

1. Formation of a black hole

- In this problem we will see how a Schwarzschild black hole can be formed from the collapse of a simple, non-singular physical object:
- The exterior of a star of pressureless dust is described by:

$$ds^2_{r > R_*} = -\left(1 - \frac{2GM}{r}\right) dt^2 + \frac{dr^2}{1 - 2GM/r} + r^2 d\Omega^2$$

- The interior is described by:

$$ds^2_{r < R_*} = -d\tau^2 + a^2(\tau) R_0^2 (d\chi^2 + \sin^2\chi d\Omega^2)$$

- [a] • Show that the parametric solution:

$$a = \frac{a_{\max}}{2} (1 + \cos \eta)$$

$$\tau = \frac{a_{\max} R_0}{2} (\eta + \sin \eta)$$

with  $0 \leq \eta \leq \pi$  solves the Friedmann Equations for  $k=1$  with  $\rho$  given by the energy



density for pressureless dust matter:

$$(F1) \quad \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G \rho}{3} - \frac{k}{a^2} + \frac{\Lambda}{3}$$

$$(F2) \quad \frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3p) + \frac{\Lambda}{3}$$

• Assume  $\Lambda \approx p \approx 0$  and  $\rho = \rho_0 a^{-3}$  for a matter dominated energy density:

$$\rightarrow \left\{ \begin{array}{l} \left(\frac{\dot{a}}{a}\right)^2 = C_0 a^{-3} - a^{-2} \quad (\star) \\ \left(\frac{\ddot{a}}{a}\right) = -\frac{C_0 a^{-3}}{2} \end{array} \right. \quad \text{where } C_0 \equiv \frac{8\pi G \rho_0}{3}$$

• We will now show that the parametric solution obeys the first Friedmann equation  $(\star)$

• Find  $\dot{a} = \frac{da}{d\tau} = \frac{da}{d\eta} \cdot \frac{d\eta}{d\tau}$ :

• First  $d\eta/d\tau$ :

$$\frac{d}{d\tau}(\tau) = \frac{d}{d\tau} \left( \frac{a_m R_0}{2} (\eta + \sin \eta) \right)$$

$$\rightarrow 1 = \left( \frac{a_m R_0}{2} \cdot \frac{d\eta}{d\tau} \right) (1 + \cos \eta)$$

$$\rightarrow \frac{d\eta}{d\tau} = 2 / (a_m R_0 (1 + \cos \eta))$$



• Now find  $da/d\eta$ :

$$\frac{da}{d\eta} = \frac{d}{d\eta} \left( \frac{a_m}{2} (1 + \cos \eta) \right) = \frac{-a_m \sin \eta}{2}$$

$$\rightarrow \frac{da}{d\tau} \equiv \dot{a} = \frac{-\sin(\eta)}{R_0 (1 + \cos \eta)}$$

$$\rightarrow \left( \frac{\dot{a}}{a} \right)^2 = \frac{\sin^2(\eta)}{R_0^2 (1 + \cos \eta)^2} \cdot \frac{4}{a_m^2 (1 + \cos \eta)^2}$$

$$\rightarrow \left( \frac{\dot{a}}{a} \right)^2 = \frac{4 \sin^2 \eta}{R_0^2 (1 + \cos \eta)^4} \quad \text{if } a_m = 1 \text{ by construction}$$

• Now check does this obey  $\left( \frac{\dot{a}}{a} \right)^2 = c_0 a^{-3} - a^{-2}$ ?

$$\text{RHS} = c_0 a^{-3} - a^{-2}$$

$$= \frac{c_0 2^3}{(1 + \cos \eta)^3} - \frac{2^2}{(1 + \cos \eta)^2} \quad \text{if } a_m = 1 \dots$$

$$= \frac{4}{R_0^2 (1 + \cos \eta)^4} \cdot \left( 2 c_0 R_0^2 (1 + \cos \eta) - R_0^2 (1 + \cos \eta)^2 \right)$$

• So we need this term  $(\text{wavy}) = \sin^2 \eta$  for a match:  $\text{wavy} \rightarrow$



• So let's equate the 2 and solve:

$$R_0^2 (2c_0 (1 + \cos \eta) - (1 + 2 \cos \eta + \cos^2 \eta)) = \sin^2 \eta$$

$$R_0^2 (2c_0 (1 + \cos \eta) - 2(1 + \cos \eta) + \sin^2 \eta) = \sin^2 \eta$$

• If  $R_0$  was equal to 1, we could just set  $c_0 = 1$ , but we cannot in general:

$$\rightarrow c_0 = \frac{(\sin^2 \eta)(1 - R_0^2)}{(2R_0^2)(1 + \cos \eta)} + 1 \quad (*)$$

