

# 导数的应用

## 单调性

$$y=f(x)(x\in D)$$

1. 若 $\forall x_1,x_2\in D$ 且 $x_1<x_2$ ,有 $f(x_1)<f(x_2)$   
称 $f(x)$ 在 $D$ 上为严格单调递增函数
2. ...

$$f(x)\in C[a,b],(a,b)\text{内可导}$$

- ① $f'(x)>0(a<x<b)\Rightarrow f(x)$ 在 $[a,b]\uparrow$
- ② $f'(x)<0(a<x<b)\Rightarrow f(x)$ 在 $[a,b]\downarrow$

$$\text{证: 设}f'(x)>0(a<x<b)$$

$$\forall x_1,x_2\in [a,b]\text{且}x_1<x_2$$

$$\begin{aligned}f(x_2)-f(x_1)&=f'(\xi)(x_2-x_1)>0(x_1<\xi<x_2)\\&\Rightarrow f(x_1)<f(x_2)\Rightarrow f(x)\text{在}[a,b]\text{上}\uparrow\end{aligned}$$

求单调区间步骤

$$y=f(x)$$

- ① $x\in D$
- ② $f'(x)\begin{cases}=0\\不存在\end{cases}\Rightarrow x_1,\dots,x_n$
- ③在每个小区间内判断 $f'$ 正负,可得单调区间

## 极值

$$y=f(x)$$

$$\textcircled{1} x \in D$$

$$\textcircled{2} f'(x) \begin{cases} = 0 (\text{驻点}) \\ \text{不存在} \end{cases} \Rightarrow x = \dots$$

③判断：

第一充分条件

$$1. \begin{cases} x < x_0 : f'(x) < 0 \\ x > x_0 : f'(x) > 0 \end{cases} \Rightarrow x = x_0 \text{为极小点}$$

$$2. \begin{cases} x < x_0 : f'(x) > 0 \\ x > x_0 : f'(x) < 0 \end{cases} \Rightarrow x = x_0 \text{为极大点}$$

第二充分条件

$$\text{设 } f'(x_0) = 0, f''(x_0) \begin{cases} > 0 \Rightarrow x_0 \text{为极小点} \\ < 0 \Rightarrow x_0 \text{为极大点} \end{cases}$$

$$f''(x_0) > 0 : \lim_{x \rightarrow x_0} \frac{f'(x)}{x - x_0} > 0$$

$$\exists \delta > 0, \text{当 } 0 < |x - x_0| < \delta \text{时}, \frac{f'(x)}{x - x_0} > 0$$

$$\Rightarrow \begin{cases} f'(x) < 0, x \in (x_0 - \delta, x_0) \\ f'(x) > 0, x \in (x_0, x_0 + \delta) \end{cases} \Rightarrow x = x_0 \text{为极小点}$$

$$f''(x_0) < 0 : \lim_{x \rightarrow x_0} \frac{f'(x)}{x - x_0} < 0$$

$$\exists \delta > 0, \text{当 } 0 < |x - x_0| < \delta \text{时}, \frac{f'(x)}{x - x_0} < 0$$

$$\Rightarrow \begin{cases} f'(x) > 0, x \in (x_0 - \delta, x_0) \\ f'(x) < 0, x \in (x_0, x_0 + \delta) \end{cases} \Rightarrow x = x_0 \text{为极大点}$$

求函数  $f(x) = x^2 e^{-x}$  的极值点与极值.

$$\textcircled{1} x \in (-\infty, +\infty)$$

$$\textcircled{2} f'(x) = (2x - x^2)e^{-x} = 0 \Rightarrow x = 0, x = 2$$

$$\textcircled{3} \begin{cases} x < 0 : f'(x) < 0 \\ 0 < x < 2 : f'(x) > 0 \end{cases} \Rightarrow x = 0 \text{为极小点, 极小值 } f(0) = 0$$

$$\begin{cases} 0 < x < 2 : f'(x) < 0 \\ x > 2 : f'(x) > 0 \end{cases} \Rightarrow x = 2 \text{为极大点, 极大值 } f(2) = \frac{4}{e^2}$$

设  $f(x) = \ln x - \frac{x}{e}$ , 求函数  $f(x)$  的极值点与极值.

$$\textcircled{1} x \in (0, +\infty)$$

$$\textcircled{2} f'(x) = \frac{1}{x} - \frac{1}{e} \Rightarrow x = e$$

$$f''(x) = -\frac{1}{x^2}$$

$$\because f''(e) = -\frac{1}{e^2} < 0, \therefore x = e \text{为最大点, } M = f(e) = 0$$

$$f(x) \text{可导, } f'(1) = 0, \lim_{x \rightarrow 1} \frac{f'(x)}{\sin^3 \pi x} = 2, x = 1?$$

$$\exists \delta > 0, \text{当 } 0 < |x - 1| < \delta \text{时}, \frac{f'(x)}{\sin^3 \pi x} > 0$$

$$\begin{cases} f'(x) > 0, x \in (1 - \delta, 1) \\ f'(x) < 0, x \in (1, 1 + \delta) \end{cases} \Rightarrow x = 1 \text{为极大点}$$

