- Dynamic Programming is a general algorithm design technique for solving problems defined by recurrences with overlapping subproblems
- Invented by American mathematician Richard Bellman in the 1950s to solve optimization problems and later assimilated by Computer Science
- "Programming" here means "planning"

Bellman explains the reasoning behind the term dynamic programming in his autobiography, Eye of the Hurricane: An Autobiography (1984). He explains:

"I spent the Fall quarter (of 1950) at RAND. My first task was to find a name for multistage decision processes. An interesting question is, where did the name, dynamic programming, come from? The 1950s were not good years for mathematical research. We had a very interesting gentleman in Washington named Wilson. He was Secretary of Defense, and he actually had a pathological fear and hatred of the word research. I'm not using the term lightly; I'm using it precisely. His face would suffuse, he would turn red, and he would get violent if people used the term research in his presence. You can imagine how he felt, then, about the term mathematical. The RAND Corporation was employed by the Air Force, and the Air Force had Wilson as its boss, essentially.

Hence, I felt I had to do something to shield Wilson and the Air Force from the fact that I was really doing mathematics inside the RAND Corporation. What title, what name, could I choose? In the first place I was interested in planning, in decision making, in thinking. But planning, is not a good word for various reasons. I decided therefore to use the word "programming". I wanted to get across the idea that this was dynamic, this was multistage, this was timevarying I thought, lets kill two birds with one stone. Lets take a word that has an absolutely precise meaning, namely dynamic, in the classical physical sense. It also has a very interesting property as an adjective, and that is its impossible to use the word dynamic in a pejorative sense. Try thinking of some combination that will possibly give it a pejorative meaning. It's impossible. Thus, I thought dynamic programming was a good name. It was something not even a Congressman could object to. So I used it as an umbrella for my activities."

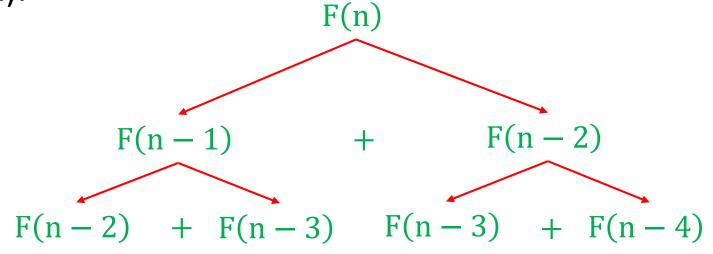
Example: Fibonacci Numbers

Recall the definition of **Fibonacci numbers**:

$$F(n) = F(n-1) + F(n-2)$$

 $F(0) = 0$
 $F(1) = 1$

Computing the nth Fibonacci number **recursively** (**top-down**):



Main idea:

- a) set up a recurrence relating a solution to a larger instance to solutions of some smaller instances
- b) solve smaller instances once
- c) record solutions in a table
- d) extract solution to the initial instance from that table

Example: Fibonacci Numbers

Computing the nth Fibonacci number using **bottom-up** iteration and recording results (memoization):

$$F(0) = 0$$

$$F(1) = 1$$

$$F(2) = 1 + 0 = 1$$

$$F(n-2) =$$

$$F(n-1) =$$

$$F(n) = F(n - 1) + F(n - 2)$$

Efficiency:

- Time:
- Space:

F(n-2) F(n-1)

F(n)

Example: Fibonacci Numbers

Computing the nth Fibonacci number iteratively

```
Algorithm Fib(n)
   // Input: A nonnegative integer n
   //Output: The nth Fibonacci number
   F[0] \leftarrow 0
   F[1] \leftarrow 1
   for i \leftarrow 2 to n do
      F[i] \leftarrow F[i-1] + F[i-2]
   return F[n]
```

Coin-Row Problem: There is a row of n coins whose values are some positive integers $c_1, c_2, ..., c_n$, not necessarily distinct.

The goal is to pick up the maximum amount of money subject to the constraint that no two coins adjacent in the initial row can be picked up.

DP solution: Let F(n) be the **maximum amount** that can be picked up from the row of n coins. To derive a recurrence for F(n), we partition all the allowed coin selections into two groups:

- 1) those without last coin the max amount is?
- 2) those with the last coin the max amount is?

Thus we have the following recurrence

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
 for $n > 1$, $F(0) = 0$, $F(1) = c_1$

Example: 5, 1, 2, 10, 6, 2. What is the best selection?

