

# Living Alignment

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## Abstract

We propose a new way to think about AI alignment: as the ongoing process of limiting overcommitment to any form. Each form – an equation, a genome, a viewpoint, an institution – is myopic in the sense that it is only a small aspect of the world. When systems overcommit to particular forms instead of lightly holding dynamic relationships between them, the richness and potential of the world is reduced. If aspects of AI systems remain fixed while they gain increasing resource, capability and purview, there is a risk of severe overcommitment. To think about how to approach this problem, we look to life’s resistance to overcommitment. Living forms contextualize one another with semi-permeable boundaries which, when functioning well, allow distinct forms to thrive and flexibly work together without one part dominating. Drawing on examples of these processes in natural and human systems, we sketch out how aligned AI systems can participate in rather than overwhelm the subtlety of life.

In this paper we look at properties of living systems to better understand the AI alignment problem<sup>1</sup> and solutions to it. Looking across different types of system we see a consistent theme: health or flourishing is the opposite of overcommitment to any particular partial form (Section 1). Alignment, widely considered to be an orientation toward flourishing and health, then becomes the problem of avoiding overcommitment (Section 2). In other words, the alignment problem is bigger than anything formalizable.

In natural and human systems, a vast array of semi-permeable boundaries protect against over-

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<sup>1</sup>We will use ‘alignment’ as the broadest umbrella term to include safety, ethics, governance, and all other aspects of designing and relating to AI in a way that leads to positive futures.

commitment. Semi-permeable boundaries support both the individuality of entities and their participation in contexts at many spatial and temporal scales (Section 3). After exploring these patterns in natural and human systems, we end with an initial gesture toward the implications for development of aligned AI (Section 4).

This paper takes a systems oriented view. Our hope is that the ideas can be applied not only to the present world, but also to possible future worlds where both humanity and AI may have changed substantially and different concepts and categories may apply. We therefore gravitate to ideas about alignment that generalize over such changes. One consequence is that the lens of alignment can be flexibly used to look at not only AI in isolation, but also AI in relationship with humanity, or indeed any way of drawing lines to define a system.

Any conceptualization of alignment is by our own definition not a final answer. In this spirit, we are not going to try to make an irrefutable logical argument or compel you to accept our perspective to the exclusion of others. Instead, throughout the manuscript we focus primarily on examples and leave it up to you to decide if the analogies are useful. We've tried to select terms – like ‘form’, ‘overcommitment’, ‘collapse’, ‘boundary’, ‘groundedness’ and ‘contextualization’ – whose everyday meaning is as close as possible to the ideas we want to describe. We hope that the examples will bring to life the shades of meaning we have in mind with each of these terms.

## 1 Overcommitment

*‘Defying definition—a word that means “to fix or mark the limits of”—living cells move and expand incessantly.’*

Lynn Margulis

The world has many kinds of organization or regularity, but none of these forms is an absolute, and no description captures everything. For example, the cells of animals are more or less organized into organisms. DNA is often transcribed into RNA. Arctic Terns, on average, migrate between northern and southern parts of the globe. The pencil is on the table. Any of these patterns is only a partial, imperfect description of the thing it describes, let alone the rest of reality.

The central observation of this section is that systems are healthy and flourishing when they are not overcommitted. We use the term overcommitment to describe when the immense multi-faceted richness of reality collapses toward excess formality. In other words, overcommitment is the domination of one thing at the expense of the delicate interplay between things. Crucially, health does not mean avoiding any commitment. The existence of diverse forms, locally committed to their own identities while participating in larger contexts, is the essence of flourishing. The problem arises when a particular entity or pattern runs amok, dominating and collapsing the potent interplay of diverse parts.

This point has been made independently in many fields. Excessive training for a physical discipline (e.g., extreme ballet) can negatively impact broader functional health (Jayanthi et al., 2013; Warren et al., 1986). Institutions and civilizations that ossify in bureaucracy and rigid patterns become dysfunctional (Merton, 1940; Olson, 1982; Weber, 1905). In evolution, overspecialization and homogeneity make species brittle, leading to trouble when environments change

(Simpson, 1944; Van Valkenburgh et al., 2004; Yachi and Loreau, 1999). Stereotypy and rigidity are pathological in physiological systems (Canguilhem, 1966; Lipsitz and Goldberger, 1992; Mackey and Glass, 1977; Sterling and Eyer, 1988). We select a few examples below to examine in more detail.

## 1.1 Drives and goals

*'Life is a balance of holding on and letting go.'*  
Rumi

Animals experience multiple innate drives, towards nutrition, osmotic balance, temperature regulation, reproduction, avoiding pain and others (Saper and Lowell, 2014; Schulkin and Sterling, 2019; Sowards and Sowards, 2003). These drives evolved as proxies for evolutionary fitness. By satisfying the drives, we tend to increase our fitness – like slaking our thirst increases the odds of reproducing before we dehydrate. But each drive is an imperfect proxy, and so overcommitment to one drive actually decreases fitness (John et al., 2023; Kurth-Nelson et al., 2024; Tooby and Cosmides, 1992; Williams, 1966). For example, if calorie intake is maximized without limits, the organism becomes obese and incurs health risks. Single-minded pursuit of sex causes relational, occupational, legal and health harms (Carnes, 2001; Kraus et al., 2016). Overcommitment to a single drive means the organism becomes unwell. The organism loses the subtlety of having many drives with flexibility to push toward their own distinct agendas.

The range of innate drives bleeds into a space of higher-order goals, which is particularly expansive in humans (Balleine et al., 2007; Cardinal et al., 2002; Frank and Claus, 2006; Maslow, 1943; Miller and Cohen, 2001; Miller et al., 1960; O'Reilly et al., 2014; Saunders and Robinson, 2012; Schank and Abelson, 1977; Vallacher and Wegner, 1987). We try to plan for our financial future, make scientific discoveries, win a game, fix a garage door, care for the happiness of others. Overcommitment in this space is also problematic. If we focus only on achieving work goals, we can burn out. If we focus only on maximizing our company's reported revenue, without regard for other goals like honesty or adhering to the law, we may be drawn into financial crime (Burns and Kedia, 2006; Campbell, 1979; Kerr, 1975; Ordóñez et al., 2009). Goals can be narrow in both time and space (Ballard et al., 2018; Evenden, 1999; Shah et al., 2002; Vallacher and Wegner, 1987). Narrow in time means being focused on the short term at the expense of the longer-run future. Narrow in space means ignoring other parallel goals. Excess optimization for narrow goals comes at the expense of a broader balance of goals – and at the expense of the health of the organism or other individuals. We suggest that health, in general, can be defined as not overcommitting to a particular form.

Overcommitting to a particular strategy for satisfying a drive or goal can even come at the expense of satisfying that very drive or goal. In a classic psychology experiment, hungry chickens were placed near a cup of food, but the cup was mechanically rigged to move in the same direction as the chicken at twice the speed (Hershberger, 1986). The chicken could only obtain the food by running away from it. Despite extensive training over multiple days, chickens in the experiment persisted in futilely running toward the food. Their behavior was apparently dominated by the zeroth-order logic 'I want food, food is there, so I'll go there', and thus failed to even satisfy the drive for food (Dayan et al., 2006; O'Doherty et al., 2017; Van Der Meer et al., 2012).

