

# MICRO-435 Week 2 Review

→ norm-preserving

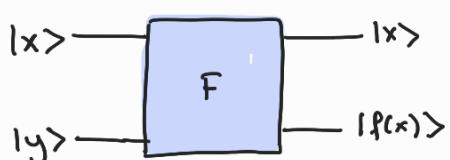
How can we encode Boolean Functions into Unitaries?

$$|x\rangle \rightarrow |f(x)\rangle$$

$$|x'\rangle \rightarrow |f(x')\rangle$$

$$\langle x|x'\rangle = 0 \quad \stackrel{?}{\Leftrightarrow} \quad \langle f(x)|f(x')\rangle = 0$$

Not necessarily, since  $f(x)$  may be equal to  $f(x')$  yielding 1.

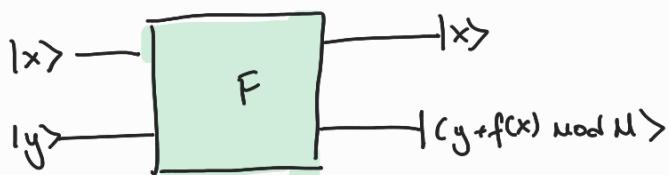


$$\begin{aligned} |x, y\rangle &\longrightarrow |x, f(x)\rangle && \text{where } \underbrace{x=x'}_{\substack{\text{copy of} \\ \text{each other}}} \\ |x', y'\rangle &\longrightarrow |x', f(x')\rangle \end{aligned}$$

$$\langle x, y | x', y' \rangle = 0 \quad \Leftrightarrow \quad \langle x, f(x) | x', f(x') \rangle = 0$$

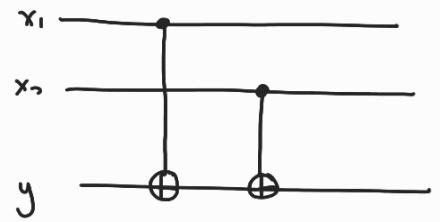
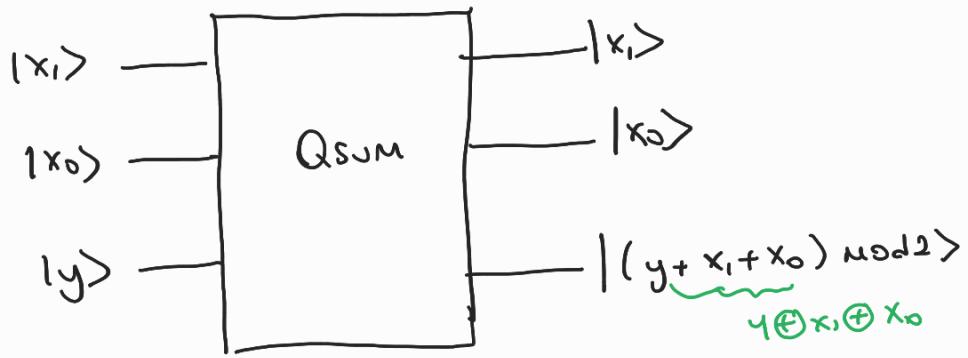
May be  $y=y'$ . → Thus  $F$  is not unitary

$$x=x', \quad f(x)=f(x')$$

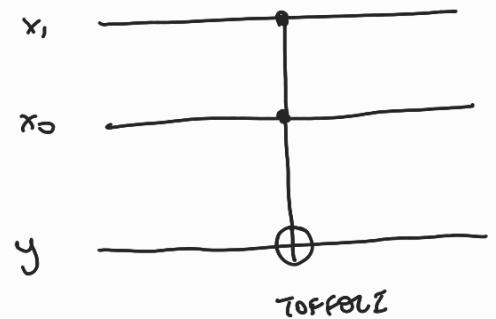
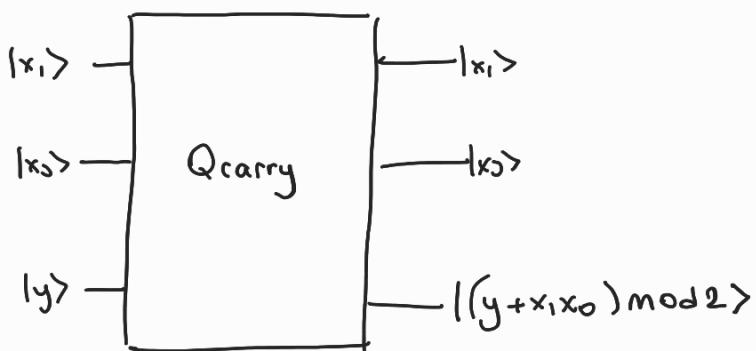


$F$  is Unitary

$$\langle xy | x', y' \rangle = 0 \quad \Leftrightarrow \quad \langle x', (y+f(x)) \bmod N | x', (y'+f(x')) \bmod N \rangle = 0$$



$y$	$x_1$	$x_0$	$f \pmod{2}$
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	0
-			-
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	1



$y$	$x_1$	$x_0$	$f$
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
-			-
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

# ① Deutsch-Jozsa Algorithm

Problem Statement:  $f: \{0,1\}^N \rightarrow \{0,1\}$

Takes  $N$  bits to  $1$  bit.

