

# Counting

## Chapter 6

# Chapter Summary

- The Basics of Counting
- The Pigeonhole Principle
- Permutations and Combinations
- Binomial Coefficients and Identities
- Generalized Permutations and Combinations

# The Basics of Counting

Section 6.1

# Section Summary

- The Product Rule
- The Sum Rule
- The Subtraction Rule (Principle of Inclusion-Exclusion)

# Basic Counting Principles: The Product Rule

**The Product Rule:** A procedure can be broken down into a sequence of two tasks. There are  $n_1$  ways to do the first task and  $n_2$  ways to do the second task. Then there are  $n_1 \cdot n_2$  ways to do the procedure.

**Example:** How many bit strings of length seven are there?

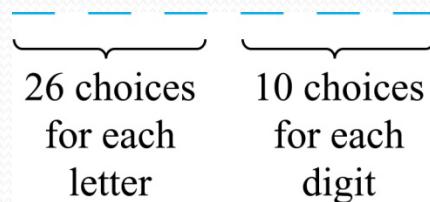
**Solution:** Since each of the seven bits is either a 0 or a 1, the answer is  $2^7 = 128$ .

# The Product Rule

**Example:** How many different license plates can be made if each plate contains a sequence of three uppercase English letters followed by three digits?

**Solution:** By the product rule,

there are  $26 \cdot 26 \cdot 26 \cdot 10 \cdot 10 \cdot 10 = 17,576,000$  different possible license plates.



# Counting Functions

**Counting Functions:** How many functions are there from a set with  $m$  elements (domain) to a set with  $n$  elements (codomain)?

**Solution:** Since a function represents a choice of one of the  $n$  elements of the codomain for each of the  $m$  elements in the domain, the product rule tells us that there are  $n \cdot n \cdots n = n^m$  such functions.

**Counting One-to-One Functions:** How many one-to-one functions are there from a set with  $m$  elements to one with  $n$  elements?

**Solution:** Suppose the elements in the domain are  $a_1, a_2, \dots, a_m$ . There are  $n$  ways to choose the value of  $a_1$  and  $n-1$  ways to choose  $a_2$ , etc. The product rule tells us that there are  $n(n-1)(n-2)\cdots(n-m+1)$  such functions.

# Telephone Numbering Plan

**Example:** The *North American numbering plan (NANP)* specifies that a telephone number consists of 10 digits, consisting of a three-digit area code, a three-digit office code, and a four-digit station code. There are some restrictions on the digits.

- Let  $X$  denote a digit from 0 through 9.
- Let  $N$  denote a digit from 2 through 9.
- Let  $Y$  denote a digit that is 0 or 1.
- In the old plan (in use in the 1960s) the format was  $NYX-NNX-XXXX$ .
- In the new plan, the format is  $NXX-NXX-XXXX$ .

How many different telephone numbers are possible under the old plan and the new plan?

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How many different telephone numbers are possible under the old plan and the new plan?

**Solution:** Use the Product Rule.

- There are  $8 \cdot 2 \cdot 10 = 160$  area codes with the format  $NYX$ .
- There are  $8 \cdot 10 \cdot 10 = 800$  area codes with the format  $NNX$ .
- There are  $8 \cdot 8 \cdot 10 = 640$  office codes with the format  $NNX$ .
- There are  $10 \cdot 10 \cdot 10 \cdot 10 = 10,000$  station codes with the format  $XXXX$ .

Number of old plan telephone numbers:  $160 \cdot 640 \cdot 10,000 = 1,024,000,000$ .

Number of new plan telephone numbers:  $800 \cdot 800 \cdot 10,000 = 6,400,000,000$ .

# Counting Subsets of a Finite Set

**Counting Subsets of a Finite Set:** Use the product rule to show that the number of different subsets of a finite set  $S$  is  $2^{|S|}$ . (*In Section 5.1, mathematical induction was used to prove this same result.*)

