

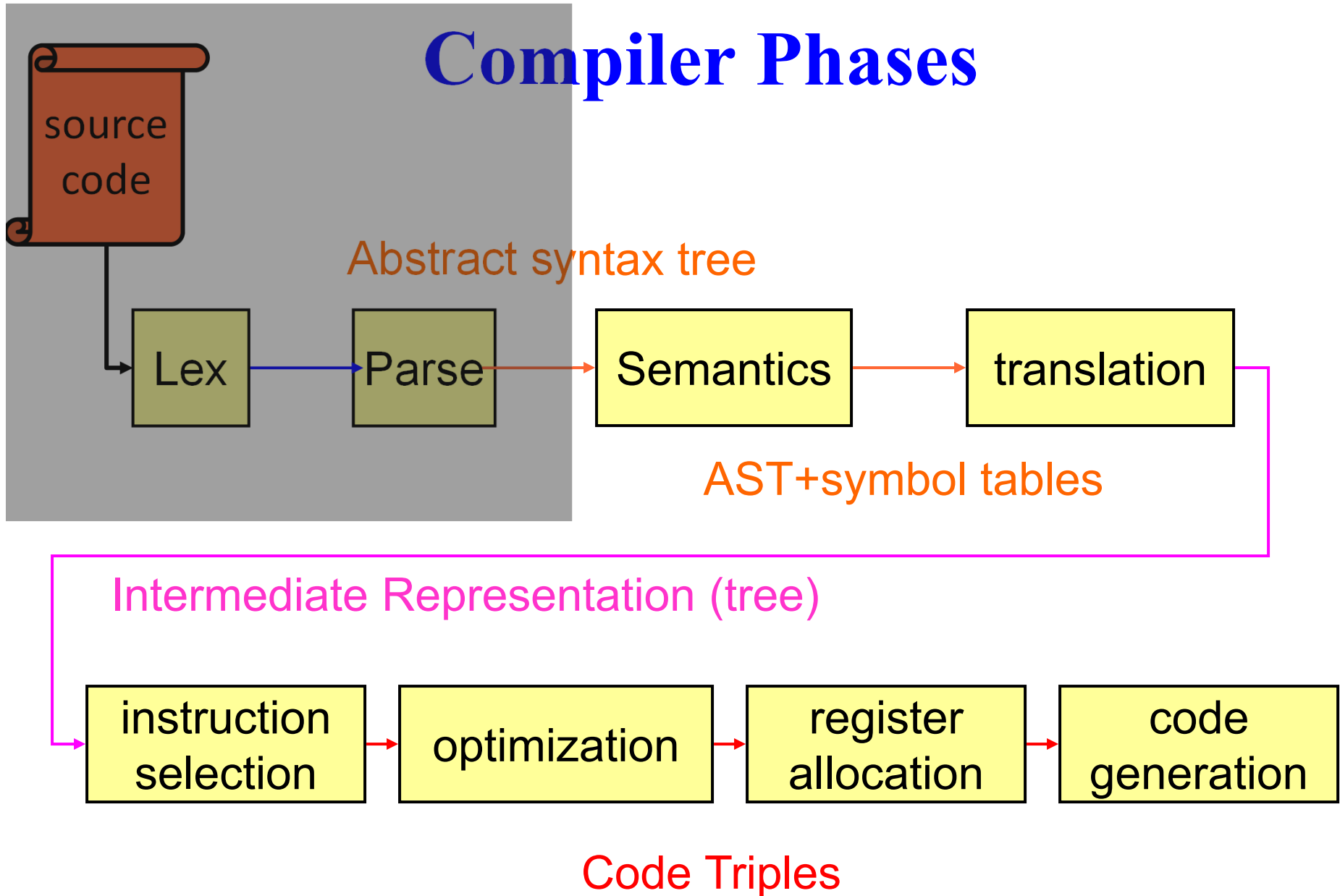
# Dynamic Semantics

**15-411/15-611 Compiler Design**

Seth Copen Goldstein

October 15, 2020

# Compiler Phases



# Today

- Overview
- Our destination
- Assumptions
- Evaluation
- Variables and the environment
- Execution
- Functions, returns, and the stack
- L3 summary

# Dynamic Semantics

- Formally describe how programs execute
- Concise and precise definition
- Our Purpose: Informs compiler writing.
- Could: prove properties about
  - source programs
  - compiler transformations
  - resulting executable

# Static → Dynamic

- Static semantics describes which programs are well-formed
- Dynamic semantics describes how well-formed programs execute
- A language is safe when all well-formed programs are well-behaved.

