

## Software Testing

[www.cs.uoi.gr/~zarras/http://www.cs.uoi.gr/~zarras/se.htm](http://www.cs.uoi.gr/~zarras/http://www.cs.uoi.gr/~zarras/se.htm)

Slides material sources:

Software Engineering - Theory & Practice, S. L. Pfleeger  
Introduction to Software Engineering, I. Sommerville  
SWEBOK v3: IEEE Software Engineering Body of Knowledge  
Working Effectively with Legacy Code, M. Feathers  
Software Testing – A Craftsman's Approach, P Jorgensen

## Testing fundamentals

## What does software fail?

## Why does software fail?



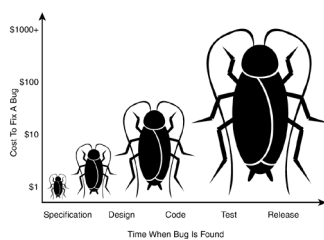
**Bugs !!!**

A more complete answer includes the famous triplet:

- Errors
- Faults
- Failures

## What do we mean by error?

### Errors



#### Error

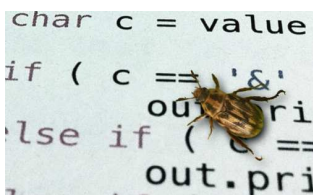
People make errors. A good synonym is mistake.

Errors tend to **propagate**; a requirements error may be magnified during design and amplified still more during coding.

[International Software Testing Qualification Board \(ISTQB\)](#)

## What do we mean by fault?

### Faults



#### Fault

A **fault** is the **result** of an **error**. It is more precise to say that a fault is **the representation of an error**, where representation is the mode of expression, such as narrative **text**, UML **diagrams**, hierarchy **charts**, and **source code**.

**Defect** (see the ISTQB Glossary) is a good synonym for fault, as is **bug**.

[International Software Testing Qualification Board \(ISTQB\)](#)

## What do we mean by failure?

### Failures



#### Failure

A **failure occurs** when the **code** corresponding to a **fault executes**.

In general a **failure** is the **manifestation** of a **fault**.

International Software Testing Qualification Board (ISTQB)

## What is software testing?

### Software testing



**Testing** is the act of **exercising software** with **test cases**.

A test has two distinct **goals**:

To **find failures** (**verification** aspect).

To **demonstrate correct execution** (**validation** aspect).

## What is a test case?

### Test cases

Project Name: <div>Test Case Template</div>						
Test Case ID: [ID]			Test Designed by: [Name]			
Test Priority (Low/Medium/High): [ID]			Test Executed by: [Name]			
Module Name: Google login screen			Test Execution date: [Date]			
Test Data: valid login with valid username and password			Test Execution date: [Date]			
Description: Test the Google login page						
Pre-conditions: User has valid username and password						
Dependencies:						
Step	Test Steps	Test Data	Expected Result	Actual Result	Status (Pass/Fail)	Notes
1	Click on login link		Redirects to login page	Test is successful	Pass	
2	Provide valid username	Username: [ID]	Redirects to login page	Redirects to login page	Pass	
3	Provide valid password	Password: [ID]	Redirects to login page	Redirects to login page	Pass	
4	Click on login button		Redirects to login page	Redirects to login page	Pass	
Post-conditions: User is validated with database and successfully login to account. The account access details are logged in database						

The essence of software testing is to **determine** a **set of test cases** for the **item** to be **tested**.

A **test case** is (or should be) a recognized **work product**.

A **complete test case** will contain a test case **identifier**, a brief statement of **purpose**, a description of **preconditions**, the actual test case **inputs**, the **expected outputs**, a description of **expected post-conditions** (system state after test execution), and an execution **history**.

The execution **history** is primarily for test management use—it may contain the **date** when the test was **run**, the **person** who ran it, the **version** on which it was run, and the **pass/fail** result.

