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RFC 9870
Export of UDP Options Information in IP Flow Information Export (IPFIX)

Abstract

This document specifies new IP Flow Information Export (IPFIX) Information Elements for UDP Options.

Status of This Memo

This is an Internet Standards Track document.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Further information on Internet Standards is available in Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at https://www.rfc-editor.org/info/rfc9870.

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1. Introduction

IP Flow Information Export (IPFIX) [RFC7011] is a protocol that is widely deployed in networks
for traffic management purposes (Section 2 of [RFC6632]). The protocol specifies the encoding of
a set of basic data types and how the various Information Elements (IEs) are transmitted. In
order to support the export of new Flow-related measurement data, new IEs can be defined and
registered in a dedicated IANA registry [IANA-IPFIX] for interoperability.

This document specifies new IPFIX Information Elements for UDP Options (Section 4). A brief overview of UDP Options is provided in Section 3.

The IE specified in Section 4.1 uses the new abstract data type ("unsigned256") defined in [RFC9740].

Transport (including MTU) considerations are discussed in Section 10 of [RFC7011].

Examples to illustrate the use of the new IPFIX Information Elements are provided in Section 5.

2. Conventions and Definitions

The key words "**MUST**", "**MUST NOT**", "**REQUIRED**", "**SHALL**", "**SHALL NOT**", "**SHOULD**", "**SHOULD NOT**", "**RECOMMENDED**", "**NOT RECOMMENDED**", "**MAY**", and "**OPTIONAL**" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

This document uses the IPFIX-specific terminology (e.g., Flow) defined in Section 2 of [RFC7011]. As in the base IPFIX specification [RFC7011], these IPFIX-specific terms have the first letter of a word capitalized.

The document adheres to the naming conventions for Information Elements per Section 2.3 of [RFC7012].

Also, this document uses the terms defined in Section 3 of [RFC9868], especially "datagram" and "surplus area".

3. UDP Options at a Glance

UDP [RFC0768] does not support an extension mechanism similar to the options supported by other transport protocols, such as TCP [RFC9293], Stream Control Transmission Protocol (SCTP) [RFC9260], or Datagram Congestion Control Protocol (DCCP) [RFC4340]. Such a mechanism can be useful for various applications, e.g., to discover a path MTU or share timestamps. To fill that void, [RFC9868] extends UDP with a mechanism to insert extensions in datagrams. To do so, and unlike the conventional approach that relies upon transport headers, [RFC9868] uses trailers. Concretely, UDP Options are placed in the surplus area (that is, the area of an IP payload that follows a UDP packet). See Figure 1. An example of the use of UDP Options for Datagram Packetization Layer Path MTU Discovery (DPLPMTUD) is described in [RFC9869].

```
                              IP transport payload
                     <------------------------------------------------->
           +--------+---------+---------------------+------------------+
           | IP Hdr | UDP Hdr |    UDP user data    |   surplus area   |
           +--------+---------+---------------------+------------------+
                     <------------------------------>
                              UDP Length
```
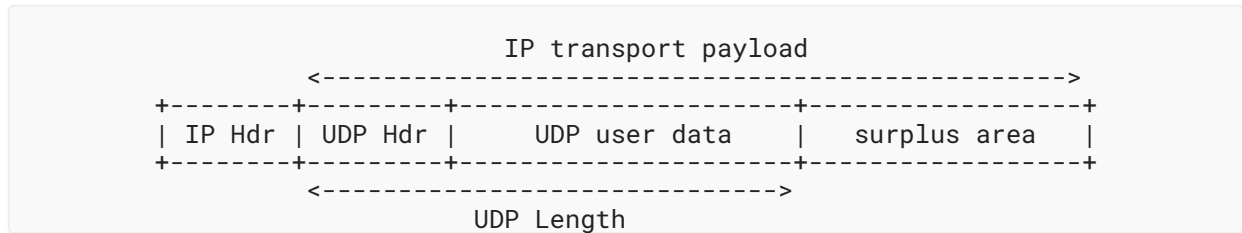
Figure 1: Surplus Area

Sections 4.1 and 4.2 introduce new IEs to export the observed UDP Options.

