

# A Feedforward Architecture Accounts for Rapid Categorization

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# Section 1: Introduction Hmax Model

HMAX model is a bio-inspired feedforward architecture for object recognition, which is derived from the simple and complex cells model in cortex proposed by Hubel and Wiesel. As a hierarchical bio-based recognition model, HMAX captures the properties of primate cortex with alternated  $S$  and  $C$  layers, corresponding to simple cells and complex cells respectively. It should be noted that unlike other object recognition models or feature extraction models proposed and optimized for specific tasks, HMAX model differs from them in its creativeness to incorporate biological findings into computer algorithms and systems.

## 1.1 ORIGINAL HMAX MODEL

In its simplest version, the standard model consists of four layers of computational units where simple  $S$  units, which combine their inputs with Gaussian-like tuning to increase object selectivity, alternate with complex  $C$  units, which pool their inputs through a maximum operation, thereby introducing gradual invariance to scale and translation. The model has been able to quantitatively duplicate the generalization properties exhibited by neurons in inferotemporal monkey cortex (the so-called view-tuned units) that remain highly selective for particular objects ( face, hand, toilet brush) while being invariant to ranges of scales and positions.

A sketch of this original HMAX model:

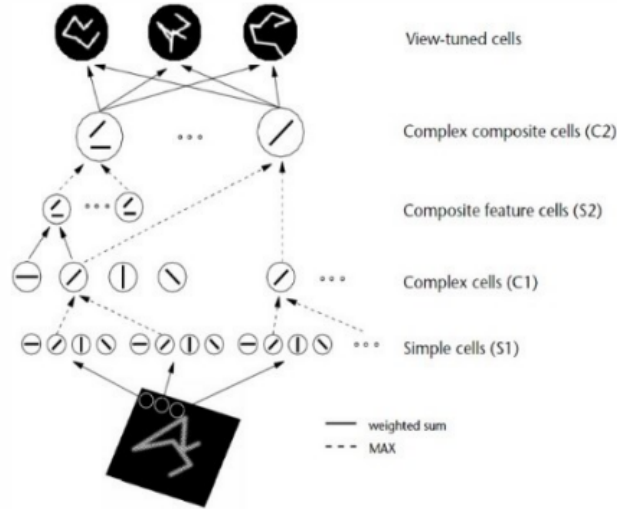


Fig.1. Origin HMAX model structure, originally presented in [4]

- *S1 Layer:*

The  $S1$  responses are obtained by applying to the input image a battery of Gabor filters, which can be described by the following equation:

$$G(x, y) = \exp\left(-\frac{X^2 + \gamma^2 Y^2}{2\sigma^2}\right) \cos\left(\frac{2\pi}{\lambda} X\right) \quad (1)$$

where  $X = x \cos \theta + y \sin \theta$  and  $Y = x \sin \theta + y \cos \theta$ .

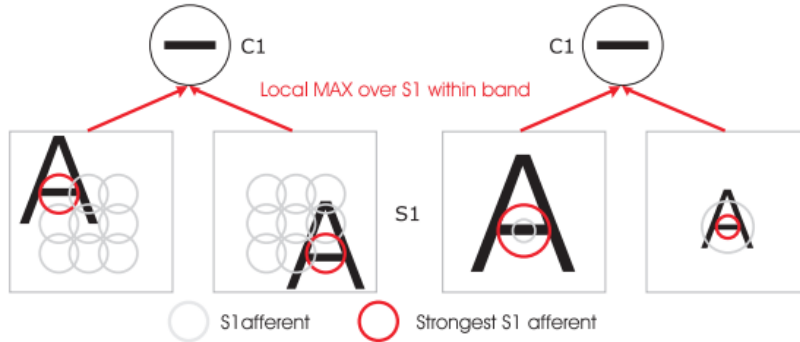
We adjusted the filter parameters, i.e., orientation  $\theta$ , effective width  $\sigma$ , and wavelength  $\lambda$ , so that the tuning profiles of  $S1$  units match those of  $V1$  parafoveal simple cells. This

was done by first sampling the space of parameters and then generating a large number of filters. We applied those to stimuli commonly used to probe V1 neurons (i.e., gratings, bars and edges). After removing filters that were incompatible with biological cells, we were left with a final set of 16 filters at 4 orientations.

- *C1 Layer:*

*C1* corresponds to complex cells which show some tolerance to shift and size: complex cells tend to have larger receptive fields (twice as large as simple cells), respond to oriented bars or edges anywhere within their receptive field (shift invariance) and are in general more broadly tuned to spatial frequency than simple cells (scale invariance). Modifying the original Hubel and Wiesel proposal for building complex cells from simple cells through pooling, Riesenhuber and Poggio proposed a max-like pooling operation for building position- and scale tolerant *C1* units. In the meantime, experimental evidence in favor of the max operation has appeared. Again pooling parameters were set so that *C1* units match the tuning properties of complex cells as measured experimentally.

Fig. 2 illustrates how pooling from *S1* to *C1* is done. *S1* units come in 16 scales arranged in 8 bands  $\Sigma$ . For instance, consider the first band  $\Sigma = 1$ . For each orientation, it contains two *S1* maps: one obtained using a filter of size 7, and one obtained using a filter of size 9. Note that both of these *S1* maps have the same dimensions. In order to obtain the *C1* responses, these maps are sub-sampled using a grid cell of size  $N^\Sigma * N^\Sigma = 8 * 8$ . From each grid cell we obtain one measurement by taking the maximum of all 64 elements. As a last stage we take a max over the two scales, by considering for each cell the maximum value from the two maps. This process is repeated independently for each of the four orientations and each scale band.



