

# CSCI 3202: Intro to Artificial Intelligence

## Lecture 8: Optimality

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# Review

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## Uniform-cost search:

$$f(n) = g(n) \quad (\text{cost to get to } n)$$

cost we've already incurred to get from start state to  $n$ .

- looking backwards only

## Greedy:

$$f(n) = h(n) \quad (\text{estimated cost to get from } n \text{ to goal})$$

future cost (estimated) from node  $n$  to goal.

- looking forwards

## A\*:

$$f(n) = g(n) + h(n)$$

(estimated total cost of cheapest solution through  $n$ )

looking at cost already incurred + estimates future cost

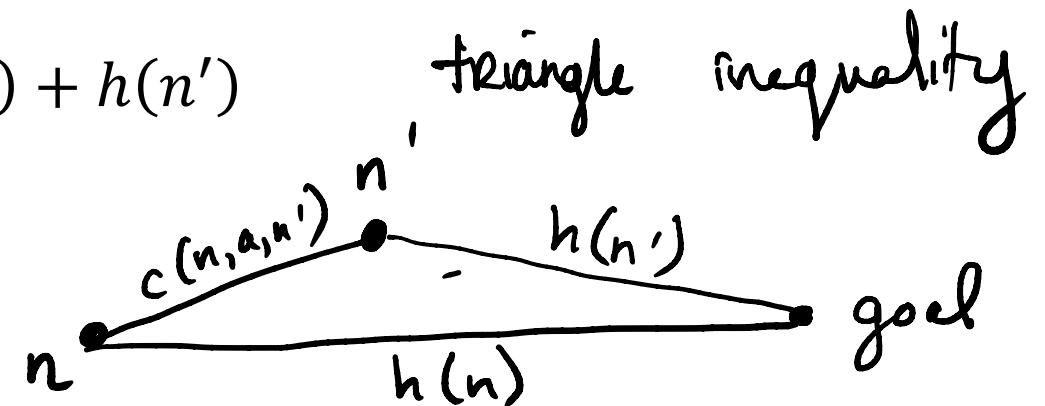
# Optimality

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## Conditions for Optimality: Admissibility & Consistency

- $h(n)$  must be **admissible** - an admissible heuristic is one that never overestimates the cost to reach the goal.
- $h(n)$  is **consistent** if, for every node  $n$  and every successor  $n'$  of  $n$  generated by any action  $a$ , the estimated cost of reaching the goal from  $n$  is no greater than the step cost of getting to  $n'$  plus the estimated cost of reaching the goal from  $n'$ :

$$h(n) \leq c(n, a, n') + h(n')$$



# Optimality of A\* Search

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A\* is **optimally efficient** for any given heuristic: No other optimal algorithm is guaranteed to expand fewer nodes than A\*

- Recall: A\* expands all nodes with  $f(n) < C^*$ , where  $C^*$  is the cost of the optimal solution path.

$g' + h$

↓

"A\*"  
We won't expand a node  
where  $f(n) > C^*$   
→ "pruning"

- Any algorithm that does not expand all nodes with  $f(n) < C^*$  risks missing a better solution path.

# Optimality of A\* Search

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A\* (graph) is optimal if the heuristic  $h(n)$  is consistent.

Based on two key facts:

1. If  $h(n)$  is consistent, then the values of  $f(n)$  along any path are nondecreasing. •
2. Whenever A\* selects a node  $n$  for expansion, the optimal path to that node has been found. •

# Optimality of A\* Search

$P \Rightarrow Q$

If  $h(n)$  is consistent, then the values of  $f(n)$  along any path are nondecreasing.

Example: Prove the above statement.

Proof: Assume  $h(n)$  is consistent.

Let  $n'$  be a successor of  $n$ .

