the results. A quick way to do this is to insert the results into the original Iris DataFrame, and use Seaborn's lmplot to show the results:

```
[71]: iris['PCA1'] = X_2D[:, 0]
iris['PCA2'] = X_2D[:, 1]
sns.lmplot("PCA1", "PCA2", hue='species', data=iris, fit_reg=False);
```

/usr/local/lib/python3.7/dist-packages/seaborn/\_decorators.py:43: FutureWarning:  
Pass the following variables as keyword args: x, y. From version 0.12, the only  
valid positional argument will be `data`, and passing other arguments without an  
explicit keyword will result in an error or misinterpretation.

FutureWarning



We see that in the two-dimensional representation, the species are fairly well separated, even though the PCA algorithm had no knowledge of the species labels! This indicates to us that a relatively straightforward classification will probably be effective on the dataset, as we saw before.

### 1.2.5 Unsupervised learning: Iris clustering

Let's next look at applying clustering to the Iris data. A clustering algorithm attempts to find distinct groups of data without reference to any labels. Here we will use a powerful clustering method called a Gaussian mixture model (GMM), discussed in more detail in [In Depth: Gaussian Mixture Models](#). A GMM attempts to model the data as a collection of Gaussian blobs.

We can fit the Gaussian mixture model as follows:

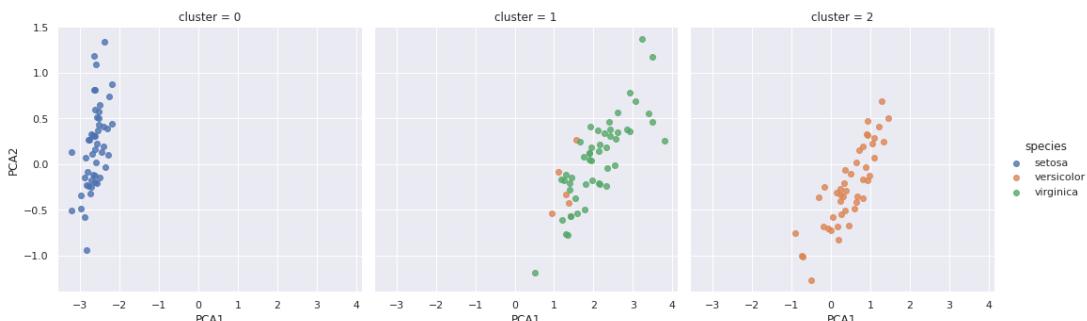
```
[72]: from sklearn.mixture import GaussianMixture      # 1. Choose the model class
model = GaussianMixture(n_components=3,
                        covariance_type='full') # 2. Instantiate the model with
# hyperparameters
model.fit(X_iris)           # 3. Fit to data. Notice y is not
# specified!
y_gmm = model.predict(X_iris) # 4. Determine cluster labels
```

As before, we will add the cluster label to the Iris DataFrame and use Seaborn to plot the results:

```
[73]: iris['cluster'] = y_gmm
sns.lmplot("PCA1", "PCA2", data=iris, hue='species',
            col='cluster', fit_reg=False);
```

```
/usr/local/lib/python3.7/dist-packages/seaborn/_decorators.py:43: FutureWarning:
Pass the following variables as keyword args: x, y. From version 0.12, the only
valid positional argument will be `data`, and passing other arguments without an
explicit keyword will result in an error or misinterpretation.
```

```
FutureWarning
```



By splitting the data by cluster number, we see exactly how well the GMM algorithm has recovered the underlying label: the *setosa* species is separated perfectly within cluster 0, while there remains a small amount of mixing between *versicolor* and *virginica*. This means that even without an expert to tell us the species labels of the individual flowers, the measurements of these flowers are distinct enough that we could *automatically* identify the presence of these different groups of species with a simple clustering algorithm! This sort of algorithm might further give experts in the field clues as to the relationship between the samples they are observing.

## 1.3 Application: Exploring Hand-written Digits

To demonstrate these principles on a more interesting problem, let's consider one piece of the optical character recognition problem: the identification of hand-written digits. In the wild, this problem involves both locating and identifying characters in an image. Here we'll take a shortcut and use Scikit-Learn's set of pre-formatted digits, which is built into the library.

### 1.3.1 Loading and visualizing the digits data

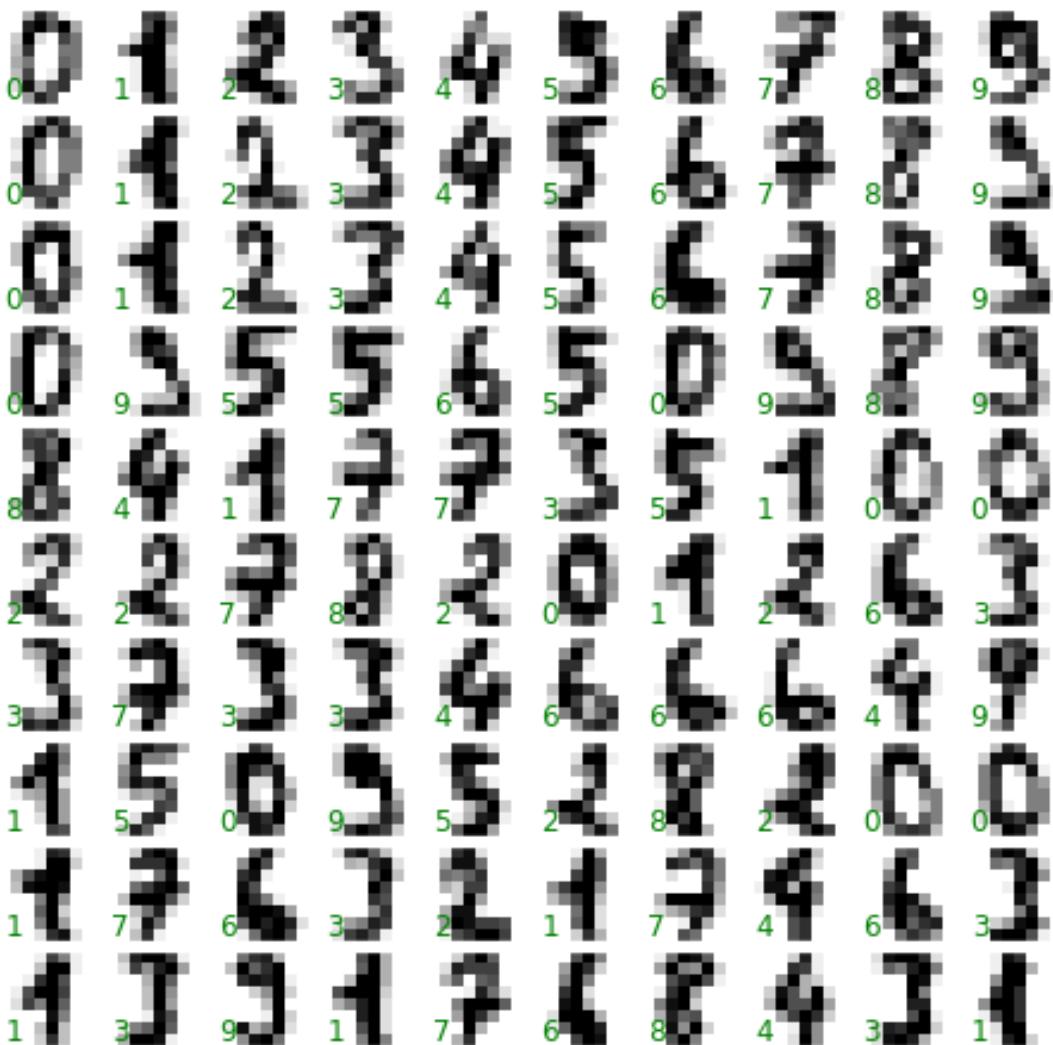
We'll use Scikit-Learn's data access interface and take a look at this data:

```
[74]: from sklearn.datasets import load_digits  
digits = load_digits()  
digits.images.shape
```

```
[74]: (1797, 8, 8)
```

The images data is a three-dimensional array: 1,797 samples each consisting of an  $8 \times 8$  grid of pixels. Let's visualize the first hundred of these:

```
[75]: import matplotlib.pyplot as plt  
  
fig, axes = plt.subplots(10, 10, figsize=(8, 8),  
                      subplot_kw={'xticks':[], 'yticks':[]},  
                      gridspec_kw=dict(hspace=0.1, wspace=0.1))  
  
for i, ax in enumerate(axes.flat):  
    ax.imshow(digits.images[i], cmap='binary', interpolation='nearest')  
    ax.text(0.05, 0.05, str(digits.target[i]),  
           transform=ax.transAxes, color='green')
```



In order to work with this data within Scikit-Learn, we need a two-dimensional, [n\_samples, n\_features] representation. We can accomplish this by treating each pixel in the image as a feature: that is, by flattening out the pixel arrays so that we have a length-64 array of pixel values representing each digit. Additionally, we need the target array, which gives the previously determined label for each digit. These two quantities are built into the digits dataset under the `data` and `target` attributes, respectively:

```
[76]: X = digits.data  
X.shape
```

```
[76]: (1797, 64)
```

```
[77]: y = digits.target  
y.shape
```

```
[77]: (1797,)
```

We see here that there are 1,797 samples and 64 features.

### 1.3.2 Unsupervised learning: Dimensionality reduction

We'd like to visualize our points within the 64-dimensional parameter space, but it's difficult to effectively visualize points in such a high-dimensional space. Instead we'll reduce the dimensions to 2, using an unsupervised method. Here, we'll make use of a manifold learning algorithm called *Isomap* (see [In-Depth: Manifold Learning](#)), and transform the data to two dimensions:

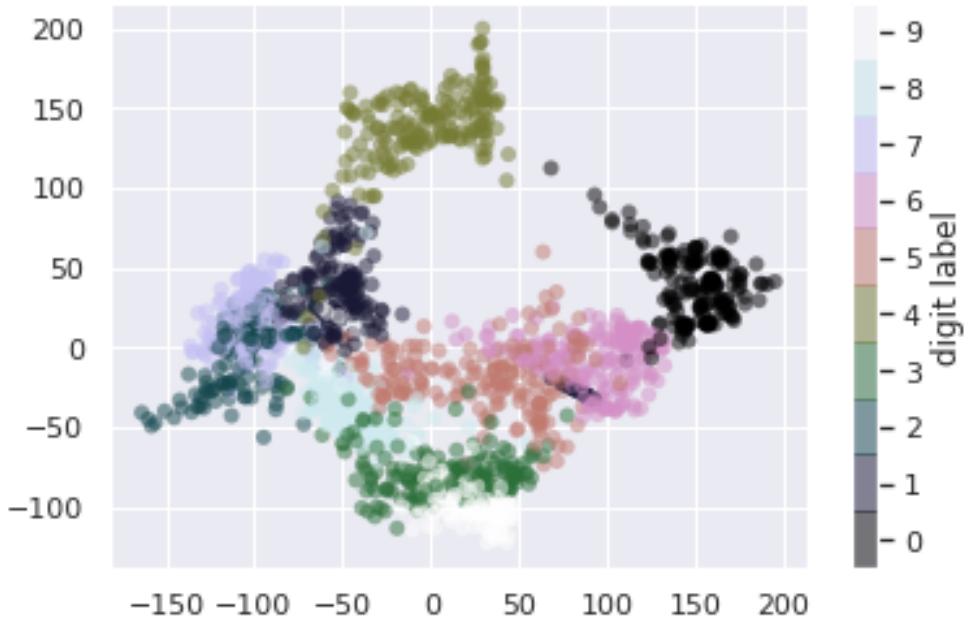
```
[78]: from sklearn.manifold import Isomap
iso = Isomap(n_components=2)
iso.fit(digits.data)
data_projected = iso.transform(digits.data)
data_projected.shape
```

```
/usr/local/lib/python3.7/dist-packages/sklearn/manifold/_isomap.py:304:
UserWarning: The number of connected components of the neighbors graph is 2 > 1.
Completing the graph to fit Isomap might be slow. Increase the number of
neighbors to avoid this issue.
    self._fit_transform(X)
/usr/local/lib/python3.7/dist-packages/scipy/sparse/_index.py:82:
SparseEfficiencyWarning: Changing the sparsity structure of a csr_matrix is
expensive. lil_matrix is more efficient.
    self._set_intXint(row, col, x.flat[0])
```

```
[78]: (1797, 2)
```

We see that the projected data is now two-dimensional. Let's plot this data to see if we can learn anything from its structure:

```
[79]: plt.scatter(data_projected[:, 0], data_projected[:, 1], c=digits.target,
                  edgecolor='none', alpha=0.5,
                  cmap=plt.cm.get_cmap('cubehelix', 10))
plt.colorbar(label='digit label', ticks=range(10))
plt.clim(-0.5, 9.5);
```



This plot gives us some good intuition into how well various numbers are separated in the larger 64-dimensional space. For example, zeros (in black) and ones (in purple) have very little overlap in parameter space. Intuitively, this makes sense: a zero is empty in the middle of the image, while a one will generally have ink in the middle. On the other hand, there seems to be a more or less continuous spectrum between ones and fours: we can understand this by realizing that some people draw ones with "hats" on them, which cause them to look similar to fours.

