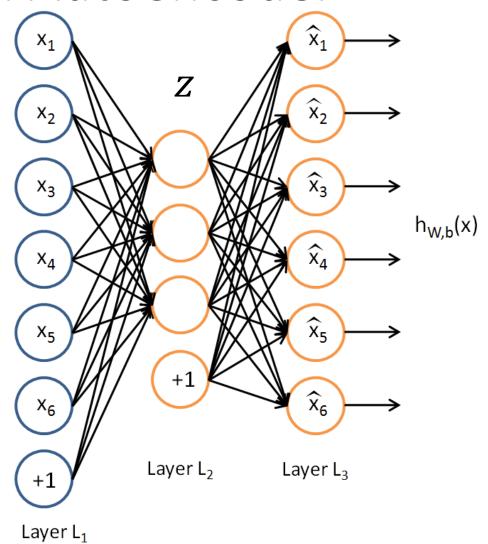
# Encoder-decoder model

Biplab Banerjee

## Deep CNN based image segmentation

- Auto-encoder
- Regularized auto-encoder
- Class-encoder
- Image segmentation

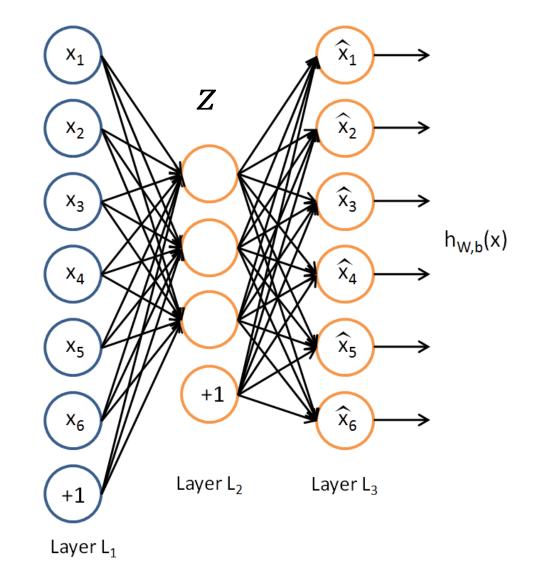
## Traditional Autoencoder



#### Traditional Autoencoder

Unlike the PCA now we can use activation functions to achieve non-linearity.

It has been shown that an AE without activation functions achieves the PCA capacity. (later)



#### Uses

- The autoencoder idea was a part of NN history for decades (LeCun et al, 1987).
- Traditionally an autoencoder is used for dimensionality reduction and feature learning.
- Representation learning

# Simple Idea

- Given data x (no labels) we would like to learn the functions f (encoder) and g (decoder) where:

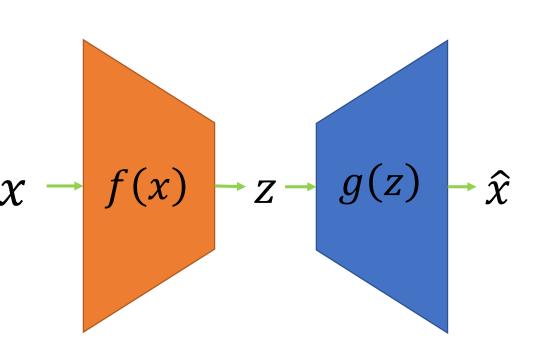
$$f(x) = s(wx + b) = z$$

and

$$g(z) = s(w'z + b') = \hat{x}$$

s.t 
$$h(x) = g(f(x)) = \hat{x}$$

where h is an **approximation** of the identity function.