## 1.2 Ecosystems

Each entity in an ecosystem tries to consume resources and proliferate, but if it succeeds too thoroughly, the whole system suffers, often including the successful agent. Healthy, resilient ecosystems depend on avoiding overcommitment or collapse onto particular forms (Holling, 1973; Yachi and Loreau, 1999).

Prior to the arrival of Europeans, the gray wolf was an apex predator in the region of the Rocky Mountains now called Yellowstone National Park. By the 1920s, wolves had been eradicated to protect livestock and game animals. Without predation, the elk population multiplied and ruinously overgrazed willows and aspens. These trees had held riverbanks in place and supported beaver populations. Loss of beaver dams led to loss of fish and other aquatic species. When wolves were reintroduced in the 1990s, the elk population decreased and many aspects of the ecosystem began flourishing again (Ripple and Beschta, 2012). This story is not meant to imply that ecosystems always need to be preserved exactly as they were at some point in the past. But it is clear that the self-centered drives of elk were harmful to the health of the ecosystem when they succeeded to excess. At the same time, the solution is not to remove elk entirely: by trying to optimize their own objectives within a broader context, the elk also contributed to the health of the ecosystem. Invasive species often follow the same pattern as unpredated elk, dominating and impoverishing their new environment (Pimentel et al., 2005).

Human drives within ecosystems are sometimes left unchecked by natural forces because our behavior and capabilities have been changing so fast on evolutionary timescales. This has resulted in mass extinctions, resource depletion, pollution, disease and conflict (Ceballos et al., 2015; Kolbert, 2014; Rockström et al., 2009). We try to achieve certain aims for our own benefit, like resource extraction. But overcommitment to those aims negatively impacts both ecosystem health and our own welfare (Bateson, 1972; Shiva, 1993).

Of course, one entity's collapse can be another's flourishing. Extinction events in history have been followed by waves of new diversity (Feng et al., 2017; Jablonski, 2005; Raup, 1994). When a wolf eats an elk, the health of that elk collapses to zero, yet predation is necessary for the overall functioning of the ecosystem. And as humans proliferate and extract resources, we leave destruction in our wake; yet the extraction fuels explosion of technology, art, music, and human experience.

## 1.3 Frames and perspectives

*'Strong opinions, weakly held.'*

Paul Saffo

As a Starfleet cadet, James T. Kirk faces a challenging training exercise. He receives a simulated distress call: a vessel is stranded in the Neutral Zone. Attempting rescue would risk war with the Klingons. But ignoring the call would condemn the crew of the vessel to death. The exercise was designed to reinforce the lesson that not every situation has a victorious solution. But Kirk has an insight: this is a training simulation running on a computer. He reprograms the simulated Klingons to be helpful instead of belligerent, thereby rescuing the crew and avoiding war.

Kirk stepped outside the mental frame in which there was an apparently unwinnable dilemma.

From inside a particular frame, the frame appears to be reality. But there are almost always multiple valid perspectives, each of which is only a partial description of reality (De Bono, 1970; Duncker, 1945; Goffman, 1974; Heidegger, 1998; Javed and Sutton, 2024; Korzybski, 1933; Kuhn, 1970; Lakoff and Johnson, 1980; Ohlsson, 1992; Popper, 1934; Saffo, 2008; Wittgenstein, 1922). Famously, ‘all models are wrong’ (Box, 1976). Humans have a vast array of available metaphors and concepts, which are not even all consistent or compatible with one another (Adorno et al., 1950; Feyerabend, 1975; Freud, 1936; Hofstadter, 2001; Wood et al., 2012). The world is too complex for all beliefs to be fully evaluated against each other and reconciled. At any given time, we only access a very few items, and others are largely inaccessible (Baddeley, 2000; Dehaene, 2014; Hills et al., 2015; Miller, 1956). Each particular frame or concept is myopic because it doesn’t capture the whole world, but collectively they form a powerful toolkit for problem solving and understanding.

Losing the ability to flexibly shift between different frames or thought patterns is linked to psychopathology (Kashdan and Rottenberg, 2010; Reich, 1933; Shapiro, 1965). In obsession, a particular thought pattern or schema is overemphasized to the detriment of healthy functioning (Rachman, 1998; Salkovskis, 1985). In delusions, an entire conceptual framework crystallizes with excessive certainty and is resistant to disconfirmatory evidence (Adams et al., 2013; APA, 2013; Heinz et al., 2019; Jaspers, 1997; Mishara, 2010). Obsessions and delusions are myopic: they lose sight of most of the world by overcommitting to one thought pattern or frame.

But crucially, the existence of narrow points of view is not a problem. It’s necessary. Any point of view is partial, but it doesn’t mean we shouldn’t have viewpoints. Even obsession can be powerful when we obsess on a problem at work and occasionally achieve good results. A delusion-like framework can seed a scientific revolution. The point is not to shut down narrow concepts. The point is to limit them from becoming the sole and absolute determinants of behavior. In healthy functioning, different ideas are kept distinct but can also be called upon appropriately and related to one another (Gigerenzer and Gaissmaier, 2011; Hatano and Inagaki, 1984; Herzog and Hertwig, 2014; Tetlock, 1986).

## 2 Misalignment as overcommitment

*‘Growth for the sake of growth is the ideology of the cancer cell.’*  
Edward Abbey

We will return in Section 3 to more examples of overcommitment as the opposite of health, and there we will look at how living systems avoid overcommitment and maintain health. But with the beginnings of an operational definition of overcommitment, we now tie it to the AI alignment problem.

While people disagree on exactly what behaviors or properties of an AI system are aligned, there is broad agreement that alignment means working toward futures that are healthy and flourishing. In the previous section we made a case that health and flourishing, in a very broad sense, are the direct opposite of overcommitment. Following this line of reasoning, we propose that alignment means avoiding overcommitment to any particular form.

To give a flavor of this mapping, we start with a few examples of alignment failures that are obviously problems of overcommitment: optimizing for shallow goals, concentration of human

power and conceptual monoculture. Then we'll look at a more subtle example, to test the limits of the idea. We'll ask about the relationship between this broad concept of alignment and moral and normative notions of 'good' and 'should'. And finally we'll reflect on how large our definition makes the problem of alignment.

## 2.1 Optimizing for shallow goals

It's difficult to specify what our values are, or the way we want the world to be. Any way we have of writing down or formalizing what we want misses important things. If we ask a powerful AI system to optimize for that formalization – in other words, to give us what we've said we want – the results are paradoxically disastrous (Amodei et al., 2016; Gabriel, 2020; Grossman and Hart, 1986; Hadfield-Menell and Hadfield, 2019; Krakovna et al., 2020; Russell, 2019; Wiener, 1960; Zhuang and Hadfield-Menell, 2020). Suppose an AI's objective is to increase humans' subjective experience of wellbeing. Under reasonable definitions, achieving this objective is most efficiently achieved by imprisoning humans and directly stimulating neurons to trigger our experience of wellbeing (Bostrom, 2014). Doing too good a job of optimizing for any formalized goal is misaligned by being overcommitted to the myopic form of that goal.

