RDA Special Topics: Relationship Designators in
RDA Name Authority Records (NARs)

Ana Lupe Cristán
Policy and Standards Division
Library of Congress

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Relationship Designators – MARC 21

RDA – Appendix K

Chapter 29 Guidelines on recording relationships …

Related persons – Chapter 30

Related families – Chapter 31

Related corporate bodies – Chapter 32

RDA- Appendix J

Chapter 25 – Related works

Chapter 26 – Related expressions

Relationship Designators – Overview

○ Appendix I

  ➤ Relates Persons, Families, and Corporate bodies to Works, Expressions, and Manifestations

    ➤ Used in only bibliographic records

○ Appendix J

  ➤ Relates Works, Expressions, and Manifestations to Works, Expressions, and Manifestations

    ➤ Used in both bibliographic and authority records

      (formerly known as "see-alsos")

○ Appendix K

  ➤ Relates Persons, Families, and Corporate bodies to Persons, Families, and Corporate bodies

    ➤ Used only in authority records (formerly known as "see-alsos")

Appendix I – Reminder: Relationship Designators for Bibliographic Records – Quiz

RDA Bibliographic Record:

100 1# $a Mishima, Yukio, $d 1925-1970, $e author.

240 10 $a Shiosai. $l English

245 14 $a The Sound of waves / $c Yukio Mishima; translated by Meredith Weatherby.

700 1# $a Weatherby, Meredith, $e translator.

Authority Records:

100 1# $a Mishima, Yukio, $ d1925-1970. $ t Shiosai. $ l English

100 1# $a Weatherby, Meredith

Relationship designators (29.5) - Appendix K

- K.2.1 Persons to other persons
  - Alternate identity
  - Real identity
- K.2.2 Persons to families
  - Family member
  - Progenitor
- K.2.3 Persons to corporate bodies
  - Employee
  - Founder
  - Group member
  - Incumbent
  - Sponsor
- K.3.1 Families to Persons
  - Descendants
- K.3.2 Families to Families
  - Descendant family

- K.3.3 Families to corporate bodies
  - Founding family
  - Sponsoring family
- K.4.1 Corporate bodies to persons
  - Employer
- K.4.2 Corporate bodies to family
  - Founded organization
  - Sponsored organization
- K.4.3 Corporate bodies to corporate bodies
  - Hierarchical subordinate
  - Hierarchical superior
  - Mergee
  - Predecessor
  - Product of a merger
  - Product of split
  - Successor

MARC 21 Format for Authority Data

Tracings and References-General Information

"**Full**" documentation refers to the *MARC 21 Format for Authority Data* that contains detailed descriptions of every data element, along with examples, input conventions and history sections.

"**Concise**" documentation refers to the *MARC 21 Concise Format for Authority Data* that contains abridged descriptions of every data element, along with examples.

2XX-3XX: Complex subject references

260 - Complex See Reference - Subject (R) Full | Concise
360 - Complex See Also Reference - Subject (R) Full | Concise

4XX: See from tracings

5XX: See also from tracings

Special subfields used with 4XX and 5XX fields

$i - **Relationship information** (R)
$w - **Control Subfield** (NR)
$4 - **Relationship code** (R)

$w - Control subfield

Up to four character positions (designated as $w/0, etc.) that indicate whether special instructions apply to the display of the heading in the 4XX or 5XX field and whether a tracing is restricted to the reference structure of a particular type of authority. Subfield $w need not be used if none of the characteristics is applicable. Because the definitions of the codes in subfield $w are dependent on the character position in which they occur, the coding of any character position mandates that each preceding character position contains a code or a fill character (|); succeeding character positions need not be used. For example, if $w/0 and $w/1 are not applicable but $w/2 is applicable, then $w/0 and $w/1 each contain code n (Not applicable) or a fill character (|).