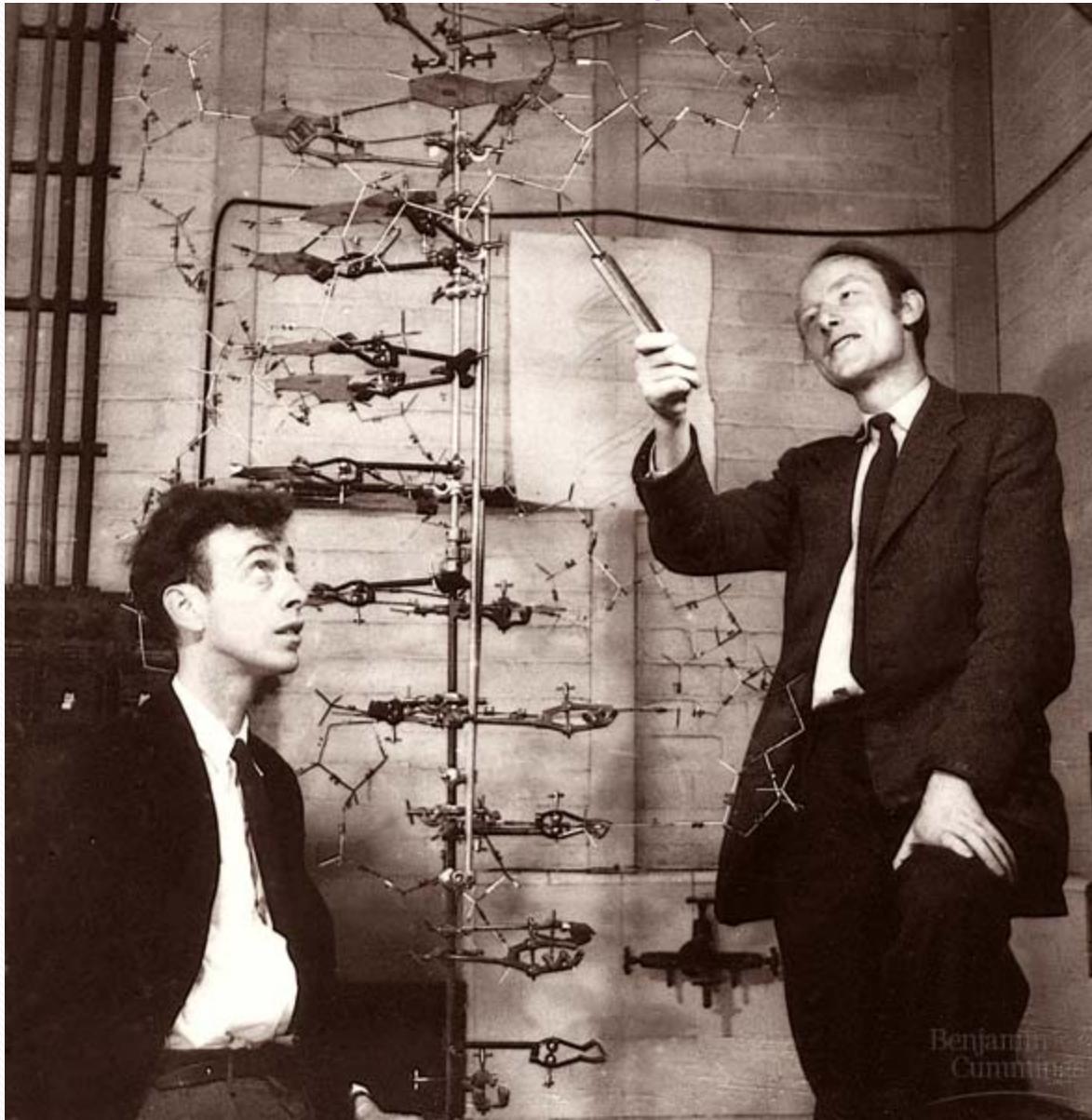
**Solution:** List the elements of  $S$  in an (arbitrary) order. Then, there is a one-to-one correspondence between subsets of  $S$  and bit strings of length  $|S|$ . When the  $i$ th element is in the subset, the bit string has a 1 in the  $i$ th position and a 0 otherwise.

By the product rule, there are  $2^{|S|}$  such bit strings, and therefore  $2^{|S|}$  subsets.

# Product Rule in Terms of Sets

- If  $A_1, A_2, \dots, A_m$  are finite sets, then the number of elements in the Cartesian product of these sets is the product of the number of elements of each set.
- The task of choosing an element in the Cartesian product  $A_1 \times A_2 \times \dots \times A_m$  is done by choosing an element in  $A_1$ , an element in  $A_2$ , ..., and an element in  $A_m$ .
- By the product rule, it follows that:  
$$|A_1 \times A_2 \times \dots \times A_m| = |A_1| \cdot |A_2| \cdot \dots \cdot |A_m|.$$

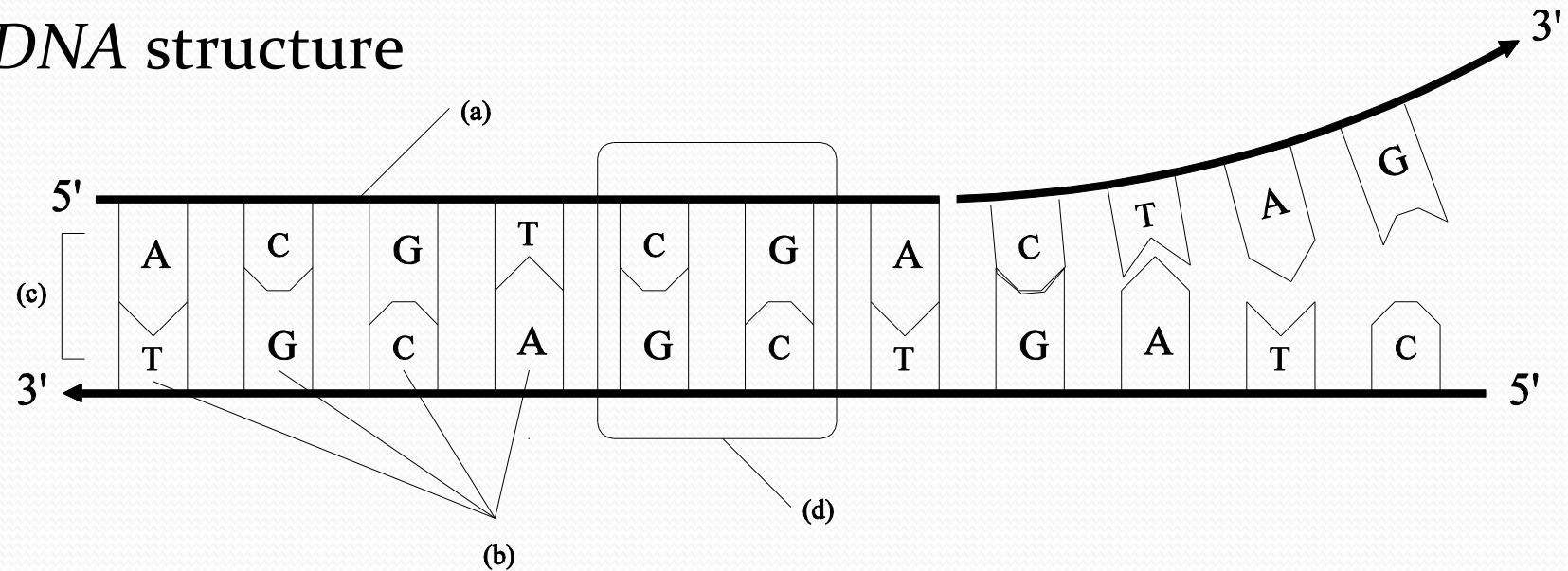
# Counting and DNA: DNA structure discovered in 1953 by Watson and Crick



