Notes for Homological Mirror Symmetry

Zhang Nantao

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1 Introduction to homological mirror symmetry (2022-02-27 Su Weilin)

1.1 GW invariants

Let (M, ω, J) be a symplectic manifold with symplectic form ω and compatible almost complex structure J. Gromov-Witten invariants are roughly the number

$$\sharp\{(\Sigma,u)\mid u:\Sigma\to M \text{ (pseudo-)holomorphic}+\text{constraints}\}$$

These invariants are introduced by Gromov around 1985, who proves that the zero dimensional part of above moduli space is finite. In mirror symmetry, Gromov-Witten invariants belong to A-model.

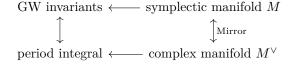
Example 1.1.1
$$\sharp \{\deg 1 \text{ curves } u: \mathbb{CP}^1 \to \mathbb{CP}^n \text{ passing through 2 generic points}\} = 1$$

However, in general the Gromov-Witten invariants are very difficult to compute because the moduli space of (pseudo-)holomorphic curves is far from smooth and intersections are not transversal. So people want to find some indirect ways to compute these invariants.

1.2 Mirror symmetry

Now consider (M,Ω) which is a complex manifold where Ω is the complex structure. We can consider the sheaf cohomology and peroid integration of differential forms. Period integral belongs to B-models.

Suggested by physicists, there exists a diagram



where M and M^{\vee} are called *mirror dual*. The problem of computing GW invariants can be transformed to the calculation of period integral. We now go to consider Kähler manifold (M, ω, Ω) , where ω is symplectic form and Ω is complex structure where symplectic form and complex structure are compatible. Physically, above correspondence is from the duality of 2 dimensional supersymmetric field theory and is checked for quintic 3-fold. The question is why they coincide mathematically?

1.3 Homological mirror symmetry

The idea is to replace the space by some kind of categories. The correct "category" is the A_{∞} -category, introduced by Stasheff in 1963 to study group like topological spaces.

Definition 1.3.1

An A_{∞} -category is following collection of data.

- 1. A set of objects.
- 2. Morphisms between objects are \mathbb{Z} -graded linear space hom(X,Y).
- 3. m_k the "composition" of morphisms

$$m_k: \hom(X_0, X_1) \otimes \cdots \otimes \hom(X_{k-1}, X_k) \to \hom(X_0, X_k)$$

satisfying the A_{∞} -relation

$$\sum_{i,j} (-1)^{\sum_{1}^{i} |x_{l}|+1} m_{k+1-j}(x_{1}, \dots, x_{i}, m_{j}(x_{i+1}, \dots, x_{i+j}), x_{i+j+1}, \dots, x_{k}) = 0$$

here $|x_i|$ denote the grading of x_i .

for exmple, when k=1, we have $m_1(m_1(x))=0$ that is $m_1^2=0$ is a differential. For k=2, the A_{∞} relation gives Leibniz rule. It is more convenient to consider on homology level by differential m_1 . That is $\operatorname{Hom}^*(X,Y)=H^*(\operatorname{hom}(X,Y),m_1) \text{ and define the composition to be}$

$$\operatorname{Hom}^*(X,Y) \otimes \operatorname{Hom}^*(Y,Z) \to \operatorname{Hom}^*(X,Z)$$
$$[x] \otimes [y] \to [x] \cdot [y] := (-1)^{|x|} [m_2(x,y)]$$

In above notation, the k=3 relation gives associativity $([x] \cdot [y]) \cdot [z] = [x] \cdot ([y] \cdot [z])$.

Let (M^{\vee}, Ω) be a complex manifold, we can view it as an algebraic variety and consider the derived category of coherent sheaves of the variety which can be enhanced to be a dg-category. A rough definition of derived category is that the objects are bounded complexes of coherent sheaves and morphisms are $\operatorname{Ext}^*(E, F)$ and composition conditions. It is obtained from category of complexes by formally inverting the quasi-isomorphisms with some additional universal properties. This is the story on the complex side.

The story on the symplectic side is Fukaya category. The objects of Fukaya category is $\{L \subset M \mid L \text{ (compact) Lagrangian }\}$. The morphism is generated by intersection points, that is

$$hom(L_1, L_2) = R\langle L_1 \cap L_2 \rangle$$

for transveral intersections. A Fukaya category is a A_{∞} category with coefficients of the composition map couting holomorphic discs satisfying some relations. Kontsevich suggest the two categories are related.