Example  $N=2$

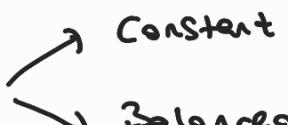
$f(00)$	0	0
$f(01)$	0	1
$f(10)$	0	0
$f(11)$	0	1

CONSTANT

$$\downarrow \\ f(x) = C \text{ for all } x$$

BALANCED

$$\downarrow \\ f(x) = 0 \text{ for exactly half} \\ \text{of the possible input}$$

What is  $F$ ? 

Best case

↳ Need 2 queries of the  $f$ .

Ex      1<sup>st</sup> query gives the output  $\begin{cases} 0 \\ 1 \end{cases}$  }  $f$  must be  
2<sup>nd</sup> "     "     "     "     } BALANCED

Worst case

Ex

input	output
000	0
001	0
010	0
011	0
...	...
100	0
101	0
110	0
111	0

$2^{N/2}$  queries

$\begin{cases} 0 \rightarrow \text{CONSTANT} \\ 1 \rightarrow \text{BALANCED} \end{cases}$

$\left\{ \frac{2^N}{2} + 1 \right\}$   
queries are needed to be 100% certain

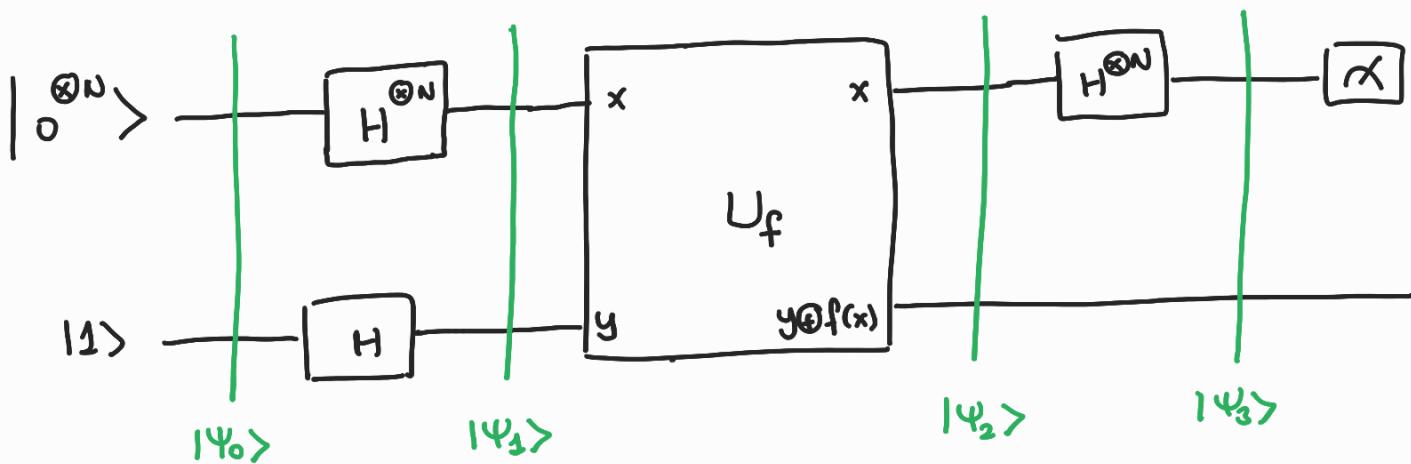
## Quantum Solution

Only 1 query of the function  $f$  is needed!

Implement  $f$  as the quantum oracle that maps

$$|x, y\rangle \longrightarrow |x, y \oplus f(x)\rangle$$

↳ addition Modulo 2



$$|\psi_0\rangle = |0^{\otimes N}\rangle \otimes |1\rangle$$

$$|\psi_1\rangle = H^{\otimes N} |0^{\otimes N}\rangle \otimes H |1\rangle$$

$$= \left[ \left( \frac{1}{\sqrt{2}} \right)^N \sum_{x=0}^{2^N-1} |x\rangle \otimes \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle) \right]$$

$$|\psi_2\rangle = \frac{1}{\sqrt{2}} \frac{1}{2^{N/2}} \sum_{x=0}^{2^N-1} |x\rangle \left( |0 \oplus f(x)\rangle - |1 \oplus f(x)\rangle \right)$$

$$\begin{cases} |0\rangle - |1\rangle & \text{if } f(x)=0 \\ -(|0\rangle - |1\rangle) & \text{if } f(x)=1 \end{cases}$$