Benjamin  
Cummings

# DNA

## DNA structure



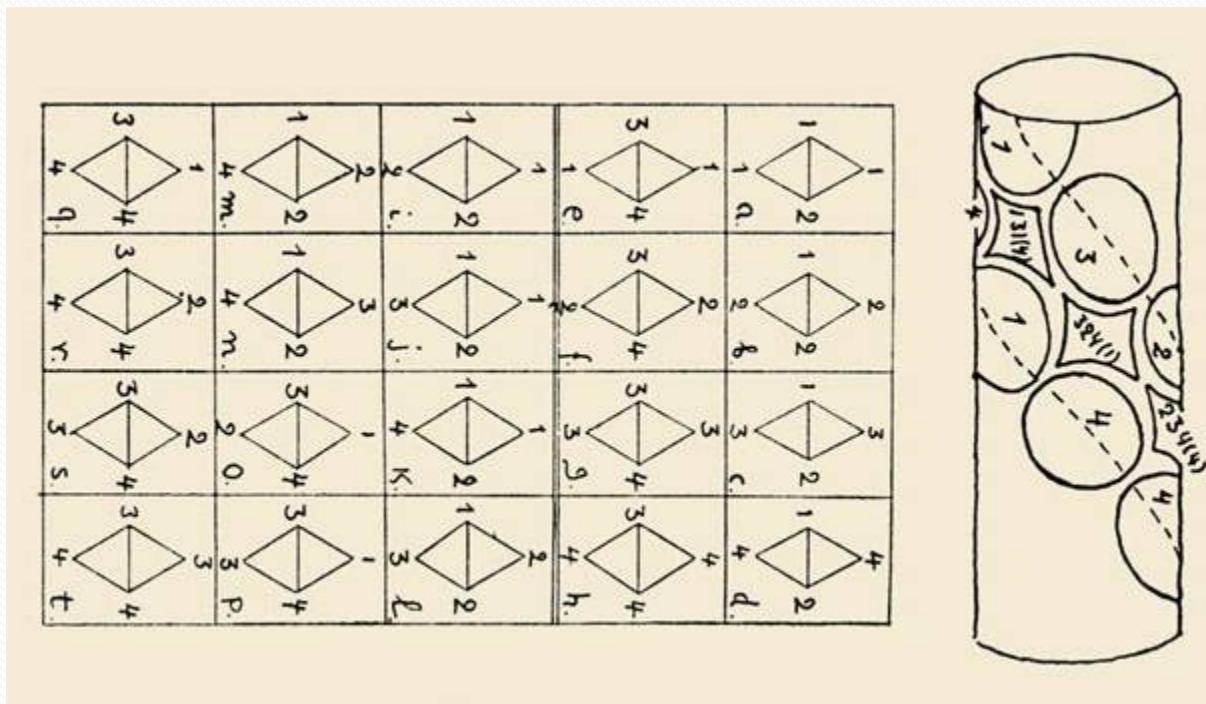
# The RNA Tie Club

- 1954 “Solve the riddle of the *RNA* structure and to understand how it builds proteins” (clockwise from upper left: Francis Crick, L. Orgel, James Watson, Al. Rich)
- There are **20 aminoacids** that build up **proteins**



# The Diamond Code

- G.Gamow - double stranded DNA acts as a template for protein synthesis: various combinations of bases could form distinctively shaped cavities into which the side chains of aminoacids might fit; **20 such possibilities**



# The suckling-pig model of protein synthesis



# Comma-free codes (Crick, 1957)

- Suckling-pig model of protein synthesis
- Construct a code in which when two **sense codons** (triplets) are catenated, the subword codons are **nonsense codons**
- If **CGU** and **AAG** are sense codons, then **GU** and **UA** must be nonsense because they appear in **CGUAAG= CGUAAG**