Overall, however, the different groups appear to be fairly well separated in the parameter space: this tells us that even a very straightforward supervised classification algorithm should perform suitably on this data. Let's give it a try.

### 1.3.3 Classification on digits

Let's apply a classification algorithm to the digits. As with the Iris data previously, we will split the data into a training and testing set, and fit a Gaussian naive Bayes model:

```
[80]: Xtrain, Xtest, ytrain, ytest = train_test_split(X, y, random_state=0)
```

```
[81]: from sklearn.naive_bayes import GaussianNB
model = GaussianNB()
model.fit(Xtrain, ytrain)
y_model = model.predict(Xtest)
```

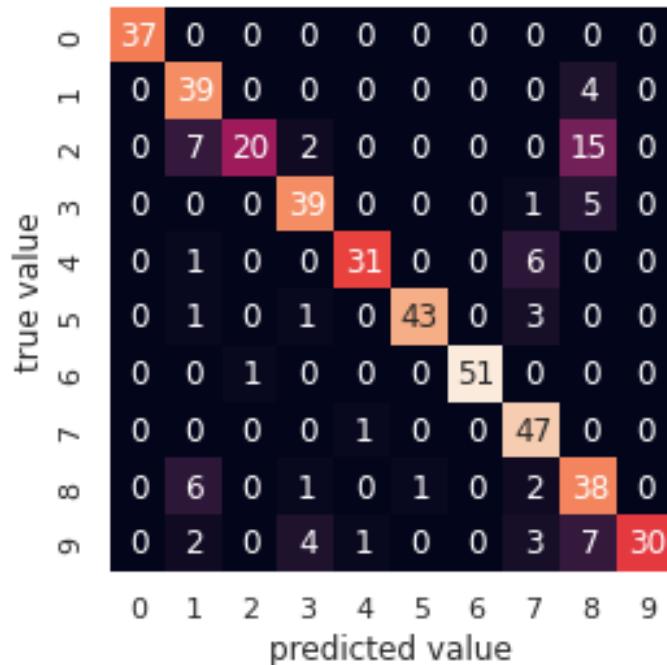
Now that we have predicted our model, we can gauge its accuracy by comparing the true values of the test set to the predictions:

```
[82]: from sklearn.metrics import accuracy_score  
accuracy_score(ytest, y_model)
```

```
[82]: 0.8333333333333334
```

With even this extremely simple model, we find about 80% accuracy for classification of the digits! However, this single number doesn't tell us *where* we've gone wrong—one nice way to do this is to use the *confusion matrix*, which we can compute with Scikit-Learn and plot with Seaborn:

```
[83]: from sklearn.metrics import confusion_matrix  
  
mat = confusion_matrix(ytest, y_model)  
  
sns.heatmap(mat, square=True, annot=True, cbar=False)  
plt.xlabel('predicted value')  
plt.ylabel('true value');
```



This shows us where the mis-labeled points tend to be: for example, a large number of twos here are mis-classified as either ones or eights. Another way to gain intuition into the characteristics of the model is to plot the inputs again, with their predicted labels. We'll use green for correct labels, and red for incorrect labels:

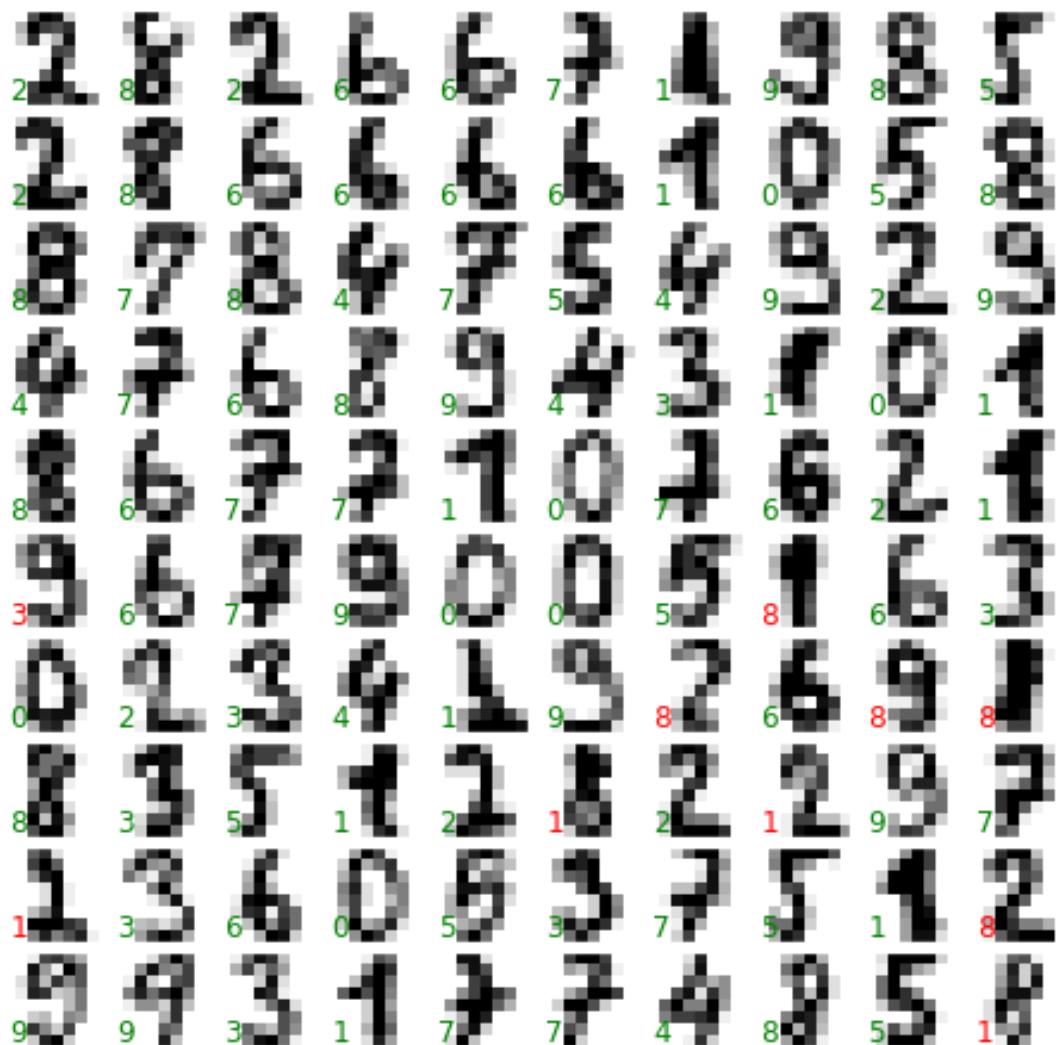
```
[84]: fig, axes = plt.subplots(10, 10, figsize=(8, 8),  
                           subplot_kw={'xticks':[], 'yticks':[]},  
                           gridspec_kw=dict(hspace=0.1, wspace=0.1))
```

```

test_images = Xtest.reshape(-1, 8, 8)

for i, ax in enumerate(axes.flat):
    ax.imshow(test_images[i], cmap='binary', interpolation='nearest')
    ax.text(0.05, 0.05, str(y_model[i]),
            transform=ax.transAxes,
            color='green' if (ytest[i] == y_model[i]) else 'red')

```



Examining this subset of the data, we can gain insight regarding where the algorithm might be not performing optimally. To go beyond our 80% classification rate, we might move to a more sophisticated algorithm such as support vector machines (see [In-Depth: Support Vector Machines](#)), random forests (see [In-Depth: Decision Trees and Random Forests](#)) or another classification approach.

## 1.4 Summary

In this section we have covered the essential features of the Scikit-Learn data representation, and the estimator API. Regardless of the type of estimator, the same import/instantiate/fit/predict pattern holds. Armed with this information about the estimator API, you can explore the Scikit-Learn documentation and begin trying out various models on your data.

In the next section, we will explore perhaps the most important topic in machine learning: how to select and validate your model.

```
[ ]: !apt-get install texlive texlive-xetex texlive-latex-extra pandoc  
!pip install pypandoc
```

si deve montare il proprio google drive (seguire il link per ottenere la chiave di accesso)

```
[86]: from google.colab import drive  
drive.mount('/content/drive')
```

Drive already mounted at /content/drive; to attempt to forcibly remount, call  
drive.mount("/content/drive", force\_remount=True).

si deve copiare il notebook nella directory della macchina virtuale

```
[87]: !cp "drive/My Drive/Colab Notebooks/Copy_of_Scikit-Learn.ipynb" ./
```

ora si puo' convertire in pdf

```
[88]: !jupyter nbconvert --to PDF "Copy_of_Scikit-Learn.ipynb"
```

```
[NbConvertApp] Converting notebook Copy_of_Scikit-Learn.ipynb to PDF  
[NbConvertApp] Writing 73478 bytes to ./notebook.tex  
[NbConvertApp] Building PDF  
[NbConvertApp] Running xelatex 3 times: ['xelatex', './notebook.tex', '-quiet']  
[NbConvertApp] Running bibtex 1 time: ['bibtex', './notebook']  
[NbConvertApp] WARNING | bibtex had problems, most likely because there were no  
citations  
[NbConvertApp] PDF successfully created  
[NbConvertApp] Writing 94537 bytes to Copy_of_Scikit-Learn.pdf
```

scaricare il file pdf prodotto dal menu files nel pannello di sinistra (premere il destro sul file e fare download)

# Python numpy reshape and stack cheatsheet

## reshape & ravel

```
a1 = np.arange(1, 13)
```

1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

1	2	3	4
5	6	7	8
9	10	11	12

a1.reshape(3, 4)  
a1.reshape(-1, 4)  
a1.reshape(3, -1)  
.ravel() # back to 1D

1	4	7	10
2	5	8	11
3	6	9	12

a1.reshape(3, -1, order='F')  
.ravel(order='F') # back to 1D

## 3D array from 2D arrays

```
a1 = np.arange(1, 13).reshape(3, 4)
a2 = np.arange(13, 25).reshape(3, -1)
```

1	2	3	4
5	6	7	8
9	10	11	12

13	14	15	16
17	18	19	20
21	22	23	24

```
# stack along axis 2
a3_2 = np.stack((a1, a2), axis=2)
a3_2.shape: (3, 4, 2)
```

```
# retrieve a1
a3_2[:, :, 0]
```

9	21
10	22
5	17
6	18
11	23
12	24

1	13
2	14
3	15
4	16
7	19
8	20

```
# stack along axis 0
a3_0 = np.stack((a1, a2))
a3_0.shape: (2, 3, 4)
```

13	14	15	16
17	18	19	20
21	22	23	24

1	2	3	4
5	6	7	8
9	10	11	12

# retrieve a1  
a3\_0[0, :, :]

```
# stack along axis 1
a3_1 = np.stack((a1, a2), axis=1)
a3_1.shape: (3, 2, 4)
```

9	10	11	12
5	6	7	8
21	22	23	24

1	2	3	4
13	14	15	16
17	18	19	20
11	12	13	14

# retrieve a1  
a3\_1[:, 0, :]

## stack

```
a1 = np.arange(1, 13)
```

```
np.stack((a1, a2), axis=1)
```

1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

```
a2 = np.arange(13, 25)
```

13	14	15	16	17	18	19	20	21	22	23	24
----	----	----	----	----	----	----	----	----	----	----	----

```
np.stack((a1, a2))
```

1	2	3	4	5	6	7	8	9	10	11	12
13	14	15	16	17	18	19	20	21	22	23	24

```
np.hstack((a1, a2))
```

1	2	3	4	5	...	20	21	22	23	24
---	---	---	---	---	-----	----	----	----	----	----

## flatten 3D array

1	13
2	14
3	15
4	16
...	...
9	21
10	22
11	23
12	24

```
# flatten/ravel
a3_0.ravel()
```

1	2	3	4	5	...	20	21	22	23	24
---	---	---	---	---	-----	----	----	----	----	----

```
# flatten/ravel
a3_0.ravel(order='F')
```

1	13	5	17	9	...	16	8	20	12	24
---	----	---	----	---	-----	----	---	----	----	----

## reshape 3D array

```
# reshape from (2, 3, 4) to (4, 2, 3)
a3_0.reshape(4, 2, 3)
```

19	20	21
22	23	24

13	14	15
16	17	18

7	8	9
10	11	12

1	2	3
4	5	6

## Lun 7 novembre - lezione 13

### Introduction to Artificial Neural Networks

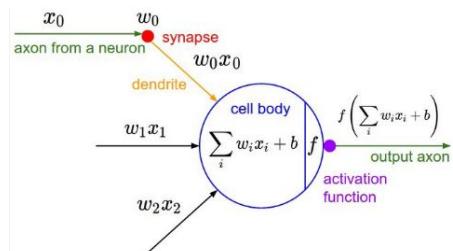
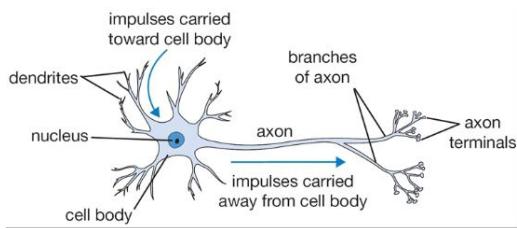
#### Recap lezione 1

