

# Machine Learning 2017 HW3 Report

## *Image Sentiment Classification*

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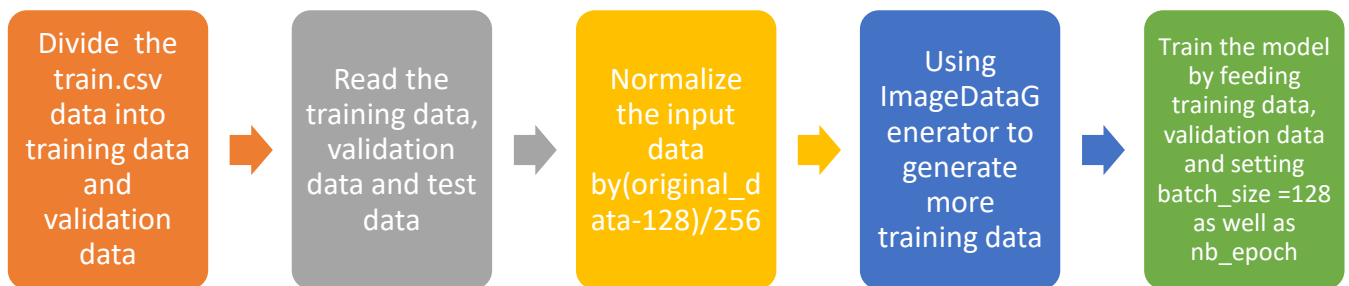
1. 請說明你實作的 CNN model，其模型架構、訓練過程和準確率為何？

Structure:

Layer (type)	Output Shape	Param #
<b>Convolution Part</b>		
<b># First Layer</b>		
conv2d_1 (Conv2D)	(None, 48, 48, 32)	320
activation_1 (Activation)	(None, 48, 48, 32)	0
conv2d_2 (Conv2D)	(None, 46, 46, 32)	9248
activation_2 (Activation)	(None, 46, 46, 32)	0
max_pooling2d_1 (MaxPooling2)	(None, 23, 23, 32)	0
dropout_1 (Dropout)	(None, 23, 23, 32)	0
<b># Second Layer</b>		
conv2d_3 (Conv2D)	(None, 23, 23, 64)	18496
activation_3 (Activation)	(None, 23, 23, 64)	0
conv2d_4 (Conv2D)	(None, 21, 21, 64)	36928
activation_4 (Activation)	(None, 21, 21, 64)	0
max_pooling2d_2 (MaxPooling2)	(None, 10, 10, 64)	0
dropout_2 (Dropout)	(None, 10, 10, 64)	0
<b># Third Layer</b>		
conv2d_5 (Conv2D)	(None, 10, 10, 128)	73856
activation_5 (Activation)	(None, 10, 10, 128)	0
conv2d_6 (Conv2D)	(None, 8, 8, 128)	147584
activation_6 (Activation)	(None, 8, 8, 128)	0
max_pooling2d_3 (MaxPooling2)	(None, 4, 4, 128)	0
dropout_3 (Dropout)	(None, 4, 4, 128)	0
<b>Fully-connected Part</b>		
<b># Feed Forward</b>		
flatten_1 (Flatten)	(None, 2048)	0
dense_1 (Dense)	(None, 1024)	2098176
activation_7 (Activation)	(None, 1024)	0
dense_2 (Dense)	(None, 512)	524800
activation_8 (Activation)	(None, 512)	0
dense_3 (Dense)	(None, 256)	131328
activation_9 (Activation)	(None, 256)	0

dense_4 (Dense)	(None, 128)	32896
activation_10 (Activation)	(None, 128)	0
dropout_4 (Dropout)	(None, 128)	0
<b># Output</b>		
dense_5 (Dense)	(None, 7)	903
activation_11 (Activation)	(None, 7)	0
<b># Compile the model</b>		
model.compile(loss='categorical_crossentropy',optimizer='adadelta',metrics=['accuracy'])		
Total params: 3,074,535		
Trainable params: 3,074,535		
Non-trainable params: 0		

Training Process:



Accurate rate:

Model	Accurate Rate (Kaggle)
Without ImageDataGenerator, nb_epoch = 30	0.57788
Without ImageDataGenerator, nb_epoch = 40	0.62469
Without ImageDataGenerator, nb_epoch = 70	0.61856
With ImageDataGenerator, nb_epoch = 30	0.62469
With ImageDataGenerator, nb_epoch = 40	0.64140
With ImageDataGenerator, nb_epoch = 70	0.65589
With ImageDataGenerator, nb_epoch = 100	0.66871