• If  $(*)$  is the case, then the parametric equations satisfy the 1st Friedmann equation. The 2nd Friedmann equation is derived from F1 + energy conservation so if all parameters are tuned correctly; the F2 equation should be satisfied as well  $\checkmark$

• Since  $c_0 = 8\pi G \rho_0 / 3$  we can use  $(*)$  to derive a relationship between initial density  $\rho_0$  and the length-scale  $R_0$ :

$$\rho_0 = \left( \frac{3}{8\pi G} \right) \left( \frac{(\sin^2 \eta)(1 - R_0^2)}{(2R_0^2)(1 + \cos \eta)} + 1 \right)$$



[6]. The solution for the interior time coordinate  $\tau$  is only good up to  $\tau = \pi R_0/2$ . What happens to the interior solution after that?

$$\tau = \frac{\pi R_0}{2} = \frac{R_0}{2} (\eta + \sin \eta) \rightarrow \eta + \sin \eta = \pi$$

Plotting this transcendental equation, one finds that:  $\eta = \pi$ . Now plug this into a formula:

$$\dot{a} = \frac{-\sin(\pi)}{R_0(1 + \cos(\pi))} \rightarrow \frac{0}{0} \quad \text{Now use L'Hopital's rule:}$$

$$\lim_{\eta \rightarrow \pi} \dot{a} = \frac{-\cos(\eta)}{-\sin(\eta)} \rightarrow \frac{+1}{-0} \rightarrow \infty$$

So as  $\tau \rightarrow \pi R_0/2$ ,  $\dot{a} \rightarrow \infty$  implying the scale factor grows without bound + there is a "Big Rip" inside the star. This is unphysical so the reason is our solution breaks down as  $\tau \rightarrow \pi R_0/2 \dots$  ✓

[7]. Consider a purely radial "orbit" (i.e. trajectory with no angular momentum  $L=0$ ). For a given energy per unit mass  $E$ , find the radius  $R$  at which the radial velocity goes to zero:

We will use this solution to define the "orbital energy" of a dust element at the surface of the



star as it begins to collapse:

• The 4-mom. of a particle at the surface is:

$$\vec{p} = p^\mu = m \left( \frac{dt}{d\tau}, \frac{dr}{d\tau}, \frac{d\theta}{d\tau}, \frac{d\phi}{d\tau} \right)$$

• If  $\vec{L} = 0$  this means  $\frac{d\theta}{d\tau} = \frac{d\phi}{d\tau} = 0$

$$\rightarrow p^\mu = m \left( \frac{dt}{d\tau}, \frac{dr}{d\tau}, 0, 0 \right)$$

• Remember at the surface of the star the metric is given by the Schwarzschild line element:

$$ds^2 = - \left( 1 - \frac{2GM}{r} \right) dt^2 + \frac{dr^2}{\left( 1 - \frac{2GM}{r} \right)} + r^2 d\Omega^2 \rightarrow 0 \text{ since } L=0$$

• Calculate  $p_t = -E = g_{tt} p^t$

$$-E = - \left( 1 - \frac{2GM}{r} \right) m \frac{dt}{d\tau} \rightarrow \frac{dt}{d\tau} = \frac{E/m}{\left( 1 - 2GM/r \right)}$$

$$\rightarrow \frac{dt}{d\tau} = \frac{\hat{E}}{\left( 1 - 2GM/r \right)} \text{ where } \hat{E} = E/m$$

• Now calculate  $p_r = g_{rr} p^r = \frac{m dr/d\tau}{\left( 1 - 2GM/r \right)}$

• Now find  $-m^2 = \vec{p} \cdot \vec{p} = g_{\alpha\beta} p^\alpha p^\beta$

$$= p_t p^t + p_r p^r \rightarrow$$



$$\Rightarrow -m^2 = -E \cdot \frac{m dt}{d\tau} + p_r \frac{m dr}{d\tau}$$

$$= \frac{-E^2}{(1-2GM/r)} + \frac{m^2 dr^2 / d\tau^2}{(1-2GM/r)}$$

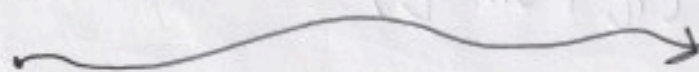
$$\rightarrow \frac{m^2 dr^2}{d\tau^2} = E^2 - m^2 (1-2GM/r)$$

$$\rightarrow \frac{dr^2}{d\tau^2} = \hat{E}^2 - (1-2GM/r)$$

$$\rightarrow 0 = \left. \frac{dr}{d\tau} \right|_R = \sqrt{\hat{E}^2 - (1-2GM/R)}$$

$$\rightarrow \boxed{R = \frac{2GM}{1 - \hat{E}^2}} \quad \text{defines the radius where } dr/d\tau \rightarrow 0 \text{ for a particle with } E/m \equiv \hat{E}$$

