

Research Idea: Early diagnosis of Autism Spectrum Disorder (ASD) and timely intervention is widely recognized as critical for achieving improved cognitive, behavioral and social outcomes.^[1] Despite a growing list of suspected risk factors,^{[2]–[5]} the etiology of Autism is still unclear. Even with increasingly widespread adoption of screening with standardized checklists at 18 and 24 months, the median age of diagnosis for ASD remains at over 4 years. Starting with a positive initial screen, a clinical diagnosis of ASD is a frustrating multi-step process spanning 3 months to 1 year, often delaying time-critical interventions. One obvious driver for these delays is the vast number of false positives encountered in the current initial screening. For example, the M-CHAT/F, the most widely used screener,^{[1], [6]} produces over 85 false positives out of every 100 flagged for diagnostic evaluation, significantly inflating wait times^[5] especially in rural and underserved communities. Further, current screening tools are sensitive to language barriers and cultural issues, and are particularly ineffective for children with milder symptoms with average or above-average cognitive abilities until about school age,^{[1], [7]} often due to a “wait and see” approach adopted at the primary care. The need for better screening tools is thus paramount.

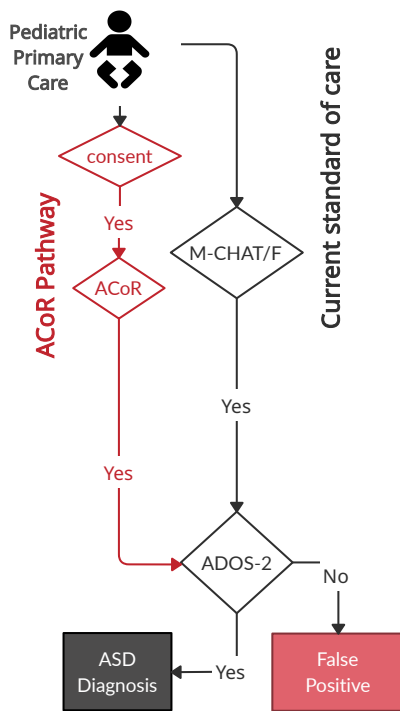


Fig. 1. Patient processing logic: Note we have parallel pathways through M-CHAT/F and ACoR, and a flag in either triggers the ADOS-2 evaluation.

In this study, we plan to validate the efficacy of our recently reported^[8] machine inferred **digital biomarkers** for autism, prospectively in a clinical study, carried out in a pediatric primary care clinic at the University of Chicago. The **ASD Co-morbid Risk score (ACoR)** uses machine learning (ML)-based pattern discovery on diagnostic codes already present in individual patient files, with no questionnaires and no new laboratory tests or blood-work.. We plan to demonstrate stand-alone ACoR efficacy, and compare its effectiveness against existing tools such as the M-CHAT/F, with children between 16-26 months of age . Our rationale is informed by the extensively documented comorbidities of ASD ranging from dysregulation of immune pathways such as eczema, allergies, asthma, as well as ear and respiratory infections, gastrointestinal problems, developmental issues, severe headaches, migraines, and seizures.^{[9], [10]} While ASD presentation is highly variable, sophisticated pattern recognition on the longitudinal history of diagnostic codes is expected to reveal uncharted associations that allow precise screening for at-risk patients. Orthogonal to questionnaire based detection of behavioral signals, the proposed tool potentially reduces socio-economic, ethnic and demographic biases to elicit more objective and stable results — with zero administrative burden on clinicians and parents. With a team comprising machine learning experts, pediatric clinicians and developmental experts with extensive history of research and service in the field (and past collaboration), we hope to demonstrate that ACoR can significantly improve outcomes by either substantially boosting sensitivity or slashing the false positive rate of the current practice. Thus, the principal study aims are:

Aim 1: Reduce false positives in current screening protocols. The current high false positive rate exacerbates post screen wait-time, increasing diagnostic delay. To evaluate the *hypothesis: ACoR reduces up to 50% of false positives*, we will track the cases in which MCHAT-F triggers a flag, but ACoR does not. Our aim to evaluate the positive predictive value (PPV) of ACoR, under high specificity conditions ($> 95\%$). Additionally, evaluate if ACoR replicates high sensitivity observed in preliminary studies without losing specificity.