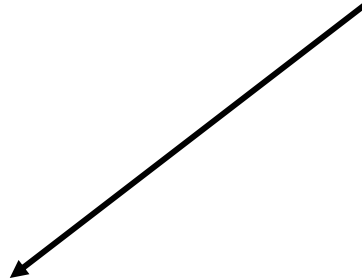
# Approaches to Dynamic Semantics

- Denotational:  
What does the program mean?
- Axiomatic:  
What can we prove about the program?
- Operational:  
How does the program execute?

# Operational Semantics

- Structural (small-step semantics)  
What are the basic steps of the execution
- Natural (large-step semantics)  
Relationship of operations to effects
- operational semantics on abstract machines
  - syntax directed
  - inductive
  - transition rules which formally describe how a piece of syntax will change the abstract machines

# Our destination



aka: End of the next lecture



# Our destination

Evaluation of expression  $e$  in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash e \triangleright K$$

# Our destination

Evaluation of expression  $e$  in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash e \triangleright K$$

Small-step semantics: where is the program counter?

# Our destination

Evaluation of expression  $e$  in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash e \triangleright K$$

$K$  is a continuation, i.e.,  
evaluate  $e$  and pass result to  $K$

# Our destination

Execution of a statement  $s$  in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash s \blacktriangleright K$$

Execute  $s$  and then the next statement in  $K$

# Assumptions

- Working on our standard AST:
  - expressions ( $n, x, \oplus, \dots$ ) and
  - statements (decl, assign, return, ...)
- Working on well-formed ASTs, i.e., they pass static semantics
- It bears repeating: well-formed programs are well-behaved
  - Or, as Milner quipped: “well typed programs do not go wrong.”
  - “well typed programs do not get stuck.”

$$e \triangleright K$$

- Evaluate  $e$  pass result into  $K$
- For example,

$$e_1 + e_2 \triangleright K$$

$$e \triangleright K$$

- Evaluate  $e$  pass result into  $K$
- For example, we have the judgement:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare + e_2, K)$$

- Evaluate  $e_1 + e_2$  by evaluating  $e_1$  and then pass value into  $\blacksquare$  and continue.
- $\blacksquare$  is “hole” into which we put the value of  $e_1$  after it is evaluated.

$$e \triangleright K$$

- Evaluate  $e$  pass result into  $K$
- For example, we have the judgements:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare + e_2, K)$$

$$c_1 \triangleright (\blacksquare + e_2, K) \longrightarrow e_2 \triangleright (c_1 + \blacksquare, K)$$

$$c_2 \triangleright (c_1 + \blacksquare, K) \longrightarrow c \triangleright K$$

Where,  $c = c_1 + c_2 \bmod 2^{32}$



# Pure arithmetic ops, $\oplus$

$$e_1 \oplus e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare \oplus e_2, K)$$

$$c_1 \triangleright (\blacksquare \oplus e_2, K) \longrightarrow e_2 \triangleright (c_1 \oplus \blacksquare, K)$$

$$c_2 \triangleright (c_1 \oplus \blacksquare, K) \longrightarrow c \triangleright K$$

Where,  $c = c_1 \oplus c_2 \bmod 2^{32}$

# ops that can cause exceptions: $\oslash$

$$e_1 \oslash e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare \oslash e_2, K)$$

$$c_1 \triangleright (\blacksquare \oslash e_2, K) \longrightarrow e_2 \triangleright (c_1 \oslash \blacksquare, K)$$

$$c_2 \triangleright (c_1 \oslash \blacksquare, K) \longrightarrow c \triangleright K \quad \text{if } c = c_1 \oslash c_2$$

$$c_2 \triangleright (c_1 \oslash \blacksquare, K) \longrightarrow \text{excpt}(\text{arith} \quad ) \quad \text{if } c_1 \oslash c_2 \text{ undef}$$

