

CS207 Counting

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1 Permutations and Combinations

1.1 String

A string is an ordered collection of elements from an alphabet (a finite set).

Mathematically, it is a mapping of each position in the string to an element in alphabet,

$$\text{String}(\sigma) : \{1, 2, \dots, k\} \rightarrow B$$

Number of strings of length k from an alphabet of size n : n^k

If $n=2$, then the string is called a **binary string**.

Binary strings can be used to represent subsets of $[k] = \{1, 2, \dots, k\}$.

Let the alphabet be $\{0,1\}$. Then the subset of $[k]$ corresponding to a binary string is:

$$S_\sigma = \{x | \sigma_x = 1\}$$

1.2 Permutations

Permutations refer to the arrangements of elements of alphabet without repetitions of the elements.

The number of permutations of length k from an alphabet of size n is denoted as $P(n,k)$.

$$P(n,k) = \begin{cases} 0 & \text{if } k > n \\ \frac{n!}{(n-k)!} & \text{if } k \leq n \end{cases}$$

The above expression can be proved by induction on n and using the relation

$$P(n,k) = n \cdot P(n-1, k-1)$$

in the induction step.

1.3 Combinations

Combinations can be considered as subsets of a given set. The number of subsets of size k from a set of size n is given by:

$$C(n,k) = \frac{P(n,k)}{k!}$$

Important property: $C(n,k) = C(n-1,k-1) + C(n-1,k)$

The above property can be used to find the coefficients of x^k in expansion of $(1+x)^n$, inductively.

The property also gives a **recursive definition** of $C(n,k)$ with base cases $C(n,0) = C(n,n) = 1$

2 Balls and Bins

Let the number of balls be k and number of bins be n .

We need to allot each ball to exactly one bin.

Consider the following different cases (N is the number of ways):

2.1 Labelled balls and labelled bins

2.1.1 No restriction

N = number of functions from set of size k to set of size $n = n^k$

2.1.2 Atmost one ball in every bin

N = number of injective functions = $P(n, k)$

2.1.3 No bin empty

N = number of onto functions = $N(k, n)$

$$N(k, n) = \begin{cases} \sum_{i=0}^{i=n} (-1)^i \cdot C(n, i) \cdot (n-i)^k & \text{if } n \leq k \\ 0 & \text{if } n > k \end{cases}$$

The above equation can be proved by inclusion-exclusion principle.

2.2 Unlabelled balls labelled bins

2.2.1 No restriction

This case can be represented by a multi-set, which is a set in which multiple entries of an element can occur, but is unordered. Each multi-set of length k having elements from the bin set represents one way of distribution.

In this case, N can be found by partitioning the the set of identical balls. The problem can be reformulated as ways of arranging k identical balls and $n-1$ identical sticks in a row, which is

$$N = \frac{(k+n-1)!}{(n-1)!(k)!} = C(k+n-1, n-1)$$

2.2.2 Atmost one ball in every bin

N = ways of selecting k bins out of n bins (remaining will be empty) = $C(n, k)$

2.2.3 No bin empty

This case is similar to no restriction case after giving one ball to each bin. Hence,

$$N = C(k-1, n-1)$$

2.3 Labelled balls and unlabelled bins

2.3.1 No restriction

This case can be reformulated as number of ways of partitioning a set of length k . The number of ways of partitioning a set of length k into n non-empty subsets is given by **Stirling's number of second kind** and is denoted by $S(k, n)$.

Hence N is given by,

$$N = B_k(\text{Bell number}) = \sum_{i=1}^{i=k} S(k, i) \quad [= \sum_{i=1}^{i=n} S(k, i)]$$

where,

$$S(k, n) = \frac{N(k, n)}{n!}$$

2.3.2 Atmost one ball in every bin

$$N = \begin{cases} 1 & \text{if } n \geq k \\ 0 & \text{if } n < k \end{cases}$$

2.3.3 No bin empty

$$N = S(k, n)$$

2.4 Unlabelled balls and unlabelled bins

2.4.1 No restriction

The problem can be reformulated as number of integer solutions (x_1, x_2, \dots, x_n) such that,

$$x_1 + x_2 + \dots + x_n = k$$

and

$$0 \leq x_1 \leq x_2 \leq \dots \leq x_n$$

If the bins are non-empty, i.e., 1 instead of 0 in the above relation (the no bin empty case) then the number of such ways has a name, partition number (denoted by $P_n(k)$).

Therefore in this case, just add n 1's on both sides of the equation. This gives,

$$N = P_n(n + k)$$

2.4.2 Atmost one ball in every bin

$$N = \begin{cases} 1 & \text{if } n \geq k \\ 0 & \text{if } n < k \end{cases}$$

2.4.3 No bin empty

$$N = P_n(k)$$

How to calculate $P_n(k)$?

$P_n(k)$ can be calculated recursively as follows:

Base case: $P_n(k) = 0$ if $n > k$; and $P_0(0) = 1$; and $P_0(k) = 0$ if $k > 0$

Recursive relation: $P_n(k) = P_n(k - n) + P_{n-1}(k - 1)$

Above relation can be proved by considering exhaustive cases $x_1 > 1$ or $x_1 = 1$.

n \ k	0	1	2	3	4	5	6	7	8
0	1	0	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1	1
2	0	0	1	1	2	2	3	3	4
3	0	0	0	1	1	2	3	4	5
4	0	0	0	0	1	1	2	3	5
5	0	0	0	0	0	1	1	2	3
6	0	0	0	0	0	0	1	1	2
7	0	0	0	0	0	0	0	1	1
8	0	0	0	0	0	0	0	0	1

Figure 1: Partition number; Source : CS207 Lectures 2021