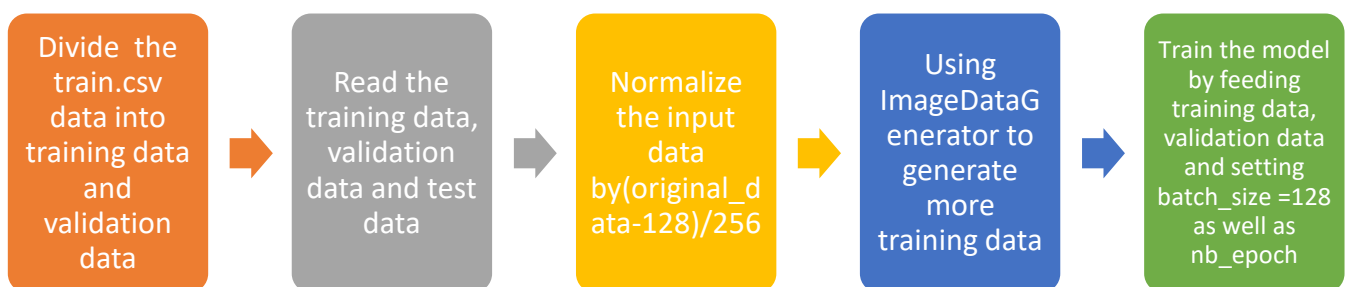
2. 承上題，請用與上述 CNN 接近的參數量，實做簡單的 DNN model，其模型架構、訓練過程和準確率為何？試與上題結果做比較，並說明你觀察到了什麼？

Structure:

Layer (type)	Output Shape	Param #
max_pooling2d_1 (MaxPooling2)	(None, 24, 24, 1)	0
dropout_1 (Dropout)	(None, 24, 24, 1)	0
<b>Fully-connected Part</b>		
<b># Feed Forward</b>		
flatten_1 (Flatten)	(None, 576)	0

dense_1 (Dense)	(None, 1024)	590848
activation_1 (Activation)	(None, 1024)	0
dense_2 (Dense)	(None, 1024)	1049600
activation_2 (Activation)	(None, 1024)	0
dense_3 (Dense)	(None, 512)	524800
activation_3 (Activation)	(None, 512)	0
dense_4 (Dense)	(None, 512)	262656
activation_4 (Activation)	(None, 512)	0
dense_5 (Dense)	(None, 512)	262656
activation_5 (Activation)	(None, 512)	0
dense_6 (Dense)	(None, 256)	131328
activation_6 (Activation)	(None, 256)	0
dense_7 (Dense)	(None, 128)	32896
activation_7 (Activation)	(None, 128)	0
dropout_2 (Dropout)	(None, 128)	0
<b># Output</b>		
dense_8 (Dense)	(None, 7)	903
activation_8 (Activation)	(None, 7)	0
<b># Compile the model</b>		
model.compile(loss='categorical_crossentropy',optimizer='adadelta',metrics=['accuracy'])		
Total params: 2,855,687		
Trainable params: 2,855,687		
Non-trainable params: 0		

### Training Process:



### Accurate rate:

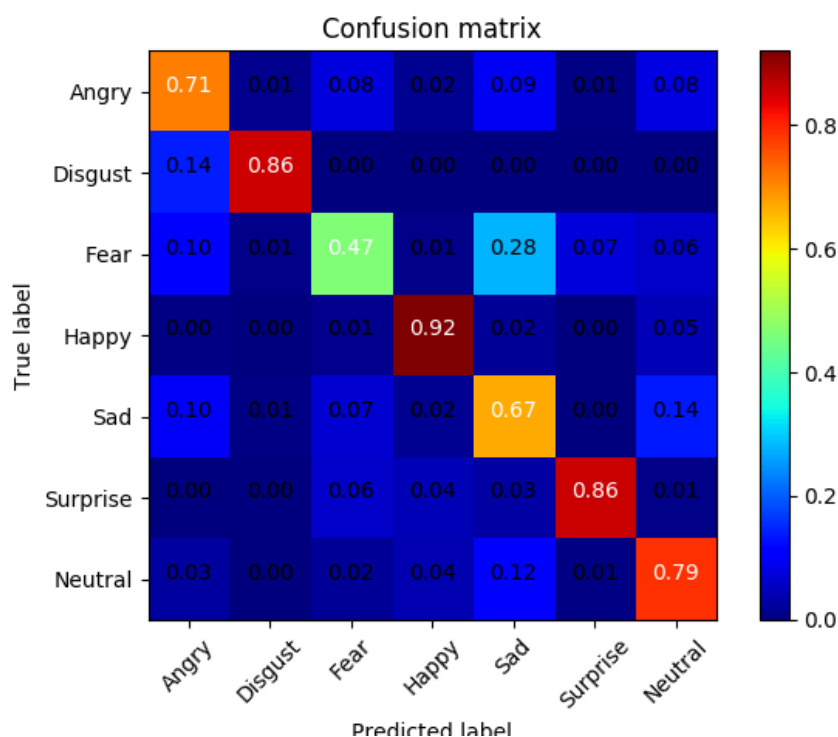
Model	Accurate Rate (Kaggle)
Without ImageDataGenerator, nb_epoch = 30	0.24464
Without ImageDataGenerator, nb_epoch = 40	0.30375
Without ImageDataGenerator, nb_epoch = 70	0.34789
With ImageDataGenerator, nb_epoch = 30	0.30797

