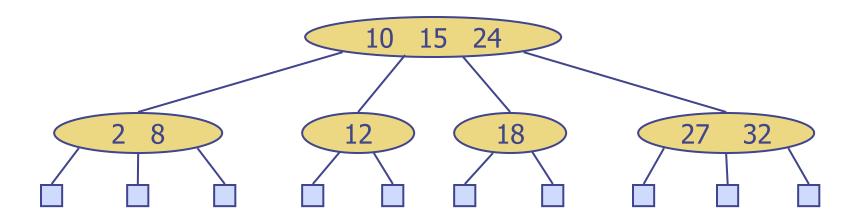
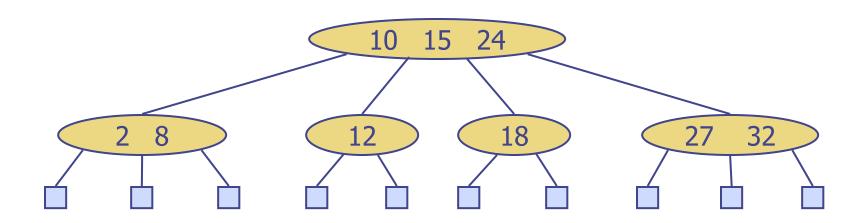
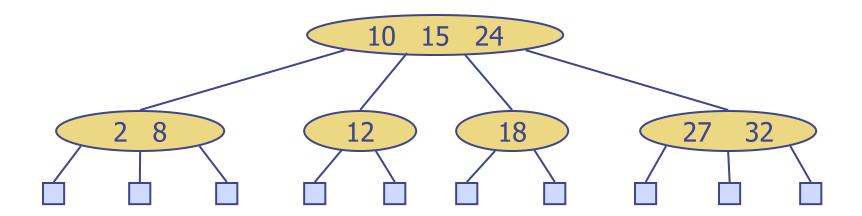
♠ A (2,4) tree (also called 2-4 tree or 2-3-4 tree) is a multi-way search tree with the following properties



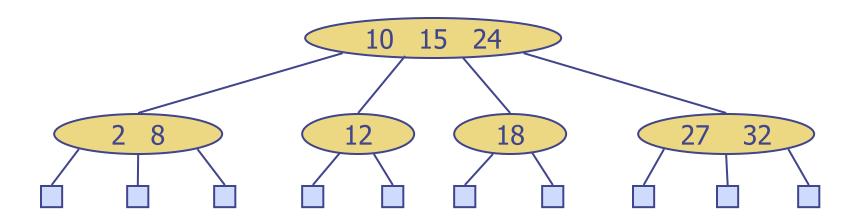
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  - Node-Size Property: every internal node has 2, 3, or 4 children

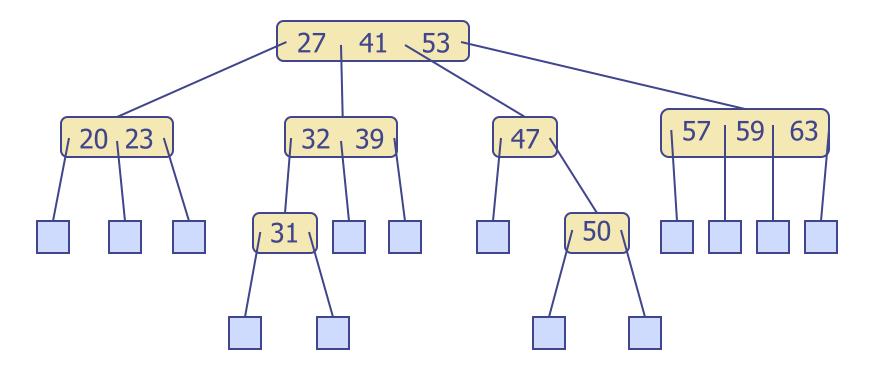


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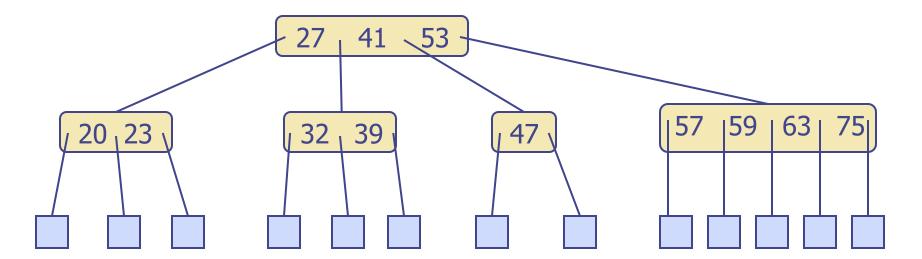


- A (2,4) tree (also called 2-4 tree or 2-3-4 tree) is a multi-way search tree with the following properties
  - Node-Size Property: every internal node 2, 3, or 4children
  - Depth Property: all the leaves are in the same level
- Depending on the number of children, an internal node of a (2,4) tree is called a 2-node, 3-node or 4-node