**Figure 2. Scale- and position-tolerance at the complex cells (*C1*) level:** Each *C1* unit receives inputs from *S1* units at the same preferred orientation arranged in bands  $\Sigma$ , i.e., *S1* units in two different sizes and neighboring positions (grid cell of size  $N^\Sigma \times N^\Sigma$ ). From each grid cell (left) we obtain one measurement by taking the max over all positions allowing the *C1* unit to respond to an horizontal edge anywhere within the grid (tolerance to shift). Similarly, by taking a max over the two sizes (right) the *C1* unit becomes tolerant to slight changes in scale.

- *S2 Layer:*

A large pool of  $K$  patches of various sizes at random positions are extracted from a target set of images at the  $C1$  level for all orientations, i.e., a patch  $P_i$  of size  $n_i * n_i$  contains  $n_i * n_i * 4$  elements, where the 4 factor corresponds to the four possible  $S1$  and  $C1$  orientations. The training process ends by setting each of those patches as prototypes or centers of the  $S2$  units which behave as radial basis function ( $RBF$ ) units during recognition, i.e., each  $S2$  unit response depends in a Gaussian like way on the Euclidean distance between a new input patch (at a particular location and scale) and the stored prototype. This is consistent with well-known neuron response properties in primate inferotemporal cortex and seems to be the key property for learning to generalize in the visual and motor systems. When a new input is presented, each stored  $S2$  unit is convolved with the new  $(C1)^\Sigma$  input image at all scales (this leads to  $K * 8(S2)_i^\Sigma$  images, where the  $K$  factor corresponds to the  $K$  patches extracted during learning and the 8 factor, to the 8 scale bands).

- *C2 Layer:*

Compute the max over all positions and scales for each  $S2$  map type  $(S2)_i$  (i.e., corresponding to a particular patch  $P_i$ ) and obtain shift- and scale-invariant  $C2$  features  $(C2)_i$ , for  $i = 1 \dots K$ .

## Section 2: Model Functions

### 2.1 demoRelease

It is the main function. At first, it initialize some parameters such as patch size, train and test images direction path. Then it calls the function **'readAllImages'**. We explain this function later, but the output of this function is a  $4 \times 1$  cells contains all training and test images.

```
1      useSVM = 1;
2      READPATCHESFROMFILE = 0;
3      patchSizes = [4 8 12 16];
4      numPatchSizes = length(patchSizes);
5
6      %specify directories for training and testing images
7      train_set.pos = '';
8      train_set.neg = '';
9      test_set.pos = '';
10     test_set.neg = '';
11
12     cI = readAllImages(train_set,test_set);
13
14     if isempty(cI{1}) | isempty(cI{2})
15         error(['No training images were loaded -- did you remember to' ...
16             ' change the path names?']);
17     end
```

Then it extracts the  $C1$  prototype, or in other word,  $S1$  and  $C1$  layers of the standard model for positive train images. It calls the function **'extractRandC1Patches'** which give the positive train images, number of patch sizes ( $inourmodel = 4$ ), number of patches per size ( $inthemodel = 250$ ), patch sizes (4, 8, 12, 16). I analysis this function later. It return random prototypes as part of the training  $C2$  classification.

```
1      if ~READPATCHESFROMFILE
2          tic
3          numPatchesPerSize = 250;
4          cPatches = extractRandC1Patches(cI{1}, numPatchSizes, ...
5              numPatchesPerSize, patchSizes); %fix: extracting from positive only
6
7          totaltimespectextractingPatches = toc;
8      else
9          fprintf('reading patches');
10         cPatches = load('PatchesFromNaturalImages250per4sizes','cPatches');
11         cPatches = cPatches.cPatches;
12     end
```

After that, it set the parameters, which are used in 'gabor' filter and extract patches. 'rot' is a filter direction, 'c1ScaleSS' is scale vector, 'c1SpaceSS' is pooling range, 'RF\_size' is a filter size. The **'init\_gabor'** is called and return the all filters with different direction and size (in 'filters' parameter). We use them in  $S1$  layer.

```

1      %----Settings for Testing -----%
2      rot = [90 -45 0 45];
3      c1ScaleSS = [1:2:18];
4      RF_siz    = [7:2:39];
5      c1SpaceSS = [8:2:22];
6      Div = [4:-.05:3.2];
7      %--- END Settings for Testing -----%
8
9      [fSiz,filters,c10L,numSimpleFilters] = init_gabor(rot, RF_siz, Div);

```

Then the '**extractC2forcell**' function is called to extract  $C2$  feature for each training and testing images.

```

1      for i = 1:4,
2          C2res{i} = extractC2forcell(filters,fSiz,c1SpaceSS,c1ScaleSS,c10L,
3                                     cPatches,cI{i},numPatchSizes);
4      end

```

At the end, it use 'SVM' ('**CLSosusvm**' function) or 'Nearest Neighbor classifier' ('**CLSnnC**' function) to label the training images and measure the model score.

```

1      %Simple classification code
2      XTrain = [C2res{1} C2res{2}];
3      XTest  = [C2res{3},C2res{4}];
4      ytrain = [ones(size(C2res{1},2),1);-ones(size(C2res{2},2),1)];
5      ytest  = [ones(size(C2res{3},2),1);-ones(size(C3res{4},2),1)];
6
7      if useSVM
8          Model = CLSosusvm(XTrain,ytrain);
9          [ry,rw] = CLSosusvmC(XTest,Model);
10     else
11         Model = CLSnn(XTrain, ytrain);
12         [ry,rw] = CLSnnC(XTest,Model);
13     end
14     successrate = mean(ytest==ry) % a simple classification score

```

## 2.2 readAllImages

- input: train\_set (train images direction), test\_set (test images direction), maximagesperdir (in our model set it 'inf')
- output: cI (4 \* 1 cell, all training and testing images pathway and information)

