

Real-time Eye and Head Pose Detection Using Active Shape Models with Stasm

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M.Eng Project

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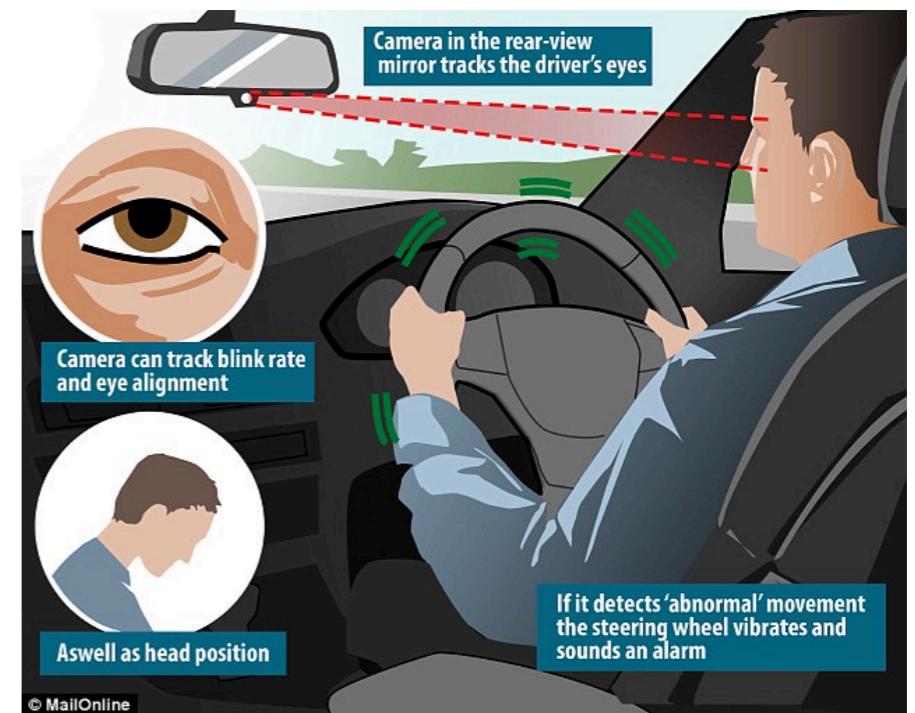


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Applications & Motivations

1. Eyes Off the Road Detection System

- Locates facial features under various conditions
- Estimates head movement
- Gives a reminder to the diver



2. Reading Behavior Monitor

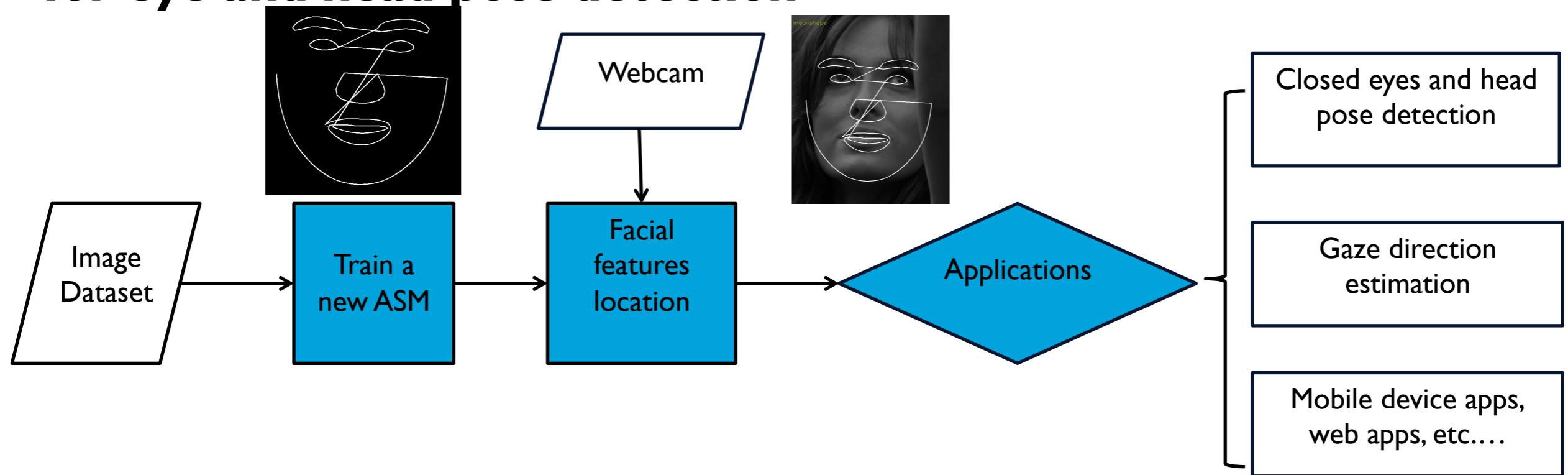
- Estimates gaze direction
- Calculates residence time on the screen
- Generates a gaze density map for analysis



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Project Overview

Objective: To train a new active shape model & implement it for eye and head pose detection



- Train a new ASM
 - Use HELEN dataset and optimized methods to obtain a 194-landmarks shape model
- Facial features location
 - Locate 194 facial features on each input image



Problem Statement

The new ASM training

- Problem: Training a 194-landmarks model of the shape of faces on HELEN dataset
- Input: Images, shapefiles, landmark table, optimized methods
- Output: A statistical model of the 194 facial features

Facial features locating

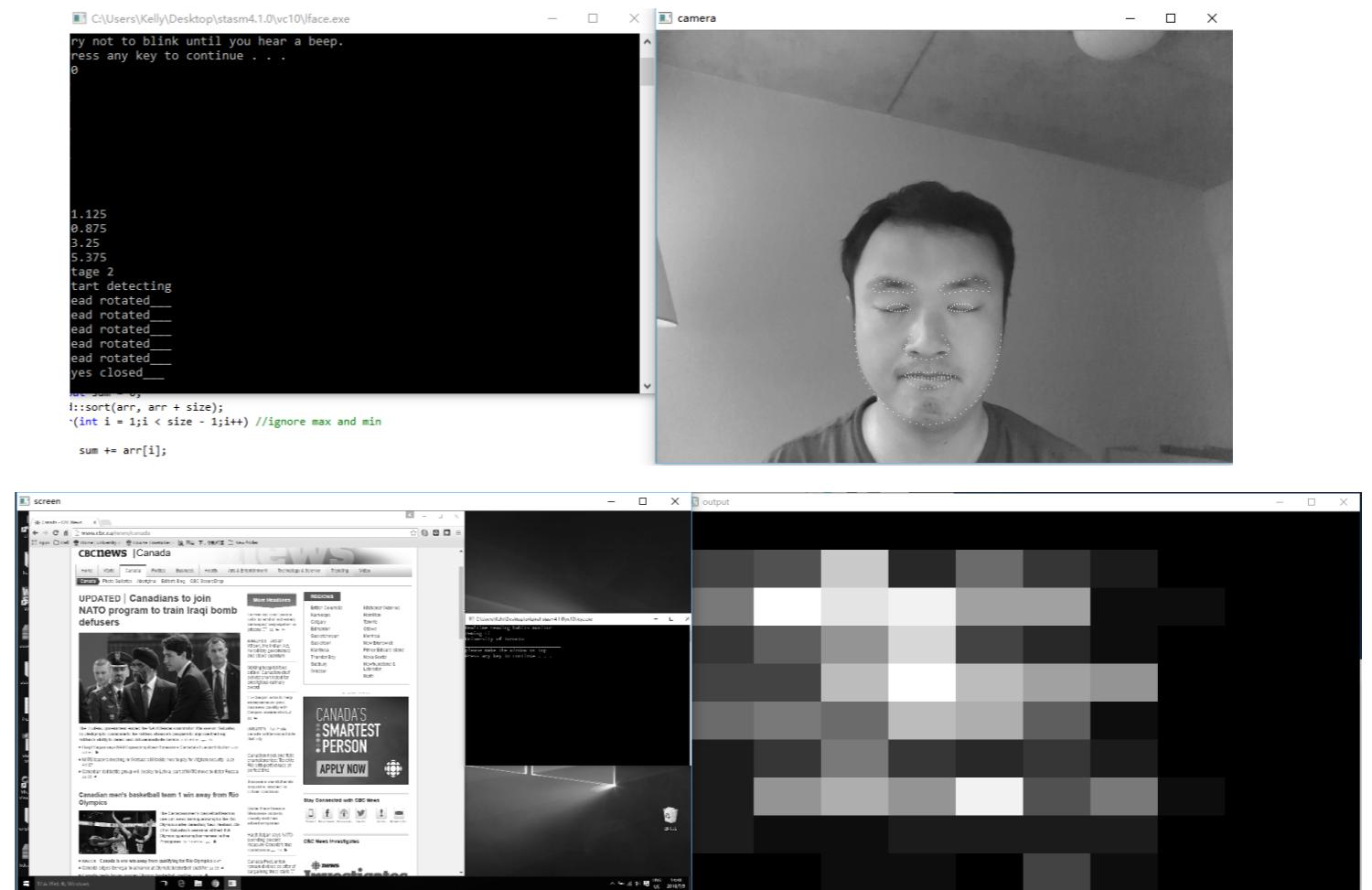
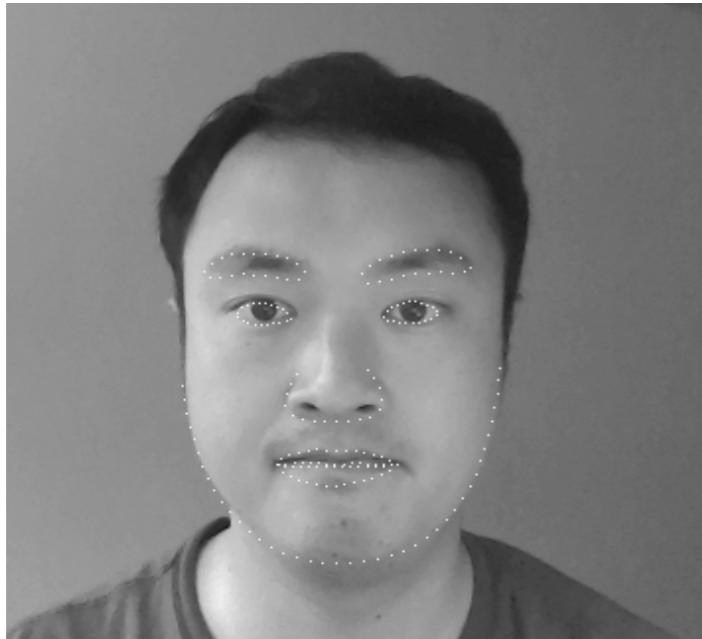
- Problem: Automatically locate each landmark on the facial features in a new image
- Input: Digital color face image
- Output: A matrix(194x2) which contains landmarks location information