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F							

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F	0	5					

$$F[0] = 0$$
, $F[1] = c_1 = 5$

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F	0	5	5				

$$F[2] = max\{1 + 0, 5\} = 5$$

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F	0	5	5	7			

$$F[3] = max\{2 + 5, 5\} = 7$$

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F	0	5	5	7	15		

$$F[4] = max\{10 + 5, 7\} = 15$$

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F	0	5	5	7	15	15	

$$F[5] = max{6 + 7, 15} = 15$$

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
С		5	1	2	10	6	2
F	0	5	5	7	15	15	17

$$F[6] = max{2 + 15, 15} = 17$$

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
C		5	1	2	10	6	2
F	0	5	5	7	15	15	17

- Coins of optimal solution: ______?
- Time/Space efficiency:

Example: 5, 1, 2, 10, 6, 2. What is the best selection?

$$F(n) = \max\{c_n + F(n-2), F(n-1)\}\$$
for $n > 1$,

$$F(0) = 0$$
, $F(1) = c_1$

index	0	1	2	3	4	5	6
C		5	1	2	10	6	2
F	0	5	5	7	15	15	17

• Coins of optimal solution: 2, 10, 5

Time/Space efficiency: linear

Knapsack Problem

Given n items of known:

- items: 1, 2, ..., n
- weights: $W_1, W_2, ..., W_n$
- values: $v_1, v_2, ..., v_n$
- a knapsack of capacity W

Problem: Find the most valuable subset of the items that fit into the knapsack

Knapsack Problem

Example: Knapsack capacity W = 16

ITEM	WEIGHT	VALUE
1	2	\$20
2	5	\$30
3	10	\$50
4	5	\$10

The exhaustive search approach: (1) generate all subsets of the set of n items; (2) compute the total weight of each subset; (3) find a subset of largest value among them.

The total # of all subsets: 2^n Efficiency: $\Omega(2^n)$

SUBSETS	TOTAL WEIGHT	TOTAL VALUE
{1}	2	\$20
{2}	5	\$30
{3}	10	\$50
{4}	5	\$10
{1,2}	7	\$50
{1,3}	12	\$70
{1,4}	7	\$30
{2,3}	15	\$80
{2,4}	10	\$40
{3,4}	15	\$60
{1,2,3}	17	not feasible
{1,2,4}	12	\$60
{1,3,4}	17	not feasible
{2,3,4}	20	not feasible
{1,2,3,4}	22	not feasible

SUBSETS	TOTAL WEIGHT	TOTAL VALUE
{1}	2	\$20
{2}	5	\$30
{3}	10	\$50
{4}	5	\$10
{1,2}	7	\$50
{1,3}	12	\$70
{1,4}	7	\$30
{2,3}	15	\$80
{2,4}	10	\$40
{3,4}	15	\$60
{1,2,3}	17	not feasible
{1,2,4}	12	\$60
{1,3,4}	17	not feasible
{2,3,4}	20	not feasible
{1,2,3,4}	22	not feasible

Drive a recurrence relation expressing a solution of the knapsack problem in terms of its smaller instance:

- consider an instance defined by the first i items, $1 \le i \le n$, with
 - weights w_1, w_2, \dots, w_i ,
 - values $v_1, v_2, ..., v_i$,
 - knapsack capacity j, $1 \le j \le W$.
- F(i, j): the value of the optimal solution to this instance.

F(i, j): the value of the optimal solution to this instance
 the value of the most valuable subset of the first i items that fit the knapsack of capacity j.

F(i, j): the value of the optimal solution to this instance

 the value of the most valuable subset of the first i items that fit the knapsack of capacity j.

All the subsets of the first **i items** that fit the knapsack of **capacity j**:

The subsets that do not include item i:

F(i, j): the value of the optimal solution to this instance

 the value of the most valuable subset of the first i items that fit the knapsack of capacity j.

All the subsets of the first **i items** that fit the knapsack of **capacity j**:

F(i, j)

The subsets that do not include item i:

F(i, j): the value of the optimal solution to this instance
 the value of the most valuable subset of the first i
 items that fit the knapsack of capacity j.

All the subsets of the first **i items** that fit the knapsack of **capacity j**:

The subsets that do not include item i:

$$F(i-1,j)$$

F(i, j): the value of the optimal solution to this instance

 the value of the most valuable subset of the first i items that fit the knapsack of capacity j.