It read and return the images. At first save train and test image directory (line 7 – 12), then load and convert to gray-scale level (line 14 – 17). It return 'CI', a cell contains the images of each four group.

```
1      function cI = readAllImages(train_set,test_set,maximagesperdir);
2          dnames = {train_set.pos,train_set.neg,test_set.pos,test_set.neg};
3
4          fprintf('Reading images...');
5          cI = cell(4,1);
6          for i = 1:4,
7              c{i} = dir(fullfile(dnames{i}, '*.jpg'));
8              if length(c{i})>0,
9                  if c{i}(1).name == '.',
10                      c{i} = c{i}(3:end);
11                  end
12              end
13          cI{i} = cell(length(c{i}),1);
14          for j = 1:length(c{i}),
15              cI{i}{j} = double(imread([dnames{i} '/' c{i}(j).name]))./255;
16              cI{i}{j} = rgb2gray(cI{i}{j});
17          end
18      end
```

## 2.3 init\_gabor

- input: rot, RF\_siz, Div (*I explain about these parameters in section 2.1*)
- output: fSiz (size of all filters), filters, c1OL, numSimpleFilters(number of filter, in this model equal four)

At first, we initial parameters:

```
1      c1OL          = 2;
2      numFilterSizes = length(RF_siz);
3      numSimpleFilters = length(rot);
4      numFilters     = numFilterSizes*numSimpleFilters;
5      fSiz           = zeros(numFilters,1); % vector with filter sizes
6      filters        = zeros(max(RF_siz)^2,numFilters);
7
8      lambda = RF_siz*2./Div;
9      sigma  = lambda.*0.8;
10     G      = 0.3; % spatial aspect ratio: 0.23 < gamma < 0.92
11
12     lambda = RF_siz*2./Div;
13     sigma  = lambda.*0.8;
14     G      = 0.3; % spatial aspect ratio: 0.23 < gamma < 0.92
```

It creates two filter in size  $11 \times 11$  and  $13 \times 13$  for each direction. Store these filters in a matrix with size  $13^2 \times 8$ . I describe each line with command in the below code.

```
1      for k = 1:numFilterSizes
2          for r = 1:numSimpleFilters
3              theta = rot(r)*pi/180; %% convert degree to radian
4              filtSize = RF_siz(k); %% filter size (in our model: [7:2:39])
5              center = ceil(filtSize/2); %% filter center
6              filtSizeL = center-1;
7              filtSizeR = filtSize-filtSizeL-1; %% filtSizeL & filtSizeR set the
              filter region
8              sigmaq = sigma(k)^2; %% variance filter function
9
10             %% For each point in filter range, we measure gabor function with
              equation (1).
11
12             for i = -filtSizeL:filtSizeR
13                 for j = -filtSizeL:filtSizeR
14                     if ( sqrt(i^2+j^2)>filtSize/2 )
15                         E = 0;
16                     else
17                         x = i*cos(theta) - j*sin(theta);
18                         y = i*sin(theta) + j*cos(theta);
19                         E = exp(-(x^2+G^2*y^2)/(2*sigmaq))*cos(2*pi*x/lambda(k));
20                     end
21                     f(j+center,i+center) = E;
22                 end
23             end
24         end
```



```

25      %%% Normalization
26
27      f = f - mean(mean(f));
28      f = f ./ sqrt(sum(sum(f.^2)));
29
30      %%% p= 8, four direction in two scale filters. Reshape filter and
       store them in size 13*13. (we use two size of filter; 11 and 13. I
       think all of them store in a same matrix size)
31
32      p = numSimpleFilters*(k-1) + r;
33      filters(1:filtSize^2,p)=reshape(f,filtSize^2,1);
34      fSiz(p)=filtSize;
35  end
36 end

```

## 2.4 unpadimage

- input: i (input image, after using gabor filter), amnt (rows and columns want to delete them)
- output: o (rebuild image after frame deletion)

It gets the images and delete the frame from each dimension.

```
1      %if length(amnt == 1), unpad equal on each side
2      %if length(amnt == 2), first amnt is left right, second up down
3      %if length(amnt == 4), then [left top right bottom];
4
5      switch(length(amnt))
6      case 1
7          sx = size(i,2) - 2 * amnt; sy = size(i,1) - 2 * amnt; %%% new image
              size
8          l = amnt + 1; r = size(i,2) - amnt; t = amnt + 1; b = size(i,1) -
              amnt; %%% which column and rows should keep in new image
9      case 2
10         sx = size(i,2) - 2 * amnt(1); sy = size(i,1) - 2 * amnt(2);
11         l = amnt(1) + 1; r = size(i,2) - amnt(1); t = amnt(2) + 1; b = size(i,
              ,1) - amnt(2);
12      case 4
13         sx = size(i,2) - (amnt(1) + amnt(3)); sy = size(i,1) - (amnt(2) +
              amnt(4));
14         l = amnt(1) + 1; r = size(i,2) - amnt(3); t = amnt(2) + 1; b = size(i,
              ,1) - amnt(4);
15      otherwise
16          error('illegal unpad amount\n');
17      end
18
19      o = i(t:b,l:r,:); %%% new image after unpadding
```

## 2.5 padimage

- input: i (input image, after using gabor filter), amnt (rows and columns want to add them), method (String values for pad method, 'circular': Pads with circular repetition of elements,'replicate': Repeats border elements of A, 'symmetric' Pads array with mirror reflections of itself.)
- output: o (rebuild image after padding)

Padarray which operates on only the first 2 dimensions of a 3 dimensional image. (of arbitrary number of layers)

```
1  o = zeros(size(i,1) + 2 * amnt, size(i,2) + 2* amnt, size(i,3));
2  for n = 1:size(i,3)
3      o(:,:,n) = padarray(i(:,:,n),[amnt,amnt],method,'both');
4
5      %%% 'both': Pads before the first element and after the last array
6      element along each dimension.
7      %%% In our model, methode=0, it means each pad element contains the
8      value 0.
9      %%% 'padarray(A,padsize,padval)': pads array A where padval specifies a
10     constant value to use for padded elements or a method to replicate
11     array elements.
12  end
```