$g$  - path cost  
 $h$  - heuristic - estimated cost to goal.

$$g(n') = g(n) + c(n, a, n')$$

$$h(n') + g(n') = g(n) + c(n, a, n') + h(n')$$

Add  $h(n')$  to each side.

$$f(n') = g(n) + c(n, a, n') + h(n') \quad \text{by definition of } f\text{-cost}$$

$$\geq g(n) + h(n) \quad \text{by consistent property}$$

$$= f(n) \quad \text{by definition of } f\text{-cost.}$$

$\therefore f(n') \geq f(n) \Rightarrow f \text{ is nondecreasing. } \blacksquare$

# Optimality of A\* Search

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Whenever A\* selects a node  $n$  for expansion, the optimal path to that node has been found.

Example: Prove the above statement.

Proof: (by contradiction) Suppose the above statement isn't true.

⇒ We are expanding  $n$ , but there is some lower-cost path.

⇒ This lower cost path must end with a frontier node.

Call this frontier node of the lower-cost path  $n'$

$f$  is a non-decreasing function  $\Rightarrow f(n') \leq f(n)$

⇒  $n'$  should have been expanded first



# Optimality of A\* Search

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A\* (graph) is optimal if the heuristic  $h(n)$  is consistent.

Based on two key facts:

1. If  $h(n)$  is consistent, then the values of  $f(n)$  along any path are nondecreasing.
2. Whenever A\* selects a node  $n$  for expansion, the optimal path to that node has been found.

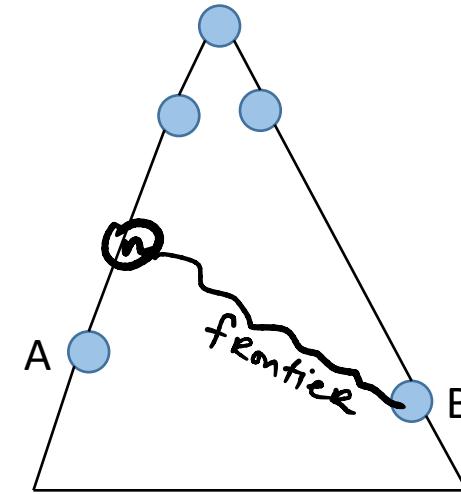
➤ **So the first goal node to be expanded took the lowest-cost path, and all later goal node expansions are at least as expensive.**

# Optimality of A\* Search

Assume:

- A is an optimal Goal Node  $\rightarrow f(A) < f(B)$
- B is a suboptimal Goal node
- h is admissible

Claim: A will exit the frontier before B.



Proof: Suppose B is on the Frontier.

Suppose some ancestor of A is also on the frontier.

Call this ancestor n,

$$f(n) = g(n) + h(n) \quad \text{by definition of f-cost}$$

$f(n)$  is the estimated total cost to the goal. And  $g(A)$  represents the actual cost to A

$$f(n) \leq g(A)$$

$$= g(A) + h(A) = f(A)$$

$$\begin{aligned} f(n) &\leq f(A) \\ \Rightarrow f(A) &\leq f(B) \quad \text{By our assumption} \\ \Rightarrow f(n) &\leq f(B) \Rightarrow n \text{ will be expanded first} \\ &\Rightarrow A \text{ will be expanded before B.} \end{aligned}$$

# Optimality of A\* Search

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So A\* is **optimal, complete, and optimally efficient.**

Why do we even care about other search algorithms?

- Number of nodes to expand along the goal contour is still **exponential** in depth of solution/length of solution path.
  - Absolute error:  $\Delta := h^* - h$ 
    - $h^*$  = actual cost from root to goal
    - $h$  = heuristic you used
  - Relative error:  $\epsilon := (h^* - h)/h^*$
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- The diagram illustrates the formula for relative error:  $\epsilon := (h^* - h)/h^*$ . It features three arrows pointing upwards from the bottom towards the variables  $h^*$ ,  $-h$ , and  $/h^*$  respectively. To the right of the division symbol  $/h^*$  is a large brace that spans both the denominator and the entire fraction, indicating that the entire expression is grouped together.