(2,4) Trees



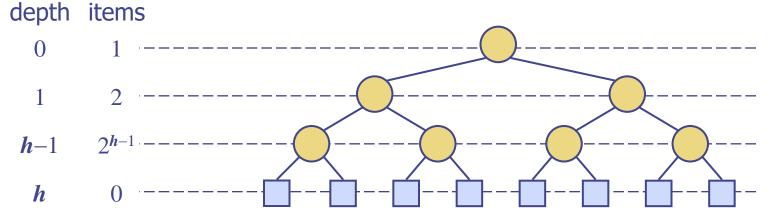
# What is the Maximum Height of a (2,4) Tree?

## Height of a (2,4) Tree

- Theorem: A (2,4) tree storing n items has height  $O(\log n)$  Proof:
  - Let h be the height of a (2,4) tree with n items
  - Since there are at least  $2^i$  items at depth i = 0, ..., h 1 and no items at depth h, we have

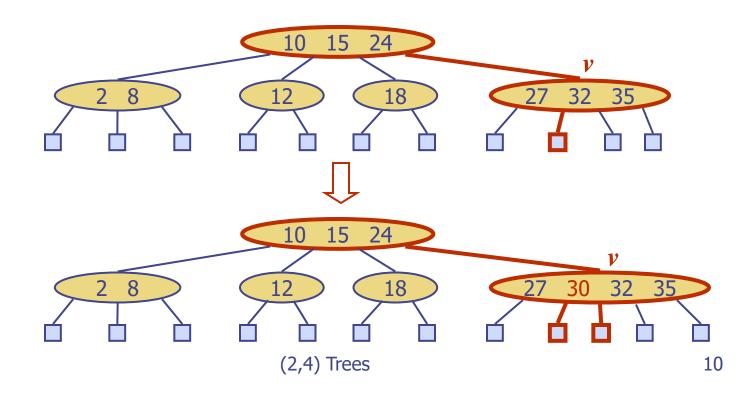
$$n \ge 1 + 2 + 4 + \dots + 2^{h-1} = 2^h - 1$$

- Thus,  $h \leq \log (n+1)$
- Searching in a (2,4) tree with n items takes  $O(\log n)$  time



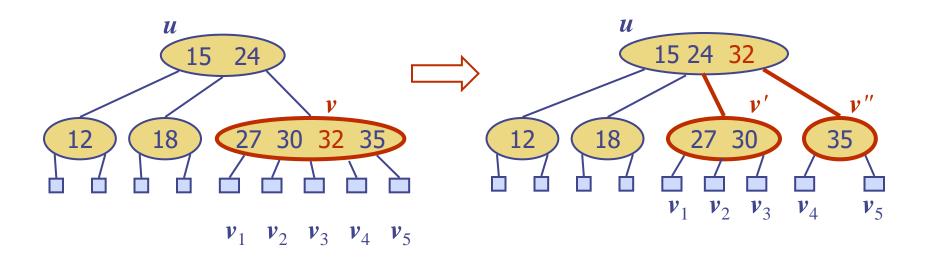
### Insertion

- lacktriangle We insert a new item (k,o) at the parent v of the leaf reached by searching for k
  - We preserve the depth property but
  - We may cause an overflow (i.e., node v may become a 5-node)
- Example: inserting key 30 causes an overflow



## Overflow and Split

- $\bullet$  We handle an overflow at a 5-node  $\nu$  with a split operation:
  - let  $v_1 \dots v_5$  be the children of v and  $k_1 \dots k_4$  be the keys of v
  - node v is replaced nodes v' and v''
    - v' is a 3-node with keys  $k_1 k_2$  and children  $v_1 v_2 v_3$
    - v'' is a 2-node with key  $k_4$  and children  $v_4 v_5$
  - key  $k_3$  is inserted into the parent u of v (a new root may be created)
- $\bullet$  The overflow may propagate to the parent node u



#### Algorithm put(r,k,o)

In: Root r of a (2,4) tree, data item (k,o)

**Out:** {Insert data item (k,o) in (2,4) tree}

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Add the new data item (k, o) at node v

