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Abstract

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1 Introduction

The Deep-inelastic-scattering (DIS) experiments tradition- $_{36}$ ally were an important probe of pQCD and used to pre- $_{37}$ cise determination of parton distribution functions (PDFs) $_{38}$ at lepton-nucleon and nucleon-nucleon colliders. The vari- $_{39}$ ous dedicated experiments such as HERA have been per- $_{40}$ formed by colliding electron and positron with proton to investigate the nucleon structure. The broad kinematic region of charge-current (CC) and Neutral-current (NC) DIS data at HERA base on negative transverse momentum squared Q^2 and Bjorken variable x caused that these data play important role on modern determination of the parton distribution function [1–3].

In the standard model, the charm quark has an important $_{48}^{48}$ role in the investigation of the nucleon structure [4–6]. The $_{49}^{49}$ pQCD calculation assumed that charm charm distribution is $_{50}^{60}$ generated perturbatively by gluon and light quark splitting $_{51}^{61}$ functions and it's mass depended strongly on the DIS coefficient functions which is are known up to second order in $_{53}^{61}$ the strong coupling constant in the NC process considering heavy quark mass effects[7, 8]. The heavy quark mass effects in the CC process, calculated up to $\mathcal{O}(\alpha_s^2)$ in Refs. [9–56] and recently completed in Ref. [13] which is available up to $\mathcal{O}(\alpha_s^2)$ at large \mathcal{O}^2 for the xF_3 structure function [14].

Although the heavy quarks specially charm quark, have an important role in many process even beyond the standard model, there are some process which is provides direct access to the strange sea quark, one of the significant part of the nucleon structure and the completed and accurate knowledge on this topic help us to the better understanding of the

⁰Preprint numbers: DESY ... Correspondence: ... properties of the sea quark and also the nucleon structure in the process with a strange quark mediated by weak charge boson in association with charm jet [15, 16] and also neutrino and anti-neutrino production measured by CCFR [17], NuTev [18], CHORUS [19], CDHSW [20] and NOMAD [21] collaborations that give useful information but limited on the normalisation and shape of the $s(x) + \bar{s}(x)$. for the first time HERMES collaboration extracted the $s(x) + \bar{s}(x)$ from charged lepton DIS data and complementary to the neutrino results [22].

On the other hand the charm production mediated by electroweak gauge boson at hadron colliders provide important information on strange and charm quark distribution and complementary the DIS final state charm quark experiment [16]. Although CDF and D0 at Tevatron [23, 24] measured the charm quark cross section in association with W boson but these measurement is limited to 30% by low statistics. Some of the global QCD analyses in absence of significant experiential constraints, at some low factorisation scale, extracted the strange s(x) and anti-strange $\bar{s}(x)$ given by $s(x) = \bar{s}(x) = r_s[\bar{u} + \bar{d}]/2$ [25, 26] here r_s is the fraction of the strange quark density in the proton that reported value by ATLAS at the scale $Q - 0 = 1.9 \text{ GeV}^2$ and x = 0.023 is1.19 [27]. The LHC tried to provide a more precise measurement and CMS and ATLAS collaboration performed ... By eliminated the isoscalar between strange and anti-strange distribution, the CTEQ [16] and MSTW [28] extracted the strange and anti-strange distribution at NLO. This paper organized as follow, in the Sec.

$\boldsymbol{2}$ Theoretical predictions for charm CC production at LHeC

Theoretical predictions are calculated for charm CC production in *ep* collisions at the LHeC at centre-of-mass energy

 \sqrt{s} = 1.3 TeV. The predictions are provided for unpolarised beams in the kinematic range $100 < Q^2 < 100000 \text{ GeV}^2$, $0.0001 < x_{\text{Bj}} < 0.25$. They are calculated as reduced cross sections at different Q^2 , x_{Bj} and y points.

The charm CC process is defined in terms of the CKM matrix, namely the contributions with V_{cd} and V_{cs} are included. The values of the CKM matrix elements $V_{cd} = 0.2252$ and $V_{cs} = 0.9734$ are used. Two heavy-flavour schemes are employed:

- FFNS A with $n_f = 3$ at NLO and ABMP16 [29] or HERAPDF2.0 FF3A [2] NLO PDF set,
 - FFNS B with $n_f = 3$ at NLO and ABMP16 [29] or HERAPDF2.0 FF3B [2] NLO PDF set,
 - FONLL-B with $n_f = 3$ and NNPDF3.1 NLO PDF set [30].

All calculations are interfaced in XFITTER and available in the scheme using the running \overline{MS} charm mass, $m_c(m_c)$. [TODO: Achim, could you please describe here the differences between the FFNS A and FFNS B NLO calculations?] The \overline{MS} charm mass is set to $m_c(m_c) = 1.27$ GeV [31], and α_s is set to the value used for the corresponding PDF extraction ($\alpha_s(M_Z) = 0.1191$ for ABMP16, and $\alpha_s(M_Z) = 0.118$ for NNPDF3.1). The renormalisation and factorisation scales are chosen to be $\mu_{\rm I} = \mu_{\rm f} = Q^2$.

