# 从头开始实现一个简化的版本的GPT模型

发表评论 / ChatGPT, GPT, OpenAI

如果你想从头开始实现一个简化的版本的GPT模型,而不依赖于现成的GPT-2模型库,你可以采用PyTorch这样的深度学习框架。下面是一个非常基础的例子,展示了如何实现一个简化的Transformer模型架构,这是构建GPT模型的基础。

这个例子将不会覆盖GPT-2的所有复杂性和特性,但可以提供一个起点,帮助你理解如何从头开始构建类似GPT的模型。

## 1. 基础Transformer块

首先,我们定义一个基础的Transformer块,它是构成GPT模型的基本单元。这个块将包括自注意力机制和前馈神经网络。

```
1
   import torch
   import torch.nn as nn
3
   import torch.nn.functional as F
   import torch.optim as optim
5
   import re
6
   import jieba
7
8
   from torch.utils.data import Dataset, DataLoader
9
   from torch.nn.utils.rnn import pad sequence
10
11
   class SelfAttention(nn.Module):
12
13
       def init (self, embed size, heads):
14
           super(SelfAttention, self). init ()
15
           self.embed size = embed size
           self.heads = heads
16
17
           self.head dim = embed size // heads
18
19
           assert self.head dim * heads == embed size, "Embedding size needs to be divisible by
20
           self.values = nn.Linear(embed_size, embed_size, bias=False)
21
22
           self.keys = nn.Linear(embed size, embed size, bias=False)
23
           self.queries = nn.Linear(embed size, embed size, bias=False)
24
           self.fc out = nn.Linear(heads * self.head dim, embed size)
25
26
       def forward(self, value, key, query, mask):
27
           N = query.shape[0]
28
           value len, key len, query len = value.shape[1], key.shape[1], query.shape&#91
29
           # Split the embedding into `self.heads` pieces
30
31
           values = self.values(value).view(N, value len, self.heads, self.head dim)
32
           keys = self.keys(key).view(N, key_len, self.heads, self.head_dim)
33
           queries = self.queries(query).view(N, query_len, self.heads, self.head_dim)
34
35
           # Transpose for attention dot product: from [N, value len, self.heads, self.head
36
           # to [N, self.heads, value len, self.head dim] to match the shape for `torch.eins
37
           values = values.transpose(1, 2)
38
           keys = keys.transpose(1, 2)
39
           queries = queries.transpose(1, 2)
40
41
           # Attention mechanism
42
           energy = torch.einsum("nghd, nkhd->nhqk", [ queries, keys])
43
44
           if mask is not None:
```

```
45
               energy = energy.masked fill(mask == 0, float("-1e20"))
46
47
           attention = torch.softmax(energy / (self.embed size ** (1 / 2)), dim=3)
48
            # 重塑前进行张量乘法,然后重塑回 [batch size, seq len, heads * head dim]
49
           out = torch.einsum("nhql,nlhd->nqhd", [attention, values]).reshape(
50
51
               N, query len, self.heads * self.head dim
52
53
54
           out = self.fc out(out)
55
           return out.
56
57
   class TransformerBlock(nn.Module):
58
       def __init__(self, embed_size, heads, dropout, forward expansion):
59
           super(TransformerBlock, self). init ()
60
            self.attention = SelfAttention(embed size, heads)
61
           self.norm1 = nn.LayerNorm(embed size)
           self.norm2 = nn.LayerNorm(embed size)
62
63
64
            self.feed forward = nn.Sequential(
65
               nn.Linear(embed size, forward expansion * embed size),
66
               nn.ReLU(),
67
               nn.Linear(forward expansion * embed size, embed size),
68
           )
69
70
           self.dropout = nn.Dropout(dropout)
71
72
       def forward(self, value, key, query, mask):
73
           attention = self.attention(value, key, query, mask)
74
75
            # Add skip connection, followed by layer normalization
76
           x = self.norm1 (attention + query)
77
           forward = self.feed forward(x)
78
           out = self.norm2 (forward + x) # Add skip connection, followed by layer normalization
79
           return out
80
```