## How do I develop good tests?

## How do I develop good tests?

- ▶ Well-designed tests exhibit the following properties:

[OO Reengineering Patterns, DeMeyer, Ducasse, Nierstraz, 2013]

- ▶ **Automation.** Tests should run without human intervention.
  - ▶ Only fully automated tests offer an **easy** way to **check** after **every change** to the system whether it still works as it did before.
  - ▶ By **minimizing** the **effort** needed to **run tests**, developers will **hesitate less** to use them.
- ▶ **Persistence.** Tests must be stored to be automatable.
  - ▶ Each test documents its **test data**, the **actions** to perform, and the **expected results**.
- ▶ **Efficiency and failure localization.**
  - ▶ Tests should run fast for getting quick feedback about the program.
  - ▶ Tests should allow us to quickly find the points of the program that break.

**This is why we typically write tests using XUnit and Mocking frameworks!!**



Testing targets

**Which are the targets of testing?**

## Testing targets



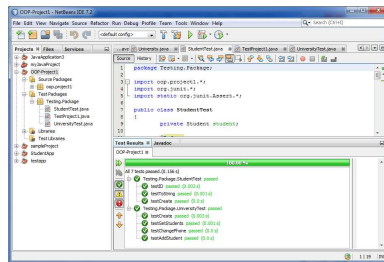
The target of the test can vary:

A **single module**, a **group** of such **modules** (related by purpose, use, behavior, or structure), or an entire **system**.

Three test stages can be distinguished: **unit**, **integration**, and **system**.

## What is unit testing?

## Unit testing



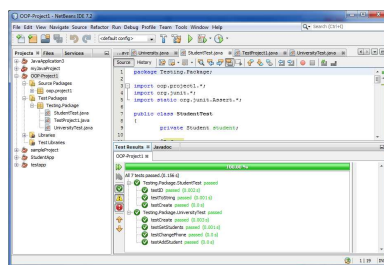
Common to most conceptions of **unit tests** is the idea that they are **tests in isolation** of individual components of software.

What are components?

- ▶ In unit testing, we are usually concerned with the most atomic behavioral units of a system.
- ▶ In procedural code, the units are often **functions**.
- ▶ In object oriented code, the units are **classes**.

**Test harness** is a generic term for the **testing code** that we write to **exercise** some piece of **software** and the code that is needed to **run** it.

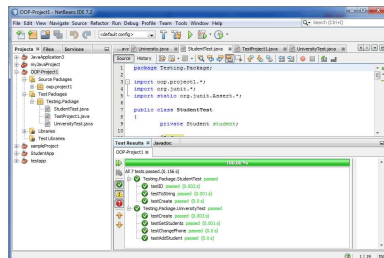
## Unit testing



**Testing in isolation** is an important part of the definition of a unit test, but **why is it important?**

- ▶ **Error localization**
  - ▶ As tests get further from what they test, it is harder to **determine what a test failure means**.
  - ▶ Often it takes **considerable work** to pinpoint the **source** of a **test failure**.
- ▶ **Execution time**
  - ▶ **Larger tests** tend to take **longer** to execute.
  - ▶ This tends to make test runs rather **frustrating**.
  - ▶ Tests that take too long to run **end up not being run**.

## Unit testing



Here are qualities of **good unit tests**:

- ▶ They **run fast**.
  - ▶ If they don't run fast, they aren't unit tests.
- ▶ They help us **localize problems**.

A test is **not a unit test** if:

- ▶ It talks to a database.
- ▶ It communicates across a network.
- ▶ You have to do special things to your environment (such as editing configuration files) to run it.....

**A unit test that takes 1/10th of a second to run is a slow unit test !!!**

## Breaking Dependencies

**To put unit tests in place, we often have to deal with dependencies from one class to another.**

## Mock objects for dependency breaking

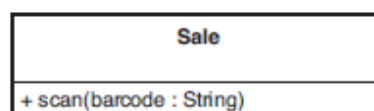
**Mock objects** are simulated objects that **mimic the behavior of real objects** in controlled ways.

We can **create mock classes** and **objects manually**  
**OR**  
 use a **Mocking framework** (e.g. Mockito, PowerMockito) **if there is one available** ....