With ImageDataGenerator, nb_epoch = 40	0.38878
With ImageDataGenerator, nb_epoch = 70	0.39008

Observation:

From the experiment of CNN model and DNN model, it can be observed that although the training process of DNN model is shorter than CNN when given similar parameters, CNN usually performs better than DNN in image classification under the same condition, which indicates that CNN is more adequate than DNN to do the image processing.

3. (1%) 觀察答錯的圖片中，哪些 class 彼此間容易用混？[繪出 confusion matrix 分析]



Ans. According to the above confusion matrix, the following pairs (True label→Predicted label) are possible to be confused with each other:

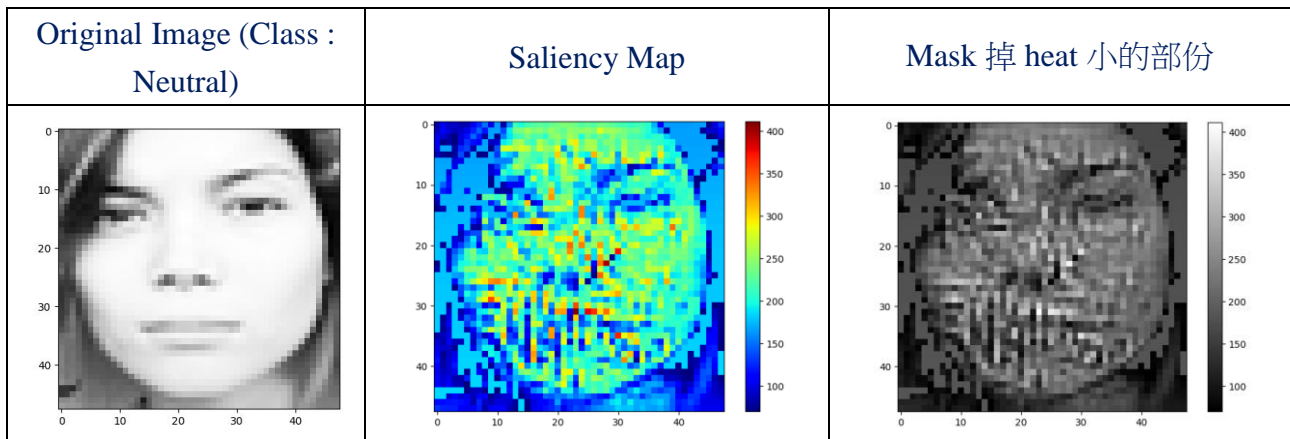
Fear → Sad ---Possibility: 0.28

Sad → Neutral ---Possibility: 0.14

Disgust → Angry ---Possibility: 0.14

Neutral → Sad ---Possibility: 0.12

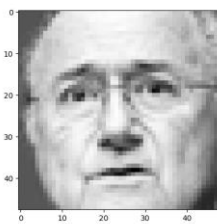
4. (1%) 從(1)(2)可以發現，使用 CNN 的確有些好處，試繪出其 saliency maps 觀察模型在做 classification 時，是 focus 在圖片的哪些部份？



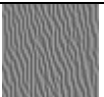







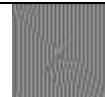

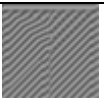
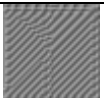
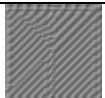

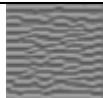
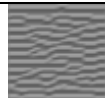

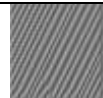
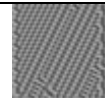
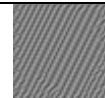
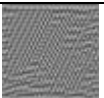
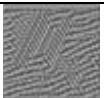

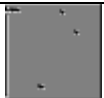
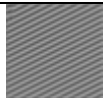


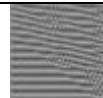
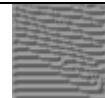
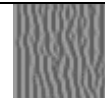
Ans. According to the above result, it can be observed that when the model does classification, it always focuses on the facial features.