$\underbrace{\hspace{10em}}$   $^{1^{S+} \text{ register}}$

$$|\psi_2\rangle = \frac{1}{2^{N/2}} \sum_{x=0}^{2^N-1} (-1)^{f(x)} |x\rangle \otimes \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

$\underbrace{\hspace{10em}}$   $^{\text{ancilla}}$

$$* H^{\otimes N} |x\rangle = \frac{1}{2^{N/2}} \sum_{y=0}^{2^N-1} (-1)^{x \otimes y} |y\rangle$$

where  $x \otimes y = x_0 y_0 \oplus x_1 y_1 \oplus \dots \oplus x_{N-1} y_{N-1}$

Example with  $N=2$

$$H^{\otimes 2} |x\rangle \text{ where } x \text{ can be}$$

$x_1 x_0$	
0	$\leftrightarrow 00$
1	$\leftrightarrow 01$
2	$\leftrightarrow 10$
3	$\leftrightarrow 11$

$$\text{for } 2 \rightarrow +|0\rangle H |1\rangle = H \otimes H |10\rangle = |H1\rangle \otimes |H0\rangle$$

$$= \left(\frac{1}{\sqrt{2}}\right)^2 [ |00\rangle + |01\rangle - |10\rangle - |11\rangle ]$$

$$= \left(\frac{1}{\sqrt{2}}\right)^2 [ |10\rangle + |11\rangle - |2\rangle - |3\rangle ]$$

$x, x_0 = 10$

$x \otimes y \text{ when } N=2 \text{ and } x=2$

$$x \otimes y = x_0 y_0 \oplus x_1 y_1 = 0 \cdot y_0 \oplus 1 \cdot y_1 = 0 \oplus y_1 = y_1$$

$$\frac{1}{2^{N/2}} \sum_{y=0}^{2^N-1} (-1)^{x \otimes y} |y\rangle = \frac{1}{2^{N/2}} \sum_{y=0}^3 (-1)^{y_1} |y\rangle$$

$$\left(\frac{1}{\sqrt{2}}\right)^2 \sum_{y=0}^3 \{ |10\rangle + |11\rangle - |2\rangle - |3\rangle \}$$

same result

$1 \overset{S+}{\underbrace{\text{register}}}_{\text{N bit}}$

$$|\Psi_2\rangle = \frac{1}{2^{N/2}} \sum_{x=0}^{2^N-1} (-1)^{f(x)} |x\rangle \otimes \left( \frac{|10\rangle - |11\rangle}{\sqrt{2}} \right)$$

$$|\Psi_3\rangle = H^{\otimes N} \left[ \frac{1}{2^N/2} \sum_{x=0}^{2^N-1} (-1)^{f(x)} |x\rangle \right] \otimes \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

$$= \frac{1}{2^N/2} \sum_{x=0}^{2^N-1} (-1)^{f(x)} \left[ \underbrace{H^{\otimes N} |x\rangle}_{\frac{1}{2^N/2} \sum_{y=0}^{2^N-1} (-1)^{x \oplus y} |y\rangle} \right] \otimes \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

$$= \frac{1}{2^N} \sum_{y=0}^{2^N-1} \left[ \sum_{x=0}^{2^N-1} (-1)^{f(x)} (-1)^{x \oplus y} \right] |y\rangle \otimes \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

Measure the 1st qubit

Probability to obtain outcome  $|y=0\rangle \equiv |0^{\otimes N}\rangle$

$$\left| \frac{1}{2^N} \sum_{x=0}^{2^N-1} (-1)^{f(x)} (-1)^{x \oplus 0} \right|^2 = \left| \frac{1}{2^N} \sum_{x=0}^{2^N-1} (-1)^{f(x)} \right|^2$$

If  $f(x)$  is constant

$$\left| \frac{1}{2^N} (-1)^{f(x)} \sum_{x=0}^{2^N-1} 1 \right|^2 = \left| \underbrace{(-1)^{f(x)}}_1 \right|^2 \frac{1}{2^N} \underbrace{\sum_{x=0}^{2^N-1} 1}_1^2 = 1_{//}$$

If  $f(x)$  is balanced

$$\left| \frac{1}{2^N} \left( \underbrace{1+1+1+\dots+1}_{\text{half}} \dots \underbrace{-1-1-1-\dots-1}_{\text{half}} \right) \right|^2 = 0_{//}$$

If we measure the outcome  $|y=0\rangle$ , then  $f(x)$  is CONSTANT  
any other measurement result means, that  $f(x)$  is BALANCED.