Aim 2. Evaluate the statistical relationship between the ACoR score and M/CHAT-F, and formalize a joint or conditional operational protocol. We will characterize statistical association, if any, between the test scores. *Hypothesis: The uncertainties or errors in the two tests are statistically independent.* Additionally, we will evaluate our ability to boost performance by conditioning the sensitivity-specificity trade-offs on the M-CHAT/F score of individual patients.

Aim 3. Evaluate the effectiveness of ACoR in a demographically diverse population with a range of socio-economic confounders. *Hypothesis: A questionnaire-free approach has the potential to mitigate biases that arise from limitation of language, cultural barriers, and demographic diversity, e.g. disproportionately failing to diagnose children with average to above-average intelligence in diverse populations,^[11] and under-reporting of symptoms by parents or primary care-givers due to cultural differences.^[12]*

Aim 4. Characterize heterogeneity of ASD presentation by relating it to patterns in medical history, and

predictive co-morbidities. Heterogeneous presentation is a key barrier in the mechanistic understanding of ASD pathobiology. *Hypothesis: We can characterize the distinct classes and/or hierarchies of co-morbidities, by leveraging our ability to disambiguate them from individual medical histories.* This will foster new insight into intrinsic classes of the underlying disease processes, and potentially refine/inform intervention design.

Thus, we are proposing to exploit observed co-morbidities in children who ultimately meet the criteria for ASD to develop a risk estimation pipeline, and predict future clinical diagnosis under 2 years of age. Orthogonal to checklists, we aim to reduce the median diagnostic age for ASD, by reducing the long post-screen wait times,^[5] by significant boosts in positive predictive value, reduction in false positives, and increased sensitivities at little or no loss of specificity, and at no additional administrative burden or resource utilization.

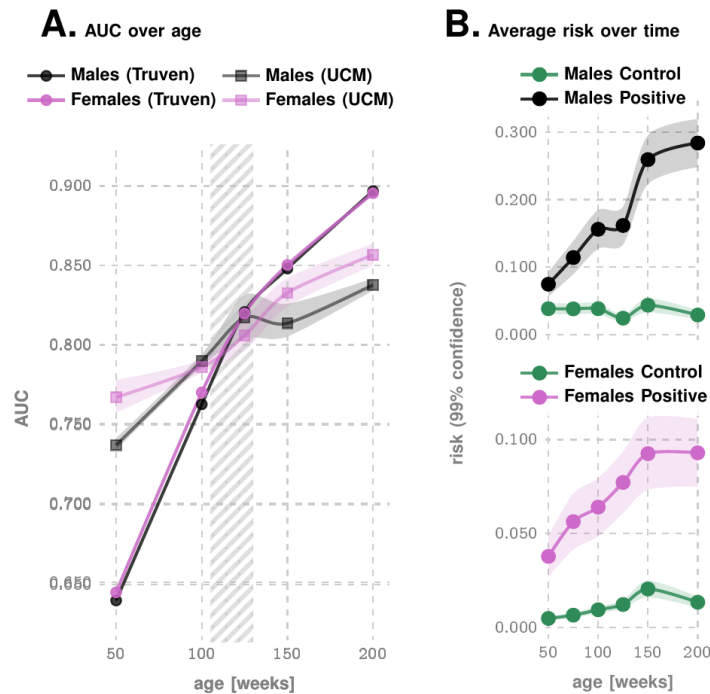


Fig. 2. ACoR performance in retrospective studies. Panel A illustrates AUC achieved as a function of patient age, for the Truven and UCM datasets: we achieve > 80% AUC for either gender from shortly after 2 years. Panel B illustrates risk variation with time for the control and the positive cohorts.

been under-diagnosed as reported is large scale population studies.^[1] Borderline cases are typically problematic to screen for due to the possibility of subjective interpretation that is built into questionnaire based risk assessment. Responses to checklists are clearly confounded by a host of socio-economic (SES) variables, potential interpretive biases, and cultural differences. The heterogeneity of presentation also causes issues, since a potential plurality of symptom classes makes it harder for clinicians to recognize borderline cases, or on-the-fly combine observed co-morbidities with scores from standardized screening tools.