(z is some **latent** representation or **code** and s is a non-linearity such as the sigmoid)

( $\hat{x}$  is x's reconstruction)

## Training the AE

Using **Gradient Descent** we can simply train the model as any other FC NN with:

- Traditionally with <u>squared error loss</u> function

$$L(x,\hat{x}) = \|x - \hat{x}\|^2$$

- If our input is interpreted as bit vectors or vectors of bit probabilities the <a href="mailto:cross entropy">cross entropy</a> can be used

$$H(p,q) = -\sum_{x} p(x) \log q(x)$$

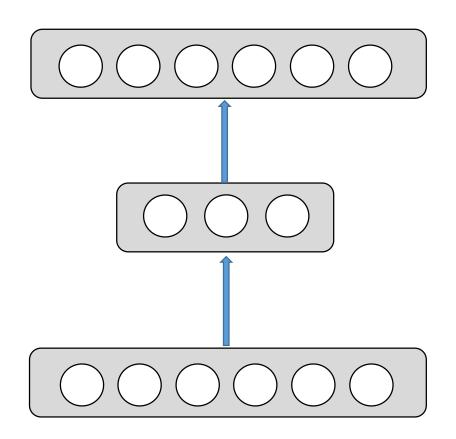
$$\mathcal{L}(\theta) = (\hat{\mathbf{x}}_i - \mathbf{x}_i)^T (\hat{\mathbf{x}}_i - \mathbf{x}_i)$$
 $\mathbf{h}_2 = \hat{\mathbf{x}}_i$ 
 $\mathbf{h}_1$ 
 $\mathbf{a}_1$ 
 $\mathbf{h}_0 = \mathbf{x}_i$ 

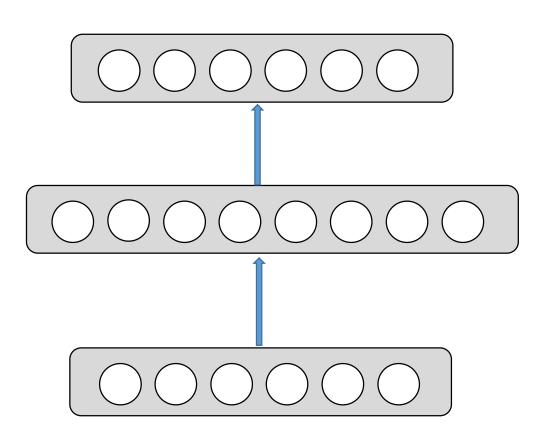
• 
$$\frac{\partial \mathcal{L}(\theta)}{\partial W^*} = \frac{\partial \mathcal{L}(\theta)}{\partial \mathbf{h_2}} \boxed{\frac{\partial \mathbf{h_2}}{\partial \mathbf{a_2}} \frac{\partial \mathbf{a_2}}{\partial W^*}}$$

• 
$$\frac{\partial \mathcal{L}(\theta)}{\partial W} = \frac{\partial \mathcal{L}(\theta)}{\partial \mathbf{h_2}} \boxed{\frac{\partial \mathbf{h_2}}{\partial \mathbf{a_2}} \frac{\partial \mathbf{a_2}}{\partial \mathbf{h_1}} \frac{\partial \mathbf{h_1}}{\partial \mathbf{a_1}} \frac{\partial \mathbf{a_1}}{\partial W}}$$

# Undercomplete AE VS overcomplete AE

We distinguish between two types of AE structures:

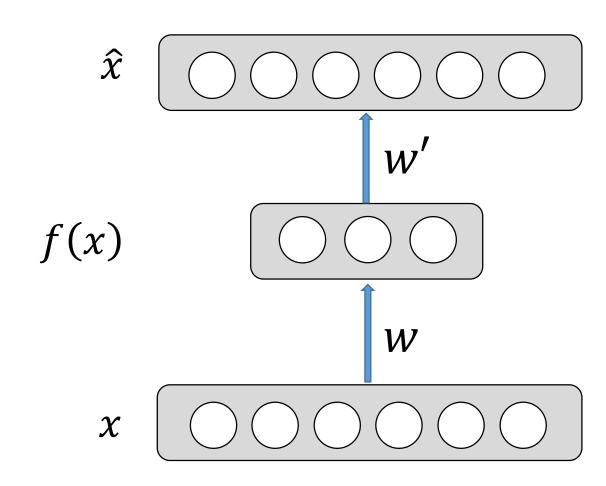




## Undercomplete AE

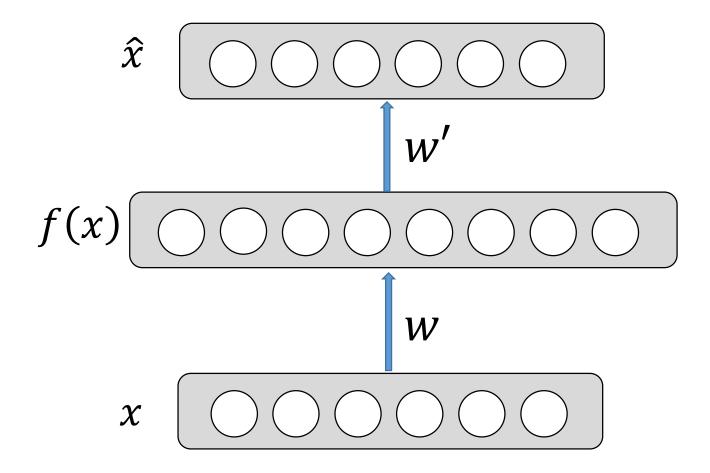
- Hidden layer is **Undercomplete** if smaller than the input layer
  - □Compresses the input
  - ☐ Compresses well only for the training dist.

- Hidden nodes will be
  - ☐Good features for the training distribution.
  - ☐ Bad for other types on input

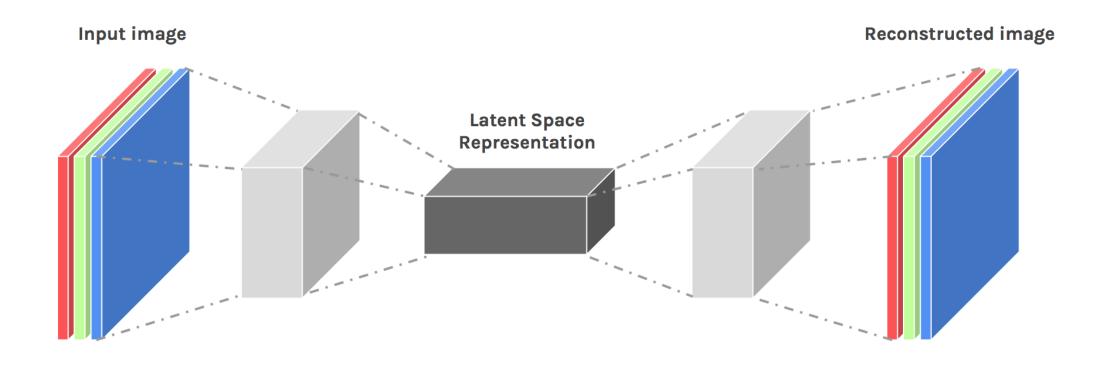


#### Overcomplete AE

- Hidden layer is Overcomplete if greater than the input layer
  - ☐ No compression in hidden layer.
  - ☐ Each hidden unit could copy a different input component.
- No guarantee that the hidden units will extract meaningful structure.