To estimate theoretical uncertainties, the two scales are simultaneously varied up and down by factor 2. In the case of the FONLL-B calculations, also the independent μ_r and μ_f variations are checked. Furthermore, the PDF uncertain¹¹⁵ ties are propagated to the calculated theoretical predictions; while the uncertainties arising from varying the charm mass¹⁷ $m_c(m_c)=1.27\pm0.03$ GeV are smaller than 1% and there¹¹⁸ fore neglected. In the FONLL-B scheme, as a cross check; the calculation was performed with the pole charm mass²⁰ $m_c^{\rm pole}=1.51$ GeV which is consistent with the conditions of the NNPDF3.1 extraction [30]. The obtained theoretical pre¹²² dictions differ from the ones calculated with $m_c(m_c)=1.27$ GeV by less than 1%. The total theoretical uncertainties are detained by adding in quadrature the scale and PDF uncer¹²⁵ tainties.

2.1 Comparison of theoretical predictions in the FFNS A and FONLL-B schemes

Figures 1, 2 and 3 show theoretical predictions with theirisz total uncertainties in both schemes as a function of $x_{\rm Bj}$ for for different values of Q^2 , as a function of Q^2 for different values of Q^2 , as a function of Q^2 for different values of Q^2 ; as respectively. The FFNS A and FONLL-B agree reasonably well, within uncertainties of moderate size, in the bulk of tha phase space. However, in phase space corners such as high $Q^2 \gtrsim 10000 \, {\rm GeV}^2$ or low $y \lesssim 0.05$ the predictions in the twa 39

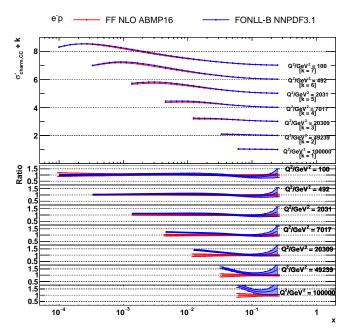


Figure 1 The theoretical predictions with their total uncertainties for charm CC production at the LHeC as a function of $x_{\rm Bj}$ for different values of Q^2 calculated in the FFNS A and FONLL-B schemes. The bottom panel display the theoretical predictions normalised to the nominal values of the FFNS A predictions.

schemes differ by more than 50%, and these differences are not covered by the theoretical uncertainties.

In Fig. 4 the PDF and scale uncertainties of charm CC cross sections as a function of Q^2 for different values of $x_{\rm Bj}$ calculated in the FFNS A and FONLL-B schemes are shown. On average, in the FONLL-B scheme both the PDF and scale uncertainties exceed those in the FFNS A scheme. Furthermore, Fig. 5 shows the impact of separate scale variations in the two schemes. In the FONLL-B scheme, the variation of μ_f has a much larger impact on the predictions than the variation of μ_r , and thus it is dominant for the resulting scale uncertainties. [Valerio, could you please discuss more here?] Only the simultaneous $\mu_f = \mu_r$ variation is available in the implementation of the FFNS A scheme.

To explore whether the differences between the two sets of theoretical predictions appear due to the different treatment of heavy quarks or due to different PDF sets, theoretical calculations in the FFNS A and FONLL-B schemes are repeated with PDF sets extracted from the fit to the HERA DIS data [2]. The fit settings follow the HERAPDF2.0 analysis [2]. In this study, consistent conditions of the PDF extraction eliminate possible differences between the predictions for the LHeC arising from the dissimilarities of the ABMP16 and NNPDF3.1 analysis. The obtained results are displayed in Figs. 6–8. The differences between the FFNS A and FONLL-B schemes in these predictions are similar to the ones displayed in Figs. 1–3 and prove that these differences differences between the set of the ones displayed in Figs. 1–3 and prove that these

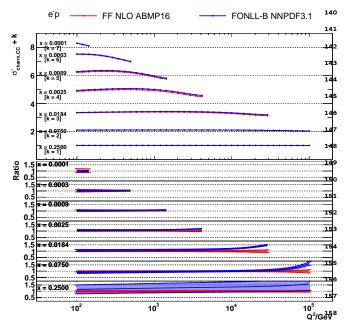


Figure 2 The theoretical predictions with their total uncertainties for charm CC production at the LHeC as a function of Q^2 for different values of $x_{\rm Bj}$ calculated in the FFNS A and FONLL-B schemes. That bottom panel display the theoretical predictions normalised to the nom₁₆₂ inal values of the FFNS A predictions.

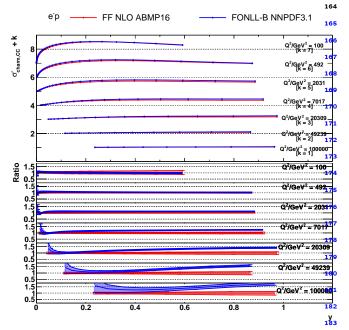


Figure 3 The theoretical predictions with their total uncertainties for charm CC production at the LHeC as a function of y for different values of Q^2 calculated in the FFNS A and FONLL-B schemes. The bottom panel display the theoretical predictions normalised to the nominal val¹⁸⁴ ues of the FFNS A predictions.

ences arise due to the different treatment of heavy quarks in the two schemes.

Furthermore, the predictions in the FFNS A and FFNS B schemes calculated using the HERAPDF2.0 FF3A and HERAPDF2.0 FF3B PDF sets are displayed in Figs. 6–8. Because of the similarities of the two HERAPDF2.0 PDF sets, the differences between the two sets of the predictions arise mainly due to the different treatment of heavy quarks in the two schemes. Remarkably, the differences between FFNS A and FONLL-B predictions are similar to the ones between FFNS A and FFNS B, i.e. a larger part of these differences arise due to the different treatment of heavy quarks in $\alpha_s(\mu)$ running. [TODO: Achim, could you please comment more here?]