## 2. 简化版的GPT模型

接下来,我们定义一个简化版的GPT模型,它利用上面定义的Transformer块。

```
class GPT(nn.Module):
1
2
       def init (self, embed size, num layers, heads, forward expansion, dropout, vocab size,
3
           super(GPT, self). init ()
            self.embed size = embed size
4
5
            self.transformer blocks = nn.ModuleList(
6
                [
7
                    TransformerBlock(
8
                        embed size,
9
                        heads,
10
                        dropout=dropout,
11
                        forward_expansion=forward_expansion,
12
13
                    for in range(num layers)
14
               1
15
           )
16
17
            self.word embedding = nn.Embedding(vocab size, embed size)
18
           self.position embedding = nn.Embedding(max length, embed size)
19
20
       def forward(self, x, mask):
21
           N, seq length = x.shape
22
           print(f"Input shape: {x.shape}") # 打印输入形状
```

```
23
24
           positions = torch.arange(0, seq length).expand(N, seq length).to(x.device)
25
           out = self.word embedding(x) + self.position embedding(positions)
           print(f"After embedding and position shape: {out.shape}") # 打印嵌入和位置编码后的形状
26
27
28
           for layer in self.transformer blocks:
29
               out = layer(out, out, out, mask)
               print(f"After transformer block shape: {out.shape}") # 打印经过每个Transformer块后
30
31
32
           return out
33
```

继续前面的简化版GPT模型实现,下面提供一个基本的训练框架。这个例子将展示如何准备数据、定义损失函数、选择优化器,并执行训练循环。请注意,这是一个高度简化的例子,旨在演示基本概念。

#### 3. 准备数据

假设你已经有了一个文本数据集,并且你已经进行了预处理(例如,分词和转换为词汇索引)。为了简单起见,这里不展示数据预处理的代码。我们将直接从创建数据加载器开始。

```
1
   def clean text mixed with symbols(text):
2
       # 保留中文、英文字符、数字和常见的标点符号
3
       # 注意:根据需要,你可以在这里添加或删除特定的符号
       text = re.sub(r'[^\u4e00-\u9fffA-Za-z0-9, . !?\ ;:\"''() () () []-...]+', '', text)
4
5
       return text.strip()
6
7
   def preprocess_text_mixed_with_symbols(text):
8
       text = clean_text_mixed_with_symbols(text)
9
       tokens = \[]
10
       for token in jieba.cut(text, cut all=False):
11
           token = token.strip()
12
           if token:
13
               tokens.append(token)
14
       return tokens
15
16
   def load and preprocess data(file paths):
       # 这里简化处理,具体实现依据你的需求定
17
18
       texts = [1
19
       for file path in file paths:
20
           with open (file path, 'r', encoding='utf-8') as file:
21
               text = file.read()
22
               #添加文本清洗和预处理逻辑
23
               processed text = preprocess text mixed with symbols(text)
24
               texts.append(processed text)
25
       return texts
26
27
28
   class TextDataset(Dataset):
29
       def init (self, indexed texts, vocab size):
30
           self.texts = [torch.tensor(text, dtype=torch.long) for text in indexed texts]
31
           self.vocab size = vocab size
32
33
       def len (self):
34
           return len(self.texts)
35
36
       def getitem (self, idx):
37
           return self.texts[idx]
38
39
       def collate fn(self, batch):
40
           input ids = \&#91; item\&#91;:-1] for item in batch]
41
           target ids = [item[1:] for item in batch]
42
           input ids padded = pad sequence(input ids, batch first=True, padding value=0)
```

```
43
           target ids padded = pad sequence(target ids, batch first=True, padding value=0)
           return input ids padded, target ids padded
44
45
46
47
   def build vocab (texts):
48
       vocab = set(token for text in texts for token in text)
49
       vocab_to_index = {word: i for i, word in enumerate(vocab, start=1)} # 从1开始编号
50
       return vocab to index
51
52
53
   def index text(text, vocab to index):
54
       return [vocab to index[token] for token in text if token in vocab to index]
55
```

# 4. 定义模型、损失函数和优化器

```
# 实例化模型
1
   model = GPT(
2
3
       embed size=embed size,
4
       num layers=num layers,
5
       heads=heads,
6
       forward expansion=forward expansion,
7
       dropout=dropout,
8
       vocab size=vocab size,
9
       max length=max length
10
11
12
   loss fn = nn.CrossEntropyLoss()
13
   optimizer = optim.Adam(model.parameters(), lr=0.0001)
14
```