UDP Options are unambiguously identified by means of a 1-byte field, called "Kind".

Options indicated by Kind values in the range 0-191 are called SAFE Options. Such options can be silently ignored by legacy receivers because they do not alter the UDP user data (Section 11 of [RFC9868]). SAFE Options are exported using the IE defined in Section 4.1.

Options indicated by Kind values in the range 192-255 are called UNSAFE Options. Such options are not safe for legacy receivers to ignore because they alter the UDP user data (Section 12 of [RFC9868]). UNSAFE Options are exported using the IE defined in Section 4.2.

UDP Options occur per-packet within a Flow and can be inserted at any time in the Flow.

[RFC9868] reserves two options for experiments: the Experimental (EXP, Kind=127) Option for SAFE Options and the UNSAFE Experimental (UEXP, Kind=254) Option. For both options, Experiment Identifiers (ExIDs) are used to differentiate concurrent use of these options. Known ExIDs are expected to be registered within IANA. Section 4.4 specifies a new IPFIX IE to export observed ExIDs in the EXP Options. Also, Section 4.5 specifies a new IPFIX IE to export observed ExIDs in the UEXP Options. Only 16-bit ExIDs are supported in [RFC9868].

This document does not intend to elaborate operational guidance/implications of UDP Options. The document focuses exclusively on exporting observed UDP Options in datagrams.

4. New UDP IPFIX Information Elements

Given the Kind structure of SAFE and UNSAFE UDP Options, using one single IE that would multiplex both types of options will limit the benefits of reduced-size encoding in the presence of UNSAFE Options. For example, at least 24 octets would be needed to report mandatory SAFE Options that are observed in a Flow. In order to use less bits to report observed UDP Options, distinct IEs are thus defined to report SAFE (Section 4.1) and UNSAFE (Section 4.2) UDP Options. As further detailed in Section 5.1, only one octet is needed to report mandatory SAFE Options.

4.1. udpSafeOptions

Name: udpSafeOptions

ElementID: 525

Description: Observed SAFE UDP Options in a Flow. The information is encoded in a set of bit fields.

Options are mapped to bits according to their option numbers. UDP Option Kind 0 corresponds to the least significant bit in the udpSafeOptions IE, while Kind 191 corresponds to the 65th most significant bit of the IE. The bit is set to 1 if the corresponding SAFE UDP Option is observed at least once in the Flow. The bit is set to 0 if the option is never observed in the Flow. The 64 most significant bits **MUST** be set to 0.

The reduced-size encoding per Section 6.2 of [RFC7011] is followed whenever fewer octets are needed to report observed SAFE UDP Options. For example, if only option Kinds <= 31 are observed, then the value of the udpSafeOptions IE can be encoded as unsigned32, or if only option Kinds <= 63 are observed, then the value of the udpSafeOptions IE can be encoded as unsigned64.

The presence of udpSafeExIDList is an indication that the SAFE Experimental Option is observed in a Flow. The presence of udpSafeExIDList takes precedence over setting the corresponding bit in the udpSafeOptions IE for the same Flow. In order to optimize the use of the reduced-size encoding in the presence of udpSafeExIDList IE, the Exporter **MUST NOT** set the EXP flag of the udpSafeOptions IE that is reported for the same Flow to 1.

Abstract Data Type: unsigned256

Data Type Semantics: flags

Additional Information: See the "UDP Option Kind Numbers" registry at [UDP_OPTIONS].

See [RFC9868] for more details about UDP Options.

Reference: RFC 9870

4.2. udpUnsafeOptions

Name: udpUnsafeOptions

ElementID: 526

Description: Observed UNSAFE UDP Options in a Flow. The information is encoded in a set of bit fields.

Options are mapped to bits according to their option numbers. UDP Option Kind 192 corresponds to the least significant bit in the udpUnsafeOptions IE, while Kind 255 corresponds to the most significant bit of the IE. The bit is set to 1 if the corresponding UNSAFE UDP Option is observed at least once in the Flow. The bit is set to 0 if the option is never observed in the Flow.

The reduced-size encoding per Section 6.2 of [RFC7011] is followed whenever fewer octets are needed to report observed UNSAFE UDP Options.