Furthermore, to investigate the impact of the NNLO corrections available at $Q\gg m_c$ for the FFNS calculation, approximate NNLO predictions are obtained using the ABMP16 NNLO PDF set [32]. The results for the cross sections as a function of Q^2 for difference values of $x_{\rm Bj}$ are shown in Fig. 9, where they are compared to the NLO FFNS predictions from Fig. 2. The NNLO corrections do not exceed 10% and thus do not cover the differences between the FFNS A and FONLL-B theoretical predictions. Similar results are observed for cross sections as functions of other kinematic variables.

To better understand the differences between the FFNS and VFNS calculations, Fig.2*** which displays the cross section vs. Q^2 is particularly instructive. We see at low scales the FFNS and VFNS results coincide. When the μ scale is below the charm threshold scale (typically taken to be $\sim m_c$) the charm PDF vanishes and the FFNS and VFNS reduce to the same result. For increasing scales, the VFNS resums the $\alpha_S \ln(\mu^2/m_c^2)$ contributions via the DGLAP evolution equations and the FFNS and VFNS will slowly diverge logarithmically. This behavior is observed in Fig.2*** and consistent with the characteristics demonstrated in Ref. [34].

More precisely, Ref. [34] used a matched set of $N_F = 3$ and $N_F = 5$ PDFs to study the impact of the scheme choice at large scales. They found the resummed contributions in the VFNS yielded a larger cross section than the FFNS (the specific magnitude was x-dependent), and that for Q scales more than a few times the quark mass, the differences due to scheme choice exceeded the differences due to (estimated) higher order contributions [34].

2.2 Contributions from different partonic subprocesses

[perhaps this text would be more appropriate in an earlier theory section] The reduced charm CC production

¹Note that while the charm threshold scale μ_c is commonly set to the charm quark mass m_c , the choice of μ_c is arbitrary and amounts to a renormalization scheme choice [33].

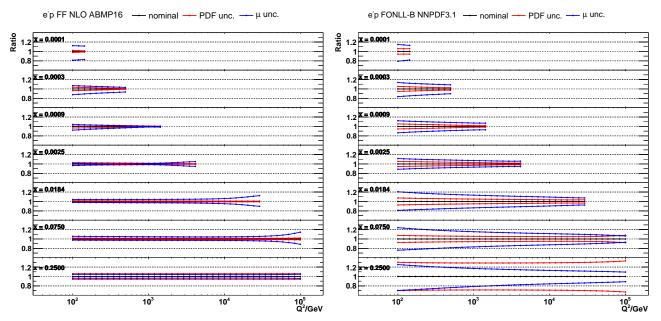


Figure 4 Relative theoretical uncertainties of charm CC predictions for the LHeC as a function of Q^2 for different values of x_{Bj} calculated in the FFNS A and FONLL-B schemes. The PDF and scale uncertainties are shown separately.

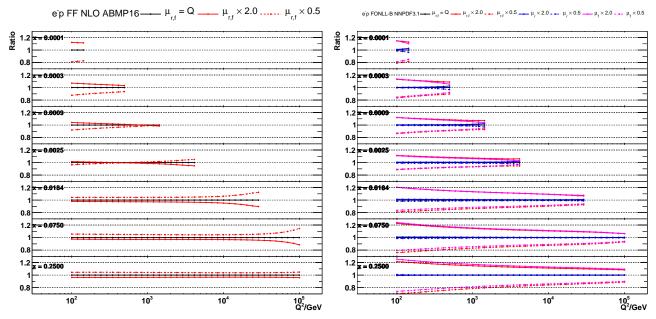


Figure 5 The impact of separate scale variations on charm CC predictions for the LHeC as a function of Q^2 for different values of x_{Bj} calculated in the FFNS A and FONLL-B schemes.

cross sections can be expressed as a linear combinations of the structure functions: In the simplified Quark Parton Model, where gluons are not present, the structure functions become:

$$F_{2}^{+} = xD + x\overline{U},$$

$$F_{2}^{-} = xU + x\overline{D},$$

$$F_{L} = 0,$$

$$T_{L} = 0,$$

$$Y_{\pm} = 1 \pm (1 - y)^{2}.$$

$$F_{L} = xD + x\overline{U},$$

$$F_{L} = 0,$$

$$xF_{3}^{+} = xD - x\overline{U},$$

$$xF_{3}^{-} = xU - x\overline{D}.$$

$$(1)$$

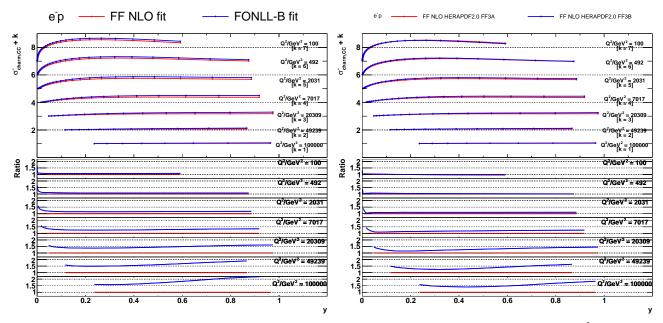


Figure 6 (left) The theoretical predictions for charm CC production at the LHeC as a function of y for different values of Q^2 obtained in the fit to the HERA data in the FFNS A and FONLL-B schemes. The bottom panel display the theoretical predictions normalised to the nominal values of the FFNS A predictions. (right) Same predictions but obtained in the FFNS A and FFNS B schemes using the HERAPDF2.0 FF3A and HERAPDF2.0 FF3B sets, respectively. The bottom panel display the theoretical predictions normalised to the nominal values of the FFNS B predictions.