# A\* Search

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**Complexity** depends strongly on state space characterization

- Single goal, tree, reversible actions  $\rightarrow O(b^\Delta)$ , or  $O(b^{\epsilon d})$  with constant step costs ( $d$  is solution depth)

$\Delta$  typically is proportional to the path cost  $h^*$ , so  $\epsilon$  is pretty much constant (or growing with  $d$ ), and we can rewrite:  $O((b^\epsilon)^d)$

→ The effective branching factor is really  $b^\epsilon$ .

→ Important to choose as good of a heuristic as we can.

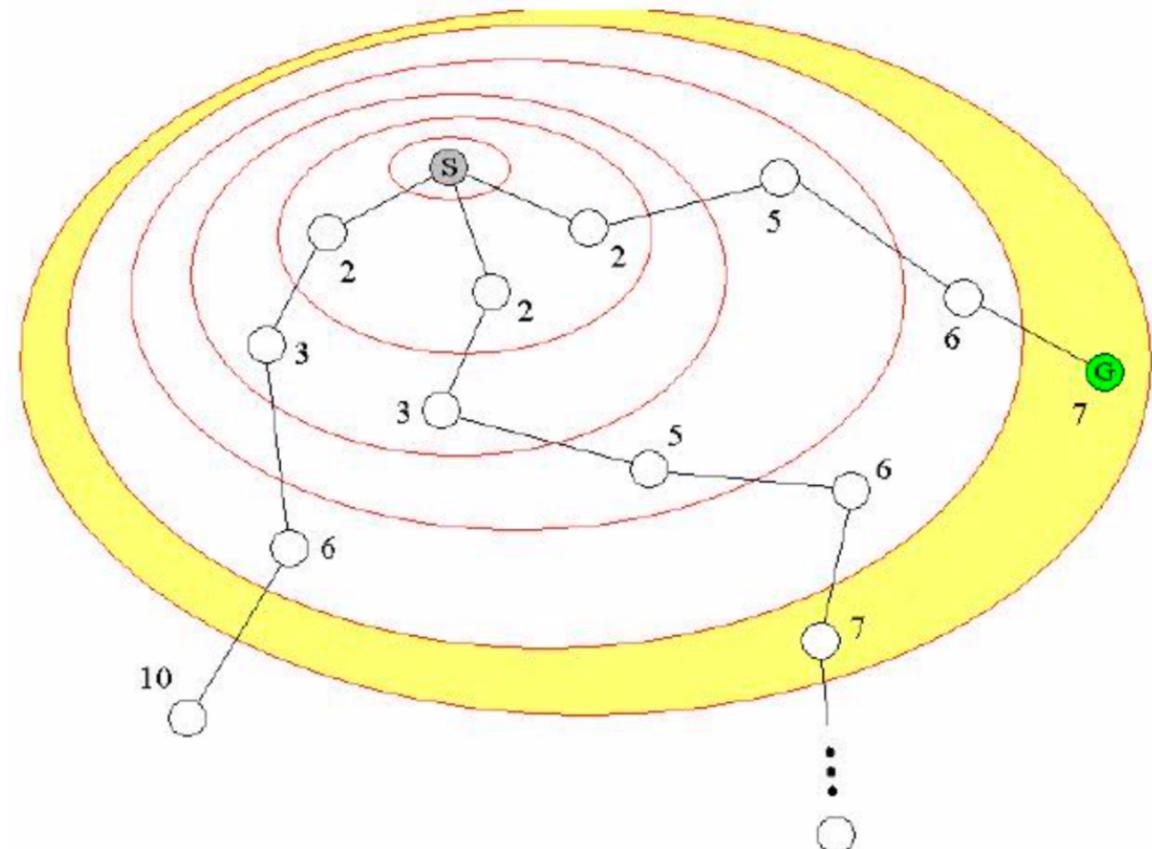
- Many goal states/near-goal states can be a problem -- need to expand a *lot* of branches.