The presence of udpUnsafeExIDList is an indication that the UNSAFE Experimental Option is observed in a Flow. The presence of udpUnsafeExIDList takes precedence over setting the corresponding bit in the udpUnsafeOptions IE for the same Flow. In order to optimize the use of the reduced-size encoding in the presence of udpUnsafeExIDList IE, the Exporter **MUST NOT** set the UEXP flag of the udpUnsafeOptions IE that is reported for the same Flow to 1.

Abstract Data Type: unsigned64

Data Type Semantics: flags

Additional Information: See the "UDP Option Kind Numbers" registry at [UDP_OPTIONS].

See [RFC9868] for more details about UDP Options.

Reference: RFC 9870

4.3. udpExID

Name: udpExID

ElementID: 527

Description: Observed ExID in an Experimental (EXP, Kind=127) Option or an UNSAFE Experimental (UEXP, Kind=254) Option.

A basicList of udpExID is used to report udpSafeExIDList and udpUnsafeExIDList values.

Abstract Data Type: unsigned16

Data Type Semantics: identifier

Additional Information: See the "TCP/UDP Experimental Option Experiment Identifiers (TCP/UDP ExIDs)" registry at [UDP_ExIDs].

See [RFC9868] for more details about ExIDs.

Reference: RFC 9870

4.4. udpSafeExIDList

Name: udpSafeExIDList

ElementID: 528

Description: Observed ExIDs in the Experimental (EXP, Kind=127) Option.

A basicList of udpExID Information Elements in which each udpExID Information Element carries the ExID observed in an EXP Option.

Abstract Data Type: basicList

Data Type Semantics: list

Additional Information: See the "TCP/UDP Experimental Option Experiment Identifiers (TCP/UDP ExIDs)" registry at [UDP_ExIDs].

See [RFC9868] for more details about ExIDs.

Reference: RFC 9870

4.5. udpUnsafeExIDList

Name: udpUnsafeExIDList

ElementID: 529

Description: Observed ExIDs in the UNSAFE Experimental (UEXP, Kind=254) Option.

A basicList of udpExID Information Elements in which each udpExID Information Element carries the ExID observed in an UEXP Option.

Abstract Data Type: basicList

Data Type Semantics: list

Additional Information: See the "TCP/UDP Experimental Option Experiment Identifiers (TCP/UDP ExIDs)" registry at [UDP_ExIDs].

See [RFC9868] for more details about ExIDs.

Reference: RFC 9870

5. Examples

5.1. Reduced-Size Encoding

Given the UDP Kind allocation in Section 10 of [RFC9868] and the option mapping defined in Section 4.1 of this document, fewer octets are likely to be used for Flows with mandatory UDP Options.

Figure 2 shows an example of the Kind/bit mappings in the udpSafeOptions IE for a Flow in which End of Options List (EOL, Kind=0) and Additional Payload Checksum (APC, Kind=2) Options are observed. Only the bits that corresponds to EOL and APC Options are set to 1.
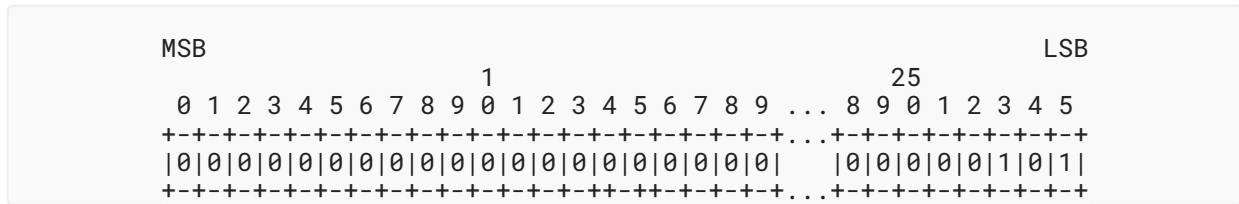
```
     MSB                                                          LSB
                      1                              25
      0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 ... 8 9 0 1 2 3 4 5
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+...+-+-+-+-+-+-+-+-+
     |0|0|0|0|0|0|0|0|0|0|0|0|0|0|0|0|0|0|0|0|   |0|0|0|0|0|1|0|1|
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-++-++-+-+-+-+...+-+-+-+-+-+-+-+-+
```

