

## Binary Search and Variations

- **Idea:** cut search space in half (about half) by asking only one question.
- Pure binary search

$x_1, x_2, \dots, x_n$  is a sequence of real numbers such that

$$x_1 \leq x_2 \leq \dots \leq x_n$$

Problem: Given a real number  $z$ , we want to find whether  $z$  appears in the sequence, and if it does, to find an index  $i$  such that  $x_i = z$ .

*Solution: binary search!*

*Binary search:*

*Question:* “Is  $x_{n/2} < z$ ?”

*Yes:* binary search range becomes  $x_{n/2+1}, \dots, x_n$

*No:* binary search range becomes  $x_1, \dots, x_{n/2}$

Complexity:  $O(\log_2 n)$ .

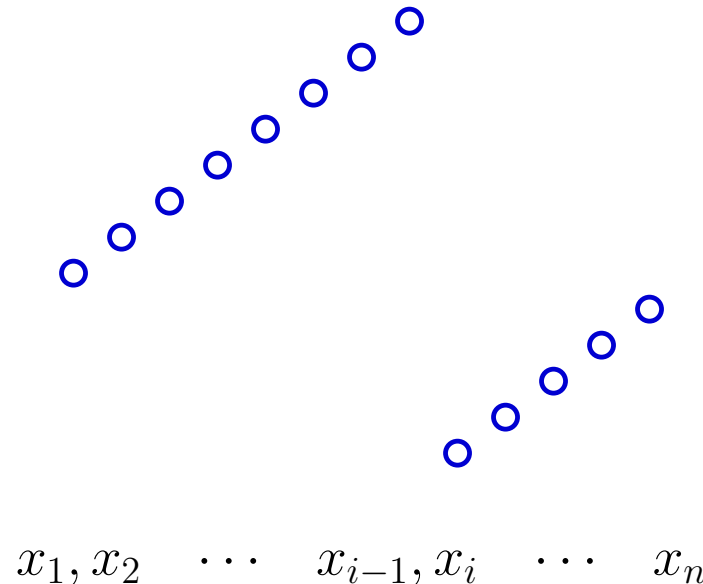
## Binary search in a cyclic sequence

*Definition.* A sequence  $x_1, x_2, \dots, x_n$  is said to be **cyclically sorted** if the smallest number in the sequence is  $x_i$  for some unknown  $i$ , and the sequence

$$x_i, x_{i+1}, \dots, x_n, x_1, x_2, \dots, x_{i-1}$$

is sorted.

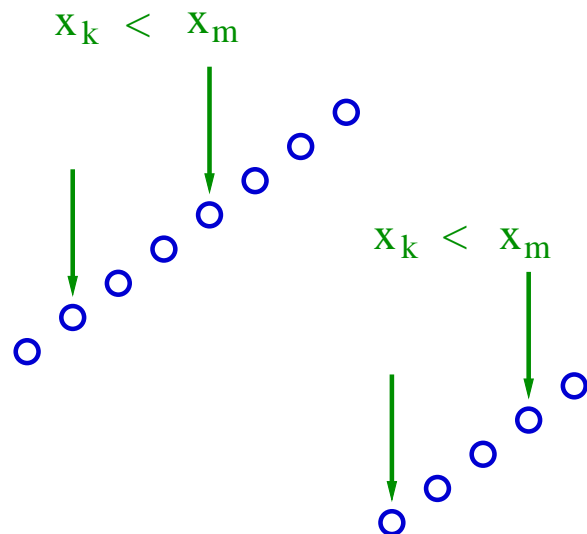
The Problem: Given a cyclically sorted list, find the position of the minimum element in the list (we assume elements are distinct).



*Solution:* For any two numbers  $x_k, x_m$ , such that  $k < m$ , compare  $x_k$  with  $x_m$ .

