

# Algorithms and Decision Procedures for Regular Languages

## Chapter 9

## Decision Procedures

A **decision procedure** is an algorithm whose result is a Boolean value. It must:

- **Halt**
- **Be correct**

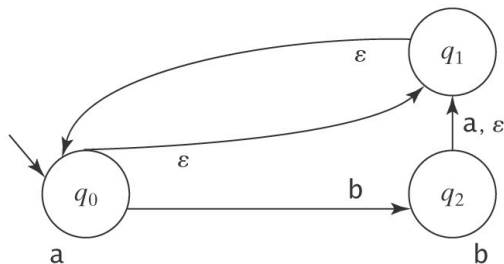
Important decision procedures exist for regular languages:

- Given an FSM  $M$  and a string  $s$ , does  $M$  accept  $s$ ?
- Given a regular expression  $\alpha$  and a string  $w$ , does  $\alpha$  generate  $w$ ?

## Membership

We can answer the membership question by running an FSM.

But we must be careful:



## Membership

$decideFSM(M: \text{FSM}, w: \text{string}) =$

If  $ndfsmsimulate(M, w)$  accepts then return *True*  
else return *False*.

$decideregex(\alpha: \text{regular expression}, w: \text{string}) =$

From  $\alpha$ , use  $regextofsm$  to construct an FSM  $M$   
such that  $L(\alpha) = L(M)$ .

Return  $decideFSM(M, w)$ .

## Emptiness, Finiteness, Equivalence

- Given an FSM  $M$ , is  $L(M)$  empty?
- Given an FSM  $M$ , is  $L(M) = \Sigma_M^*$ ?
- Given an FSM  $M$ , is  $L(M)$  finite?
- Given an FSM  $M$ , is  $L(M)$  infinite?
- Given two FSMs  $M_1$  and  $M_2$ , are they equivalent?

## Emptiness

- Given an FSM  $M$ , is  $L(M)$  empty?
- The **graph analysis** approach:
  1. Mark all states that are reachable via some path from the start state of  $M$ .
  2. If at least one marked state is an accepting state, return *False*. Else return *True*.
- The **simulation** approach:
  1. Let  $M' = ndfsmto fsm(M)$ .
  2. For each string  $w$  in  $\Sigma^*$  such that  $|w| < |K_{M'}|$  do:  
Run *decideFSM*( $M', w$ ).
  3. If  $M'$  accepts at least one such string, return *False*. Else return *True*.

## Totality

- Given an FSM  $M$ , is  $L(M) = \Sigma_M^*$ ?
  1. Construct  $M'$  to accept  $\neg L(M)$ .
  2. Return *emptyFSM*( $M'$ ).

## Finiteness

- Given an FSM  $M$ , is  $L(M)$  finite?
- The graph analysis approach:

## Finiteness

- Given an FSM  $M$ , is  $L(M)$  finite?
- The **graph analysis** approach:

The mere presence of a loop does not guarantee that  $L(M)$  is infinite. The loop might be:

- labeled only with  $\epsilon$ ,
- unreachable from the start state, or
- not on a path to an accepting state.

## Finiteness

- Given an FSM  $M$ , is  $L(M)$  finite?
- The **graph analysis** approach:

1.  $M' = \text{ndfsmtodfsm}(M)$ .
2.  $M'' = \text{minDFSM}(M')$ .
3. Mark all states in  $M''$  that are on a path to an accepting state.
4. Considering only marked states, determine whether there are any cycles in  $M''$ .
5. If there are cycles, return *True*. Else return *False*.

## Finiteness

- Given an FSM  $M$ , is  $L(M)$  finite?
- The **simulation** approach:

1.  $M' = \text{ndfsmtodfsm}(M)$ .
2. For each string  $w$  in  $\Sigma^*$  such that  $|K_{M'}| \leq w \leq 2 \cdot |K_{M'}| - 1$  do:  
Run  $\text{decideFSM}(M', w)$ .
3. If  $M'$  accepts at least one such string, return *False*.  
Else return *True*.

## Equivalence

- Given two FSMs  $M_1$  and  $M_2$ , are they equivalent? In other words, is  $L(M_1) = L(M_2)$ ?