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Contributions

- Proposed a newly trained robust active shape model on HELEN dataset which locates 194 landmarks under various illumination conditions
- Created a real-time head pose and closing eyes detection system a reading habits monitor



Organization

Contribution 1

A newly trained robust active shape model on HELEN dataset which locates 194 landmarks under various illumination conditions



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Background: Facial Features Locating Framework

Active Shape Models(ASMs)_[T. Cootes]

- Statistical Models represent the shape of objects by a set of landmarks
- Iteratively deform to match the model to a new image
- Can be trained on a set of labeled examples in images

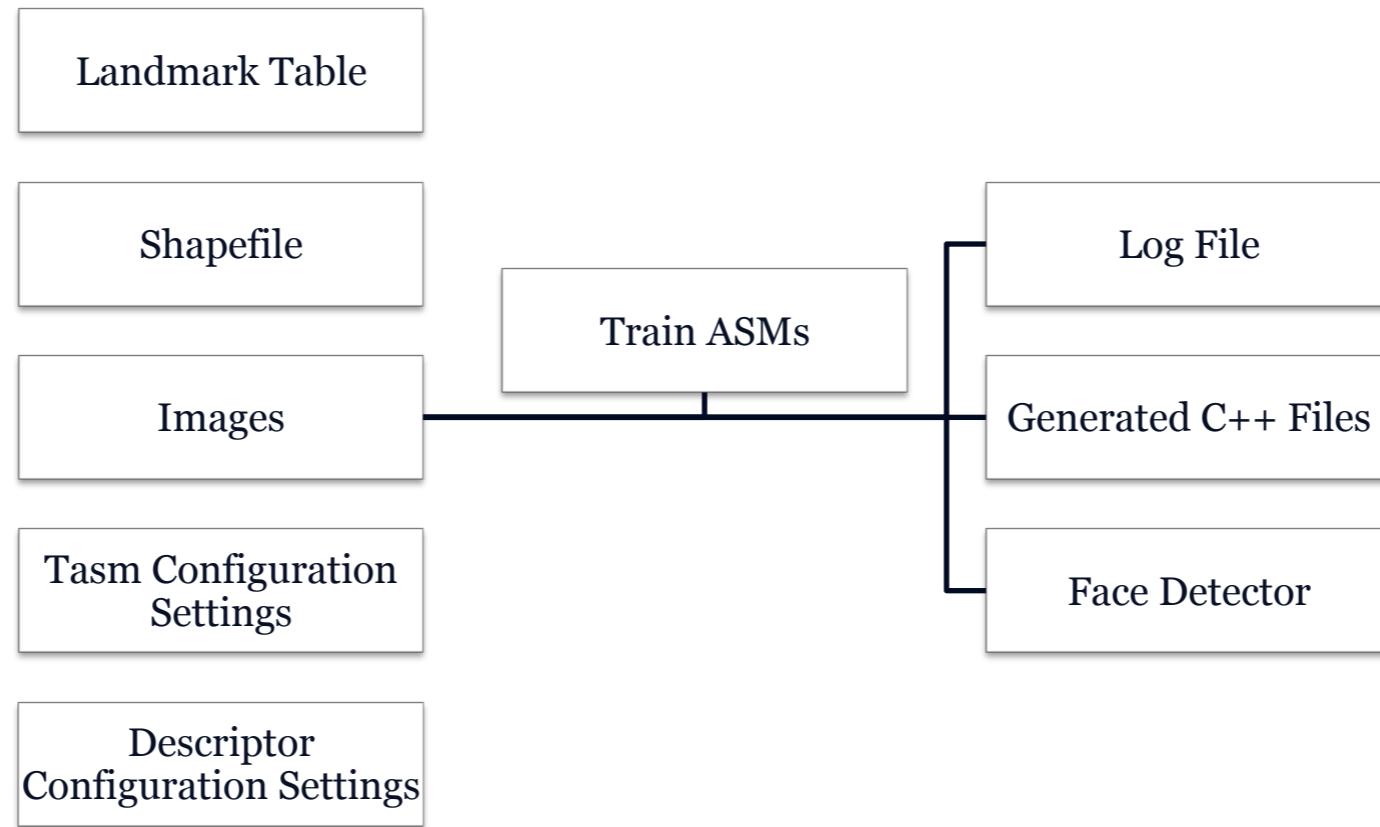
Location framework



- Mean shape is obtained in training stage
- Different ASMs have different shapes (landmarks amount N)
- After iteration, each landmark is located to match the new object in the image



Training ASMs



- Edit the annotation file of HELEN dataset by Shell script
- Identify face conditions of face images and label them
- Train ASMs with different settings



ASMs Experiments

Objectives: to evaluate the different ASMs performance under the following scenarios

- Facial landmarks location accuracy under different environment
- Facial landmarks location speed
- The advantage of the proposed model comparing to ASM proposed by S. Milborrow(Stasm)



Experimental Setup

:

- Has 1,512 gray level images in 384x286
- Presents the frontal view of 23 different subjects' faces
- The images recorded in uncontrolled conditions by a webcam
- “Real-world” indoor environment

Evaluation Methodology:

- The Euclidean Distance $d(p1, p2)$ between the annotated point 1 and estimated point 2
- Normalized by the Inter-Ocular Distance (IOD)

$$\delta_i^k = \frac{d((x_i^k, y_i^k), (\hat{x}_i^k, \hat{y}_i^k))}{IOD}$$

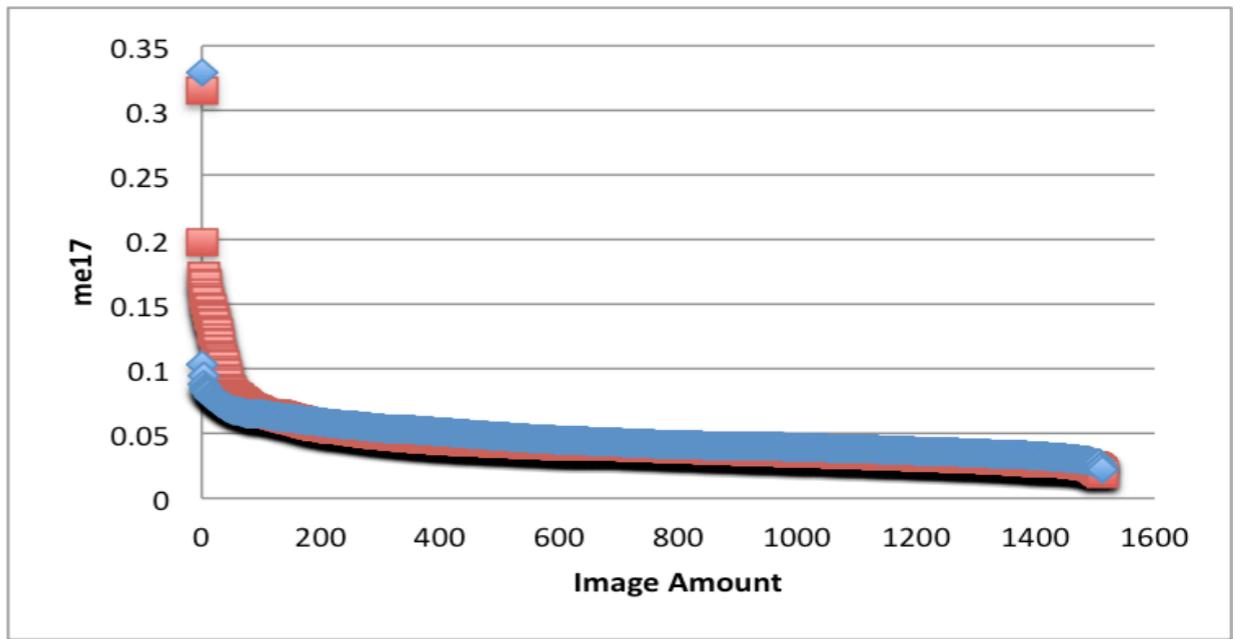
$$P = \frac{\sum_{i=1}^I \sum_{k=1}^K \delta_i^k}{K \times I}$$



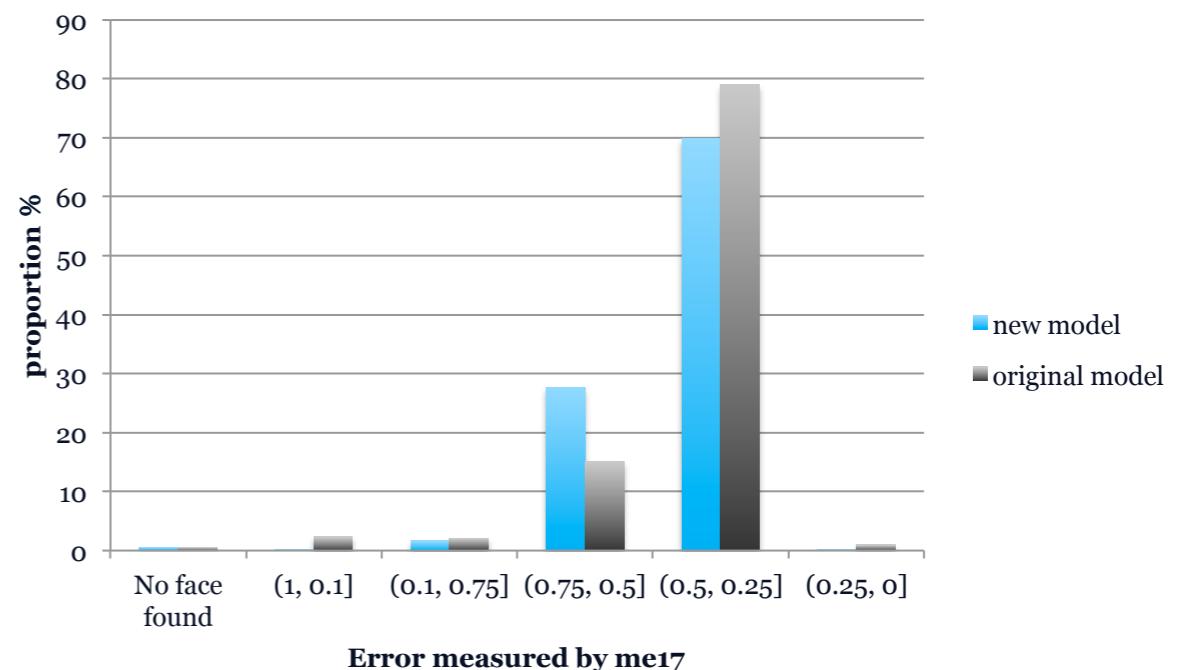
Results

Accuracy analysis

- Performance of the new model (blue) and the original Stasm model (red)
- “me17” is the average Euclidean distance over 17 points



- The proportion of landmarking results in different error ranges
- The new model has fewer results with huge errors

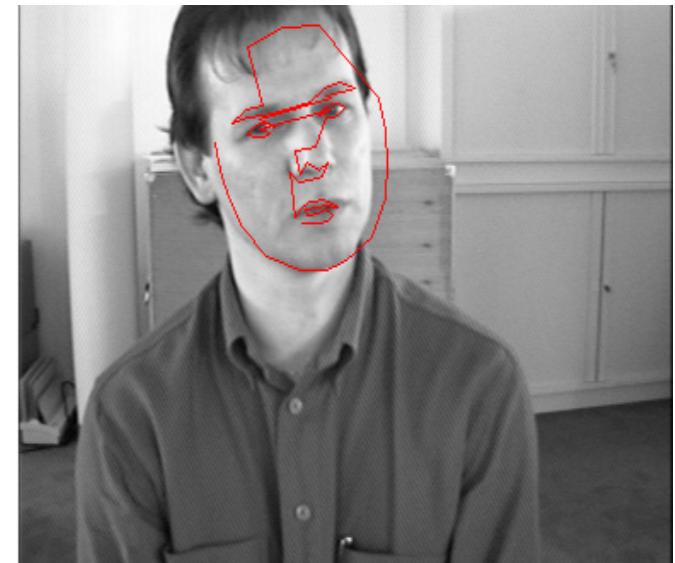
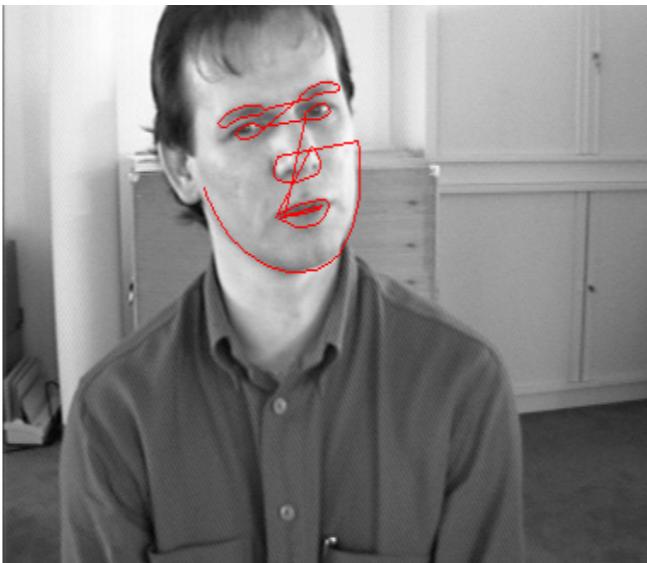


Results

Improvement on accuracy

An example of how newly trained ASM improves facial landmarks locating performance

- Newly trained model (left) is better on the rotated face
- The me17 of Stasm is 0.078, about 29% higher than that of the newly trained model

