

Research Project:
**Retrieval of plant biophysical and
biochemical variables from remote
sensing data using a hybrid machine
learning method**



 **Trier University**

Zavud Baghirov
Environmental Sciences
University of Trier

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Abstract

This will be the abstract at the end [TO BE UPDATED]

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List of Abbreviations

3D	Three-dimensional
INFORM	Invertable Forest Reflectance Model
RTM	Radiative Transfer Model
SAIL	Scattering by Arbitrary Inclined Leaves
PROSAIL	The combination of PROSPECT and SAIL models
FLIM	Forest Light Interaction Model
LAI	Leaf Area Index
MLRA	Machine Learning Regression Algorithms
ML	Machine Learning
DT	Decision Trees
ANN	Artificial Neural Networks
KBMLRM	Kernel-Based Machine Learning Regression Methods
RF	Random Forest
RFR	Random Forest Regression
LUT	Look-Up-Table
NN	Neural Networks
SVR	Support Vector Regression
SVM	Support Vector Machines
GPR	Gaussian Process Regression
GP	Gaussian Process
VI	Vegetation Index
DR	Dimensionality Reduction
WT	Wavelet Transform
PCA	Principal Component Analysis
AL	Active Learning

1 Methods

This section explains the methods used in this research.

1.1 Local sensitivity analysis

Local sensitivity analysis was performed to assess the effect of each of the main 6 plant biochemical and biophysical variables on the PRISMA image bands. In the local sensitivity analysis simulation is performed by keeping all the variables constant at their determined fixed or default values except the parameter of interest. This way the effect of a specific parameter on the simulated spectra can be assessed. In this research the plant parameters C_{ab} , C_w , C_m , LAI_s , CD and SD were varied each 15 times (Table (1.1)), while keeping the rest of the variables at their default values (Table (1.2)). The default and varied values were chosen based on the literature (e.g. Darvishzadeh et al. (2019); Laurent et al. (2011); Schlerf and Atzberger (2012)) where similar RTM method used to simulate reflectance for Spruce trees.

Table (1.1) show the 6 parameters that were varied, their units, minimum and maximum values. Each parameter was varied 15 times, meaning 15 different spectra were simulated for each variable.

Table 1.1: INFORM Parameters varied in local sensitivity analysis (each parameter were varied 15 times)

Parameter	Abbrev.	Unit	Min	Max
Chlorophyll content	C_{ab}	$\frac{\mu g}{cm^2}$	20	60
Equivalent water thickness	C_w	$\frac{g}{cm^2}$	0.0035	0.035
Leaf dry matter content	C_m	$\frac{g}{cm^2}$	0.008	0.03
Leaf area index (single)	LAI_s	$\frac{m^2}{m^2}$	0	7
Stem density	SD	ha^{-1}	200	5000
Crown diameter	CD	m	1.5	8.5

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Table (1.2) show the determined default values for each INFORM parameter that were kept during the sensitivity simulation while one of the parameter was varied (Table (1.1)).

Table 1.2: INFORM Parameters that were kept constant while one parameter was varied at a time

Parameter	Abbr	Unit	Value
Leaf structure parameter	N	—	3
Chlorophyll content	C_{ab}	$\frac{\mu g}{cm^2}$	40
Leaf carotenoid content	C_{ar}	$\frac{\mu g}{cm^2}$	8
Brown Pigment Content	C_{brown}	—	0.001
Equivalent water thickness	C_w	$\frac{g}{cm^2}$	0.0117
Leaf dry matter content	C_m	$\frac{g}{cm^2}$	0.03
Average leaf inclination angle	$ALIA$	$^\circ$	65
Leaf area index (single)	LAI_s	$\frac{m^2}{m^2}$	6
Leaf area index (understorey)	LAI_u	$\frac{m^2}{m^2}$	0.5
Hot spot parameter	Hot	$\frac{m}{m}$	0.02
Solar zenith angle	tts	$^\circ$	45.43
Observer zenith angle	tto	$^\circ$	0
Sun-sensor azimuth angle	psi	$^\circ$	181.41
Soil brightness	α_{soil}	—	0.5
Stem density	SD	ha^{-1}	700
Crown diameter	CD	m	5
Mean Height	H	m	20
Fraction of diffuse incoming	$skyl$	—	0.1
Soil reflectance spectrum	B_g	—	default

Solar zenith angle and *Sun-sensor azimuth angle* were calculated based on the PRISMA image acquisition parameters (date, lat/long etc.) using the website <https://www.esrl.noaa.gov/gmd/grad/solcalc/azel.html>.

RTM models PROSPECT5, 4SAIL and FLIM were coupled (INFORM) in order to simulate canopy reflectance. Simulations were carried out using the *ccrtm* package (Visser, 2021) in *R* (R Core Team, 2021). The default soil spectra provided by the *ccrtm* package (Visser, 2021) was used for the simulations.

References

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