# The empty continuation

- $c \triangleright \cdot$   
indicates there is nothing more to do
- We stop and return  
 $\text{value}(c)$
- Giving the judgement:  
 $c \triangleright \cdot \longrightarrow \text{value}(c)$

# short-circuiting

$$\begin{array}{lll} e_1 \ \&\& e_2 \triangleright K & \longrightarrow & e_1 \triangleright (\_ \ \&\& e_2 \ , \ K) \\ \text{false} \triangleright (\_ \ \&\& e_2 \ , \ K) & \longrightarrow & \text{false} \triangleright K \\ \text{true} \triangleright (\_ \ \&\& e_2 \ , \ K) & \longrightarrow & e_2 \triangleright K \end{array}$$

- of note:
  - Booleans are not 0 & 1, but false & true

# Example

$$((4 + 5) * 10) + 2 \triangleright .$$

# Example

$$((4 + 5) * 10) + 2 \triangleright .$$

# Example

$$\begin{array}{lcl} & ((4 + 5) * 10) + 2 & \triangleright \cdot \\ \longrightarrow & \boxed{(4 + 5) * 10} & \triangleright \_ + \boxed{2} \end{array}$$

# Example

$$\begin{array}{lcl} & ((4 + 5) * 10) + 2 & \triangleright \cdot \\ \longrightarrow & \boxed{(4 + 5)} * \boxed{10} & \triangleright \_ + 2 \end{array}$$



# Example

$$\begin{array}{lll} & ((4 + 5) * 10) + 2 & \triangleright \cdot \\ \longrightarrow & (4 + 5) * 10 & \triangleright \_ + 2 \\ \longrightarrow & \boxed{4 + 5} & \triangleright \_ * \boxed{10}, \_ + 2 \end{array}$$

# Example

$$\begin{array}{lll} & ((4 + 5) * 10) + 2 & \triangleright \cdot \\ \longrightarrow & (4 + 5) * 10 & \triangleright \_ + 2 \\ \\ \longrightarrow & \boxed{4 + 5} & \triangleright \_ * \boxed{10}, \_ + 2 \\ \longrightarrow & 4 & \triangleright \_ + 5, \_ * 10, \_ + 2 \end{array}$$

# Example

$$\begin{aligned} & \longrightarrow ((4 + 5) * 10) + 2 \quad \triangleright \quad . \\ & \longrightarrow (4 + 5) * 10 \quad \triangleright \quad \_ + 2 \\ \\ & \longrightarrow 4 + 5 \quad \triangleright \quad \_ * 10 , \_ + 2 \\ & \longrightarrow 4 \quad \triangleright \quad \_ + 5 , \_ * 10 , \_ + 2 \\ & \longrightarrow 5 \quad \triangleright \quad 4 + \_ , \_ * 10 , \_ + 2 \end{aligned}$$

# Example

$$\begin{array}{lll} & ((4 + 5) * 10) + 2 & \triangleright \quad . \\ \longrightarrow & (4 + 5) * 10 & \triangleright \quad \_ + 2 \\ \\ \longrightarrow & 4 + 5 & \triangleright \quad \_ * 10 , \_ + 2 \\ \longrightarrow & 4 & \triangleright \quad \_ + 5 , \_ * 10 , \_ + 2 \\ \longrightarrow & 5 & \triangleright \quad 4 + \_ , \_ * 10 , \_ + 2 \\ \longrightarrow & 9 & \triangleright \quad \quad * 10 , \quad + 2 \end{array}$$

# Example

	$((4 + 5) * 10) + 2$	$\triangleright$	$.$
$\longrightarrow$	$(4 + 5) * 10$	$\triangleright$	$\_ + 2$
$\longrightarrow$	$4 + 5$	$\triangleright$	$\_ * 10, \_ + 2$
$\longrightarrow$	$4$	$\triangleright$	$\_ + 5, \_ * 10, \_ + 2$
$\longrightarrow$	$5$	$\triangleright$	$4 + \_, \_ * 10, \_ + 2$
$\longrightarrow$	$9$	$\triangleright$	$\_ * 10, \_ + 2$
$\longrightarrow$	$10$	$\triangleright$	$9 * \_, \_ + 2$

