

FRACTAL NETWORKS MODELED BY SOME FRACTAL CARPET

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Abstract

We construct a family of evolving networks modeled by some fractal carpet which is not symmetric. We investigate the scale-free effect and small-world effect of our fractal networks, including the power-law of the cumulative degree distribution, the average clustering coefficient and the average geodesic distance.

Keywords: Fractal Network; Self-Similarity; Scale-Free Effect; Small-World Effect.

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1. INTRODUCTION

Recently complex networks have attracted more and more attention of scholars. There are some interesting properties revealed in complex networks, such as the small-world effect¹ and scale-free effect,² also see Ref. 3.

Mandelbrot⁴ introduced notions of the fractal and the self-similarity, and then Hutchinson⁵ characterized deterministic self-similar sets in terms of the iterated function system (IFS) and calculated the Box and Hausdorff dimensions of self-similar sets under the open set condition. Song *et al.*⁶⁻⁸ discovered the self-similarity and fractal dimension on many real-world networks, for example, the WWW, the human brain, metabolic network, protein interaction network and so on. On the other hand, complex networks can be constructed modeled by self-similar fractals, for example, Sierpinski networks (by Zhang *et al.*⁹⁻¹¹), Koch networks (by Dai *et al.*^{12,13}) and Vicsek networks (by Zhang *et al.*¹⁴ and by Deng *et al.*¹⁵).

In particular, Xi *et al.* proposed a new approach to construct fractal networks based on the symbolic system and the IFS,^{16,17} and studied average geodesic distances on networks¹⁸⁻²⁰; From then on, using the approach of the symbolic system and the IFS, Xue *et al.*,²¹⁻²⁴ Niu and Shao²⁵ and Huang and Peng²⁶ researched fractal networks modeled by self-similar fractals. In their models, fractals have *symmetric* structures.

In this paper, we will construct evolving networks based on some *non-symmetric* fractal carpet and investigate the small-world effect and scale-free effect of our networks.

Let us consider an initial solid square $Q = [0, 1]^2$ and an IFS $\{S_i(x) = x/3 + a_i\}_{i=1}^5$ of some planar carpet, where

$$\begin{aligned} a_1 &= (0, 0), & a_2 &= (1/3, 0), & a_3 &= (2/3, 0), \\ a_4 &= (2/3, 2/3), & a_5 &= (0, 2/3), \end{aligned}$$

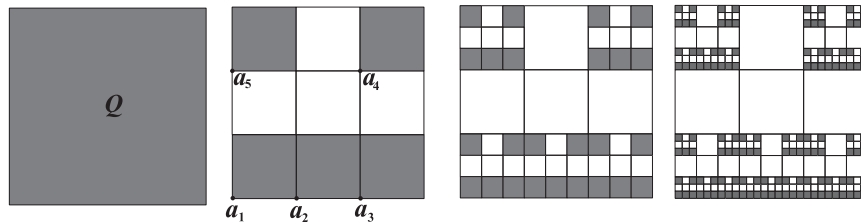


Fig. 1 The first four constructions of the fractal carpet.

then there is a unique invariant nonempty compact set

$$E = \bigcup_{i=1}^5 S_i(E).$$

We call E a fractal carpet. Given a word $\sigma = i_1 i_2 \cdots i_k \in \{1, \dots, 5\}^k$, we write its length $|\sigma| = k$ and

$$S_\sigma = S_{i_1 i_2 \cdots i_k} = S_{i_1} \circ S_{i_2} \cdots \circ S_{i_k}$$

and denote by $Q_\sigma = S_\sigma(Q)$ a basic (solid) square of rank k with side length 3^{-k} . Note that $E = \bigcap_{k=1}^\infty E_k$ with $E_k = \bigcup_{|\sigma|=k} S_\sigma(Q)$, where E_k is said to be the k th construction of the fractal carpet. See Fig. 1 for the first four constructions of the fractal carpet.