# A\* Search: alternatives

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Space complexity can be a burden for A\*

- Keeps all generated nodes in memory
- Iterative Deepening A\* (IDA\*)
  - Just like standard IDS, but instead of extending the search depth, extend the allowed  $f$  cost.
  - Search out to a particular contour



# A\* Search: alternatives

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- **Recursive best-first search (RBFS)**
  - Like standard DFS, but keeps track of the best alternative path's  $f$ -cost
  - Once the path you're digging down exceeds the best alternative path, switch over to the back-up path
  - As RBFS back-tracks, each node along the back-tracked path it replaces the  $f$ -value with that of the cheapest child node.
    - Remembers the best leaf in the sub-tree, so RBFS knows whether it's worth it to go back down that road later.
  - Still has problems of indecision
    - Expanding a new path makes the unexpanded alternatives look better.

# Recursive best-first Search (RBFS)

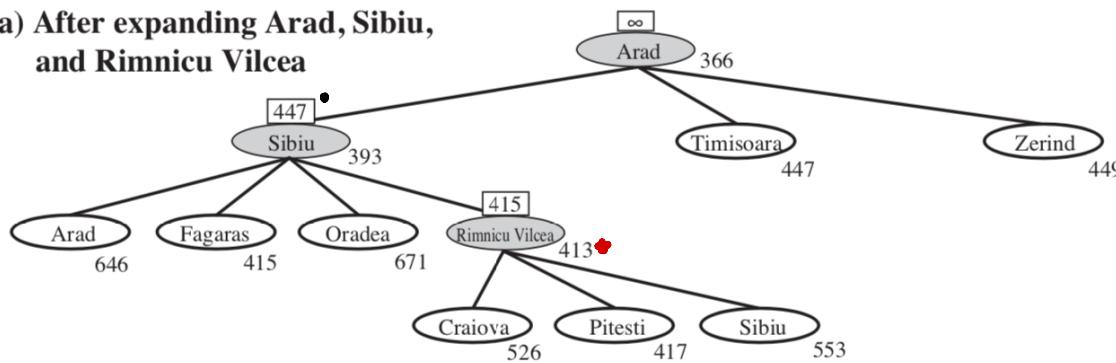
```
function RECURSIVE-BEST-FIRST-SEARCH(problem) returns a solution, or failure
    return RBFS(problem, MAKE-NODE(problem.INITIAL-STATE),  $\infty$ )

function RBFS(problem, node, f-limit) returns a solution, or failure and a new f-cost limit
    if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
    successors  $\leftarrow$  []
    for each action in problem.ACTIONS(node.STATE) do
        add CHILD-NODE(problem, node, action) into successors
    if successors is empty then return failure,  $\infty$ 
    for each s in successors do /* update f with value from previous search, if any */
        s.f  $\leftarrow$  max(s.g + s.h, node.f)
    loop do
        best  $\leftarrow$  the lowest f-value node in successors
        if best.f > f-limit then return failure, best.f
        alternative  $\leftarrow$  the second-lowest f-value among successors
        result, best.f  $\leftarrow$  RBFS(problem, best, min(f-limit, alternative))
        if result  $\neq$  failure then return result
```

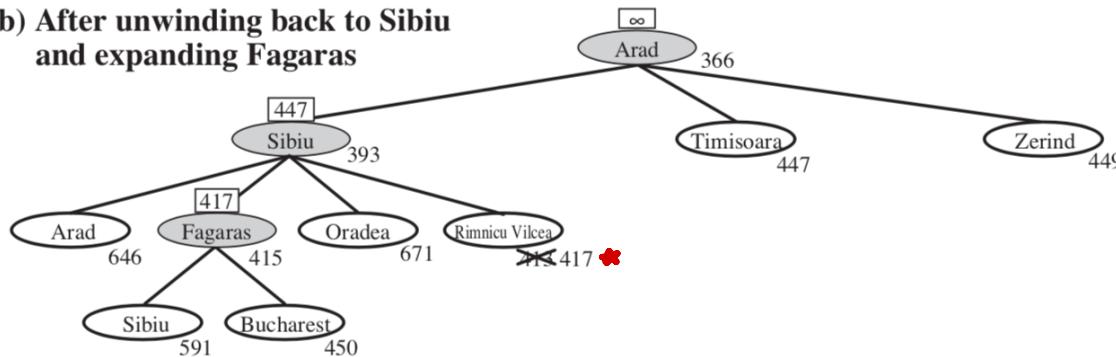
**Figure 3.26** The algorithm for recursive best-first search.