For the example that follows see [github](#) code for **JUnit** and **Mockito** @ [github.com/zarras](https://github.com/zarras)

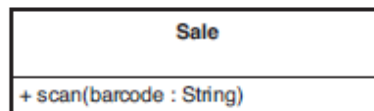
## Mock objects for dependency breaking

In a point-of-sale system, we have a class called **Sale**. Whenever `scan()` is called, the **Sale** object **needs to display the name of the item that was scanned**, along with its price on a **cash register display**.



**How can we test this to sense if the right text shows up on the display?**

## Mock objects for dependency breaking



`scan()` is a **void function** so the expected results are visible only in the cash register display – it would be a good idea if we could **mock the display with something that can be easily/automatically checked** in a **JUnit** test

If the calls to the cash register's **display API are buried** deep in the **Sale** class, **faking is going to be hard**.

## Mock objects for dependency breaking

We can **move all of the display code from Sale over to ArtR56Display** and have a system that does exactly the same thing that it did before.

**Does that get us anything?**



## Mock objects for dependency breaking

- To use mock objects for dependency breaking:
  - Change the class of an irritating object such that it implements an interface.
  - Then change the TargetClass implementation (methods parameters, class attributes, etc.) such that it is based on objects that implement the interface, instead of the irritating objects.
  - This way you can create an object of the TargetClass for testing that uses fake objects that simply implement the interface, instead of real irritating objects.

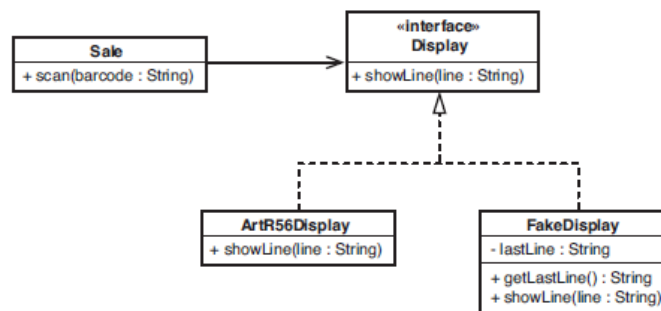
Instead of doing this work manually we can also use a **Mocking framework** (e.g. Mockito, PowerMockito) if there is one available ....

## Mock objects for dependency breaking

The **Sale** class can now hold on to either an **ArtR56Display** object or something else, a **FakeDisplay** object that is used only for test purposes.

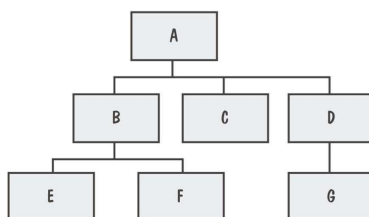
Instead of doing the work manually we can use **Mockito**.

See github code examples for **JUnit** and **Mockito** @ [github.com/zarras](https://github.com/zarras)



## What is integration testing?

### Integration testing

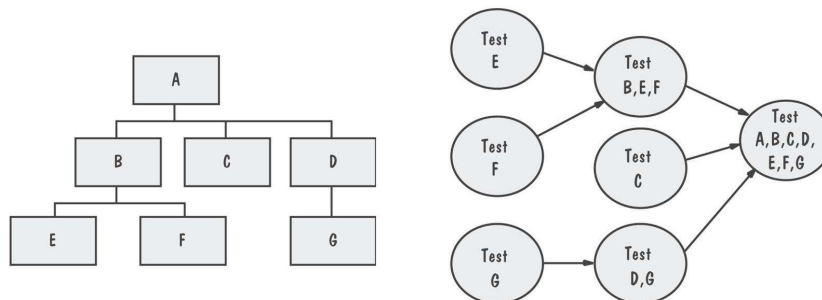


**Integration testing** is the process of verifying the **interactions** among software **modules**.

Classical **integration** testing **strategies**, such as **top-down** and **bottom-up**, are often used with hierarchically structured software to facilitate **error localization**.