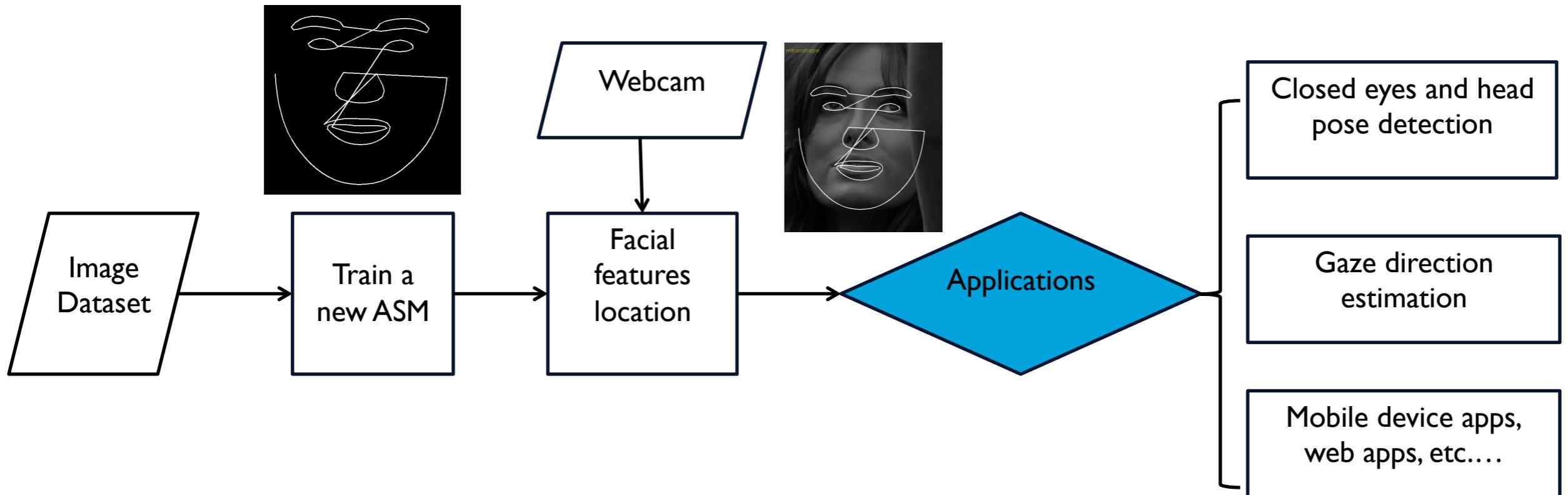


Improvement on speed

| Model name | me17 | Mean time/number of landmarks (ms) |
|---------------|----------|------------------------------------|
| Stasm | 0.043590 | 1.3377 |
| The new model | 0.045635 | 0.6546 |



Organization



Contribution 2

Created a real-time head pose and closing eyes detection system a reading habits monitor

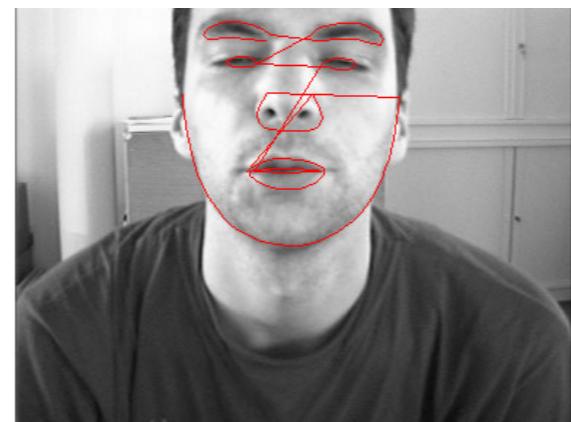
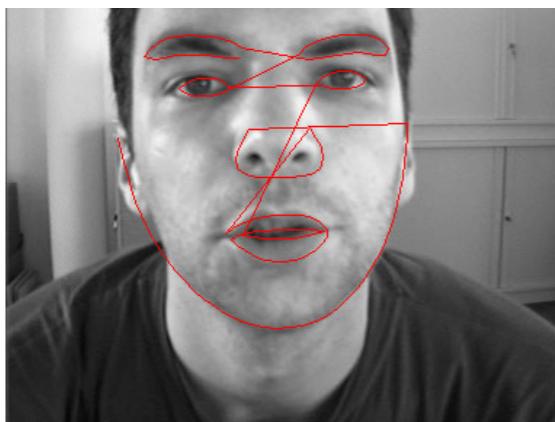


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Closed Eye and Head Pose Detection

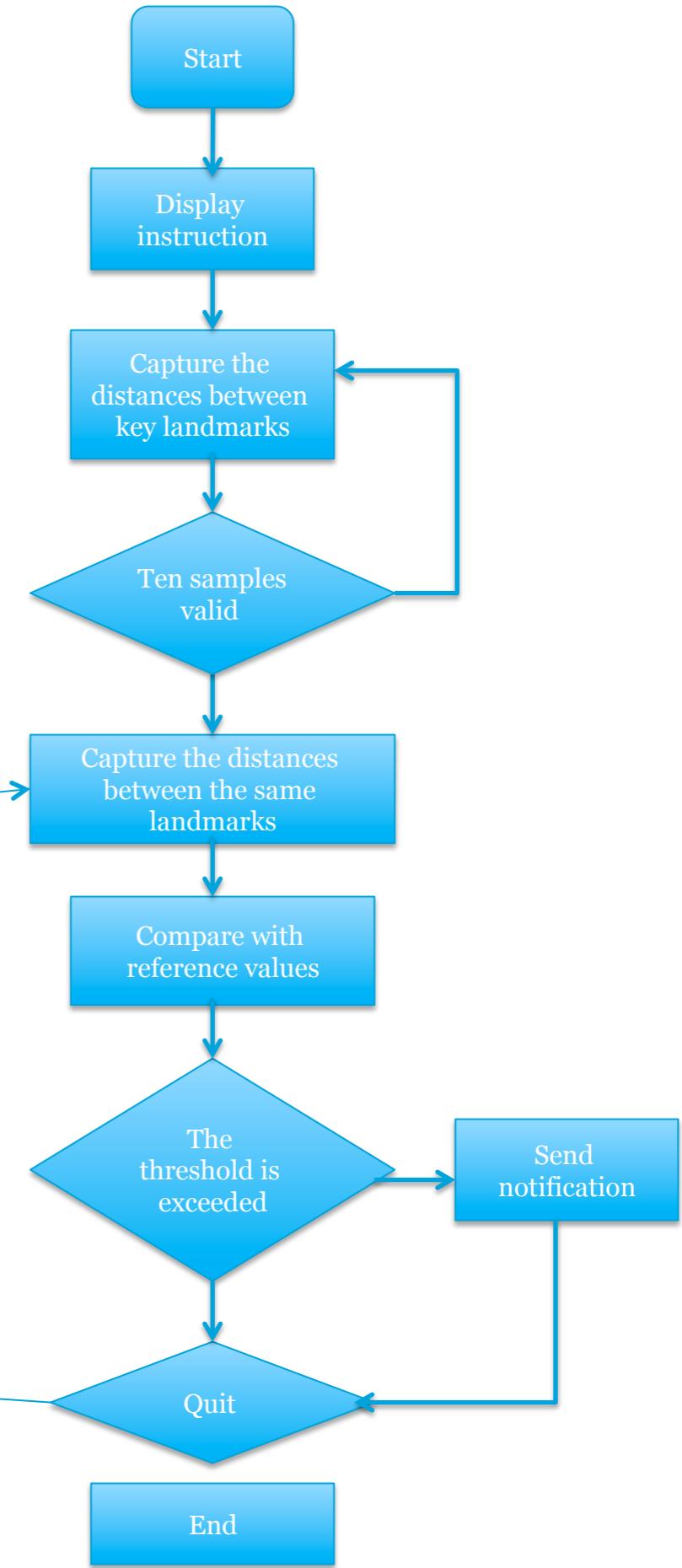
Overview

- Reference values obtained every time in the first stage
- 194 facial landmarks located on each frame of video captured by webcam
- Closing eyes and head rotation detection is judged based on the normalized vertical distance between some key landmarks



