18 Style and Information

Early developments in RLHF gave it a reputation for being "just style transfer" or other harsh critiques on how RLHF manipulates the way information is presented in outputs.

Style transfer, has held back the RLHF narrative for two reasons.

First, when people discuss style transfer, they don't describe this as being important or exciting. Style is a never-ending source of human value, it's why retelling stories can result in new bestselling books (such as Sapiens), and it is a fundamental part of continuing to progress our intellectual ecosystem. Style is intertwined with what the information is.

Second, we've seen how different styles actually can improve evaluation improvements with Llama 3 [23]. The Llama 3 Instruct models scored extremely high on ChatBotArena, and it's accepted as being because they had a more fun personality. If RLHF is going to make language models simply more fun, that is delivered value.

Throughout this chapter, the term "chattiness" is used to encompass the growing length of responses from models training with RLHF, but it also encompasses techniques like heavy markdown use, emojis, and formatting the answer in bulleted lists.

18.1 The Chattiness Paradox

RLHF or preference fine-tuning methods are being used mostly to boost scores like AlpacaE-val and other automatic leaderboards without shifting the proportionally on harder-to-game evaluations like ChatBotArena. The paradox is that while alignment methods give a measurable improvement on these models that does transfer into performance that people care about, a large swath of the models doing more or less the same thing take it way too far and publish evaluation scores that are obviously meaningless.

These methods, when done right, make the models easier to work with and more enjoyable. This often comes with a few percentage point improvements on evaluation tools like MT Bench or AlpacaEval. The problem is that you can also use techniques like DPO and PPO in feedback loops or in an abundance of data to actually severely harm the model on other tasks like mathematics or coding at the cost of LLM-as-a-judge performance.

During the proliferation of the DPO versus PPO debate there were many papers that came out with incredible benchmarks but no model weights that gathered sustained usage. When applying RLHF, there is no way to make an aligned version of a 7 billion parameter model actually beat GPT-4 across comprehensive benchmarks. It seems obvious, but there are papers claiming these results. fig. 19 is from a paper called Direct Nash Optimization (DNO) that makes the case that their model is state-of-the-art or so on AlpacaEval. These challenges emerge when academic incentives interface with technologies becoming of extreme interest to the broader society.

Even the pioneering paper Self Rewarding Language Models [252] disclosed unrealistic scores on Llama 2 70B. A 70B model can get closer to GPT-4 than a 7B model can, as we have seen with Llama 3, but it's important to separate the reality of models from the claims in modern RLHF papers. Many more methods have come and gone similar to this, sharing valuable insights and oversold results, which make RLHF harder to understand.

A symptom of models that have "funky RLHF" applied to them has often been a length bias.

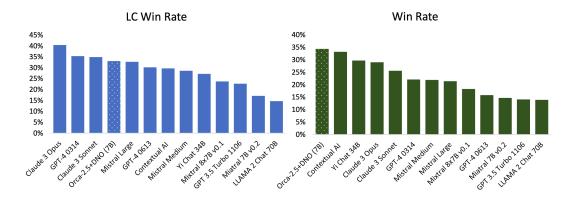


Figure 19: Results from the paper on Direct Nash Optimization (DNO) highlighting their small model outperforming the likes of GPT-4. Rosset et al. 2024. License CC-BY.

This got so common that multiple evaluation systems like AlpacaEval and WildBench both have linear length correction mechanisms in them. This patches the incentives for doping on chattiness to "beat GPT-4," and adds a less gamified bug that shorter and useful models may actually win out.

Regardless, aligning that models simply for chattiness still has a bit of a tax in the literature. This note from the Qwen models is something that has been seen multiple times in early alignment experiments, exaggerating a trade-off between chattiness and performance [253].

We pretrained the models with a large amount of data, and we post-trained the models with both supervised finetuning and direct preference optimization. However, DPO leads to improvements in human preference evaluation but degradation in benchmark evaluation.

A good example of this tradeoff done right is a model like Starling Beta [81]. It's a model that was fine-tuned from another chat model, OpenChat [254], which was in fact trained by an entire other organization. It's training entirely focuses on a k-wise reward model training and PPO optimization, and moves it up 10 places in ChatBotArena. The average response length of the model increases, but in a way that's good enough to actually help the human raters.

18.1.1 How Chattiness Emerges

A natural question is: Why does RLHF make model responses longer? At a fundamental answer, evaluations like ChatBotArena have shown us that average users of models often like longer, complete answers when compared with terse responses. This does not represent the preference of *every* user, but these models are trained to match the preferences of many data labelers.

Most of the popular datasets for alignment these days are synthetic preferences where a model like GPT-4 rates outputs from other models as the winner or the loser. Given that GPT-4 is known to have length and style biases for outputs that match itself, most of the

pieces of text in the "preferred" section of the dataset are either from an OpenAI model or are stylistically similar to it. The important difference is that not all of the pieces of text in the dataset will have that. They're often generated from other open models like Alpaca, Vicuna, or more recent examples. These models have very different characteristics.

Next, now that we've established that we have a preference dataset where most of the chosen models are similar to ChatGPT (or some other model that is accepted to be "strong"), these alignment methods simply increase the probability of these sequences. The math is somewhat complicated, where the batches of data operate on many chosen-rejected pairs at once, but in practice, the model is doing credit assignment over sequences of tokens (subword pieces). Preference alignment for chattiness is making the sequences found in outputs of models like GPT-4 more likely and the sequences from other, weaker models less likely. Repeatedly, this results in models with longer generations and characteristics that people like more.

Those among you who are familiar with RLHF methods may ask if the KL constraint in the optimization should stop this from happening. The KL constraint is a distance term between the distribution of the original model and the resulting model. It helps make the optimization more robust to overoptimization, but that makes the border between good and bad models a bit more nuanced. Hence, the prevalence of vibes-based evaluations. Though, models tend to have enough parameters where they can change substantially and still satisfy the KL constraint on the data being measured — it can't be the entire pertaining dataset, for example.