## 2.6 maxfilter

- input: I (input image), radius (the vector contain the max operation pooling radius. In other word, how many pixel in each dimension we choose for local max operation. If the vector have four nonzero value, we perfume local maximization in rectangle window. In this model radius = [0 0 9 9])
- output: I (new image after max pooling)

Performs morphological dilation on a multilayer image. In each row and column, only save the maximum number in the window, which length is same as radius (input parameter).

```
1      switch length(radius)
2      case 1,
3          I = padimage(I,radius); %%% add extra row and columns to buffer
4              image in max operation
5          [n,m,thirdd] = size(I); %%% input image size
6          B = I;
7          for i = radius+1:m-radius,
8              B(:,i,:) = max(I(:,i-radius:i+radius,:),[],2); %%% local
9                  maximization in second dimension
10          end
11          for i = radius+1:n-radius,
12              I(i,::) = max(B(i-radius:i+radius,::),[],1); %%% local
13                  maximization in 3th dimension
14          end
15          I = unpadimage(I,radius);
16      case 4, %%% same as first case, but we have rectangle window for
17          pooling
18          [n,m,thirdd] = size(I);
19          B = I;
20          for i=1:radius(1)
21              B(:,i,:) = max(I(:,max(1,i-radius(1)):min(end,i+radius(3)),:),
22                  [],2);
23          end
24          for i = radius(1)+1:m-radius(3),
25              B(:,i,:) = max(I(:,i-radius(1):i+radius(3),:),[],2);
26          end
27          for i=m-radius(3)+1:m
28              B(:,i,:) = max(I(:,i-radius(1):min(end,i+radius(3)),:),[],2);
29          end
30          for i = 1:radius(2),
31              I(i,::) = max(B(max(1,i-radius(2)):i+radius(4),::),[],1);
32          end
33          for i = radius(2)+1:n-radius(4),
34              I(i,::) = max(B(max(1,i-radius(2)):min(end,i+radius(4)),::),
35                  [],1);
```

```

36         ,[],1);
37     end
38     for i = n-radius(4)+1:n,
39         I(i,:,:) = max(B(i-radius(2):min(end,i+radius(4)) ,:,:) , [],1);
40     end
41 otherwise,
42     error('maxfilter: poorly defined radius\n');
43 end

```

## 2.7 C1

- input: stim (the input image must be grayscale (single channel)), filters (Matrix of Gabor filters of size  $\text{max\_fSiz} \times \text{num\_filters}$ , where  $\text{max\_fSiz}$  is the length of the largest filter and  $\text{num\_filters}$  the total number of filters. Column  $j$  of filters matrix contains a  $n\_j \times n\_j$  filter (reshaped as a column vector and padded with zeros). In our model:  $39^2 \times 68$ ), fSiz (Vector of size  $\text{num\_filters}$  containing the various filter sizes. In our model:  $1 \times 68$ ), c1SpaceSS (Vector defining the scale bands, i.e. a group of filter sizes over which a local max is taken to get the  $C1$  unit responses, e.g.  $\text{c1ScaleSS} = [1 \text{ num\_filters} + 1]$  means 2 scale bands, the first one contains filters(:,  $1 : k - 1$ ) and the second one contains filters(:,  $k : \text{num\_filters}$ )). If  $N$  pooling bands,  $\text{c1ScaleSS}$  should be of length  $N + 1$ . In our model:  $[8 : 2 : 22]$  (7 scale band)), c1ScaleSS (Vector defining the spatial pooling range for each scale band, i.e.  $\text{c1SpaceSS}(i) = m\_i$  means that each  $C1$  unit response in band  $i$  is obtained by taking a max over a local neighborhood of  $m_i \times m_i \times S1$  units. If  $N$  bands then  $\text{c1SpaceSS}$  should be of size  $N$ . In the model:  $[1 : 2 : 18]$ .), c1OL (Scalar value defining the overlap between  $C1$  units. In scale band  $i$ , the  $C1$  unit responses are computed every  $\text{c1Space}(i)/\text{c1OL}$ .), INCLUDEBORDERS (the type of treatment for the image border)
- output: S1 (Filtered images), C1 (The output of  $C1$  layer, after max polling over the images)

Returns the  $C1$  and  $S1$  units' activation given the input image.

Computation for  $S$  layer:

At first, it rebuild the gabor filter to be suitable for 'conv2' function. All filter save in 'sqfilter' cell.

Before use gabor filter, images is convolve with a unique vector to normalize it (in function 'sumfilter'). Each eight gabor filter move across pixels and extract image features (use 'imread' matlab function). After using each filter, 'removeborders' function is called. This function replace the last frame of images with zero.

Computation for  $C$  layer:

- (1) pool over scales within band: It maximize between two filtered images (after use two filter with same direction and different scale). We do this work for each four direction.
- (2) pool over local neighborhood: In 'maxfilter' function, we locally maximize the image's pixel.
- (3) subsample: The image is down-sample and save in lower dimension.

```

1      numScaleBands=length(c1ScaleSS)-1; % convention: last element in
      c1ScaleSS is max index + 1
2      numScales=c1ScaleSS(end)-1;
3      % last index in scaleSS contains scale index where next band would
      start, i.e., 1 after highest scale!!
4      numSimpleFilters=floor(length(fSiz)/numScales);
5
6      for iBand = 1:numScaleBands
7          ScalesInThisBand{iBand} = c1ScaleSS(iBand):(c1ScaleSS(iBand+1) -1);
8      end
9
10     % Rebuild all filters (of all sizes)
11     %%%%%%%%%%
12     nFilts = length(fSiz);
13     for i = 1:nFilts
14         sqfilter{i} = reshape(filters(1:(fSiz(i)^2),i),fSiz(i),fSiz(i));
15         if USECONV2
16             sqfilter{i} = sqfilter{i}(end:-1:1,end:-1:1); %flip in order to
              use conv2 instead of imfilter (%bug_fix 6/28/2007);
17         end
18     end