The terms xU, xD, $x\overline{U}$ and $x\overline{D}$ denote the sums of parton₉₇ distributions for up-type and down-type quarks and anti₁₉₈ quarks, respectively. Below the b-quark mass threshold₁₉₉ these sums are related to the quark distributions as follows:₂₀₀

$$xU = xu + xc,$$

$$x\overline{U} = x\overline{u} + x\overline{c},$$

$$xD = xd + xs,$$

$$x\overline{D} = x\overline{d} + x\overline{s}.$$
(3)²⁰³
₂₀₄
₂₀₅

In the FFNS the charm quark density is zero. In the phase₀₀₇ space corners $y \to 0$ and $y \to 1$, the following asymptotics₀₀₈ take place:

$$\begin{split} y &\to 0: \sigma_{\mathrm{charm,CC}}^{\pm} = F_2^{\pm} = xD(x\overline{D}) + xU(x\overline{U}), \\ y &\to 1: \sigma_{\mathrm{charm,CC}}^{\pm} = 0.5(F_2^{\pm} \mp xF_3^{\pm}) = xU(x\overline{U}). \end{split} \tag{4}$$

Thus the contribution from the strange quark PDF is \sup_{214}^{213} pressed at high y.

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Figures 10, 11 and 12 show contributions from different partonic subprocesses for charm CC production cross sections in the FFNS A and FONLL-B schemes as a function²¹⁵ of $x_{\rm Bj}$ for different values of Q^2 , as a function of Q^2 for different values of $x_{\rm Bj}$, and as a function of Q^2 for different values²¹⁶ of Q^2 , respectively. In both scheme, the strange quark PDF²¹⁷ contributes only about 50% to total charm CC production.²¹⁸ In particular, at high y its contribution drops to zero in favor of the gluon or charm quark PDF (see Fig. 12 and Eq. 4). Similar phenomena (although less pronounced) is observed

at low $x_{\rm Bj}$ and/or high Q^2 . In these phase space regions, the dominant contributions to the cross sections are the gluon PDF (in the FFNS) or the charm quark PDF (in the VFNS). Remarkably, these contributions as functions of Q^2 , $x_{\rm Bj}$ and y behave qualitatively very similar in the FFNS and VFNS.

Figures 7,8,9*** display a particularly interesting pattern; the gluon contribution for the FFNS is strikingly similar to the charm contribution in the VFNS.

In the FFNS, the charm is produced predominantly from the explicit process $g\gamma \to c\bar{c}$. In contrast, for the VFNS the $g \to c\bar{c}$ splitting is implicit (internal to the proton and evolved with the DGLAP evolution equations); the charm parton then emerges from the proton to participate in the $c\gamma \to c$ process. The fundamental underlying process is the same in both the FFNS and VFNS, but the factorization boundary between the PDF and the hard scattering cross section, $f \otimes \hat{\sigma}$, (determined by μ and the scheme choice) is different.²

3 PDF constraints from charm CC pseudodata

The impact of charm CC cross section measurements at the LHeC on the PDFs is quantitatively estimated using a profiling technique [35]. This technique is based on minimizing

²Note there is a "subtraction" term $(g \to c\bar{c} \otimes c\gamma \to c)$ which closely matches the LO $c\gamma \to c$ process, but this $\mathscr{O}(\alpha \alpha_S)$ process is contained in the NLO gluon-initiated contribution.

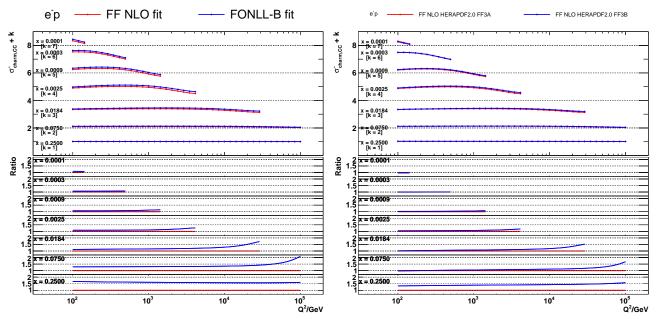


Figure 7 (left) The theoretical predictions for charm CC production at the LHeC as a function of Q^2 for different values of x_{Bj} . See Fig. 6 for further details.

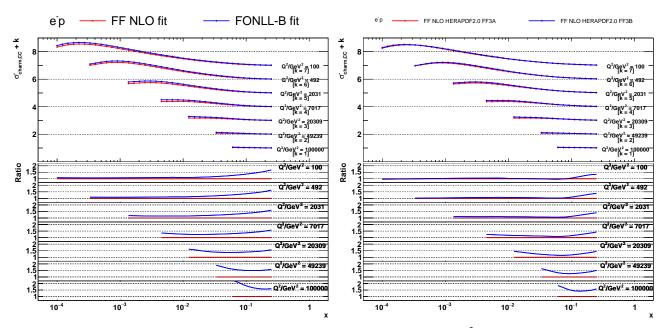


Figure 8 (left) The theoretical predictions for charm CC production at the LHeC as a function of Q^2 for different values of x_{Bj} . See Fig. 6 for further details.