## 5. 训练循环

最后,我们执行训练循环,每个批次处理数据,计算损失,并更新模型的权重。

```
def train(model, dataloader, loss fn, optimizer, device, epochs):
1
2
       model.train()
       model.to(device)
3
4
5
       for epoch in range(epochs):
6
           for batch idx, (input ids, target ids) in enumerate(dataloader):
7
               input ids = input ids.to(device)
8
               target ids = target ids.to(device)
9
10
               # 前向传播
               predictions = model(input_ids, mask=None) # 这里简化处理,没有使用mask
11
12
               predictions = predictions.view(-1, predictions.size(-1))
13
               target ids = target ids.view(-1)
14
               # 计算损失
15
16
               loss = loss fn(predictions, target ids)
17
               # 反向传播和优化
18
19
               optimizer.zero grad()
20
               loss.backward()
21
               optimizer.step()
22
23
               if batch idx % 100 == 0:
24
                   print(f"Epoch {epoch} Batch {batch idx} Loss {loss.item()}")
25
```

这段代码展示了如何设置和执行模型的训练过程。请注意,这只是一个起点,真实世界的应用可能需要更复杂的数据处理、模型调参、正则化策略、以及训练过程监控。此外,为了处理大规模数据集和模型,可能还需要考虑分布式训练和模型并行化。