## Integration testing

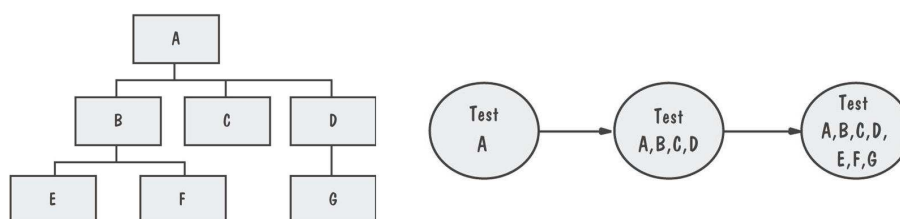


**Bottom up strategy** – does not require many fake objects

We test in **phases**:

We start testing in isolation simple – low level - components that do not depend on many others and we progressively add the ones that depend on them.

## Integration testing



**Top down strategy** – requires more fakes but we find more complex problems early (e.g. faults in the core algorithm/process/ mechanism)

We test in **phases**:

We start testing in isolation the complex – high level - components and we progressively add the components that are used by them.

## What is system testing?

### System testing



**System testing** is concerned with testing the behavior of an **entire system**.

System testing is usually considered appropriate for assessing the **non-functional requirements**—such as security, performance, reliability, availability, usability

Testing techniques

**How do we create test cases?**

## How do we create test cases?



There are several ways:

Based on the software engineer's **intuition** and **experience**, the **specifications**, the **code structure**, the **real** or **imagined** faults to be discovered, predicted usage, models, or the **nature** of the application.

Sometimes these techniques are classified as **white-box** (also called **glass-box**, **code based**), if the tests are based on information about how the software has been **designed** or **coded**, or as **black-box** (**input domain based**) if the test cases rely only on the **input/output behavior** of the software.

**Which is the most widely practiced technique?**

## Ad-hoc testing



Perhaps the most widely practiced technique **is ad hoc testing**:

Tests are derived relying on the software engineer's **skill, intuition, and experience** with **similar programs**.

Ad hoc testing can be useful for **identifying test cases** that **not easily generated** by more **formalized techniques**.

**Test (good, bad) scenarios, use cases, user stories, .....**

**How about input domain based techniques?**

## Input domain based techniques

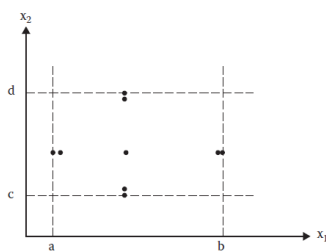


Two widely know categories are:

**Boundary value** testing techniques.

**Equivalence class** testing techniques.

## Normal boundary value technique

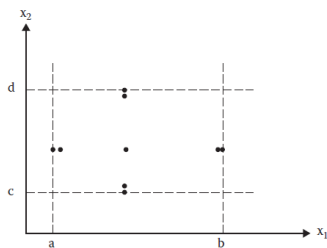


Boundary value analysis test cases for a function of two variables.

The **rationale** behind **boundary value testing** is that **errors** tend to occur near the **extreme values** of an input parameter.

The basic idea of **normal boundary value analysis** is to use input parameter values at their **minimum**, just above the minimum, a **nominal value**, just below their **maximum**, and at their **maximum**.

## Normal boundary value technique



Boundary value analysis test cases for a function of two variables.

### Generalization

If we have a function of  $n$  parameters\*\*, we hold all but one at the nominal values and let the remaining variable assume the

min, min +  $t$ , nom, max -  $t$ , and max

Where  $t$  is an appropriate threshold we chose for the parameter

To create all the test cases we repeat this for each parameter. Thus, for a function of  $n$  parameters, boundary value analysis yields  $4n + 1$  unique test cases.