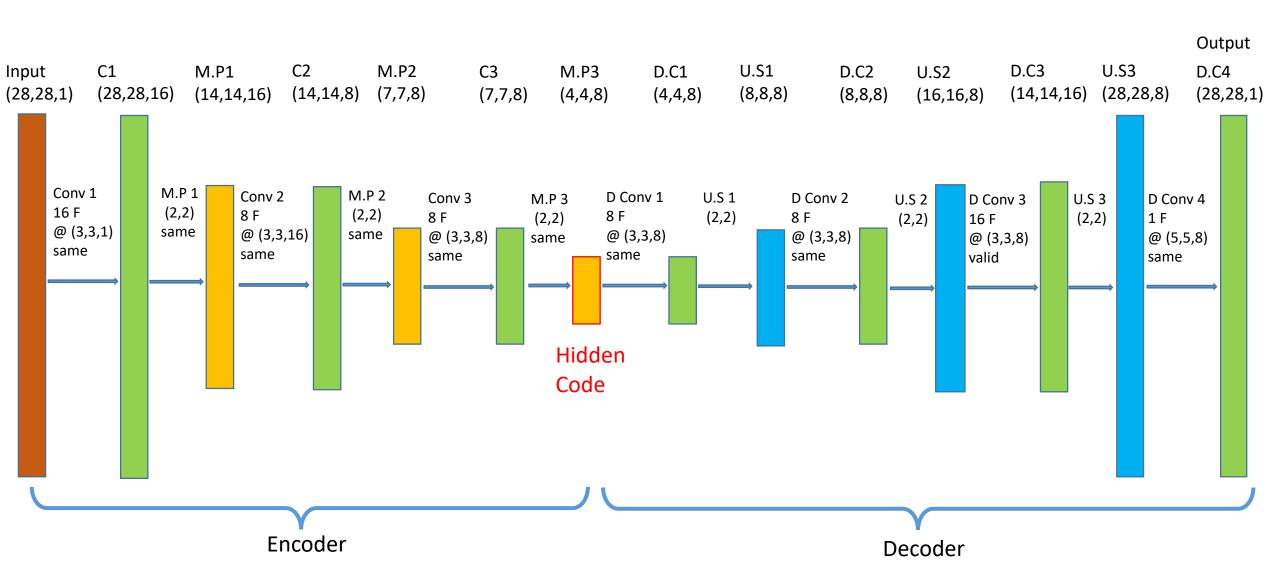


#### Convolutional AE



- \* Input values are normalized
- \* All of the conv layers activation functions are relu except for the last conv which is sigm

#### Convolutional AE



## Regularization

#### Motivation:

 We would like to learn meaningful features without altering the code's dimensions (Overcomplete or Undercomplete).

The solution: imposing other constraints on the network.

- We want our learned features to be as sparse as possible.
- With sparse features we can generalize better.

 $a_j$  is defined to be the activation of the jth hidden unit (bottleneck) of the autoencoder.

Let  $a_i(x)$  be the activation of this specific node on a given input x.

Further let,

$$\hat{\rho}_j = \frac{1}{m} \sum_{i=1}^m \left[ a_j \left( x^{(i)} \right) \right]$$

be the average activation of hidden unit j (over the training set).

Thus we would like to force the constraint:

$$\hat{\rho}_j = \rho$$

where  $\rho$  is a "sparsity parameter", typically small. In other words, we want the average activation of each neuron j to be close to  $\rho$ .

- We need to penalize  $\hat{\rho}_i$  for deviating from  $\rho$ .
- Many choices of the penalty term will give reasonable results.

- For example:

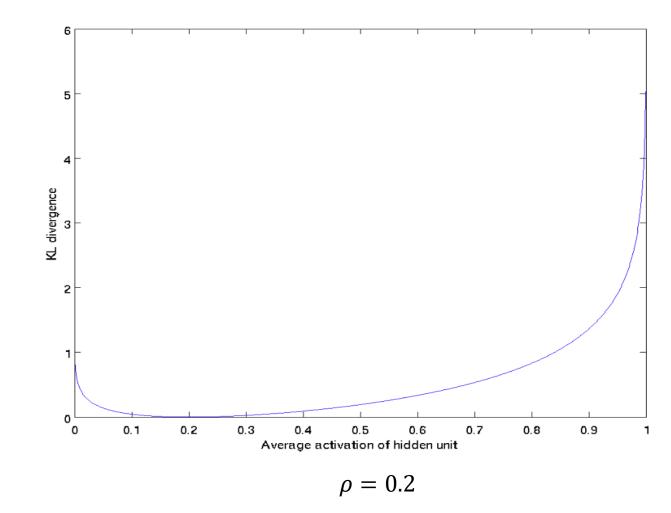
$$\sum_{j=1}^{Bn} KL(\rho|\hat{\rho}_j)$$

where  $KL(\rho|\hat{\rho}_j)$  is a Kullback-Leibler divergence function.

- A reminder:
  - KL is a standard function for measuring how different two distributions are, which has the properties:

$$KL(\rho|\hat{\rho}_j) = 0 \text{ if } \hat{\rho}_j = \rho$$

otherwise it is increased monotonically.