```

```

1      % Calculate all filter responses (s1)
2      %%%%%%%%%%
3      sqim = stim.^2;
4      iUFilterIndex = 0;
5      % precalculate the normalizations for the usable filter sizes
6      uFiltSizes = unique(fSiz);
7      for i = 1:length(uFiltSizes)
8          s1Norm{uFiltSizes(i)} = (sumfilter(sqim,(uFiltSizes(i)-1)/2)).^0.5;
9          %avoid divide by zero
10         s1Norm{uFiltSizes(i)} = s1Norm{uFiltSizes(i)} + ~s1Norm{uFiltSizes(i)
              });
11     end
12
13     for iBand = 1:numScaleBands
14         for iScale = 1:length(ScalesInThisBand{iBand})
15             for iFilt = 1:numSimpleFilters
16                 iUFilterIndex = iUFilterIndex+1;
17                 if ~USECONV2
18                     %% imfilter(A,h,'symmetric','same','corr'): filters the
                        multidimensional array A with the multidimensional filter
                        h, 'symmetric': Input array values outside the bounds of
                        the array are computed by mirror-reflecting the array
                        across the array border, 'corr': imfilter performs
                        multidimensional filtering using correlation, 'same': The
                        output array is the same size as the input array.
19                     s1{iBand}{iScale}{iFilt} = abs(imfilter(stim,sqfilter{
                        iUFilterIndex},'symmetric','same','corr'));
20

```

```

21         if(~INCLUDEBORDERS)
22             s1{iBand}{iScale}{iFilt} = removeborders(s1{iBand}{
                iScale}{iFilt},fSiz(iUFilterIndex));
23         end
24         s1{iBand}{iScale}{iFilt} = im2double(s1{iBand}{iScale}{
                iFilt}) ./ s1Norm{fSiz(iUFilterIndex)};
25     else %not 100% compatible but 20% faster at least
26         s1{iBand}{iScale}{iFilt} = abs(conv2(stim,sqfilter{
                iUFilterIndex},'same'));
27         if(~INCLUDEBORDERS)
28             s1{iBand}{iScale}{iFilt} = removeborders(s1{iBand}{
                iScale}{iFilt},fSiz(iUFilterIndex));
29         end
30         s1{iBand}{iScale}{iFilt} = im2double(s1{iBand}{iScale}{
                iFilt}) ./ s1Norm{fSiz(iUFilterIndex)};
31     end
32 end
33 end
34 end

```

```

1
2     % Calculate local pooling (c1)
3     %%%%%%%%%%
4
5     % (1) pool over scales within band
6     for iBand = 1:numScaleBands
7         for iFilt = 1:numSimpleFilters
8             c1{iBand}(:, :, iFilt) = zeros(size(s1{iBand}{1}{iFilt}));
9             for iScale = 1:length(ScalesInThisBand{iBand});
10                 c1{iBand}(:, :, iFilt) = max(c1{iBand}(:, :, iFilt), s1{iBand}{
                    iScale}{iFilt});
11             end
12         end
13     end
14
15     % (2) pool over local neighborhood
16     for iBand = 1:numScaleBands
17         poolRange = (c1SpaceSS(iBand));
18         for iFilt = 1:numSimpleFilters
19             c1{iBand}(:, :, iFilt) = maxfilter(c1{iBand}(:, :, iFilt), [0 0
                    poolRange-1 poolRange-1]);
20         end
21     end
22
23
24     % (3) subsample
25     for iBand = 1:numScaleBands
26         sSS=ceil(c1SpaceSS(iBand)/c10L);
27         clear T;
28         for iFilt = 1:numSimpleFilters
29             T(:, :, iFilt) = c1{iBand}(1:sSS:end, 1:sSS:end, iFilt);

```



```
30         end
31         c1{iBand} = T;
32     end
```

```
1
2     function sout = removeborders(sin,siz)
3     sin = unpadimage(sin, [(siz+1)/2,(siz+1)/2,(siz-1)/2,(siz-1)/2]);
4     sin = padarray(sin, [(siz+1)/2,(siz+1)/2],0,'pre');
5     sout = padarray(sin, [(siz-1)/2,(siz-1)/2],0,'post');
```

## 2.8 extractRandC1Patches

- input: cItrainingOnly (positive train images), numPatchSizes (the number of sizes in which the prototypes come. In our model = 4, since our patch size is [481216]), numPatchesPerSize (the number of prototypes extracted for each size. In our model = 250), patchSizes (vector of the patch sizes, [481216])
- output: cPatches (4 \* 1 cell, return prototypes for each patch size)

It extracts random prototypes as part of the training of the C2 classification. At first, set the gabor filter parameters and call it.

```

1      nImages = length(cItrainingOnly); %%% the number of train images
2
3      %----Settings for Training the random patches-----%
4      rot = [90 -45 0 45]; %%% gabor filter direction
5      c1ScaleSS = [1 3]; %%% scale vector
6      RF_siz = [11 13]; %%% gabor filter size
7      c1SpaceSS = [10]; %%% pooling range
8      div = [4:-.05:3.2];
9      Div = div(3:4);
10     %--- END Settings for Training the random patches-----%
11
12     [fSiz, filters, c10L, numSimpleFilters] = init_gabor(rot, RF_siz, Div);