 χ^2 between data and theoretical predictions taken into ac₂₂₈ count both experimental and theoretical uncertainties arising₂₀ from PDF variations. Two NLO PDF sets were chosen fo_{E30} this study: ABMP16 [29] and NNPDF3.1 [30] available via₃₁ the LHAPDF interface (version 6.1.5) [36]. All PDF sets are₃₂ provided with uncertainties in the format of eigenvectors. ₂₃₃

For this study, pseudodata representing measurements of charm CC production cross sections as a function of Q^2 and Q^2 are used. [TODO: describe how pseudodata were pro-

duced] The study is performed using the XFITTER program (version 2.0.0) [37], an open-source QCD fit framework for PDF determination. The theoretical predictions are calculated at NLO QCD in the FFNS with the number of active flavours $n_f=3$ and FONLL-B with $n_f=5$. The running charm mass is set to $m_c(m_c)=1.27$ GeV and α_s is set to the value used for the corresponding PDF extraction. The renormalisation and factorisation scales are chosen to be $\mu_r=\mu_f=Q^2$.

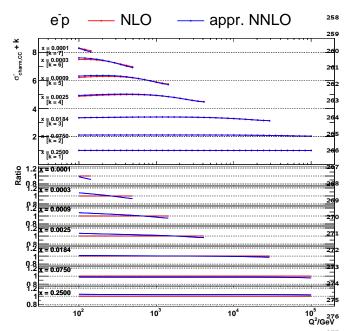


Figure 9 The theoretical predictions with their total uncertainties for charm CC production at the LHeC as a function of Q^2 for different values of $x_{\rm Bj}$ calculated in the FFNS A scheme at NLO and approximate NNLO. The bottom panel display the theoretical predictions normal=78 ised to the nominal values of the FFNS A NLO predictions.

The χ^2 value is calculated as follows:

$$\chi^2 = \mathbf{R}^T \mathbf{Cov}^{-1} \mathbf{R} + \sum_{\beta} b_{\beta, \text{th}}^2, \quad \mathbf{R} = \mathbf{D} - \mathbf{T} - \sum_{\beta} \Gamma_{\beta, \text{th}} b_{\beta, \text{th}}, \quad (5)$$

where **D** and **T** are the column vectors of the measured and predicted values, respectively, and the correlated theoretical PDF uncertainties are included using the nuisance parameter vector \boldsymbol{b}_{th} with their influence on the theory predictions described by $\Gamma_{\beta,th}$, where index β runs over all PDF eigenvectors. For each nuisance parameter a penalty term is added to the χ^2 , representing the prior knowledge of the parameter. No theoretical uncertainties except the PDF uncertainties are considered. The full covariance matrix representing the statistical and systematic uncertainties of the data is used in the fit. The statistical and systematic uncertainties are treated as additive, i.e., they do not change in the fit. The systematic uncertainties are assumed uncorrelated between bins.

To treat the asymmetric PDF uncertainties of the NNPDF3.1 set, the χ^2 function in Eq. 5 is generalised assuming a parabolic dependence of the prediction on the nuisance parameter [37]:

$$\Gamma_{\beta, \text{th}} \to \Gamma_{\beta, \text{th}} + \Omega_{\beta, \text{th}} b_{\beta, \text{th}},$$
 (6)

where $\Gamma_{\beta,\text{th}} = 0.5(\Gamma_{\beta,\text{th}}^+ - \Gamma_{\beta,\text{th}}^-)$ and $\Omega_{\beta} = 0.5(\Gamma_{\beta,\text{th}}^+ + \Gamma_{\beta,\text{th}}^-)$ are determined from the shifts of predictions corresponding to up $(\Gamma_{\beta,\text{th}}^+)$ and down $(\Gamma_{\beta,\text{th}}^-)$ PDF uncertainty eigenvectors.

The values of the nuisance parameters at the minimum, $b_{\beta,\text{th}}^{\text{min}}$ are interpreted as optimised, or profiled, PDFs, while their uncertainties determined using the tolerance criterion of $\Delta\chi^2=1$ correspond to the new PDF uncertainties. The profiling approach assumes that the new data are compatible with theoretical predictions using the existing PDFs, such that no modification of the PDF fitting procedure is needed. Under this assumption, the central values of the measured cross sections are set to the central values of the theoretical predictions.

The original and profiled ABMP16 and NNPDF3.1 PDF uncertainties are shown in Figs. 13–16. The uncertainties of the PDFs are presented at the scales $\mu_f^2 = 100~\text{GeV}^2$ and $\mu_f^2 = 100000~\text{GeV}^2$. A strong impact of the charm CC pseudodata on the PDFs is observed for both PDF sets. In particular, the uncertainties of the strange PDF are strongly reduced once the pseudodata are included in the fit. Also the gluon PDF uncertainties are decreased. Furthermore, in the case of the NNPDF3.1 set and FONLL scheme, the charm PDF uncertainties are reduced significantly.

4 Discussion and summary

Acknowledgements

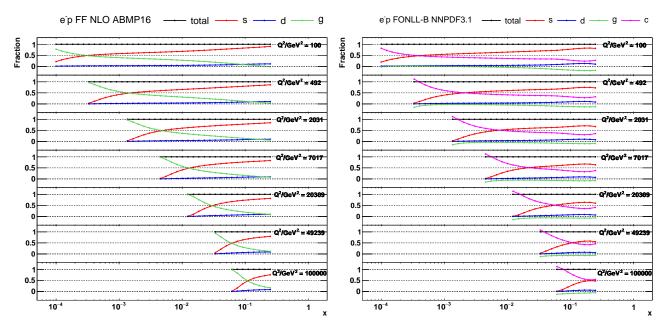


Figure 10 The partonic subprocesses for charm CC production cross sections in the FFNS A (left) and FONLL-B (right) schemes as a function of x_{Bj} for different values of Q^2 .