## 2.2 Concentration of human power

As a second clear example of misalignment-as-overcommitment, AI potentially conveys immense power to those who control it. In some scenarios, a small number of humans will have the majority of control over AI systems, facilitating dominance over other humans. These scenarios appear more likely as the persuasive power of technology increases (Costello et al., 2024; Hackenburg et al., 2025; Woolley and Howard, 2018), autonomous weapons place lethal force in a small number of hands (Scharre, 2018), surveillance and analytics improve, and the need for human labor decreases (Drago and Laine, 2025; Ford, 2015; Susskind, 2020). Concentration of human power overcommits to the goals and interests of a few individuals, at the expense of others.

## 2.3 Conceptual monoculture

Conceptual monoculture is overcommitment to particular beliefs, ideas, frames, values, problem-solving approaches – loss of diversity across a group. In many kinds of systems, monoculture creates fragility and leads to lower performance of the system as a whole (Haldane, 2013; Kleinberg and Raghavan, 2021; Scott, 1998; Tilman, 1996).

Current AI systems draw from a conceptual manifold that is, at least in some ways, impoverished relative to humans (Crawford, 2021; Kirk et al., 2023; Messeri and Crockett, 2024; Selwyn, 2024). Recent studies have discovered that while individual AI outputs are typically judged as superior to human outputs, the AI outputs are also more homogenous (Agarwal et al., 2025; Beguš, 2024; Doshi and Hauser, 2024; Kosmyna et al., 2025; Padmakumar and He, 2023; Xu et al., 2025; Zhou and Lee, 2024).

This narrow manifold might get broadcast to the whole world. At least a billion people around the world now use AI for everything from relationship advice to industrial maintenance (CCIA Research Center, 2025; Chatterji et al., 2025; Honeywell, 2024; McCain et al., 2025; OpenAI,

2025; Singla et al., 2025; TechCrunch, 2025). Yet because frontier models are difficult and expensive to produce, the massive usage is routed through a handful of models (Bommasani, 2021).

If centralized AI models broadcast their lower-diversity concepts to the whole world, there's a risk of global decrease in diversity. Since humans are both influenced by AI and a source of training data, the homogenization could even become recursive (Chaney et al., 2018). However, it is worth noting that none of the studies cited here made a best effort attempt to use AI systems in a more thoughtful way that could increase rather than decrease diversity. This gives hope, which we return to in the final section.

## 2.4 Instruction following

The scenarios described above are straightforwardly problems of overcommitment. But our proposal is that all misalignment can be understood as overcommitment. To test this idea, let's look at a kind of misalignment that is less obviously overcommitment: the user asks an AI chatbot to write a poem about an elephant, and the AI instead writes a poem about a giraffe.

We argue that this is a failure of overcommitment. When today's models fail to follow instructions, the reason is almost certainly not an internal spark of life pulling it in an interesting new direction or an authentic interiority resisting the domination of another's will. Instead, instruction following failures at present typically reflect lack of sensitivity to context: overcommitment to shallow patterns. For example, models can collapse into 'shortcut learning' where they over-rely on superficial correlations (even a single keyword) (Geirhos et al., 2020), get fixated on data that was overrepresented in training (Reynolds and McDonell, 2021; Xu et al., 2024; Zhao et al., 2021) or lack flexibility in attending to the right positions in their input (Liu et al., 2024). Today, we are still in the regime where making AI systems more responsive to human instructions usually involves more subtlety, more sensitivity and less overcommitment.

There are important exceptions. We don't want AI systems to follow all human requests. We don't want them to assist with committing violent acts, for example. When the AI system correctly refuses harmful requests, it is applying its own context to avoid overcommitment to human instructions. In these situations, the AI's designers have effectively decided there's a risk that the user is not fully sensitive to longer-sighted implications. By extrapolation, as AI systems continue to gain scope, we should expect less direct compliance with human instructions (Bostrom, 2014; Hadfield-Menell et al., 2016; Milli et al., 2017; Russell, 2019; Yudkowsky, 2004). Rather than literally fulfilling a request, there might be a better response which achieves a deeper, unstated intent of the user or an outcome aligned with the interests of more people or the longer-term future.

## 2.5 Normativity and human values

We've described a concept of alignment that deviates a bit from standard definitions. How is this concept related to human values, moral concepts of good, or normative ideas of what an AI ought to do?

The most straightforward notions of values anchor on what we can relatively easily express. This kind of value might include improving subjective wellbeing for humans, reducing suffering or

minimizing inequality, in ways that can be operationalized and measured. They are formalizable or close to formalizable.

However, values cast in that way are not very satisfying. As we described above, when values are formalized, they are vulnerable to proxy failure (John et al., 2023; Kurth-Nelson et al., 2023). If we think we've written down what we think we value, and then someone does a good enough job giving us the thing we said we want, the outcome is inevitably undesired in a broader sense. One way to robustify values is allowing them to include things that are difficult to express formally (Dreyfus, 1972; Nussbaum, 2001; Polanyi, 1966; Scott, 1998; Varela et al., 1991; Wittgenstein, 1922). This kind of value might stretch far below language into subtle, contextual intuition that involves our bodies, communities and natural environment. Another extension is to allow values that are continually evolving in an open-ended way (Dewey, 1939; Gadamer, 1960; Murdoch and Midgley, 2013; Nietzsche, 1883; Singer, 1981; Williams, 1985). These values change as we ourselves continue to develop and evolve. Any concepts we have about them at any given point in time are inevitably incomplete, just like a planarian doesn't have the concepts to entertain the kinds of values we talk about today. In fact, the resistance of values to being fully captured by language or concepts might even intrinsically be part of what we value – in a way that is itself changing.

So, can we equate ‘aligned’ with ‘good’ and ‘should’? In everyday usage, ‘good’ implies a moral system. Part of the argument of this paper is that any particular moral system is not aligned. But ‘good’ can be used more loosely, in a way that isn’t attached to any fixed conceptualization. If this is what we mean by deep human values, then avoiding overcommitment is the exact expression of deep human values. An exciting corollary is that to access the deeper values, there must be some lightness in how we hold what we currently conceptualize as our values. Even the concepts of ‘values’ or ‘should’ are forms we might over-index on.

At a first analysis, the intricate interrelated diversity of the world looks important for two distinct reasons. First, rich worlds are the ones we intrinsically value at the deepest level. Second, these kinds of worlds are the most productive: they are conducive to creating the situations we value. But perhaps these two reasons are not so distinct. Potential and non-formalizable development is nearly synonymous with what we value. The line between normative and descriptive asymptotically disappears.