# 6. 完整的训练代码

代码如下:

```
import torch
    import torch.nn as nn
3
    import torch.nn.functional as F
4
    import torch.optim as optim
5
    import re
6
    import jieba
7
8
    from torch.utils.data import Dataset, DataLoader
9
    from torch.nn.utils.rnn import pad sequence
10
11
12
    class SelfAttention(nn.Module):
13
        def __init__(self, embed_size, heads):
14
            super(SelfAttention, self). init ()
15
            self.embed size = embed size
16
            self.heads = heads
17
            self.head dim = embed size // heads
18
19
            assert self.head dim * heads == embed size, "Embedding size needs to be divisible by
20
21
            self.values = nn.Linear(embed size, embed size, bias=False)
22
            self.keys = nn.Linear(embed size, embed size, bias=False)
23
            self.queries = nn.Linear(embed size, embed size, bias=False)
24
            self.fc out = nn.Linear(heads * self.head dim, embed size)
25
26
        def forward(self, value, key, query, mask):
27
            N = query.shape[0]
28
            value len, key len, query len = value.shape[1], key.shape[1], query.shape&#9
29
30
            # Split the embedding into `self.heads` pieces
31
            values = self.values(value).view(N, value len, self.heads, self.head dim)
32
            keys = self.keys(key).view(N, key len, self.heads, self.head dim)
33
            queries = self.queries(query).view(N, query len, self.heads, self.head dim)
34
35
            # Transpose for attention dot product: from [N, value len, self.heads, self.head
36
            # to [N, self.heads, value len, self.head dim] to match the shape for `torch.eir
37
            values = values.transpose (1, 2)
38
            keys = keys.transpose(1, 2)
39
            queries = queries.transpose(1, 2)
40
41
            # Attention mechanism
42
            energy = torch.einsum("nqhd,nkhd->nhqk", [queries, keys])
43
44
            if mask is not None:
45
                energy = energy.masked fill(mask == 0, float("-1e20"))
46
47
            attention = torch.softmax(energy / (self.embed size ** (1 / 2)), dim=3)
48
            # 重塑前进行张量乘法,然后重塑回 [batch_size, seq_len, heads * head_dim]
49
            out = torch.einsum("nhq1,nlhd->nqhd", [attention, values]).reshape(
50
51
                N, query_len, self.heads * self.head_dim
52
53
            out = self.fc out(out)
```

```
55
            return out
56
57
    class TransformerBlock(nn.Module):
58
        def init (self, embed size, heads, dropout, forward expansion):
59
            super(TransformerBlock, self). init ()
60
            self.attention = SelfAttention(embed size, heads)
61
            self.norm1 = nn.LayerNorm(embed size)
62
            self.norm2 = nn.LayerNorm(embed size)
63
64
            self.feed forward = nn.Sequential(
65
                nn.Linear(embed size, forward expansion * embed size),
66
                nn.ReLU(),
67
                nn.Linear(forward expansion * embed size, embed size),
68
69
70
            self.dropout = nn.Dropout(dropout)
71
72
        def forward(self, value, key, query, mask):
73
            attention = self.attention(value, key, query, mask)
74
75
            # Add skip connection, followed by layer normalization
76
            x = self.norm1 (attention + query)
77
            forward = self.feed forward(x)
78
            out = self.norm2(forward + x) # Add skip connection, followed by layer normalization
79
            return out
80
81
    class GPT(nn.Module):
82
        def init (self, embed size, num layers, heads, forward expansion, dropout, vocab size
83
            super(GPT, self). init ()
84
            self.embed size = embed size
85
            self.transformer blocks = nn.ModuleList(
86
87
                    TransformerBlock(
88
                        embed size,
89
                        heads,
90
                        dropout=dropout,
91
                        forward expansion=forward expansion,
92
                    )
93
                    for in range(num layers)
94
                ]
95
            )
96
97
            self.word embedding = nn.Embedding(vocab size, embed size)
98
            self.position embedding = nn.Embedding(max length, embed size)
99
100
        def forward(self, x, mask):
101
            N, seq length = x.shape
            print(f"Input shape: {x.shape}") # 打印输入形状
102
103
104
            positions = torch.arange(0, seq length).expand(N, seq length).to(x.device)
105
            out = self.word embedding(x) + self.position embedding(positions)
            print(f"After embedding and position shape: {out.shape}") # 打印嵌入和位置编码后的形状
106
107
108
            for layer in self.transformer blocks:
109
                out = layer(out, out, out, mask)
                print(f"After transformer block shape: {out.shape}") # 打印经过每个Transformer块层
110
111
112
            return out
113
114
    def clean text mixed with symbols(text):
        # 保留中文、英文字符、数字和常见的标点符号
115
        # 注意:根据需要,你可以在这里添加或删除特定的符号
116
        text = re.sub(r'[^\u4e00-\u9fffA-Za-z0-9, . !?\ ;:\"''() \(\) \(\) \(\) \(\) \(\)
117
118
        return text.strip()
119
```

```
120 def preprocess text mixed with symbols(text):
        text = clean text mixed with symbols(text)
        tokens = []
122
123
        for token in jieba.cut(text, cut all=False):
124
            token = token.strip()
125
            if token:
126
                tokens.append(token)
127
        return tokens
128
129
    def load and preprocess data(file paths):
        # 这里简化处理,具体实现依据你的需求定
130
131
        texts = []
132
        for file path in file paths:
133
            with open(file path, 'r', encoding='utf-8') as file:
                text = file.read()
134
                #添加文本清洗和预处理逻辑
135
136
                processed text = preprocess text mixed with symbols(text)
137
                texts.append(processed text)
138
        return texts
139
140
141
    class TextDataset(Dataset):
142
        def init (self, indexed texts, vocab size):
143
            self.texts = [torch.tensor(text, dtype=torch.long) for text in indexed texts]
144
            self.vocab size = vocab size
145
        def len (self):
146
147
            return len(self.texts)
148
149
        def getitem (self, idx):
            return self.texts[idx]
150
151
152
        def collate fn(self, batch):
153
            input ids = \&#91; item\&#91;:-1] for item in batch]
154
            target ids = [item[1:] for item in batch]
155
            input_ids_padded = pad_sequence(input_ids, batch_first=True, padding_value=0)
156
            target_ids_padded = pad_sequence(target_ids, batch_first=True, padding_value=0)
157
            return input ids padded, target ids padded
158
159
160
    def build vocab(texts):
161
        vocab = set(token for text in texts for token in text)
162
        vocab to index = {word: i for i, word in enumerate(vocab, start=1)} # 从1开始编号
163
        return vocab to index
164
165
166
    def index text(text, vocab to index):
167
        return [vocab to index[token] for token in text if token in vocab to index]
168
169
    def train (model, dataloader, loss fn, optimizer, device, epochs):
170
        model.train()
171
        model.to(device)
172
173
        for epoch in range (epochs):
174
            for batch idx, (input ids, target ids) in enumerate (dataloader):
175
                input ids = input ids.to(device)
176
                target ids = target ids.to(device)
177
178
                # 前向传播
179
                predictions = model(input_ids, mask=None) # 这里简化处理,没有使用mask
180
                predictions = predictions.view(-1, predictions.size(-1))
181
                target ids = target ids.view(-1)
182
183
                # 计算损失
                loss = loss fn(predictions, target ids)
184
```

```
185
                # 反向传播和优化
186
                optimizer.zero grad()
187
188
                loss.backward()
189
                optimizer.step()
190
191
                if batch idx % 100 == 0:
192
                    print(f"Epoch {epoch} Batch {batch idx} Loss {loss.item()}")
193
194
195 # 模型参数
196 | vocab size = 10000 # 假设的词汇表大小
197 | embed size = 256
198 max length = 100
199 num layers = 6
200 \mid \text{heads} = 8
201 forward expansion = 4
202 | dropout = 0.1
203
204
    # 假设你的文本文件路径
    #file paths = ['path/to/your/text1.txt', 'path/to/your/text2.txt']
205
206 | #texts = load and preprocess data(file paths)
207
208 | # 假设文本包含中文、英文和常用符号
209 | text = "1977年,三位数学家Rivest、Shamir 和 Adleman 设计了一种算法,可以实现非对称加密。这种算法用他们3
210
    # 预处理文本
211
212
    texts = preprocess text mixed with symbols(text)
213 | # 输出分词结果
214 print(texts)
215
    # 假设`texts`是分词后的文本列表
217 | vocab to index = build vocab(texts)
218 indexed texts = [ index text(text, vocab to index) for text in texts]
219
220 vocab size=len(vocab to index) + 1
221 # 现在`texts`应该是索引化后的文本列表
222 | dataset = TextDataset(indexed texts, vocab size=len(vocab to index) + 1) # +1因为从1开始编号
223 dataloader = DataLoader(dataset, batch size=32, shuffle=True, collate fn=dataset.collate fn)
224
225 # 实例化模型
226 model = GPT(
227
       embed size=embed size,
228
       num layers=num layers,
       heads=heads,
229
230
       forward expansion=forward expansion,
231
       dropout=dropout,
232
       vocab size=vocab size,
233
       max length=max length
234
235
236 loss fn = nn.CrossEntropyLoss()
237 optimizer = optim.Adam(model.parameters(), lr=0.0001)
238
239
    epochs = 1
240
241
    device = torch.device("cuda" if torch.cuda.is available() else "cpu")
242
    train(model, dataloader, loss fn, optimizer, device, epochs)
243
244
    # 保存模型参数
245 | # model path = "gpt simple model.pth"
246 | # torch.save(model.state dict(), model path)
248 # 如果要保存整个模型(包括模型结构),可以使用以下方式
249 model_path = "gpt_simple_model_full.pth"
```

