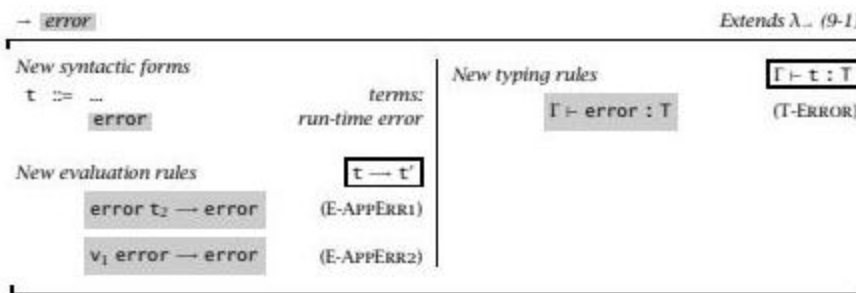


## 14.1 Raising Exceptions

Let's dive into exception handling by adding a term called **error** to the simply typed lambda-calculus. When evaluated, **error** aborts the term it's in.

Here's the formal definition:



The image shows the introduction of a new syntactic form "error," representing a run-time error, along with new evaluation rules (E-AppErr1) and (E-AppErr2) and a typing rule (T-Error).

Here's a breakdown of how **error** works:

- **Operational Semantics:** The key is how "abnormal termination" is formalized. **error** itself becomes the result of an aborted program. The rules E-AppErr1 and E-AppErr2 define this behavior.
  - **E-AppErr1:** If **error** is encountered while reducing the left-hand side of an application to a value, the result is immediately **error**.
  - **E-AppErr2:** If **error** occurs while reducing the argument of an application, the application is abandoned, and the result is **error**.
- **Important Observations:**
  - **error** is not a value, only a term.
  - This ensures no overlap between the E-AppAbs and E-AppErr2 rules.
  - Evaluation remains deterministic, avoiding ambiguity.
- **Typing Rule (T-Error):**
  - The **error** form can have any type.
  - Example: In  $(\lambda x:\text{Bool}.x) \text{ error}$ , it has type **Bool**.
  - Example: In  $(\lambda x:\text{Bool}.x) (\text{error true})$ , it has type **Bool**→**Bool**.

This flexibility can complicate typechecking algorithms because it violates the unique type property. Solutions include:

- Using a minimal type **Bot** (in languages with subtyping).
- Using a polymorphic type  $\forall X.X$  (in languages with parametric polymorphism).

Both tricks represent infinitely many possible types for **error** compactly.

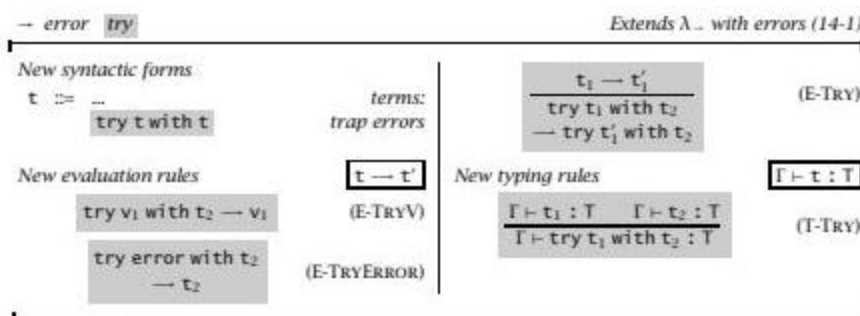
### 14.1.1 Theorem [Progress]

The progress property is refined to account for the new normal form, **error**.

Suppose  $t$  is a closed, well-typed normal form. Then either  $t$  is a value or  $t = \text{error}$ .

## 14.2 Handling Exceptions

Instead of just aborting, let's add exception handlers.



The image shows the extension of lambda calculus with errors, including new syntactic forms, evaluation rules, and typing rules for handling errors.

The expression **try  $t_1$  with  $t_2$**  means:

Evaluate  **$t_1$** , but if it aborts, evaluate the handler  **$t_2$**  instead.

The evaluation rules are:

- **E-TryV**: If  **$t_1$**  reduces to a value  **$v_1$** , the **try** is discarded.
- **E-TryError**: If  **$t_1$**  results in **error**, replace the **try** with  **$t_2$**  and continue.
- **E-Try**: Until  **$t_1$**  is a value or **error**, keep evaluating it and leave  **$t_2$**  alone.