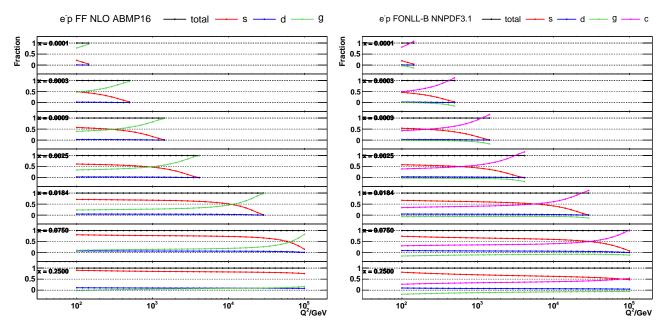


Figure 11 The partonic subprocesses for charm CC production cross sections in the FFNS A (left) and FONLL-B (right) schemes as a function of Q^2 for different values of $x_{\rm Bj}$.

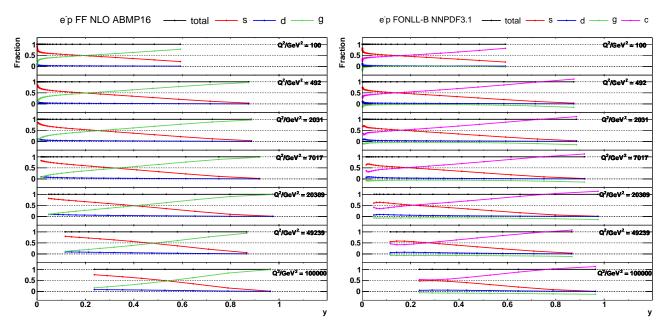


Figure 12 The partonic subprocesses for charm CC production cross sections in the FFNS A (left) and FONLL-B (right) schemes as a function of y for different values of Q^2 .

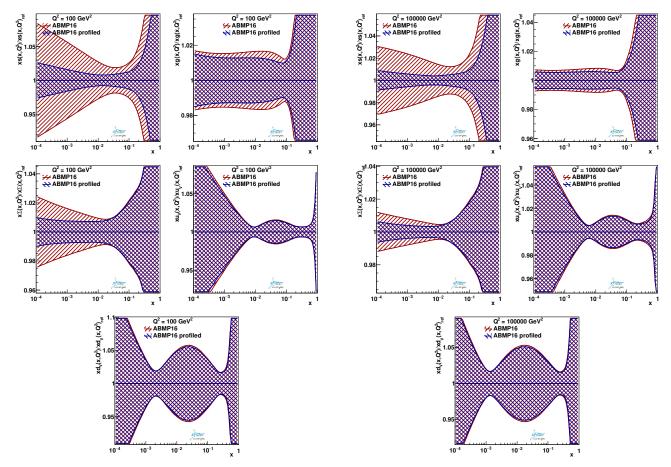


Figure 13 The relative strange (top left), gluon (top right), sea quark (middle left), u valence quark (middle right) and d valence quark (bottom) PDF uncertainties at $\mu_{\rm f}^2=100~{\rm GeV^2}$ of the original and profiled ABMP16 PDF set.

Figure 14 The relative strange (top left), gluon (top right), sea quark (middle left), u valence quark (middle right) and d valence quark (bottom) PDF uncertainties at $\mu_{\rm f}^2=100000~{\rm GeV^2}$ of the original and profiled ABMP16 PDF set.

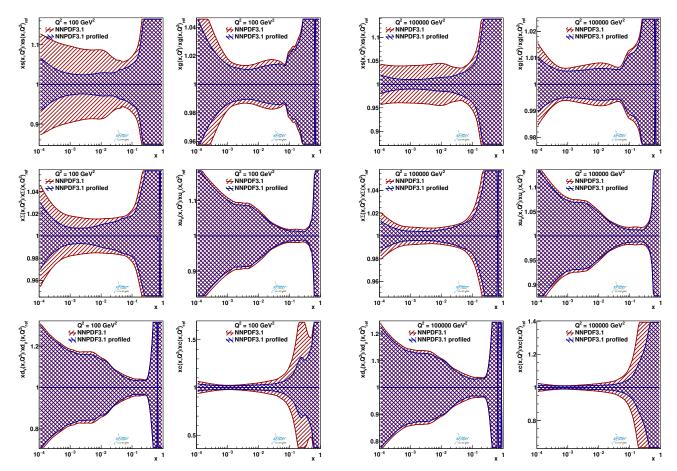


Figure 15 The relative strange (top left), gluon (top right), sea quark (middle left), u valence quark (middle right), d valence quark (bottom left) and charm quark (bottom right) PDF uncertainties at $\mu_{\rm f}^2=100$ GeV² of the original and profiled NNPDF3.1 PDF set.

Figure 16 The relative strange (top left), gluon (top right), sea quark (middle left), u valence quark (middle right), d valence quark (bottom left) and charm quark (bottom right) PDF uncertainties at $\mu_{\rm f}^2=100000$ GeV² of the original and profiled NNPDF3.1 PDF set.