- ML techniques have common elements:
  - The function “ $f$ ” to approximate
  - The model used to approximate “ $f$ ” (e.g. polynomials functions or a decision tree or a NN)
  - The parameters of the model (e.g. the coefficients of the poly)
  - The hyper-parameters of the models (e.g. the grade of the polynomial,  $N=1$  for linear)
  - The objective function (i.e. the loss such as MSE or binary cross entropy)
  - The variance-bias tradeoff (aka training vs generalization)
  - The regularization techniques
- Example of ML algorithms
  - Linear regression
  - PCA
  - Nearest Neighbours
  - Decision trees
    - \* Bagging vs boosting

### Artificial Neural Networks

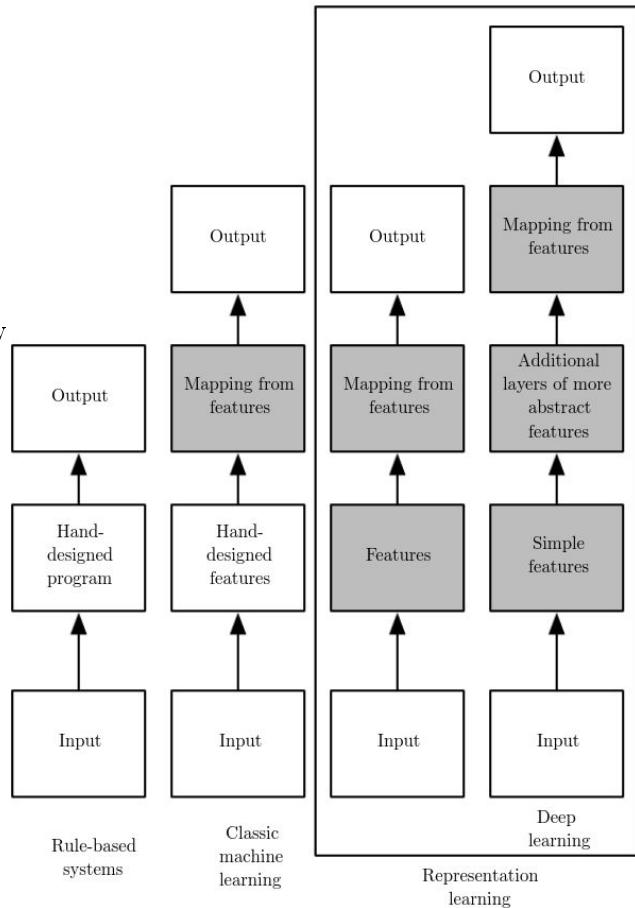
#### (Artificial) neural networks: the “Model”

- Computation achieved with a network of elementary computing units (neurons)
- Each basic units, a neuron, has:
  - **Weighted** input connections to other neurons
  - A **non linear** activation function
  - An output value to pass to other neurons
- Biologically inspired to brain structure as a network of neuron
  - But artificial NN goal is not that of “simulating” a brain!
- Actual modern NN go much beyond the brain-inspired models



#### Brief history, highs and lows

- First work originates back in 1940-1960 “cybernetics”
  - Linear models
- Then called “connectionism” in ‘80-’90
  - development of neural networks, backpropagation, non-linear activations (mostly sigmoid)
- High expectations, low achievements in the ‘90
  - A decade of stagnation
- New name, “Deep Learning”, from 2006
  - Deep architectures (see next slides)
  - Very active field in the past decade
  - Availability of GP-GPU game changing on typical “size”
  - Processing raw, low level, features
    - \* It doesn't mean you **must** use “raw features” but that rather that you **can** use raw features!



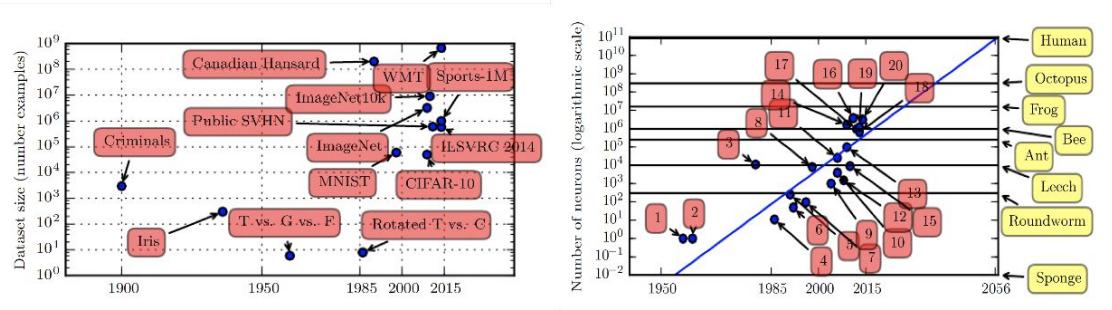
Dal 2006 in poi è diventato disponibile un sistema di calcolo pensato per fare videogiochi (GPU), che però si prestano bene anche al calcolo necessario per questo tipo di computing.

## Complexity growth

Dataset become larger and larger (“big data”). Not just in “industry”, experimental scientific research is now producing multi PetaByte datasets. Digital era => everything can be “data”.

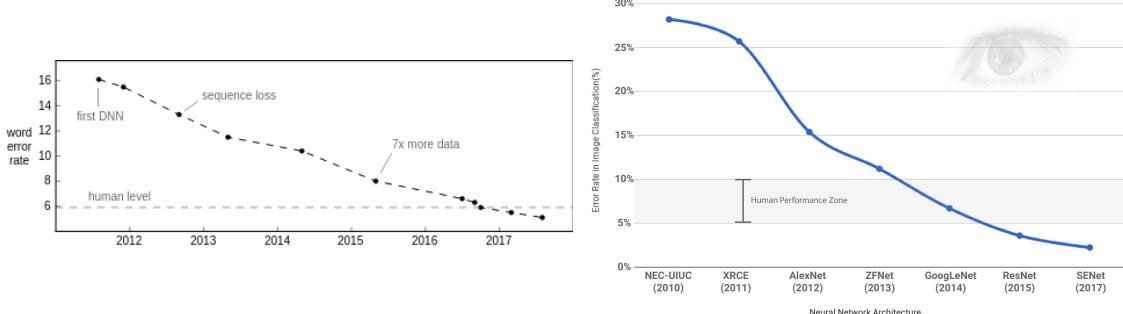
Increasing hardware performance => increasing complexity of the network (number of neurons and connections).

- 2020 largest ANN: OpenAI GPT3, 175 billion parameters (  $10^{11}$  )
- 2021 “Switch transformer” and “Wu Dao 2.0” => trillion parameters models (  $10^{12}$  )
- (for comparison) Human brain  $10^{13} - 10^{15}$  synapses ( parameters )



## Performance on classic problems

Image classification and speech recognition are the typical problems where ML (and Neural Networks) failed in the 90'. Now it beats humans...



## My favorite performance examples

<https://www.technologyreview.com/2020/11/03/1011616/ai-godfather-geoffrey-hinton-deep-learning/>

## OpenAI GPT3

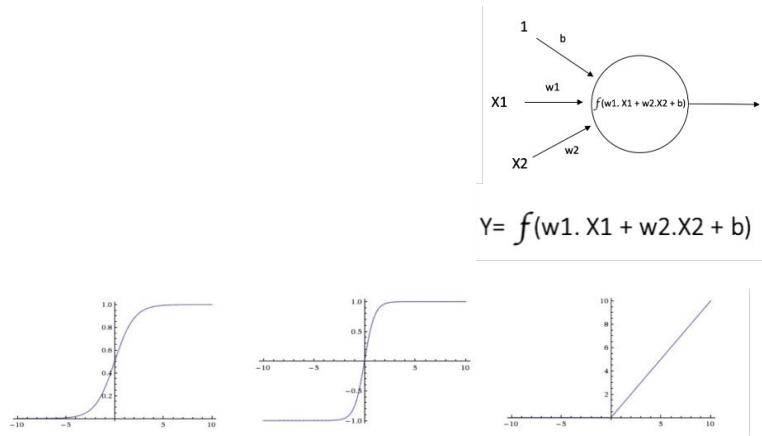
Generative Pre-trained Transformer A 12M\$ autocomplete (that is not really understanding what is talking about, but can still write better than most of us). <https://openai.com/blog/openai-api/> <https://doi.org/10.1007/s11023-020-09548-1>.

## Neural Nets Basic elements

### A neural network node: the artificial neuron

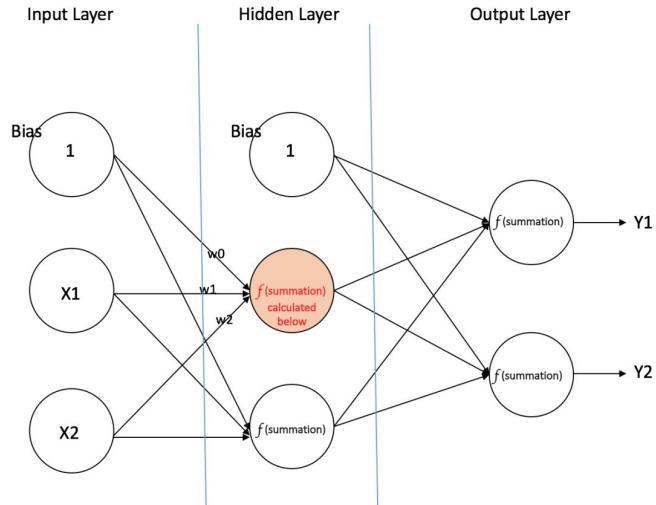
The elementary processing unit, a neuron, can be seen as a node in a directed graph. Inputs are **summed**, with **weights**, and an **activation function** is evaluated on such sum.

Nodes are typically also connected (with weight b) to an input “bias node” that has a fixed output value of 1. Different activation functions can be used, common ones are: sigmoid, atan, relu (rectified linear unit).



## The MLP model

- The most common NN in the '90 was the Multi Layer Perceptron (MLP)
- Graph structure organized in “layers”
  - Input layer (nodes filled with input value)
  - Hidden layer
  - Output layer (node(s) where output is read out)
- Nodes are connected only from one layer to the next and all possible connections are present (known as “dense” or “fully connected” layer)
  - No intra-layer connections
  - No direct connections from input to output
- Size of input and output layers are fixed by the problem
- **Hyperparameters** are
  - The size of the hidden layers
  - The type of activation function
- The **parameters** to learn are the weights of the connections



## Universal approximation theorem

“One hidden layer is enough to represent (not learn) an approximation of any function to an arbitrary degree of accuracy” (I. Goodfellow et al. 2016).

- You can approximate any function with arbitrary precision having **enough hidden nodes** and the **right weights**
- How do you get the right weights? You need a “training” for your network

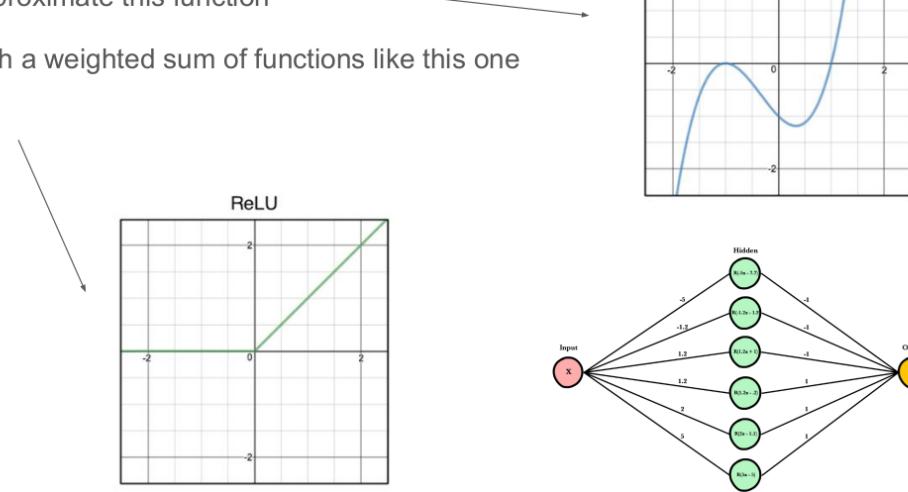
- The theorem does not say that one hidden layer (+ some training algorithm) is enough to find the optimal weights, just that they exists!
- Achieving some (even modest with some metric) level of accuracy may need an unmanageable hidden layer size
  - And may need an unreasonable number of “examples” to learn from

### Example (1-D input)

Example (1-D input)

Approximate this function

With a weighted sum of functions like this one



The Universal Approximation Theorem says that increasing # nodes I can increase the accuracy as much as I want. More hidden nodes, higher “capacity” => more accuracy

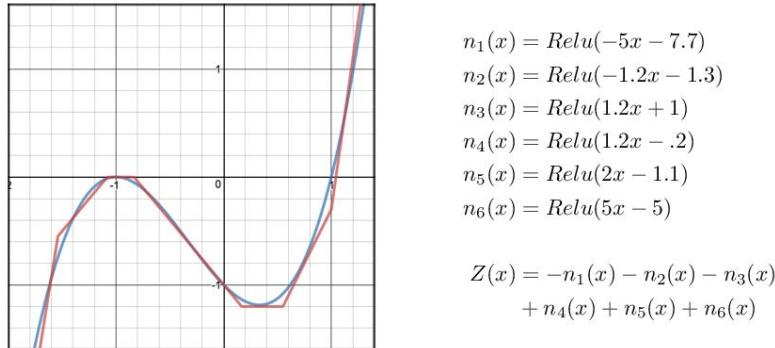
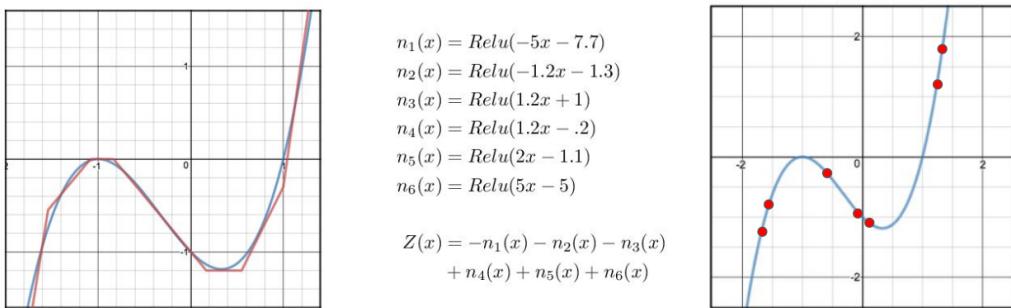


Figure 3.9: <https://towardsdatascience.com/can-neural-networks-really-learn-any-function-65e106617f>

### Training of an MLP

How do I get the weights?