The typing rule for `try` requires that the main body `t1` and the handler `t2` have the same type `T`, which is also the type of the `try`.

## 14.3 Exceptions Carrying Values

To provide more context about what went wrong, let's enrich our exception handling to carry values along with the exception.

- exceptions		Extends $\lambda_{\omega}$ (9-1)	
<b>New syntactic forms</b> $t ::= \dots$ <code>raise t</code> <code>try t with t</code>		<b>terms:</b> <i>raise exception</i> <i>handle exceptions</i>	
<b>New evaluation rules</b> $(\text{raise } v_{11}) t_2 \rightarrow \text{raise } v_{11}$ (E-APPRaise1) $v_1 (\text{raise } v_{21}) \rightarrow \text{raise } v_{21}$ (E-APPRaise2) $\frac{t_1 \rightarrow t'_1}{\text{raise } t_1 \rightarrow \text{raise } t'_1}$ (E-RAISE) $\text{raise } (\text{raise } v_{11}) \rightarrow \text{raise } v_{11}$ (E-RAISERAISE)		$\text{try } v_1 \text{ with } t_2 \rightarrow v_1$ (E-TRYV) $\text{try raise } v_{11} \text{ with } t_2 \rightarrow t_2 v_{11}$ (E-TRYRAISE) $\frac{t_1 \rightarrow t'_1}{\text{try } t_1 \text{ with } t_2 \rightarrow \text{try } t'_1 \text{ with } t_2}$ (E-TRY)	
		<b>New typing rules</b> $\frac{\Gamma \vdash t_1 : T_{\text{exn}}}{\Gamma \vdash \text{raise } t_1 : T}$ (T-EXN) $\frac{\Gamma \vdash t_1 : T \quad \Gamma \vdash t_2 : T_{\text{exn}} \rightarrow T}{\Gamma \vdash \text{try } t_1 \text{ with } t_2 : T}$ (T-TRY)	

The image displays the extensions to a lambda calculus system, adding support for exceptions with values, including new syntactic forms, evaluation rules, and typing rules.

### Key Changes:

- `raise t`: replaces the atomic term `error`, allowing us to pass extra information `t` to the handler.
- The handler `t2` in `try t1 with t2` now takes the extra information as an argument.
- Type of the value carried by the exception is `Texn`.

### Evaluation Rules:

- **E-TryRaise**: Passes the extra information carried by a **raise** from the body **t1** to the handler **t2**.
- **E-AppRaise1** and **E-AppRaise2**: Propagate exceptions through applications (similar to **E-AppErr1** and **E-AppErr2**).
- **E-RaiseRaise**: Propagates exceptions that may occur while evaluating the extra information.
- **E-TryV**: Discards the **try** if the main body reduces to a value.
- **E-Try**: Evaluates the body of the **try** until it becomes a value or a **raise**.

## Typing Rules:

- **T-Raise**: The extra information must have type **Texn**. The whole **raise** can have any type **T**.
- **T-Try**: The handler **t2** must be a function that takes the extra information of type **Texn** and yields a result of the same type as **t1**.

## Alternatives for the type **Texn**:

1. **Nat**: Corresponds to the `errno` convention.
2. **String**: Allows more descriptive messages but may require parsing.
3. **Variant Type**:

Example: `Texn = <divideByZero: Unit, overflow: Unit, fileNotFound: String, fileNotReadable: String, ... >` Allows handlers to distinguish exceptions using a `case` expression.

4. **Extensible Variant Type**: ML adopts this, using a single extensible variant type called `exn`.

- The ML declaration `exception l of T` adds a new tag `l` to `Texn`.
- ML Syntax:
  - `raise l(t) def = raise (<l=t> as Texn)`
  - `try t with l(x) → h def = try t with λe:Texn. case e of <l=x> ⇒ h | _ ⇒ raise e`

5. **Java Classes**: Uses classes instead of extensible variants. `Throwable` class is used for exceptions.

- New exceptions are declared as subclasses of `Throwable`.
- Exceptions (subclasses of `Exception`) can be caught and recovered from.
- Errors (subclasses of `Error`) indicate serious conditions that should terminate execution.
- Java requires methods to declare which exceptions they might raise.