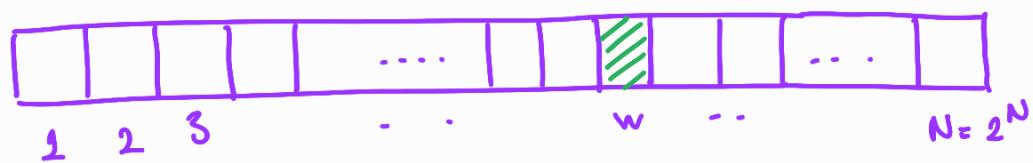
## ② Grover Search Algorithm

from Qiskit

### Search Problem

$$f(x) = \begin{cases} 1 & \text{for } x = x^* \\ 0 & \text{for } x \neq x^* \end{cases}$$

Find  $x^*$



Classical average  $\frac{N}{2} \rightarrow O(N)$

### Creating an Oracle

$$U_w |x\rangle = \begin{cases} |x\rangle & \text{if } x \neq w \\ -|x\rangle & \text{if } x = w \end{cases}$$

$$f(x) = \begin{cases} 0 & \text{when } x \neq w \\ 1 & \text{when } x = w \end{cases}$$

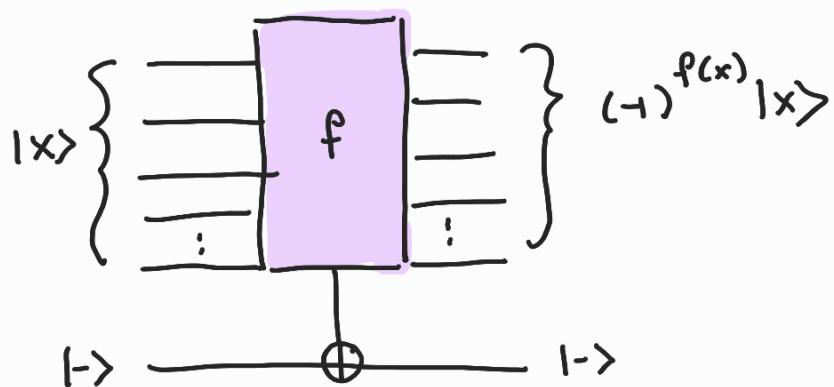
$$U_w = \begin{bmatrix} (-1)^{f(0)} & & & & & & & 0 \\ 0 & (-1)^{f(1)} & & & & & & 0 \\ \vdots & & \ddots & & & & & \vdots \\ 0 & 0 & \cdots & \cdots & (-1)^{f(2^k-1)} & & & \end{bmatrix}$$

diagonal matrix

$$|-\rangle \oplus |+\rangle$$

?

$$(|0\rangle - |1\rangle) \oplus |+\rangle = |1\rangle - |0\rangle = -|-\rangle = -|+\rangle$$



We will create example oracles where we know  $w$  beforehand, and not worry ourselves with whether these oracles are useful or not.

### Amplitude Amplification

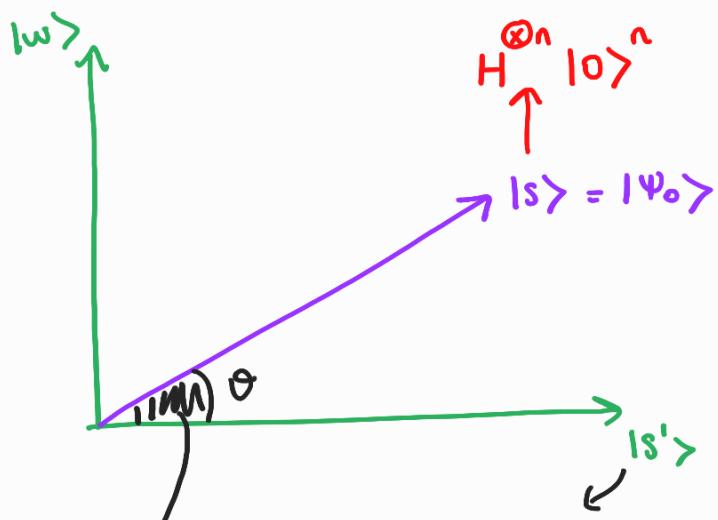
Before looking at the list of items, we have no idea where the marked item is.

$$|s\rangle = \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle$$

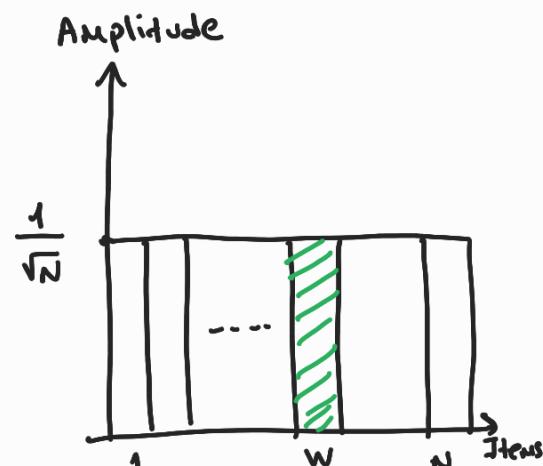
any guess

### Step 1

Amp. amplification process stretches out the amplitude of the marked item ( $w$ ) and shrinks the other item's amplitude.