By the iteration, the above IFS provides a natural encoding approach for the fractal carpet. We use the word σ to encode (or represent) the solid square Q_σ , see Fig. 2.

Suppose $\sigma = i_1 i_2 \cdots i_k$ and $\tau = i_1 i_2 \cdots i_k i_{k+1} \cdots i_{|\tau|}$ with $\sigma \neq \tau$, we call σ a prefix of τ , denoted by $\sigma \prec \tau$. In particular, we call σ the father of τ and call τ the child of σ , if $\sigma \prec \tau$ and $|\tau| = |\sigma| + 1$. Then any word has five children, and any word $\sigma = i_1 i_2 \cdots i_{k-1} i_k$ has a unique father $\sigma^- = i_1 i_2 \cdots i_{k-1}$. For any integer $k \geq 1$, we let Δ_k denote the set of all words of length k . When $k = 0$, let $\Delta_0 = \{\emptyset\}$, where \emptyset is the empty word with $|\emptyset| = 0$.

We take the above finite words including the empty word as the node set of the networks. Fix an integer $t \geq 0$, we construct G_t with node set $V_t = \bigcup_{k=0}^t \Delta_k$. We also define the edge set of G_t as follows, for two distinct words $\sigma, \tau \in V_t$, denoted by $\sigma \sim \tau$, if and only if the intersection of ∂Q_σ and ∂Q_τ contains at least a non-degenerate line segment, where ∂A is the boundary of the set A . Then we obtain $\{G_t\}$. See Fig. 3 for G_3 .

Let $\#V_t$ be the number of words in the set V_t . Then we have

$$\#V_t = 1 + 5 + 5^2 + \cdots + 5^t = (5^{t+1} - 1)/4. \quad (1.1)$$

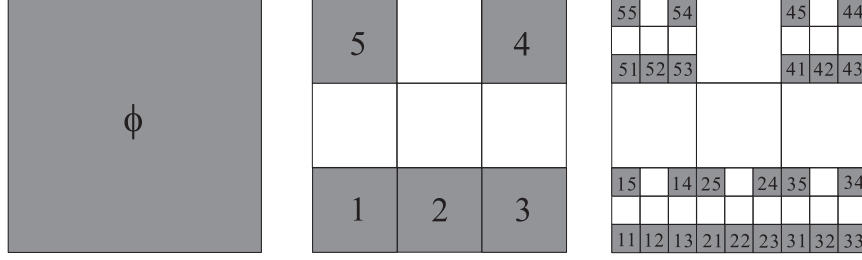


Fig. 2 Encoding basic squares of rank 0, 1, 2.

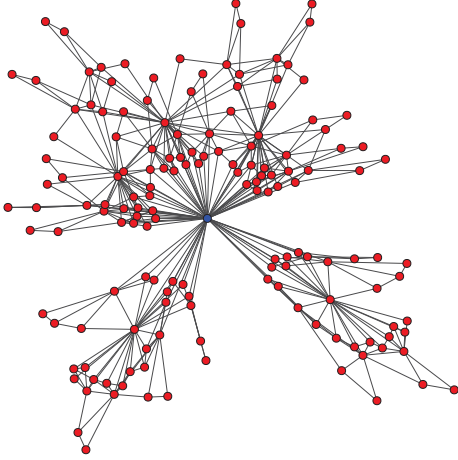


Fig. 3 G_3 .

We notice that if $\sigma = i_1 i_2 \cdots i_k \in \{1, 2, 3\}^k$ then Q_σ intersects Q with the bottom line of $Q = [0, 1]^2$. In the same way, we have the following remark.

Remark 1. If $\tau = \sigma\beta$, then $\sigma \sim \tau$ if and only if $\beta \in \{1, 2, 3\}^{|\beta|}, \{3, 4\}^{|\beta|}, \{4, 5\}^{|\beta|}$ or $\{5, 1\}^{|\beta|}$.