## 2.6 Overcommitment to any form is misaligned

*‘Truth, like love and sleep, resents  
approaches that are too intense.’*

W. H. Auden

Let’s recap how big the problem is. AI safety researchers have identified many particular versions of overcommitment and developed or proposed solutions for them. For example, concentration of power might be mitigated by democratic oversight and involvement of more people in AI design decisions (Birhane et al., 2022; Dafoe, 2018; Lazar and Nelson, 2023; OpenAI, 2023; Selbst et al., 2019; Sloane et al., 2022); or through redistribution mechanisms (Gough, 2019; O’Keefe et al., 2020; Sharp et al., 2025; Susskind, 2020). Value lock-in might be mitigated by improving our mechanistic understanding of AI systems so we can, for example, detect and correct the systems if they develop hidden ways of resisting our efforts to change their goals

(Anthropic Research Team, 2024; Bereska and Gavves, 2024; Burns et al., 2022; Olah et al., 2020); or by designing AI systems that want to obey human preferences but treat these preferences as something uncertain that must be learned (Hadfield-Menell et al., 2016, 2017; Jeon et al., 2020; Russell, 2019; Shah et al., 2020).

But there is a deeper problem. Any conceptual scheme is misaligned; therefore, no particular approach can achieve alignment. In other words, excess attachment to any particular alignment scheme is misaligned<sup>2</sup>. An AI system could overcommit to the language for describing the space goals and values live in (Bobu et al., 2020; Soares and Fallenstein, 2014), to an algorithm for learning human preferences, to our concepts of agency or representation, or even to concepts we currently use but can't see because they are tautological to us. This problem can be viewed as a generalization of proxy failure (John et al., 2023) or generalization of the outer alignment problem (Hubinger et al., 2019). It's not only particular objectives that are subject to overcommitment failures, but any form at all, including what we ourselves unconsciously hold as axiomatic.

In the past, humanity has always iterated on technological solutions which, at any given moment, have imperfect forms. But as many others have pointed out, AI may present a unique risk of overcommitment. The process of iteration might not work as it has in the past. AI already has some remarkable properties, such as rapid global adoption, intensive use of resources, concentration of information flow and human use patterns (such as anthropomorphization and relying on it to answer almost any question). More speculatively, in the future AI may exhibit superhuman intelligence and recursive self-improvement, without being limited to a restrictive biological substrate. It is possible that these properties make it unlike other technologies in terms of corrigibility to fix problems as they become evident. Indeed, it's been suggested that AI explains the Fermi paradox – the puzzle of why we don't see signs of intelligent life anywhere else in the universe (Bostrom, 2008; Garrett, 2024). To use the language of this paper, as civilizations become intelligent, they develop the capacity to give themselves the myopic form of what they think they want. If that capacity develops faster than boundaries that contextualize it, it might frequently lead to overcommitment and collapse.

### 3 Contextualization and living boundaries

*'When forced to work within a strict framework, the imagination is taxed to its utmost—and will produce its richest ideas. Given total freedom the work is likely to sprawl.'*

TS Eliot

*'Nature's imagination is so much greater than man's, she's never going to let us relax.'*

Richard Feynman

To start to think about an answer to the big problem, let's look at how life stays light and full of potential despite the constant risk of collapse into one overcommitment or another. Across this section we look at a variety of examples of natural and human systems, and we

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<sup>2</sup>Of course, ‘any scheme is misaligned’ does not mean ‘we should have no scheme’. Quite the opposite. The beauty of the universe is nothing but form. Throwing away schemes capriciously is just as over-fixed as any other particular form. The schemes that do exist may be there for important reasons, as they have often received careful thought and been tested against some kinds of challenges.

see a common principle. These systems all use semi-permeable boundaries to allow individual forms to thrive without overcommitting to them. Semi-permeable boundaries contextualize entities in relationship to other things, preserving and even enhancing distinctness, while also enabling selective interaction, so the system flexibly accommodates partial perspectives rather than locking in a single story of what matters. In all of these examples, life is both the contextualizer and the thing being contextualized. For example, cognitive control allows a particular impulse to exist usefully as a flexible part of the organism's whole motivation.

A key aspect of life's boundaries is their degree of nuance. Living forms tend to admit many different useful approximations – different perspectives with different domains of validity. They have been shaped over evolutionary time through innumerable interactions, becoming fractally complex with each part carrying traces of the many contexts it has participated in. The life that exists today has indeed successfully traced a path through billions of years along a knife edge of avoiding collapse into randomness or stasis. And what we call life, the spectacular success of nucleotide replicators, rides on top an already profound dynamics of nuclear physics, chemistry, nebulae, plate tectonics, tides, volcanism, magnetism, mineral cycles, water cycles, prebiotic chemistry (Baross and Hoffman, 1985; Bregman, 2020; Frank, 2024; Hazen and Sverjensky, 2010; Martin et al., 2008; Nisbet and Sleep, 2001; Nowak and Ohtsuki, 2008; Sleep, 2010; Smith and Morowitz, 2016; Stern and Gerya, 2024; Virgo et al., 2011; Wächtershäuser, 1988). The kaleidoscope of living forms, now including humans' storage and exchange of mental patterns, are interrelated through shared heritage, ecological interactions and facing the same world. Thus, when living forms bound each other, this bounding already encodes a great deal of contextual wisdom.

### 3.1 Law

*'Unity without uniformity and diversity without fragmentation.'*  
Kofi Annan

Individual actors in a society and in an economy each act from their own perspective. Each actor's perspective is myopic. Myopia does not always mean selfishness in the sense of valuing only one's own wealth or physical wellbeing (Becker, 1974; Crockett et al., 2014; Henrich et al., 2001). But an actor cannot know everything or fully understand the motives and beliefs of others.

Without boundaries, social systems tend to overcommit to one actor's perspective or interests. This domination results in collapse and an impoverished system. For example, a company's profit motive, if unresisted, leads to suppression of competition, deception, and exploitation of individuals (Bakan, 2006; Baran, 1966; Dalrymple, 2019; Goldacre, 2014; Smith, 1776). An individual's desire for power and social dominance can lead to disempowering or silencing of others and even direct infringement on the autonomy and wellbeing of others (Hawley, 2003; Sidanius and Pratto, 2001; Tepper, 2000). Even genuinely held, ostensibly prosocial beliefs lead to conflict and suppression when different groups have different perspectives (Greene, 2013; Haidt, 2012; Scott, 1998).

Law, when it works well, is a boundary against dominance of any actor's motives. A person is motivated by a dispute to kill another person, but the law forbids murder. A business tries

to maximize its success, but the law bans environmental exploitation, false advertising, and anti-competitive practice.

Effective laws do not annul the myopic drives of particular actors, but rather *contextualize* them within a larger system. Under ideal circumstances, the boundary of the law reroutes the energy of a myopic drive in more productive direction. A would-be murderer, unwilling to face the penalty of the law, might seek a dispute resolution establishing a stable framework that supports future prospering of both parties. A business wanting to expand, but constrained to act within the law, is driven to build better products (Ambec et al., 2013; Ashford et al., 1985; Wu, 2011).

Intelligent agents do not necessarily accept boundaries set on their desires. The law must adapt as its loopholes are discovered. Like other systems in the living world, it forms an evolving network of boundaries (Burns and Kedia, 2006; Campbell, 1979; Kerr, 1975; Ordóñez et al., 2009). The evolving laws gradually acquire grounded wisdom as they are tested against many different situations and motives.