Reference values
obtained by sample
collection

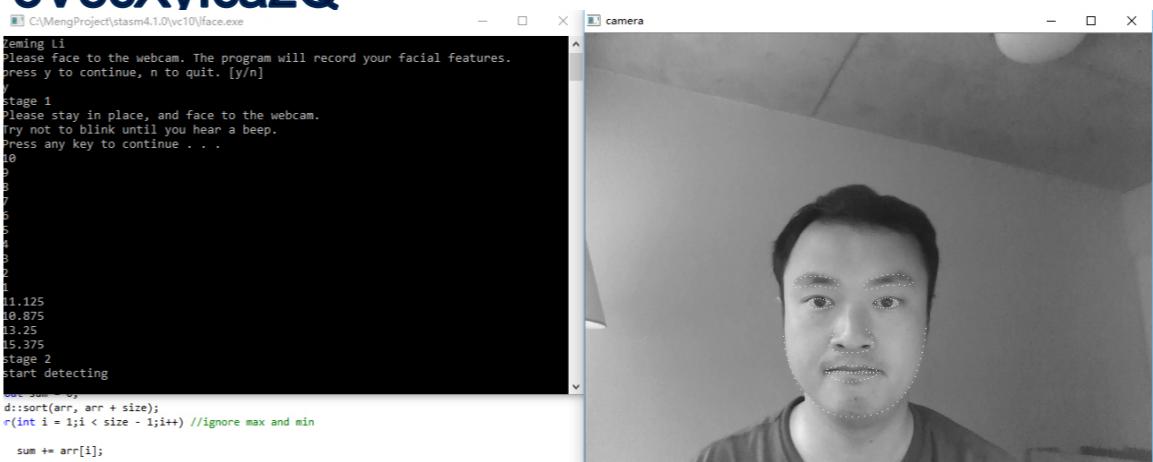
Detect closing eyes
and head rotation



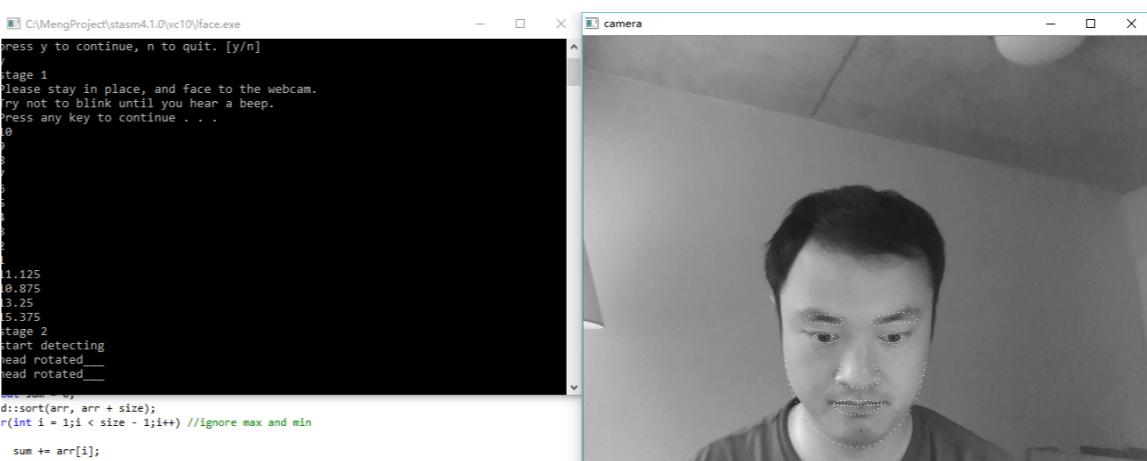
Working Process

demo video: <https://www.youtube.com/watch?v=oV8cXylca2Q>

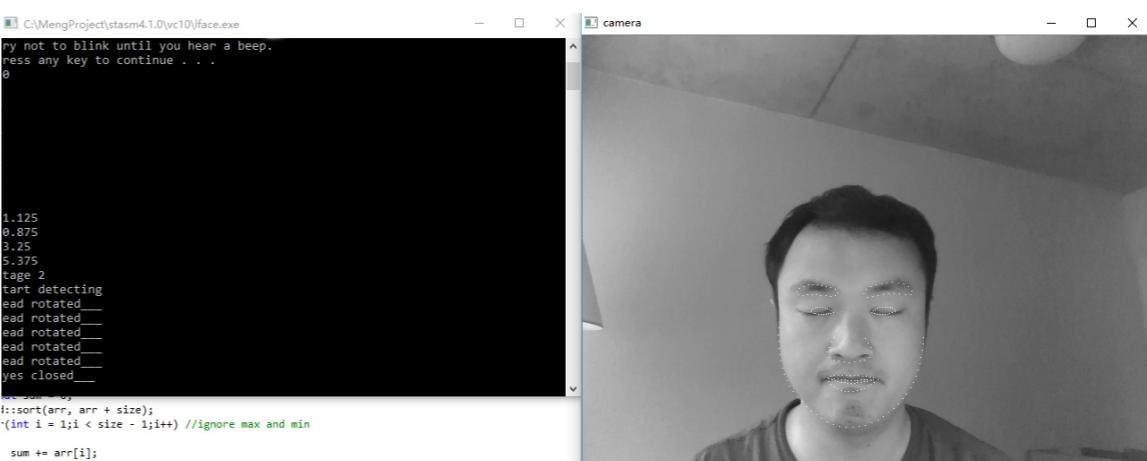
- The application locates 194 landmarks and compares the distance between key landmarks with the standard values



- When the rotated head is detected the reminders are two short beeps and a literal reminder displayed on the console



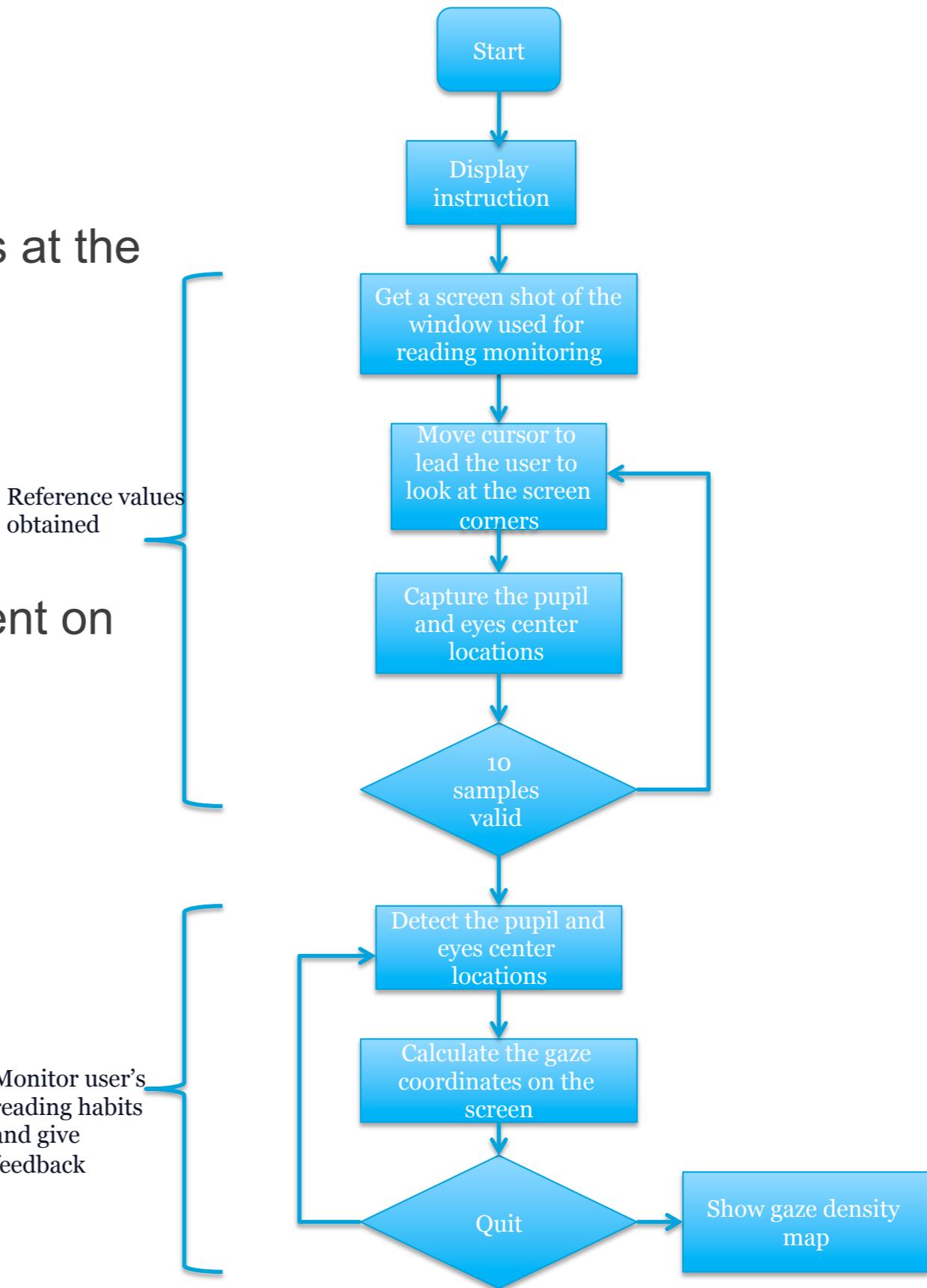
- When the closed eyes are detected, the reminders are a long beep and a corresponding literal reminder on the console