In this study, we aim to validate a novel screening tool ACoR, which operationalizes a documented aspect of ASD symptomology in that it has a wide range of co-morbidities occurring at higher rates than in the general population.^[1] ACoR can potentially address the aforementioned challenges of ASD screening, by leveraging predictive signatures of elevated risk gleaned from past medical history of individual patients alone which are available at the point-of-care, and using no questionnaires, or additional bloodwork or laboratory tests.

Personnel:

Impact: A screening capability independent of existing tools, deployable as an automated module of a standard EHR system at the point of care, requiring no behavioral observations or new blood-work or laboratory tests has considerable potential to transform ASD care.

ASD presentation has significant heterogeneity, with no simple comorbidity consistently signaling future diagnosis; our algorithms distill robust actionable signatures under such stochastic scenarios. Thus, ACoR opens the possibility of a new screening modality for neuropsychiatric diseases beyond ASD.

Innovation: The standardized questionnaires attempt to measure risk by direct observation of behavioral symptoms, as reported by untrained observers (parents). Hence the current screening tests are only as good as the ability of the questions to discern and disambiguate behavior in infants and toddlers on casual observation, and on the ability of parents and caregivers to correctly interpret and answer the items without bias. This has lead to possibility of under-diagnosis in diverse communities as reflected by the lower apparent prevalence among African-American and Hispanic children. Also, children with average or higher-than-average cognitive abilities seem to have

REFERENCES

- [1] Hyman, S. L., Levy, S. E., Myers, S. M. et al. Identification, evaluation, and management of children with autism spectrum disorder. *Pediatrics* **145** (2020).
- [2] Kalb, L. G. et al. Determinants of appointment absenteeism at an outpatient pediatric autism clinic. *Journal of Developmental & Behavioral Pediatrics* **33**, 685–697 (2012).
- [3] Bisgaier, J., Levinson, D., Cutts, D. B. & Rhodes, K. V. Access to autism evaluation appointments with developmental-behavioral and neurodevelopmental subspecialists. *Archives of pediatrics & adolescent medicine* **165**, 673–674 (2011).
- [4] Fenikilé, T. S., Ellerbeck, K., Filippi, M. K. & Daley, C. M. Barriers to autism screening in family medicine practice: a qualitative study. *Primary health care research & development* **16**, 356–366 (2015).
- [5] Gordon-Lipkin, E., Foster, J. & Peacock, G. Whittling Down the Wait Time: Exploring Models to Minimize the Delay from Initial Concern to Diagnosis and Treatment of Autism Spectrum Disorder. *Pediatr. Clin. North Am.* **63**, 851–859 (2016).
- [6] Robins, D. L. et al. Validation of the modified checklist for autism in toddlers, revised with follow-up (m-chat-r/f). *Pediatrics* **133**, 37–45 (2014).
- [7] Jashar, D. T., Brennan, L. A., Barton, M. L. & Fein, D. Cognitive and adaptive skills in toddlers who meet criteria for autism in dsm-iv but not dsm-5. *Journal of autism and developmental disorders* **46**, 3667–3677 (2016).
- [8] Onishchenko, D. et al. Reduced false positives in autism screening via digital biomarkers inferred from deep comorbidity patterns. *Science Advances* **7** (2021).
- [9] Tye, C., Runicles, A. K., Whitehouse, A. J. O. & Alvares, G. A. Characterizing the Interplay Between Autism Spectrum Disorder and Comorbid Medical Conditions: An Integrative Review. *Front Psychiatry* **9**, 751 (2018).
- [10] Kohane, I. S. et al. The co-morbidity burden of children and young adults with autism spectrum disorders. *PLoS ONE* **7**, e33224 (2012).
- [11] Christensen, D. L. et al. Prevalence and characteristics of autism spectrum disorder among children aged 4 years—early autism and developmental disabilities monitoring network, seven sites, united states, 2010, 2012, and 2014. *MMWR Surveillance Summaries* **68**, 1 (2019).
- [12] Burkett, K., Morris, E., Manning-Courtney, P., Anthony, J. & Shambley-Ebron, D. African american families on autism diagnosis and treatment: The influence of culture. *Journal of Autism and Developmental Disorders* **45**, 3244–3254 (2015).