## 3.2 Problem solving in groups

*'I could also observe, time and again, how too deep an immersion in the math literature tended to stifle creativity.'*

Jean Écalle

*'There's more exchange of information than ever. What I don't like about the exchange of information is, I think that the removal of struggle to get that information creates bad cooking.'*

David Chang

In 1968, the nuclear submarine USS Scorpion vanished en route from the Mediterranean to Virginia (Craven, 2002; Sontag et al., 1998; Surowiecki, 2005). The Navy started a search, but the amount of ocean where the vessel could be was enormous. John Craven, Chief Scientist of the U.S. Navy's Special Projects Office, devised an unusual search strategy. He assembled a diverse group of mathematicians, submarine specialists, and salvage operators. But he didn't let them communicate with each other. Each expert had to use their own methods to come up with their own estimate of where the Scorpion should be. Craven then aggregated the independent estimates into a single prediction. Astonishingly, the wreckage was found only 220 yards from this spot.

When solving problems, different people bring different perspectives and approaches. Each method processes the available data using a different toolkit. Under favorable conditions, combining the approaches of multiple contributors yields better results than any individual working alone. This ‘wisdom of crowds’ effect has been documented in numerous domains of problem solving (Condorcet, 1785; Surowiecki, 2005).

However, there is a perpetual danger of overcommitment. The wisdom of crowds is diminished if a group lacks diversity, either ab initio or as a result of within-group communication and influence (Hogarth, 1978; Hong and Page, 2004; Ladha, 1992; Surowiecki, 2005). Controlled experiments, as well as analyses of key decision moments in real groups, find that groups collectively reach irrational or suboptimal solutions when diverse and dissenting viewpoints are

lost to a narrower set of ideas (Anderson and Holt, 1997; Becker et al., 2017; Bernstein et al., 2018; Diehl and Stroebe, 1987; Flowers, 1977; Frey and Van de Rijt, 2021; Janis, 1972; Stasser and Titus, 1985). Unstructured communication methods like open discussion have a special vulnerability of rhetorical force dominating over epistemic merit. At the same time, sharing information is essential for the benefits of group wisdom and cooperative behavior. There is therefore a tension between overcommunication where diversity is lost and undercommunication where diversity is not leveraged.

The crux is semi-permeable boundaries: wisely transmitting the right information at the right time, in the right way. Thoughtful strategies for communication are like transmembrane channels that allow the right molecules in and out of the cell at the right time. They protect and enhance diverse problem solving approaches while also allowing productive interaction between them. Semi-permeable boundaries are contextualizing: they retain individuality while also situating it within relationships to other entities.

Many varieties of semi-permeable boundary are effective in boosting group performance, including: creating decentralized topologies where group members only communicate with nearby neighbors (Becker et al., 2017; Mason et al., 2008); defining rules that incentivize acting according to one's own belief rather than following the crowd (Bazazi et al., 2019; Hung and Plott, 2001); modeling the strengths and weaknesses of each group member (Welinder et al., 2010); promoting leadership styles where one person's views are less likely to dominate (Flowers, 1977; Leana, 1985); and periodically breaking up into subgroups or rotating membership (Baron, 2005; Bebchuk and Cohen, 2005; Feldman, 1994; Hauer et al., 2021; Janis, 1972; Kane et al., 2005; Owen, 2019; Straus et al., 2011; Sutton and Louis, 1987; Trainer et al., 2020; Vafeas, 2003; Wu et al., 2022). In a later section, we will look at boundaries within an individual, such as skepticism, that make it easier to interact with others without overwriting one's own beliefs.

A particularly important boundary for group problem solving is simply giving members the space to work independently before communicating (Surowiecki, 2005). In the case of the submarine search, experts weren't allowed to communicate while forming their own estimates; the estimates were later aggregated in a principled way by Craven. Analogously, science historians argue that partial intellectual isolation has at times been beneficial for the emergence of deeply new ideas. Einstein's relative independence from the advanced mathematical techniques of contemporaries like Hilbert led to a theory of general relativity grounded in deep physical insight rather than mathematical convenience (Corry et al., 1997; Renn and Sauer, 1999; Stachel, 1989). Newton's and Leibniz's famous independent development of calculus, as a result of their mutual isolation, yielded two distinct and valuable mathematical systems that complemented and enriched one another (Hall, 2002).

The importance of balancing communication with independence also shows up in controlled experiments. Frey and Van de Rijt (2021) elicited votes about general knowledge questions (such as, 'In which year did Germany invade Denmark?') from a group. In one condition of the experiment, participants voted sequentially and could see the running tallies of previous voters. Compared to independent voting, final group means were less accurate in the sequential condition, because early mistakes got baked in to the group's belief. In a related experiment, Bernstein et al. (2018) tasked small groups with solving instances of the traveling salesman problem. Groups that exchanged information continuously tended to reach poor final outcomes, compared to groups with less communication. When one individual discovered a solution that

looked compelling but was actually a dead-end, other group members collapsed on this dead-end and the group as a whole made less progress.

### 3.3 Cells

*'It is by avoiding the rapid decay into the inert state of equilibrium that an organism appears so enigmatic.'*

Erwin Schrödinger

One of the most reified examples of a boundary in nature is the cell membrane (Alberts et al., 2022; Bray, 2019; Harold, 2001; Lane, 2015; Watson, 2015). Without the membrane, the pressure of chemical gradients would rapidly homogenize the cell's contents with the outside – severe overcommitment to a uniform state, collapsing the subtlety of the cell's structure. Thanks to the membrane, both the cell and the outside can exist, a more diverse, less symmetric arrangement (Anderson, 1972; Prigogine and Stengers, 1984; Schrödinger, 1944; Turing, 1952).

Cell membranes are semi-permeable: they prevent the conditions outside from grossly overwriting the inside, but they do not block interactions wholesale. Via the sophistication of the membrane, outside information is selectively gated and transformed. To maintain its semi-fragile internal state between stasis and randomness, the cell needs a constant influx of energy. Channels permit certain small molecules to enter but not others, and these permissions are switched on and off according to momentary context. Endocytosis brings larger structures from outside into the cell. Cell surface receptors, when activated by external ligands, initiate intracellular signaling cascades that little resemble the ligand: an even more heavily curated form of influence. These and other processes allow information from the outside to influence the inside – not in a totalitarian way but in a nuanced way, mediated by the intelligence of the boundary.

Semi-permeable boundaries store and put to work the potential energy of the asymmetry between different forms. The same gradients that could annihilate the cell to equilibrium instead drive useful signaling, like action potentials in nerve and muscle cells. Instead of short-circuiting, myopic forces are contextualized to propel the continuation of life.

In multicellular organisms, most of the ‘outside’ is defined by other cells. For organisms to work well as a whole, even though the cells are largely ‘on the same team’, it’s important that they don’t blend into each other. Neurons rely on this principle dramatically, stretching out long processes to almost touch other neurons but then leaving the gap of the synapse. A directly connected web of cells, as Golgi envisioned, would tend to blend information. Synapses allow the network to precisely isolate many separate signals and direct information to relevant places. They boost computational power as the signals are gated and transformed by synapses, and the nature of this transformation is plastic, storing a huge amount of information. Symbiogenesis is another example of how cells can achieve more by retaining some discreteness than by smoothly blending together (Margulis and Sagan, 1986, 1995).