# Example

	$((4 + 5) * 10) + 2$	$\triangleright$	$.$
$\longrightarrow$	$(4 + 5) * 10$	$\triangleright$	$\_ + 2$
$\longrightarrow$	$4 + 5$	$\triangleright$	$\_ * 10, \_ + 2$
$\longrightarrow$	$4$	$\triangleright$	$\_ + 5, \_ * 10, \_ + 2$
$\longrightarrow$	$5$	$\triangleright$	$4 + \_, \_ * 10, \_ + 2$
$\longrightarrow$	$9$	$\triangleright$	$\_ * 10, \_ + 2$
$\longrightarrow$	$10$	$\triangleright$	$9 * \_, \_ + 2$
$\longrightarrow$	$90$	$\triangleright$	$\_ + 2$

# Example

	$((4 + 5) * 10) + 2$	$\triangleright$	$.$
$\longrightarrow$	$(4 + 5) * 10$	$\triangleright$	$\_ + 2$
$\longrightarrow$	$4 + 5$	$\triangleright$	$\_ * 10, \_ + 2$
$\longrightarrow$	$4$	$\triangleright$	$\_ + 5, \_ * 10, \_ + 2$
$\longrightarrow$	$5$	$\triangleright$	$4 + \_, \_ * 10, \_ + 2$
$\longrightarrow$	$9$	$\triangleright$	$\_ * 10, \_ + 2$
$\longrightarrow$	$10$	$\triangleright$	$9 * \_, \_ + 2$
$\longrightarrow$	$90$	$\triangleright$	$\_ + 2$
$\longrightarrow$	$2$	$\triangleright$	$90 + \_$
$\longrightarrow$	$92$	$\triangleright$	$.$

# variables and $\eta$

- We need to keep track of variables and their values
- $\eta$  defines the environment
  - if  $x$  has the value  $v$  in the environment, then

$$\eta(x) = v$$

- We add a value  $v$  for  $x$  to the environment

$$\eta[x \mapsto v]$$

yielding

$$\eta, x \mapsto v$$



# Our new abstract machine

$$\eta \vdash e \triangleright K$$

- We add a rule for variables,

$$\eta \vdash x \triangleright K \longrightarrow \eta(x) \triangleright K$$

- Why is this rule ok? I.e., what if  $x$  is undefined?

# Our new abstract machine

$$\eta \vdash e \triangleright K$$

- We add a rule for variables,

$$\eta \vdash x \triangleright K \longrightarrow \eta(x) \triangleright K$$

- Why is this rule ok?  $x$  is never undefined since we already passed static semantics

# Our new abstract machine

$$\eta \vdash e \triangleright K$$

- We add a rule for variables,

$$\eta \vdash x \triangleright K \longrightarrow \eta(x) \triangleright K$$

- And, augment old rules with  $\eta$ , e. g.,

$$\eta \vdash e_1 \oplus e_2 \triangleright K \longrightarrow \eta \vdash e_1 \triangleright (\blacksquare \oplus e_2, K)$$

# Execution

$$\eta \vdash s \blacktriangleright K$$

- Statements alter the environment and then become a **nop**, and then goto the statement in K

$$\begin{array}{lcl} \eta \vdash \text{seq}(s_1, s_2) \blacktriangleright K & \longrightarrow & \eta \vdash s_1 \blacktriangleright (s_2, K) \\ & \longrightarrow & \eta \vdash \text{nop} \blacktriangleright (s_2, K) \\ & \longrightarrow & \eta \vdash s_2 \blacktriangleright K \end{array}$$

# Execution

$$\eta \vdash s \blacktriangleright K$$

- Statements alter the environment and then become a **nop**, and then goto the statement in K

$$\begin{array}{ll} \eta \vdash \text{seq}(s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright (s_2, K) \\ \eta \vdash \text{nop} \blacktriangleright (s, K) & \longrightarrow \quad \eta \vdash s \blacktriangleright K \end{array}$$

# Modifying $\eta$

- Declaration adds a mapping to  $\eta$

$$\eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K \quad \longrightarrow \quad \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$$

- Assignment, changes the value in  $\eta$

# Modifying $\eta$

- Declaration adds a mapping to  $\eta$

$$\eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K \quad \longrightarrow \quad \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$$