- Our overall cost functions is now:

$$J_S(W,b) = J(W,b) + \beta \sum_{j=1}^{Bn} KL(p|\hat{\rho}_j)$$

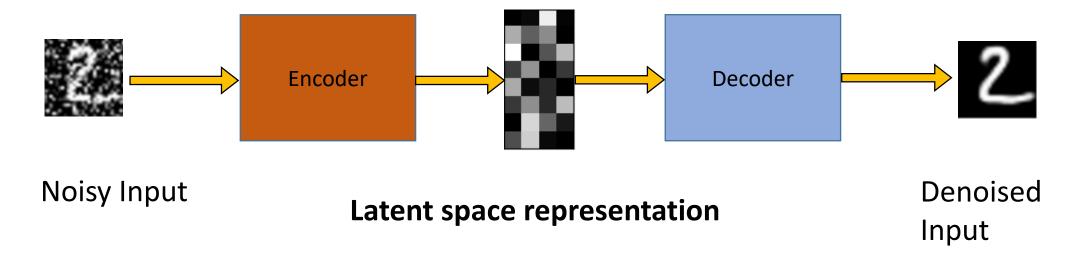
\*Note: We need to know  $\hat{\rho}_j$  before hand, so we have to compute a forward pass on all the training set.

#### Denoising Autoencoders

#### **Intuition:**

- We still aim to encode the input and to NOT mimic the identity function.
- We try to undo the effect of *corruption* process stochastically applied to the input.

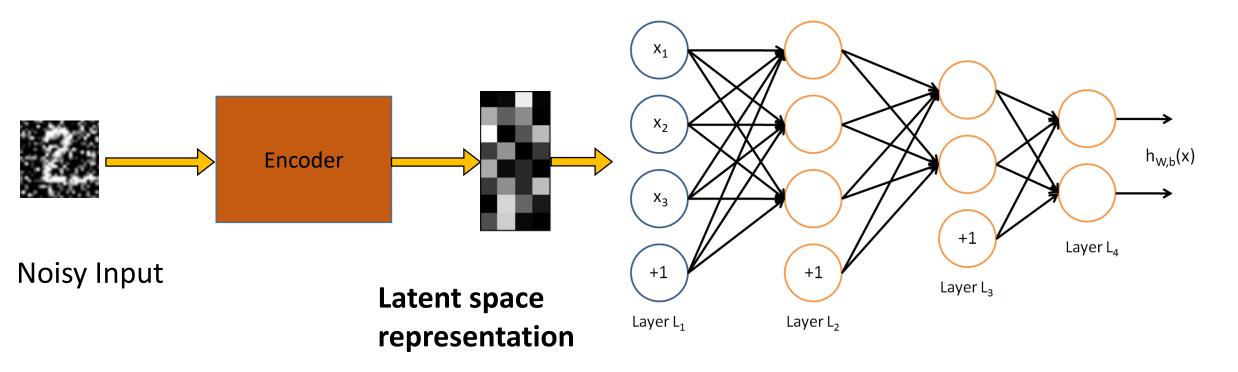
#### A more robust model



## Denoising Autoencoders

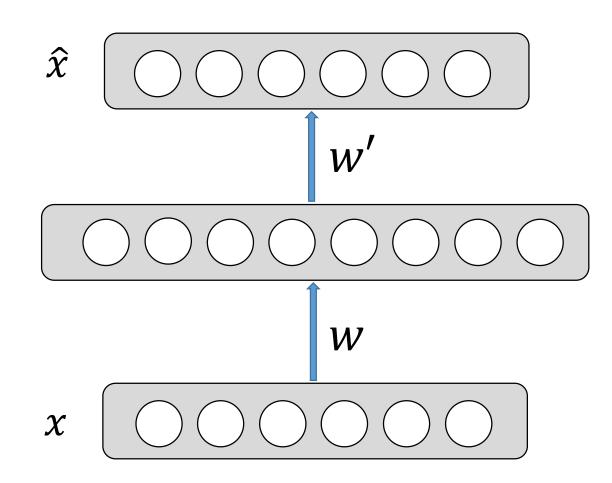
#### Use Case:

- Extract robust representation for a NN classifier.