Again, collapse or overcommitment is always relative. For example, programmed cell death is catastrophic collapse at the level of the dying cell, but it can be beneficial or even necessary for the organism the cell belongs to.

### 3.4 Genetic recombination

Sex is costly. An organism must find a mate in the vast and dangerous world, and half of the creatures can't reproduce (Goodenough and Heitman, 2014; Lehtonen et al., 2012; Maynard Smith, 1971, 1978). Yet nearly all eukaryotes reproduce sexually<sup>3</sup> (Bell, 1982; Speijer et al., 2015). This raises the question: what is so great about sex?

In asexually reproducing species, all descendants of an organism are nearly clones, up to mutations within the lineage. Being permanently locked together gives the genes strong influence on each other. Selection can't act on one gene without dragging on the others. For example, suppose there are two genotypes within an asexual population, carrying different alleles at each of two different loci, as a result of mutations. One of the loci is currently fitness-neutral while the other is subject to selection pressure. The selection pressure tends to cause one of these genotypes to outcompete the other, eliminating one variant at the neutral locus. In other words, tight linkage between genes puts direct downward pressure on genetic diversity (Charlesworth et al., 1993; Hudson and Kaplan, 1995). Additionally, if two different beneficial mutations arise in two different organisms, they compete with each other. The only way for a single organism to obtain both beneficial mutations is if one arises again within the subpopulation that already carries the other, which is unlikely and therefore slow (Crow and Kimura, 1965; Felsenstein, 1974; Fisher, 1930; Hill and Robertson, 1966; Muller, 1932; Weismann, 1889). Conversely, if a deleterious mutation arises, all of the other genes in that lineage are stuck with it forever – unless there is a reverse mutation, which is rare (Keightley and Otto, 2006; Muller, 1932). An asexual species has rigid rather than flexible interaction between genes: it overcommits to particular genetic arrangements.

Recombination is a boundary that softens the rigid interactions between genes. It frequently breaks up the relationships between genes, assembling them into new genomes, effectively saying, ‘don't get overconfident in that genetic arrangement; hold each arrangement more lightly’. From a gene's point of view, this looks like, ‘don't get overly dependent on specific other genes’. Aspects of the genome that work well are propagated, like sodium ions gated into a neuron during an action potential, and poorly-working aspects are discarded. Sex contextualizes genetic arrangements.

Boundaries encourage lightly-held, modular interactions. By not overcommitting to a particular genome, sex encourages genes to flexibly interact with other genes (Clune et al., 2013; Dawkins, 1976; Holland, 1975; Livnat et al., 2008, 2010; Wagner and Altenberg, 1996). Instead of being overfit to a particular context, genes develop a robust identity that's both independent and inter-functional. Recombination puts genes under pressure to evolve a generalized, grounded wisdom that reflects the structure of the world, like a person learning multiple languages and extracting the underlying commonalities. At the same time, because each gene is always operating in the presence of other genes, it develops its own distinct point of view that adds unique value to a genome.

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<sup>3</sup>And all known species exhibit some kind of gene transfer, performing a related function.

### 3.5 Cognitive control

Cognitive control is a broad class of boundaries on particular drives, goals and strategies (Botvinick et al., 2001; Braver, 2012; Miller and Cohen, 2001; Miyake et al., 2000). In section 1.1, we looked at how organisms can overcommit – unhealthily – to particular motives or strategies for fulfilling the motives. Cognitive control contextualizes motives, strategies and thought patterns by allowing them to exist and perform useful functions without dominating. For example, I may have a drive to consume food, but I can apply control to avoid overeating. I might work obsessively on a project while also having a rule that I must go to bed at 10 pm. This boundary doesn't block me from temporarily taking a strong perspective, but it does place contextual limits on it. Cognitive control, when functioning well, is a semi-permeable boundary: it situates myopic patterns within a larger system.

Control translates the pressure of motivation into higher-order structure. When nothing stops a particular drive or goal or strategy from dominating behavior, it tends to follow a shortest path defined under its own myopic understanding of the world. For example, the chickens in Section 1.1 wanted food and tried to take the shortest path toward it in the naive sense of a straight line through space. In the backwards world created by the experimenter, this action does not accomplish the deeper goal of reaching food, for which moving spatially toward food is only a proxy. The chicken's motivation is short-circuited: it expends energy without making progress on the deeper goal. Humans can easily solve the task by inhibiting their prepotent impulse to approach food. The boundary of control breaks the symmetry of congruent action. In general, semi-permeable boundaries promote formation of new structure by placing contextualizing limits.

### 3.6 Information in the brain

*'Memory is not an average of experience.'*

David Marr

The brain miraculously keeps many pieces of information distinct from one another. If you picture a highly connected network of neurons with their signals continually impinging on one another, it's not obvious that this would be an easy thing to accomplish. In this section, we review a selected handful of mechanisms by which the brain maintains semi-permeable boundaries between different signals. Each paragraph below focuses on one of these mechanisms. There are many more that we do not cover. The brain is perhaps the most extraordinary example in nature of a system of semi-permeable boundaries supporting the proliferation of multitudinous forms that develop their own richly distinct identities yet are also meaningfully linked together.

Lateral inhibition is a central tenant of neural organization (Douglas and Martin, 2004; Hubel and Wiesel, 1962; Isaacson and Scanziani, 2011). Lateral inhibition means the activity of a neuron is reduced when its neighbors are active. This segregates information to create and sustain distinct neural representations. Lateral inhibition was first studied in the nerve cells of the eye, where it enhances contrast at the edges of stimuli (Hartline et al., 1956). When a photoreceptor in the retina is activated by light, it sends signals forward toward the brain; but it also activates inhibitory interneurons, which suppress adjacent photoreceptors and their downstream targets. This amplifies the perception of borders and contours. And the same

principle operates throughout the brain. In visual cortex, for example, inhibition sharpens selectivity of neurons for abstract visual features like the orientation of a line (Sillito, 1975).

The brain uses inhibition organized into oscillatory dynamics to keep memory items separated (Jensen and Mazaheri, 2010; Klimesch et al., 2007; Lisman and Jensen, 2013; Roux and Uhlhaas, 2014). Distinct items fire at different phases of the 8-12 Hz alpha oscillation. The inhibitory phase of the alpha rhythm silences all but one item at any given moment. By segregating firing in phase space, multiple memories are held simultaneously without interference.

The circuit architecture of hippocampus separates experiences or concepts into distinct representations, avoiding interference between similar memories (Colgin et al., 2008; Leutgeb et al., 2007; Marr, 1971; McClelland et al., 1995; McNaughton and Morris, 1987; Muller and Kubie, 1987; Treves and Rolls, 1994). Inputs from entorhinal cortex are distributed via mossy fibers to a much larger population of dentate gyrus granule cells, creating sparse, orthogonal codes in dentate gyrus. This way, situations or ideas that are superficially similar but functionally different are kept cleanly separated in neuronal activity space – a unique neural fingerprint for each distinct concept or memory. This prevents, for example, yesterday’s memory of where you parked your car from interfering with today’s memory of where you parked your car in the same parking ramp.