- Assignment, changes the value in  $\eta$  (after evaluating the right hand side.)

$$\eta \vdash \text{assign}(x, e) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash e \triangleright (\text{assign}(x, \_), K)$$

# Modifying $\eta$

- Declaration adds a mapping to  $\eta$

$$\eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K \quad \longrightarrow \quad \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$$

- Assignment, changes the value in  $\eta$  (after evaluating the right hand side.)

$$\begin{array}{ll} \eta \vdash \text{assign}(x, e) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{assign}(x, \_), K) \\ \eta \vdash v \triangleright (\text{assign}(x, \_), K) & \longrightarrow \quad \eta[x \mapsto v] \vdash \text{nop} \blacktriangleright K \end{array}$$



# Scoping

$$\begin{aligned} [x \mapsto v_1] \vdash \text{assign}(x, e) \blacktriangleright K \\ \quad \rightarrow [x \mapsto v_1] \vdash e \triangleright (\text{assign}(x, \blacksquare), K) \\ \quad \rightarrow [x \mapsto v_1] \vdash v_2 \triangleright (\text{assign}(x, \blacksquare), K) \\ \quad \rightarrow [x \mapsto v_2] \vdash \text{nop} \triangleright K \quad \blacktriangleright \end{aligned}$$

Now, what does  $[x \mapsto v_1, x \mapsto v_2] \vdash x \triangleright K$  evaluate to?

# Statements

- if

$$\begin{array}{lll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow & \eta \vdash e \triangleright (\text{if}(\_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow & \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow & \eta \vdash s_2 \blacktriangleright K \end{array}$$

# Statements

- if

$$\begin{array}{ll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{if}(\_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_2 \blacktriangleright K \end{array}$$

- while

$$\eta \vdash \text{while}(e, s) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$$

# Statements

- if

$$\begin{array}{ll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{if}(\_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_2 \blacktriangleright K \end{array}$$

- while

$$\eta \vdash \text{while}(e, s) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$$

- assert

$$\begin{array}{ll} \eta \vdash \text{assert}(e) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{assert}(\_), K) \\ \eta \vdash \text{true} \triangleright (\text{assert}(\_), K) & \longrightarrow \quad \eta \vdash \text{nop} \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{assert}(\_), K) & \longrightarrow \quad \text{exception}(\text{abort}) \end{array}$$

# Statements

- if

$$\begin{array}{ll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{if}(\_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(\_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_2 \blacktriangleright K \end{array}$$

- while

$$\eta \vdash \text{while}(e, s) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$$

- assert

$$\begin{array}{ll} \eta \vdash \text{assert}(e) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{assert}(\_), K) \\ \eta \vdash \text{true} \triangleright (\text{assert}(\_), K) & \longrightarrow \quad \eta \vdash \text{nop} \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{assert}(\_), K) & \longrightarrow \quad \text{exception}(\text{abort}) \end{array}$$

- return?

**while( $x > 0$ , assign( $x, x+1$ ))**

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

$[x \mapsto 1] \vdash \text{while}(x > 0, s) \quad \blacktriangleright \quad .$

**while( $x > 0$ , assign( $x, x+1$ ))**

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

→  $[x \mapsto 1] \vdash \text{while}(x > 0, s) \quad \blacktriangleright \quad \cdot$   
 $[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop}) \quad \blacktriangleright \quad \cdot$

# **while( $x > 0$ , assign( $x, x+1$ ))**

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

$\rightarrow [x \mapsto 1] \vdash \text{while}(x > 0, s) \quad \blacktriangleright \quad .$

$\rightarrow [x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop}) \quad \blacktriangleright \quad .$

$\rightarrow [x \mapsto 1] \vdash x > 0 \quad \triangleright \quad \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$



# **while( $x > 0$ , assign( $x, x+1$ ))**

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	► .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	► .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

# **while( $x > 0$ , assign( $x, x+1$ ))**

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	► .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	► .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

# **while( $x > 0$ , assign( $x, x+1$ ))**

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	► .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	► .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷ $1 > \_; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

# while( $x > 0$ , assign( $x, x+1$ ))

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	► .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	► .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷ $1 > \_; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{true}$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

# while( $x > 0$ , assign( $x, x+1$ ))

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	► .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	► .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷ $1 > \_; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{true}$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{seq}(s, \text{while}(x > 0, s))$	► .