```

It create the 4\*1 cells, for each patch size. In each cell, we have the zero matrix which has 250 columns (as the number of patch for specific patch size) and the rows for each direction and patch size (line 3 – 6). Fore example, for patch size equal 8, we have 4 \* 8 \* 8 rows (4 \* 4 for reshape patch and 4 relate to 4 direction).

```

1      cPatches = cell(numPatchSizes,1); %%% to save our 4 type patches
2      bsize = [0 0];
3      pind = zeros(numPatchSizes,1);
4      for j = 1:numPatchSizes
5          cPatches{j} = zeros(patchSizes(j)^2*4, numPatchesPerSize);
6      end

```

At last, the 'C1' function use. The output is equal the output of C1 in the model. We use this output (e.i. the output of S1 and C1 layer, which are filtered images) and extract random patch. For each direction (0, 45, -45, 90), four random patch in size of (4, 8, 12, 16) extract. We have 16 patch for each image.

```

1      for i = 1:numPatchesPerSize %%% want to choose 250 patch
2          ii = floor(rand*nImages) + 1;
3          stim = cItrainingOnly{ii}; %%% randomly choose one of train
              images
4          img_siz = size(stim); %%% random train image size
5
6          %%% call 'C1' for this image. It return 'S1' and 'C1' layer output.
              (explain in section 2.7)
7          [c1source, s1source] = C1(stim, filters, fSiz, c1SpaceSS, c1ScaleSS,
              c10L);

```

```

8      %%% new C1 interface and its size.
9      b = c1source{1};
10     bsize(1) = size(b,1);
11     bsize(2) = size(b,2);
12
13     for j = 1:numPatchSizes   %%% for each patch size [4,8,12,16]
14         xy = floor(rand(1,2).*(bsize-patchSizes(j)))+1;   %%% randomly
            select patch index
15         tmp = b(xy(1):xy(1)+patchSizes(j)-1,xy(2):xy(2)+patchSizes(j)
            -1,:);   %%% randomly select patch for each 4 direction (third
            dimention relate to this)
16         pind(j) = pind(j) + 1;
17         cPatches{j}(:,pind(j)) = tmp(:);   %%% save patches for patch
            size (j). pind(j) is the count for 250 patch
18     end
19 end

```

## 2.9 sumfilter

- input: I (input image), radius (window length)
- output: I3 (image after local sum)

```
1  switch length(radius)
2      case 4, %%% I don't compeletly understand this part
3          I2 = conv2(ones(1,radius(2)+radius(4)+1), ones(radius(1)+radius(3)+1,1)
4              , I);
5          I3 = I2((radius(4)+1:radius(4)+size(I,1)), (radius(3)+1:radius(3)+size(
6              I,2)));
7      case 1,
8          mask = ones(2*radius+1,1);
9          I2 = conv2(mask, mask, I); %%% convolve with a one vector equal local
              summation
10         I3 = I2((radius+1:radius+size(I,1)), (radius+1:radius+size(I,2))); %%%
              only keep I2 as same as input image.
11     end
```

## 2.10 WindowedPatchDistance

- input: Im (the prototype (patches) to be used in the extraction of s2), Patch (one band of 'C1' output)
- output: D (euclidean distance)

Computes the euclidean distance between each Patch and all crops of image (C1 layer output) of similar size.

```
1      dIm = size(Im,3); %%% dIm= number of filter use in C1 (=8)
2      dPatch = size(Patch,3); %%% dPatch= the number of patch layer
3      if(dIm ~= dPatch) %%% check patch and input image have a same layer
4          fprintf('The patch and image must be of the same number of layers');
5      end
6      s = size(Patch);
7      s(3) = dIm;
8
9      Psqr = sum(sum(sum(Patch.^2))); %%% sum square of all sample in Patch
10     Imsq = Im.^2; %%% sum square of each layer of Im (line - )
11     Imsq = sum(Imsq,3);
12     sum_support = [ceil(s(2)/2)-1,ceil(s(1)/2)-1,floor(s(2)/2),floor(s(1)/2)
13         ]; %%% the window for convolution (use in 'sumfilter' function)
14
15     Imsq = sumfilter(Imsq,sum_support); %%% after local with window size
16         sum_support
17
18     PI = zeros(size(Imsq));
19     for i = 1:dIm
20         PI = PI + conv2(Im(:,:,i),Patch(:,:,i), 'same'); %%% for each layer
21         , convolve Patch with Im
22     end
23
24     D = Imsq - 2 * PI + Psqr + 10^-10; %%% euclidean distance (I don't
25         know what is this equation)
```

## 2.11 C2

- input: **stim** (the input image must be grayscale (single channel)), **filters** (Matrix of Gabor filters of size  $\text{max\_fSiz} \times \text{num\_filters}$ , where  $\text{max\_fSiz}$  is the length of the largest filter and  $\text{num\_filters}$  the total number of filters. Column  $j$  of filters matrix contains a  $n\_j \times n\_j$  filter (reshaped as a column vector and padded with zeros). In our model:  $39^2 \times 68$ ), **fSiz** (Vector of size  $\text{num\_filters}$  containing the various filter sizes. In our model:  $1 \times 68$ ), **c1ScaleSS** (Vector defining the scale bands, i.e. a group of filter sizes over which a local max is taken to get the  $C1$  unit responses, e.g.  $\text{c1ScaleSS} = [1 \text{ num\_filters} + 1]$  means 2 scale bands, the first one contains  $\text{filters}(:, 1 : k - 1)$  and the second one contains  $\text{filters}(:, k : \text{num\_filters})$ . If  $N$  pooling bands,  $\text{c1ScaleSS}$  should be of length  $N + 1$ . In our model:  $[8 : 2 : 22]$  (7 scale band)), **c1SpaceSS** (Vector defining the spatial pooling range for each scale band, i.e.  $\text{c1SpaceSS}(i) = m_i$  means that each  $C1$  unit response in band  $i$  is obtained by taking a max over a local neighborhood of  $m_i \times m_i$   $S1$  units. If  $N$  bands then  $\text{c1SpaceSS}$  should be of size  $N$  In the model:  $[1 : 2 : 18]$ .), **c1OL** (Scalar value defining the overlap between  $C1$  units. In scale band  $i$ , the  $C1$  unit responses are computed every  $\text{c1Space}(i)/\text{c1OL}$ .), **s2Target** (the prototype to be used in the extraction of  $s2$ . Each patch of size  $[n, n, d]$  is stored as a column in  $\text{s2Target}$ , which has itself a size of  $[n \times nd, n\_patches]$ ), **c1** (if available, a precomputed  $c1$  layer can be used to save computation time)
- output:  $c2, s2, c1, s1$  (the output of  $S1, S2, C1$  and  $C2$  layer)