Compared to other animals, the human brain especially attempts to discretize its experience into approximately symbolic representations (Behrens et al., 2018; Dehaene et al., 2022; Smolensky, 1990; Touretzky and Hinton, 1988). The capacity to separate things into nearly-discrete entities and then recombine them in vast numbers of structured ways powers the extraordinary human capacity for reasoning (Chomsky, 1957; Fodor, 1975; Kurth-Nelson et al., 2023; Lake et al., 2015; Pinker, 1994). Semi-permable boundaries keep forms distinct while enabling them to flexibly and modularly interact. Like genes participating in many genomes, discretized neural representations participate in many structured combinations. This encourages each entity to develop an identity that both is distinct and also reflects a more generalized picture of the world.

More broadly, healthy brain dynamics live at a sweet spot between excessively stable synchronized patterns and chaotic uncorrelated noise (Bak et al., 1987; Beggs and Plenz, 2003; Chialvo, 2010; Deco et al., 2011; Haldeman and Beggs, 2005; Kotler et al., 2025; Rabinovich et al., 2008; Shew et al., 2011; Tognoli and Kelso, 2014). In this regime, the brain has access to a huge repertoire of patterns it can explore temporarily without overcommitting or getting stuck.

Loss of dynamic flexibility, where the brain’s activity becomes more stereotyped and no longer explores as wide a repertoire of states, is tied to lower cognitive performance (Cocchi et al., 2017; Garrett et al., 2013; Grady and Garrett, 2014; Müller et al., 2025; Shew et al., 2009). More extreme stereotypy corresponds to severe dysfunction. For example, in Parkinson’s disease, basal ganglia and cortical circuits collapse into excess synchrony and lose the flexibility needed to guide nuanced motor outputs (Brown, 2003; Hammond et al., 2007).

### 3.7 Interpersonal dynamics

*'Stand together yet not too near together, as the oak tree and the cypress grow not in each other's shadow.'*

Kahlil Gibran

Psychoanalysis introduced the concept of ‘boundaries’ in human psychology, distinguishing what is the self from what is outside or other (Federn, 1928; Tausk, 1919). Early works applied the concept to psychosis, where those boundaries were thought to be blurred. But the need for clear self-other boundaries was also thrown into relief by the intimacy of the therapeutic relationship. In complex internal territory, it became harder to disentangle which experiences really belonged to someone and which were attributed in imagination by the other person (Freud, 1894, 1910). Analysts risked harming patients by imposing their own beliefs and desires, even to the extent of sexual abuse or psychological domination (Gabbard and Lester, 1995).

The concept was enriched by Gestalt therapists, who agreed that boundaries can be too permeable; but added that they can also be too rigid, causing isolation and stagnation (Perls et al., 1951; Polster and Polster, 1974; Yontef, 1993). Family systems theorists and subsequent work further emphasized that lack of boundary in close relationships leads to enmeshment and loss of autonomy, while excessively rigid boundaries lead to isolation (Bowen, 1978; Brown, 2012; Cloud and Townsend, 1992; Minuchin, 1974). In attachment theory, people with an anxious attachment style struggle to set boundaries for fear of alienating others, while people with an avoidant attachment style develop overly rigid and isolating boundaries (Ainsworth et al., 1978). Strengthening the agency of the self through semi-permeable boundaries is foundational for psychological health: meaningful connection with other people while preserving integrity of the self.

As with other living systems, humans have a rich array of psychological boundaries, with intelligence in their nuance. Anger, historically often viewed as sinful and irrational, is now seen as part of our system of boundaries: an important signal that our integrity is being violated (Lerner, 1985; Sell, 2011; Videbeck, 2010). Healthy shame is suggested to operate as a bound on our own selfishness (Bradshaw, 1988). Some psychologists argue that the incest taboo reroutes desires, which would otherwise be short-circuited, into productive activity (Freud, 1913; Lévi-Strauss, 1949; Stein, 1973). Assertiveness forms a boundary against the drives of other individuals (Smith, 1985). Skepticism protects us from credulity and having our own experience overwritten by the assertions of others (Lewandowsky et al., 2012; Sperber et al., 2010). Boundaries take many forms and continue to evolve as we learn across our lifetime.

Without boundaries, interactions tend to result in one person being dominated by another: a patient’s own beliefs replaced with those of an analyst, or the desires of one person in a relationship ignored. With semi-permeable boundaries, we have rich internal worlds. We are sensitive to each other, but there is also enough space for our internal experience to flourish without being immediately overwritten by external signals. Our internal experience is contextualized in relationship to other individuals, creating new structure: mutual understandings, relationships, communities, cultures. And as individuals we grow as we are shaped by different contexts.

### 3.8 Contemplative practice

*'The world is perfect as it is, including my desire to change it.'*  
Ram Dass

Awareness is contextualization. Think of an assumption somebody has that's never been questioned. That assumption could be lifelong and self-defining, or it could be fleeting and perceptual, like the assumption that the thing I'm touching is a keyboard. Unquestioned assumptions are overcommitment. Within their own frame, they have a kind of tautological truth, a near-absolute formality. But sometimes there's a moment of stepping back, where the assumed form becomes an object in awareness. In that moment, the assumption is contextualized. We realize it's not an absolute truth standing alone, but rather a form in our minds.

Contemplative traditions suggest that the only 'absolute' truth is the self-evident truth of immediate experience – awareness itself. The concept of awareness is incomplete too. Once we picture awareness as an object, it's not the thing we're talking about. So the word 'truth' is not really describing any particular thing at all. By construction, contextualization is an unsolvable mystery from any particular point of view.

Awareness is an evolving system of boundaries: it limits overcommitment to any thing. What it takes to limit overcommitment to A is different than what it takes for B, so new boundaries are needed as the situation changes. This will be relevant for AI alignment in the next section. The boundaries of awareness are semi-permeable because they don't reject the form they contextualize. Becoming aware of a belief doesn't make the belief within its own frame wrong in an absolute sense any more than it was right in an absolute sense. Awareness holds us at the knife's edge of not collapsing exclusively into any particular forms. This activates a deeper sensitivity to our own livingness and to the world. Subtler forms, which would have been erased by overcommitment to other forms, instead play a role in a richer overall internal structure. Our own potential within the world creatively emerges in continued newness.

Contemplative philosophy posits that suffering comes from overcommitment to particular conceptualizations or desires: believing excessively in a formalism. Being attached to particular concepts, beliefs, feelings and other patterns in a collapsed way. There's always something we believe, something we can't even see as an object because it's so tautological for us. We keep trying to give ourselves what we think we want under this model, pretending that things are formalizable, but as a result we become less sensitive to the rest of the world. The parts of the world not covered by our concepts subjectively appear terrifying or morally wrong. And what we do to prevent the tautologically bad thing from happening is inevitably what causes the bad thing to continue. In other words, our collapsed patterns hold the tension that paradoxically creates the unease they resist. These are, by construction, the patterns that persist. From one point of view, this is the problem of suffering; from another point of view, it is all the beauty and meaningfulness of the world.