#### Contractive autoencoders

- We wish to extract features that only reflect variations observed in the training set. We would like to be invariant to the other variations.
  - Points close to each other in the input space should maintain that property in the latent space.



#### Contractive autoencoders

Definitions and reminders:

• - Frobenius norm (L2): 
$$\|A\|_F \int_{\Sigma_{i,j}} |a_{ij}|^2$$

• - Jacobian Matrix: 
$$J_f(x) = \frac{\partial f(x)}{\partial x} = \begin{bmatrix} \frac{\partial f(x)_1}{\partial x_1} & \cdots & \frac{\partial f(x)_1}{\partial x_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial f(x)_m}{\partial x_1} & \cdots & \frac{\partial f(x)_m}{\partial x_n} \end{bmatrix}$$

#### Contractive autoencoders

Our new loss function would be:

$$L^*(x) = L(x) + \lambda \Omega(x)$$

• where  $\Omega(x) = \|J_f(x)\|_F^2$  or simply:  $\sum_{i,j} \left(\frac{\partial f(x)_j}{\partial x_i}\right)^2$ 

and where  $\lambda$  controls the balance of our reconstruction objective and the hidden layer "flatness".

$$Z_j = W_i X_i$$

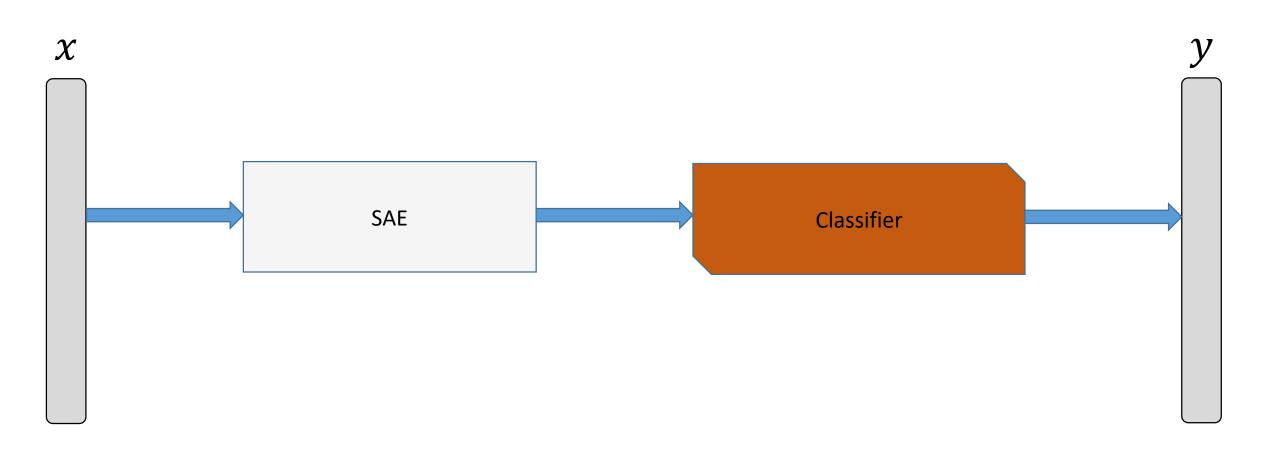
$$Z_j = W_i X_i$$
  $h_j = \phi(Z_j)$ 

$$\begin{split} \frac{\partial h_j}{\partial X_i} &= \frac{\partial \phi(Z_j)}{\partial X_i} \\ &= \frac{\partial \phi(W_i X_i)}{\partial W_i X_i} \frac{\partial W_i X_i}{\partial X_i} \\ &= \left[ \phi(W_i X_i) (1 - \phi(W_i X_i)) \right] W_i \\ &= \left[ h_j (1 - h_j) \right] W_i \end{split}$$

