

Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## **Limitation of SLR(1) Parsing**

• We illustrate the limitations of SLR(1) parsing by using the pointer assignment grammar given below

$$S \rightarrow L = R \mid R$$
  
 $L \rightarrow *R \mid id$   
 $R \rightarrow L$ 

- We compute the FOLLOW sets and sets of LR(0) items to demonstrate the problem
- We explain the cause of the problem
- This explanation leads us to a more precise method of CLR(1) parsing (Canonical LR(1) parsing that uses the LR(1) items)



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Syntax Analysis

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

$$S' \rightarrow S$$

$$S \rightarrow L = R \mid R$$

$$\begin{array}{ccc}
L & \rightarrow & *R \mid \text{id} \\
R & \rightarrow & L
\end{array}$$



Topic:

Syntax Analysis

#### Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

# Computing the FOLLOW Sets for Pointer Assignment Grammar

$$S' \rightarrow S$$
  $\Rightarrow$  FOLLOW( $S'$ )  $\supseteq$  {\$} FOLLOW( $S'$ )

$$L \rightarrow *R \mid id$$
  
 $R \rightarrow I$ 

 $S \rightarrow L = R \mid R$ 



Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

$$S' \rightarrow S$$
  $\Rightarrow$  FOLLOW( $S'$ )  $\supseteq$  {\$}  
FOLLOW( $S$ )  $\supseteq$  FOLLOW( $S'$ )  
 $S \rightarrow L = R \mid R \Rightarrow$  FOLLOW( $L$ )  $\supseteq$  {=}  
FOLLOW( $R$ )  $\supseteq$  FOLLOW( $R$ )  
 $L \rightarrow *R \mid id$   
 $R \rightarrow L$ 



Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

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FOLLOW( $R$ )  $\supseteq$  FOLLOW( $S$ )  
 $L \rightarrow *R \mid id \Rightarrow$  FOLLOW( $R$ )  $\supseteq$  FOLLOW( $L$ )



#### Topic:

Syntax Analysis

#### Section:

Grammars,
Derivations, and Parse
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

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FOLLOW( $R$ )  $\supseteq$  FOLLOW( $S$ )  
 $L \rightarrow *R \mid id \Rightarrow$  FOLLOW( $R$ )  $\supseteq$  FOLLOW( $L$ )  
 $R \rightarrow L \Rightarrow$  FOLLOW( $L$ )  $\supseteq$  FOLLOW( $R$ )



#### Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

#### CLR(1) Parsing

LALR(1) Parsing

$$S' o S$$
  $\Rightarrow$  FOLLOW( $S'$ )  $\supseteq$  {\$}  
FOLLOW( $S$ )  $\supseteq$  FOLLOW( $S'$ )  
 $S o L = R \mid R \Rightarrow$  FOLLOW( $L$ )  $\supseteq$  {=}  
FOLLOW( $R$ )  $\supseteq$  FOLLOW( $R$ )  
 $L o *R \mid id \Rightarrow$  FOLLOW( $R$ )  $\supseteq$  FOLLOW( $R$ )  
 $R o L \Rightarrow$  FOLLOW( $R$ )  $\supseteq$  FOLLOW( $R$ )

	FOLLOW
S'	<b>{\$</b> }
S	<b>{\$</b> }
R	$\{=,\$\}$
L	$\{=,\$\}$



Topic:

Syntax Analysis

Section:

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

	$I_0$	
S′	$\rightarrow ullet S$	
S	$\rightarrow \bullet L = R$	
S	ightarrow ullet R	
L	$\rightarrow ullet *R$	
L	ightarrow ulletid	
R	ightarrow ullet L	



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Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

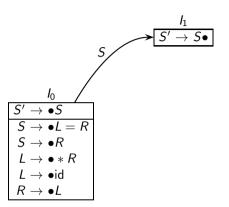
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SLR(1) Parsing

Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

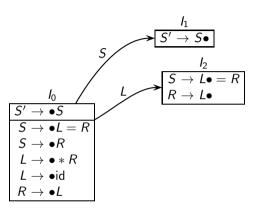
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

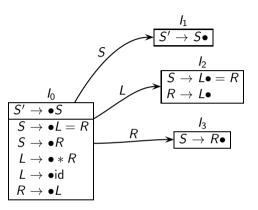
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

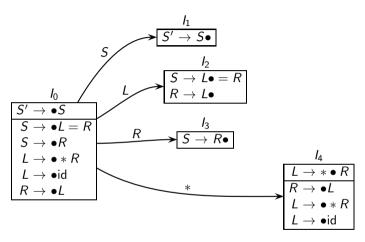
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SLR(1) Parsing

Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

LALR(1) Parsing





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Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

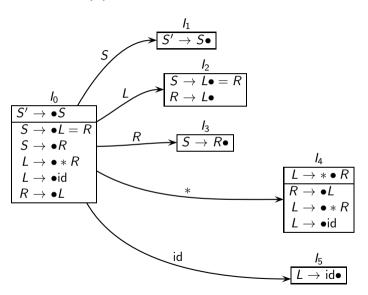
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

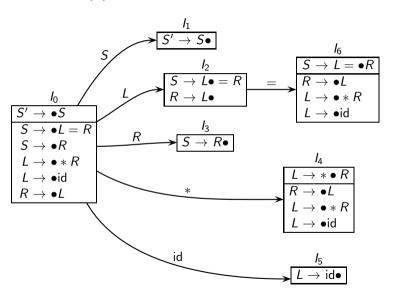
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

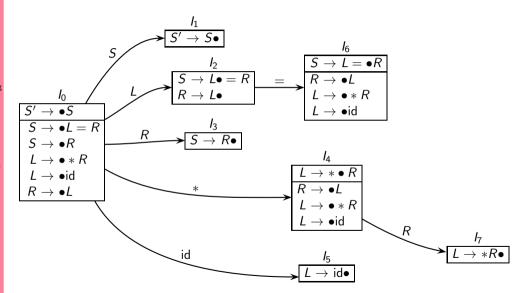
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

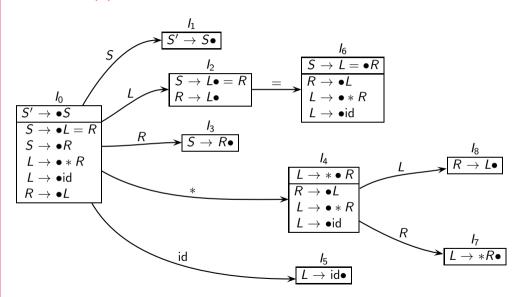
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

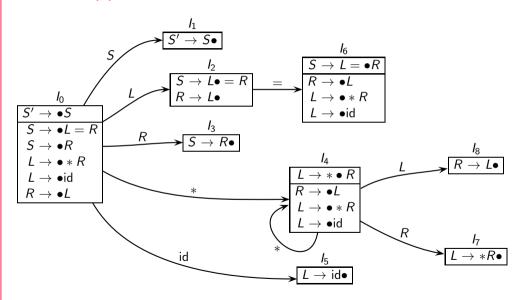
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

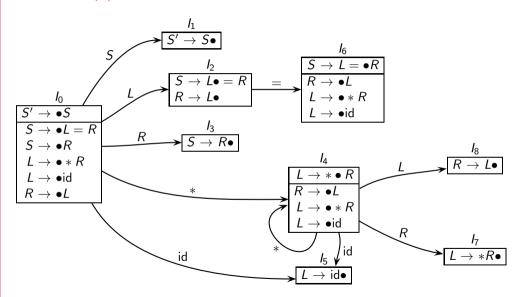
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Languages

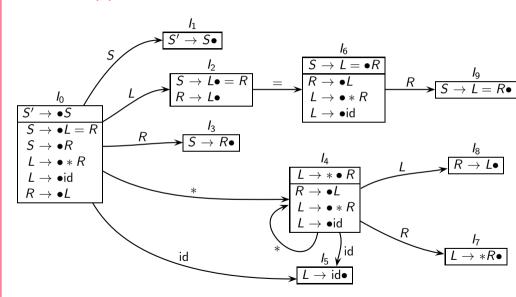
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir





Topic:

Syntax Analysis
Section:

Grammars.