$|s'\rangle$  is obtained from  $|s\rangle$  inverting  $w$  and rescaling afterwards



↓

$$|s\rangle = \cos\theta |s'\rangle + \sin\theta |w\rangle$$

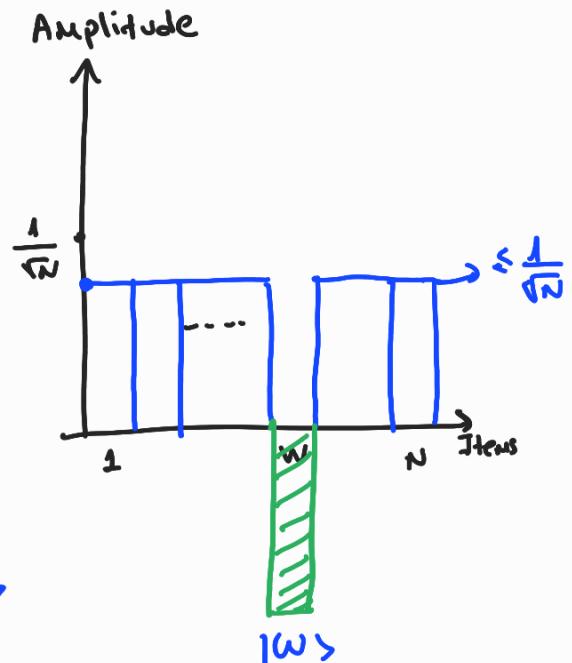
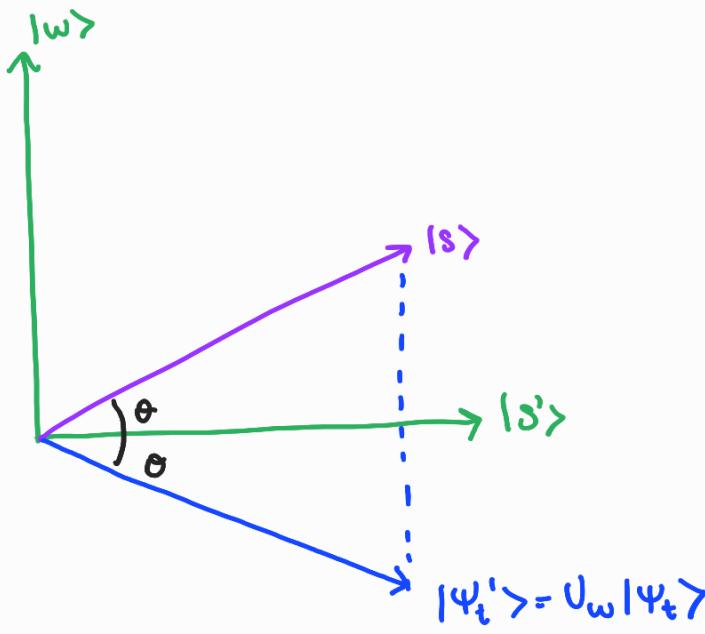
$$\langle s|w\rangle = \cancel{\cos\theta \langle s'|w\rangle} + \sin\theta \cancel{\langle w|w\rangle}$$

$$\arcsin \langle s|w\rangle = \theta$$

$$\arcsin \frac{1}{\sqrt{N}}$$

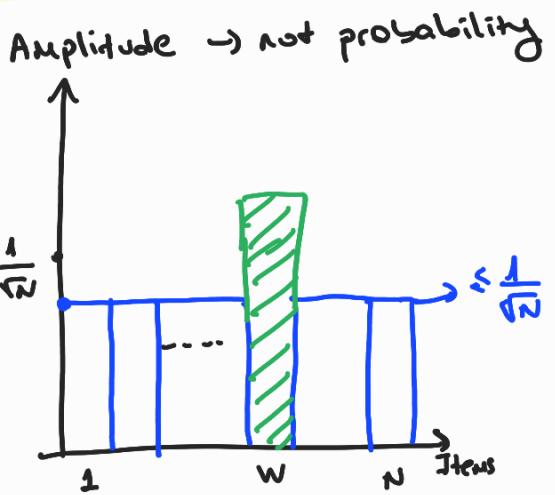
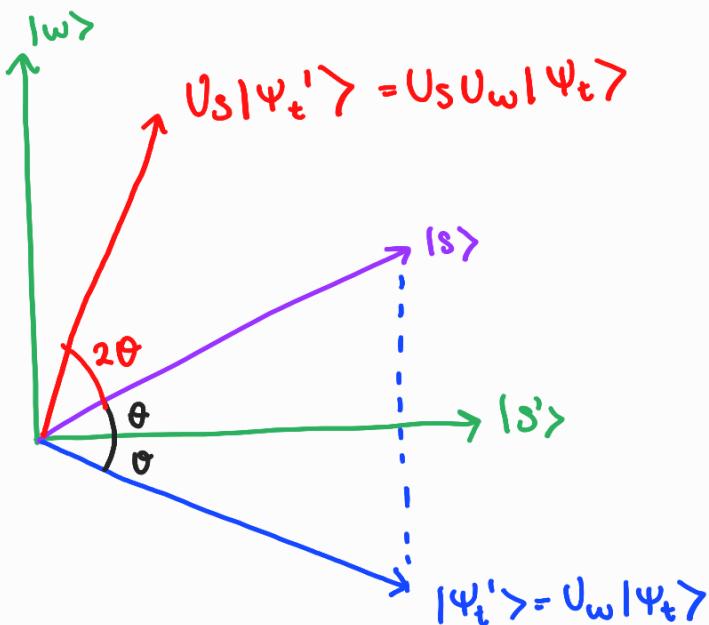
## Step 2