Reading Habits Monitor

Overview

- Captures pupils location when the user looks at the 4 corners
- Locates key landmarks on each frame
- Estimates gaze direction on the screen
- Calculates gaze direction residence time spent on 1 of 100 blocks of the screen.
- Outputs a gaze density map

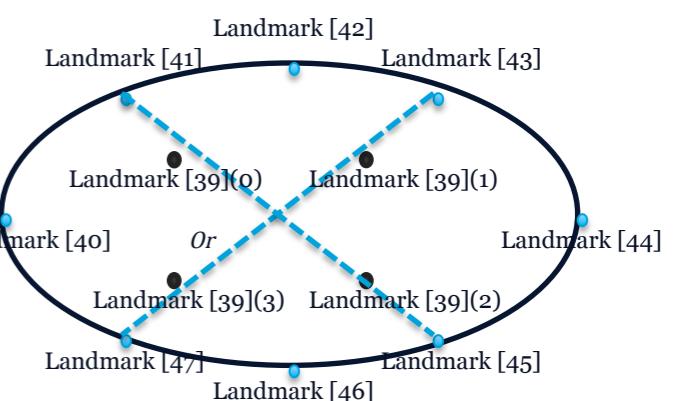
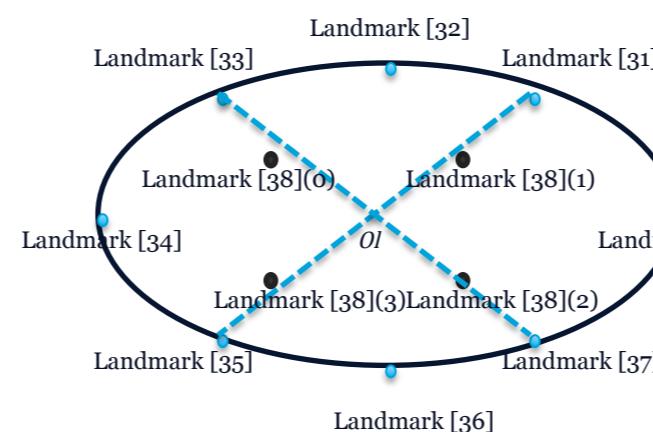
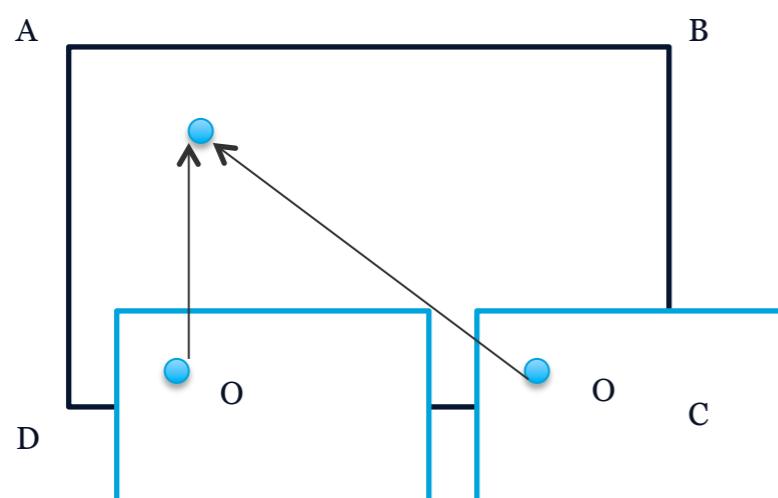


Gaze Direction Estimation

- Five landmarks used for gaze estimation around each eye. The four landmarks on the eye border are used to calculate the geometric center of the eye.

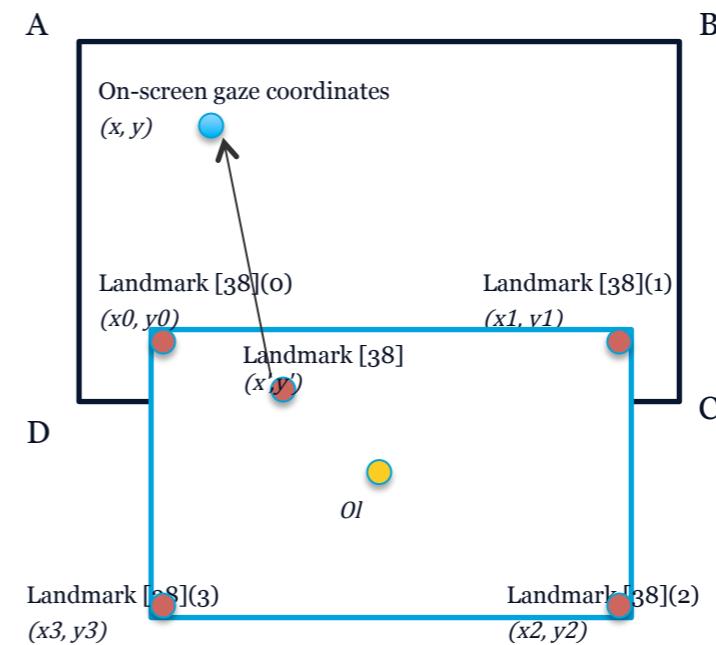


- Representation of the gaze mapping principle. The pupils' movements in a particular area in the eyes could be mapped to the gaze location on the screen.



Gaze Direction Estimation

- The location of the left pupil is mapped to the estimated gaze coordinates on the screen.
- The same thing happens to the right pupil.



The on-screen gaze coordinates (x, y)

$$\frac{x}{l_{AB}} = \frac{x' - x_{ol} - x_0'}{(x_1 - x_0) \div 2 + (x_2 - x_3) \div 2}$$

Where $x_0' = x_0 - x_{ol}$, x_{ol} is a constant value obtained with x_0
 l_{AB} is the width of the user's screen

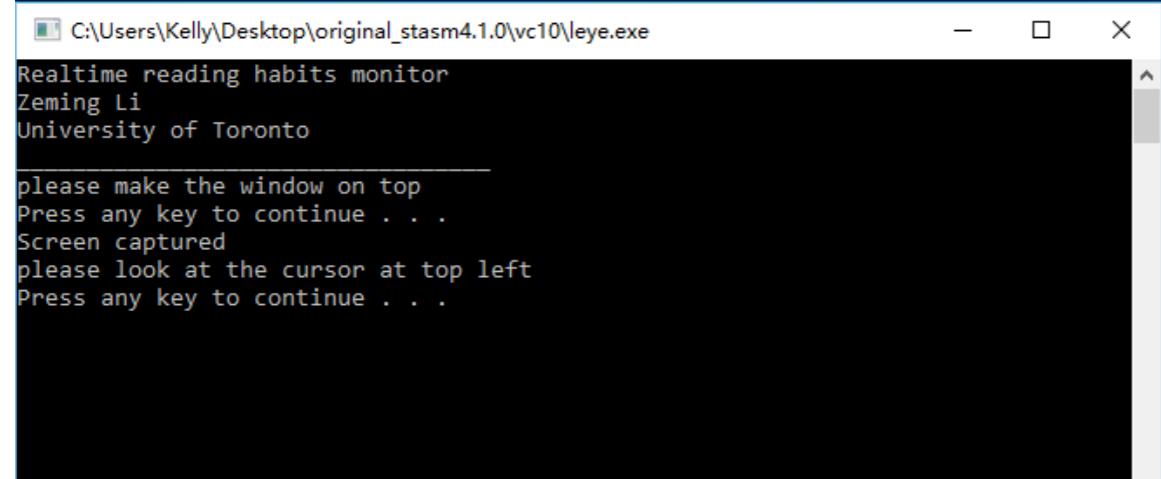
$$\frac{y}{l_{AD}} = \frac{y' - y_{ol} - y_0'}{(y_1 - y_0) \div 2 + (y_2 - y_3) \div 2}$$