For any word $\sigma = i_1 i_2 \cdots i_k \neq \emptyset$, we can find a unique shortest word $f(\sigma)$ such that

$$f(\sigma) \prec \sigma \quad \text{and} \quad f(\sigma) \sim \sigma.$$

For a word $\sigma = \tau\tau'$, where τ' is the maximal suffix of σ such that $\tau' \in \{1, 2, 3\}^{|\tau'|}, \{3, 4\}^{|\tau'|}, \{4, 5\}^{|\tau'|}$ or $\{5, 1\}^{|\tau'|}$. Iterating f again and again, we obtain a sequence from σ to \emptyset :

$$\sigma \sim f(\sigma) \sim f^2(\sigma) \sim \cdots \sim f^n(\sigma) = \emptyset.$$

Then we let $\omega(\sigma) = n$.

Example 1. For $\sigma = 1245123 = (12)(45)(123)$, we have

$$\begin{aligned} f(\sigma) &= (12)(45), \\ f^2(\sigma) &= (12), \\ f^3(\sigma) &= \emptyset, \end{aligned}$$

and $\omega(\sigma) = 3$.

2. CUMULATIVE DEGREE DISTRIBUTION

Let $\deg(\sigma)$ be the numbers of neighbors of σ , and $P_{\text{cum}}(u)$ the cumulative degree distribution of G_t , i.e.

$$P_{\text{cum}}(u) = \frac{\#\{\sigma : \deg(\sigma) \geq u\}}{\#V_t}.$$

Theorem 1. Letting $t \geq 10$ and $u \geq 3^{t/2+1}$, we have

$$P_{\text{cum}}(u) \propto u^{-\log_3 5}.$$

See Fig. 4 for the log-log graphs of cumulative degree distributions with $t = 4, 5, 6$. To prove this theorem, we first claim the following facts.

Claim 1. Suppose $\sigma \in V_t$.

(1) For $i > |\sigma|$, we have

$$3^{i-|\sigma|} \leq \#\{\tau \in \Delta_i : \tau \sim \sigma\} \leq 3^{i-|\sigma|} + 3 \cdot 2^{i-|\sigma|}.$$

(2) For $i \leq |\sigma|$, we get

$$\#\{\tau \in \Delta_i : \tau \sim \sigma\} \leq 2.$$

Lemma 1. Suppose $t \geq 4$, $k \leq \frac{t}{2}$ and $t - k \geq 5$, we have

$$\{\sigma : \deg(\sigma) \geq 3^{t-k+1}\} = \{\sigma : |\sigma| \leq k\}.$$

Proof. If $|\sigma| < k$, by (1) of Claim 1 we have

$$\deg(\sigma) \geq \sum_{i>|\sigma|} 3^{i-|\sigma|} = \frac{3}{2}(3^{t-k+1} - 1) \geq 3^{t-k+1}$$

since $(3^{t-k+1} - 1) \geq \frac{2}{3} \cdot 3^{t-k+1}$ due to $t - k \geq 2$.

If $k \leq |\sigma| \leq t$, by Claim 1 we have

$$\begin{aligned} \deg(\sigma) &\leq \sum_{i>|\sigma|} 3^{i-|\sigma|} + 3 \sum_{i>|\sigma|} 2^{i-|\sigma|} + 2 \sum_{i \leq |\sigma|} 1 \\ &= \frac{3}{2}(3^{t-|\sigma|} - 1) + 6(2^{t-|\sigma|} - 1) + 2|\sigma| \end{aligned}$$