[d] Using the radial geodesic equation for the Schwarzschild geometry and the relationship you just found for  $R$  and  $\hat{E}$  write down an integral for the proper time  $\tau$  it takes for a fluid element at the star's surface to fall from  $R_*$  to  $r$ :



$$\tau = \int_{R_*}^r \frac{dr}{\sqrt{\hat{E}^2 - (1-2GM/r)}}$$



• The total proper time to fall from  $R_*$  to  $r$  is given by:

$$\tau = - \int_{R_*}^r \frac{dr'}{dr'/d\tau}$$

• In the last calculation we found that:

$$\frac{dr'}{d\tau} = \sqrt{\hat{E}^2(r') - (1 - 2GM/r')}$$

• If we let  $\hat{E}^2(r' = R) = 1$  then:

$$R = \frac{2GM}{1 - \hat{E}^2} \longrightarrow \hat{E}^2 = 1 - \frac{2GM}{R_*}$$

• Plug this back into  $dr'/d\tau$  to find:

$$\frac{dr'}{d\tau} = \sqrt{\frac{2GM}{r'} - \frac{2GM}{R_*}}$$

$$\longrightarrow \tau = - \int_{R_*}^r \frac{dr'}{\sqrt{2GM/r' - 2GM/R_*}}$$

• By introducing the parameterization  $r = \frac{R_*}{2}(1 + \cos\eta)$  show that this integral can be evaluated to yield:

$$\tau = \sqrt{\frac{R_*^3}{8GM}} (\eta + \sin\eta)$$



$$\rightarrow dr = -\frac{R_*}{2} \sin(\eta) d\eta$$

$$\rightarrow T = \int_{\cos^{-1}(1)}^{\cos^{-1}(2r/R_* - 1)} \frac{\frac{R_*}{2} \sin(\eta) d\eta}{\sqrt{\frac{2GM}{R_*}} \sqrt{\frac{2}{R_*(1+\cos\eta)} - 1}}$$

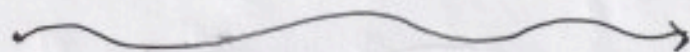
$$T = \sqrt{\frac{R_*}{2GM}} \left( \frac{R_*}{2} \right) \int_{\cos^{-1}(1)}^{\cos^{-1}(2r/R_* - 1)} \left( \frac{1+\cos\eta}{1-\cos\eta} \right)^{1/2} \sin(\eta) d\eta$$

Now let  $u = \cos(\eta)$ ,  $du = -\sin(\eta) d\eta$

$$\rightarrow T = \sqrt{\frac{R_*^3}{8GM}} \int_1^{\cos(\eta)} - \left( \frac{1+u}{1-u} \right)^{1/2} du$$

Now just use an integral calculator...

$$T = \sqrt{\frac{R_*^3}{8GM}} \left( 2 \sin^{-1} \left( \left( \frac{1-u}{2} \right)^{1/2} \right) + \sin \left( 2 \sin^{-1} \left( \left( \frac{1-u}{2} \right)^{1/2} \right) \right) \right) \Big|_1^{\cos \eta}$$





$$\rightarrow \tau = \sqrt{\frac{R_*^3}{8GM}} \left( 2 \sin^{-1} \left( \sqrt{\frac{1 - \cos \eta}{2}} \right) + \sin \left( 2 \sin^{-1} \left( \sqrt{\frac{1 - \cos \eta}{2}} \right) \right) \right. \\ \left. - \underbrace{2 \sin^{-1}(0)}_0 + \underbrace{\sin(2 \sin^{-1}(0))}_0 \right)$$

• Use the trig. identity that  $\sin\left(\frac{\eta}{2}\right) = \sqrt{\frac{1 - \cos \eta}{2}}$

$$\rightarrow \tau = \sqrt{\frac{R_*^3}{8GM}} (\eta + \sin \eta) \quad \text{as we wanted to show } \checkmark$$

- [e] ~~\_\_\_\_\_~~ • We now match the inner and the outer coordinate systems: We require that the star's circumference be the same in both coords for all  $\eta$  and we require the two expressions for proper time  $\tau$  experienced by a fluid element on the star's surface be the same for all  $\eta$ .
- By enforcing these 2 conditions; determine the lengthscale  $R_0$  and the Robertson-Walker radius of the star  $\chi$ :