250 | torch.save(model, model\_path)
251 |

代码提供了一个使用PyTorch实现类似GPT模型的全面示例,这个示例涵盖了多个关键方面,包括自注意力层的定义、变压器块、整体GPT模型、文本数据的预处理(包括混合语言内容的文本清理和使用Jieba进行分词),以及最后的模型训练、自定义数据集和数据加载器的使用。

以下是一些建议和澄清点,以确保代码按预期工作,并遵循最佳实践:

- 1. **自注意力和变压器块实现**:您的自注意力和变压器块实现看起来很好。它遵循了构建基于变压器模型的标准方法,包括将输入分割成多个头、应用自注意力,然后使用前馈网络。
- 2. **模型训练循环**:训练循环包括深度学习模型典型训练过程的基本步骤。它通过模型处理输入、计算损失、执行反向传播和更新模型的权重。您还包括了设备兼容性,以便在GPU上运行模型(如果可用),这对于训练效率至关重要。
- 3. **文本预处理和分词**:您包含了清理文本和分词的功能,这对于NLP任务至关重要。使用Jieba进行分词适用于处理中文文本,您的正则表达式清理混合语言文本涵盖了广泛的字符。
- 4. **数据处理和数据加载器**:您定义了一个自定义的Dataset类,并使用PyTorch的DataLoader进行批处理和填充。这是处理NLP任务中可变长度序列的好方法。
- 5. 潜在改进:
  - 数据预处理中的错误处理:确保您的文件读取和文本预处理能够优雅地处理错误,尤其是对于可能不存在或有编码问题的文件。
  - 模型中的掩码使用:您的评论提到了为了简化而没有使用掩码。实际上,特别是对于长度不同的序列,掩码对于 通知模型哪些输入部分是填充且不应该被关注是至关重要的。
  - 词汇表构建:构建词汇表和索引文本的过程假设所有文本都被分词成一个平面列表。实际上,您可能有多个文档或句子,您可能希望分别处理它们或保持句子边界。
  - **保存模型**:您展示了两种保存模型的方式;仅保存模型参数(state\_dict)更节省空间,是大多数用例推荐的方法。保存整个模型虽然方便,但如果需要在不同环境中加载模型,可能会导致问题。

在运行代码之前,请确保调整文件路径,并根据您的具体需求可能扩展预处理和数据集处理。此外,考虑尝试不同的模型超参数(如embed size、num layers、heads等)和训练配置,以找到适合您任务的最佳设置。