$$rac{\partial h}{\partial X} = diag[h(1-h)]\,W^T$$

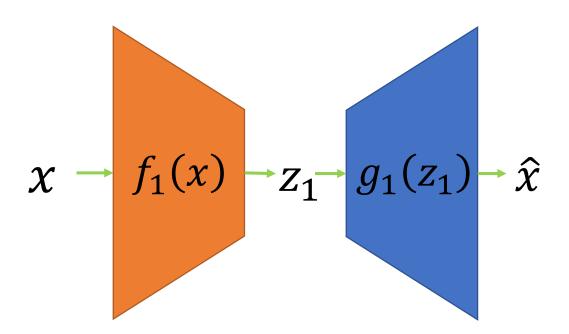
$$egin{aligned} \|J_h(X)\|_F^2 &= \sum_{ij} \left(rac{\partial h_j}{\partial X_i}
ight)^2 \ &= \sum_i \sum_j [h_j(1-h_j)]^2 (W_{ji}^T)^2 \ &= \sum_j [h_j(1-h_j)]^2 \sum_i (W_{ji}^T)^2 \end{aligned}$$

#### Stacked AE



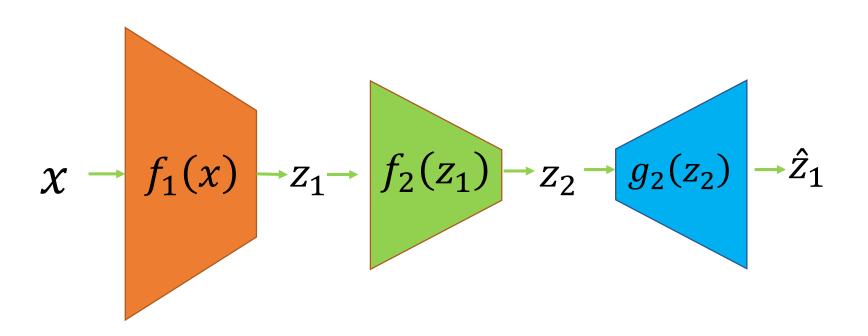
#### Stacked AE – train process

First Layer Training (AE 1)



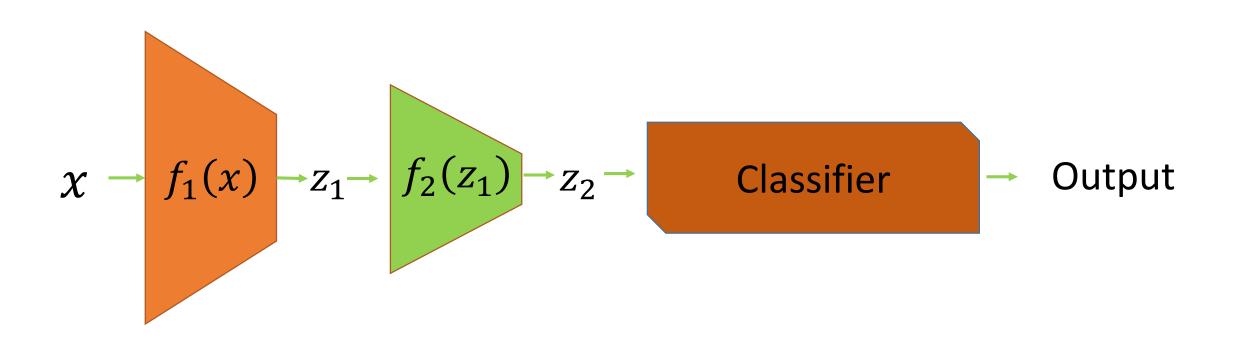
#### Stacked AE – train process

Second Layer Training (AE 2)

