## **Data Augmentation - SVHN**

### Introduction

When training deep neutral networks, the availability of data is a frequent challenge. Acquisition of additional data is often difficult, due to one or both of logistical or financial reasons. As such, methods such as fine tuning and data augmentation are common practices to address the challenge of limited data.

## **Dataset Description**

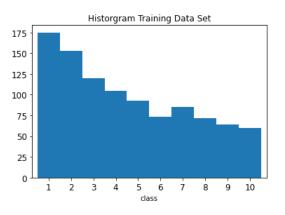
The dataset supplied is a subset of the original Street View House Numbers (SVHN) testing dataset. The training dataset Q3/q3\_train.mat contains 1000 samples, and testing dataset Q3/q3\_test.mat contains 10,000 samples in total.

## **Data Preparation**

Both training and testing dataset are loaded from mat files provided. The train\_x variable contains the images data and index. The train y variable contains the corresponding class, values 1 to 10.

#### **Class Imbalance**

There is a class imbalance in both training and testing datasets. However, the classification distributions in the two datasets are similar. This issue needs to be addressed when we fit the models.



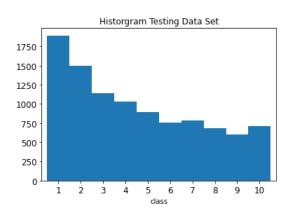


Figure 3.1: Distribution of classification in datasets

#### **Classes**

There are 10 classes, 1 for each digit. Digit '1' has label 1, '2' has label 2, and so on. However, as Python is zero-index, label 10 has been transformed to '0'.

# Model 1 - No Data Augmentation

We build a simple convolutional neural network with 3 convolutional layers. In summary:

Input is 32 x 32 x 3.

- First layer is 8 3 x 3 filters
- Second layer is 16 3 x 3 filters
- Third layer is  $32 3 \times 3$  filters
- Dense layer is 64 units
- Output is 10 units.

There are max pooling layers with size of 2 x 2 in between each convolution layers. More details of the model is summarised in Figure 3.2.

Model: "model\_baseline"

Layer (type)	Output Shape	Param #
img (InputLayer)	[(None, 32, 32, 3)]	0
conv2d (Conv2D)	(None, 32, 32, 8)	224
conv2d_1 (Conv2D)	(None, 32, 32, 8)	584
batch_normalization (BatchNo	(None, 32, 32, 8)	32
activation (Activation)	(None, 32, 32, 8)	0
spatial_dropout2d (SpatialDr	(None, 32, 32, 8)	0
max_pooling2d (MaxPooling2D)	(None, 16, 16, 8)	0
conv2d_2 (Conv2D)	(None, 16, 16, 16)	1168
conv2d_3 (Conv2D)	(None, 16, 16, 16)	2320
batch_normalization_1 (Batch	(None, 16, 16, 16)	64
activation_1 (Activation)	(None, 16, 16, 16)	0
spatial_dropout2d_1 (Spatial	(None, 16, 16, 16)	0
max_pooling2d_1 (MaxPooling2	(None, 8, 8, 16)	0
conv2d_4 (Conv2D)	(None, 8, 8, 32)	4640
conv2d_5 (Conv2D)	(None, 8, 8, 32)	9248
batch_normalization_2 (Batch	(None, 8, 8, 32)	128
activation_2 (Activation)	(None, 8, 8, 32)	0
spatial_dropout2d_2 (Spatial	(None, 8, 8, 32)	0
flatten (Flatten)	(None, 2048)	0
dense (Dense)	(None, 256)	524544
dropout (Dropout)	(None, 256)	0
dense_1 (Dense)	(None, 64)	16448
dense 2 (Dense)	(None, 10)	650

Figure 3.2: Model Summary

The model is trained on SVHN for 100 epochs. After 100 epochs the training accuracy is 74.5% and test accuracy is 65.79%. However, the model does not work well during evaluation, in fact it is very poor in predicting number 6 and 8. (Fig 3.2) But after rebuilding the model with class weighting assigned, the evaluation test turns out to be much better, with a test accuracy of 79.44%, and an improvement in predicting number 6 and 8. (Fig 3.3)