• Given 2 equations for  $\tau$ :

$$\tau = \frac{R_0}{2} (\eta + \sin \eta)$$

$$\tau = \sqrt{R_*^3 / 8GM} (\eta + \sin \eta)$$

• Equate the two:

$$\rightarrow R_0 = \sqrt{R_*^3 / 2GM}$$

← An equation relating  
constants w.r.t. time

• Now equate the circumference in the 2 different coordinate systems:

$$\text{circumference} = 2\pi r(\eta) = 2\pi a(\eta) R_0 \sin(\chi)$$

$$\rightarrow \chi = \sin^{-1}(r / a(\eta) R_0)$$

• Plug in  $R_0 = \sqrt{R_*^3 / 2GM}$  and  $a(\eta) = \frac{1}{2}(1 + \cos \eta)$ :

$$\rightarrow \chi = \sin^{-1} \left( \sqrt{\frac{8GM}{R_*^3}} \cdot \frac{r}{1 + \cos \eta} \right)$$

← where  $\chi, r, \eta$  all  
change w.r.t. time



- For the next part of the problem assume  $R_* = 5GM$  is the initial radius:
- A schwarzschild black hole's event horizon is a null surface that is "generated" by null geodesics whose coordinate locations are  $r = 2GM$  for all time. The event horizon of a black hole that forms in collapse is "generated" by the null geodesic that begins at the star's center and reaches the surface just as the surface passes through  $r = 2GM$ ; at that point, by Birkhoff's theorem, this horizon "generator" will remain at  $r = 2GM$  for all time.

[P] • Determine the time  $\tau$  at which the horizon generator leaves the center of the star:

- The parametric solution lets us write the space-time as:

$$ds^2 = a^2(\eta) R_0^2 (-d\eta^2 + d\chi^2 + \sin^2(\chi) d\Omega^2)$$

- Therefore, an outward propagating null geodesic obeys  $d\chi/d\eta = 1$

$$\rightarrow \Delta\chi = \Delta\eta \longrightarrow \chi_f - \chi_i = \eta_f - \eta_i$$



• Since the null geodesic starts at the center that implies that  $\chi_i = 0$ :

$$\rightarrow \eta_f = \eta_i + \chi_f \text{ or } \eta_i = \eta_f - \chi_f$$

• We want to solve for  $\eta_i$  and convert it to  $\tau_i$ :

To do this we must first find  $\eta_f$  and  $\chi_f$ . Let's start with  $\eta_f$ :

• Use the fact that  $r = \frac{R_*}{2} (1 + \cos \eta)$

$$\rightarrow r_f = 26M = \frac{56M}{2} (1 + \cos \eta_f)$$

$$\rightarrow \eta_f = \cos^{-1}(-1/5) \checkmark$$

• Now find  $\chi_f$  using our formula from part [e]:

$$\chi_f = \sin^{-1} \left( \sqrt{\frac{86M}{5^3 G^3 M^3}} \cdot \frac{26M}{1 + \cos(\eta_f)} \right)$$

↓

$$\chi_f = \sin^{-1} \left( \left( \frac{2}{5} \right)^{3/2} \cdot \frac{2}{1 - 1/5} \right) = \sin^{-1}(0.632)$$

So we get:  $\eta_i = \cos^{-1}(-\frac{1}{5}) - \sin^{-1}(0.632)$

$$\rightarrow \eta_i \approx 1.088$$



• Now we plug this into our formula:

$$\tau_i = \sqrt{\frac{R_*^3}{8GM}} (1.088 + \sin(1.088))$$

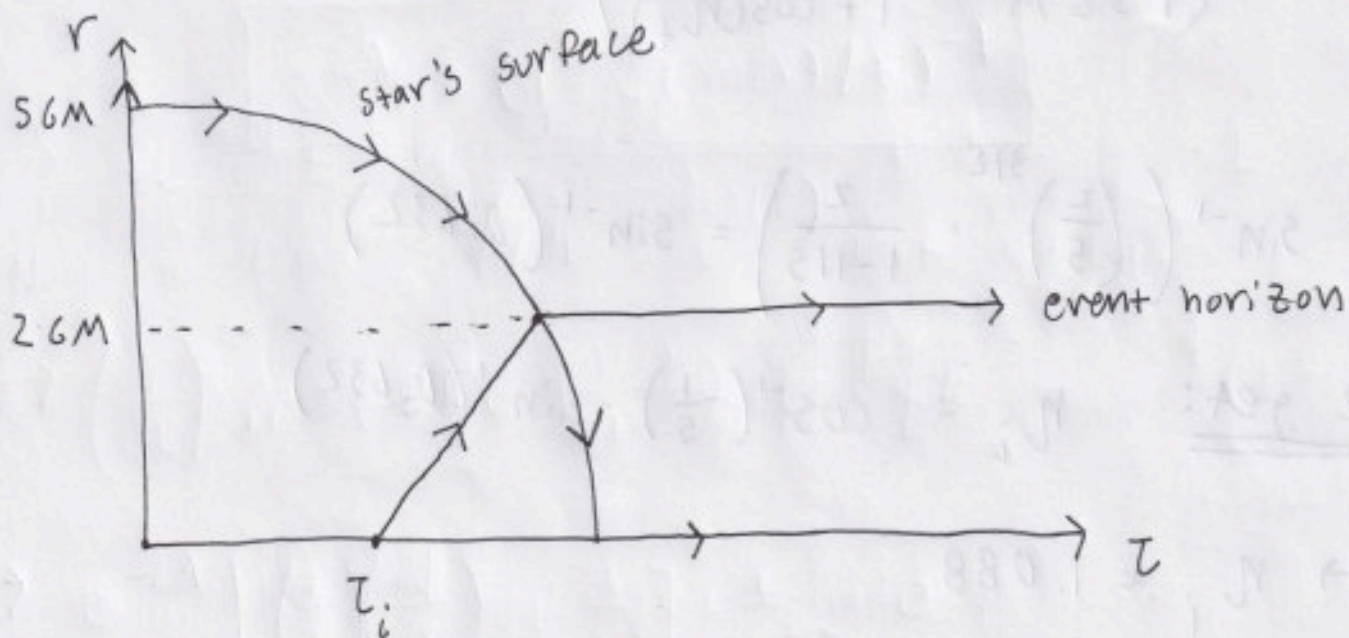
↓

$$\tau_i = \left(\frac{5}{2}\right)^{3/2} (1.974) \text{ GM}$$

↓  $\tau_i \approx 7.802 \text{ GM}$  is the time at which the null geodesic leaves the center of the star & moves outward "generating" the event horizon...

[9]. Draw the star's surface & event horizon on a spacetime diagram:

(Null geodesics always travel on  $45^\circ$  angles)





[2]. Consider a static spherical star cluster in which all stars move in circular orbits. Approximate the stars as pressureless dust, and write the normal Schwarzschild metric in the form:

$$ds^2 = -e^{2\phi} dt^2 + e^{2\lambda} dr^2 + r^2 d\Omega^2$$

$$\updownarrow$$

$$ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2GM}{r}\right)} + r^2 d\Omega^2$$

[a]. Find  $e^{2\lambda}$  and  $d\phi/dr$  as functions of  $m = \int_0^r 4\pi \rho(r) r^2 dr$  where we assume a continuum treatment for  $\rho = \rho(r)$ :

• The approach to this problem is to first:

→ given metric compute  $\Gamma$ 's

→ given  $\Gamma$ 's compute Ricci tensor

→ given Ricci Tensor compute Ricci Scalar

→ Use  $R$  &  $R_{\alpha\beta}$  to find  $G_{\alpha\beta}$

→ Equate  $G_{\alpha\beta}$  to  $T_{\alpha\beta}$  using EFEs.

• In lecture we already computed  $G_{tt}$ ,  $G_{rr}$ ,  $G_{\theta\theta}$ , and  $G_{\phi\phi}$  for this line element so I will



"Steal" those results:

$$G_{tt} = -\frac{1}{r^2} \cdot \frac{d}{dr} [r(1 - e^{-2\lambda})]$$

$$G_{rr} = e^{-2\lambda} \left[ \frac{2}{r} \cdot \frac{d\phi}{dr} + \frac{1}{r^2} \right] - \frac{1}{r^2}$$

- The key difference now is we assume a pressureless system such that:

$$[T_{\mu\nu}] = \text{diag}(\rho, 0, 0, 0)$$

- By equating terms in the 2 equivalent Schwarzschild line elements we can find an expression for  $e^{2\lambda}$  quickly:

$$e^{2\lambda} = (1 - 2Gm(r)/r)^{-1}$$

- Now to find  $d\phi/dr$  we start with:

$$G_{rr} = 8\pi G T_{rr} = 0$$

$$\rightarrow e^{-2\lambda} \left[ \left( \frac{2}{r} \right) \left( \frac{d\phi}{dr} \right) + \frac{1}{r^2} \right] - \frac{1}{r^2} = 0$$



$$\rightarrow \left(\frac{z}{r}\right) \left(\frac{d\phi}{dr}\right) + \frac{1}{r^2} = \frac{e^{2\lambda}}{r^2} = \frac{1}{r^2(1 - 2GM(r)/r)}$$

$$\rightarrow \frac{d\phi}{dr} = \left(\frac{r}{z}\right) \left(\frac{1 - (1 - 2GM(r)/r)}{r^2(1 - 2GM(r)/r)}\right)$$

$$\Rightarrow \boxed{\frac{d\phi}{dr} = \frac{GM(r)}{r(r - 2GM(r))}}$$

This is exactly equivalent to what was derived in lecture but with  $L \rightarrow 0$

[b] . Define an appropriate effective potential  $V_{\text{eff}}(r)$ .