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Appendix A: Defining F_2^{charm} **Beyond Lead Order**

The charged current DIS charm production process involves₂₅ some interesting issues. Because two quark masses are in₅₂₆ volved $\{m_s, m_c\}$, we can separately examine the mass singu- $_{327}$ larities of the t-channel and u-channel separately; this sep $_{528}$ aration is particularly useful to understand how the FFNS $_{329}$ and VFNS divide up the contributions to the total structure $_{330}$ function. Additionally, the DIS charm production allows us $_{331}$ to identify the deficiencies we encounter due to the fact that a truly "inclusive" F_2^{charm} is not a theoretically well-defined observable.

[FRED: THIS INTRO IS STILL ROUGH] Suppose w@33 attempt to compute the "inclusive" F_2^{charm} for Charged Cur334 rent (CC) charm production at NLO in the VFNS. The ob335 vious LO diagram is $(sW^+ \to c)$. What is not so obvious i§36 we also will need $(\bar{c}W^+ \to \bar{s})$. This is because the \bar{c} come§37 from a gluon splitting to $c\bar{c}$, and the c goes down the beam338 pipe along with the hadron remnants. All three u-channe 1339 terms displayed in Fig. *** are required for the result to b@40 both i) insensitivity to the μ -scale, and ii) be free of mas§41 singularities at large Q^2 scales; but this requires measuring 142 charm quarks in an experimentally unaccessible region—the343 hadron remnants. This is why a truly "inclusive" F_2^{charm} i§44 ill-defined; it is experimentally unobservable.

What is actually measured experimentally is a differential charm production process which must include a resol₃₄₅ ution scale (or regulator) to make a cut on charm quarks in the beam fragments; this "non-inclusive" F_2^{charm} (or "exclus₃₄₆ ive") measurement can be well defined.

For this discussion we will focus on the NLO gluon ini₃₄₈ tiated graphs; there are a parallel set of NLO quark initiated₄₄₉ processes, but the principles are fully illustrated by the gluon₃₅₀ processes.

Additionally, we note that an "inclusive" F_2^{charm} in the FFNS is also ill-defined; at higher orders we have $g \to c\bar{a}_{55}$ processes which make it impossible to separate out a "charmass" only" contribution from the total F_2 .⁴

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Appendix A.1: t-channel at NLO

The t-channel contributions at NLO are straightforward. We₆₀₀ start with a leading-order (LO) $sW^+ \to c$ process. We then₆₁ add the next-to-leading-order (NLO) $gW^+ \to c\bar{s}$ diagram₅₀₂ this exchanges an s quark in the t-channel, and thus will₆₃

have a $\ln(m_s^2/Q^2)$ divergence for large Q. This is resolved by the subtraction (SUB) term $f_g \otimes \mathscr{P}_{g \to s} \otimes \sigma_{sW^+ \to c}$ where the $\mathscr{P}_{g \to s}$ represents a perturbative splitting of $g \to s$; the SUB term is proportional to $\mathscr{P}_{g \to s} \sim \frac{\alpha_s}{2\pi} P_{g \to s}^{(1)} \ln(m_s^2/Q^2)$, and will cancel the double counting between the LO and NLO graphs in the limit where the exchanged s quark becomes collinear. The logarithmic divergence (mass singularity) will cancel between the NLO and SUB terms as $Q^2 \to \infty$, resulting in a finite result for the NLO t-channel contribution.

Appendix A.2: u-channel at NLO

The u-channel at NLO is more subtle. We definitely need the NLO $gW^+ \to c\bar{s}$ diagram with a \bar{c} quark exchanged in the u-channel, and thus will have a $\ln(m_c^2/Q^2)$ divergence for large Q. This is resolved by the subtraction term $f_g \otimes \mathscr{P}_{g\to \bar{c}} \otimes \sigma_{\bar{c}W^+\to \bar{s}}$ where the $\mathscr{P}_{g\to \bar{c}}$ represents a perturbative splitting of $g\to \bar{c}$ and will cancel the double counting between the LO and NLO graphs in the limit where the exchanged \bar{c} quark becomes collinear. Here, the SUB term is proportional to $\mathscr{P}_{g\to \bar{c}} \sim \frac{\alpha_S}{2\pi} P_{g\to \bar{c}}^{(1)} \ln(m_c^2/Q^2)$. The logarithmic divergence will cancel between the NLO and SUB terms as $Q^2\to \infty$, resulting in a finite result for the NLO u-channel contribution.

Appendix A.3: Why do we need the LO $(\bar{c}W^+ \rightarrow \bar{s})$?

What is not so obvious is that we need the LO *u*-channel process $\bar{c}W^+ \to \bar{s}$.

Recall that it is essential we include the subtraction SUB term $f_g \otimes \mathscr{P}_{g \to \bar{c}} \otimes \sigma_{\bar{c}W^+ \to \bar{s}}$ so that we get a finite answer at large energies $Q^2 \to \infty$.

At energy scales $Q \sim m_c$, the LO and SUB terms remove the double counting between the LO and NLO processes. This is most apparent when you plot the individual terms versus the Q scale (or more properly, it is the μ scale). [See Figure] In the region of $Q \sim m_c$, the charm PDF f_c (and hence, the LO contribution) rises very quickly as it is driven by the very large gluon, and coupled with a large $\alpha_S(m_c)$. The SUB subtraction also rises quickly as this is driven by the logarithmic term $\ln(m_e^2/Q^2)$. The difference LO-SUB is the physical contribution to the total (TOT=LO+NLO-SUB), and it is this combination which is smooth across the "turn on" of the charm PDF. We now see that if we neglect the LO $(\bar{c}W^+ \to \bar{s})$ we loose the cancellation between LO and SUB in the $Q \sim m_c$ and our structure function (or cross section) would have an anomalous shift at the location where we arbitrarily turn on the charm PDF.