# while( $x > 0$ , assign( $x, x+1$ ))

- Assuming  $\eta = [x \mapsto 1]$
- and  $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	► .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	► .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $\_ > 0; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷ $1 > \_; \text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{true}$	▷ $\text{if}(\_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{seq}(s, \text{while}(x > 0, s))$	► .
→	$[x \mapsto 1] \vdash \text{assign}(x, x + 1)$	► $\text{while}(x > 0, \text{assign}(x, x + 1))$
→	$[x \mapsto 1] \vdash x + 1$	▷ $\text{assign}(x, \_); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash x$	▷ $\_ + 1; \text{assign}(x, \_); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash 1$	▷ $\_ + 1; \text{assign}(x, \_); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash 1$	▷ $1 + \_; \text{assign}(x, \_); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash 2$	▷ $\text{assign}(x, \_); \text{while}(x > 0, s)$
→	$[x \mapsto 2] \vdash \text{nop}$	► $\text{while}(x > 0, s)$
→	$[x \mapsto 2] \vdash \text{while}(x > 0, s)$	► .

# The return Statement

$\eta \vdash \text{return}(e) \blacktriangleright K$

$\longrightarrow \eta \vdash e \triangleright (\text{return}(\blacksquare), K)$

$\longrightarrow \eta \vdash v \triangleright (\text{return}(\blacksquare), K)$

- But now what?

# The return Statement

$$\eta \vdash \text{return}(e) \blacktriangleright K$$

$$\rightarrow \eta \vdash e \triangleright (\text{return}(\blacksquare), K)$$

$$\rightarrow \eta \vdash v \triangleright (\text{return}(\blacksquare), K)$$

- We need to represent the stack,  $S$ , which will have
  - an environment
  - a continuation

$$S ::= \cdot \mid S, \langle \eta, K \rangle$$

- Our new abstract machine augments all old rules with  $S$

$$S; \eta \vdash e \triangleright K$$

$$S; \eta \vdash s \blacktriangleright K$$



# The return Statement

$$\begin{aligned} S, \langle \eta', K' \rangle; \eta \vdash \text{return}(e) \blacktriangleright K \\ \longrightarrow S, \langle \eta', K' \rangle; \eta \vdash e \triangleright (\text{return}(\blacksquare), K) \\ \longrightarrow S, \langle \eta', K' \rangle; \eta \vdash v \triangleright (\text{return}(\blacksquare), K) \\ \longrightarrow S; \eta' \vdash v \triangleright K' \end{aligned}$$

And, for void functions we need:

$$S, \langle \eta', K' \rangle; \eta \vdash \text{nop} \blacktriangleright \cdot \longrightarrow S; \eta' \vdash \text{nothing} \triangleright K'$$

# Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

*(given that  $f$  is defined as  $f()\{s\}$ )*

# Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

*(given that  $f$  is defined as  $f()\{s\}$ )*

- And, two arguments

$$S ; \eta \vdash f(e_1, e_2) \triangleright K \quad \longrightarrow \quad S ; \eta \vdash e_1 \triangleright (f(\_, e_2), K)$$

# Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

*(given that  $f$  is defined as  $f()\{s\}$ )*

- And, two arguments

$$\begin{array}{ll} S ; \eta \vdash f(e_1, e_2) \triangleright K & \longrightarrow \quad S ; \eta \vdash e_1 \triangleright (f(\_, e_2), K) \\ S ; \eta \vdash c_1 \triangleright (f(\_, e_2), K) & \longrightarrow \quad S ; \eta \vdash e_2 \triangleright (f(c_1, \_), K) \end{array}$$

# Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

*(given that  $f$  is defined as  $f()\{s\}$ )*

- And, two arguments

$$\begin{array}{ll} S ; \eta \vdash f(e_1, e_2) \triangleright K & \longrightarrow \quad S ; \eta \vdash e_1 \triangleright (f(\_, e_2), K) \\ S ; \eta \vdash c_1 \triangleright (f(\_, e_2), K) & \longrightarrow \quad S ; \eta \vdash e_2 \triangleright (f(c_1, \_), K) \\ S ; \eta \vdash c_2 \triangleright (f(c_1, \_), K) & \longrightarrow \quad (S, \langle \eta, K \rangle) ; [x_1 \mapsto c_1, x_2 \mapsto c_2] \vdash s \blacktriangleright \cdot \end{array}$$