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In: Root r of a (2,4) tree, data item (k,o)
Out: {Insert data item (k,o) in (2,4) tree
   Search for k to find the lowest insertion internal node v
   Add the new data item (k, o) at node v
   if node v overflows then {
      if v is the root then
            Create a new empty root and set as parent of v
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      Split \nu around the second key k', move k' to parent, and
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Out: {Insert data item (k,o) in (2,4) tree
                                                                O(\log n)
    Search for k to find the lowest insertion internal node v \vdash
   Add the new data item (k, o) at node v
                                                       O(1)
   while node v overflows do {
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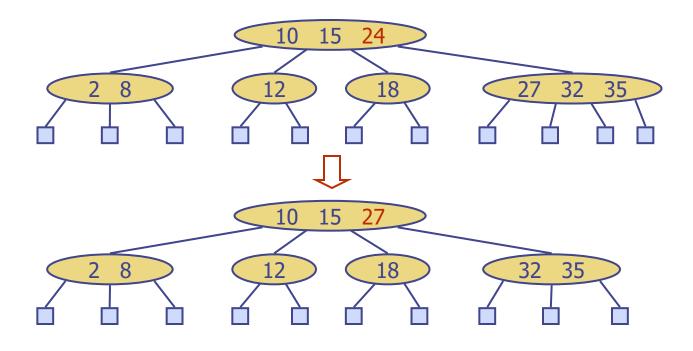
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Algorithm put (r,k,o)
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Time complexity of put is O(log n)

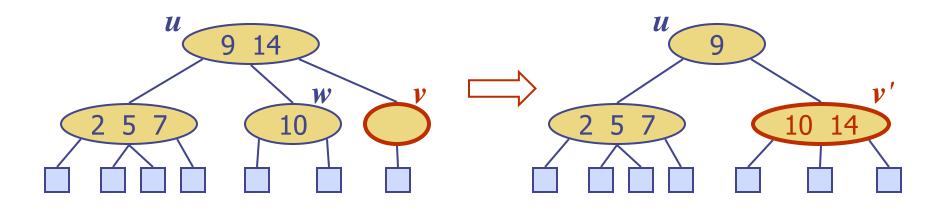
## Deletion

- We reduce deletion of an entry to the case where the item is at the node with leaf children
- Otherwise, we replace the entry with its inorder successor (or, equivalently, with its inorder predecessor) and delete the latter entry
- Example: to delete key 24, we replace it with 27 (inorder successor)



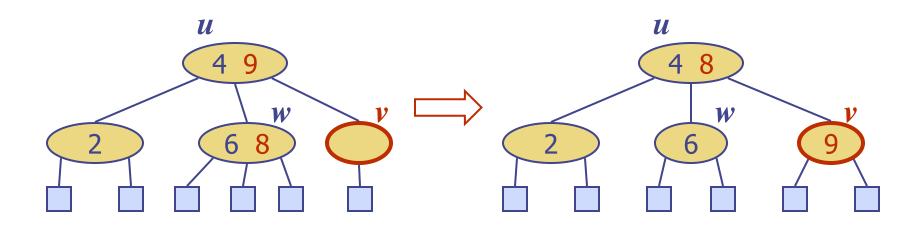
## **Underflow and Fusion**

- lacktriangle Deleting an entry from a node v may cause an underflow, where node v becomes a 1-node with one child and no keys
- lacktriangle To handle an underflow at node v with parent u, we consider two cases
- $\bullet$  Case 1: the adjacent siblings of  $\nu$  are 2-nodes
  - Fusion operation: we merge v with an adjacent sibling w and move an entry from u to the merged node v'
  - After a fusion, the underflow may propagate to the parent u



## **Underflow and Transfer**

- lacktriangle To handle an underflow at node v with parent u, we consider two cases
- $\bullet$  Case 2: an adjacent sibling w of v is a 3-node or a 4-node
  - Transfer operation:
    - 1. we move a child of w to v
    - 2. we move an item from u to v
    - 3. we move an item from w to u
  - After a transfer, no underflow occurs



**In:** Root *r* of a (2,4) tree, key *k* 

**Out:** {remove data item with key *k* from the tree}

Find the node *v* storing key *k* 

In: Root r of a (2,4) tree, key k

**Out:** {remove data item with key *k* from the tree}

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Remove (k, o) from v replacing it with successor if needed

In: Root r of a (2,4) tree, key k

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while node v underflows do {

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Out: {remove data item with key k from the tree}
Find the node v storing key k
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while node v underflows do {
if v is the root then
make the first child of v the new root
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**In:** Root *r* of a (2,4) tree, key *k* **Out:** {remove data item with key k from the tree} Find the node v storing key k Remove (k, o) from v replacing it with successor if needed while node v underflows do { **if** v is the root then make the first child of v the new root **else if** a sibling has at least 2 keys **then** perform a transfer operation

```
Algorithm remove(r,k)
In: Root r of a (2,4) tree, key k
Out: {remove data item with key k from the tree}
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   Remove (k, o) from v replacing it with successor if needed
   while node v underflows do {
      if v is the root then
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      else if a sibling has at least 2 keys then
               perform a transfer operation
           else {
                perform a fusion operation
                v \leftarrow \text{parent of } v
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In: Root r of a (2,4) tree, key k
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                                               ├ O(log n)
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                                                              O(\log n)
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                                                      O(1)
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                      perform a transfer operation
                                                             O(1)
                  else {
                       perform a fusion operation
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             Time complexity of remove: O(log n)
```