# Comma-free codes

- How many words can a **comma-free code** include?
- How many maximal comma-free codes there are?
- For an alphabet of  $n$  letters grouped into  $k$ -letter words, if  $k$  is prime, the number of maximal comma-free codes can be calculated
- For  $n=4$  and  $k=3$  this equals  $408$
- The size of a maximal comma-free code is  $(n^k - n)/k$
- For  $n=4$  and  $k=3$  the size of a maximal comma-free code is the **magic number  $20$**

# Reality Intrudes

- News from the lab bench: [Nirenberg,Matthaei '61] synthesize *RNA*, namely **poly-U**, coding for phenylalanine
- Therefore the genetic code is not a comma-free code
- By **1965** the genetic code was solved
- The code resembled none of the theoretical notions
- The “extra” codons are merely redundant

# The Genetic Code

		Second Letter			
		T	C	A	G
First Letter	T	TTT } Phe TTC TTA } Leu TTG	TCT } Ser TCC TCA TCG	TAT } Tyr TAC TAA Stop TAG Stop	TGT } Cys TGC TGA Stop TGG Trp
	C	CTT } Leu CTC CTA CTG	CCT } Pro CCC CCA CCG	CAT } His CAC CAA } Gln CAG	CGT } Arg CGC CGA CGG
	A	ATT } Ile ATC ATA ATG Met	ACT } Thr ACC ACA ACG	AAT } Asn AAC AAA } Lys AAG	AGT } Ser AGC AGA } Arg AGG
	G	GTT } Val GTC GTA GTG	GCT } Ala GCC GCA GCG	GAT } Asp GAC GAA } Glu GAG	GGT } Gly GGC GGA GGG

# DNA and Genomes

- A *gene* is a segment of a DNA molecule that encodes a particular protein and the entirety of genetic information of an organism is called its *genome*.
- The DNA of bacteria has between  $10^5$  and  $10^7$  nucleotides.  
Mammal genomes range between  $10^8$  and  $10^{10}$  nucleotides.  
By the **product rule** there are at least  $4^{10^5}$  possible different DNA sequences for bacteria, and  $4^{10^8}$  possible different DNA sequences for mammals.  
This may explain the tremendous variability among living organisms.
- The human genome includes approximately 23,000 genes, each having a length of 1,000 or more nucleotides.
- Biologists, mathematicians, and computer scientists all work on determining the DNA sequence (genome) of different organisms.

# Basic Counting Principles: The Sum Rule

**The Sum Rule:** If a task can be done either in one of  $n_1$  ways or in one of  $n_2$  ways, where none of the set of  $n_1$  ways is the same as any of the  $n_2$  ways, then there are  $n_1 + n_2$  ways to do the task.

**Example:** The computer science department must choose either a student or a faculty member as a representative for a university committee. How many choices are there for this representative if there are 37 members of the CS faculty and 83 CS majors and no one is both a faculty member and a student.

**Solution:** By the sum rule it follows that there are  $37 + 83 = 120$  possible ways to pick a representative.

# The Sum Rule in terms of sets.

- The sum rule can be phrased in terms of sets.  
 $|A \cup B| = |A| + |B|$  as long as  $A$  and  $B$  are disjoint sets.
- Or more generally,

$$|A_1 \cup A_2 \cup \dots \cup A_m| = |A_1| + |A_2| + \dots + |A_m|$$

when  $A_i \cap A_j = \emptyset$  for all  $i, j$ .

- The case where the sets have elements in common will be discussed when we consider the subtraction rule.

# Combining the Sum and Product Rule

**Example:** Suppose statement labels in a programming language can be either a single letter or a letter followed by a digit. Find the number of possible labels.

**Solution:** Use the product rule.

$$26 + 26 \cdot 10 = 286$$

# Counting Passwords

- Combining the sum and product rule allows us to solve more complex problems.

**Example:** Each user on a computer system has a password, which is six to eight characters long, where each character is an uppercase letter or a digit. Each password must contain at least one digit. How many possible passwords are there?

# Counting Passwords

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**Example:** Each user on a computer system has a password, which is six to eight characters long, where each character is an uppercase letter or a digit. Each password must contain at least one digit. How many possible passwords are there?

**Solution:** Let  $P$  be the total number of passwords, and let  $P_6$ ,  $P_7$ , and  $P_8$  be the passwords of length 6, 7, and 8.

- By the sum rule  $P = P_6 + P_7 + P_8$ .
- To find each of  $P_6$ ,  $P_7$ , and  $P_8$ , we find the number of passwords of the specified length composed of letters and digits and subtract the number composed only of letters. We find that:

$$P_6 = 36^6 - 26^6 = 2,176,782,336 - 308,915,776 = 1,867,866,560.$$

$$\begin{aligned}P_7 &= 36^7 - 26^7 = \\&\quad 78,364,164,096 - 8,031,810,176 = 70,332,353,920.\end{aligned}$$

$$\begin{aligned}P_8 &= 36^8 - 26^8 = \\&\quad 2,821,109,907,456 - 208,827,064,576 = 2,612,282,842,880.\end{aligned}$$

Consequently,  $P = P_6 + P_7 + P_8 = 2,684,483,063,360$ .