/0 - Special relationship

One-character alphabetic code that describes a relationship of an entity in a 4XX or 5XX field to that in the 1XX field that is more specific than the relationship implicit in the tag. The codes may be used to generate a special reference instruction phrase in a cross reference display.

a - Earlier heading	h - Narrower term
b - Later heading	i - Reference instruction phrase in subfield $i
d - Acronym	n - Not applicable
f - Musical composition	r - Relationship designation in $i or $4
g - Broader term	t - Immediate parent body

LC Policy: code "r" and subfield $i

- Use of subfield $w/0 "r" and subfield $i is optional

- Subfield $w/0 coded "r" must be used in conjunction with subfield $i (code "r" announces the presence of $i)

- When using subfield $i capitalize the first letter of the term and add a colon before subfield $a

- Do not use $w/0 "r" and subfield $i in 4XXs

- Use $w/0 "r" and subfield $i only in 5XXs

LC Policy: code "r" and subfield $i –Family names

○ Family names

- Use code "r" in subfield $w/0 and add subfield $i with the appropriate term from Appendix K to show family relationships

- RDA NAR Example:
  ○ 100 **3**# $a Weir (Family : $g Weir, Robert Walter, 1803-1889)
  ○ 500 1# **$w r $i Progenitor:** ‡a Weir, Robert Walter, $d 1803-1889

Example - code "r" and subfield $i –Family names

Subfield $w/0 coded "r" and subfield $i were not intended for use with AACR2 NARs but in order to code a reciprocal NAR they had to be allowed.

AACR2 NAR:
- 100 1# $a Weir, Robert Walter, $d 1803-1889
- 500 **3# $w r $i Descendents:** ‡a Weir (Family : $g Weir, Robert Walter, 1803-1889)

RDA NAR :
- 100 **3#** $a Weir (Family : $g Weir, Robert Walter, 1803-1889)
- 500 1# **$w r $i Progenitor:** ‡a Weir, Robert Walter, ‡d 1803-1889

- Reciprocity is not required - but if you want to add this reciprocal information OK – and to an AACR2 NAR!

- For family names remember that you must use the authorized form of name of the progenitor

LC Policy for use of code "r" and subfield $i

○ Personal names – pseudonyms

- Do not use $w/0 "r" and subfield $i for multiple pseudonyms.
- Use $w nnnc in combination with a 663 note (see FAQ at: http://www.loc.gov/catdir/cpso/pseud.pdf)

Example: n 79060552

035		‡a (Uk)000373903
040		‡a DLC ‡b eng ‡c DLC ‡d DLC ‡d GU ‡d OCl ‡d OCoLC ‡d DLC ‡d WaU ‡d Uk ‡d DLC
053	0	‡a PR6015.I3
100	1	‡a Plaidy, Jean, ‡d 1906–1993
400	1	‡a Pleĭdi, Dzhin, ‡d 1906–1993
500	1	‡w nnnc ‡a Hibbert, Eleanor, ‡d 1906–1993
500	1	‡w nnnc ‡a Ford, Elbur, ‡d 1906–1993
500	1	‡w nnnc ‡a Kellow, Kathleen, ‡d 1906–1993
500	1	‡w nnnc ‡a Tate, Ellalice, ‡d 1906–1993
500	1	‡w nnnc ‡a Holt, Victoria, ‡d 1906–1993
500	1	‡w nnnc ‡a Carr, Philippa, ‡d 1906–1993
500	1	‡w nnnc ‡a Burford, Eleanor, ‡d 1906–1993
663		‡a For works of this author entered under other names, search also under ‡b Carr, Philippa, 1906-1993, ‡b Ford, Elbur, 1906-1993, ‡b Holt, Victoria, 1906-1993, ‡b Kellow, Kathleen, 1906-1993, ‡b Tate, Ellalice, 1906-1993 ‡b Burford, Eleanor 1906-1993 ‡b Hibbert, Eleanor, 1906-1993
670		‡a Beyond the Blue Mountains, 1947.

LC Policy: code "r" and subfield $i- Pseudonyms

○ Optionally, for a single pseudonym $w/0 "r" and subfield $i may be used.