Where $y_0' = y_0 - y_{ol}$, y_{ol} is a constant value obtained with y_0
 l_{AD} is the height of the user's screen

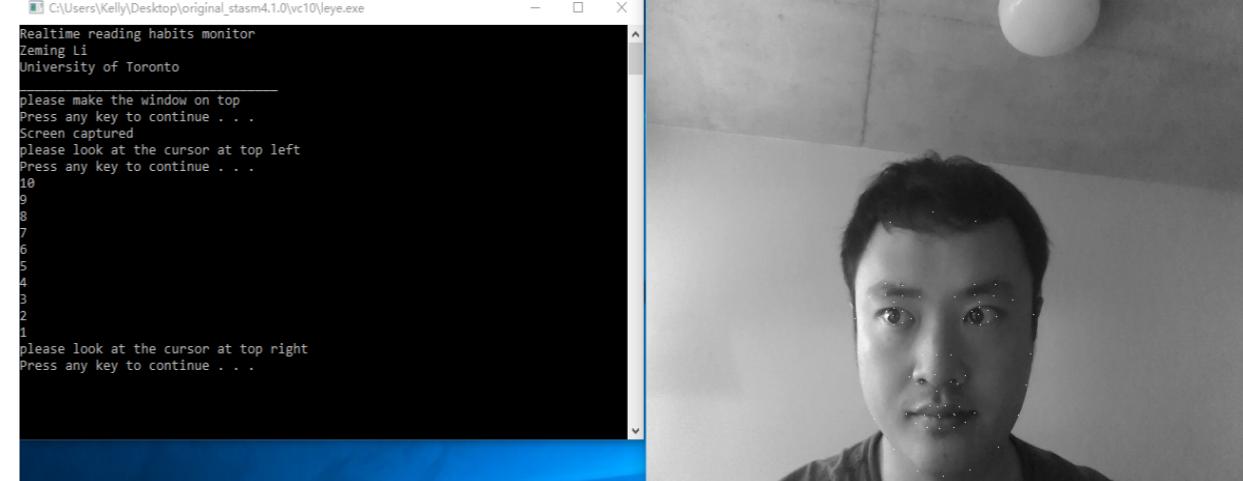


Working Process

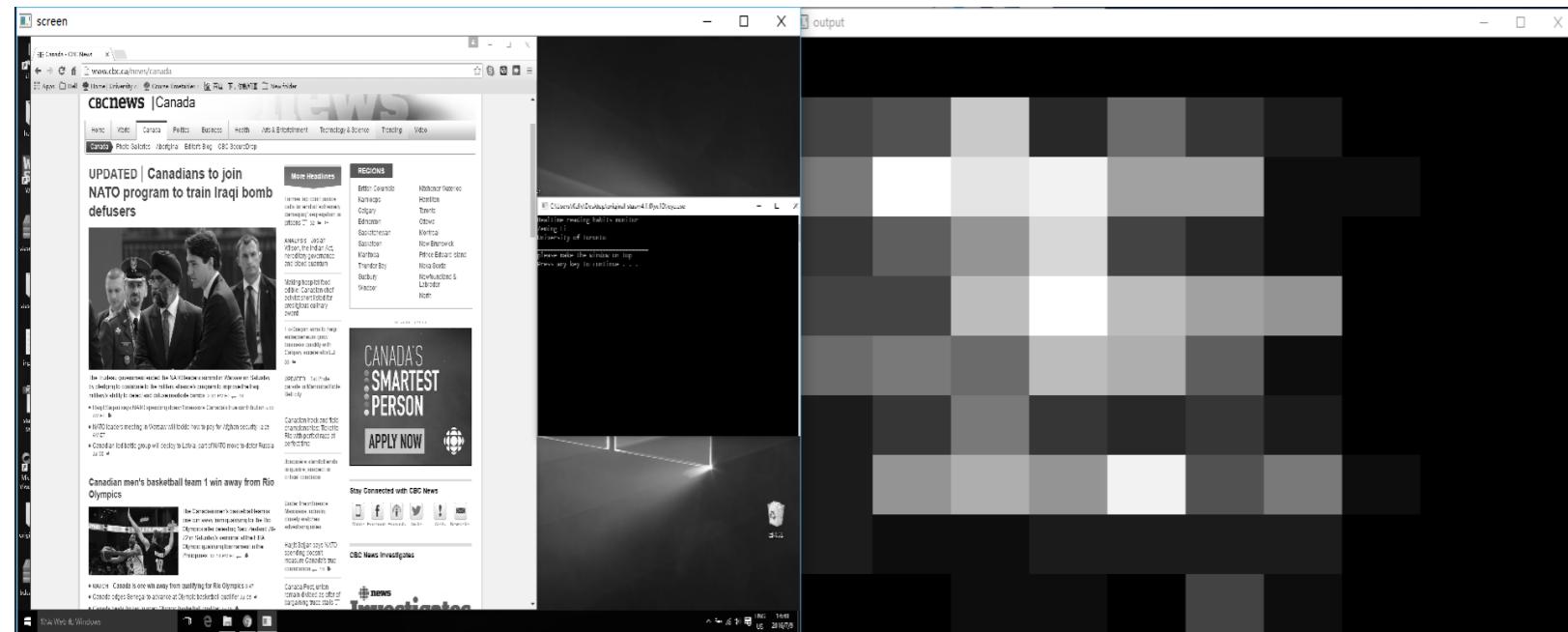
demo video: <https://www.youtube.com/watch?v=p7XOMBNL2Jk>



- Guides displayed on the console. The window with reading materials on the top is screen-shotted for analysis



- The cursor is set to the top left corner firstly and guides the user to look at the cursor



- Screenshot of the window used for monitoring and gaze density map with the same size
- The color of the blocks in gaze density map reflects the user's reading habits



Extensive Applications

ASM WebApp (xlabs chrome extension api needed)

<https://asm-webapp.appspot.com/>

GitHub: <https://github.com/zemli/ASM-WebApp> • A web app controls video with the head pose



- The homepage of the website

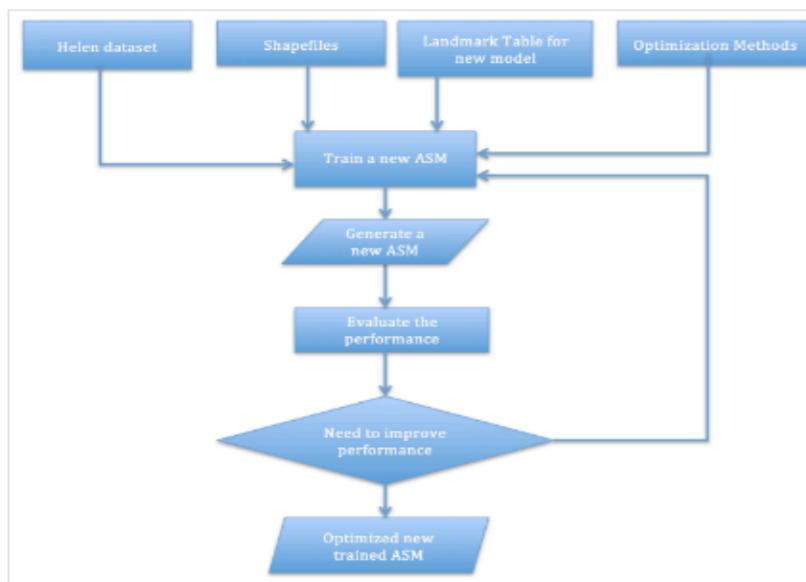
ASM WebApp

[Home Page](#)

[Introduction to Newly Trained ASM Using Stasm](#)

[Video Controlling with Head Pose](#)

[Gaze Tracking](#)



Control Video with Head Pose

[Home Page](#)

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[Video Controlling with Head Pose](#)

[Gaze Tracking](#)

Instruction:

Enter any [YouTube](#) URL into the box above and click Load

Rotate your head left to rewind, right to fast-forward

Video pauses when you aren't facing the screen

- A web app estimates the gaze location on the screen

Gaze Tracking Using Head Pose

[Home Page](#)

[Introduction to Newly Trained ASM Using Stasm](#)

[Video Controlling with Head Pose](#)