# Internet Addresses

- Version 4 of the Internet Protocol (IPv4) uses 32 bits.

Bit Number	0	1	2	3	4	8	16	24	31		
Class A	0	netid				hostid					
Class B	1	0	netid				hostid				
Class C	1	1	0	netid				hostid			
Class D	1	1	1	0	Multicast Address						
Class E	1	1	1	1	0	Address					

- Class A Addresses:** used for the largest networks, a 0,followed by a 7-bit netid and a 24-bit hostid, or
- Class B Addresses:** used for the medium-sized networks, a 10,followed by a 14-bit netid and a 16-bit hostid, or
- Class C Addresses:** used for the smallest networks, a 110,followed by a 21-bit netid and a 8-bit hostid.
  - Neither Class D nor Class E addresses are assigned as the address of a computer on the internet. Only Classes A, B, and C are available.
  - 1111111 is not available as the netid of a Class A network.
  - Hostids consisting of all 0s and all 1s are not available in any network.

# Counting Internet Addresses

**Example:** How many different IPv4 addresses are available for computers on the internet?

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**Example:** How many different IPv4 addresses are available for computers on the internet?

**Solution:** Use both the sum and the product rule. Let  $x$  be the number of available addresses, and let  $x_A$ ,  $x_B$ , and  $x_C$  denote the number of addresses for the respective classes.

- To find,  $x_A$ :  $2^7 - 1 = 127$  netids.  $2^{24} - 2 = 16,777,214$  hostids.  
$$x_A = 127 \cdot 16,777,214 = 2,130,706,178.$$
- To find,  $x_B$ :  $2^{14} = 16,384$  netids.  $2^{16} - 2 = 16,534$  hostids.  
$$x_B = 16,384 \cdot 16,534 = 1,073,709,056.$$
- To find,  $x_C$ :  $2^{21} = 2,097,152$  netids.  $2^8 - 2 = 254$  hostids.  
$$x_C = 2,097,152 \cdot 254 = 532,676,608.$$
- Hence, the total number of available IPv4 addresses is

$$\begin{aligned}x &= x_A + x_B + x_C \\&= 2,130,706,178 + 1,073,709,056 + 532,676,608 \\&= 3,737,091,842.\end{aligned}$$

Not Enough Today !!

The newer IPv6 protocol solves the problem of too few addresses.

# Basic Counting Principles: Subtraction Rule

**Subtraction Rule:** If a task can be done either in one of  $n_1$  ways or in one of  $n_2$  ways, then the total number of ways to do the task is  $n_1 + n_2$  minus the number of ways to do the task that are common to the two different ways.

- Also known as the *principle of inclusion-exclusion*:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

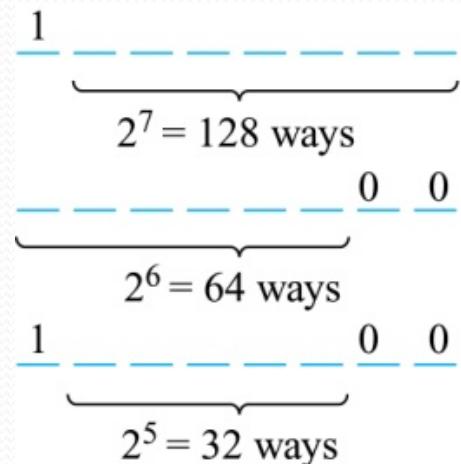
# Counting Bit Strings

**Example:** How many bit strings of length eight either start with a 1 bit or end with the two bits 00?

**Solution:** Use the subtraction rule.

- Number of bit strings of length eight that start with a 1 bit:  $2^7 = 128$
- Number of bit strings of length eight that start with bits 00:  $2^6 = 64$
- Number of bit strings of length eight that start with a 1 bit and end with bits 00 :  $2^5 = 32$

Hence, the number is  $128 + 64 - 32 = 160$ .



# The Pigeonhole Principle

Section 6.2

# Section Summary

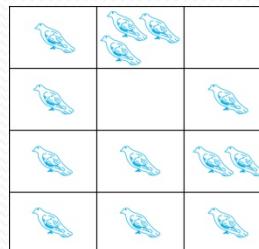
- The Pigeonhole Principle
- The Generalized Pigeonhole Principle

# Pigeonhole Principle

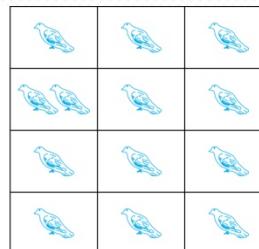


# The Pigeonhole Principle

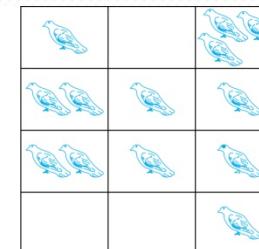
- If a flock of 20 pigeons roosts in a set of 19 pigeonholes, one of the pigeonholes must have more than 1 pigeon.



(a)



(b)



(c)

**Pigeonhole Principle:** If  $k$  is a positive integer and  $k + 1$  objects are placed into  $k$  boxes, then at least one box contains two or more objects.