Two solutions.

## Equivalence

- Given two FSMs  $M_1$  and  $M_2$ , are they equivalent? In other words, is  $L(M_1) = L(M_2)$ ?

$equalFSMs_1(M_1: \text{FSM}, M_2: \text{FSM}) =$

- $M_1' = buildFSMcanonicalform(M_1)$ .
- $M_2' = buildFSMcanonicalform(M_2)$ .
- If  $M_1'$  and  $M_2'$  are equal, return *True*, else return *False*.

## Equivalence

- Given two FSMs  $M_1$  and  $M_2$ , are they equivalent? In other words, is  $L(M_1) = L(M_2)$ ?

Observe that  $M_1$  and  $M_2$  are equivalent iff:

$$(L(M_1) - L(M_2)) \cup (L(M_2) - L(M_1)) = \emptyset.$$

$equalFSMs_2(M_1: \text{FSM}, M_2: \text{FSM}) =$

- Construct  $M_A$  to accept  $L(M_1) - L(M_2)$ .
- Construct  $M_B$  to accept  $L(M_2) - L(M_1)$ .
- Construct  $M_C$  to accept  $L(M_A) \cup L(M_B)$ .
- Return  $emptyFSM(M_C)$ .

## Minimality

- Given DFMS  $M$ , is  $M$  minimal?

- $M' = minDFSM(M)$ .
- If  $|K_M| = |K_{M'}|$  return *True*; else return *False*.

## Answering Specific Questions

Given two regular expressions  $\alpha_1$  and  $\alpha_2$ , is:

$$(L(\alpha_1) \cap L(\alpha_2)) - \{\epsilon\} \neq \emptyset?$$

- From  $\alpha_1$ , construct an FSM  $M_1$  such that  $L(\alpha_1) = L(M_1)$ .
- From  $\alpha_2$ , construct an FSM  $M_2$  such that  $L(\alpha_2) = L(M_2)$ .
- Construct  $M'$  such that  $L(M') = L(M_1) \cap L(M_2)$ .
- Construct  $M_\epsilon$  such that  $L(M_\epsilon) = \{\epsilon\}$ .
- Construct  $M''$  such that  $L(M'') = L(M') - L(M_\epsilon)$ .
- If  $L(M'')$  is empty return *False*; else return *True*.

## Answering Specific Questions

Given two regular expressions  $\alpha_1$  and  $\alpha_2$ , are there at least 3 strings that are generated by both of them?

## Summary of Closure Properties

- Compute functions of languages defined as FSMs:
  - Given FSMs  $M_1$  and  $M_2$ , construct a FSM  $M_3$  such that
$$L(M_3) = L(M_2) \cup L(M_1).$$
  - Given FSMs  $M_1$  and  $M_2$ , construct a new FSM  $M_3$  such that
$$L(M_3) = L(M_2) L(M_1).$$
  - Given FSM  $M$ , construct an FSM  $M^*$  such that
$$L(M^*) = (L(M))^*.$$
  - Given a DFMS  $M$ , construct an FSM  $M^*$  such that
$$L(M^*) = \neg L(M).$$
  - Given two FSMs  $M_1$  and  $M_2$ , construct an FSM  $M_3$  such that
$$L(M_3) = L(M_2) \cap L(M_1).$$
  - Given two FSMs  $M_1$  and  $M_2$ , construct an FSM  $M_3$  such that
$$L(M_3) = L(M_2) - L(M_1).$$
  - Given an FSM  $M$ , construct an FSM  $M^*$  such that
$$L(M^*) = (L(M))^R.$$

## Summary of Decision Procedures

- Decision procedures that answer questions about languages defined by FSMs:
  - Given an FSM  $M$  and a string  $s$ , decide whether  $s$  is accepted by  $M$ .
  - Given an FSM  $M$ , decide whether  $L(M)$  is empty.
  - Given an FSM  $M$ , decide whether  $L(M)$  is finite.
  - Given two FSMs,  $M_1$  and  $M_2$ , decide whether
$$L(M_1) = L(M_2).$$
  - Given an FSM  $M$ , is  $M$  minimal?