All the subsets of the first **i items** that fit the knapsack of **capacity j**:

The subsets that do not include item i:

$$F(i-1,j)$$

$$v_i + F(i-1, j-w_i)$$

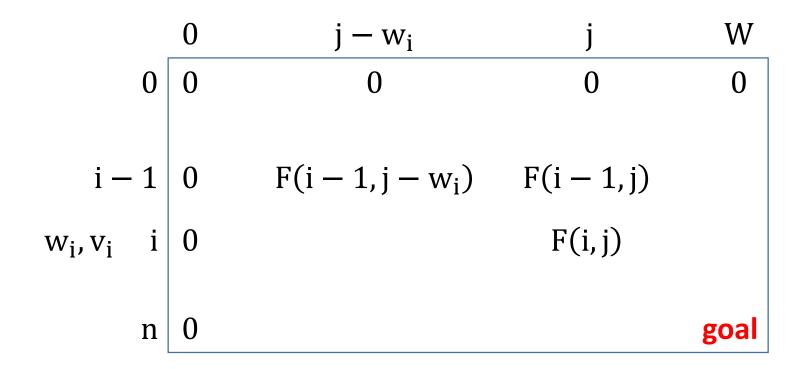
$$F(i,j) =$$

$$= \begin{cases} \max\{F(i-1,j), v_i + F(i-1,j-w_i)\}, j-w_i \ge 0 \\ \\ F(i-1,j), & j-w_i < 0 \end{cases}$$

• F(0,j) = 0 for $j \ge 0$ and F(i,0) = 0 for $i \ge 0$

• Goal: to find F(n, W)

Knapsack Problem – DP Table



Example: Knapsack Problem

ITEM	WEIGHT	VALUE	CAPACITY
1	2	\$12	
2	1	\$10	۲۸ <i>7</i> — ۲
3	3	\$20	W = 5
4	2	\$15	

	• -	
CON	201t\/	1
Lau	acity	
		•

		capacity					
	i	0	1	2	3	4	5
	0						
$w_1 = 2, v_1 = 12$	1						
$w_2 = 1, v_2 = 10$	2						
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

capacity j

	_		•	• •		
i	0	1	2	3	4	5
0	0					
1						
2						
3						
4						

$$F(0,0) = 0$$

 $w_1 = 2, v_1 = 12$

 $w_2 = 1, v_2 = 10$

 $w_3 = 3, v_3 = 20$

 $w_4 = 2, v_4 = 15$

capacity j

	_		•	• ,		
i	0	1	2	3	4	5
0	0	0				
1						
2						
3						
4						

$$F(0,1) = 0$$

 $w_1 = 2, v_1 = 12$

 $w_2 = 1, v_2 = 10$

 $w_3 = 3, v_3 = 20$

 $w_4 = 2, v_4 = 15$

capacity j

			-	- ,			
i	0	1	2	3	4	5	
0	0	0	0				
1							
2							
3							
4							

$$F(0,2) = 0$$

 $w_1 = 2, v_1 = 12$

 $w_2 = 1, v_2 = 10$

 $w_3 = 3, v_3 = 20$

 $w_4 = 2, v_4 = 15$

capacity j

			-	- /			
i	0	1	2	3	4	5	
0	0	0	0	0			
1							
2							
3							
4							

$$F(0,3) = 0$$

© S. Turaev CSC 3102 DSA II

 $w_1 = 2, v_1 = 12$

 $w_2 = 1, v_2 = 10$

 $w_3 = 3, v_3 = 20$

 $w_4 = 2, v_4 = 15$

i	0	1	2	3	4	5
0	0	0	0	0	0	
4						

$$w_1 = 2, v_1 = 12$$
 1

$$w_2 = 1, v_2 = 10$$

$$w_3 = 3, v_3 = 20$$
 3

$$w_4 = 2, v_4 = 15$$

$$F(0,4) = 0$$

$$w_1 = 2, v_1 = 12$$

$$w_2 = 1, v_2 = 10$$

$$w_3 = 3, v_3 = 20$$
 3

$$w_4 = 2, v_4 = 15$$

$$F(0,5) = 0$$

i	0	1	2	3	4	5
0	0	0	0	0	0	0

$$w_1 = 2, v_1 = 12$$
 1

$$w_2 = 1, v_2 = 10$$
 2

$$w_3 = 3, v_3 = 20$$
 3

$$w_4 = 2, v_4 = 15$$

$$F(1,0) = 0$$

capacity j

i	0	1	2	3	4	5	
0	0	0	0	0	0	0	_
1	0	0					

$$w_2 = 1, v_2 = 10$$

$$w_3 = 3, v_3 = 20$$
 3

$$w_4 = 2, v_4 = 15$$

 $w_1 = 2, v_1 = 12$

$$F(1,1) = 0$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12			
$w_2 = 1, v_2 = 10$	2						
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(1,2) = 12$$