**Proof:** We use a proof by contraposition. Suppose none of the  $k$  boxes has more than one object. Then the total number of objects would be at most  $k$ . This contradicts the statement that we have  $k + 1$  objects. ◀

# Pigeonhole Principle

**Example:** Among any group of 367 people, there must be at least two with the same birthday.

**Example:** Show that for every integer  $n$  there is a multiple of  $n$  that has only 0s and 1s in its decimal expansion.

# Pigeonhole Principle

**Example:** Among any group of 367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays (a leap year has 366 days).

**Example :** Show that for every integer  $n$  there is a multiple of  $n$  that has only 0s and 1s in its decimal expansion.

**Solution:** Let  $n$  be a positive integer. Consider the  $n + 1$  integers 1, 11, 111, ...., 11...1 (where the last has  $n + 1$  digits "1"). There are  $n$  possible remainders when an integer is divided by  $n$ . By the pigeonhole principle, when each of the  $n + 1$  integers is divided by  $n$ , at least two must have the same remainder. Subtract the smaller from the larger and the result is a multiple of  $n$  that has only 0s and 1s in its decimal expansion.

# Pigeonhole Principle in Literature

“And NUH is the letter I use to spell Nutches  
Who live in small caves, known as Nitches, for hutches,  
These Nutches have troubles, the biggest of which is  
the fact there are many more Nutches than Nitches.  
Each Nutch in a Nitch knows that some other Nutch  
Would like to move into his Nitch very much.  
So each Nutch in a Nitch has to watch that small Nitch  
Or Nutches who haven’t got Nitches will snitch. “

Dr. Seuss, *On Beyond Zebra*



# The Generalized Pigeonhole Principle

**The Generalized Pigeonhole Principle:** If  $N$  objects are placed into  $k$  boxes, then there is at least one box containing at least  $[N/k]$  objects.

**Proof:** We use a proof by contraposition. Suppose that none of the boxes contains more than  $[N/k] - 1$  objects. Then the total number of objects is at most

$$k \left( \left\lceil \frac{N}{k} \right\rceil - 1 \right) < k \left( \left( \frac{N}{k} + 1 \right) - 1 \right) = N,$$

where the inequality  $\left[ \frac{N}{k} \right] < \left( \frac{N}{k} \right) + 1$  has been used. This is a contradiction because there are a total of  $n$  objects. ◀

**Example:** Among 100 people there are at least  $\lceil 100/12 \rceil = 9$  who were born in the same month.

# The Generalized Pigeonhole Principle

**Example:** a) How many cards must be selected from a standard deck of 52 cards to guarantee that at least three cards of the same suit are chosen?

# The Generalized Pigeonhole Principle

**Example:** a) How many cards must be selected from a standard deck of 52 cards to guarantee that at least three cards of the same suit are chosen?

**Solution:** a) We assume four boxes; one for each suit. Using the generalized pigeonhole principle, at least one box contains at least  $[N/4]$  cards. At least three cards of one suit are selected if  $[N/4] \geq 3$ . The smallest integer  $N$  such that  $[N/4] \geq 3$  is

$$N = 2 \cdot 4 + 1 = 9.$$

# Permutations and Combinations

Section 6.3

# Section Summary

- Permutations
- Combinations

# Permutations

**Definition:** A *permutation* of a set of distinct objects is an ordered arrangement of these objects. An ordered arrangement of  $r$  elements of a set is called an  *$r$ -permutation*.

**Example:** Let  $S = \{1, 2, 3\}$ .

- The ordered arrangement  $3, 1, 2$  is a permutation of  $S$ .
- The ordered arrangement  $3, 2$  is a 2-permutation of  $S$ .
- The number of  $r$ -permutations of a set with  $n$  elements is denoted by  $P(n, r)$ .
  - The 2-permutations of  $S = \{1, 2, 3\}$  are  $1, 2$ ;  $1, 3$ ;  $2, 1$ ;  $2, 3$ ;  $3, 1$ ; and  $3, 2$ . Hence,  $P(3, 2) = 6$ .

# A Formula for the Number of Permutations

**Theorem 1:** If  $n$  is a positive integer and  $r$  is an integer with  $1 \leq r \leq n$ , then there are

$$P(n, r) = n(n - 1)(n - 2) \cdots (n - r + 1)$$

$r$ -permutations of a set with  $n$  distinct elements.

**Proof:** Use the product rule. The first element can be chosen in  $n$  ways. The second in  $n - 1$  ways, and so on until there are  $(n - (r - 1))$  ways to choose the last element.

- Note that  $P(n, 0) = 1$ , since there is only one way to order zero elements.

**Corollary 1:** If  $n$  and  $r$  are integers with  $1 \leq r \leq n$ , then

$$P(n, r) = \frac{n!}{(n-r)!}$$

# Solving Counting Problems by Counting Permutations

**Example:** How many ways are there to select a first-prize winner, a second prize winner, and a third-prize winner from 100 different people who have entered a contest?

**Solution:**

$$P(100,3) = 100 \cdot 99 \cdot 98 = 970,200$$

# Solving Counting Problems by Counting Permutations (*continued*)

**Example:** Suppose that a saleswoman has to visit eight different cities. She must begin her trip in a specified city, but she can visit the other seven cities in any order she wishes. How many possible orders can the saleswoman use when visiting these cities?