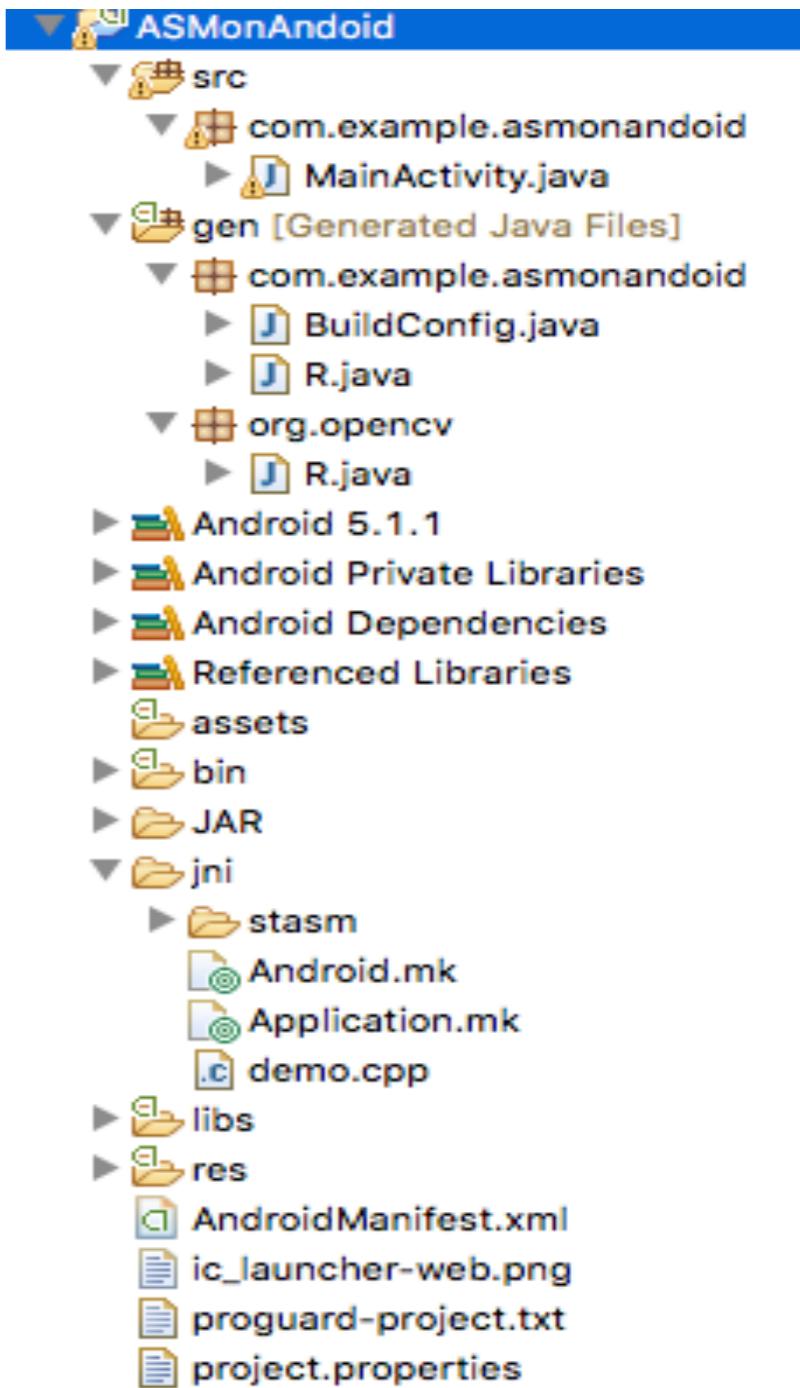
[Gaze Tracking](#)

The gaze location could be estimated by landmarks locating and head pose detecting.
The red 'O' on the screen is the estimated location.

Extensive Applications

ASM on Android

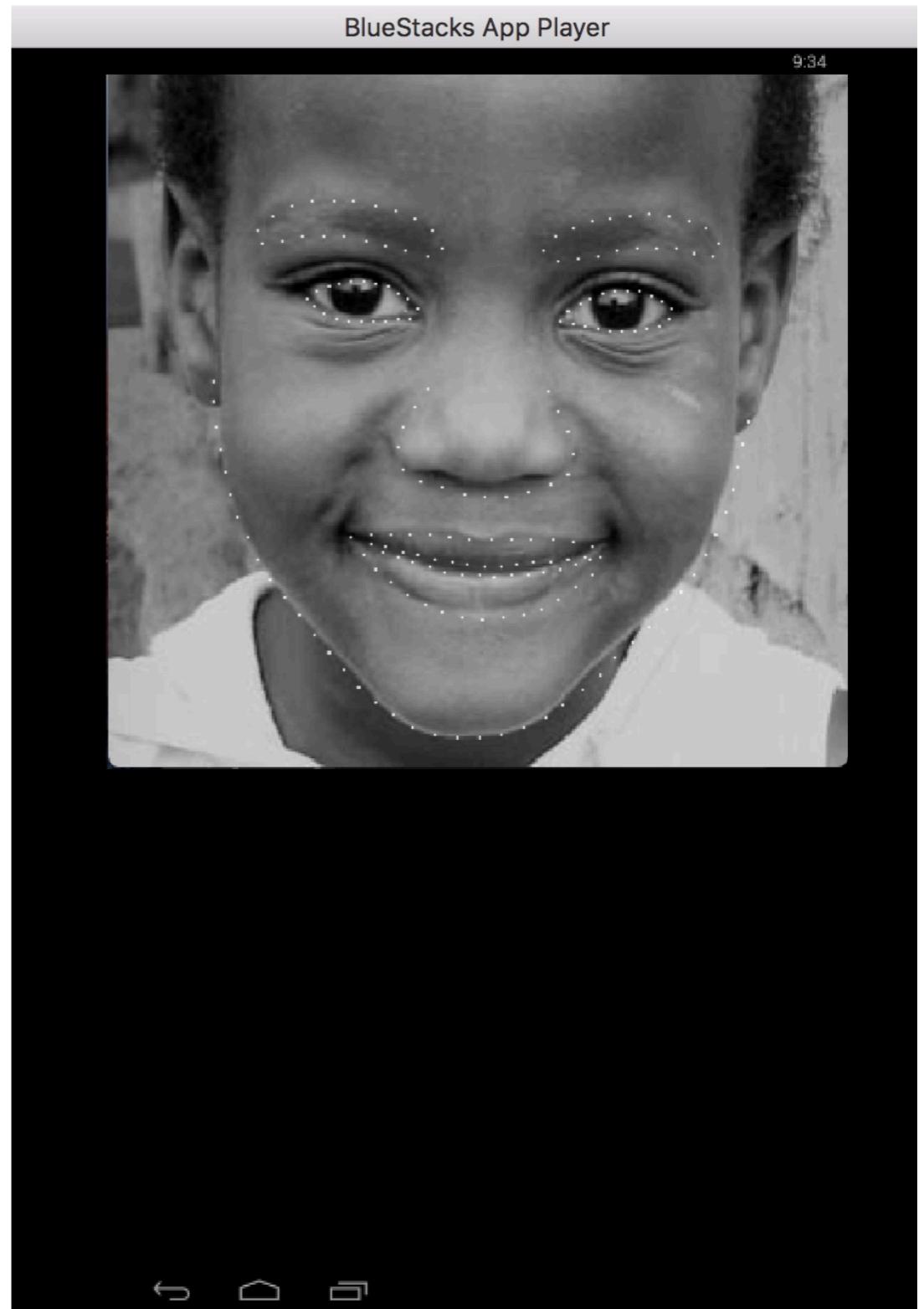
- Facial features locating can be realized by using ASM with OpenCV4Android library
- JNI (Java Native Interface) could use an interface works as a pointer links android application in local reference with ASM source code in global reference.



Extensive Applications

ASM on Android

- Android app running on a virtual machine (BlueStacks) for MacOS. 194 landmarks are accurately located on the face in test image.



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Conclusion & Future Work

The new ASM trained on HELEN dataset

- High accuracy under various complications
- Fast processing speed on each landmark
- Detailed shape model (194-landmarks model) for further processing

Real-time Eye and Head Pose Detection System

- Efficient for building real-time applications
- Robustness to different illumination conditions
- Apply to EOR system / monitor users' reading habits

Future Work

- Comprehensive algorithm to estimate gaze direction
- Apply the new model to other biometric applications need detailed shape information (i.e., face verification, facial micro-expression).



Thanks!

Questions?

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