Derivations, and Parse Trees

Languages

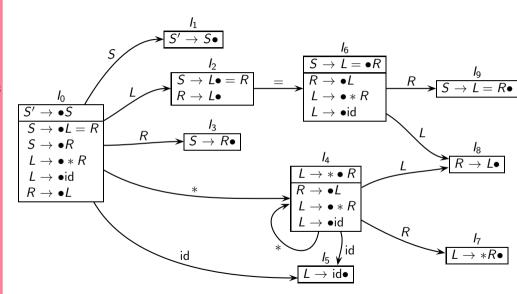
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

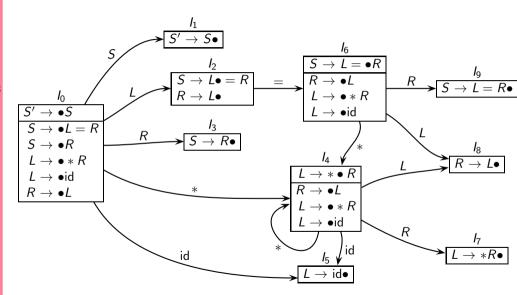
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

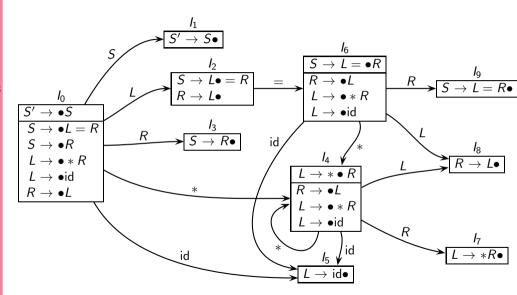
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis
Section:

Grammars,

Languages

Derivations, and Parse Trees

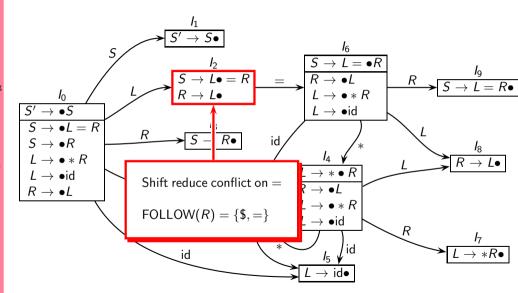
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

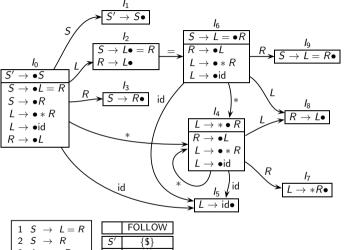
SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## **Limitation of SLR(1) Parsing**



1	5	$\rightarrow$	L =
2	S	$\rightarrow$	R
3	L	$\rightarrow$	*R
4	L	$\rightarrow$	id
5	R	$\rightarrow$	L

	FOLLOW
S'	<b>{\$</b> }
S	{\$}
R	$\{=,\$\}$
L	$\{=,\$\}$

Input



Stack



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Syntax Analysis

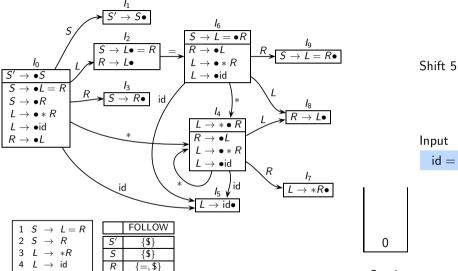
#### Section:

Derivations, and Parse

SLR(1) Parsing

#### CLR(1) Parsing

## Limitation of SLR(1) Parsing



id = id\$



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Topic:

Syntax Analysis

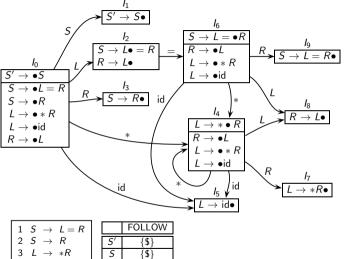
Section:

Derivations, and Parse

SLR(1) Parsing

CLR(1) Parsing

## Limitation of SLR(1) Parsing



1	5	$\rightarrow$	L =
2	S	$\rightarrow$	R
3	L	$\rightarrow$	*R
4	L	$\rightarrow$	id
5	R	$\rightarrow$	L

	FOLLOW
S'	<b>{\$</b> }
S	<b>{\$</b> }
R	$\{=,\$\}$
L	$\{=,\$\}$

Reduce by 4

Input

= id\$





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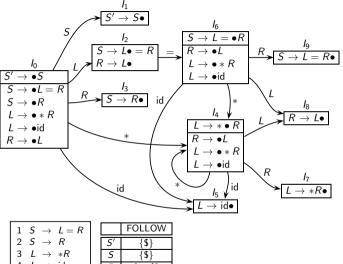
#### Section:

Derivations, and Parse

SLR(1) Parsing

#### CLR(1) Parsing

### Limitation of SLR(1) Parsing



Cover by 2

Input

= id\$





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Topic:

Syntax Analysis

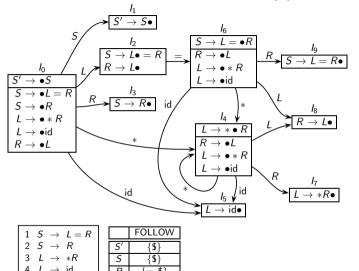
Section:

Derivations, and Parse

SLR(1) Parsing

CLR(1) Parsing

### Limitation of SLR(1) Parsing



Reduce by 5

Input

= id\$



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Topic:

Syntax Analysis

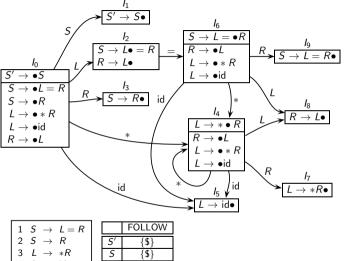
Section:

Derivations, and Parse

SLR(1) Parsing

CLR(1) Parsing

## Limitation of SLR(1) Parsing



1	5	$\rightarrow$	L = I
2	S	$\rightarrow$	R
3	L	$\rightarrow$	*R
4	L	$\rightarrow$	id
5	R	$\rightarrow$	L

	FOLLOW
S'	{\$}
S	<b>{\$</b> }
R	$\{=,\$\}$
L	$\{=,\$\}$

Cover by 3

Input

= id\$





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Syntax Analysis

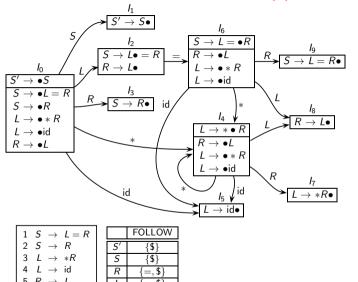
Section:

Derivations, and Parse

SLR(1) Parsing

CLR(1) Parsing

## Limitation of SLR(1) Parsing



Error

No action on =

Input

= id\$

3 R



Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

#### Limitation of SLR(1) Parsing: Use of FOLLOW Information

• Let FOLLOW(A) = {b, c}. Then b may follow A in some right sentential forms whereas in some other right sentential form, c may follow A

A symbol in follow set need not follow A in every right sentential form



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsin

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

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• We should declare handle  $A \to \alpha$  in a viable prefix  $\gamma$  only if the follow symbols actually follows A in the right sentential form containing  $\gamma$ 



Topic:

Syntax Analysis

#### Section:

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

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- We should declare handle  $A \to \alpha$  in a viable prefix  $\gamma$  only if the follow symbols actually follows A in the right sentential form containing  $\gamma$
- In our grammar, there is no right sentential form with a prefix 'R ='



Topic: Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

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- We should declare handle  $A \to \alpha$  in a viable prefix  $\gamma$  only if the follow symbols actually follows A in the right sentential form containing  $\gamma$
- In our grammar, there is no right sentential form with a prefix 'R = 1
  - Every right sentential form containing 'R =' begins with a '\*' and has a viable prefix '\*R'

We will never see '=' after an R without seeing a '\*' before the 'R'



Topic: Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

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We will never see '=' after an R without seeing a '\*' before the 'R'

 $\circ$   $S \stackrel{rm}{\Rightarrow} L = R \stackrel{rm}{\Rightarrow} L = L \stackrel{rm}{\Rightarrow} L = id \stackrel{rm}{\Rightarrow} id = id$ 

 $S \stackrel{rm}{\Rightarrow} L = R \stackrel{rm}{\Rightarrow} L = id \stackrel{rm}{\Rightarrow} *R = id \stackrel{rm}{\Rightarrow} *id = id$ 

 $S \stackrel{rm}{\Rightarrow} L = R \stackrel{rm}{\Rightarrow} L = id \stackrel{rm}{\Rightarrow} *R = id \stackrel{rm}{\Rightarrow} *L = id \stackrel{rm}{\Rightarrow} *id = id$ 

. . .



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir

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We will never see '=' after an R without seeing a '\*' before the 'R'

$$S \stackrel{m}{\Rightarrow} L = R \stackrel{m}{\Rightarrow} L = L \stackrel{m}{\Rightarrow} L = id \stackrel{m}{\Rightarrow} id = id$$

$$S \stackrel{m}{\Rightarrow} L = R \stackrel{m}{\Rightarrow} L = id \stackrel{m}{\Rightarrow} *R = id \stackrel{m}{\Rightarrow} *id = id$$

$$S \stackrel{m}{\Rightarrow} L = R \stackrel{m}{\Rightarrow} L = id \stackrel{m}{\Rightarrow} *R = id \stackrel{m}{\Rightarrow} *I = id \stackrel{m}{\Rightarrow} *id = id$$

. . .

 $\circ$  '=' is in FOLLOW(R) only for the right sentential forms that begin with a '\*'

Input 'id = id' does not begin with a '\*' so L cannot be reduced to R on '='



Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir

# LR(1) Item Sets for Pointer Assignment Grammar

Two changes from LR(0) construction

- Items are of the form  $A \to \alpha \bullet \beta$ , a consisting of
  - $\circ$  the core  $A \to \alpha \bullet \beta$  and
  - o the *lookahead a*

If S is the start symbol, then  $I_0$  contains  $S' \to \bullet S$ ,\$

• Closure of an item  $A \to \alpha \bullet B\beta$ , a contains the items of the form  $B \to \bullet \gamma$ , FIRST( $\beta a$ )

Transition of an item  $A \rightarrow \alpha \bullet B\beta, a$  on B gives an item

$${\it A} 
ightarrow lpha {\it B} ullet eta, {\it a}$$



#### Topic:

Syntax Analysis

#### Section:

Grammars,
Derivations, and Pars
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir

### LR(1) Item Sets for Pointer Assignment Grammar

Two changes from LR(0) construction

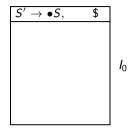
- Items are of the form  $A \to \alpha \bullet \beta, a$  consisting of
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$$A \rightarrow \alpha B \bullet \beta, a$$





#### Topic:

Syntax Analysis

#### Section:

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues i Parsing

CLR(1) Parsing

LALR(1) Parsir

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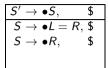
If S is the start symbol, then  $I_0$  contains  $S' \to \bullet S$ ,\$

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$$A \rightarrow \alpha B \bullet \beta, a$$

The lookahead does not change during a transition



 $I_0$ 



#### Topic:

Syntax Analysis

#### Section:

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues i Parsing

CLR(1) Parsing

LALR(1) Parsir

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#### Topic: Syntax Analysis

Syntax Analys

#### Section

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir

### LR(1) Item Sets for Pointer Assignment Grammar

Two changes from LR(0) construction

- Items are of the form  $A \to \alpha \bullet \beta$ , a consisting of
  - $\circ$  the *core*  $A \rightarrow \alpha \bullet \beta$  and
    - o the lookahead a

If S is the start symbol, then  $I_0$  contains  $S' \to \bullet S$ , \$

• Closure of an item  $A \to \alpha \bullet B\beta$ , a contains the items of the form  $B \to \bullet \gamma$ , FIRST( $\beta a$ )

Transition of an item  $A \rightarrow \alpha \bullet B\beta$ , a on B gives an item

$$A \rightarrow \alpha B \bullet \beta, a$$



#### Topic: Syntax Analysis

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#### Section:

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

### LR(1) Item Sets for Pointer Assignment Grammar

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$S' \to \bullet S$ ,	\$	
$S \rightarrow \bullet L = F$	₹, \$	
$S \rightarrow \bullet R$ ,	\$	
$L \to \bullet * R$ ,	=	,
$L \rightarrow \bullet id,$	=	$I_0$
$R \rightarrow \bullet L$ ,	\$	
$L \to \bullet * R$ ,	\$	
$L \rightarrow \bullet id$ ,	\$	



#### Topic:

Syntax Analysis

#### Section

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues i Parsing

CLR(1) Parsing

LALR(1) Parsin

### LR(1) Item Sets for Pointer Assignment Grammar

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 $S \rightarrow \bullet L = R, \$$   $S \rightarrow \bullet R, \$$   $L \rightarrow \bullet * R, =$   $L \rightarrow \bullet \text{id}, =$   $R \rightarrow \bullet L, \$$   $L \rightarrow \bullet * R, \$$   $L \rightarrow \bullet \text{id}, \$$ 

 $S' \rightarrow \bullet S$ 

Transition of an item  $A \to \alpha \bullet B\beta, a$  on B gives an item

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Topic:

Syntax Analysis

Section

Derivations, and Pars Trees

Shift Reduce Parsing

SLR(1) Parsing

Parsing Parsing

CLR(1) Parsing

LALR(1) Parsing

### LR(1) Item Sets for Pointer Assignment Grammar

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$S' \rightarrow \bullet S$ ,	\$	
$S \rightarrow \bullet L = R$	\$	
$S \rightarrow \bullet R$ ,	\$	
$L \to \bullet * R$ ,	=	,
$L \rightarrow \bullet id,$	=	<i>I</i> <sub>0</sub>
$R \rightarrow \bullet L$ ,	\$	
$L \to \bullet * R$ ,	\$	
$L \rightarrow \bullet id,$	\$	
L		
$S \to L \bullet = R$	, \$	L
$R \rightarrow L \bullet$ .	\$	12

Transition of an item  $A \rightarrow \alpha \bullet B\beta$ , a on B gives an item

$$A \rightarrow \alpha B \bullet \beta, a$$

The lookahead does not change during a transition

Reduction by  $R \to L \bullet$  only on \$ and not on = No shift reduce conflict



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

# LR(1) Sets of Items for Pointer Assignment Grammar

 $I_0$ 

$S' \to \bullet S$ ,	\$
$S \rightarrow \bullet L = R$	, \$
$S \rightarrow \bullet R$ ,	\$
$L \to \bullet * R$ ,	= /\$
$L \rightarrow \bullet id,$	= /\$
$R \to \bullet L$ ,	\$



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#### Section:

Derivations, and Parse Trees

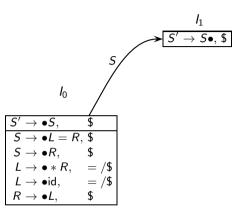
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SLR(1) Parsing

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Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

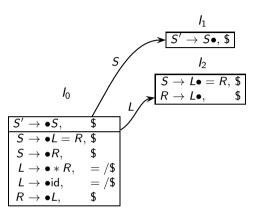
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Conceptual Issues in Parsing

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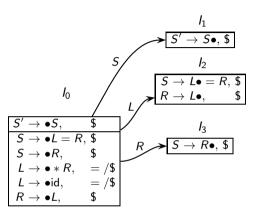
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Conceptual Issues in Parsing

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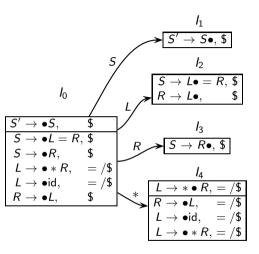
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Syntax Analysis

Section:

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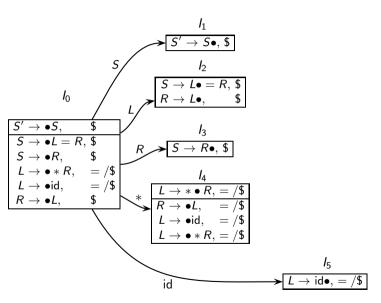
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Syntax Analysis

Section:

Derivations, and Parse Trees

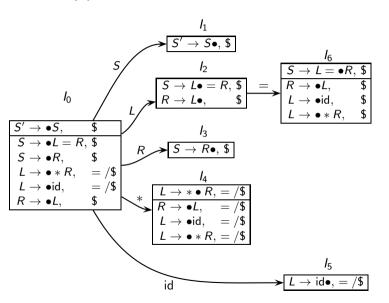
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Syntax Analysis

Section:

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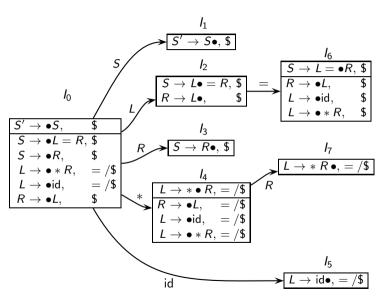
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SLR(1) Parsing

Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

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Section:

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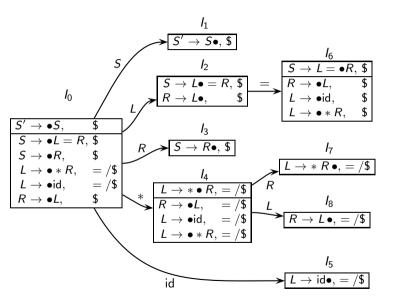
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Conceptual Issues in Parsing

 $\mathsf{CLR}(1)$  Parsing

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Syntax Analysis

Section:

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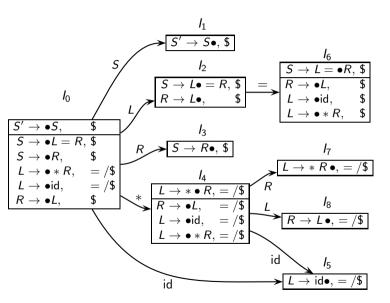
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Conceptual Issues in Parsing

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Syntax Analysis

Section:

Derivations, and Parse Trees

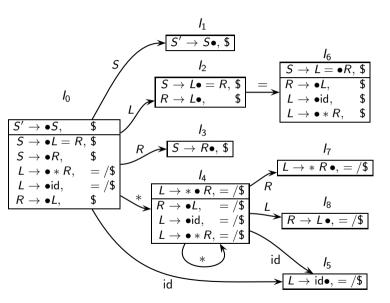
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SLR(1) Parsing

Conceptual Issues in Parsing

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Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

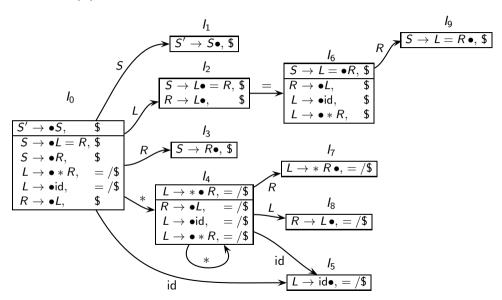
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Conceptual Issues in Parsing

CLR(1) Parsing

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Syntax Analysis

Section:

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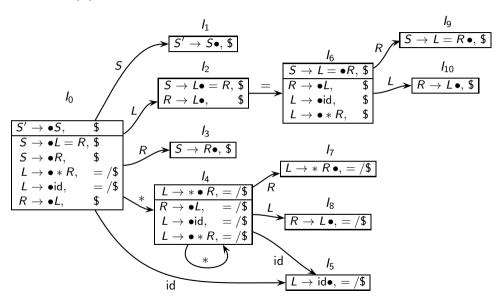
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Conceptual Issues in Parsing

CLR(1) Parsing

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Syntax Analysis

Section:

Grammars,
Derivations, and Parso
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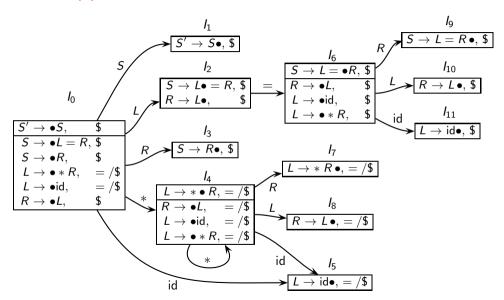
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Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





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 ${\sf Syntax} \ {\sf Analysis}$ 

Section

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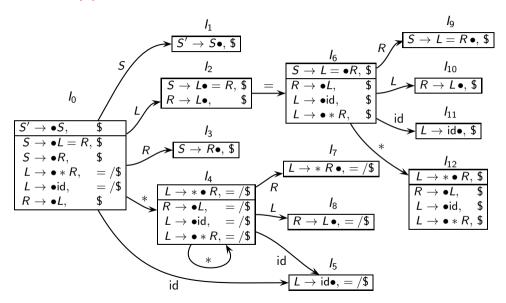
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Conceptual Issues in Parsing