# Solving Counting Problems by Counting Permutations (*continued*)

**Example:** Suppose that a saleswoman has to visit eight different cities. She must begin her trip in a specified city, but she can visit the other seven cities in any order she wishes. How many possible orders can the saleswoman use when visiting these cities?

**Solution:** The first city is chosen, and the rest are ordered arbitrarily. Hence the orders are:

$$P(7, 7) = 7! = 7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5040$$

If she wants to find the tour with the shortest path that visits all the cities, she must consider 5040 paths!

# Solving Counting Problems by Counting Permutations (*continued*)

**Example:** How many permutations of the letters  $ABCDEFGH$  contain the string  $ABC$  ?

# Solving Counting Problems by Counting Permutations (*continued*)

**Example:** How many permutations of the letters  $ABCDEFGH$  contain the string  $ABC$  ?

**Solution:** We solve this problem by counting the permutations of six objects,  $ABC$ ,  $D$ ,  $E$ ,  $F$ ,  $G$ , and  $H$ .

$$6! = 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 720$$

# Combinations

**Definition:** An *r-combination* of elements of a set is an unordered selection of  $r$  elements from the set. Thus, an  $r$ -combination is simply a subset of the set with  $r$  elements.

- The number of  $r$ -combinations of a set with  $n$  distinct elements is denoted by  $C(n, r)$ . The notation  $\binom{n}{r}$  is also used and is called a *binomial coefficient*.
- **Example:** Let  $S$  be the set  $\{a, b, c, d\}$ . Then  $\{a, c, d\}$  is a 3-combination from  $S$ . It is the same as  $\{d, c, a\}$  since the order listed does not matter.
- $C(4,2) = 6$  because the 2-combinations of  $\{a, b, c, d\}$  are the six subsets  $\{a, b\}$ ,  $\{a, c\}$ ,  $\{a, d\}$ ,  $\{b, c\}$ ,  $\{b, d\}$ , and  $\{c, d\}$ .

# Combinations

**Theorem 2:** The number of  $r$ -combinations of a set with  $n$  elements, where  $n \geq r \geq 0$ , equals

$$C(n, r) = \frac{n!}{(n-r)!r!}.$$

**Proof:** By the product rule  $P(n, r) = C(n,r) \cdot P(r,r)$ .  
Therefore,

$$C(n, r) = \frac{P(n,r)}{P(r,r)} = \frac{n!/(n-r)!}{r!/(r-r)!} = \frac{n!}{(n-r)!r!} .$$

# Combinations

**Example:** How many poker hands of five cards can be dealt from a standard deck of 52 cards? Also, how many ways are there to select 47 cards from a deck of 52 cards?

**Solution:** Since the order in which the cards are dealt does not matter, the number of five card hands is:

$$\begin{aligned}C(52, 5) &= \frac{52!}{5!47!} \\&= \frac{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 26 \cdot 17 \cdot 10 \cdot 49 \cdot 12 = 2,598,960\end{aligned}$$

- The different ways to select 47 cards from 52 is

$$C(52, 47) = \frac{52!}{47!5!} = C(52, 5) = 2,598,960.$$

*This is a special case of a general result. →*

# Combinations

**Corollary 2:** Let  $n$  and  $r$  be nonnegative integers with  $r \leq n$ . Then  $C(n, r) = C(n, n - r)$ .

**Proof:** From Theorem 2, it follows that

$$C(n, r) = \frac{n!}{(n-r)!r!}$$

and

$$C(n, n - r) = \frac{n!}{(n-r)![n-(n-r)]!} = \frac{n!}{(n-r)!r!} .$$

Hence,  $C(n, r) = C(n, n - r)$ . ◀

# Combinations

**Example:** How many ways are there to select five players from a 10-member tennis team to make a trip to a match at another school.

**Example:** A group of 30 people have been trained as astronauts to go on the first mission to Mars. How many ways are there to select a crew of six people to go on this mission?

# Combinations

**Example:** How many ways are there to select five players from a 10-member tennis team to make a trip to a match at another school.

**Solution:** By Theorem 2, the number of combinations is

$$C(10, 5) = \frac{10!}{5!5!} = 252.$$

**Example:** A group of 30 people have been trained as astronauts to go on the first mission to Mars. How many ways are there to select a crew of six people to go on this mission?

**Solution:** By Theorem 2, the number of possible crews is

$$C(30, 6) = \frac{30!}{6!24!} = \frac{30 \cdot 29 \cdot 28 \cdot 27 \cdot 26 \cdot 25}{6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 593,775 .$$

# Binomial Coefficients and Identities

Section 6.4

# Section Summary

- The Binomial Theorem
- Pascal's Identity and Triangle

# Powers of Binomial Expressions

**Definition:** A *binomial* expression is the sum of two terms, such as  $x + y$ . (More generally, these terms can be products of constants and variables.)