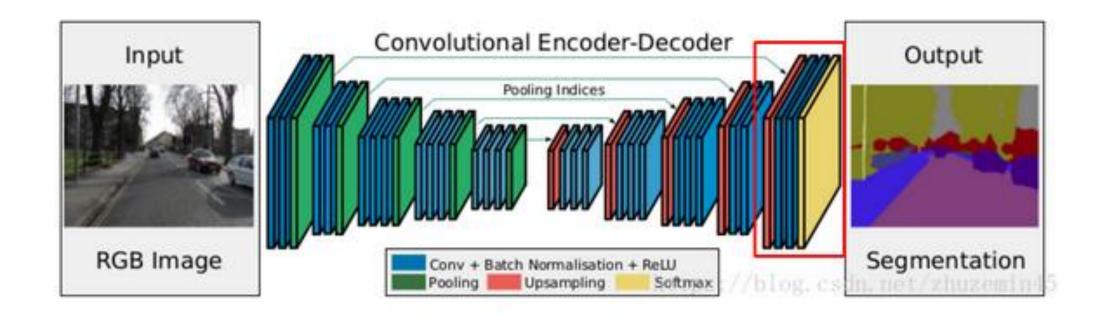


#### Stacked AE – train process

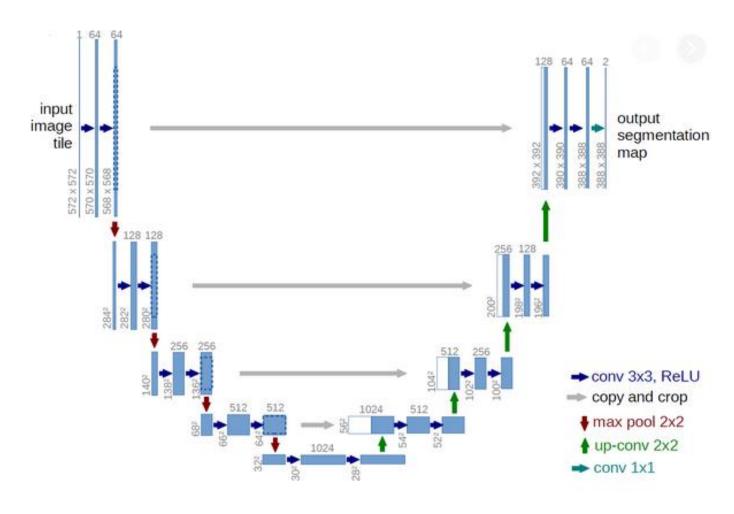
Add any classifier



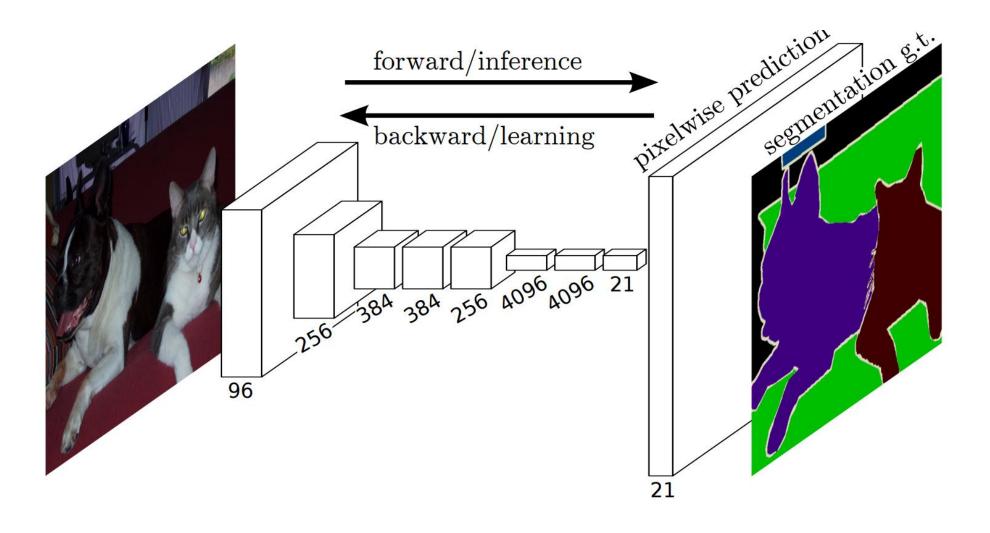
## Image segmentation - segnet



#### Image segmentation U-net



## Image segmentation FCN



#### Instance segmentation

# Object Detection



#### Instance Segmentation