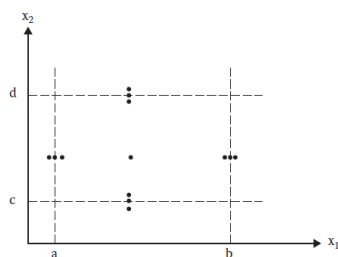
\*\* In general when we talk about parameters we refer to the test input data. So these could also be method parameters, object attributes, etc....

```
class Triangle {
    public void checkType(int sideA, int sideB, int sideC){
        if((sideA < 1) || (sideA > 200) || (sideB < 1) ||
           (sideB > 200) || (sideC < 1) || (sideC > 200)) {
            System.out.println("Wrong input");
            return;
        }
        if(
            // then check if the triangle inequality holds
            (sideA >= sideB + sideC) ||
            (sideB >= sideA + sideC) ||
            (sideC >= sideA + sideB)){
            System.out.println("Not a Triangle");
            return;
        }
        // check if it is equilateral
        if((sideA == sideB) && (sideA == sideC) && (sideB == sideC)){
            System.out.println("The triangle is equilateral");
            return;
        }
        // if not equilateral, check if it is isosceles
        if((sideA == sideB) || (sideA == sideC) || (sideB == sideC)){
            System.out.println("The triangle is isosceles");
            return;
        }
        // otherwise it is scalene
        System.out.println("The triangle is scalene");
        return;
    }
}
```

sideA: [1, 200] sideB: [1, 200] sideC: [1, 200]  $t = 1$

Test Case	sideA	sideB	sideC	Expected output
1	100	100	1	Isosceles
2	100	100	2	Isosceles
3	100	100	100	Equilateral
4	100	100	199	Isosceles
5	100	100	200	Not a triangle
6	100	1	100	Isosceles
7	100	2	100	Isosceles
8	100	199	100	Isosceles
9	100	200	100	Not a triangle
10	1	100	100	Isosceles
11	2	100	100	Isosceles
12	199	100	100	Isosceles
13	200	100	100	Not a triangle

## Robust boundary value technique



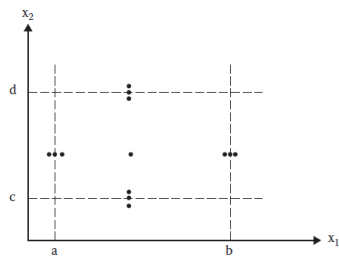
Robust boundary value analysis test cases for a function of two variables.

**Robust boundary value** testing is a simple **extension** of **normal boundary value** testing:

In addition to the five boundary value analysis values of a variable, we see **what happens when the extremes are exceeded** with a value slightly greater than the maximum (max+) and a value slightly less than the minimum (min-).



## Robust boundary value technique



Robust boundary value analysis test cases for a function of two variables.

### Generalization

If we have a function of  $n$  variables, we hold all but one at the nominal values and let the remaining variable assume the

$\min - t, \min, \min + t, \text{nom}, \max - t, \max, \max + t$

Where  $t$  is an appropriate threshold we chose for the variable

To create all the test cases we repeat this for each variable. Thus, for a function of  $n$  variables, boundary value analysis yields  $6n + 1$  unique test cases.

**\*\* In general when we talk about parameters we refer to the test input data. So these could also be method parameters, object attributes, class static attributes, etc....**

```
class Triangle {
    public void checkType(int sideA, int sideB, int sideC){
        if((sideA < 1) || (sideA > 200) || (sideB < 1) ||
           (sideB > 200) || (sideC < 1) || (sideC > 200)) {
            System.out.println("Wrong input");
            return;
        }
        if(
            // then check if the triangle inequality holds
            (sideA >= sideB + sideC) ||
            (sideB >= sideA + sideC) ||
            (sideC >= sideA + sideB)){
                System.out.println("Not a Triangle");
                return;
            }
        // check if it is equilateral
        if((sideA == sideB) && (sideA == sideC) && (sideB == sideC)){
            System.out.println("The triangle is equilateral");
            return;
        }
        // if not equilateral, check if it is isosceles
        if((sideA == sideB) || (sideA == sideC) || (sideB == sideC)){
            System.out.println("The triangle is isosceles");
            return;
        }
        // otherwise it is scalene
        System.out.println("The triangle is scalene");
        return;
    }
}
```