Figure 2: An Example of udpSafeOptions IE with EOL and APC Options

One octet is sufficient to report these observed options because the leading zeros are dropped per the reduced-size encoding guidance. Concretely, the reported udpSafeOptions IE will be set to 0x05 (Figure 3).
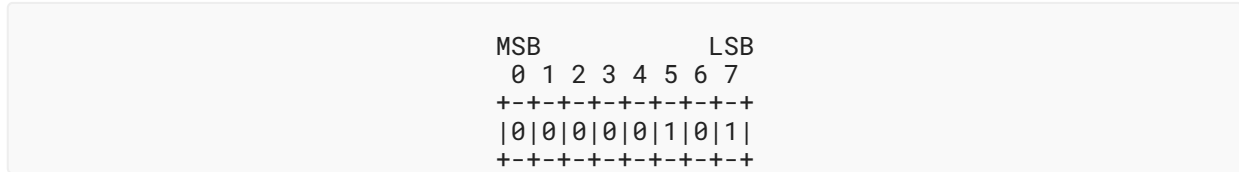
```
                  MSB           LSB
                   0 1 2 3 4 5 6 7
                  +-+-+-+-+-+-+-+-+
                  |0|0|0|0|0|1|0|1|
                  +-+-+-+-+-+-+-+-+
```

Figure 3: An Example of the Wire udpSafeOptions IE Value with EOL and APC Options

5.2. SAFE Experimental Option

Let us now consider a UDP Flow in which SAFE Experimental Options are observed. If a udpSafeOptions IE is exported for this Flow, then that IE will have the EXP bit set to 1 (Figure 4). This example does not make any assumption about the presence of other UDP Options ("X" can be set to 0 or 1).

```
     MSB                                                          LSB
                      12                             25
      0 1 2 3 ... 7 8 9 0 1 2 3 4 5 6 7 8 9 ... 8 9 0 1 2 3 4 5
     +-+-+-+-+...+-+-+-+-+-+-+-+-+-+-+-+-+-+-+...+-+-+-+-+-+-+-+-+
     |X|X|X|X|   |X|X|X|X|X|X|X|X|X|X|X|1|X|X|   |X|X|X|X|X|X|X|X|
     +-+-+-+-+...+-+-+-+-+-+-+-+-+-++-++-+-+-+-+...+-+-+-+-+-+-+-+-+
```
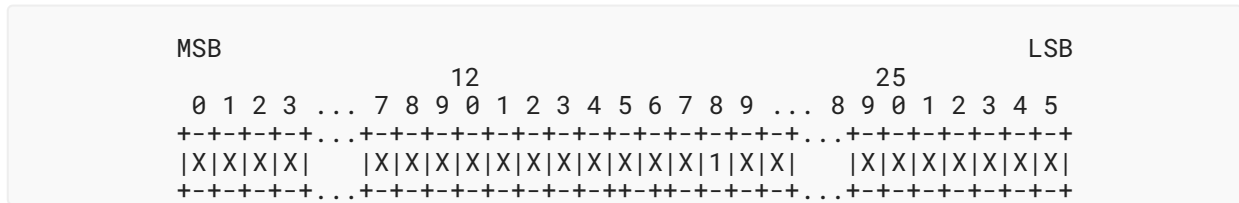
Figure 4: An Example of udpSafeOptions with EXP Option