Oracle reflection Up to the  $|s\rangle$

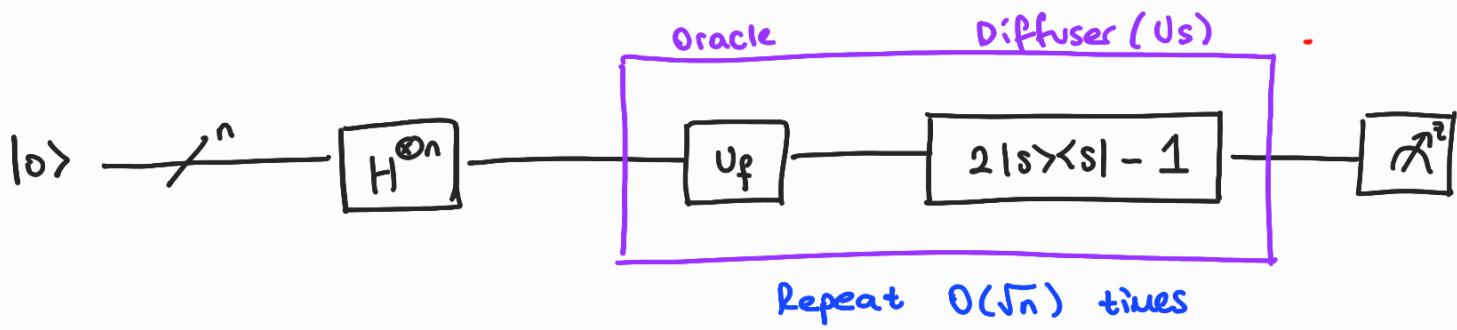


## Step 3

Apply an additional reflection  $U_s = 2|s\rangle\langle s| - 1$



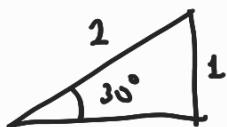
\* Two reflections always correspond to a rotation



Example: 2 Qubits

$N=4 \rightarrow$  realized with 2 qubits,  $|w\rangle = [3]$ ,  
for this case only 1 rotation is enough.

1.  $\theta = \arcsin \frac{1}{\sqrt{N}} = \arcsin \frac{1}{2} = \frac{\pi}{6}$ ,



2. After  $t$  steps

$$(U_s U_w)^t |s\rangle = \sin \theta_t |w\rangle + \cos \theta_t |s'\rangle$$

$$\theta_t = (2t+1)\theta$$

$|w\rangle \xrightarrow{\frac{\pi}{2}}$        $\downarrow$        $\underline{t=1} \xrightarrow{\frac{\pi}{6}}$

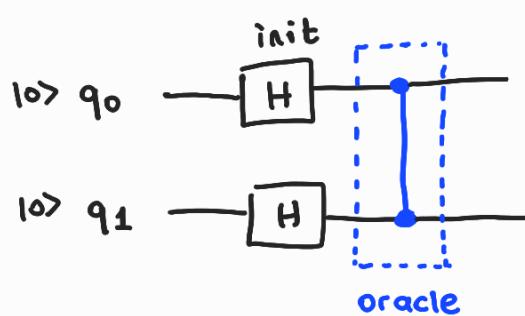
Oracle for  $|w\rangle = |11\rangle$

$$U_w |s\rangle = U_w \frac{1}{2} (|00\rangle + |01\rangle + |10\rangle + |11\rangle)$$

$$= \frac{1}{2} (|00\rangle + |01\rangle + |10\rangle - |11\rangle)$$



for this case it's  
controlled 2 gate



## Reflection Us

$U_S = 2|1s\rangle\langle 3s| - 1$ . Since this is a reflection about  $|1s\rangle$ , we want to add a negative phase to every state orthogonal to  $|1s\rangle$ .