CLR(1) Parsing

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 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

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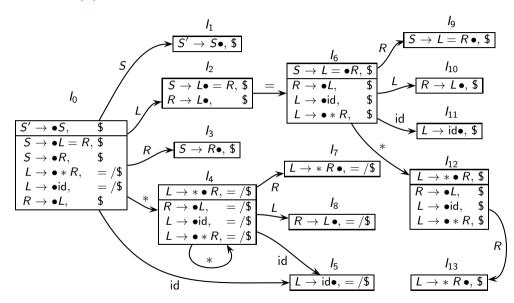
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Conceptual Issues in Parsing

CLR(1) Parsing

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Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

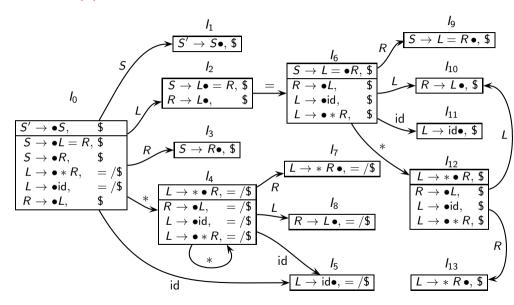
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

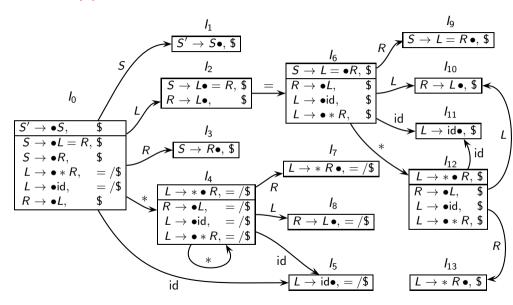
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SLR(1) Parsing

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CLR(1) Parsing

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Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

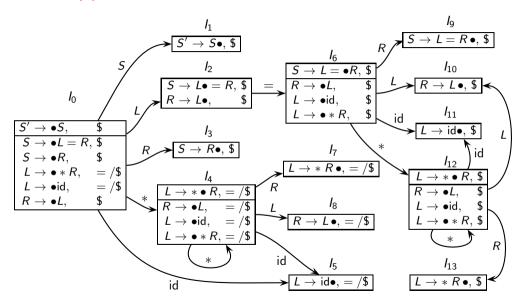
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

# LR(1) (aka CLR(1)) Parsing Table for Pointer Assignment Grammar

0	$\mathcal{S}'  o \mathcal{S}$
1	$S \rightarrow L =$
2	S  o R
3	$L \to *R$
4	L  o id
5	R  o L

R

State		Acti	ion			Goto	
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	c7
5			r4	<i>r</i> 4			
6	<i>s</i> 11	<i>s</i> 12				<i>c</i> 10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			



Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	on			Goto	
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	c7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13		·		r3			, and the second

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

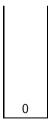
$$S \rightarrow R$$

$$L \rightarrow *R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input





Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	ion	Goto			
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				r1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow * R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

id = id\$

Shift 5

0



Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

	ı	۸ - ۲		1	1	Cata	
State		Acti	on			Goto	
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				r3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow *R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

= id\$

Reduce by 4

5 id



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

Ctata		Act	ion			Goto	
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	s11	<i>s</i> 12				c10	c13
13				r3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

= id\$

Cover by 2

*L* 0



Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	on	Goto			
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$
  
 $S \rightarrow L = R$   
 $S \rightarrow R$   
 $L \rightarrow *R$   
 $L \rightarrow id$   
 $R \rightarrow L$ 

Input

= id\$

Shift 6

2 *L* 



Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Act	ion			Goto	
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				r3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

id\$

Shift 11

6 = 2 *L* 0



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	ion			Goto	
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				<i>r</i> 2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				r1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

\$

Reduce by 4

Stack

11

id

6 =

2



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

# LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State	Action				Goto		
	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				r3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

\$

Cover by 10



Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

## LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	ion		Goto		
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				r1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

\$

Reduce by 5

Stack

10



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

## LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	ion		Goto		
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				r1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$
  
 $S \rightarrow L = R$   
 $S \rightarrow R$   
 $L \rightarrow *R$   
 $L \rightarrow id$   
 $R \rightarrow L$ 

Input

\$

Cover by 9

Stack

R



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

## LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Act	ion		Goto		
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

\$

Reduce by 1

Stack

R



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

## LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	on		Goto		
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				<i>r</i> 3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$

Input

\$

Cover by 1

*S* 

Stack



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

## LR(1) (aka CLR(1)) Parsing for the Pointer Assignment Grammar

State		Acti	ion		Goto		
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				r2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 11	<i>s</i> 12				c10	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			
10				<i>r</i> 5			
11				r4			
12	<i>s</i> 11	<i>s</i> 12				c10	c13
13				r3			

$$S' \rightarrow S$$

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow R$$

$$L \rightarrow id$$

$$R \rightarrow L$$



Stack



#### Topic:

Syntax Analysis

#### Section:

Grammars,
Derivations, and Parse
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

#### CLR(1) Parsing

LALR(1) Parsing

## Another Example of LR(1) (aka CLR(1)) Parsing

A 
ightarrow aBe

A 
ightarrow aCd

 $A \rightarrow bBd$  $A \rightarrow bCe$ 

 $B \rightarrow f$ 

 $C \rightarrow f$ 



#### Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

$$A \rightarrow aBe$$
  
 $A \rightarrow aCd$   
 $A \rightarrow bBd$ 

$$B \rightarrow f$$
  
 $C \rightarrow f$ 

$I_0$	
${\mathcal A}'  o ullet {\mathcal A},$	\$
$A \rightarrow ullet aBe,$	\$
$A \rightarrow \bullet aCd$ ,	\$
$A \rightarrow \bullet bBd$ ,	\$
$A \rightarrow ullet bCe$ ,	\$



Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

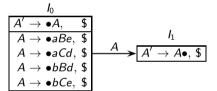
Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

$$A \rightarrow aBe$$
  
 $A \rightarrow aCd$   
 $A \rightarrow bBd$   
 $A \rightarrow bCe$ 

$$B \to f$$
$$C \to f$$





Topic: Syntax Analysis

Section:

Grammars, Derivations, and Pars Trees

Shift Reduce Parsing

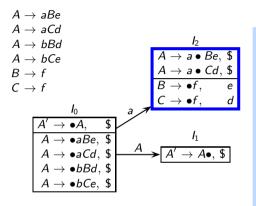
SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir

### Another Example of LR(1) (aka CLR(1)) Parsing



Closure of 
$$P o lpha ullet Qeta, p$$
 contains items of the form  $Q o ullet \gamma, \ \mathsf{FIRST}(eta p)$ 

In our example

- For Q = B,  $\beta$  is e and p is \$

  If we expect to see a string derivable from B in this state, the string must be followed by

  FIRST( $\beta p$ ) = FIRST(e\$) = e
- For Q = C,  $\beta$  is d and p is \$

  If we expect to see a string derivable from C in this state, the string must be followed by

  FIRST( $\beta p$ ) = FIRST(d\$) = d



Topic:

Syntax Analysis

#### Section:

Grammars,
Derivations, and Parso
Trees

Shift Reduce Parsin

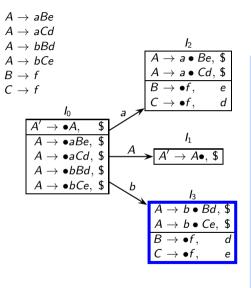
SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin

### Another Example of LR(1) (aka CLR(1)) Parsing



Closure of P o lpha ullet Qeta, p contains items of the form  $Q o ullet \gamma, \ \mathsf{FIRST}(eta p)$ 

In our example

- For Q = B,  $\beta$  is d and p is \$

  If we expect to see a string derivable from B in this state, the string must be followed by

  FIRST( $\beta p$ ) = FIRST(d\$) = d
- For Q = C,  $\beta$  is e and p is \$

  If we expect to see a string derivable from C in this state, the string must be followed by

  FIRST( $\beta p$ ) = FIRST(e\$) = e



Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

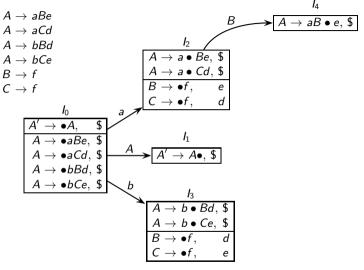
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

#### Section:

Derivations, and Parse Trees

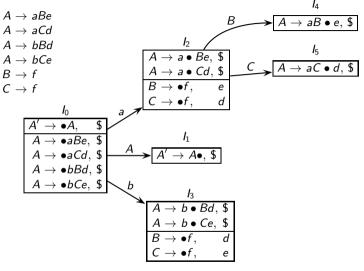
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

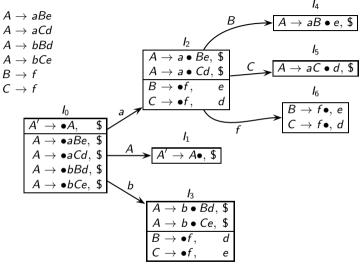
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

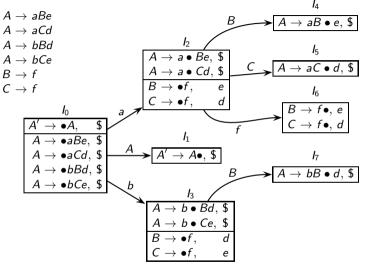
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

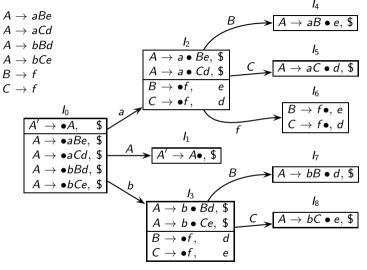
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

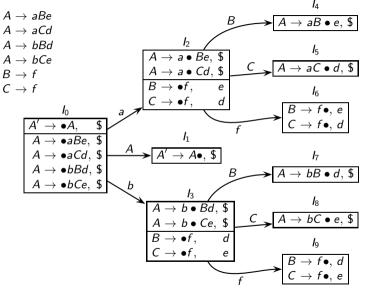
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

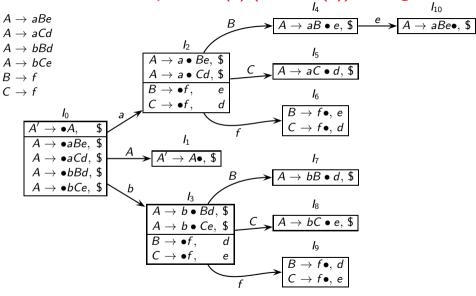
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SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parso
Trees

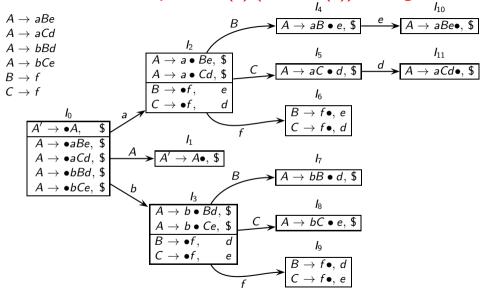
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

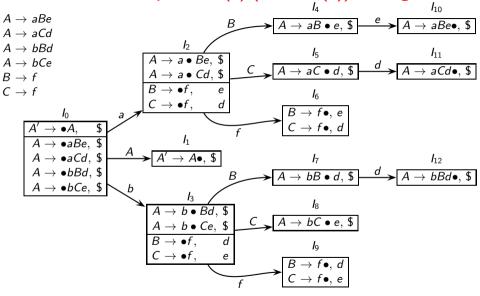
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsin





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

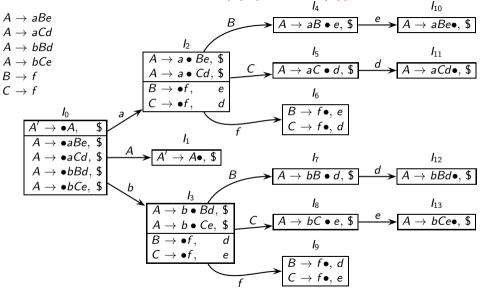
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsir





Topic:

Syntax Analysis

#### Section:

Grammars, Derivations, and Parse

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing



Topic:

Syntax Analysis

Section:

Derivations, and Parse

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in

CLR(1) Parsing

LALR(1) Parsing

## LALR(1) Parsing



Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## LALR(1) Parsing

• Merge item sets with identical cores (may have different lookaheads)

States  $I_i: A \to \alpha \bullet \beta, a$  and  $I_j: A \to \alpha \bullet \beta, b$ 

can be merged to create a new state  $I_{ij}$ :  $A \rightarrow \alpha \bullet \beta, a/b$ 

• In practice, we do not construct LR(1) items to construct LALR(1) parser We construct LR(0) items and use a look-ahead propagation algorithm



Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

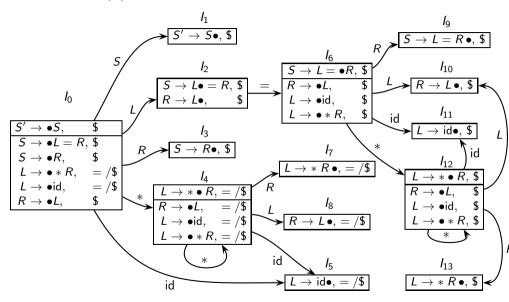
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

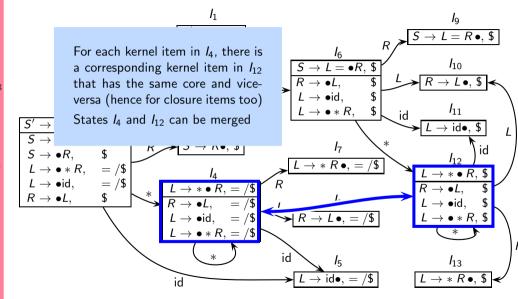
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

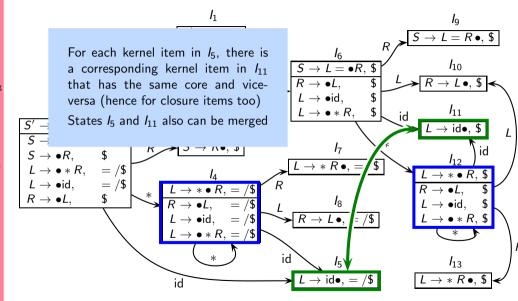
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