证:  $x > 0$  时,  $\frac{x}{1+x} < \ln(1+x) < x$

证: 1.  $f(x) = x - \ln(1+x), f(0) = 0$

$$f'(x) = 1 - \frac{1}{1+x} > 0 (x > 0) \Rightarrow f(x) \text{ 在 } [0, +\infty) \uparrow$$

$$\therefore \begin{cases} f(0) = 0 \\ f'(x) > 0 (x > 0) \end{cases} \therefore f(x) > 0 (x > 0)$$

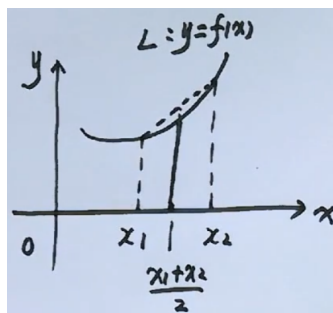
2.  $g(x) = \ln(1+x) - \frac{x}{1+x}, g(0) = 0$

$$g'(x) = \frac{1}{1+x} - \frac{1}{(1+x)^2} = \frac{x}{(1+x)^2} > 0 (x > 0)$$

$$\begin{cases} g(0) = 0 \\ g'(x) > 0 (x > 0) \end{cases} \Rightarrow g(x) > 0 (x > 0)$$

## 凹凸性

$$y = f(x) (x \in D)$$



1. 若  $\forall x_1, x_2 \in D$  且  $x_1 \neq x_2$ , 有

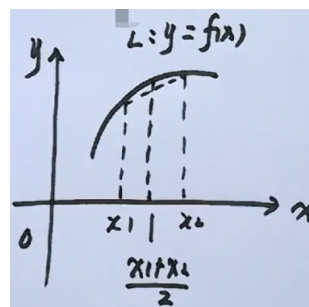
$$f\left(\frac{x_1+x_2}{2}\right) < \frac{f(x_1)+f(x_2)}{2}$$

称  $f(x)$  在  $D$  上为下凹函数

2. 若  $\forall x_1, x_2 \in D$  且  $x_1 \neq x_2$ , 有

$$f\left(\frac{x_1+x_2}{2}\right) > \frac{f(x_1)+f(x_2)}{2}$$

称  $f(x)$  在  $D$  上为上凸函数



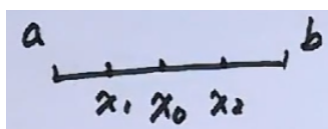
$$y = f(x) (x \in D), x_0 \in D,$$

若  $y = f(x)$  在  $x = x_0$  左、右凹凸性不同, 称  $(x_0, f(x_0))$  为  $y = f(x)$  的拐点

$f(x) \in C[a, b], (a, b)$  内二阶可导

① 若  $f''(x) > 0 (a < x < b) \Rightarrow f(x)$  在  $[a, b]$  上凹

② 若  $f''(x) < 0 (a < x < b) \Rightarrow f(x)$  在  $[a, b]$  上凸



$$\text{证: } \forall x_1, x_2 \in [a, b], \text{ 且 } x_1 \neq x_2, \frac{x_1 + x_2}{2} \triangleq x_0$$

$$f(x) = f(x_0) + f'(x_0)(x - x_0) + \frac{f''(\xi)}{2!}(x - x_0)^2 (\xi \text{ 在 } x_0 \text{ 与 } x \text{ 之间})$$

$$\because f''(x) > 0 (a < x < b)$$

$$\therefore \frac{f''(\xi)}{2!}(x - x_0)^2 \geq 0$$

$$\Rightarrow f(x) \geq f(x_0) + f'(x_0)(x - x_0)$$

$$= \text{成立} \Leftrightarrow x = x_0$$

$$\therefore x_1 \neq x_0, x_2 \neq x_0$$

$$\therefore \begin{cases} \frac{1}{2}f(x_1) > \frac{1}{2}f(x_0) + \frac{1}{2}f'(x_0)(x_1 - x_0) \\ \frac{1}{2}f(x_2) > \frac{1}{2}f(x_0) + \frac{1}{2}f'(x_0)(x_2 - x_0) \end{cases}$$

$$\Rightarrow \frac{f(x_1) + f(x_2)}{2} > f(x_0) + f'(x_0)\left(\frac{x_1 + x_2}{2} - x_0\right)$$

$$\Rightarrow f\left(\frac{x_1 + x_2}{2}\right) < \frac{f(x_1) + f(x_2)}{2}$$

$$\therefore f(x) \text{ 在 } [a, b] \text{ 上凹}$$

设曲线  $L: y = f(x) = e^{-(x-1)^2}$ , 求曲线的凹凸区间及拐点.