Remember: we do not know the function we want to approximate, we only have some “samples”.



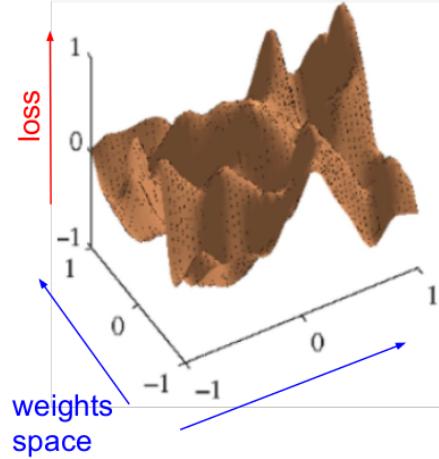
## Training a NN

The goal of training is to minimize the objective function (possibly both on the training and validation sample). I.e. we want to minimize the loss as a function of the model parameters (i.e. the weights).

For a MLP the basic idea is the following

1. Start with random weights
2. Compute the prediction  $y_{\text{pred}}$  for a given input  $x$  and compare target  $y_{\text{true}}$  computing the loss (repeat for a few example, aka “one batch”)
3. Estimate an update for the weights that reduces the loss
4. Iterate from point (b), repeating for all samples
5. When the sample has been used completely (end of an epoch), iterate from (b) again on all samples
6. Repeat for multiple epochs

The important point is how to implement point (c)  
 $\Rightarrow$  (stochastic) gradient descent.

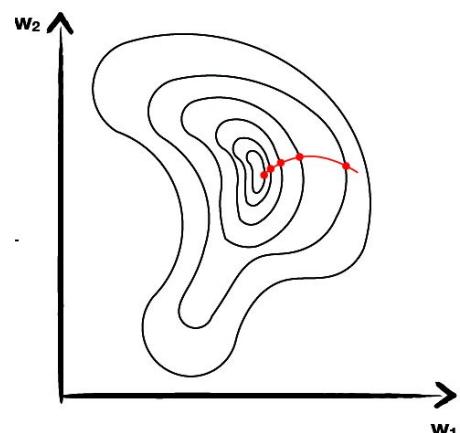


## How to find a minimum?

### Gradient Descent

We know the loss function value in a point in the weights phase space (e.g. the initial set of random weights, or the iteration N-1), computed numerically as the mean or the sum of the losses for each of our training examples.

We can compute the gradient of the loss function in that point, we expect the minimum on “the way down” hence we adjust our set of weights doing a “step” in the direction pointed by the gradient with a step size that is proportional to the length of the gradient.



**Stochastic Gradient Descent (SGD):** Compute the gradient on “batches” of events rather than full sample. The “noise” may help avoiding local minima.

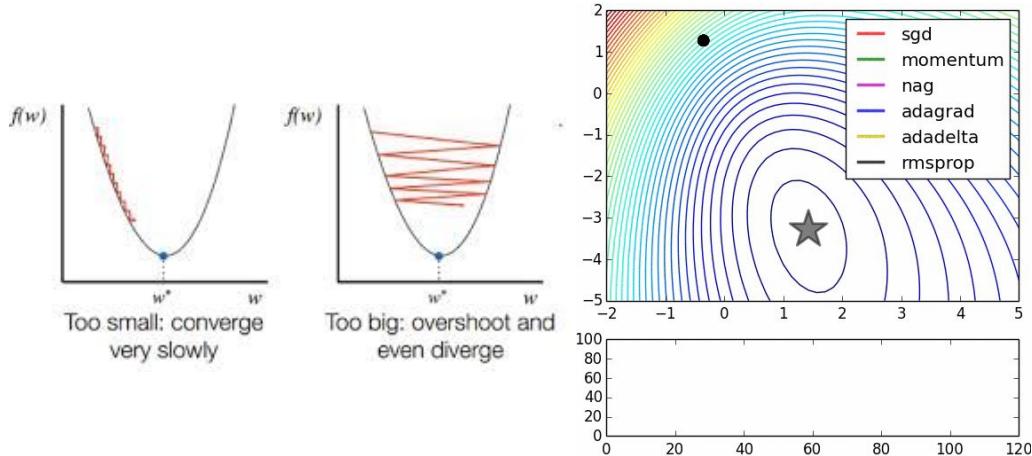
## Not as simple as you would imagine

A parameter named **learning rate** controls how big the step in the direction of the gradient is.

- A too large step may let you bounce back and forth on the walls of your “valley”
- A too small step would make your descent lasting forever

Several variants of SGD

- Include “momentum” from previous gradient calculations (may help overcome local obstacles)
- Reduce step size over time
- Adadelta, Adagrad, **Adam**, and many more



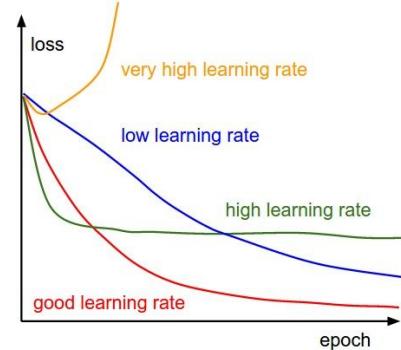
## Learning rate, epochs and batches

The gradient update (in SGD) is repeated for each “batch” of events.

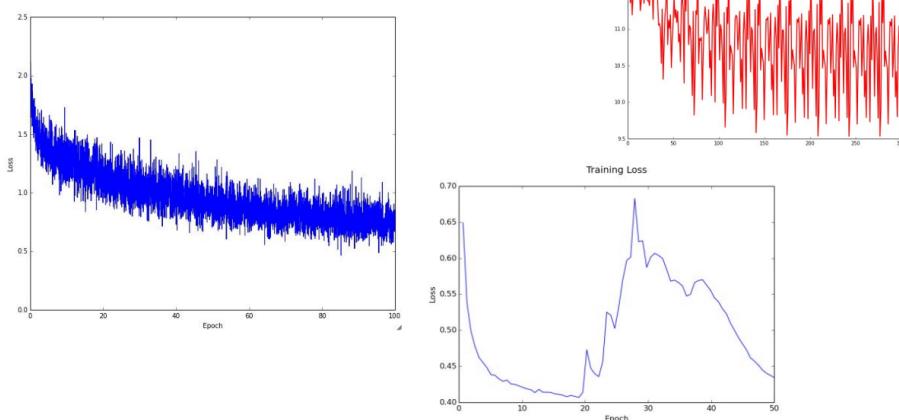
A full pass of the whole dataset (i.e. all batches) is called an epoch.

A typical training foresee iteration on multiple epochs.

The size of the update step can be controlled with a multiplicative factor called “learning rate”. Learning rate can be adapted over time.



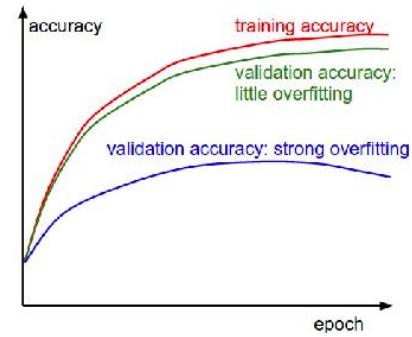
## In reality



## Training and overfitting

As discussed previously if the capacity is large enough the network could “overfit” on the training dataset.

- Have a separate, stat independent, validation/generalization sample
- Evaluate performance (with “loss” or with other metrics) on the validation sample
- Training results depends on many choices
  - Size of batches (amount of “noise”)
  - Learning rate (how much you move along the gradient at each iteration)
  - Gradient Descent algorithm
  - Capacity of the network



## Neural Networks, computers and mathematics

ANN use a fairly limited and simple set of operations

- Many operation are simply represented with linear algebra
- Non linear function are typically applied, repeated, to multiple inputs (hence can be “vectorized”)
- Gradient Descent works by knowing the derivatives of the functions involved in the NN calculations (weights, activations) and in the loss

Datasets are represented as multidimensional tensors

- The number of indices and the length per index is usually called “shape” and is a tuple with dimension of each index
- The first index is the one running the “number of sample in the dataset”, and is sometimes omitted when describing a neural network

Classification with multiple category is often converted in the “categorical” representation

- I.e. rather than labelling with a scalar “y” (with 0=horse, 1=dog, 2=cat, 3=bird, . . . ) a vector  $y$  is used with as many components as the category (with  $[1,0,0,0]$ =horse,  $[0,1,0,0]$ =dog, etc..)

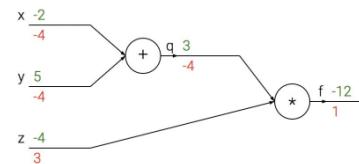
Tools exist to describe mathematically the network structure that are optimized for fast computations on CPU/GPU/TPU

## Back-propagation

Calculating the gradient in complex networks could be computationally expensive:

- Some expression appear repeated, hence we should avoid recomputing them
- Back-propagation method allow to efficiently compute the derivatives wrt each of the weights
  - Start from the last node and apply derivative chain rule going backward
  - At each step the computation depends only on the already computed derivative and the values of the node outputs (computed already in the NN evaluation, aka forward pass)

$$f(x, y, z) = (x + y)z.$$



$$q = x + y \quad f = qz$$

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial q} \frac{\partial q}{\partial x} \quad \frac{\partial q}{\partial x} = 1, \quad \frac{\partial q}{\partial y} = 1 \quad \frac{\partial f}{\partial q} = z, \quad \frac{\partial f}{\partial z} = q$$

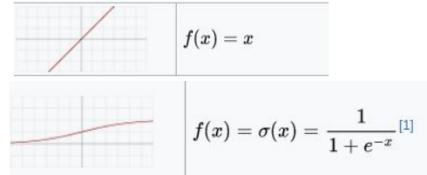
25

## Deep Networks

### Deep Feed Forward networks

#### Activation functions

- Linear:
  - Cannot be used in hidden layer has the derivative is constant (does not depend on the inputs, cannot perform gradient descent)
  - Useful for output nodes in **regression** problems
- Sigmoid:
  - Used in the past in the hidden layer (leads to vanishing gradient problem)
  - Useful in **classification** problem with a single output or **multi-label** classifiers
- Softmax:
  - Useful in **classification** problems with one-hot encoded **multi-class**
- Rectified Linear Unit (ReLU)
  - The workhorse for hidden layers activations
  - Variants: Leaky-ReLU, Swish

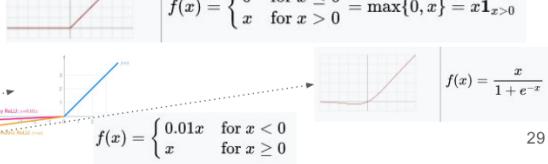


		Multi-Class	Multi-Label
C = 3	Samples		

Labels (t) [0 0 1] [1 0 0] [0 1 0]

Labels (t) [1 0 1] [0 1 0] [1 1 1]

$$f_i(\vec{x}) = \frac{e^{x_i}}{\sum_{j=1}^J e^{x_j}} \quad \text{for } i = 1, \dots, J$$



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#### Why going deeper?

Hold on... wasn't there a theorem saying that MLP is good enough ? Yes but...