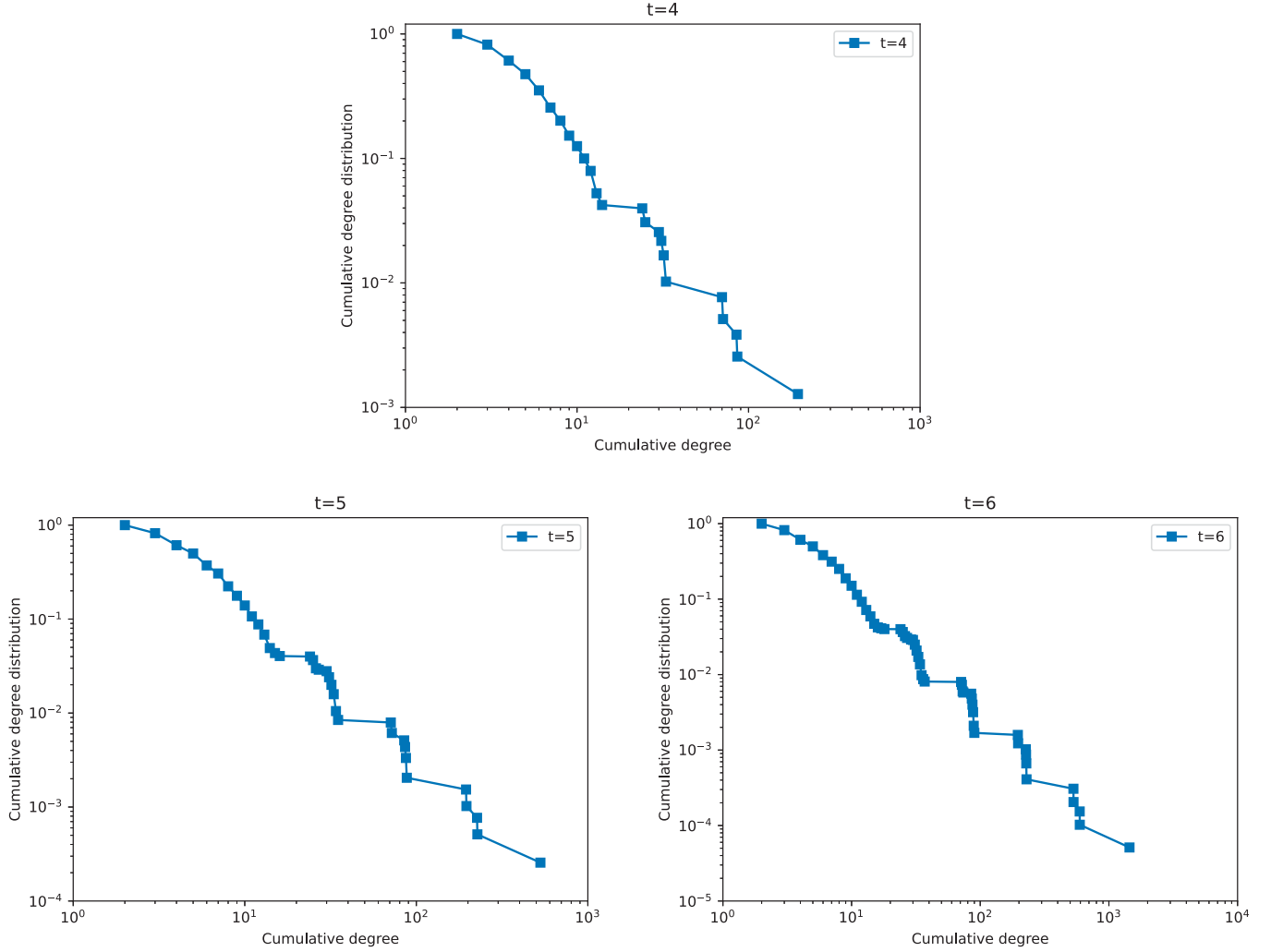


Fig. 4 The log-log graphs of cumulative degree distributions for $t = 4, 5, 6$.

$$\begin{aligned} &\leq \frac{3}{2} \cdot 3^{t-k} + 6 \cdot 2^{t-k} + 2t \\ &< \frac{3}{2} \cdot 3^{t-k+1} \end{aligned}$$

since $6 \cdot 2^{t-k} < \frac{3}{2} \cdot 3^{t-k}$ and $2t < \frac{3}{2} \cdot 3^{t/2} \leq \frac{3}{2} \cdot 3^{t-k}$ due to

$$t \geq 4, t - k \geq \frac{t}{2} \text{ and } t - k \geq 4. \quad \square$$

Proof of Theorem 1. Suppose $3^{t-k+1} \leq u < 3^{t-(k-1)+1}$ with some integer $k \leq t/2$, then

$$\begin{aligned} \#\{\sigma : \deg(\sigma) \geq 3^{t-k+2}\} &\leq \#\{\sigma : \deg(\sigma) \geq u\} \\ &\leq \#\{\sigma : \deg(\sigma) \geq 3^{t-k+1}\}. \end{aligned}$$