$$\textcircled{1} x \in (-\infty, +\infty)$$

$$\textcircled{2} f'(x) = -2(x-1)e^{-(x-1)^2}$$

$$f''(x) = 4e^{-(x-1)^2}\left[(x-1)^2 - \frac{1}{2}\right] = 0$$

$$x = 1 - \frac{1}{\sqrt{2}}, x = 1 + \frac{1}{\sqrt{2}}$$

$$x \in (-\infty, 1 - \frac{1}{\sqrt{2}}) \text{ 时, } f'' > 0, x \in (1 - \frac{1}{\sqrt{2}}, 1 + \frac{1}{\sqrt{2}}) \text{ 时, } f'' < 0$$

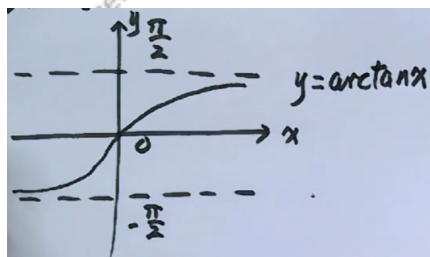
$$x \in (1 + \frac{1}{\sqrt{2}}, +\infty) \text{ 时, } f'' > 0$$

$$f(1 - \frac{1}{\sqrt{2}}) = e^{-\frac{1}{2}}, f(1 + \frac{1}{\sqrt{2}}) = e^{-\frac{1}{2}}$$

$$\therefore (-\infty, 1 - \frac{1}{\sqrt{2}}] \text{ 与 } [1 + \frac{1}{\sqrt{2}}, +\infty) \text{ 为凹区间, } [1 - \frac{1}{\sqrt{2}}, 1 + \frac{1}{\sqrt{2}}] \text{ 为凸区间}$$

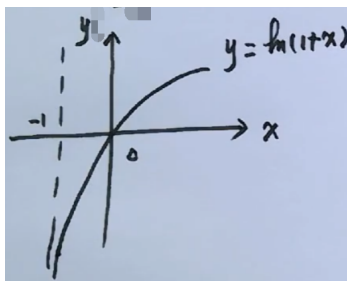
$$(1 - \frac{1}{\sqrt{2}}, e^{-\frac{1}{2}}), (1 + \frac{1}{\sqrt{2}}, e^{-\frac{1}{2}}) \text{ 为拐点}$$

## 渐近线

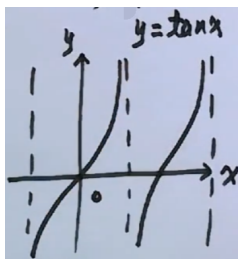


$$\arctan x \rightarrow -\frac{\pi}{2} (x \rightarrow -\infty)$$

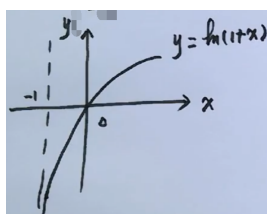
$$\arctan x \rightarrow \frac{\pi}{2} (x \rightarrow +\infty)$$



$$\ln(1+x) \rightarrow -\infty (x \rightarrow -1^+)$$



$$\tan x \rightarrow \infty (x \rightarrow \frac{\pi}{2})$$



## 水平渐近线

$L: y = f(x)$ , 若  $\lim_{x \rightarrow \infty} f(x) = A$ ,  $y = A$  称为水平渐近线

## 铅直渐近线

$$L: y = f(x)$$

$$\text{若 } \begin{cases} f(a-0) = \infty \\ f(a+0) = \infty \\ \lim_{x \rightarrow a} f(x) = \infty \end{cases}, \text{ 称 } x = a \text{ 为铅直渐近线}$$

## 斜渐近线

$$L: y = f(x)$$

$$\text{若 } \lim_{x \rightarrow \infty} \frac{f(x)}{x} = a (\neq 0, \infty), \lim_{x \rightarrow \infty} [f(x) - ax] = b$$

$$y = ax + b \text{ 为斜渐近线}$$

求曲线  $y = \frac{x^2 - 3x + 2}{x^2 - 1} e^{\frac{1}{x}}$  的水平渐近线与铅直渐近线.

$$\because \lim_{x \rightarrow \infty} f(x) = \lim_{x \rightarrow \infty} \frac{x^2 - 3x + 2}{x^2 - 1} e^{\frac{1}{x}} = 1$$