- Amount of nodes to represent complex functions can be too high
- Learning the weights on finite samples could be too difficult

Advantages of Deep architectures

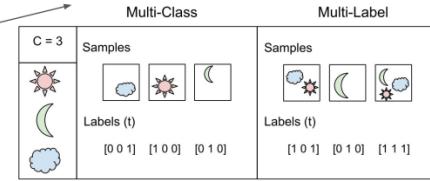
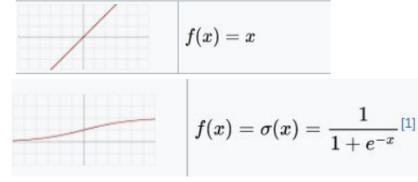
- Hierarchical structure can allow easier “abstraction” by the network with early layers computing low level features and deeper layers representing more abstract properties
- Number of neurons and connections needed to represent the same function highly reduced in many realistic cases

I primi layer imparano features più semplici, quelli dopo imparano features sempre di più alto livello.

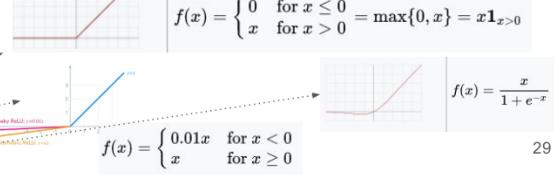
## Activation functions

### Activation functions

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  - Used in the past in the hidden layer (leads to vanishing gradient problem)
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  - Variants: Leaky-ReLU, Swish

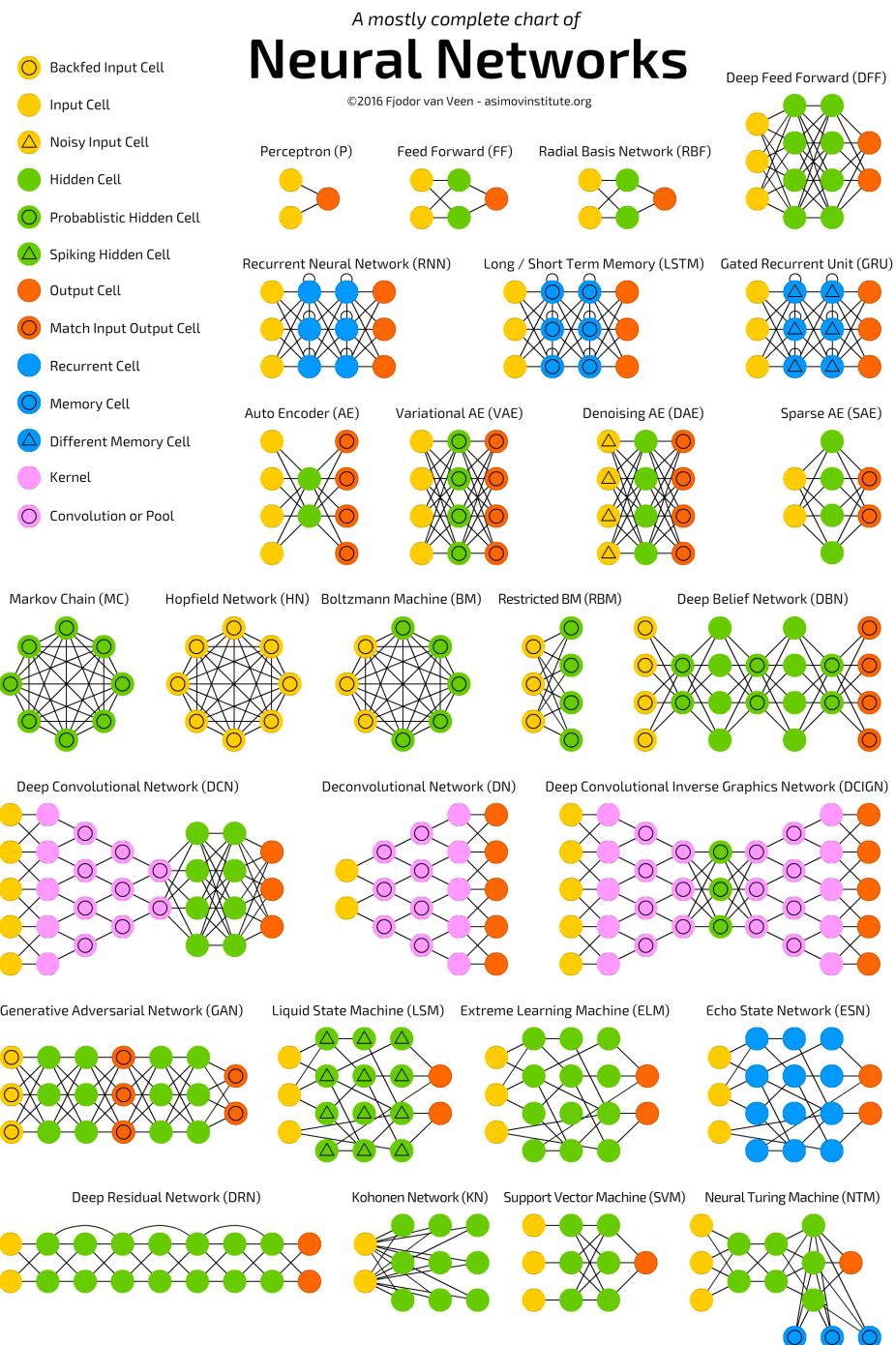


$$f_i(\vec{x}) = \frac{e^{x_i}}{\sum_{j=1}^J e^{x_j}} \quad \text{for } i = 1, \dots, J$$



29

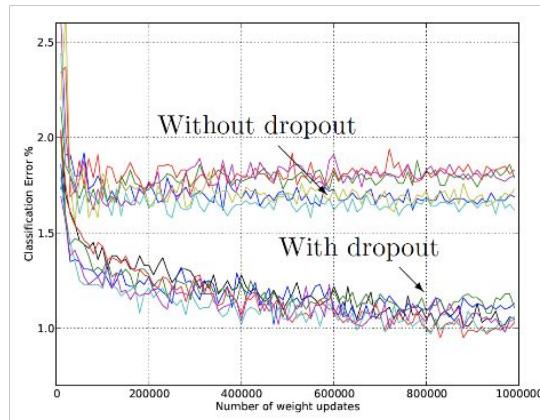
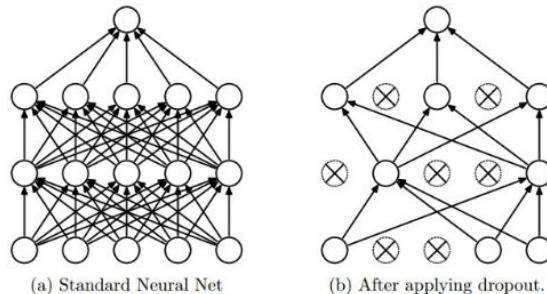
## Deep architectures



## Dropout and regularization methods

NN training is a numerical process. Often the number of samples is limited hence the gradient accuracy is not great. Several regularization methods exists to avoid being dominated by stochastic effects.

- Caps to the weights (so that individual nodes cannot be worth more than some amount)
- **Dropout** techniques: during the training a fraction of nodes is discarded, randomly, at each iteration
  - NN more robust to noise
  - Effectively “augmenting” the input dataset

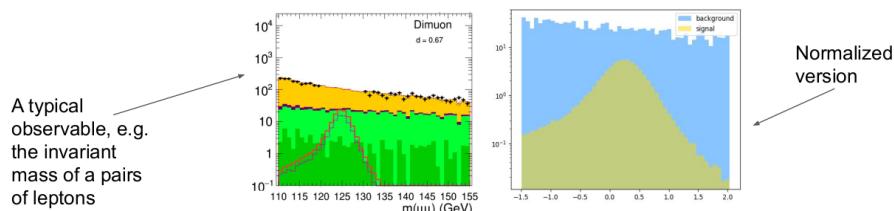


## (Batch) normalization

Input features have typically different ranges, means, variance.  
It is generally useful to “normalize” the input distribution:

- Mean zero
- Variance 1

Often it could be practical to compute the normalization on individual batches rather than full sample (Batch vs full sample ? may depend on your use case).



## DNN Tools

### Keras

Keras is a python library that allow to build, train and evaluate NN with many modern technologies.

Keras supports multiple backends for actual calculations.

Two different syntax are usable to build the network architecture

- Sequential: simple linear “stack” of layers
- Model (functional API): create more complex topologies

Multiple type of “Layers” are supported

- Dense: the classic fully connected layer of a FF network
- Convolutional layers
- Recurrent layers

Multiple type of activation functions.

Various optimizers and gradient descent techniques.

### Other common tools

Common alternative to keras

- Pytorch (trending up!)
- Sonnet
- Direct usage of TensorFlow (or other backends, e.g. Theano)
  - Need to write yourself some of the basics of NN training
  - Especially useful to develop new ideas (e.g. a new descent technique, a new type of basic unit/layer)

### Keras Sequential example

```

1 # first neural network with keras tutorial
2 from numpy import loadtxt
3 from keras.models import Sequential
4 from keras.layers import Dense
5 # load the dataset
6 dataset = loadtxt('pima-indians-diabetes.csv', delimiter=',')
7 # split into input (X) and output (y) variables
8 X = dataset[:,0:8]
9 y = dataset[:,8]
10 # define the keras model
11 model = Sequential()
12 model.add(Dense(12, input_dim=8, activation='relu'))
13 model.add(Dense(8, activation='relu'))
14 model.add(Dense(1, activation='sigmoid'))
15 # compile the keras model
16 model.compile(loss='binary_crossentropy', optimizer='adam', metrics=['accuracy'])
17 # fit the keras model on the dataset
18 model.fit(X, y, epochs=150, batch_size=10)
19 # evaluate the keras model
20 _, accuracy = model.evaluate(X, y)
21 print('Accuracy: %.2f' % (accuracy*100))

```

### Keras “Model” Functional API

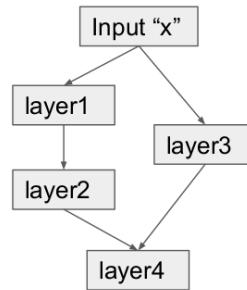
A NN can be seen as the composition of multiple functions (one per layer), e.g.

- A simple stack of layers is:  $y=f_5(f_4(f_3(f_2(f_1(x)))))$
- A more complex structure could be something like

$$y=f_4(f_2(f_1(x)), f_3(x))$$

- The functional API allow to express the idea that each layer is evaluate on the output of a previous layer, i.e.

```
x = Input()
layer1=FirstLayerType(parameters)(x)
layer2=SecondLayerType(parameters)(layer1)
layer3=ThirdLayerType(parameters)(x)
layer4=FourthLayerType(parameters)([layer2,layer3])
```



## A (modernized) MLP in keras

```

1  from keras.models import Model
2  from keras.layers import Input, Dense
3  x = Input(shape=(32,))
4  hid = Dense(32, activation="relu")(x)
5  out = Dense(1, activation="sigmoid")(hid)
6  model = Model(inputs=x, outputs=out)
7  model.summary()
8  from keras.utils import plot_model
9  plot_model(model, to_file='model.png')
  
```

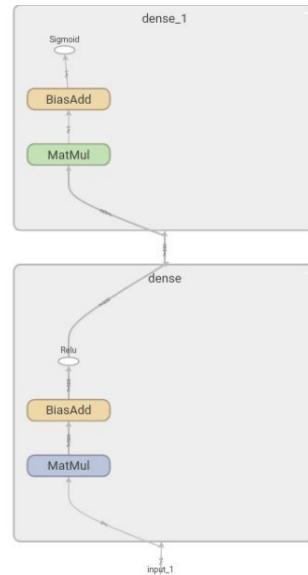
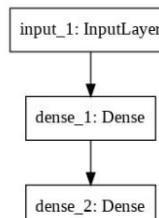
```

from keras.models import Model
from keras.layers import Input, Dense
x = Input(shape=(32,))
hid = Dense(32, activation="relu")(x)
out = Dense(1, activation="sigmoid")(hid)
model = Model(inputs=x, outputs=out)

model.summary()
from keras.utils import plot_model
plot_model(model, to_file='model.png')
  
```

```

Model: "model_1"
----- 
Layer (type)      Output Shape       Param #
----- 
input_1 (InputLayer)   (None, 32)        0
----- 
dense_1 (Dense)     (None, 32)       1056
----- 
dense_2 (Dense)     (None, 1)        33
----- 
Total params: 1,089
Trainable params: 1,089
Non-trainable params: 0
  
```



## From the 1995 to 2010

```

1  from keras.models import Model
2  from keras.layers import Input, Dense
3  x = Input(shape=(32,))
4  hid = Dense(32, activation="sigmoid")(x)
  
```

```

5  out = Dense(1, activation='sigmoid')(hid)
6  model = Model(inputs=x, outputs=out)

```



```

1  from keras.models import Model
2  from keras.layers import Input, Dense
3  x = Input(shape=(32,))
4  a = Dense(32, activation='relu')(x)
5  b = Dense(32, activation='relu')(a)
6  c = Dense(32, activation='relu')(b)
7  d = Dense(32, activation='relu')(c)
8  e = Dense(32, activation='sigmoid')(d)
model = Model(inputs=x, outputs=e)

```

## Training a model with Keras

```

1  from keras.layers import Input, Dense
2  from keras.models import Model
3  # This returns a tensor
4  inputs = Input(shape=(784,))
5  # a layer instance is callable on a tensor, and returns a tensor
6  x = Dense(64, activation='relu')(inputs)
7  x = Dense(64, activation='relu')(x)
8  predictions = Dense(10, activation='softmax')(x)
9  # This creates a model that includes
10 # the Input layer and three Dense layers
11 model = Model(inputs=inputs, outputs=predictions)
12 model.compile(optimizer='rmsprop',
13                 loss='categorical_crossentropy',
14                 metrics=['accuracy'])
15 model.fit(data, labels) # starts training

```

## Keras Layers

### Keras basic layers

- Basic layers
  - Inputs
  - Dense
  - Activation
  - Dropout
- Convolutional layers (for next week)
  - Conv1D/2D/3D
  - ConvTranspose or “Deconvolution”
  - UpSampling and ZeroPadding
  - MaxPooling, AveragePooling
  - Flatten
- More stuff
  - Recursive layers
  - ...check the keras docs...