- We can use counting principles to find the coefficients in the expansion of  $(x + y)^n$  where  $n$  is a positive integer.
- To illustrate this idea, we first look at the process of expanding  $(x + y)^3$ .
- $(x + y) (x + y)$  expands into a sum of terms that are the product of a term from each of the three sums.
- Terms of the form  $x^3, x^2y, xy^2, y^3$  arise. The question is what are the coefficients?
  - To obtain  $x^3$ , an  $x$  must be chosen from each of the sums. There is only one way to do this. So, the coefficient of  $x^3$  is 1.
  - To obtain  $x^2y$ , an  $x$  must be chosen from two of the sums and a  $y$  from the other. There are  $\binom{3}{2}$  ways to do this and so the coefficient of  $x^2y$  is 3.
  - To obtain  $xy^2$ , an  $x$  must be chosen from one of the sums and a  $y$  from the other two. There are  $\binom{3}{1}$  ways to do this and so the coefficient of  $xy^2$  is 3.
  - To obtain  $y^3$ , a  $y$  must be chosen from each of the sums. There is only one way to do this. So, the coefficient of  $y^3$  is 1.
- We have used a counting argument to show that  $(x + y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$ .
- Next we present the binomial theorem gives the coefficients of the terms in the expansion of  $(x + y)^n$ .

# Binomial Theorem

**Binomial Theorem:** Let  $x$  and  $y$  be variables, and  $n$  a nonnegative integer. Then:

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j = \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \dots + \binom{n}{n-1} x y^{n-1} + \binom{n}{n} y^n.$$

**Proof:** We use combinatorial reasoning . The terms in the expansion of  $(x + y)^n$  are of the form  $x^{n-j}y^j$  for  $j = 0, 1, 2, \dots, n$ . To form the term  $x^{n-j}y^j$ , it is necessary to choose  $(n-j)$   $x$ s from the  $n$  sums. Therefore, the coefficient of  $x^{n-j}y^j$  is  $\binom{n}{n-j}$  which equals  $\binom{n}{j}$ . ◀

# Using the Binomial Theorem

**Example:** What is the coefficient of  $x^{12}y^{13}$  in the expansion of  $(2x - 3y)^{25}$ ?

# Using the Binomial Theorem

**Example:** What is the coefficient of  $x^{12}y^{13}$  in the expansion of  $(2x - 3y)^{25}$ ?

**Solution:** We view the expression as  $(2x + (-3y))^{25}$ .  
By the binomial theorem

$$(2x + (-3y))^{25} = \sum_{j=0}^{25} \binom{25}{j} (2x)^{25-j} (-3y)^j.$$

Consequently, the coefficient of  $x^{12}y^{13}$  in the expansion is obtained when  $j = 13$ .

$$\binom{25}{13} 2^{12}(-3)^{13} = -\frac{25!}{13!12!} 2^{12} 3^{13}.$$

# A Useful Identity

**Corollary 1:** If  $n \geq 0$ ,

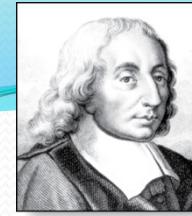
$$\sum_{k=0}^n \binom{n}{k} = 2^n.$$

**Proof (using binomial theorem):** With  $x = 1$  and  $y = 1$ ,  
from the binomial theorem we see that: ◀

$$2^n = (1 + 1)^n = \sum_{k=0}^n \binom{n}{k} 1^k 1^{(n-k)} = \sum_{k=0}^n \binom{n}{k}.$$



Blaise Pascal  
(1623-1662)



# Pascal's Identity

**Pascal's Identity:** If  $n$  and  $k$  are integers with  $n \geq k \geq 0$ , then

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}.$$

**Proof : Exercise**

# Pascal's Triangle

The  $n$ th row in the triangle consists of the binomial coefficients  $\binom{n}{k}$ ,  $k = 0, 1, \dots, n$ .

$\binom{0}{0}$		1
$\binom{1}{0} \binom{1}{1}$		1 1
$\binom{2}{0} \binom{2}{1} \binom{2}{2}$	By Pascal's identity:	1 2 1
$\binom{3}{0} \binom{3}{1} \binom{3}{2} \binom{3}{3}$	$\binom{6}{4} + \binom{6}{5} = \binom{7}{5}$	1 3 3 1
$\binom{4}{0} \binom{4}{1} \binom{4}{2} \binom{4}{3} \binom{4}{4}$		1 4 6 4 1
$\binom{5}{0} \binom{5}{1} \binom{5}{2} \binom{5}{3} \binom{5}{4} \binom{5}{5}$		1 5 10 10 5 1
$\binom{6}{0} \binom{6}{1} \binom{6}{2} \binom{6}{3} \binom{6}{4} \binom{6}{5} \binom{6}{6}$		1 6 15 20 15 6 1
$\binom{7}{0} \binom{7}{1} \binom{7}{2} \binom{7}{3} \binom{7}{4} \binom{7}{5} \binom{7}{6} \binom{7}{7}$		1 7 21 35 35 21 7 1
$\binom{8}{0} \binom{8}{1} \binom{8}{2} \binom{8}{3} \binom{8}{4} \binom{8}{5} \binom{8}{6} \binom{8}{7} \binom{8}{8}$		1 8 28 56 70 56 28 8 1
...		...
(a)		(b)

By Pascal's identity, adding two adjacent binomial coefficients results in the binomial coefficient in the next row between these two coefficients.