

# Artificial Intelligence

## Exercises week 5 - Optimisation - Solutions

COMP3411/9814

### Question 1: Mutations

In a genetic algorithm with 10 chromosomes, each 20 genes long, and a mutation rate of 0.01 (per gene), how many gene mutations are expected per generation?

**Answer:** Total genes =  $10 \times 20 = 200$ . Expected mutations =  $0.01 \times 200 = 2$

### Question 2: Offspring fitness

Suppose a genetic algorithm encodes solutions as 4-bit chromosomes, and the fitness function is  $f(b_1b_2b_3b_4) = b_1 + 2b_2 + 4b_3 + 8b_4$  (where  $b_i \in \{0, 1\}$ ). Given parent chromosomes A = 1100 and B = 0011, after single-point crossover between the second and third bits, what is the fitness of the offspring?

**Answer:**  $f_{AB}(1111) = 15$  and  $f_{BA}(0000) = 0$ .

Note that no selection method was indicated, therefore, we included the whole offspring.

### Question 3: Tabu search

Given a small optimisation problem: Minimise the function  $f(x) = x^2 - 4x + 4$  where  $x$  can take integer values between  $-10$  and  $10$ . Perform one iteration of the Tabu Search algorithm starting from  $x = 0$ . Assume two neighbours,

consisting of  $x = -1$  and  $x = 1$ . What is the new value of  $x$  after the first iteration?

**Answer:**

Let's perform the Tabu Search algorithm step by step:

**1. Initial Solution:**  $x_0 = 0$ ,  $f(x_0) = 0^2 - 4(0) + 4 = 4$

**2. Neighbourhood Solutions:**

- $x_1 = 1$ ,  $f(x_1) = 1^2 - 4(1) + 4 = 1$
- $x_{-1} = -1$ ,  $f(x_{-1}) = (-1)^2 - 4(-1) + 4 = 9$

**3. Selecting the Best Neighbour:** The best neighbour solution is  $x = 1$  with  $f(x_1) = 1$ .

**4. Update Tabu List:** Add  $x = 1$  to the tabu list.

**5. New Solution:** Move to  $x = 1$  for the next iteration.

Therefore, the correct answer is  $x = 1$ .

## Question 4: Genetic operators

Consider two chromosomes in a genetic algorithm where symbol '|' marks the crossover point. The fitness function is defined as the number of '1' in a chromosome.

- Chromosome 1: 1100 | 1010
- Chromosome 2: 1010 | 1011

**Questions:**

- What are the fitness values of original chromosomes?
- What would be the two offspring or children after the crossover?
- What are the fitness scores of both offspring?
- Perform bit flip mutations at positions 2 and 5 on both offspring with 0 based index from left to right. What are the new offspring?
- An offspring is considered fit if its fitness score  $> 5$ . How many of the offspring after mutation are fit?

**Answers:**

- Original fitness values - 4, 5
- Offsprings after crossover - 11001011, 10101010
- Fitness score after crossover - 5, 4
- Mutated offspring - 11101111, 10001110
- Number of fit offspring - 1

## Question 5: Genetic algorithm

A genetic algorithm is applied to optimise a binary chromosome problem. The initial population consists of the following chromosomes:

- Parent 1: 1101001011
- Parent 2: 1010110101

The algorithm proceeds with the following steps in sequence:

1. Perform single-point crossover at the 4th position (zero-based indexing) between Parent 1 and Parent 2.
2. Apply mutation to Offspring 1 by flipping the bits at positions 2, 6, and 9 (zero-based indexing).
3. Compute the fitness function for Offspring 1 after mutation using the equation:

$$\text{Fitness} = \sum_{i=0}^{n-1} b_i \cdot 2^{n-1-i}$$

Where:

- $b_i$  is the bit value (0 or 1) at position  $i$
- $n$  is the total number of bits in the chromosome

After performing these operations, what is the fitness value of the final mutated chromosome?

**Answer:**

**Step 1: Single-Point Crossover**

We perform a single-point crossover at the 4th position (zero-based indexing) between Parent 1 and Parent 2.

- Parent 1: 1101|001011
- Parent 2: 1010|110101

After the crossover, the resulting offspring are:

- Offspring 1: 1101110101
- Offspring 2: 1010001011

**Step 2: Mutation**

Next, we apply a mutation operation to Offspring 1 by flipping the bits at positions 2, 6, and 9 (zero-based indexing).

Offspring 1 before mutation: 1101110101

Flipping the bits at positions 2, 6, and 9:

- Position 2: Flip the 3rd bit (0 to 1)
- Position 6: Flip the 7th bit (0 to 1)
- Position 9: Flip the 10th bit (1 to 0)

Offspring 1 after mutation: 1111111100

**Step 3: Fitness Calculation**

For the chromosome 1111111100, the fitness is calculated as follows:

$$\text{Fitness} = (1 \cdot 2^9) + (1 \cdot 2^8) + (1 \cdot 2^7) + (1 \cdot 2^6) + (1 \cdot 2^5) \quad (1)$$

$$+ (1 \cdot 2^4) + (1 \cdot 2^3) + (1 \cdot 2^2) + (0 \cdot 2^1) + (0 \cdot 2^0) \quad (2)$$

$$= 512 + 256 + 128 + 64 + 32 + 16 + 8 + 4 + 0 + 0 \quad (3)$$

$$= 1020 \quad (4)$$

Therefore, the fitness value of the final mutated chromosome is 1020.