According to Lemma 1, we have

$$\frac{\#\{\sigma : |\sigma| \leq k-1\}}{\#V_t} \leq P_{\text{cum}}(u) \leq \frac{\#\{\sigma : |\sigma| \leq k\}}{\#V_t},$$

where $\frac{\#\{\sigma : |\sigma| \leq k-1\}}{\#V_t}, \frac{\#\{\sigma : |\sigma| \leq k\}}{\#V_t} \propto 5^{k-t}$ and $3^{t-k+1} \leq u < 3^{t-(k-1)+1}$, we obtain that

$$P_{\text{cum}}(u) \propto u^{-\log_3 5}. \quad \square$$

3. CLUSTERING COEFFICIENT

One feature of the small-world effect is that the average clustering coefficient is not small.

For the network G_t with node set V_t , recall that the average clustering coefficient of G_t is

$$\overline{C}_t = \frac{\sum_{\sigma \in V_t} C_\sigma}{\#V_t},$$

where $C_\sigma = \frac{\#\{\{x, y\} | x \sim y, x \sim \sigma, y \sim \sigma\}}{\deg(\sigma)(\deg(\sigma)-1)/2}$ is the clustering coefficient of the node σ .

Theorem 2. We have $\overline{C}_t \geq \frac{88}{375} = 0.2346 \dots$ for any $t \geq 2$.

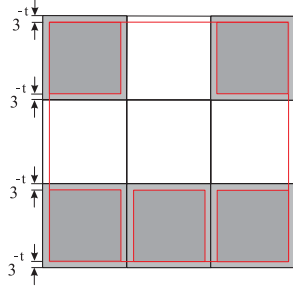


Fig. 5 The self-similarity of l_t and l_{t-1} .

Proof. Given $t > 0$, we consider the following set:

$$l_t = \{\sigma : |\sigma| = t \text{ and } Q_\sigma \cap \partial Q = \emptyset\}. \quad (3.1)$$

When $t = 2$, we can calculate that

$$\sum_{\sigma \in l_2} C_\sigma = \frac{22}{3}.$$

As shown in Fig. 5, we obtain that

$$\sum_{\sigma \in l_t} C_\sigma = \sum_{i=1}^5 \sum_{\tau \in l_{t-1}} C_{i\tau} \geq \sum_{i=1}^5 \sum_{\tau \in l_{t-1}} C_{i\tau}.$$

Using Jordan's curve theorem and the self-similarity of E , we see that

$$C_{i\tau} = C_\tau \quad \text{for all } \tau \in l_{t-1}.$$

Then it follows that

$$\sum_{\sigma \in l_t} C_\sigma \geq 5 \sum_{\sigma \in l_{t-1}} C_\sigma,$$

which implies

$$\begin{aligned} \sum_{\sigma \in l_t} C_\sigma &\geq 5 \sum_{\sigma \in l_{t-1}} C_\sigma \geq \dots \geq 5^{t-2} \sum_{\sigma \in l_2} C_\sigma \\ &= 5^{t-2} \times \frac{22}{3}. \end{aligned}$$

Note $\#V_t = \frac{5^{t+1}-1}{4} \leq \frac{5^{t+1}}{4}$, we obtain that

$$\begin{aligned} \frac{\sum_{\sigma \in V_t} C_\sigma}{\#V_t} &\geq \frac{\sum_{\sigma \in l_t} C_\sigma}{\#V_t} \geq \frac{4}{5^{t+1}} \times \frac{22}{3} \times 5^{t-2} \\ &= \frac{88}{375} = 0.2346\dots \quad \square \end{aligned}$$