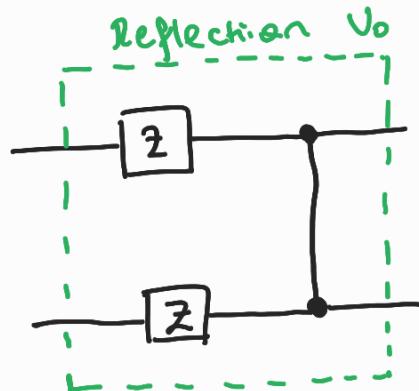
Initially all qubits were  $|0\rangle$

$$H^{\otimes n}|0\rangle = |1s\rangle$$

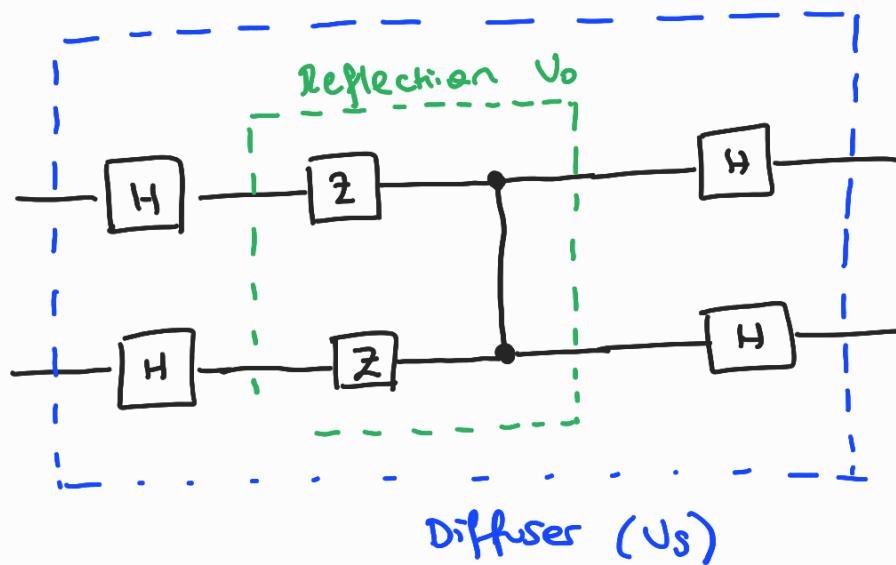
Again  $H^{\otimes n}|1s\rangle \rightarrow |0\rangle$

Then we apply a circuit that adds a negative phase to the states orthogonal to  $|0\rangle$ .

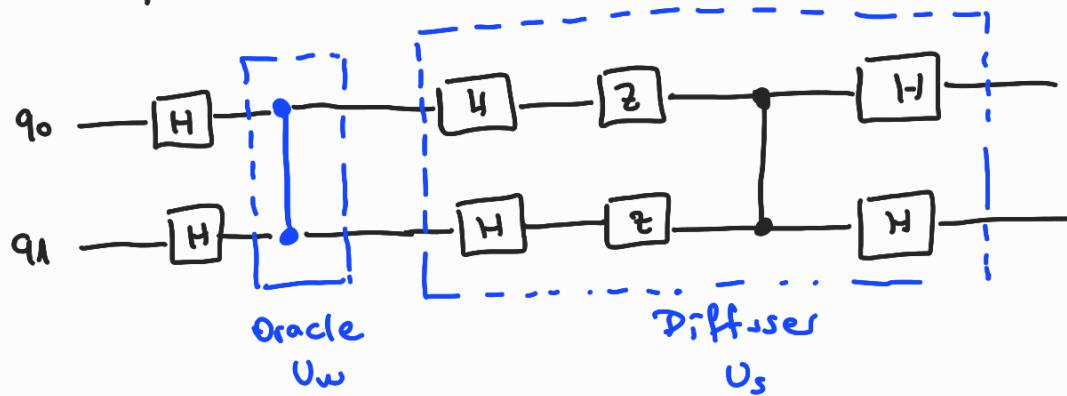
$$U_0 \frac{1}{2}(|100\rangle + |101\rangle + |110\rangle + |111\rangle) = \frac{1}{2}(|100\rangle - |101\rangle - |110\rangle - |111\rangle)$$



To return  $|1s\rangle$  we need to apply Hadamard again.



Full circuit for  $|w\rangle = |11\rangle$



This is the case for the 2 qubits, for other cases ( $2^n$ ) circuit is different.

### ③ Quantum Fourier Transform (QFT)

It is the quantum implementation of the discrete FT over the amplitudes of a wavefunction.

$$|X\rangle = \sum_{j=0}^{N-1} x_j |j\rangle \quad \xrightarrow{\text{amplitudes}} \quad |Y\rangle = \sum_{k=0}^{N-1} y_k |k\rangle$$

$$y_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} x_j \omega_N^{jk}$$

$\omega_N^{jk}$  is highlighted in yellow. A green arrow points from it to the formula  $e^{\frac{2\pi i j k}{N}}$ .

$$|j\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega_N^{jk} |k\rangle$$

$$U_{QFT} = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} \sum_{k=0}^{N-1} \omega_N^{jk} |k\rangle \langle j|$$

$$|\Psi\rangle = \sum_{j=0}^{N-1} a_j |j\rangle = \begin{pmatrix} a_0 \\ \vdots \\ a_{N-1} \end{pmatrix}$$

$$\text{DFT } |\Psi\rangle = \sum_{k=0}^{N-1} b_k |k\rangle = \begin{pmatrix} b_0 \\ \vdots \\ b_{N-1} \end{pmatrix}$$

$$b_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} a_j e^{2\pi i j k / N}$$