Conjecture 1.3.2. Homological Mirror Symmetry, [Kon95]

For any Calabi-Yau M there exists a mirror dual M^{\vee} such that

$$Fuk(M^{\vee}) \cong D^{b}(CohM) \quad Fuk(M) \cong D^{b}(CohM^{\vee})$$

The above diagram is completed to be the following

To go from period integral to Gromov-Witten invariants, we want to get some information of symplectic manifold M from its Fukaya category. We consider Hochschild cohomology. For associative algebras, we define

Definition 1.3.3

We define **Hochschild complex** (HC_* , b) for a k-algebra to be

$$\operatorname{HC}_{p}(A) = A^{\otimes (p+1)}$$

$$d_{i}: a_{0} \otimes \cdots \otimes a_{p} \to a_{0} \otimes \cdots \otimes a_{i} a_{i+1} \otimes \cdots \otimes a_{p}, \quad (i = 0, \cdots, p-1)$$

$$d_{p}: a_{0} \otimes \cdots \otimes a_{p} \to a_{p} a_{0} \otimes \cdots \otimes a_{p-1}$$

$$b = \sum_{i} (-1)^{i} d_{i}$$

Then we define the homology of above complex to be Hochschild homology and cohomology of dual complex to be **Hochshild cohomology**, denoted by HH_{*} and HH^{*} respectively.

We can extend above definition to A_{∞} -categories. Following conjecture relates Fukaya category to the geometry of original symplectic manifold.

Conjecture 1.3.4. Kontsevich?

$$H^*(M) \cong HH^*(Fuk(M))$$

2 Derived category and triangulated category (2022-03-06 Zhang Nantao)

2.1 Derived category

First, recall the complex of an abelian category A is of the form

$$A^*: \cdots \to A^{n-2} \xrightarrow{d^{i-1}} A^{n-2} \xrightarrow{d^i} A^n \to \cdots$$

satisfying $d^i \circ d^{i-1} = 0$. Morphism between the complex A^* and B^* are a series of morphisms $f^i : A^i \to B^i$ making the following diagram commutes.

$$\cdots \longrightarrow A^{n-2} \longrightarrow A^{n-1} \longrightarrow A^n \longrightarrow \cdots$$

$$\downarrow^{f^{n-2}} \qquad \downarrow^{f^{n-1}} \qquad \downarrow^{f^n}$$

$$\cdots \longrightarrow B^{n-2} \longrightarrow B^{n-1} \longrightarrow B^n \longrightarrow \cdots$$

where the differentials are omitted.

We then have a category of complex denoted by Kom(A) where objects are complexes of A and morphisms are given as above.

There exists a natural functor called **shift functor**, $T: Kom(A) \to Kom(A)$, such that

$$(T(A^*))^i := A^{i+1}$$

 $d_{T(A^*)}^* := -d^{i+1}$

$$\mathbf{d}_{T(A^*)}^* := -\mathbf{d}_A^{i+1}$$

For $f^*: A^* \to B^*$, we have

$$T(f^*) = f^{i+1}$$

Obviously, T is an equivalence of category. Usually, we denoted T(A) by A[1] and T(f) by f[1], and we use A[n] and A[-1] in an obvious way.

Definition 2.1.1

Recall, the ith **cohomology** of A^* denoted by $H^i(A^*) := \frac{\ker(d^i)}{\operatorname{im}(d^{i-1})} \in \mathcal{A}$.

 A^* is called **acyclic** if $H^i(A^*) = 0$ for all $i \in \mathbb{Z}$.

 $f^*: A^* \to B^*$ induces morphisms $H^i(f): H^i(A) \to H^i(B)$ if all induced morphism are isomorphisms

then we call f a **quasi-isomorphism** (qis for short).

Remark 2.1.2

There exists complexes with same cohomology group but not quasi-isomorphic. For example

$$\mathbb{C}[x,y]^{\oplus 2} \xrightarrow{(x,y)} \mathbb{C}[x,y]$$

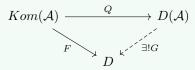
$$\mathbb{C}[x,y] \xrightarrow{0} \mathbb{C}$$

We first give a definition of derived category by universal properties.

Definition 2.1.3

The **derived category** of \mathcal{A} is a category $D(\mathcal{A})$ with a functor $Q: Kom(\mathcal{A}) \to D(\mathcal{A})$, such that

- 1. If $A^* \to B^*$ qis in $Kom(\mathcal{A})$ then Q(f) is an isomorphism in $D(\mathcal{A})$.
- 2. Any functor $F: Kom(A) \to D$ satisfying condition (1) uniquely factor through Q. That is there exists unique G making the following diagram commutes



Before giving a construction of derived categories, we notice that the cohomology is well defined in derived category and $\mathcal{A} \to Kom(\mathcal{A}) \to D(\mathcal{A})$ is a full subcategory.