4. AVERAGE GEODESIC DISTANCE

One feature of the small-world effect is that the average geodesic distance is much smaller than the size of the network. Recall that for a graph

$G = (V, E)$, the geodesic distance $d(x, y)$ between $x, y \in V$ is defined by

$$d(x, y) = \min\{n : x = x_0 \sim x_1 \sim \dots \sim x_n = y\}.$$

Considering G_t , we let $d_t(\sigma, \tau)$ denote the geodesic distance between σ and τ with $|\sigma|, |\tau| \leq t$. Then by Jordan's curve theorem, we have

$$d_{|\sigma|}(\sigma, \emptyset) = \omega(\sigma).$$

For any $\sigma \neq \emptyset$, we can write $L(\sigma)$ as the least step to move for Q_σ to reach the boundary of $Q = [0, 1]^2$, i.e.

$$L(\sigma) = d_{|\sigma|}(\sigma, \emptyset) - 1 = \omega(\sigma) - 1. \quad (4.1)$$

Note that $\omega(\sigma\tau) \geq \omega(\sigma) + \omega(\tau) - 1$ and (4.1), we have the following remark.

Remark 2. We have $L(\sigma\tau) \geq L(\sigma) + L(\tau)$ for any $\sigma, \tau \in V_t$.

Then the average geodesic distance \bar{d}_t on G_t is defined by

$$\bar{d}_t = \frac{\sum_{\sigma, \tau} d(\sigma, \tau)}{\#V_t(\#V_t - 1)/2}.$$

First, we consider the words of length k and let

$$\bar{\alpha}_k = \frac{\sum_{|\sigma|=k} L(\sigma)}{\#\{\sigma : |\sigma| = k\}}.$$

We have $\bar{\alpha}_1 = 0$ and

$$\bar{\alpha}_2 = \frac{\#\{\sigma \approx \emptyset : |\sigma| = 2\}}{\#\{\sigma : |\sigma| = 2\}} = \frac{8}{25}.$$

Lemma 2. For any $k \geq 1$,

$$\bar{\alpha}_k \geq \frac{4}{25}(k-1). \quad (4.2)$$

Proof. For any $|\sigma| = k > 2$, write $\sigma = \tau\sigma'$ with $|\tau| = 2$ and $|\sigma'| = k-2$, we have

$$\frac{\sum_{|\sigma|=k} L(\sigma)}{\#\{\sigma : |\sigma| = k\}} = \frac{\sum_{|\tau|=2} \sum_{|\sigma'|=k-2} L(\tau\sigma')}{\sum_{|\tau|=2} \#\{\sigma' : |\sigma'| = k-2\}}.$$

We will distinguish the following two different cases.

Case I. If $\tau \approx \emptyset$, then

$$L(\sigma) \geq L(\sigma') + L(\tau) = L(\sigma') + 1,$$

and thus

$$\begin{aligned} \frac{\sum_{|\sigma'|=k-2} L(\tau\sigma')}{\#\{\sigma' : |\sigma'| = k-2\}} &\geq \frac{\sum_{|\sigma'|=k-2} (L(\sigma') + 1)}{\#\{\sigma' : |\sigma'| = k-2\}} \\ &= \bar{\alpha}_{k-2} + 1. \end{aligned} \quad (4.3)$$

Case II. If $\tau \sim \emptyset$, then

$$L(\sigma) \geq L(\sigma') + L(\tau) = L(\sigma'),$$

which implies

$$\begin{aligned} \frac{\sum_{|\sigma'|=k-2} L(\tau\sigma')}{\#\{\sigma' : |\sigma'| = k-2\}} &\geq \frac{\sum_{|\sigma'|=k-2} L(\sigma')}{\#\{\sigma' : |\sigma'| = k-2\}} \\ &= \bar{\alpha}_{k-2}. \end{aligned} \quad (4.4)$$