sideA: [1, 200] sideB: [1, 200] sideC: [1, 200] t = 1

Test Case	sideA	sideB	sideC	Expected output
1	100	100	0	Wrong input
2	100	100	1	Isosceles
3	100	100	2	Isosceles
4	100	100	100	Equilateral
5	100	100	199	Isosceles
6	100	100	200	Not a triangle
7	100	100	201	Wrong input
8	100	0	100	Wrong input
9	100	1	100	Isosceles
10	100	2	100	Isosceles
11	100	199	100	Isosceles
12	100	200	100	Not a triangle
13	100	201	100	Wrong input
14	0	100	100	Wrong input
15	1	100	100	Isosceles
16	2	100	100	Isosceles
17	199	100	100	Isosceles
18	200	100	100	Not a triangle
19	201	100	100	Wrong input

## Issues and limitations

Boundary value analysis works well with a function of several independent parameter that represent **bounded physical quantities**.

The parameters need to be described by a **true ordering relation**, in which, for every pair  $\langle a, b \rangle$  of values of a parameter, it is possible to say that  $a \leq b$ .

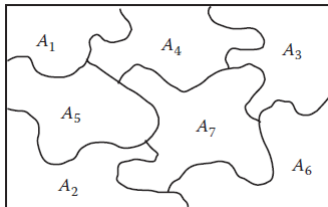
Test values for alphabet characters, for example, would be {a, b, m, y, and z}.

When no explicit bounds are present, we usually have to create **"artificial" bounds** (e.g., language specific Integer.MAX\_VALUE, Integer.MIN\_VALUE, etc).

Boundary value analysis **does not make much sense** for **boolean variables**; we can use as the extreme values TRUE and FALSE.



## Equivalence class testing



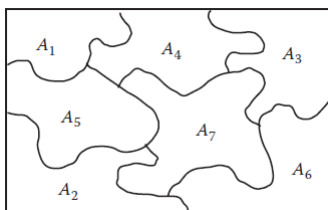
### Math preliminaries

Given a set  $B$ , and a set of subsets  $A_1, A_2, \dots, A_n$  of  $B$ , the subsets are a **partition** of  $B$  iff

$A_1 \cup A_2 \cup \dots \cup A_n = B$ , and  $i \neq j \Rightarrow A_i \cap A_j = \emptyset$ .

## Equivalence class testing

### Math preliminaries



Suppose we have a partition  $A_1, A_2, \dots, A_n$  of  $B$ .

Based on this partition **two elements,  $b_1$  and  $b_2$**  of  $B$ , are **related** if  $b_1$  and  $b_2$  are in the **same partition element**.

This is an **equivalence relation** because:

It is **reflexive** (any element is in its own partition),

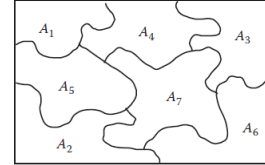
It is **symmetric** (if  $b_1$  and  $b_2$  are in a partition element, then  $b_2$  and  $b_1$  are)

It is **transitive** (if  $b_1$  and  $b_2$  are in the same set, and if  $b_2$  and  $b_3$  are in the same set, then  $b_1$  and  $b_3$  are in the same set).

## Equivalence class testing

### Equivalence class testing

**Assume we** test a **function f** with **n inputs**:  $v_1, v_2, \dots, v_n$   
Each input  $v_i$  has a domain  $\text{dom}(v_i)$



Our **target** set  $B$  is the possibly infinite set of **input tuples**, i.e.  $B = \text{dom}(v_1) \times \text{dom}(v_2) \times \dots \times \text{dom}(v_n)$

Our goal is to define a **partition** of  $B$ .

This partition **would be useful for testing** if for all the **related** input tuples (i.e., the tuples that belong to each  $A_i$ ) the **expected behavior** of  $f$  is the **same** (although the **exact outputs may differ**).