³The proof of factorization for heavy quarks by Collins cite**** addressed a fully inclusive F_2 ; it specifically avoided the ill-defined F_2 : 366

⁴See for example. Ref. Smith and van Neerven cite*** At $\mathcal{O}(\alpha_S^3)$ we can have internal $g \to c\bar{c}$ processes which make a F_2^{charm} definition ambiguous. This issues is particularly problematic in beta-function which sums over internal quark loops and determines the running of α_S .

⁵Here we use $\mathscr{P}_{g\to s}$ to represent the perturbative splitting contribution which at NLO is given by $\frac{\alpha_S}{2\pi}P_{g\to s}^{(1)}\ln(m_s^2/Q^2)$, where $P_{g\to s}^{(1)}$ is the usual DGLAP splitting kernel.

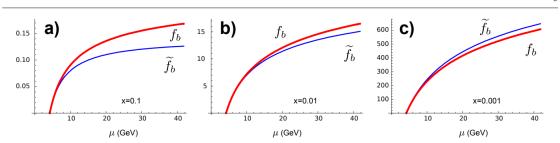


Fig. 2 The comparison of the DGLAP evolved PDF $f_b(x, \mu)$ and the perturbatively calculated $\widetilde{f_b}(x, \mu)$ as a function of μ for selected x values. For $\mu \to m_b$ we find the functions match precisely: $\widetilde{f_b}(x, \mu) \to f_b(x, \mu)$. We have used NNPDF30_lo_as_118_nf_6 as the base PDF set

Figure 17 We may modify or trim this or switch to charm. The LO contributions correspond to the heavy quark initiated f_b , and the SUB to \tilde{f}_b . The cancellation (LO-SUB) is quite precise. If we were to remove LO or SUB, our TOT result would have anomalous contributions (and correspondingly anomalous μ -dependence) in the region $Q \sim m$.

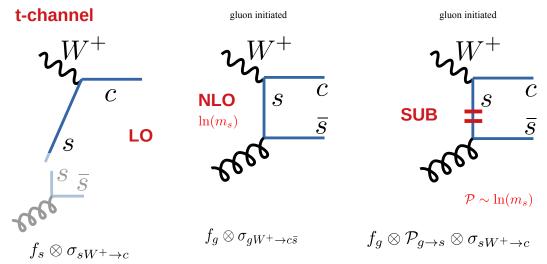


Figure 18 Gluon NLO t-channel processes

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So to recap, the combination of the LO and SUB terms₈₈₃ ensure a minimal μ -variation at low μ scales, and the combination of SUB and NLO ensure the mass singularities are canceled at high μ scales.

Appendix A.4: FFNS: u-channel for
$$N_F = 3$$

Let us clarify the case where we work in a FFNS with 3 flavors $\{u,d,s\}$ but no charm PDF. In this case there is no LO^{90} $(\bar{c}W^+ \to \bar{s})$ process as $f_c = 0$, and there is no u-channel sub³⁰¹ traction $f_g \otimes \mathscr{P}_{g \to \bar{c}} \otimes \sigma_{\bar{c}W^+ \to \bar{s}}$. This is all perfectly consist³⁰² ent. However, the NLO u-channel process $(gW^+ \to c\bar{s})$ will¹⁰³ have a potentially divergent $\ln(m_c^2/Q^2)$ contribution from the exchanged charm quark; this is fine so long as we don't¹⁰⁴ go to large Q. If we do want large Q, then we will need tq. 10 feet Ω go to large Ω and Ω logs using the charm PDF. 10 Ω

We expect this FFNS to diverge from the VFNS resultions by contributions proportional to $\sim \frac{\alpha_S}{2\pi} \ln(m_c^2/Q^2)$.

Appendix A.5: The bottom line:

A truly "inclusive" F_2^{charm} is ill-defined. Instead, we necessarily must an "experimentally" defined F_2^{charm} where we specify conditions so that the final state charm is isolated from the hadron remnants.

We can talk about a fully inclusive F_2 where we include all flavors; this was the subject of Collins' proof.

If we compute a "pseudo-inclusive" F_2^{charm} in the Variable Flavor Number Scheme, we do need to include the LO $(\bar{c}W^+ \to \bar{s})$ and the associated SUB $(gW^+ \to c\bar{s})$.

We can compute in the Fixed Flavor Number Scheme, but in the large energy limit, we encounter $\ln(m_c^2/Q^2)$ divergences. In practice, our Q scales are not large enough to generate infinities, but they are large enough where we see the resummed logs included in the VFNS charm PDF become important. Regardless, the FFNS is also unable to define a truly "inclusive" F_2^{charm} .

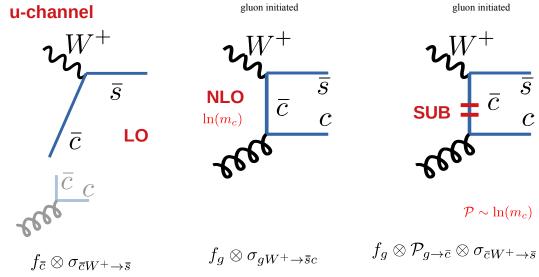


Figure 19 Gluon NLO u-channel processes

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