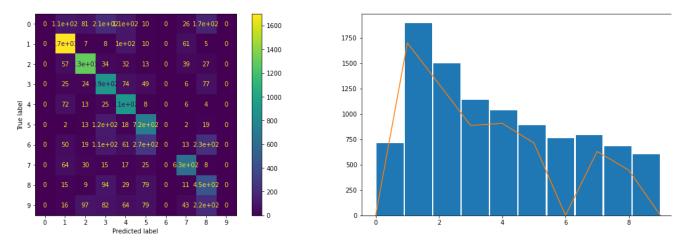


Figure 3.3: Evaluation: No Data Augmentation and No Class Weight

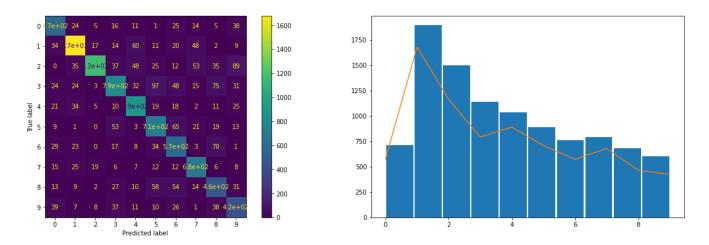


Figure 3.4: Evaluation: No Data Augmentation and Class Weight

## **Model 2 – With Data Augmentation**

For Model 2, we apply the same convolutional neural network with more images. The intent is to expand the training dataset with new samples. 2,000 new images are generated from existing image sets by:

• Random small rotation: between -5 to 5 degrees.

• Horizontal/vertical shift: +/-5% of image width

Zoom range: 0.1

Fill mode: Nearest

• Brightness Range: 0.5 – 1.0

It is important to note that horizontal and vertical flips are deemed to be inappropriate, due to some numbers are not horizontal and/or vertical symmetrical which certainly is meaningless in our context and will not help in model training.

The test accuracy has reached >80% to 81.94%, with a further improvement on predicting number 8 correctly.

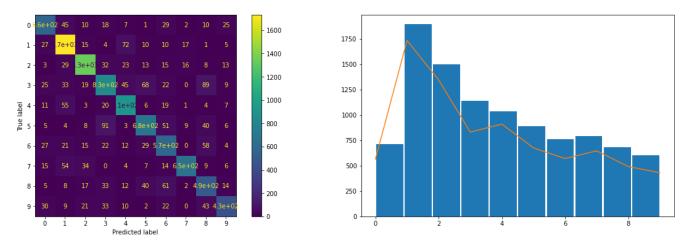


Figure 3.5: Evaluation: With Data Augmentation and Class Weight

## **Model 3 – Existing Trained Model**

In CIFAR-10, images are in the size of 32x32x3 (32 wide, 32 height, 3 colour channels) which matches the image size of our dataset. Another reason of selecting CIFAR-10 VGG (vgg\_3stage\_CIFAR\_bigger.h5) is that we hope to leverage the VGG trained model to extract richer features in a lesser time.

Since the network has already been trained, we will only make some minor fine-tuning adjustments when we train the network on SVHN dataset. We only train the last 5 layers of the network including the dense layers. In addition, we undertake small learning rate by setting the epoch to be 20 for training SVHN dataset and 10 for training data augmentation dataset. This is the same augmented dataset generated during Model 2 process. This would allow performance of both model 2 and 3 to be compared and evaluated.

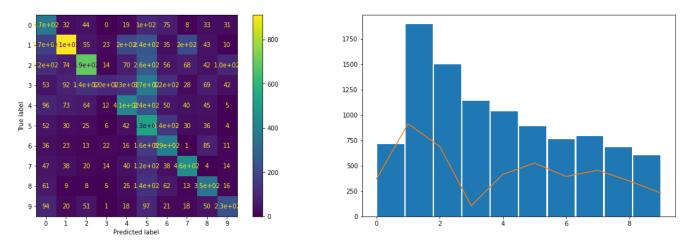


Figure 3.6: Evaluation: CIFAR-10 VGG Network

## **Evaluation**

The performance of the 3 models on testing set is summarised as below:

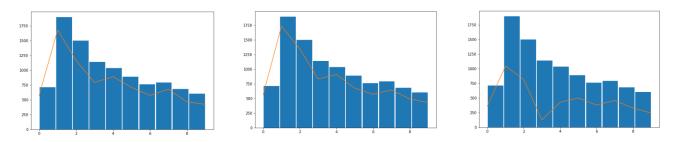


Figure 3.7: Prediction Performance (from left): Model 1, 2 and 3.

According to our testing, model 2 (with data augmentation) performed better than the other two models. Having more samples to train, model 2 has overcome some of the weakness in predicting some numbers, noticeably number "2".

Surprisingly our CIFAR-10 VGG performed quite poorly unexpectedly. However, this may be because it's trained for a different domain than SVHN, or more training is needed.