## Callbacks

During training some “callbacks” can be passed to the fit function.

- E.g. to monitor the progress of the training
- To adapt the training
  - Stop if no improvements in the last N epochs
  - Change learning rate (reduce) if no improvements in the last M epochs
- Some callbacks are predefined in keras, other can be user implemented

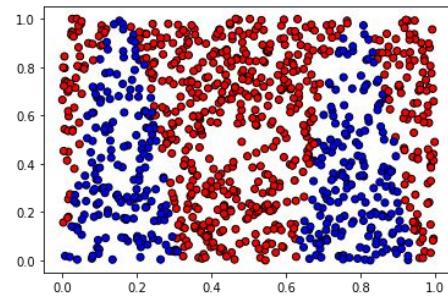
```

1 from keras.callbacks import EarlyStopping, ReduceLROnPlateau
2 # train
3 history = model.fit(X_train, y_train, epochs=n_epochs, batch_size=batch_size, verbose = 2,
4                      validation_data=(X_test, y_test),
5                      callbacks =
6                      [EarlyStopping(monitor='val_loss', patience=10, verbose=1),
7                       ReduceLROnPlateau(monitor='val_loss', factor=0.1, patience=2, verbose=1)
8                      ])

```

## Assignment 1

- Partition a 2D region with a simple function that returns true vs false having x1,x2 as arguments
  - E.g.  $x_1 > x_2$  or  $x_1 * 2 > x_2$  or  $x_1 > 1/x_2$  or ... whatever...
- Generate 1000 samples
- Create a classifier with a MLP or a DNN with similar number of parameters that approximate the function above



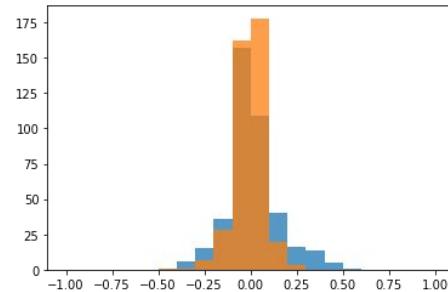
Link:

[Exercise1](#)

[Solution](#)

## Assignment 2

- Let’s try to implement a **regression** with DNN in keras
- Invent a function of  $x_1, x_2, x_3, x_4, x_5$
- Generate some data
- Create a Feed Forward model (with 1 or more hidden layers)
- What should we change compared to the classification problem?
  - What is the loss function to use?
  - Which activation function in last layer?
- Try to make a histogram of the residuals on the validation sample



- residuals=(y\_predicted - y\_truth)

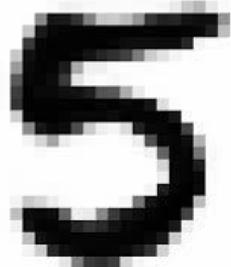
Link:  
Exercise2  
Solution

## Giovedì 10 novembre - Lezione 14

### Convolutional and recurrent networks

#### Classification of images

Come si fa a processare un'immagine con una rete neurale?



Images are data structure with 2 or 3 indices: X,Y or X,Y,channel (=R,G,B)

- Shape of the input dataset (Nsamples, Width, Height, nchannels)
- nchannels is typically 1 (B&W), 3 (RGB) or 4 with transparency

We can use FF networks to classify images

- Reshape the input tensor with the “Flatten” keras layer



- Use multiple dense layers with a final one for one-hot encoding output

Limitations of this approach:

- If the image is translated, even by a single pixel in x or y, the network may not recognize as “similar” to the untranslated image
- Nearby pixels in “Y” (or even the same pixel but in a different color) are not treated any differently than far away pixels

We know that our problem has some invariance. We know that input data has some locality information.

#### Exploit invariance and locality

- Suppose you want to count windows in a 800x600 picture with houses
  - With an MLP or DFF you have  $800 \times 600 \times 3(\text{RGB}) = 1.4\text{M}$  inputs
  - Each node process independently some part of the image



- The initial “Dense” connection should converge to something with lot of “zero” weights because far away pixel points have no reason to be considered at the same time in order to detect local features
- => the problem cannot be managed this way

But the problem is translation invariant!

- “Windows” are local features, you can just analyze a patch of the image (**locality**)
- A window is a window no matter if it is top left or bottom right of your image (**Invariance**)
- And actually windows are made of even more local features (some borders/frame, some uniform area, a squared shape)

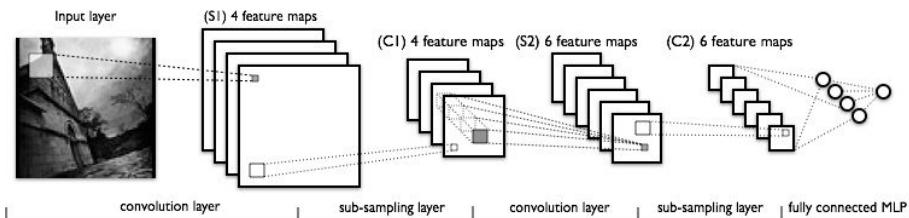
## Can we exploit problem invariance?

Convolutional neural networks (CNN) attempt to exploit invariance against spatial translations.

- Smaller networks (locality !)
- Acting on a single patch of the image
- Stacking multiple such Convolutional Layers one after the other
- Use “subsampling” layer to scale from local to global

Hierarchical approach

- Early layers learn local features
- Subsampling reduce the information extracted from a given “patch”
- A final flatten+one or more dense layers is used to reach the final target



Il vantaggio di questo approccio è che ognuna delle reti che alleniamo vedrà un numero di input molto maggiore, dato che da ogni immagine prendiamo più subsample.

## Limitations

The linear algebra formalism we use can handle nicely images, hence implement nicely CNN (translation invariance along x and y).

There are more invariances out there! (Rotation, Scale, Luminosity).

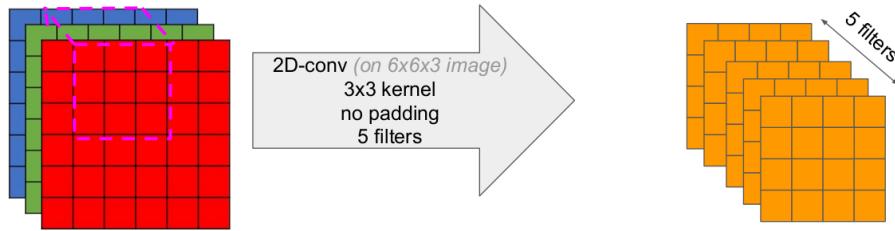
So currently the networks have to learn them all.

We can do tricks to increase the number of samples in our datasets with augmentation techniques (i.e. apply random transformations of scale, rotation etc.).

“Built-in” invariance (such as the x-y one) has the advantage of reducing by orders of magnitude the number of weights to learn.

## Understanding the dimensions of the convolution

- Convolution can be 1D, 2D, 3D
- Kernel size, typically square ( $M \times M$ ) with  $M$  odd (but can be any shape)
- Padding: how to we handle borders? We can do only “valid” windows (no padding) or process borders as if there were zeros (or other values) outside
- Each “point” in the 1D, 2D, 3D matrix can have multiple features (e.g. R,G,B)
- Each Convolutional layer have mutiple outputs (filters) for every “patch” it scans on (one optimized to detect if the patch is uniformly filled, one looking for vertical lines, etc..)



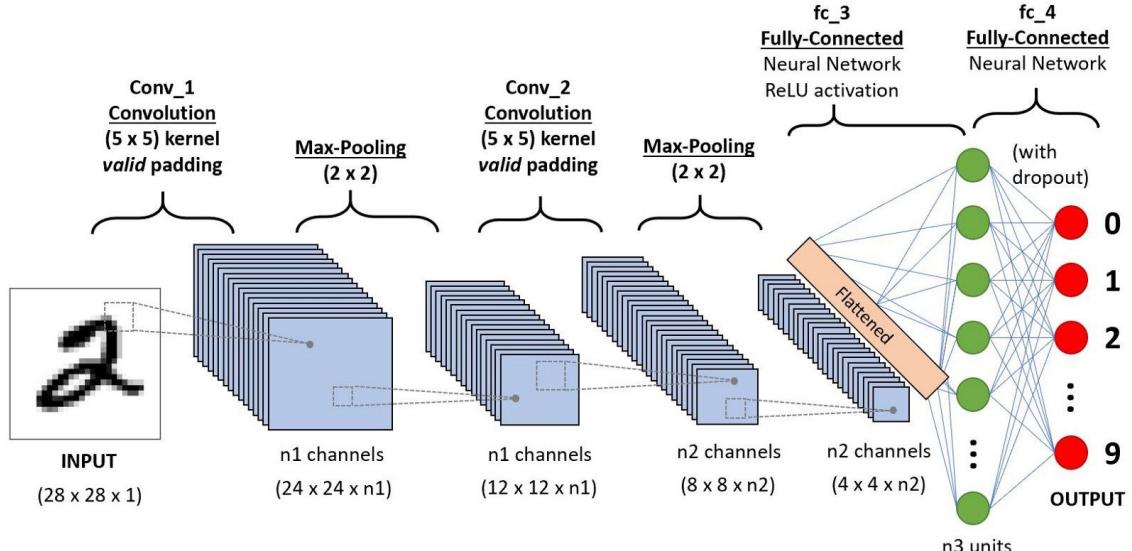
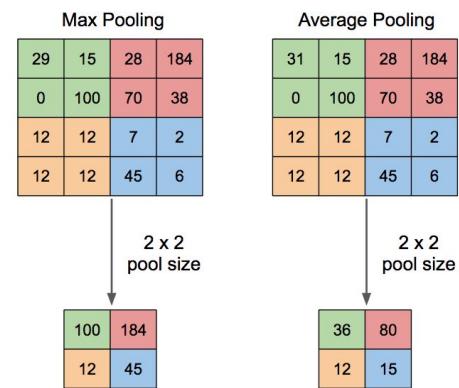
Stride: di quanto sposto il kernel ad ogni iterazione? Posso spostarmi di 1 (ho overlap), oppure mi sposto della kernel size, o della metà etc.

## Pooling (subsampling)

Pooling layers are simply finding maxima or computing average in patches of some convolution layer output.  
Pooling is used to reduce the space dimensionality after a convolutional layer.

- The Conv “filters” look for features (e.g. a filter may look for cat’s eyes)
- The Pooling layer checks if in a given region some filtered fired (there was a cat eye somewhere in this broader region)

## Typical CNN architecture

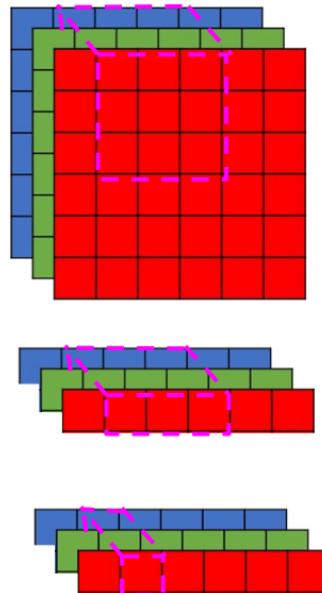


## More on convolution

Convolution is a way to correlate **local** input information and to reduce the NN size by sharing the weights of the nodes across all repeated patches.

What if I have multiple objects, with no local correlation, but with multiple features (like R,G,B channels) and I want to process them all in the same way?

- 1x1 convolution!
- Conv1D is usually enough (as the x-y coordinates have no meaning here)
- The symmetry here is that all “objects” are the same



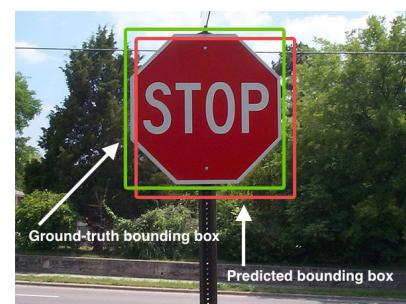
**Example :** Particles in a detector with information about 4-vector, tracking hits, calorimeter deposits, p-ID etc... and want to preprocess them one by one before using them for some higher level task

## Bounding Box

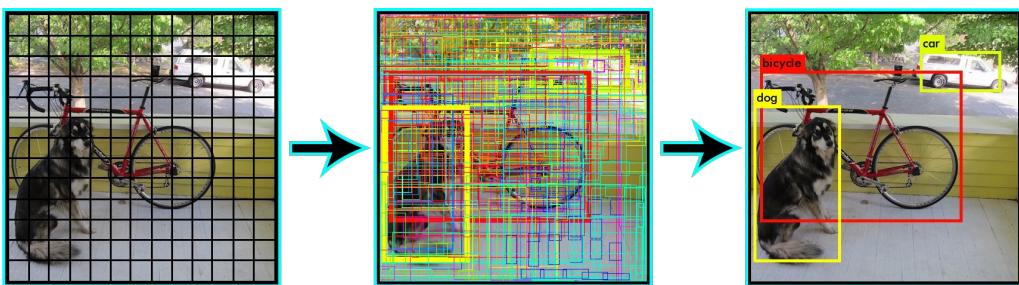
In order to predict “where” an object is a “bounding box” is defined.