Use it to determine the energy per unit mass  $\hat{E}$  and angular momentum per unit mass  $\hat{L}$  of a star in the cluster. Your answer should be expressed in terms of  $r, m(r), \phi(r)$ . Determine the orbital frequency  $\Omega \equiv d\phi/dt = (d\phi/d\tau)/(dt/d\tau)$ :

• The 4-momentum of one of these stars is given by

$$p^\nu = m \left( \frac{dt}{d\tau}, \frac{dr}{d\tau}, 0, \frac{d\phi}{d\tau} \right) \text{ by assuming no polar velocities...}$$

$$p_t = -E = g_{tt} p^t = -m e^{2\phi} \frac{dt}{d\tau}$$

where we are careful with the notational convention that  $\phi = \phi(r)$  and  $\varphi$  is a coordinate...





$$p_\phi = L_z = g_{\phi\phi} p^\phi = m r^2 \frac{d\phi}{d\tau}$$

• These relations imply that:

$$\frac{dt}{d\tau} = e^{-2\phi} \hat{E} \quad \text{where} \quad \hat{E} \equiv E/m$$

$$\frac{d\phi}{d\tau} = \hat{L} / r^2 \quad \text{where} \quad \hat{L} \equiv L_z / m$$

• Now enforce  $\vec{p} \cdot \vec{p} = -m^2$

$$\rightarrow -m^2 = g_{\alpha\beta} p^\alpha p^\beta = g_{rr} p^r p^r + g_{\phi\phi} p^\phi p^\phi + g_{tt} p^t p^t$$

$$\rightarrow -m^2 = -m^2 e^{2\phi} \left( \frac{dt}{d\tau} \right)^2 + m^2 \left( 1 - \frac{2GM(r)}{r} \right)^{-1} \left( \frac{dr}{d\tau} \right)^2 + m^2 r^2 \left( \frac{d\phi}{d\tau} \right)^2$$

$$\rightarrow -e^{-2\phi} \hat{E}^2 + \frac{dr^2/d\tau^2}{(1-2GM(r)/r)} + \frac{\hat{L}^2}{r^2} = -1$$

$$\rightarrow \left( \frac{dr}{d\tau} \right)^2 = \left( 1 - \frac{2GM(r)}{r} \right) e^{-2\phi} \left[ \hat{E}^2 - e^{2\phi} \left( 1 + \frac{\hat{L}^2}{r^2} \right) \right]$$

• We define the last term as the effective potential:



$$\rightarrow V_{\text{eff}}(r) = e^{2\phi(r)} \left( 1 + \hat{L}^2 / r^2 \right)$$

• If we use  $\phi(r) \equiv \frac{Gm(r)}{r}$  then the pre-factor in the equation for  $dr/d\tau$  goes to 1 and we get:

$$\frac{dr}{d\tau} = \pm \sqrt{\hat{E}^2 - e^{2\phi} \left( 1 + L^2 / r^2 \right)}$$

$$\rightarrow \frac{dr}{d\tau} = \pm \sqrt{\hat{E}^2 - V_{\text{eff}}(r)}$$

• To enforce  $\text{Im} \{ dr/d\tau \} = 0$ , we need that  $\hat{E} > \sqrt{V_{\text{eff}}(r)}$

• For a ~~black hole~~ circular orbit we need both:

$$dr/d\tau = 0 \rightarrow \sqrt{V_{\text{eff}}(r)} = \hat{E}$$

- and -

$$\partial_r V_{\text{eff}}(r) = 0$$

• Start by taking the partial:

$$\partial_r V_{\text{eff}} = 2 \frac{d\phi}{dr} e^{2\phi} \left( 1 + \frac{L^2}{r^2} \right) - 2 e^{2\phi} L^2 / r^3 = 0$$



$$\rightarrow \phi' (1 + L^2/r^2) = L^2/r^3$$

$$\rightarrow \phi' (r^3 + L^2 r) = L^2$$

$$\rightarrow r \phi' (r^2 + L^2) = L^2$$

$$\rightarrow L^2 (1 - r \phi') = r^3 \phi'$$

$$\rightarrow \boxed{\hat{L}^2 = \frac{r^3 \phi'}{1 - r \phi'}} \text{ for a } \text{circular orbit} \dots$$