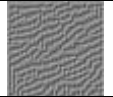
5. (1%) 承(1)(2)，利用上課所提到的 gradient ascent 方法，觀察特定層的 filter 最容易被哪種圖片 activate。

Ans. According to the result of many experiments I did on the layer activation\_1, I find that it is more possible to be activated by class Neutral images. The sample results are on the below:

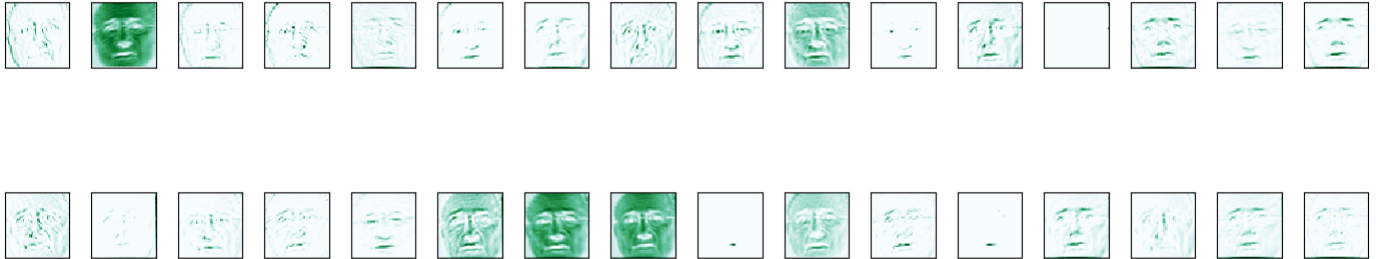


Class : Neutral

Filters of layer activation_1 ( #Ascent Epoch 160)									
									
8904.07	4940.14	6944.14	7469.61	5110.9	6868.79	5530.72	11370.2	7155.79	4913.89
									
7073.17	8197.83	6866.65	6898.25	10865.2	8831.69	8326.24	6810.98	6952.76	7101.83
									
7395.73	5122.91	5903.94	6843.09	8585.94	5356.57	6339.77	6177.95	6097.38	9214.44

		
6161.09	6973.58	

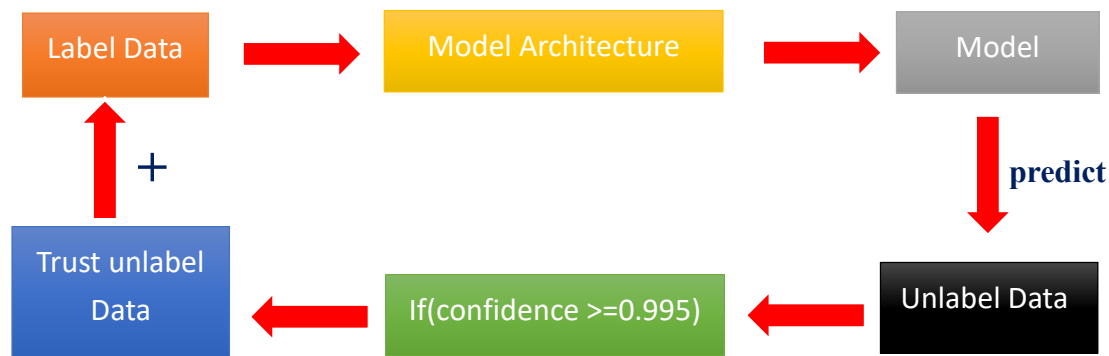
### Output of layer activation\_1



6. [Bonus](1%) 從 training data 中移除部份 label，實做 semi-supervised learning

Ans.