- Coordinates of two opposite corners
- Essentially a “regression” problem

Not simple to extend to multiple objects in a single image, YOLO (You Only Look Once) algorithm is an option <https://pjreddie.com/darknet/yolo/>



- Divide the image in cells, in each cell you predict up to N bounding box corners (relative to the cell position)
- Pick only cells with high score (and cluster multiple predictions of the same bb)



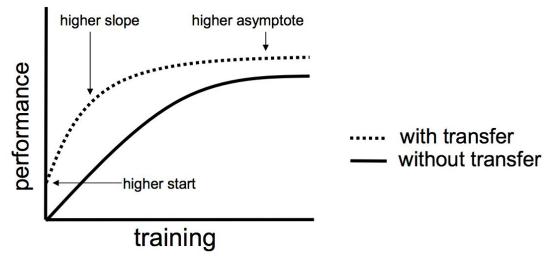
## Transfer learning

If learn to process images of a given size, can we apply that to different size.

- If the “scale” is the same, the convolutional part can work unchanged
- The dense (when present) anyhow need to be adapted/retrained

Transfer learning is a technique to reuse a network training for a task to2 perform another task with reduced retraining.

- E.g. a Conv2D network meant for image processing have initial layers processing “local features”... that is not very domain specific (if you trained on flowers images it may work on animals too)
- Very useful when the available sample of the proper domain is small
  - E.g. annotated medical images are harder to get than labelled real world pictures



## Variable length, sequences and causality

What if the input size has a variable length? For example:

- Text translations
- Identification of “jets” of particle in High Energy Physics

In many case sequences have still a concept of locality and translation invariance

- “A cat” or “the cat” are two sentences, both containing “cat” but in different position

Sequences often also have implied ordering

- “The cat eat a mouse” and “The mouse eat a cat” have different meanings

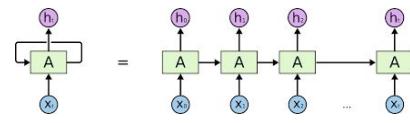
## Exploiting time invariance

Some problems are “time invariant” (recognize words in a sentence (written or spoken))

Order matters and some causality is implied in the sequence. Length of the inputs or the output may not be fixed.

### Recurrent Networks (RNN)

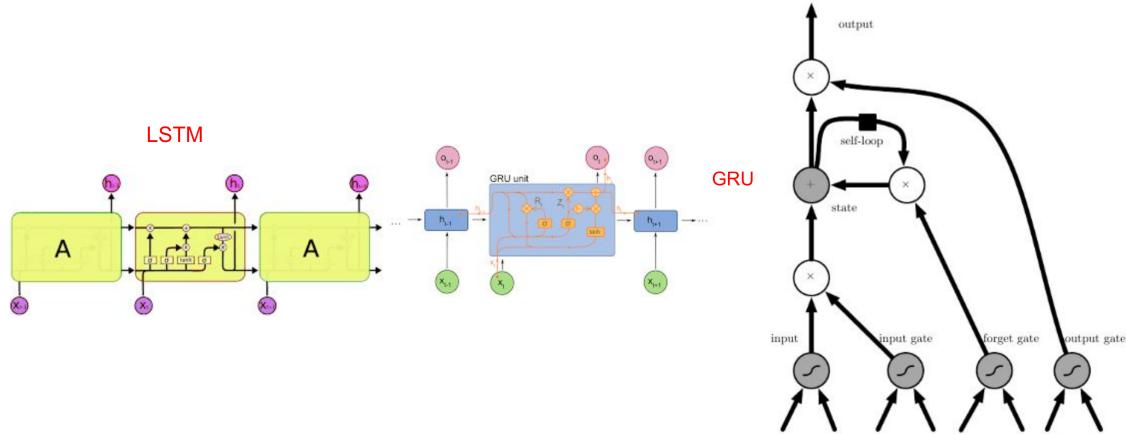
- Iterative networks with output passed again as input
  - Allow some “memory” of the previous inputs and/or some internal “state” of what the network understood so far in the sequence
- Most commonly used RNN are LSTM (Long Short Term Memory) and GRU (Gated Recurrent Unit)



## LSTM and GRU

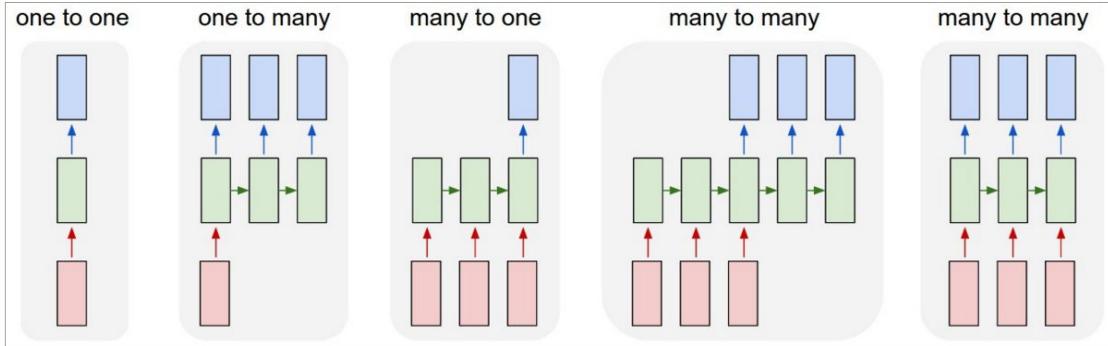
- LSTM and GRU are RNN units with additional features to control their “memory”
- “Gates” allow to control (keep or drop) input, output and internal state
- The advantage of gated units is that they can forget so that when processing a sequence they focus on the relevant part (e.g. when processing a text we may know that each time we encounter a space the word is over)

Ultimamente questi concetti sono stati estesi ai cosiddetti *meccanismi di attenzione*.



## Different ways of processing time series

Recurrent Networks can be used to implement networks with variable number of inputs and outputs (Encoding, Decoding, Sequence2Sequence)



Each rectangle is a vector and arrows represent functions (e.g. matrix multiply). Input vectors are in red, output vectors are in blue and green vectors hold the RNN's state (more on this soon). From left to right: (1) Vanilla mode of processing without RNN, from fixed-sized input to fixed-sized output (e.g. image classification). (2) Sequence output (e.g. image captioning takes an image and outputs a sentence of words). (3) Sequence input (e.g. sentiment analysis where a given sentence is classified as expressing positive or negative sentiment). (4) Sequence input and sequence output (e.g. Machine Translation: an RNN reads a sentence in English and then outputs a sentence in French). (5) Synced sequence input and output (e.g. video classification where we wish to label each frame of the video). Notice that in every case are no pre-specified constraints on the lengths of sequences because the recurrent transformation (green) is fixed and can be applied as many times as we like.

## Keras basic layers

- Convolutional layers
  - Flatten
  - Conv1D/2D/3D

### channels\_first vs channels\_last

Clarifies which indices are part of the convolution and which indices are the “channels”

```
(#sample, X, Y, channels ) <- default
VS
(#sample, channels, X, Y )
```

- ConvTranspose or “Deconvolution”
- UpSampling and ZeroPadding
- MaxPooling, AveragePooling
- Flatten
- Recurrent layers
  - LSTM
  - GRU
  - SimpleRNN
  - TimeDistributed
  - ConvLSTM2D

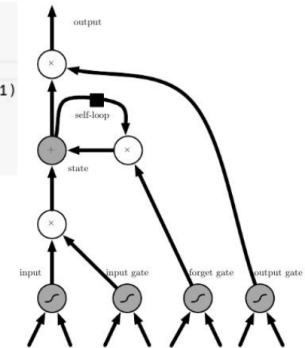
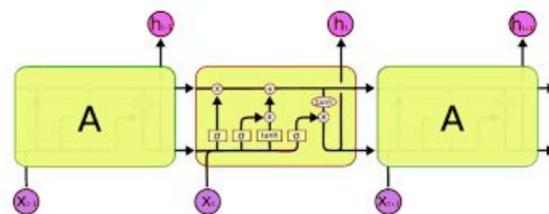
## More on LSTM

### More on LSTM

- LSTM layers in keras can return
  - Just the output of the last iteration
  - The whole sequence of output
  - The gated output of the memory
  - The cell state

```
from keras.models import Model
from keras.layers import LSTM
from numpy import array
inputs1 = Input(shape=(5, 1))
lstm1, state_h, state_c = LSTM(1, return_state=True, return_sequences=True)(inputs1)
model = Model(inputs=inputs1, outputs=[lstm1, state_h, state_c])
data = array([0.1, 0.2, 0.3, 0.4, 0.5]).reshape((1,5,1))
print(model.predict(data))
```

[array([[0.02106816, 0.05576485, 0.09626514, 0.13520567, 0.16713278], [0.16713278], [0.41894686]], dtype=float32), array([[0.16713278]], dtype=float32), array([[0.41894686]], dtype=float32)]

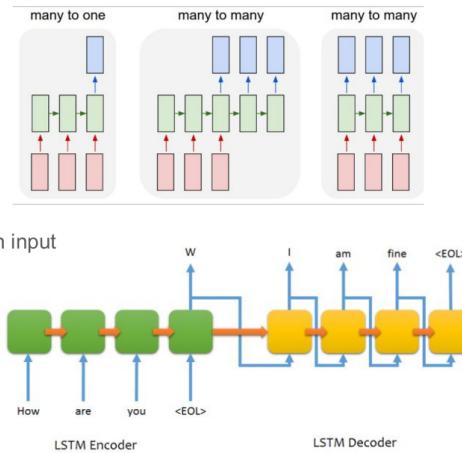


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## Using LSTM

## Using LSTM

- Many to one configuration:
  - Just use a LSTM layer with default config
    - No need to know the full sequence
    - Optionally request also the cell state
- Many to Many (synchronous)
  - Set `return_sequence=True` to get exactly one output for each input
- Many to many (async, different length)
  - Need two LSTM: A encoder + a decoder
  - *Sequence2Sequence* or *Encode-Decide* architecture
  - The cell state of the encoder can be used as initial state for the decoder
  - Need to define a STOP character to receive when the decoding sequence is over
- Inputs with variable length should be “padded”
  - Masking layers exist in keras to avoid “learning from padding”
  - Reversing the sentence order (so that padding is at the beginning also helps)
  - Often with LSTM useful to provide most important information at the end



## Assignment 3

Create a CNN that recognize squares and circles in an image. Let's try three variations:

1. Classify: does it contain a rectangle or a circle?
2. Count circles and rectangles when there is more than one in the dataset
3. Find the position (bounding box) of the circle or rectangle

<https://colab.research.google.com/drive/1kRP1NfbL3hj9xIHAnfMEx9ug76ozGeqR>

Solution

## Assignment 4

Try building from scratch a LSTM that find the maximum length and its position in a sequence of two dimensional vectors.

- Generate some data
- Build a network with one LSTM layer followed by a Dense one

Solution

## Chapter 4

# Fisica Medica



# Appendix A

## Comandi base di Git e Github

Questa è una breve guida dei principali comandi di Git e GitHub che ho creato a scopo personale.  
Per farlo ho seguito il video al seguente link.

### Creare una Repository partendo da GitHub

Per prima cosa creiamo una nuova repository direttamente dal sito di GitHub. Possiamo creare un file direttamente dall'editor online di GitHub. Sempre dal sito stesso possiamo fare delle modifiche (=commit).

### Copiare la Repository in Locale

Apriamo Visual Studio Code e mettiamoci nella cartella dove vogliamo mettere la nostra repository.

A questo punto possiamo aprire il terminal direttamente da VS Code. Dal terminal (usando cd) ci posizioniamo nella cartella dove vogliamo copiare la repository che avevamo creato sul sito di GitHub.

Per farlo, prima di tutto copiamo dal sito di github il link (SSH) alla repository. Sarà una cosa del genere:

```
1 git@github.com:zaffoi/demo-repo.git
```

A questo punto sul terminale (sempre dentro VS) possiamo scrivere:

```
1 git clone git@github.com:zaffoi/demo-repo.git
```

Abbiamo appena copiato la repository da GitHub in locale!

### Comandi principali in locale

Mettiamoci ora nella repository appena copiata. Possiamo verificare che è una cartella di git perché contiene una sottocartella (nascosta) chiamata ".git". Questa sottocartella è quella che contiene tutti gli update che facciamo ai nostri file.