By (4.3), (4.4) and $\bar{\alpha}_1 = 0$, $\bar{\alpha}_2 = \frac{8}{25}$, we have

$$\begin{aligned} \bar{\alpha}_k &\geq \frac{8}{25}(\bar{\alpha}_{k-2} + 1) + \frac{17}{25}\bar{\alpha}_{k-2} = \bar{\alpha}_{k-2} + \frac{8}{25} \\ &\geq \bar{\alpha}_{k-4} + \frac{8}{25} \times 2 \geq \dots \geq \frac{4}{25}(k-1). \quad \square \end{aligned}$$

Remark 3. Suppose $\sigma = i_1\sigma'$ and $\tau = j_1\tau'$ with $i_1 \neq j_1$, using Jordan's curve theorem, we have

$$d(\sigma, \tau) \geq L(\sigma') + L(\tau').$$

Theorem 3. We have

$$\frac{16}{125}(t-2) \leq \bar{d}_t \leq 2t \quad \text{for any } t \geq 0.$$

Proof. For any $\sigma, \tau \in V_t$, we notice that

$$d(\sigma, \tau) \leq d(\sigma, \emptyset) + d(\emptyset, \tau) \leq 2t. \quad (4.5)$$

Write $W_t = \{\sigma \in V_t : |\sigma| = t\}$ with $\#W_t = 5^t$.

Then by (4.2) and Remark 3, we have

$$\begin{aligned} \sum_{\sigma, \tau \in W_t} d(\sigma, \tau) &\geq \sum_{i_1 \neq j_1 \in \{1, 2, \dots, 5\}} \sum_{i_1 \prec \sigma, j_1 \prec \tau, \sigma, \tau \in W_t} d(\sigma, \tau) \\ &\geq 20 \times 5^{2(t-1)} \times \frac{\sum_{|\sigma'|=t-1} L(\sigma')}{\#W_{t-1}} \\ &= 20 \times 5^{2(t-1)} \times \bar{\alpha}_{t-1} \\ &\geq \frac{32}{125} \times 5^{2t}(t-2). \end{aligned}$$

Since

$$\sum_{\sigma, \tau \in V_t} d(\sigma, \tau) \geq \sum_{\sigma, \tau \in W_t} d(\sigma, \tau)$$

and $\frac{\#V_t(\#V_t-1)}{2} \leq 4(\frac{\#W_t(\#W_t-1)}{2})$, we have

$$\begin{aligned} \frac{\sum_{\sigma, \tau \in V_t} d(\sigma, \tau)}{\#V_t(\#V_t-1)/2} &\geq \frac{1}{4} \frac{\sum_{\sigma, \tau \in W_t} d(\sigma, \tau)}{\#W_t(\#W_t-1)/2} \\ &\geq \frac{1}{4} \times \frac{\frac{32}{125} \times 5^{2t}(t-2)}{5^t(5^t-1)/2} \\ &\geq \frac{16}{125}(t-2). \end{aligned} \quad (4.6)$$

Therefore, the theorem follows from (4.5) and (4.6). \square

5. CONCLUSION

In this paper, using the structure of symbolic system in the IFS which generates the self-similar fractal carpet E , we construct a family of evolving networks $\{G_t\}_t$.

We check the scale-free effect and small-world effect of $\{G_t\}_t$. Fix $t > 0$, for the cumulative degree distribution $P_{\text{cum}}(u)$ of our network G_t , we show that

$$P_{\text{cum}}(u) \propto u^{-\log_3 5},$$

where $\log_3 5$ is the dimension of the fractal carpet E . Moreover, we conclude that the uniform positive lower bound of the average clustering coefficient \bar{C}_t of G_t according to the self-similarity, i.e.

$$\inf_t \bar{C}_t > 0.2346.$$

For the average geodesic distance \bar{d}_t on G_t , we show that

$$\bar{d}_t \propto t,$$

which means that \bar{d}_t is much smaller than the size of $V_t \propto 5^t$.

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