# Recursive best-first Search (RBFS)

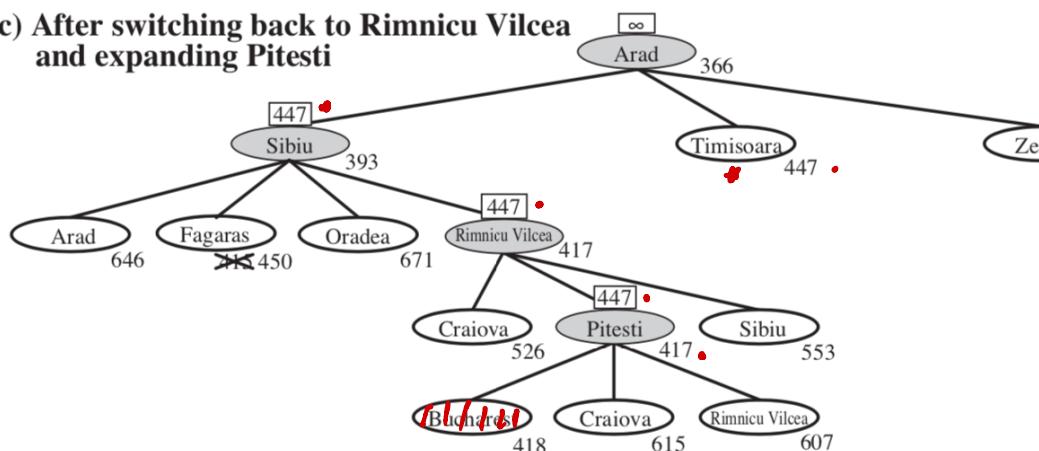
(a) After expanding Arad, Sibiu, and Rimnicu Vilcea



(b) After unwinding back to Sibiu and expanding Fagaras



(c) After switching back to Rimnicu Vilcea and expanding Pitesti



**Figure 3.27** Stages in an RBFS search for the shortest route to Bucharest. The  $f$ -limit value for each recursive call is shown on top of each current node, and every node is labeled with its  $f$ -cost. (a) The path via Rimnicu Vilcea is followed until the current best leaf (Pitesti) has a value that is worse than the best alternative path (Fagaras). (b) The recursion unwinds and the best leaf value of the forgotten subtree (417) is backed up to Rimnicu Vilcea; then Fagaras is expanded, revealing a best leaf value of 450. (c) The recursion unwinds and the best leaf value of the forgotten subtree (450) is backed up to Fagaras; then Rimnicu Vilcea is expanded. This time, because the best alternative path (through Timisoara) costs at least 447, the expansion continues to Bucharest.

## A\* Search: alternatives

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- IDA\* and RBFS use very little memory
  - For example:
    - Between iterations, IDA\* keeps only the current  $f$ -cost limit
    - RBFS has memory benefits of DFS, but at the cost of potential time inefficiency
  - Those who don't remember the past, are doomed to repeat it (maybe).

# A\* Search: alternatives

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## Memory-bounded A\* and Simplified Memory-bounded A\* (MA\* and SMA\*)

- SMA\* -- general notes and subtleties
  - Expands the best leaf until memory is full
  - Then expands the best leaf and deletes the worst
  - What if all the leaves have the same  $f$ -value?
  - Then expand the newest leaf and delete the oldest
  - What if there is only one leaf?
  - That's ok -- then there is a single solution path from root to goal, and it's taking up all available memory, so we've failed anyway

## Next Time

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Heuristics