Per vedere anche le cartelle nascoste (tra cui quella .git) uso il comando:

```
1 ls
```

Non dobbiamo metterci dentro la cartella nascosta chiamata .git, ma rimanere nella cartella che la contiene. E' qui che creeremo i vari file che di cui vorremo tenere traccia.

Per vedere lo stato dei vari file uso il comando:

```
1 git status
```

Di base git non terrà traccia di tutti i file nella mia cartella, ma devo specificare quali file deve considerare. Per farlo uso il comando **git add**. In particolare, se voglio includere tutti i file uso il punto dopo il comando:

```
1 git add .
```

Con questo comando ho detto di tener traccia di tutti i file nella cartella.

Altrimenti posso specificare quale file aggiungere a quelli di cui tener traccia, ad esempio:

```
1 git add nomefile.txt
```

**Ricorda:** ogni volta che crei un nuovo file devi dire a git di tenerne traccia usando il comando **git add**.

Dopo aver detto a git quali file tracciare, se uso il comando **git status** avrò un output simile al seguente:

```
1 On branch main
2 Your branch is up to date with 'origin/main'.
3
4 Changes to be committed:
5   (use "git restore --staged <file>..." to unstage)
6       modified:   README.md
7       new file:   index.html
```

I file ora sono tracciati e sono pronti per essere "committed".

A questo punto posso usare il comando:

```
1 git commit -m "messaggio"
```

Dove il messaggio dovrebbe contenere informazioni sui cambiamenti che abbiamo effettuato ai nostri file.

Se ho bisogno di aggiungere un ulteriore sottomessaggio posso scrivere invece:

```
1 git commit -m "messaggio" -m "ulteriore descrizione"
```

A questo punto abbiamo salvato il "commit" in locale, ma ancora non lo abbiamo caricato su GitHub. Per farlo usiamo:

```
1 git push origin main
```

## Creare una Repository in locale

Finora abbiamo lavorato a partire da una repository creata inizialmente su GitHub. Ora vediamo come fare se vogliamo lavorare partendo direttamente in locale.

Creiamo una nuova cartella nella quale vogliamo mettere i nostri file. Per ora è una normalissima cartella, non è una repository di git. Se vogliamo che diventi una cartella di git, da terminale, dopo esserci messi in questa cartella, usiamo il comando:

```
1 git init
```

A questo punto possiamo usare tutti i comandi che abbiamo già visto:

```
1 git add      #per aggiungere file da tracciare
2 git status   #per vedere lo stato dei file nella cartella
3 git commit -m "commento" -m "ulteriore commento"    #per effettuare un "commit"
```

A questo punto vogliamo caricare il tutto su github, come fare? Se usiamo il comando

```
1 git push origin master
```

otterrò un errore. GitHub non ha idea di dove caricare questa nostra repository locale. La cosa più semplice da fare è quindi creare una repository vuota dal sito di GitHub. Una volta creata uscirà una schermata del genere:

The screenshot shows the GitHub repository setup interface. At the top, it says "Quick setup — if you've done this kind of thing before". Below that, there are options for "HTTPS" and "SSH", with "git@github.com:zaffo1/demo-repo2.git" selected. A note below says "Get started by creating a new file or uploading an existing file. We recommend every repository include a README, LICENSE, and .gitignore." There is also a small "Edit" icon.

Copiamo il link visualizzato e usiamo il comando:

```
1 git remote add origin git@github.com:zaffo1/demo-repo2.git
```

per effettuare il collegamento alla repository su GitHub. Possiamo controllare che il collegamento sia avvenuto scrivendo:

```
1 git remote -v
```

dovremmo ottenere una cosa del genere:

```
1 origin  git@github.com:zaffo1/demo-repo2.git (fetch)
2 origin  git@github.com:zaffo1/demo-repo2.git (push)
```

Adesso posso usare il comando:

```
1 git push -u origin master
```

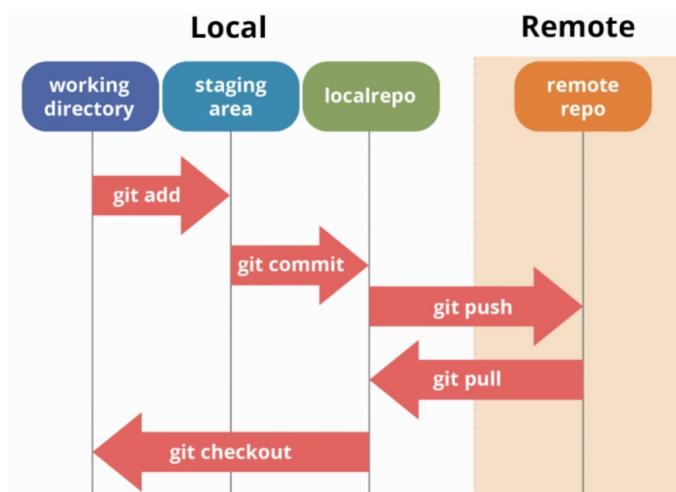
il pezzo "-u" serve per poter impostare di default questa destinazione. Cosicché le prossime volte mi basterà scrivere

```
1 git push
```

Abbiamo caricato la nostra repository su GitHub!

**Nota:** a volte ho usato **main** e a volte **master**. Bisogna essere consistenti.

## Git Workflow





## Appendix B

# Usare Sphinx per creare la documentazione

**Nota:** ho seguito fondamentalmente le istruzioni scritte nei tutorial ai seguenti link:

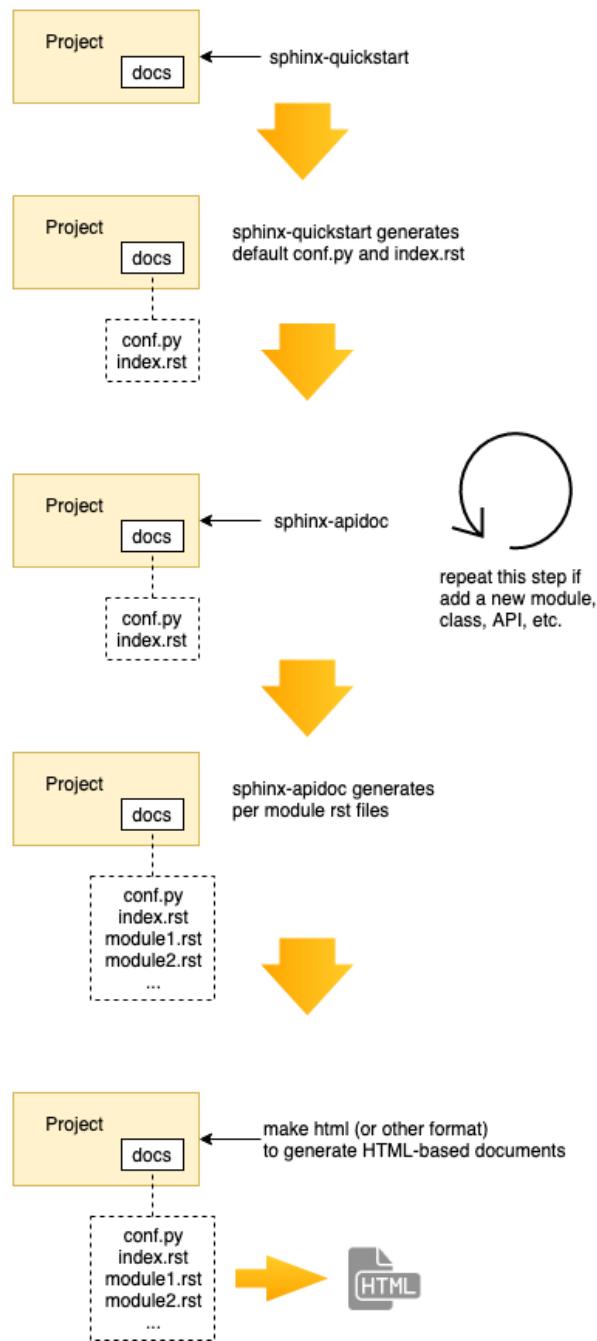
<https://shunsvineyard.info/2019/09/19/use-sphinx-for-python-documentation/>

<https://towardsdatascience.com/documenting-python-code-with-sphinx-554e1d6c4f6d>

Sphinx provides two command-line tools: `sphinx-quickstart` and `sphinx-apidoc`.

1. `sphinx-quickstart` sets up a source directory and creates a default configuration, `conf.py`, and a master document, `index.rst`, which serves as a welcome page of a document.
2. `sphinx-apidoc` generates reStructuredText files to document from all found modules.

Il workflow tipico può essere rappresentato nel seguente diagramma:



### Step 1: Use sphinx-quickstart to generate Sphinx source directory with conf.py and index.rst

Supponiamo che il nostro progetto abbia la seguente struttura:

```

1  sphinx_basics
2  | - docs
3  | - maths
4  |   | - add.py
5  |   | - divide.py
6  |   | - multiply.py
7  |   | - subtract.py
8  |   | - __init__.py
  
```

Vogliamo mettere tutta la documentazione nella cartella `docs`. Per farlo ci mettiamo nella cartella `docs` e scriviamo:

```
1 sphinx-quickstart
```

Ci varranno chieste alcune domande riguardo al nostro progetto. In particolare, rispondere (y) alla domanda:

```
1 > Separate source and build directories (y/n) [n]: y
```

A questo punto la cartella `docs` avrà una struttura del genere:

```
1 docs
2   -- Makefile
3   -- build
4   -- make.bat
5   -- source
6     |- _static
7     |- _templates
8     |- conf.py
9     |- index.rst
```

## Step 2: Configure the conf.py

`sphinx-quickstart` generates a few files, and the most important one is `conf.py`, which is the configuration of the documents. Although `conf.py` serves as a configuration file, it is a real Python file. The content of `conf.py` is Python syntax.

Go to your `conf.py` file and uncomment line numbers 13,14 and 15. Change the `os.path.abspath('..')` to `os.path.abspath('...')`. Here, we tell sphinx that the code is residing outside of the current `docs` folder.

Per far funzionare le cose, puoi direttamente copiare e incollare il seguente script:

```
1 # Configuration file for the Sphinx documentation builder.
2 #
3 # This file only contains a selection of the most common options. For a full
4 # list see the documentation:
5 # https://www.sphinx-doc.org/en/master/usage/configuration.html
6 #
7 # -- Path setup -----
8 #
9 # If extensions (or modules to document with autodoc) are in another directory,
10 # add these directories to sys.path here. If the directory is relative to the
11 # documentation root, use os.path.abspath to make it absolute, like shown here.
12 #
13 import os
14 import sys
15 sys.path.insert(0, os.path.abspath('...'))
16
17 # -- Project information -----
18
19 project = 'PDF random generator'
20 copyright = '2022, Lorenzo Zaffina'
21 author = 'Lorenzo Zaffina'
22
23 # The full version, including alpha/beta/rc tags
24 release = '00.00.01'
```

```

27
28 # -- General configuration -----
29
30 # Add any Sphinx extension module names here, as strings. They can be
31 # extensions coming with Sphinx (named 'sphinx.ext.*') or your custom
32 # ones.
33 extensions = [
34     'sphinx.ext.autodoc',
35     'sphinx.ext.viewcode',
36     'sphinx.ext.napoleon'
37 ]
38
39 # Add any paths that contain templates here, relative to this directory.
40 templates_path = ['_templates']
41
42 # List of patterns, relative to source directory, that match files and
43 # directories to ignore when looking for source files.
44 # This pattern also affects html_static_path and html_extra_path.
45 exclude_patterns = ['_build', 'Thumbs.db', '.DS_Store']
46
47
48 # -- Options for HTML output -----
49
50 # The theme to use for HTML and HTML Help pages. See the documentation for
51 # a list of builtin themes.
52 #
53 html_theme = 'sphinx_rtd_theme'
54
55 # Add any paths that contain custom static files (such as style sheets) here,
56 # relative to this directory. They are copied after the builtin static files,
57 # so a file named "default.css" will overwrite the builtin "default.css".
58 html_static_path = ['_static']

```

### Step 3: Use sphinx-apidoc to generate reStructuredText files from source code

A questo punto, andiamo nella cartella madre del nostro progetto (`sphinx_basics`) e scriviamo (con le sostituzioni opportune):

```
1 sphinx-apidoc -f -o <path-to-output> <path-to-module>
```

-f means force overwriting of any existing generated files.  
-o means the path to place the output files.

Nel caso preso in esempio, scriviamo:

```
1 sphinx-apidoc -f -o docs maths/
```

### Step 4: Including module.rst and generating html

The generated `modules.rst` contains all the modules. So we need to add the `modules.rst` to `index.rst`.

Apriamo il file (`index.rst`) e scriviamo `modules` come nel seguente esempio:

```
1 .. toctree::
2     :maxdepth: 2
3     :caption: Contents:
```

```
4
5     modules
```

A questo punto tutto è pronto per generare la nostra documentazione. Andiamo nella cartella `docs` e scriviamo:

```
1 make html
```

Ecco fatto! Abbiamo generato la nostra documentazione nella cartella `_build`