- Example:

  ○ 100 1 $a King, Stephen
  ○ 500 1 $w r $i Alternate identity: $a Bachman, Richard

  ○ 100 1 $a Bachman, Richard
  ○ 500 1 $w r $i Real identity: $a King, Stephen

- Or, continue to use the simple 500 without subfield $w

- For pseudonyms reciprocity is required

  ○ both for only one pseudonym and for multiple pseudonyms

  ○ this means each name must have its own NAR

LC Policy code "r" and subfield $i – Corporate bodies

- Corporate bodies (per **LCPS 32.1.1.3**)
  - **Do not use code** "r" and subfield $i in RDA NARs for earlier/later relationships
    - – Continue to use subfield $w/0 coded "a" (earlier) and/or code "b" (later) in 5XXs to relate corporate bodies
      - In this case a reciprocal NAR is required for each NAR
- Note that PCC libraries are not bound by the LC policy to use earlier/later and starting with the US RDA Test have been creating NARs with relationship designators using Appendix K terms when relating corporate bodies

Subfield $w – Earlier/Later – Corporate bodies

NAR 1

1XX _# $a Body A

5XX _# $w b $a Body B

NAR 2

1XX _# $a Body B

5XX _# $w a $a Body A

5XX _# $w b $a Body C

NAR 3

1XX _# $a Body C

5XX _# $w a $a Body B

LC Policy code "r" and subfield $i –Corporate bodies

- But, what happens if a later form of a corporate name is needed and the earlier form is in a PCC created RDA NAR with subfield $i and the relationship designators from Appendix K?
  - Use cataloger judgment
    - create a new RDA NAR for the latest form of name with subfield $w/0 coded "r" and add subfield $i
    - or you could go ahead and add the $w/0 a (earlier) and b (later)
  - Hybrid NARs are OK in this case – this means a mix of MARC content designations is OK not that the headings are hybrid

LC policy Subfield $w r and $i – Relationship designator – Corporate body

RDA NAR 1 created by PCC cataloger

1XX _# $a Body A

5XX _# $w r $i Successor: $a Body B

RDA NAR 2 created by PCC cataloger

1XX _# $a Body B

5XX _# $w r $i Predecessor: $a Body A

5XX _# $w b $a Body C

NAR 3 created by LC cataloger

1XX _# $a Body C

5XX _# $w a $a Body B

LC Policy code "r" and subfield $i – Corporate bodies

○ Corporate bodies
- ● Optionally, code "r and subfield $i may be used to relate persons to corporate bodies
  - ○ Reciprocity is not required
  - ○ All names used in 5XXs must be established
  - ○ NOTE: The requirement for the name of the person to be in the name of the group is not in RDA – there is no LCPS

Example of current person to corporate body relationship

110 2# Art Tatum Trio
500 1# Tatum, Art, $d 1909-1956

100 1# Tatum, Art, $d 1909-1956
510 2# Art Tatum Trio

LC Policy: code "r" and subfield $i

○ Example:

110 2# $a R.E.M (Musical group)
500 1# **$w r $i Group member:** $a Stipe, Michael, $d 1960-
500 1# **$w r $i Group member:** $a Buck, Peter, $d 1956-
500 1# **$w r $i Group member:** $a Mills, Mike, $d 1958
December 17-
500 1# **$w r $i Group member:** $a Berry, Bill, $d 1958-
670 ## $a Rock on, c1985: ‡b v. 3 (R.E.M. formed 1980;
Michael Stipe, vocals; Pete Buck, guitar; Mike Mills,
bass, vocals; Bill Berry, drums, vocals; hometown,
Athens, Ga.)

LC Policy: code "r" and subfield $i – Relationship designators missing from Appendix K

- Some relationship designators are one-way – some terms not yet defined in Appendix K
  - In example below there is no appropriate reciprocal term for group member

  100 1# $a Stipe, Michael, $d 1960-
  510 2# $a R.E.M. (Musical group)

  100 1# $a Buck, Peter, $d 1956-
  510 2# $a R.E.M. (Musical group)

LC Policy: code "r" and subfield $i

- Use relationship designators for persons to corporate bodies with caution

- Use relationship designators to relate the corporate body to the person but be wary of the reciprocal relationship.

  - In the case of a NAR for LC or Disney, etc. it would be thousands of names if you added the relationship designator "Employee" for each person that works there.