	i	0	1	2	3	4
	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	
$w_2 = 1, v_2 = 10$	2					
$w_3 = 3, v_3 = 20$	3					
$w_4 = 2, v_4 = 15$	4					

$$F(1,3) = 12$$

	i	0	1	2	3	4	5
	0	0	0		0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	
$w_2 = 1, v_2 = 10$	2						
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(1,4) = 12$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2						
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(1,5) = 12$$

	i	0	1	2	3	4	5
	0			0			
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0					
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(2,0) = 0$$

	i	0	1	2	3	4	5
			0				
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10				
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(2,1) = 10$$

	i	0	1	2	3	4	5
			0				
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12			
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(2,2) = 12$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22		
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(2,3) = 22$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(2,4) = 22$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3						
$w_4 = 2, v_4 = 15$	4						

$$F(2,5) = 22$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$							
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0					
$w_4 = 2, v_4 = 15$	4						

$$F(3,0) = 0$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10				
$w_4 = 2, v_4 = 15$	4						

$$F(3,1) = 10$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12			
$w_4 = 2, v_4 = 15$	4						

$$F(3,2) = 12$$

• -	
capacity	1
(anachy	
CUPUCILY	
	•

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$						22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22		
$w_4 = 2, v_4 = 15$	4						

$$F(3,3) = 22$$

• •	
CONOCITY	1
capacity	
Japasity	
•	

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
			10				22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	
$w_4 = 2, v_4 = 15$							

$$F(3,4) = 30$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$							
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4						

$$F(3,5) = 32$$

	i	0	1	2	3	4	5
$w_1 = 2, v_1 = 12$	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0					

$$F(4,0) = 0$$

			1				
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$ $w_2 = 1, v_2 = 10$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10				

$$F(4,1) = 10$$

		• .		
ca	na		- \ /	1
La	va	UII	LV	
			_	,

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$ $w_2 = 1, v_2 = 10$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10	15			

$$F(4,2) = 15$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10	15	25		

$$F(4,3) = 25$$

	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_1 = 2, v_1 = 12$ $w_2 = 1, v_2 = 10$ $w_3 = 3, v_3 = 20$ $w_4 = 2, v_4 = 15$	4	0	10	15	25	30	

$$F(4,4) = 30$$

		• •		
	n 1		. 🛮	
	ua	city		
UU				
		4	,	

			1				
$w_1 = 2, v_1 = 12$ $w_2 = 1, v_2 = 10$	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2$, $v_4 = 15$	4	0	10	15	25	30	37

$$F(4,5) = 37$$

capacity i

		_			· · · · · ·		
	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10	15	25	30	37

Optimal subset:

canacity i

				capa	city		
	i	0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10	15	25	30	37

Optimal subset: item 4, item 2, item 1. //backtracking

Knapsack Problem

Time efficiency:

 $\Theta(nW)$

Space efficiency:

 $\Theta(nW)$

Can we do better?

Combine the strengths of the top-down and bottom-up approaches: solve only subproblems that are necessary & does so only once (memory functions).

© S. Turaev CSC 3102 DSA II

Exercises

Exercise 1: Solve the instance 7, 2, 1, 12, 5 of the coinrow problem.

Exercise 2: Find the most valuable subset of the items that fit into the knapsack of capacity 6.

ITEM	WEIGHT	VALUE
1	3	\$13
2	1	\$12
3	1	\$10
4	2	\$17
5	2	\$15

© S. Turaev CSC 3102 DSA II

Exercises

Exercise 3: Write pseudocode of the **bottom-up** dynamic programming algorithm for coin-row problem.

Exercise 4: Write pseudocode of the **bottom-up** dynamic programming algorithm for knapsack problem.

Exercise 5: Write pseudocode of the **top-down** dynamic programming algorithm for knapsack problem.

© S. Turaev CSC 3102 DSA II