Definition 2.1.4

Given an abelian category A, we define **homotopy category** K(A) to be following data

$$Ob(K(A)) := Ob(Kom(A))$$

$$\operatorname{Hom}_{K(\mathcal{A})}(A^*, B^*) := \operatorname{Hom}_{Kom(\mathcal{A})}(A^*, B^*) / \sim$$

where \sim denote the homotopy equivalence.

Recall two morphism of complexes $f, g: A^* \to B^*$ are called **homotopy equivalent** if there exists a collection of homomorphisms $h^i: A^i \to B^{i-1}$ such that $f^i - g^i = h^{i+1} \circ d_A^i + d_B^{i-1} \circ h^i$.

Notice that if $f \circ g \sim \text{id}$ and $g \circ f \sim \text{id}$, then f and g are all quasi-isomorphisms.

Now we give another definition of derived category.

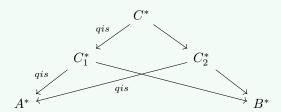
Definition 2.1.5

A derived category is the following collection of data

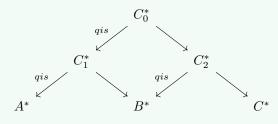
$$Ob(D(A)) := Ob(Kom(A))$$

$$\operatorname{Hom}_{D(\mathcal{A})}(A^*,B^*) = \left\{ \begin{array}{c} C^* \\ A^* \end{array} \right\} / \sim$$

two mopphisms are equivalent if there exists following commutative diagram in K(A).



The composition of morphisms are given by



The associativity of the composition is obvious. To check that D(A) is indeed a category, we only need to check that

- 1. C_0^* exists.
- 2. The composition is unique.

To address the above two questions, we introduce the notion of mapping cone.

Definition 2.1.6

Let $f^*:A^*\to B^*$ we define the **mapping cone** $C(f)^i:=A^{i+1}\oplus B^i$ and

$$\mathbf{d}_{C(f)}^* := \begin{pmatrix} -\mathbf{d}_A^{i+1} & 0\\ f^{i+1} & \mathbf{d}_B^i \end{pmatrix}$$

Given a morphism $f: A^* \to B^*$, we have long exact sequence

$$\rightarrow H^{i}(A^{*}) \rightarrow H^{i}(B^{*}) \rightarrow H^{i}(C(f)) \rightarrow H^{i+1}(A^{*}) \rightarrow \dots$$

Proposition 2.1.7

Let $f^*: A^* \to B^*$ a morphism, C(f) its mapping cone, and diagram of solid arrows

$$B^* \xrightarrow{\tau} C(f) \xrightarrow{\pi} A^*[1] \xrightarrow{-f} B^*[1]$$

$$\downarrow = \qquad \qquad \downarrow g \qquad \qquad \downarrow =$$

$$B^* \xrightarrow{\tau} C(f) \xrightarrow{\tau_{\tau}} C(\tau) \xrightarrow{\pi'} B^*[1]$$

Then there eixsts an isomorphism in $K(\mathcal{A})$, $g:A^*[1]\to C(\tau)$ making the diagram commutes in $K(\mathcal{A})$.

Proof

Let $g = (-f^{i+1}, id, 0)$ and check the commutativity.

Remark 2.1.8

The above isomorphism exists in K(A) but not in Kom(A) so we need to start from homotopy category instead of category of complexes.

Proposition 2.1.9

Given a diagram

$$A^* \xrightarrow{f \text{ qis}} B^*$$

there exists C_0^* fill the following diagram

$$C_0^* \xrightarrow{\text{qis}} C^*$$

$$\downarrow \qquad \qquad \downarrow^g$$

$$A^* \xrightarrow{f \text{ qis}} B^*$$

Proof

We fill the diagram gradually, first we have

$$C^* \downarrow^g$$

$$A^* \xrightarrow{f} B^* \xrightarrow{\tau} C(f) \longrightarrow A^*[1]$$

By Proposition 2.1.7, we can fill to the following diagram by isomorphism $A^*[1] \cong C(\tau \circ g)$.

$$\begin{array}{ccc} C^* & \xrightarrow{\tau \circ g} & C(f) & \longrightarrow & C(\tau \circ g) \\ & & \downarrow g & & \downarrow & & \downarrow \\ A^* & \xrightarrow{f} & B^* & \xrightarrow{\tau} & C(f) & \longrightarrow & A^*[1] \end{array}$$

And finally,

$$\begin{array}{cccc} C(\tau \circ g)[-1] & \longrightarrow & C^* & \xrightarrow{\tau \circ g} & C(f) & \longrightarrow & C(\tau \circ g) \\ & & & \downarrow & & \downarrow & & \downarrow \\ & A^* & \xrightarrow{f} & B^* & \xrightarrow{\tau} & C(f) & \longrightarrow & A^*[1] \end{array}$$

where $C(\tau \circ g)[-1]$ is the required C_0^* in the proposition. We only need to show that $C(\tau \circ g)[-1] \to C^*$ is a quasi-isomorphism, but it follows from long exact sequence and the five lemma.