#### Section:

Derivations, and Parse Trees

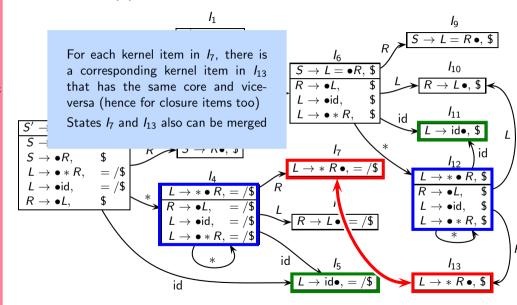
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

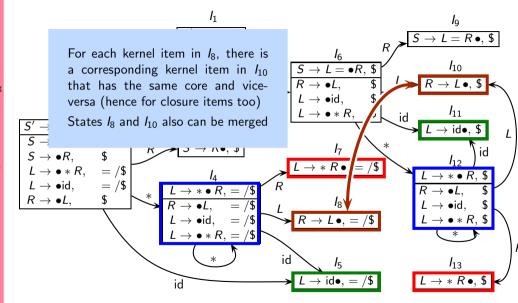
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

 ${\sf Syntax} \ {\sf Analysis}$ 

Section:

Derivations, and Parse Trees

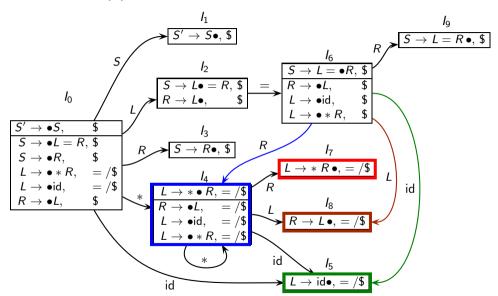
Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Pars
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

$$\begin{array}{ll} 0 & S' \rightarrow S \\ 1 & S \rightarrow L = R \\ 2 & S \rightarrow R \\ 3 & L \rightarrow *R \\ 4 & L \rightarrow \mathrm{id} \\ 5 & R \rightarrow L \end{array}$$

State		Ac	tion		Goto		
State	id	*	=	\$	S	L	R
0	<i>s</i> 5	<i>s</i> 4			<i>c</i> 1	<i>c</i> 2	<i>c</i> 3
1				acc			
2			<i>s</i> 6	<i>r</i> 5			
3				<i>r</i> 2			
4	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 7
5			r4	r4			
6	<i>s</i> 5	<i>s</i> 4				<i>c</i> 8	<i>c</i> 9
7			<i>r</i> 3	<i>r</i> 3			
8			<i>r</i> 5	<i>r</i> 5			
9				<i>r</i> 1			



Topic:

Syntax Analysis

#### Section:

Derivations, and Parse Trees

Shift Reduce Parsir

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## LALR(1) Vs CLR(1) Parsing

- Can merging of LR(1) states introduce shift-reduce conflict?
- Can merging of LR(1) states introduce reduce-reduce conflict?



Topic:

Syntax Analysis

Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Shift-Reduce Conflict?

• To merge states  $l_i$  and  $l_j$ , they should have identical cores but different lookaheads (if the lookaheads are same then the states will not be distinct)



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Shift-Reduce Conflict?

• To merge states  $l_i$  and  $l_j$ , they should have identical cores but different lookaheads (if the lookaheads are same then the states will not be distinct)

• Let  $I_i: \begin{bmatrix} A \to \alpha \bullet a\beta, & p \\ B \to \gamma \bullet, & q \end{bmatrix}$  and  $I_j: \begin{bmatrix} A \to \alpha \bullet a\beta, & r \\ B \to \gamma \bullet, & s \end{bmatrix}$  where p, q, r, s are arbitrary terminals

So that the merged state is  $I_{ij}: egin{array}{cccc} A 
ightarrow lpha & \mathbf{a}eta, & \mathbf{p}/r \\ B 
ightarrow \gamma ullet, & q/s \end{array}$ 



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Shift-Reduce Conflict?

• To merge states  $l_i$  and  $l_j$ , they should have identical cores but different lookaheads (if the lookaheads are same then the states will not be distinct)

• Let  $I_i: \begin{bmatrix} A \to \alpha \bullet a\beta, & p \\ B \to \gamma \bullet, & q \end{bmatrix}$  and  $I_j: \begin{bmatrix} A \to \alpha \bullet a\beta, & r \\ B \to \gamma \bullet, & s \end{bmatrix}$  where p, q, r, s are arbitrary terminals

So that the merged state is 
$$I_{ij}: \begin{bmatrix} A \to \alpha \bullet a\beta, & p/r \\ B \to \gamma \bullet, & q/s \end{bmatrix}$$

• For a shift-reduce conflict in  $I_{ii}$ , either q or s must be a.



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Shift-Reduce Conflict?

• To merge states  $l_i$  and  $l_j$ , they should have identical cores but different lookaheads (if the lookaheads are same then the states will not be distinct)

• Let  $I_i: \begin{bmatrix} A \to \alpha \bullet a\beta, & p \\ B \to \gamma \bullet, & q \end{bmatrix}$  and  $I_j: \begin{bmatrix} A \to \alpha \bullet a\beta, & r \\ B \to \gamma \bullet, & s \end{bmatrix}$  where p, q, r, s are arbitrary terminals

So that the merged state is  $I_{ij}: \begin{array}{c} A \to \alpha \bullet a\beta, & p/r \\ B \to \gamma \bullet, & q/s \end{array}$ 

• For a shift-reduce conflict in  $I_{ij}$ , either q or s must be a.

o If q is a, then  $I_i$  is  $A \to \alpha \bullet a\beta$ ,  $p \to \gamma \bullet$ ,  $A \to \alpha \bullet a\beta$ ,  $A \to \alpha \bullet a\beta$ ,  $A \to \alpha \bullet a\beta$ , and thus  $A \to \alpha \bullet a\beta$ ,  $A \to \alpha \bullet \alpha$ ,  $A \to \alpha \bullet$ 



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Shift-Reduce Conflict?

• To merge states  $I_i$  and  $I_j$ , they should have identical cores but different lookaheads (if the lookaheads are same then the states will not be distinct)

• Let  $I_i: \begin{bmatrix} A \to \alpha \bullet a\beta, & p \\ B \to \gamma \bullet, & q \end{bmatrix}$  and  $I_j: \begin{bmatrix} A \to \alpha \bullet a\beta, & r \\ B \to \gamma \bullet, & s \end{bmatrix}$  where p, q, r, s are arbitrary terminals

So that the merged state is  $I_{ij}: A \to \alpha \bullet a\beta$ , p/r $B \to \gamma \bullet$ , q/s

• For a shift-reduce conflict in  $I_{ii}$ , either q or s must be a.

- $\circ \text{ If } q \text{ is } a \text{, then } I_i \text{ is } \begin{array}{c} A \to \alpha \bullet a\beta, & p \\ B \to \gamma \bullet, & a \end{array}$
- o If s is a, then  $I_j$  is  $A \to \alpha \bullet a\beta$ ,  $A \to \alpha \bullet \alpha$ ,  $A \to \alpha \bullet$

and thus  $I_j$  has a shift-reduce conflict

and thus  $I_i$  has a shift-reduce conflict



Topic:

Syntax Analysis
Section:

Grammars,
Derivations, and Parse
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Shift-Reduce Conflict?