At first 'C1' is called and return the filtered images. Then we create the 'S2' layer as a RBF network. 'WindowedPatchDistance' is called. Then, calculate minimum distance (maximum stimulation) across position and scales, as 'C2' layer do.

```

1      nbands = length(c1); %%% number of ScaleBands, used in C1
2      c1BandImage = c1; %%% the output of C1 layer
3      nfilters = size(c1{1},3); %%% floor(length(fSiz)/numScales), fsize: the
      output init_gabor, a vector with filter size. numScales: c1ScaleSS(
      end)-1
4      n_rbf_centers = size(s2Target,2); %%% number of RBF unit (equal number
      of patch=4)
5      L = size(s2Target,1) / nfilters;
6      PatchSize = [L^.5,L^.5,nfilters];
7
8      s2 = cell(n_rbf_centers,1);
9
10     %Build s2:
11     for iCenter = 1:n_rbf_centers %%% for all prototypes in s2Target (RBF
      centers)
12         Patch = reshape(s2Target(:,iCenter),PatchSize);
13         s2{iCenter} = cell(nbands,1);
14         for iBand = 1:nbands %%% for all bands
15             %%% Euclidean distance between a new input patch and the stored
      prototype
16             s2{iCenter}{iBand} = WindowedPatchDistance(c1BandImage{iBand},
      Patch);
17         end
18     end

```

```

19
20     %Build c2:
21     c2 = inf(n_rbf_centers,1);
22     for iCenter = 1:n_rbf_centers   %%% for all prototypes in s2Target (RBF
        centers)
23         for iBand = 1:nbands %%% for all bands
24             %%% calculate minimum distance (maximum stimulation) across
                position and scales
25             c2(iCenter) = min(c2(iCenter),min(min(s2{iCenter}{iBand})));
26         end
27     end

```

## 2.12 extractC2forcell

- input: filters,fSiz,c1SpaceSS,c1ScaleSS,c1OL,cPatches,cImages,numPatchSizes (The  $C1$  parameters used are given as the variables, for more detail regarding these parameters see section 2.7)
- output: mC2 (a matrix of size total\_number\_of\_patches \* number\_of\_images where total\_number\_of\_patches is the sum over  $i = 1 : numPatchSizes$  of length(cPatches*i*) (in the model = 4) and number\_of\_images is length(cImages)) (the number of positive images)

For each images and each four patch, it extract  $C2$  feature (' $C2$ ' function is called) and save them.

```
1      numPatchSizes = min(numPatchSizes,length(cPatches));
2      %all the patches are being flipped. This is becuae in matlab conv2 is
3      much faster than filter2
4      for i = 1:numPatchSizes,
5          [siz,numpatch] = size(cPatches{i});
6          siz = sqrt(siz/4);
7          for j = 1:numpatch,
8              tmp = reshape(cPatches{i}(:,j),[siz,siz,4]);
9              tmp = tmp(end:-1:1,end:-1:1,:);
10             cPatches{i}(:,j) = tmp(:);
11         end
12     end
13     mC2 = [];
14
15     for i = 1:length(cImages), %for every input image
16         stim = cImages{i}; %% selected input images
17         img_siz = size(stim);
18         c1 = [];
19         iC2 = [];
20         for j = 1:numPatchSizes, %for every unique patch size
21             if isempty(c1), %compute C2
22                 [tmpC2,tmp,c1] = C2(stim,filters,fSiz,c1SpaceSS,c1ScaleSS,
23                                     c1OL,cPatches{j});
24             else
25                 [tmpC2] = C2(stim,filters,fSiz,c1SpaceSS,c1ScaleSS,c1OL,
26                             cPatches{j},c1);
27             end
28             iC2 = [iC2;tmpC2];
29         end
30     end
```



### Section 3: Result

As we see in Thomas Serre article: "A specific implementation of a class of feedforward theories of object recognition (that extend the Hubel and Wiesel simple-to complex cell hierarchy and account for many anatomical and physiological constraints) can predict the level and the pattern of performance achieved by humans on a rapid masked animal vs. non-animal categorization task". I run H-max model and try to see figure 1 as a result.

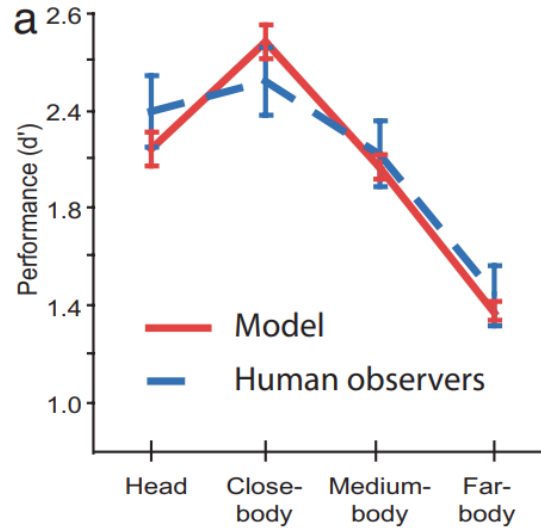


Figure 1: Model- vs. human-level accuracy. Human observers and the model exhibit a very similar pattern of performance (measured with  $d'$  measure). Error bars indicate the standard errors for the model (computed over  $n = 20$  random runs) and for human observers (computed over  $n = 24$  observers)

I run this model one time. You can see the result in figure 2.