• Now plug this  $L^2$  back into  $V_{\text{eff}}$  + take a square root to find  $E$ :

$$V_{\text{eff}} = e^2 \phi (1 + L^2/r^2)$$

$$\rightarrow V_{\text{eff}} = e^2 \phi \left( 1 + \frac{r \phi'}{1 - r \phi'} \right) = \frac{e^2 \phi}{1 - r \phi'}$$

$$\rightarrow \boxed{\hat{E} = \frac{e \phi}{\sqrt{1 - r \phi'}}} \text{ for a circular orbit} \dots$$

• Now find  $\Omega \equiv \frac{d\phi/d\tau}{dt/d\tau} = \frac{e^2 \phi \hat{L}}{r^2 \hat{E}}$

• Plug in  $\hat{E}$  and  $\hat{L}^2$  values:



$$\rightarrow \Omega = \left( \frac{e^{2\phi}}{r^2} \right) \left( \frac{\sqrt{r^3 \phi'}}{\sqrt{1-r\phi'}} \right) \left( \frac{\sqrt{1-r\phi'}}{\sqrt{e^{2\phi}}} \right)$$

$$\downarrow$$

$$\Omega = \sqrt{\frac{e^{2\phi} \phi'}{r}}$$

• Now use  $e^{2\phi} \approx 1 - \frac{2Gm}{r}$  compared to other form of Schwarzschild line element...

and  $\frac{d\phi}{dr} = \phi' = \frac{Gm(r)}{r(r-2Gm(r))}$  derived previously...

$$\rightarrow \Omega = \sqrt{\frac{Gm(1-2Gm/r)}{r^2(r-2Gm)}} \cdot \frac{r}{r} \quad \leftarrow \text{use this to cancel terms}$$

$$\rightarrow \boxed{\Omega = \sqrt{Gm/r^3} \text{ as in Newtonian Gravity}}$$

[c] • Use  $V_{\text{eff}}$  to analyze the stability of circular orbits. In order for an orbit to be stable it must be located at a concave up minimum. Value of  $V_{\text{eff}}$  i.e.  $\partial_r^2 V_{\text{eff}} > 0$ . In lecture we derived the marginally stable orbit for



$$\partial_r^2 V_{\text{eff}} = 0 \rightarrow r_{\text{MS}} = 6GM$$

• Therefore, all stable orbits must obey:

$$\boxed{\frac{Gm(r)}{r} < \frac{1}{6}}$$

locally at radius  $r$  + mass function  $m = m(r) \dots$

[d] • Apply the above results to a homogeneous cluster of total mass  $M$  and radius  $R$ . "Homogeneous" implies  $\rho \rightarrow$  constant and  $m(r) = \text{[scribble]} M(r/R)^3$  for  $r \leq R$ . You will need to use this to solve for  $\phi(r)$ . Find the maximum value of  $GM/R$  if all orbits are to be stable:

• We want  $\frac{Gm(r)}{r} < \frac{1}{6}$  for all stars in this cluster... even for  $\max(m(r))$  the "max" value that  $m(r)$  can take on. If the condition holds true for  $\max(m(r)/r)$  then it should hold true for all orbits:

$$\frac{Gm(r)}{r} = \frac{GM r^3}{r R^3} = \frac{GM r^2}{R^3} \text{ and } \dots$$



$$\text{Max} \left( \frac{GM r^2}{R^3} \right) = \frac{GM R^2}{R^3} = \frac{GM}{R}$$

• So we require globally that  $\boxed{\frac{GM}{R} < \frac{1}{6}}$  in

order for  $\frac{Gm(r)}{r} < \frac{1}{6}$  for all orbits with

radius "r"  $\forall \dots$

[e]. For the cluster with maximal  $GM/R$ , compute the redshift of photons emitted from the cluster's surface + from its center:

• I was not able to find a formula for redshift that applies here from Prof. Hughes' notes, but from wikipedia we found that the redshift of a Schwarzschild geometry can be computed as:

$$1+z = \sqrt{\frac{g_{tt}(\text{receiver})}{g_{tt}(\text{source})}} \quad \text{we } \text{will assume}$$

the receiver is far away s.t. we can use the exterior Schwarzschild solution:

$$g_{tt}(\text{receiver}) \approx 1 - \frac{2GM}{R} \approx 1 \quad \text{since } R \gg GM$$

$$\rightarrow 1+z \approx 1 / \sqrt{g_{tt}(\text{source})}$$



- We must use the interior Schwarzschild solution for  $g_{tt}(\text{source}) = e^{2\phi}$  in general though.
- So we must find  $\phi$ :

$$\phi = \int \frac{d\phi}{dr} dr = \int \frac{Gm(r) dr}{r^2(1 - 2Gm(r)/r)}$$

$$= \int \frac{GM r^3 dr}{r^2 R^3 (1 - \frac{2GM r^3}{R^3})} = \int \frac{GM r dr}{R^3 (1 - 2GM r / R^3)}$$

Integral calculator  $\rightsquigarrow = -\frac{1}{4} \ln \left| 1 - \frac{2GM r^2}{R^3} \right| + C$

- And we find the constant of integration by enforcing:

$$e^{2\phi(r=R)} = (1 - 2GM/R)$$

$$\rightarrow \phi(R) = \ln(\sqrt{1 - 2GM/R})$$

set equal to our result at  $r=R$ :

$$\ln(\sqrt{1 - 2GM/R}) + \ln\left(\left(1 - 2GM R^2 / R^3\right)^{1/4}\right) = C$$

$$\rightarrow C = \ln\left(\left(1 - \frac{2GM}{R}\right)^{3/4}\right)$$



• So in general:

$$\phi(r) = \ln\left((1 - 2GM/R)^{3/4}\right) - \frac{1}{4} \ln\left(1 - \frac{2GM r^2}{R^3}\right)$$

$$= \frac{1}{2} \ln \left[ \frac{(1 - 2GM/R)^{3/2}}{(1 - 2GM r^2/R^3)^{1/2}} \right]$$

$$\rightarrow g_{tt}(r) = e^{2\phi(r)} = \frac{(1 - 2GM/R)^{3/2}}{(1 - 2GM r^2/R^3)^{1/2}}$$

• So compute  $g_{tt}(r=0)$  at the center, for  $\frac{GM}{R} = \frac{1}{6}$

$$g_{tt}(r=0) = \frac{(2/3)^{3/2}}{(1)^{1/2}} = (2/3)^{3/2}$$

$$\rightarrow 1 + z_{\text{center}} = (2/3)^{-3/4} \approx 1.355$$

$$\rightarrow \boxed{z_{\text{center}} \approx 0.355}$$

$$\cdot \underline{\text{Also}} \quad g_{tt}(r=R) = \frac{(2/3)^{3/2}}{(2/3)^{1/2}} = (2/3)$$

$$\rightarrow 1 + z_{\text{edge}} = (2/3)^{-1/2} \approx 1.225$$

$$\rightarrow \boxed{z_{\text{edge}} \approx 0.225}$$



• The problem states modern day measurements for the redshift of quasars is  $z \approx 6.5$  which implies there is some other phenomenon at play since our results were of the order  $z \approx 0.3$  ✓

### 3 Numerical studies of black hole orbits

• In lecture we found that the following equations govern the motion of a test body moving around a black hole:

$$\left(\frac{dr}{d\tau}\right)^2 = \hat{E}^2 - V_{\text{eff}}(r) \quad \text{where}$$

$$V_{\text{eff}}(r) = \left(1 - \frac{2GM}{r}\right) \left(1 + \frac{\hat{L}^2}{r^2}\right)$$

$$d\phi/d\tau = \hat{L}/r^2 \quad ; \quad dt/d\tau = \hat{E}/(1 - 2GM/r)$$

• In this exercise we will numerically integrate these equations to study some interesting orbits. The equation for "r" is tricky, you must try taking an additional derivative of both sides + rearranging ...

• It is useful to work in units where  $GM = 1$  implying  $r \rightarrow r/GM$ ,  $t \rightarrow t/GM$ ,  $\tau \rightarrow \tau/GM$ ,  $\hat{L} \rightarrow \hat{L}/GM \dots$



[a]. With this choice of  $GM=1$ , what are the basic units of time + length if  $M=10$  solar masses?

$$M_{\text{solar}} \approx 1.99 \times 10^{31} \text{ kg}$$

$$G \approx 6.67 \times 10^{-11} \text{ m}^3 \cdot \text{kg}^{-1} \cdot \text{s}^{-2}$$

$$[\text{length}] = \frac{MG}{c^2} \approx 1.47 \times 10^4 \text{ m}$$

$$[\text{time}] = MG/c^3 \approx 4.91 \times 10^{-5} \text{ sec}$$

• for parts [b], [c], [d], and [e]; see the attached Jupyter Notebook ...