Structure:



First design a not bad model, and then utilize this model to predict unlabeled data, adding the unlabeled data with high confidence ( $\geq 0.995$ ) to the labeled data (self-training). Repeat this procedure for several time and we can get better performance (accurate rate= 0.64140)

Script usage:

bash semi\_train.sh <training data> <validation data> <testing data> < prediction file >

bash semi\_test.sh <testing data> <prediction file>

## Implementation:

```
2 import os
3 import numpy as np
4 import sys
5 from keras.utils.np_utils import to_categorical
6 from keras.models import Sequential
7 from keras.layers import Input,Dense,Dropout,Flatten,Activation,BatchNormalization
8 from keras.layers import Convolution2D,MaxPooling2D
9 from keras.optimizers import SGD
10 from keras.models import load_model
11 from keras.utils import np_utils
12 from keras.callbacks import EarlyStopping
13 from keras.preprocessing.image import ImageDataGenerator
14 from keras.layers.normalization import BatchNormalization
15 nb_classes = 7
16 # read train_data
17 def read_dataset(train_file,isFeat=True):
18     datas = []
19     with open(train_file) as file:
20         next(file)
21         for line_id,line in enumerate(file):
22             label, feat=line.split(',')
23             feat = np.fromstring(feat,dtype='float32',sep=' ')
24             # normalization
25             feat = (feat-128)/255
26             #print(feat)
27             feat = np.reshape(feat,(48,48,1))
28
29             datas.append((feat,int(label),line_id))
30
31     #random.shuffle(datas) # shuffle outside
32     feats,labels,line_ids = zip(*datas)
33     feats = np.asarray(feats)
34     labels = to_categorical(np.asarray(labels,dtype=np.int32))
35     return feats,labels,line_ids
36
37
```

```
38 # read test data
39 def read_test_dataset(test_file):
40     datas = []
41
42     with open(test_file) as file:
43         next(file)
44         for line_id,line in enumerate(file):
45             _,feat = line.split(',')
46             feat = np.fromstring(feat,dtype='float32',sep=' ')
47             # normalization
48             feat = (feat-128)/255
49             #print(feat)
50             feat = np.reshape(feat,(48,48,1))
51             datas.append(feat)
52
53     #random.shuffle(datas) # shuffle outside
54
55     feats = datas
56     feats = np.asarray(feats)
57     return feats
58
59 # add unlabel feature to training data
60 def add_unlabel_feature_to_training(training_feature, training_yhat, unlabel_feature, model, confidence_th):
61     unlabel_prediction = model.predict(unlabel_feature)
62     # print("nb_unlabel_feature:" + str(len(unlabel_feature)) )
63     # print("nb_unlabel_prediction:" + str(len(unlabel_prediction)))
64     nb_unlabel_data = len(unlabel_prediction)
65
66     delete_array = np.array([0]*nb_unlabel_data).astype(dtype='int')
67     delete_index = np.array([]).astype(dtype='int')
68     count = 0
69
70     print("[ original data ] : training->" + str(len(training_feature)) + " unlabel->" + str(len(unlabel_feature)) )
71
72
73     for i in range(nb_unlabel_data):
```

```

73     for i in range(nb_unlabel_data):
74         if( np.amax( unlabel_prediction[i] ) >= confidence_th ):
75
76             delete_array[i] = 1
77             max_index = np.argmax(unlabel_prediction[i])
78             tmp_prediction = unlabel_prediction[i]
79             tmp_prediction.fill(0)
80             tmp_prediction[max_index] = 1.0
81
82             tmp_feature = unlabel_feature[i]
83             tmp_feature = np.array( tmp_feature ).astype(dtype='float32').reshape(1,48,48,1)
84             #print("!!!!!!!!!!!!!!!!!!!!",training_feature.shape )
85             #print("!!!!!!!!!!!!!!!!!!!!",tmp_feature.shape )
86             training_feature = np.vstack((training_feature, tmp_feature ))
87
88
89             tmp_prediction = np.array( tmp_prediction ).astype(dtype='float32').reshape(1,7)
90             training_yhat = np.vstack((training_yhat, tmp_prediction ))
91
92             count += 1
93             if(i%1000 == 0 ):
94                 print("check unlabel confidence : " + str(i) + " data")
95
96
97     for i in range( nb_unlabel_data):
98         if(delete_array[i] == 1):
99             delete_index = np.append(delete_index, i )
100         if(i%1000 == 0 ):
101             print("delete unlabel feature : " + str(i) + " data")
102
103     unlabel_feature = np.delete(unlabel_feature, delete_index, axis = 0 )
104
105
106     print("after confidence similar trimming:")
107     print("total move : " + str(count) + " data from unlabel to training ")
108     print("[after data] : training->" + str(len(training_feature)) + " unlabel->" + str(len(unlabel_feature)) )