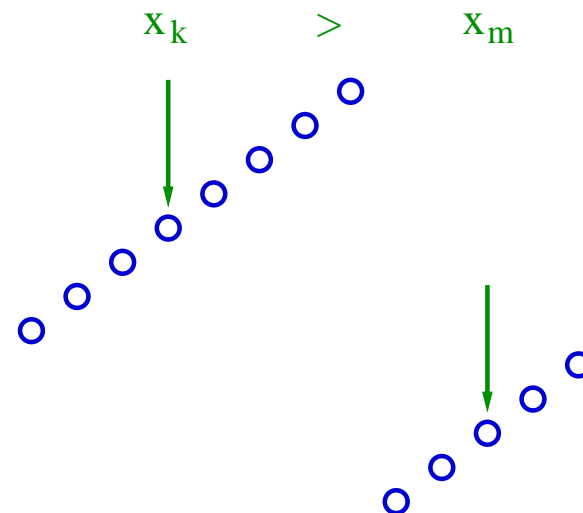
1. If  $x_k < x_m$  then  $i$  cannot be in the range  $k < j \leq m$  ( $k$  is possible)
2. If  $x_k > x_m$  then  $i$  must be in the range  $k < j \leq m$

A



$x_1, x_2 \quad \cdots \quad x_{i-1}, x_i \quad \cdots \quad x_n$

B



$x_1, x_2 \quad \cdots \quad x_{i-1}, x_i \quad \cdots \quad x_n$

† Is  $x_{n/2} < x_n$ ?

*Yes:* search range  $1 \dots n/2$ . *No:* search range  $n/2 + 1, \dots n$ .

† Find the index of the smallest element in  $O(\log n)$  time.

## Binary search for a special index

The Problem: Given a sorted sequence of distinct integers  $a_1, a_2, \dots, a_n$ , determine whether there exists an index  $i$  such that  $a_i = i$ .

If key is not given, binary search cannot be done. The principle still works.

*Compare:*  $a_{n/2}$  with  $n/2$

$a_{n/2} = n/2$  found!

$a_{n/2} < n/2 \implies a_{n/2-1} \leq a_{n/2} - 1 < n/2 - 1$

$\implies a_{n/2-1} < n/2 - 1, \dots, a_1 < 1$

$\implies$  search range:  $n/2 + 1, \dots, n$

$a_{n/2} > n/2 \implies a_{n/2+1} > n/2 + 1, \dots, a_n > n$

$\implies$  search range:  $1, \dots, n/2 - 1$

Can find index  $i$  such that  $a_i = i$  in  $O(\log(n))$  time.

## Binary search in sequence of unknown size

Sometimes we *double the search space* instead of halving it.

Consider binary search with size of the sequence unknown!

- Try to find  $x_i$  such that  $z \leq x_i$ ,  
then binary search in the range  $1, \dots, i$ .

More specifically,

† compare  $z$  to  $x_j$ ,  $j \geq 1$

† Assume  $x_j < z$ ,  $j \geq 1$ , try  $z$  and  $x_{2j}$

† If  $z \leq x_{2j}$  then  $x_j < z \leq x_{2j}$

- Can find  $z$  in  $O(\log j)$  additional comparisons

If  $i$  is the smallest index such that  $z \leq x_i$  then:

$O(\log i)$  to find an  $x_j$  such that  $z \leq x_j$

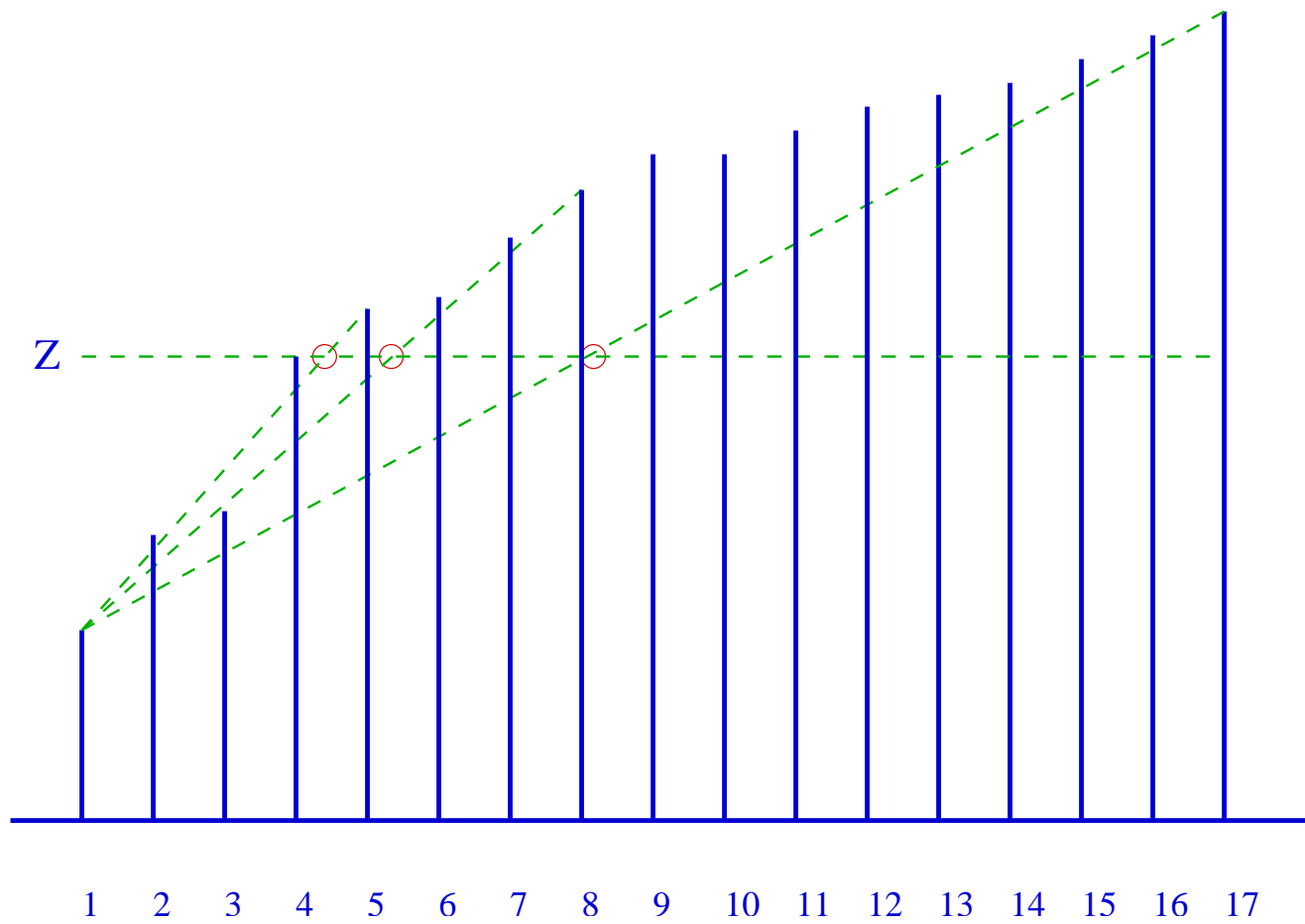
$O(\log i)$  to find  $x_i$ .

## Interpolation Search

- In binary search, the search space is always cut in half (which guarantees the logarithmic time).
- However, if we find a value that is very close to the search number  $z$ , it seems reasonable to continue the search in that "neighborhood" instead of blindly going to the next half point.

*Example: open a book, try to find a certain page. We want to find page 200 in a 800 page book. We do not start from about half. We try about one-fourth.*

- Search range  $l, \dots, r$   
If  $z$  is close to  $x_l$  we should choose something near  $l$ .  
We can use the ratio  $d = [z - x_l] / (x_r - x_l)$   
We next try  $l + d(r - l)$ .
- If  $z - x_l \cong x_r - z$ , this is binary search
- For random keys, interpolation search uses less than  $\lg(\lg(N)) + 1$  comparisons.
- Can be used for very large  $n$ .



Interpolation search