We now solve the existence of the composition, but the uniqueness problem is still left.

Definition 2.1.10

A class of morphism $S \subset \operatorname{Mor}(A)$ is said to be **localizing** if

- 1. S is closed under compositions and $id_X \in S$ for every $S \in Ob(A)$.
- 2. Excision condition, that is for any $f \in \text{Mor}(A)$ and $s \in S$, there exists $g \in \text{Mor}(A)$ and $t \in S$ such that

$$C_0^* \xrightarrow{g} C^*$$

$$\downarrow^t \qquad \qquad \downarrow^s$$

$$A^* \xrightarrow{f} B^*$$

is commutative.

3. Let $f, g \in \text{Hom}(X, Y)$, the existence of $s \in S$ such that sf = sg is equivalent to the existence of $t \in S$ with ft = gt.

Remark 2.1.11

Quasi-isomorphisms don't form a localizing class in Kom(A) but in K(A).

Only condition 3 need to be checked for quasi-isomorphism class. Given $f^*: A^* \to B^*$ in K(A) and a quasi-isomorphism $s: B^* \to \bar{B}^*$ with sf = 0, we want to show that there exists $t: \bar{A}^* \to A^*$ with ft = 0. To see that we only need to see the following diagram

$$C(s)[-1] \xrightarrow{\tau[-1]} B^* \xrightarrow{s} \bar{B}^*$$

$$\downarrow \cong \qquad \qquad \uparrow_f$$

$$C(s)[-1] \xleftarrow{g} A^* \xleftarrow{t} C(g)[-1]$$

where $g^i: A^* \to B^i \oplus \bar{B}^{i-1}$ is the map

$$g^i:(a^i)\to (f^i(a^i),-h^i(a^i))$$

where $h^i: A^i \to \bar{B}^{i-1}$ is the homotopy bewteen sf and 0. Then $ft = \tau[-1]gt = 0$ and t is quasi-isomorphism by long exact sequence.

Remark 2.1.12

The derived category is additive but not ableian, as it does not always have kernels and cokernels. But it is a triangulated category, which we will introduce later.

2.2 Triangulated category

Definition 2.2.1

A **triangulated category** is an additive category D with an additive functor $T: D \to D$ called the **shift functor** and a set of **distinguished triangles** satisfying 4 axioms. In convention, we use notation A[1] := T(A) and f[1] := T(f).

1. (a) Any triangle of the form

$$A \xrightarrow{\mathrm{id}} A \to A \to 0 \to A[1]$$

is distinguished.

- (b) Any triangle isomorphic to a distinguished triangle is distinguished.
- (c) Any morphism $f: A \to B$ can be completed to a distinguised triangle

$$A \xrightarrow{f} B \to C \to A[1]$$

2.

$$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} A[1]$$

is a distinguised triangle if and only if

$$B \xrightarrow{g} C \xrightarrow{h} A[1] \xrightarrow{f[1]} B[1]$$

is.

3. If there exists a commutative diagram of solid arrows of the following diagram,

$$\begin{array}{cccc} A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & A[1] \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & A'[1] \end{array}$$

then there exists a dahsed arrows $h: C \to C''$ (not necessarily unique) to complete the diagram.

4. Suppose given distinguished triangles

$$X \xrightarrow{f} Y \to Z' \to X[1]$$

$$Y \xrightarrow{g} Z \to X' \to Y[1]$$

$$X \xrightarrow{g \circ f} Z \to Y' \to X[1]$$

then there exists a distinguished triangle

$$Z' \to Y' \to X' \to Z'[1]$$

such that the following diagram is commutative

$$X \xrightarrow{f} Y \longrightarrow Z' \longrightarrow X[1]$$

$$\downarrow_{\operatorname{id}_X} \qquad \downarrow_g \qquad \qquad \downarrow_{\operatorname{id}_X[1]}$$

$$X \xrightarrow{g \circ f} Z \longrightarrow Y' \longrightarrow X[1]$$

$$\downarrow_f \qquad \downarrow_{\operatorname{id}_Z} \qquad \downarrow_{f[1]}$$

$$Y \xrightarrow{g} Z \longrightarrow X' \longrightarrow Y[1]$$

$$\downarrow \qquad \qquad \downarrow$$

$$Z' \longrightarrow Y' \longrightarrow X' \longrightarrow Z'[1]$$

Remark 2.2.2

Basically, the 4th axiom means that (A/C)/(B/C) = A/B in abstract algebra. That axiom is sometimes called octahedral axiom because it can be arranged into an octahedral.