```

```

109     return training_feature, training_yhat, unlabel_feature
110
111
112 # split the data into training and validation data
113 def split_data(training_feature, training_yhat, validation_percent):
114
115     training_percent = 1 - validation_percent
116     num_training = int(training_percent * training_feature.shape[0])
117     indices = np.random.permutation(training_feature.shape[0])
118     training_idx, validation_idx = indices[:num_training], indices[num_training:]
119     #print("training_feature.shape[0]", training_feature.shape[0])
120     #print("training_yhat.shape[0]", training_yhat.shape[0])
121     #print()
122
123     training_feature, validation_feature = training_feature[training_idx:], training_feature[validation_idx:]
124     training_yhat, validation_yhat = training_yhat[training_idx:], training_yhat[validation_idx:]
125
126     return training_feature, training_yhat, validation_feature, validation_yhat
127
128 def build_model(mode):
129     model = Sequential()
130     if mode == 'easy':
131         # CNN part (you can repeat this part several times)
132         model.add(Convolution2D(32, 3, 3, border_mode='same', input_shape=(48, 48, 1)))
133         model.add(Activation('relu'))
134         model.add(Convolution2D(32, 3, 3))
135         model.add(Activation('relu'))
136         model.add(MaxPooling2D(pool_size=(2, 2)))
137         model.add(Dropout(0.25))
138
139         model.add(Convolution2D(64, 3, 3, border_mode='same'))
140         model.add(Activation('relu'))
141         model.add(Convolution2D(64, 3, 3))
142         model.add(Activation('relu'))
143         model.add(MaxPooling2D(pool_size=(2, 2)))
144         model.add(Dropout(0.25))

```



```

146     model.add(Convolution2D(128, 3, 3, border_mode='same'))
147     model.add(Activation('relu'))
148     model.add(Convolution2D(128, 3, 3))
149     model.add(Activation('relu'))
150     model.add(MaxPooling2D(pool_size=(2, 2)))
151     model.add(Dropout(0.25))
152
153     # fully-connected part
154     model.add(Flatten())
155     model.add(Dense(1024))
156     model.add(Activation('relu'))
157     model.add(Dense(512))
158     model.add(Activation('relu'))
159     model.add(Dense(256))
160     model.add(Activation('relu'))
161     model.add(Dense(128))
162     model.add(Activation('relu'))
163     model.add(Dropout(0.25))
164     model.add(Dense(nb_classes))
165     model.add(Activation('softmax'))
166
167     opt = SGD(lr=0.01, decay=1e-6, momentum=0.9, nesterov=True)
168     model.compile(loss='categorical_crossentropy',
169                 optimizer='adadelta',
170                 metrics=['accuracy'])
171     model.summary() # show the whole model in terminal
172     return model
173
174
175
176     # read training raw data
177     tr_feats, tr_labels, _ = read_dataset(sys.argv[1])
178     # read validation data
179     dev_feats, dev_labels, _ = read_dataset(sys.argv[2])
180     # read testing raw data
181     test_feats = read_test_dataset(sys.argv[3])

```

```

182 # get training feature , training_yhat , validation_feature , validation_yhat
183 (training_feature , training_yhat , validation_feature , validation_yhat) = split_data(tr_feats , tr_labels , 0.1 )
184 # confidence threshold
185 confidence_th = 0.995
186 #unlabel data
187 unlabel_feature = test_feats
188 #early stop
189 early_stop = EarlyStopping(monitor='val_loss' , patience=20, verbose=1)
190
191 nb_add_unlabel = 5
192
193 for i in range(nb_add_unlabel):
194     if i == nb_add_unlabel -1:
195         emotion_classifier = build_model('easy')
196         emotion_classifier.fit(training_feature, training_yhat, batch_size=128, nb_epoch=40, validation_data=(validation_feature, validation_yhat), callbacks=[early_stop])
197     else:
198         emotion_classifier = build_model('easy')
199         emotion_classifier.fit(training_feature, training_yhat, batch_size=128, nb_epoch=40, validation_data=(validation_feature, validation_yhat), callbacks=[early_stop])
200         (training_feature, training_yhat, unlabel_feature) = add_unlabel_feattrue_to_training(training_feature, training_yhat, unlabel_feature, emotion_classifier)
201     emotion_classifier = build_model('easy')
202
203 # save model
204 emotion_classifier.save('semi_model')
205

```