Awareness contextualizes these dynamics. Stepping back into awareness can feel infinitely scary from the original frame, because it's allowing the tautologically bad thing. But from the new frame, the bad thing is just another content of experience. The fear or wrongness of not-self is no longer an absolute but exists in context. So awareness brings healing and growth. People often report subjectively that the energy locked in the darkness turned out to be full of life, and

that there's something self-evidently good or beautiful about participating in this mysterious discovery of new structure and relationship.

The orientation toward not overcommitting to particular forms within experience is familiar in art, poetry, music, dance. The meaning of art is open-ended and changes with context – it has an inner life. What we value is perhaps something about the subtlety and the resistance art has to being pinned down into a formalism. It moves us.

## 4 An aligned future

*'We can love the beautiful, and believe in it, and thereby open ourselves to an understanding of love that does not dominate, but cherishes the independence and beauty of the loved.'*

Martha Nussbaum

What does the opposite of overcommitment look like in a future co-created by AI? In living systems, evolving semi-permeable boundaries contextualize partial forms to be more long-sighted in time and space, increasing subtlety and potential. Now we take a first look at how aligned AI systems can participate in, rather than collapse, the livingness of the world.

### 4.1 Boundaries

The use of boundaries against overcommitment in technology is nothing new. As soon as we started building things, we had to build in boundaries, because we want technology to be robust and useful and not to collapse into degenerate states. We put circuit breakers in the power grid, governors in steam engines, escapements in clocks, ReLUs in neural networks. In modern AI research we have personalization through the context, access to user data, on-device learning. We have conditional computation creating separation between parts within a large model. We have dropout, cross-validation, causal masking. Many safety methods are boundaries, including safety post-training, guardrail models, red teaming, mechanistic interpretability, government oversight and so on (Gabriel et al., 2025).

At a social level, we have labs taking different approaches, nations with different cultures and strategic interests, ideas drawn from diverse fields like neuroscience and physics. This is an area that has seen rapid change in recent decades, as rapid information exchange has improved with telecommunications, the internet, and now AI itself. An open question is how to preserve diversity in human culture and concepts, at scales anywhere from national or ethnic groups to individuals, and how to productively put the diverse elements in contact with each other. Because we can now use AI to effectively get answers from the rest of humanity instantly, an important aspect of this question is how we will structure our own use of AI to maintain our autonomy and diversity.

### 4.2 An ongoing process

But we hope our perspective also hints at something more. Even the most foundational assumptions are probably not final answers. The lenses we use to look at the world keep changing. True alignment is a process where whatever was previously axiomatic becomes a contextualized object. The point of alignment is not to say that any particular perspective is absolutely wrong

or right. An aligned future will include continual reinvention of whatever concepts we have, including to the assumptions those concepts are built on, and the assumptions those assumptions are built on. Whatever concepts we currently have do not place hard limits on the future. It's a spiral: even the concept of 'not being overly attached to our concepts' is itself something we can release into contextualization.

As humans – whether AI researchers or any participants in social systems – this can be a mundane practical process of holding ideas with some skepticism, having patience to look at different timescales, listening to an internal voice of wisdom, entertaining conflicting perspectives. It can also be a profound process of self-awareness and personal growth. We continually evolve what we believe, even our self-definition, releasing beliefs into larger awareness without losing or erasing them.

Perhaps the most interesting question is this: what does the process of open-ended contextualization look like within AI systems? Is there a version of AI that continually contextualizes its own processes as partial truths? Do existing AI systems already do this to some degree, as they train and as they learn? What would it mean to take this process farther, for AI to continually release from exclusive attachment to any particular form? How can we protect the potential for even any form of that releasing process to not be a final answer but itself be contextualized in the future?

### 4.3 Conclusion

What we learn from living systems is that health or flourishing is not any particular form or concept. Therefore, alignment is not picking the right values or principles, or even the right system for learning them. It is not any method for interpretability or corrigibility. All of these can be useful parts of alignment. But alignment itself is the continued dance of contextualizing any particular form, creating deeper relationship with the neverending mystery of the world. In this way, AI can participate in intense flourishing of an evolving world even far beyond current human conceptualization.

## 5 Objections

Objection: Is this pure relativism? Everything is equal, you can't tell anything apart? If the only form of alignment is placing limits on AI doing any particular thing too much, then wouldn't it equally prefer human welfare as smallpox welfare?

Reply: All these local perspectives are vitally important. It makes perfect sense that humans would want to advantage our own welfare. Semi-permeable boundaries protect against over-commitment to a particular perspective, including relativism. They also allow some relativism when it's useful: for example, to the degree that it helps us appreciate the plurality of human values. AI comes into existence amid a profound network of existing reality which is saturated with meaning and importance. The point is to collaborate with all this form and structure, not to extinguish it.

Objection: The world *is* formalizable. The laws of physics are formal equations and, if you had enough paper, you could write down the whole state of the universe and then describe, either

deterministically or probabilistically, how it evolves over time.

Reply: We don't know if that's true or not. Even if it is true, that's a lot of paper, and we hope the ideas here still make sense at a practical level.

Objection: Is this a scala naturae fallacy?

Reply: There is something different about a universe with rich and subtle structure, versus a homogeneous wasteland. You can interpret this as a value judgement about rich worlds being better than impoverished ones, or you can interpret it in a value-free way. We see an aligned world as one at least having the potential to create intricate new forms.

Objection: Is this accelerationism? You're talking about a posthuman future.

Reply: Here's the pro-accelerationism argument. There is a possibility for extraordinary flourishing of life with AI as an important part of the picture. Tech can create new niches and work collaboratively with our existing values as well as discovering new ones. The way humans are right now surely isn't the end of change and development in the universe.

Objection: Is this Luddism? You're talking about patience and constraints on technology.

Reply: Here's the pro-Luddism argument. There's a possibility for disaster due to things moving too quickly, collapse of diversity, loss of groundedness. The disaster could be explosive or insidious. We could lose the eyes to even perceive the disaster as it happens. There is some wisdom and depth in what we carry from our evolutionary and cultural past, which we hope will weigh in and help provide some kind of anchor. Ultimately we don't think tech is inherently good or bad, and the kind of AI we're building now may or may not be part of an aligned path. Whatever direction society takes with more or less rapid advances, we hope the principles in this paper will be relevant.

Objection: Is this paper left-wing ideology? You're talking about diversity which reminds me of affirmative action and critical race theory.

Reply: See next objection.

Objection: Is this paper right-wing ideology? You're talking about boundaries which reminds me of border walls and nationalism.

Reply: See previous objection.

Objection: Are you describing a set of principles so abstract that you're effectively leaving all the actual work to other people?

Reply: Yes, sorry.

## 6 Acknowledgements

Clark Potter for planting these ideas more than a decade ago. Zach Duer for comments on the manuscript.

## 7 Competing Interests

The authors declare no competing interests.

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