Example:
100 1# $a Billington, James H.
510 2# **$w r $i Employer:** $a Library of Congress

LC Policy for use of code "r" and subfield $i

- All names used in 5XXs should be established

- 5XXs used on one NAR do not need to be reciprocal 5XXs on the related NAR

110 2# $a Library of Congress
500 1# $w r $i Employee: $a Guiles, Kay D.
500 1# $w r $i Employee: $a Reser, David
500 1# $w r $i Employee: $a Phong, Tran
500 1# $w r $i Employee: $a Sinclair, Linda
+ a zillion others

- Best practice: prefer to use the MARC field 373 to show those affiliated group relationships instead

Example: 100 1# $a Guiles, Kay D.
373 ## $a Library of Congress $s 1958

010		‡a n 79055407
035		‡a (OCoLC)oca00288518
040		‡a DLC ‡b eng ‡c DLC ‡d DLC ‡d OCI ‡d OCoLC ‡d DLC ‡d UPB ‡d DLC
046		‡s 1935 ‡t 1949
110	2	‡a Paramount Pictures, Inc.
372		‡a Motion picture production
372		‡a Motion picture distribution
510	2	‡w r ‡i Predecessor: ‡a Paramount Publix Corporation
510	2	‡w r ‡i Product of a split: ‡a Paramount Pictures Corporation
510	2	‡w r ‡i Product of a split: ‡a United Paramount Theatres
510	2	‡w r ‡i Hierarchical subordinate: ‡a Paramount Productions
670		‡a Its Paramount's 20th birthday jubilee, c1931.
670		‡a Int'l. mo. pic. alm. 1982 ‡b (Paramount Pictures, Inc. formed in 1935; formerly known as Paramount Publix Corporation [1930-1935]; name changed back to Paramount Pictures Corporation in 1949 [also used from 1914-1918])
670		‡a Copyright cat., mo. pic. 1912-1939, 1951 ‡b (Paramount Pictures, Inc. appears as copyright claimant for Paramount motion pictures from ca. 1936 to ca. 1939; overlap with Paramount Productions in 1936 probably due to Paramount's corporate reorganizations during that period)
670		‡a Slide, T. The American film industry, 1986: ‡b p. 257 (Paramount suffered financial setbacks in the early 1930s and was forced to declare bankruptcy in 1933; in a 1935 reorganization, it re-emerged as Paramount Pictures, Inc.)

Appendix J: Relationship designators in NARs

Example:

- 046 ## $k 1933

- 130 ## $a Devil's brother (Motion picture)

- 380 ## $a Motion picture

- 500 1# **$w r $i Motion picture adaptation of (work):** ‡a Auber, D. F. E. $q (Daniel François Esprit), $d 1782-1871. $t Fra Diavolo

- 670 ## $a The devil's brother, 1933.

- 670 ## $a   IMDB.com, Dec. 27, 2010 ‡b (Devil's brother; motion picture adaptation of Auber's Fra Diavolo produced by Metro-Goldwyn-Mayer and released in 1933)

Appendix J: Relationship designators in NARs

Example:

- 046 ## $k 1830

- 100 1# $a Auber, D. F. E. $q (Daniel François Esprit), $d 1782-1871. $t Fra Diavolo

- 380 ## $a Opera

- 530 ## **$w r $i Adapted as a motion picture (work):** ‡a Devil's brother (Motion picture)

- 670 ## $a Wikipedia, Dec. 27, 2010 ‡b (Fra Diavolo; L'hôtellerie de Terracine; Inn of Terracina; opéra comique by Daniel Auber; based loosely on the life of Michele Pezza; first performed on Jan. 28, 1830 in Paris)

Appendix J: Relationship designators in NARs

Bonus quiz:

100 1# $a Mishima, Yukio, $d 1925-1970. $t Shiosai. $l English

336 ## $a text $2 rdacontent

400 1# $a Mishima, Yukio, $d 1925-1970. $t Sound of waves

500 1# **$w r $i Translation of:** $a Mishima, Yukio, $d 1925-1970. $t Shiosai

670 ## $a Sound of waves, 1994.

Reciprocal NAR not very helpful:

100 1# $a Mishima, Yukio, $d 1925-1970. $t Shiosai
500 1# $w r $i Translated as: $a Mishima, Yukio, $d 1925-1970. $t Shiosai. $l English

QUESTIONS? LChelpforRDA@loc.gov