Proposition 2.2.3

A derived category is a triangulated category where the distinguished triangles are those triangles isomorphic to

$$A \xrightarrow{f} B \to C(f) \to A[1]$$

Remark 2.2.4

It may be interesting to know other examples of triangulated categories. One example is the derived category of A_{∞} -category and another may be given by stable homotopy category. The basic idea is from the cofiber sequence in topology.

$$X \to Y \to C(f) \to \Sigma X \to \Sigma Y \to \cdots$$

and making $T := \Sigma$. However, Σ is not invertible, so we have to do some additional work to make category of topological space a triangulated category.

Lemma 2.2.5

For distinguished triangle

$$A \to B \to C \to A[1]$$

the composition $A \to C = 0$.

Proof

Consider the following diagram

Proposition 2.2.6

Let $A \to B \to C \to A[1]$ be a distinguished triangle, and $A_0 \in D$, then

$$\operatorname{Hom}(A_0,A) \to \operatorname{Hom}(A_0,B) \to \operatorname{Hom}(A_0,C)$$

$$\operatorname{Hom}(C, A_0) \to \operatorname{Hom}(B, A_0) \to \operatorname{Hom}(A, A_0)$$

are exact.

Proof

By Lemma 2.2.5, we have the composition of maps are equal to 0. To show that it is exact, we only need to consider the following diagram

Definition 2.2.7

An additive functor $F:D\to D'$ between triangulated categories D and D' is called **exact** if the following two conditions are satisfied:

1. There exists a functor isomorphism

$$F \circ T_D \cong T_{D'} \circ F$$

2. Any distinguished triangle in D is mapped to distinguished triangle in D'.

Proposition 2.2.8

Let $F:D\to D'$ be an exact functor. If $F\dashv H$, then $H:D'\to D$ is exact. Similar result holds for $G\dashv F$.

Proof

We first check the commutativity with shift functor.

$$\begin{aligned} \operatorname{Hom}(A,H(T'(B))) &\cong \operatorname{Hom}(F(A),T'(B)) \\ &\cong \operatorname{Hom}(T'^{-1}(F(A)),B) \\ &\cong \operatorname{Hom}(F(T^{-1}(A)),B) \\ &\cong \operatorname{Hom}(T^{-1}(A),H(B)) \\ &\operatorname{Hom}(A,T(H(B))) \end{aligned}$$

Then we check that H maps distinguished triangles to distinguished triangles. Let $A \to B \to C \to A[1]$

distinguished in D'. We can completed to a distinguished triangle

$$H(A) \to H(B) \to C_0 \to H(A)[1]$$

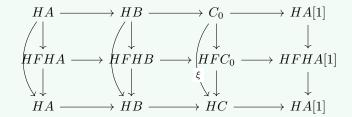
in D. By applying F, and use adjoint property and exactness of F, we have

$$F(H(A)) \longrightarrow F(H(B)) \longrightarrow F(C_0) \longrightarrow F(H(A))[1]$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$A \longrightarrow B \longrightarrow C \longrightarrow A[1]$$

Applying H, combine two diagram and using adjointness $h: id \to H \circ F$, we have



The curved morphisms are isomorphism. And by exact sequence and five lemma, we have

$$\operatorname{Hom}(A_0, C_0) \cong \operatorname{Hom}(A_0, H(C))$$

for all A_0 and hence

$$\xi: C_0 \cong H(C)$$

is an isomorphism. And therefore $H(A) \to H(B) \to H(C) \to H(A)[1]$ is isomorphic to $H(A) \to H(B) \to C_0 \to H(A)[1]$ and is therefore distinguished.

Definition 2.2.9

Two triangulated categories D and D' are **equivalent** if there exists an exact equivalence $F: D \to D'$. If D is a triangulated category the set $\operatorname{Aut}(D)$ of isomorphism classes of equivalence $F: D \to D$ forms the **group of autoequivalence**.

A subcategory $D' \subset D$ of a triangulated category is a **triangulated subcategory** if D' admits the structure of triangulated category such that the inclusion $D' \hookrightarrow D$ is exact.

We say a set of objects S generates triangulated category D if any triangulated subcategory of D contains S is D itself.

2.3 References

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