• To merge states  $l_i$  and  $l_j$ , they should have identical cores but different lookaheads (if the lookaheads are same then the states will not be distinct)

Let I<sub>i</sub>: A → B → arbitrary tern
 So that the r
 For a shift-re
 If q is a
 A set I<sub>ij</sub> of items in an LALR(1) parser can have a shift-reduce conflict if and only if a set I<sub>i</sub> of LR(1) items merged to form I<sub>ij</sub> has the same shift-reduce conflict

o If s is a, then  $I_j$  is  $A \to \alpha \bullet a\beta$ ,  $A \to \alpha \bullet a\beta$ , and thus  $I_j$  has a shift-reduce conflict



Topic:

Syntax Analysis

Section:

Derivations, and Parse

SLR(1) Parsing

CLR(1) Parsing

LALR(1) Parsing

### Can Merging LR(1) Sets of Items Introduce Reduce-Reduce Conflict?

$$A \to \alpha \bullet$$
,  $p$   
 $B \to \alpha \bullet$ ,  $q$ 

So that the merged state is  $I_{ii}$ :

$$A \to \alpha \bullet$$
,  $p/r$   
 $B \to \alpha \bullet$ ,  $q/s$ 



Topic:

Syntax Analysis

Section:

Derivations, and Pars Trees

Shift Reduce Parsin

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Reduce-Reduce Conflict?

• Let  $I_i: egin{array}{cccc} A 
ightarrow lpha iglet, & p \ B 
ightarrow lpha iglet, & q \end{array}$  and  $I_j: egin{array}{cccc} A 
ightarrow lpha iglet, & r \ B 
ightarrow lpha iglet, & s \end{array}$ 

So that the merged state is  $I_{ij}$ :

$$A \to \alpha \bullet$$
,  $p/r$   
 $B \to \alpha \bullet$ ,  $q/s$ 

- For a reduce-reduce conflict in  $I_{ij}$  such that there is no reduce-reduce conflict in  $I_i$  or  $I_i$ ,
  - o p = s. This is possible without a reduce-reduce conflict in  $I_i$  and  $I_j$
  - $\circ$  r=q. This is also possible without a reduce-reduce conflict in  $I_i$  and  $I_j$



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

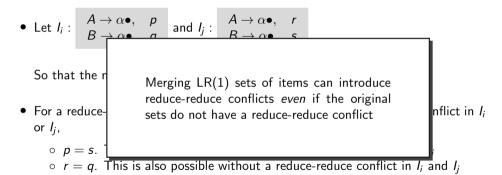
SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Can Merging LR(1) Sets of Items Introduce Reduce-Reduce Conflict?





Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Parse
Trees

Shift Reduce Parsing

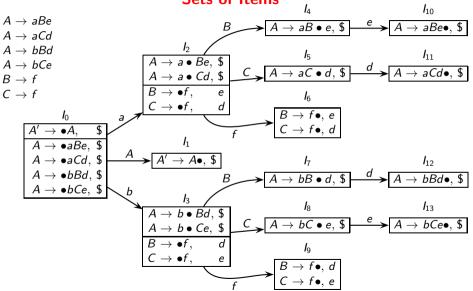
SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Example of Reduce-Reduce Conflict Caused by Merging LR(1) Sets of Items





Topic:

Syntax Analysis

#### Section:

Grammars, Derivations, and Parse Trees

Shift Reduce Parsing

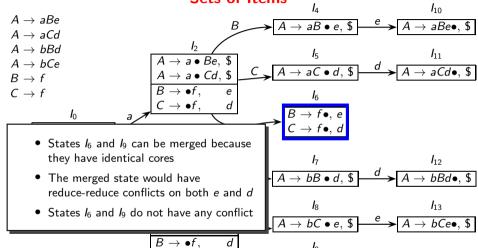
SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

# Example of Reduce-Reduce Conflict Caused by Merging LR(1) Sets of Items $I_4$ $I_{10}$



 $B \rightarrow f \bullet . d$ 



Topic:

Syntax Analysis

#### Section:

Derivations, and Parse

SLR(1) Parsing

CLR(1) Parsing

LALR(1) Parsing

## A Practical Example of Reduce-Reduce Conflict in LR(1) Parsing

program → func\_decl var\_decl program  $\rightarrow$  var\_decl func\_decl  $var\_decl \rightarrow data\_type ID$ ;  $data\_type \rightarrow INT$ 

func\_decl  $\rightarrow$  return\_type ID ( )

return\_type  $\rightarrow$  INT return\_type → VOID

For the input "int f . . . ", when we see the token INT, the next token is ID

In this situation, the parser does not know if it should reduce INT to return\_type or data\_type

State  $I_0$  contains the following items

```
data_type \rightarrow \bullet INT. ID
return_type \rightarrow • INT, ID
```

The transition on INT gives the following set of items showing a reduce-reduce conflict on ID

```
data_type \rightarrow INT \bullet, ID
return_type → INT •. ID
```



Topic:

Syntax Analysis

Section:

Derivations, and Parse Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## A Practical Example of Reduce-Reduce Conflict in LR(1) Parsing

In this particular case, the conflict can be removed by replacing every occurrence of the non-terminals data\_type and return\_type by every RHS of the non-terminal

Original Grammar	Transformed Grammar		
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccc} program & \to & func\_decl \ var\_decl \\ program & \to & var\_decl \ func\_decl \\ var\_decl & \to & INT \ ID \ ; \\ func\_decl & \to & INT \ ID \ ( \ ) \\ func\_decl & \to & VOID \ ID \ ( \ ) \end{array}$		



Topic:

Syntax Analysis

Section:

Grammars,
Derivations, and Pars
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

### A Summary of Bottom Up Parsing Methods

Parsing Method	Items Used	Reduction by $A \rightarrow \alpha$	Remarks
SLR(0)	LR(0)	On any terminal	
SLR(1)	LR(0)	On the terminals in $FOLLOW(A)$	
LR(1), also known as Canonical LR(1) or CLR(1)	LR(1)	On lookahead $a$ in the item " $A \rightarrow \alpha \bullet$ , $a$ "	
LALR(1)	LR(1)	On lookahead $a$ in the item " $A  o lpha ullet , a$ "	Conceptually, the sets of items are obtained by merging LR(1) item sets that differ only in the lookahead symbols Practically, lookaheads are propagated starting from \$ on LR(0) items



Topic: Svntax Analysis

Section:

Grammars,
Derivations, and Pars
Trees

Shift Reduce Parsing

SLR(1) Parsing

Conceptual Issues in Parsing

CLR(1) Parsing

LALR(1) Parsing

## Comparison of Bottom-Up Methods and Corresponding Grammars

- A grammar G is accepted by a parsing method P if a conflict-free parser can be constructed for G using P
- An ambiguous grammar is not accepted by any parsing method
- A grammar is called SLR(0), SLR(1), LR(1), or LALR(1) if it is accepted respectively, by the SLR(0), SLR(1), LR(1), or LALR(1) parsing method
  - o Every SLR(0) grammar is also SLR(1) grammar but not vice-versa
  - o Every SLR(1) grammar is also LALR(1) grammar but not vice-versa
  - Every LALR(1) grammar is also LR(1) grammar but not vice-versa
- The expressions grammar (E → E + E | E \* E | id) is not accepted by any parsing method because it is ambiguous
   (without post-facto instrumentation of parsing tables using precedences and associativities)