*(given that  $f$  is defined as  $f(x_1, x_2)\{s\}$ )*

# Putting it all together

- We start with

$$\cdot; \cdot \vdash \text{main}() \triangleright \cdot$$

- We stop with (assuming main returns  $c$ )

$$\cdot; \eta \vdash c \triangleright \cdot \quad \longrightarrow \quad \text{value}(c)$$

# Putting it all together

- We start with

$$\cdot; \cdot \vdash \text{main}(\ ) \triangleright \cdot$$

- We stop with (assuming main returns  $c$ )

$$\cdot; \eta \vdash c \triangleright \cdot \quad \longrightarrow \quad \text{value}(c)$$

- Unless, we get an error

$$\text{exception}(E)$$

# Putting it all together

- We start with

$$\cdot; \cdot \vdash \text{main}(\ ) \triangleright \cdot$$

- We stop with (assuming main returns  $c$ )

$$\cdot; \eta \vdash c \triangleright \cdot \quad \longrightarrow \quad \text{value}(c)$$

- Unless, we get an error

$$\text{exception}(E)$$

- And, along the way,

$$S; \eta \vdash e \triangleright K$$

$$S; \eta \vdash s \blacktriangleright K$$



# L3

Expressions	$e$	$::=$	$c \mid e_1 \odot e_2 \mid \text{true} \mid \text{false} \mid e_1 \ \&\& \ e_2 \mid x \mid f(e_1, e_2) \mid f()$
Statements	$s$	$::=$	$\text{nop} \mid \text{seq}(s_1, s_2) \mid \text{assign}(x, e) \mid \text{decl}(x, \tau, s)$ $\mid \text{if}(e, s_1, s_2) \mid \text{while}(e, s) \mid \text{return}(e) \mid \text{assert}(e)$
Values	$v$	$::=$	$c \mid \text{true} \mid \text{false} \mid \text{nothing}$
Environments	$\eta$	$::=$	$\cdot \mid \eta, x \mapsto c$
Stacks	$S$	$::=$	$\cdot \mid S, \langle \eta, K \rangle$
Cont. frames	$\phi$	$::=$	$\_ \odot e \mid c \odot \_ \mid \_ \ \&\& \ e \mid f(\_, e) \mid f(c, \_)$ $\mid s \mid \text{assign}(x, \_) \mid \text{if}(\_, s_1, s_2) \mid \text{return}(\_) \mid \text{assert}(\_)$
Continuations	$K$	$::=$	$\cdot \mid \phi, K$
Exceptions	$E$	$::=$	$\text{arith} \mid \text{abort}$

$S ; \eta \vdash e_1 \odot e_2 \triangleright K$	$\longrightarrow$	$S ; \eta \vdash e_1 \triangleright (\_ \odot e_2 , K)$
$S ; \eta \vdash c_1 \triangleright (\_ \odot e_2 , K)$	$\longrightarrow$	$S ; \eta \vdash e_2 \triangleright (c_1 \odot \_ , K)$
$S ; \eta \vdash c_2 \triangleright (c_1 \odot \_ , K)$	$\longrightarrow$	$S ; \eta \vdash c \triangleright K \quad (c = c_1 \odot c_2)$
$S ; \eta \vdash c_2 \triangleright (c_1 \odot \_ , K)$	$\longrightarrow$	exception(arith) $\quad (c_1 \odot c_2 \text{ undefined})$
$S ; \eta \vdash e_1 \ \&\& \ e_2 \triangleright K$	$\longrightarrow$	$S ; \eta \vdash e_1 \triangleright (\_ \ \&\& \ e_2 , K)$
$S ; \eta \vdash \text{false} \triangleright (\_ \ \&\& \ e_2 , K)$	$\longrightarrow$	$S ; \eta \vdash \text{false} \triangleright K$
$S ; \eta \vdash \text{true} \triangleright (\_ \ \&\& \ e_2 , K)$	$\longrightarrow$	$S ; \eta \vdash e_2 \triangleright K$
$S ; \eta \vdash x \triangleright K$	$\longrightarrow$	$S ; \eta \vdash \eta(x) \triangleright K$