5.3. ExIDs and Reduced-Size Encoding

Now assume that EOL, APC, EXP, and UEXP Options are observed in a Flow. Let us also consider that the observed SAFE Experimental Options have ExIDs set to 0x9858 and 0xE2D4 and UNSAFE Experimental Options have ExIDs set to 0xC3D9 and 0x1234. Figure 5 shows an excerpt of the Data Set encoding with a focus on SAFE Experimental Options that have ExIDs. The fields are defined in [RFC6313].

```
     MSB                                                      LSB
     0                   1                   2                   3
     0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
     :                          ...                                :
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     |      255      |         List Length = 9        |semantic=allof |
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     |          udpExID = 527        |        Field Length = 2       |
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     | SAFE ExID =  0x9858          | SAFE ExID = 0xE2D4            |
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     |      255      |         List Length = 9        |semantic=allof |
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     |          udpExID = 527        |        Field Length = 2       |
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     | UNSAFE ExID =  0xC3D9        | UNSAFE ExID =  0x1234         |
     +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
     :                          ...                                :
```
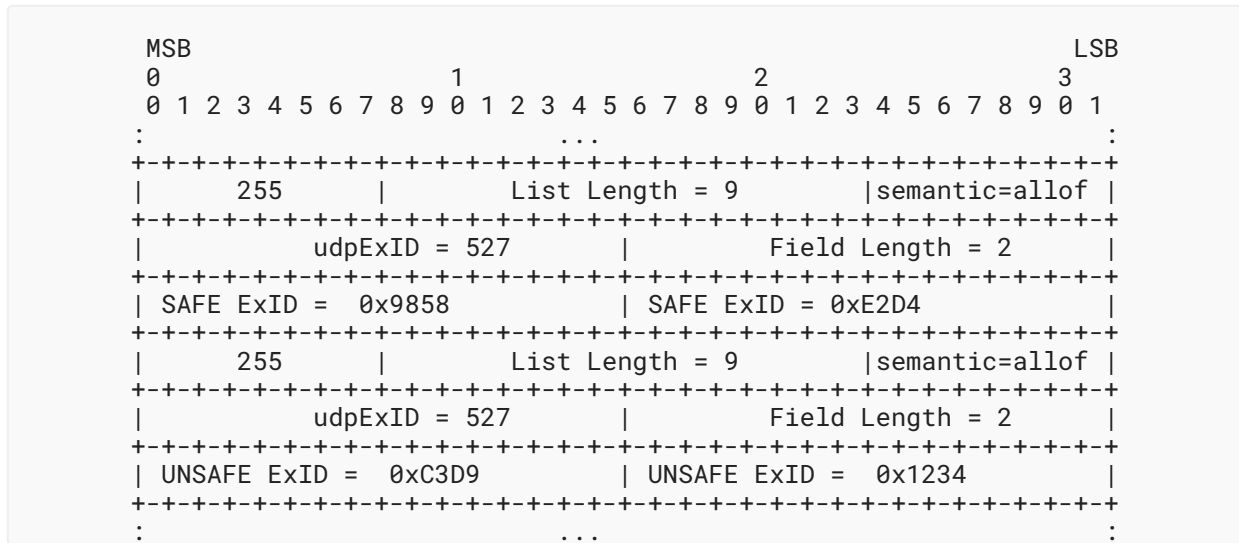
Figure 5: Example of UDP Experimental Option ExID IEs

Following the guidance in Section 4.1, the reported udpSafeOptions IE will be set to 0x05 even in the presence of EXP Options.

6. Security Considerations

This document does not introduce new security considerations other than those already discussed in Section 11 of [RFC7011] and Section 8 of [RFC7012].

The reader may refer to Section 24 of [RFC9868] for the security considerations related to UDP Options.

7. IANA Considerations

7.1. IPFIX Information Elements

IANA has added the following new IEs to the "IPFIX Information Elements" registry under the "IP Flow Information Export (IPFIX) Entities" registry group [IANA-IPFIX]:

ElementID	Name	Reference
525	udpSafeOptions	Section 4.1 of RFC 9870
526	udpUnsafeOptions	Section 4.2 of RFC 9870
527	udpExID	Section 4.3 of RFC 9870
528	udpSafeExIDList	Section 4.4 of RFC 9870

ElementID	Name	Reference
529	udpUnsafeExIDList	Section 4.5 of RFC 9870

Table 1: New IPFIX Information Elements

udpSafeOptions uses the abstract data type ("unsigned256") defined in [RFC9740].

8. References

8.1. Normative References

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[UDP_ExIDs]   IANA, "TCP/UDP Experimental Option Experiment Identifiers (TCP/UDP ExIDs)", <https://www.iana.org/assignments/udp>.

[UDP_OPTIONS]   IANA, "UDP Option Kind Numbers", <https://www.iana.org/assignments/udp>.

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