➔ In a sense we try to define classes ( $A_1, A_2, \dots$ ) of expected outputs

Then the idea is to select **at least one test case** from **each partition element**  $A_i$ .

```
class Triangle {
    public void checkType(int sideA, int sideB, int sideC){
        .....
    }
}
```

For the triangle problem we can have the following partition:

$B = A_1 \cup A_2 \cup A_3 \cup A_4 \cup A_5$

$A_1 = \{a, b, c \mid \text{wrong input}\}$   $A_2 = \{a, b, c \mid \text{not a triangle}\}$   $A_3 = \{a, b, c \mid \text{equilateral}\}$

$A_4 = \{a, b, c \mid \text{isosceles}\}$   $A_5 = \{a, b, c \mid \text{scalene}\}$

Test Case	sideA	sideB	sideC	Expected output
1	100	100	0	Wrong input
2	100	100	200	Not a triangle
3	100	100	100	Equilateral
4	100	199	100	Isosceles
5	100	200	45	Scalene

## How about code based techniques?

### Code based techniques



Two widely know categories are:

**Control flow** testing techniques.

**Data flow** testing techniques.

## Which are the fundamental concepts of control flow techniques?

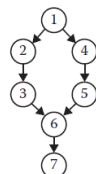
### Control flow techniques

#### If-Then-Else

```

1 If <condition>
2 Then
3   <then statements>
4 Else
5   <else statements>
6 End If
7 <next statement>

```

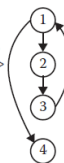


#### Pretest loop

```

1 While <condition>
2   <repeated body>
3 End While
4 <next statement>

```

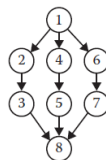


#### Case/Switch

```

1 Case n of 3
2   n=1:
3     <case 1 statements>
4   n=2:
5     <case 2 statements>
6   n=3:
7     <case 3 statements>
8 End Case

```



#### Posttest loop

```

1 Do
2   <repeated body>
3 Until <condition>
4 <next statement>

```



The **control flow** testing techniques are based on the concept of program graphs.

Given a program/function, its **program graph** is a **directed graph** in which **nodes** are **statement fragments**, and **edges** represent **flow of control**.

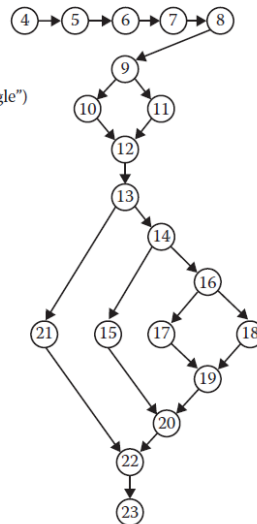
**Program graphs of structured programming constructs**

## Control flow techniques

```

1 Program triangle2
2 Dim a,b,c As Integer
3 Dim IsATriangle As Boolean
4 Output("Enter 3 integers which are sides of a triangle")
5 Input(a,b,c)
6 Output("Side A is", a)
7 Output("Side B is", b)
8 Output("Side C is", c)
9 If (a < b + c) AND (b < a + c) AND (c < a + b)
10 Then IsATriangle = True
11 Else IsATriangle = False
12 EndIf
13 If IsATriangle
14 Then If (a = b) AND (b = c)
15 Then Output ("Equilateral")
16 Else If (a=b) AND (a<c) AND (b<c)
17 Then Output ("Scalene")
18 Else Output ("Isosceles")
19 EndIf
20 EndIf
21 Else Output("Nota a Triangle")
22 EndIf
23 End triangle2

```



## Control flow techniques

Simplified views (**DD-graphs**) of program graphs make things easier.

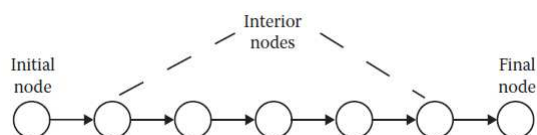
Starting for the program graph we produce the DD-graph as follows:

We keep the initial and the final nodes as is

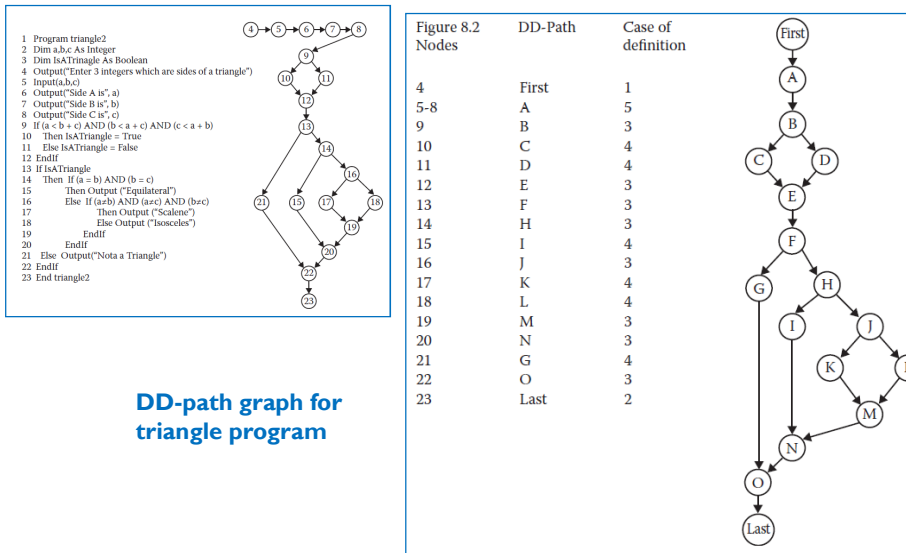
We keep (decision) nodes with out degree  $\geq 2$  as is

We keep nodes with in degree  $\geq 2$  as is

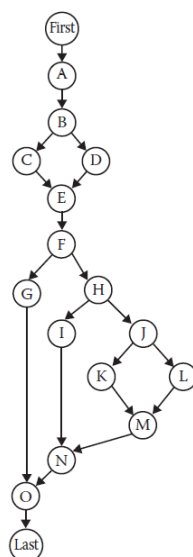
We replace chains (with length  $\geq 2$ ) of nodes with indeg = 1 and outdeg = 1 with a single node



## Control flow techniques



## Statement testing



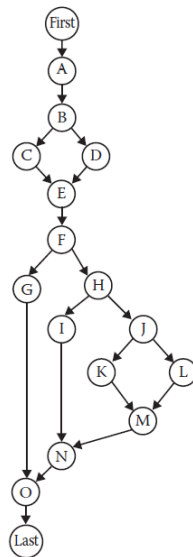
In **statement testing** our goal is to select a **set of test cases T** that satisfies the **node coverage** criterion.

A set of test cases T for a program/function, **satisfies node coverage** if, when executed on the program/function, **every node** in the program graph is traversed.

Denote this level of coverage as  $G_{node}$ , where the **G** stands for program graph.



## Branch testing



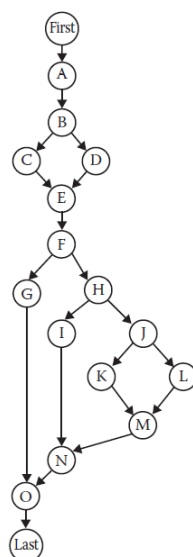
In **branch testing** our goal is to select a **set of test cases T** that satisfies the **edge coverage** criterion.

A set of test cases T for a program/function **satisfies edge coverage** if, when executed on the program/function, every edge in the program graph is traversed.

Denote this level of coverage as  $G_{edge}$ .

The **difference** between  $G_{node}$  and  $G_{edge}$  is that, in the latter, we are assured that all outcomes of a decision-making statement are executed.

## Path testing



In **path testing** our goal is to select a **set of test cases T** that satisfies the **path coverage** criterion.

A set of test cases T for a program/function **satisfies path coverage** if, when executed on the program, every **feasible** path from the source node to the sink node in the program graph is traversed.

Denote this level of coverage as  $G_{path}$ .

The **difference** between  $G_{edge}$  and  $G_{path}$  is that, in the latter, we are assured that all **possible combinations** of outcomes of decision-making statements are executed.

**Keep in mind the possibility of infeasible paths and dependent decision points.**