$S ; \eta \vdash \text{nop} \blacktriangleright (s, K)$	$\longrightarrow$	$S ; \eta \vdash s \blacktriangleright K$
$S ; \eta \vdash \text{assign}(x, e) \blacktriangleright K$	$\longrightarrow$	$S ; \eta \vdash e \triangleright (\text{assign}(x, \_) , K)$
$S ; \eta \vdash c \triangleright (\text{assign}(x, \_) , K)$	$\longrightarrow$	$S ; \eta[x \mapsto c] \vdash \text{nop} \blacktriangleright K$
$S ; \eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K$	$\longrightarrow$	$S ; \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$
$S ; \eta \vdash \text{assert}(e) \blacktriangleright K$	$\longrightarrow$	$S ; \eta \vdash e \triangleright (\text{assert}(\_) , K)$
$S ; \eta \vdash \text{true} \triangleright (\text{assert}(\_) , K)$	$\longrightarrow$	$S ; \eta \vdash \text{nop} \blacktriangleright K$
$S ; \eta \vdash \text{false} \triangleright (\text{assert}(\_) , K)$	$\longrightarrow$	$\text{exception}(\text{abort})$
$S ; \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K$	$\longrightarrow$	$S ; \eta \vdash e \triangleright (\text{if}(\_, s_1, s_2) , K)$
$S ; \eta \vdash \text{true} \triangleright (\text{if}(\_, s_1, s_2), K)$	$\longrightarrow$	$S ; \eta \vdash s_1 \blacktriangleright K$
$S ; \eta \vdash \text{false} \triangleright (\text{if}(\_, s_1, s_2), K)$	$\longrightarrow$	$S ; \eta \vdash s_2 \blacktriangleright K$
$S ; \eta \vdash \text{while}(e, s) \blacktriangleright K$	$\longrightarrow$	$S ; \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$
$S ; \eta \vdash f(e_1, e_2) \triangleright K$	$\longrightarrow$	$S ; \eta \vdash e_1 \triangleright (f(\_, e_2) , K)$
$S ; \eta \vdash c_1 \triangleright (f(\_, e_2) , K)$	$\longrightarrow$	$S ; \eta \vdash e_2 \triangleright (f(c_1, \_) , K)$
$S ; \eta \vdash c_2 \triangleright (f(c_1, \_) , K)$	$\longrightarrow$	$(S, \langle \eta, K \rangle) ; [x_1 \mapsto c_1, x_2 \mapsto c_2] \vdash s \blacktriangleright \cdot$ <i>(given that <math>f</math> is defined as <math>f(x_1, x_2)\{s\}</math>)</i>
$S ; \eta \vdash f() \triangleright K$	$\longrightarrow$	$(S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$ <i>(given that <math>f</math> is defined as <math>f()\{s\}</math>)</i>
$S ; \eta \vdash \text{return}(e) \blacktriangleright K$	$\longrightarrow$	$S ; \eta \vdash e \triangleright (\text{return}(\_) , K)$
$(S, \langle \eta', K' \rangle) ; \eta \vdash v \triangleright (\text{return}(\_) , K)$	$\longrightarrow$	$S ; \eta' \vdash v \triangleright K'$
$\cdot ; \eta \vdash c \triangleright (\text{return}(\_) , K)$	$\longrightarrow$	$\text{value}(c)$

# Pretty Amazing

- Clear, Concise
- What about rule set?
  - deterministic?
  - ?
- But, the amazing thing is:

**Theorem 1 (No undefined behavior)** *If a program is valid as defined by the static semantics, and*

$$\cdot; \cdot \vdash \text{main}() \longrightarrow \mathcal{ST}_1 \longrightarrow \dots \longrightarrow \mathcal{ST}_n$$

*then either  $\mathcal{ST}_n$  is a final state or else  $\mathcal{ST}_n$  is not-stuck because there exists a state  $\mathcal{ST}'$  such that  $\mathcal{ST}_n \longrightarrow \mathcal{ST}'$ .*

# Next Time

- memory!