$\therefore y = 1$  为水平渐近线

$$\because \lim_{x \rightarrow -1} f(x) = \infty, \therefore x = -1 \text{ 为铅直渐近线}$$

$$\because f(0+0) = -\infty, \therefore x = 0 \text{ 为铅直渐近线}$$

$$\because \lim_{x \rightarrow 1} f(x) = \lim_{x \rightarrow 1} \frac{x-2}{x+1} e^{\frac{1}{x}} = -\frac{e}{2} \neq \infty$$

$\therefore x = 1$  不是铅直渐近线

$$\text{求曲线 } y = \frac{2x^2 - x + 3}{x + 1} \text{ 的斜渐近线.}$$

$$\because \lim_{x \rightarrow \infty} f(x) = \infty, \therefore \text{无水平渐近线}$$

$$\because \lim_{x \rightarrow -1} f(x) = \infty, \therefore x = -1 \text{ 为铅直渐近线}$$

$$\because \lim_{x \rightarrow \infty} \frac{f(x)}{x} = 2$$

$$\lim_{x \rightarrow \infty} [f(x) - 2x] = \lim_{x \rightarrow \infty} \frac{-3x + 3}{x + 1} = -3$$

$\therefore y = 2x - 3$  为斜渐近线

$$L: y = f(x) = \sqrt{x^2 + 4x + 8} - x$$

$$1. \lim_{x \rightarrow -\infty} f(x) = +\infty$$

$$\lim_{x \rightarrow +\infty} f(x) = \lim_{x \rightarrow +\infty} \frac{4x + 8}{\sqrt{x^2 + 4x + 8} + x} = 2$$

$\Rightarrow y = 2$  为水平渐近线

$$2. \because y = f(x) \text{ 处处连续} \therefore \text{无铅直渐近线}$$

$$3. \lim_{x \rightarrow -\infty} \frac{f(x)}{x} = \lim_{x \rightarrow -\infty} \frac{\sqrt{x^2 + 4x + 8} - x}{x} = -2$$

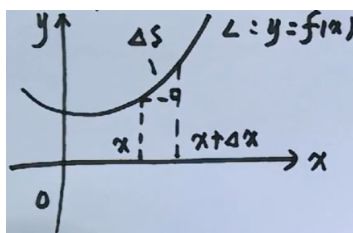
$$\lim_{x \rightarrow -\infty} [f(x) + 2x] = \lim_{x \rightarrow -\infty} (\sqrt{x^2 + 4x + 8} + x)$$

$$= \lim_{x \rightarrow -\infty} \frac{4x + 8}{\sqrt{x^2 + 4x + 8} - x} = -2$$

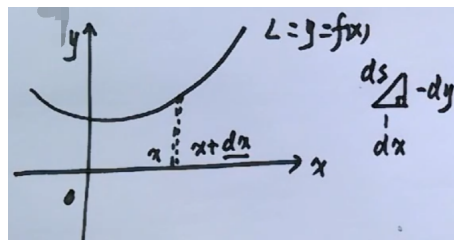
$\therefore y = -2x - 2$  为斜渐近线

## 曲率、曲率半径

### 弧微分 (弧元素)



$$(\Delta s)^2 \approx (\Delta x)^2 + (\Delta y)^2$$



$$(ds)^2 = (dx)^2 + (dy)^2$$

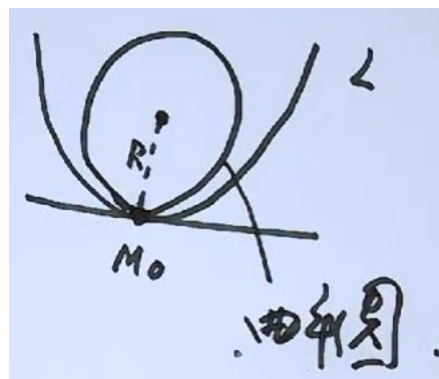
## 直角坐标

$$\begin{aligned} L: y &= f(x) \\ ds &= \sqrt{(dx)^2 + (dy)^2} = \sqrt{1 + \left(\frac{dy}{dx}\right)^2} dx \\ &= \sqrt{1 + f'^2(x)} dx \end{aligned}$$

## 参数形式

$$\begin{aligned} L: \begin{cases} x = \Phi(t) \\ y = \phi(t) \end{cases} \\ ds &= \sqrt{(dx)^2 + (dy)^2} = \sqrt{\left(\frac{dx}{dt}\right)^2 + \left(\frac{dy}{dt}\right)^2} dt \\ &= \sqrt{\Phi'^2(t) + \phi'^2(t)} dt \end{aligned}$$

## 曲率、曲率半径



$$L: y = f(x), M_0(x_0, y_0) \in L$$

$$k = \frac{|y''|}{(1 + y'^2)^{\frac{3}{2}}}$$

$$R = \frac{1}{k}$$