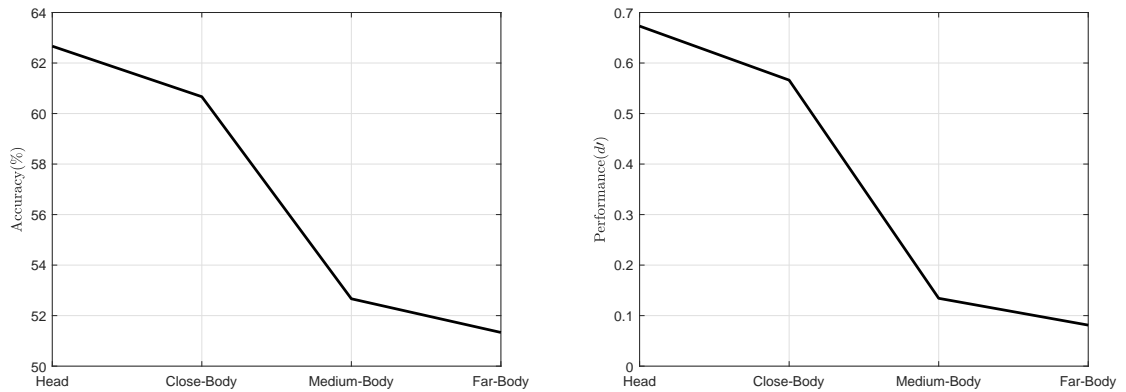


Figure 2: Model performance and accuracy

In this model, we randomly select prototypes. So to be sure the model result, I run the model two times and plot the errorbar in figure .

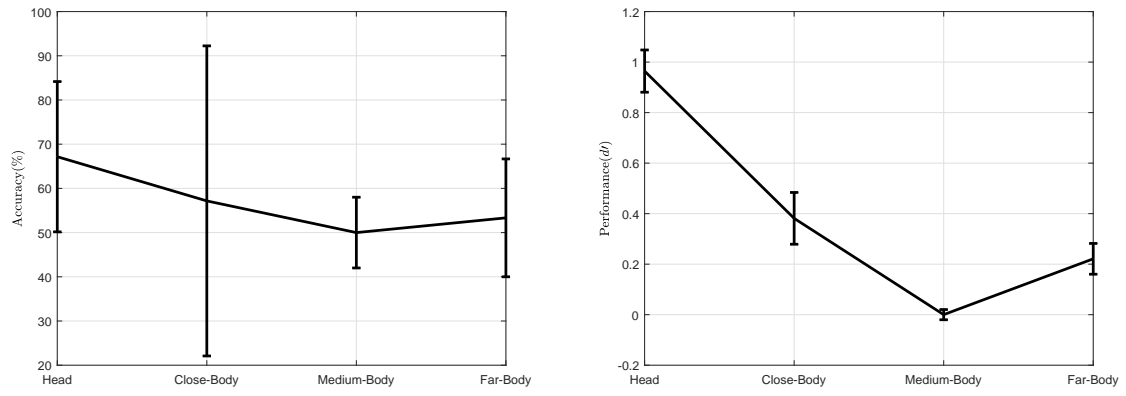


Figure 3: Model performance and accuracy

I also scramble 200 train images (100 target and 100 distractor). I run the model again (figure 4). accuracy doesn't change noticeably but the performance decrease.

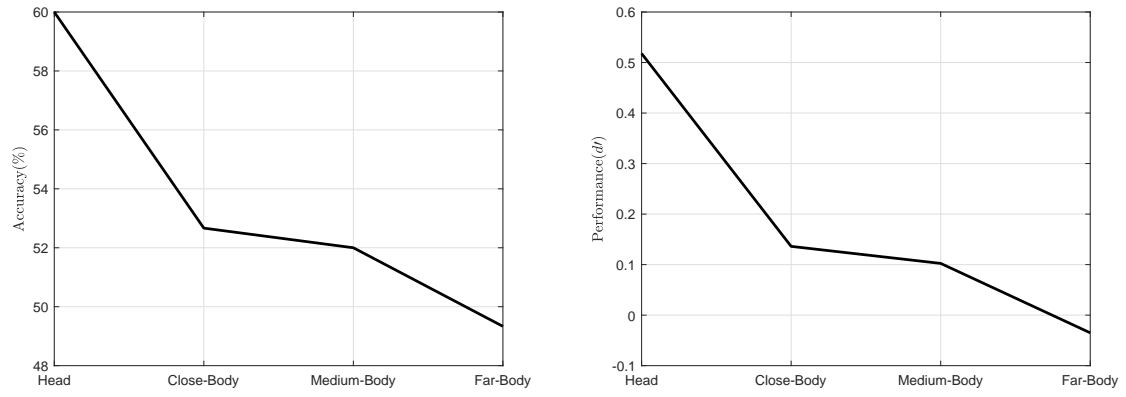


Figure 4: Model performance and accuracy with scramble train images

## References

- [1] Serre, T., Wolf, L., & Poggio, T. (2005, June). Object recognition with features inspired by visual cortex. In 2005 IEEE Computer Society Conference on Computer Vision and Pattern Recognition (CVPR'05) (Vol. 2, pp. 994-1000). Ieee.
- [2] Serre, T., Oliva, A., & Poggio, T. (2007). A feedforward architecture accounts for rapid categorization. *Proceedings of the national academy of sciences*, 104(15), 6424-6429.
- [3] Liu, C., & Sun, F. (2015, July). HMAX model: A survey. In 2015 International Joint Conference on Neural Networks (IJCNN) (pp. 1-7). IEEE.
- [4] Ghodrati-Report on Hmax