Example 2 qubits:

$$|\Psi\rangle = a_{00}|00\rangle + a_{01}|01\rangle + a_{10}|10\rangle + a_{11}|11\rangle$$

$$N=4 = 2^2$$

$$\rightarrow b_k = \frac{1}{2} \sum_{j=0}^3 a_j e^{2\pi i j k / 4}$$

$$b_0 = \frac{1}{2} \sum_{j=0}^3 a_j = \frac{1}{2} (a_{00} + a_{01} + a_{10} + a_{11})$$

$$b_1 = \frac{1}{2} (a_{00} + a_{01} e^{i\pi/2} + a_{10} e^{i\pi} + a_{11} e^{3i\pi/2})$$

$$b_2 = \frac{1}{2} (a_{00} + a_{01} e^{i\pi} + a_{10} e^{2i\pi} + a_{11} e^{8i\pi/2})$$

$$b_3 = \frac{1}{2} (a_{00} + a_{01} e^{i3\pi/2} + a_{10} e^{8i\pi/2} + a_{11} e^{9i\pi/2})$$

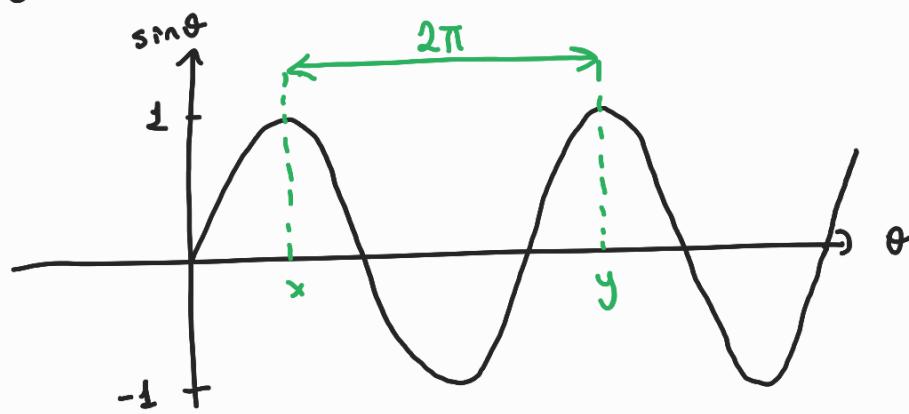
$|\text{State in Computational Basis}\rangle \xrightarrow{\text{QFT}} |\text{State in Fourier Basis}\rangle$

## Preliminaries for Shar's Algorithm

**Problem:** given a function that is periodic, find its period.

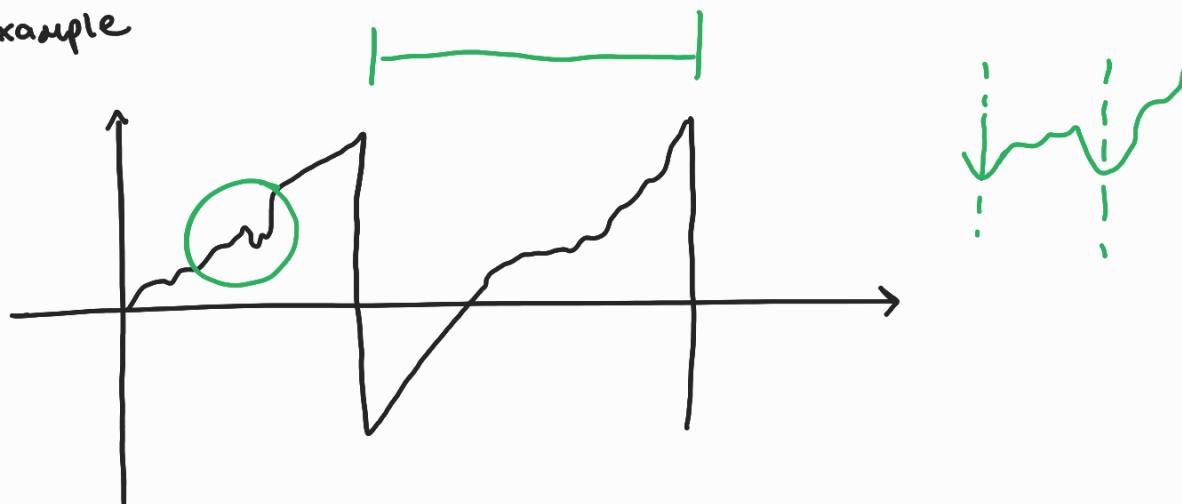
$$f(x) = f(y) \text{ for } x \neq y \text{ iff } |x-y| = kP \rightarrow \text{period}$$

easy example

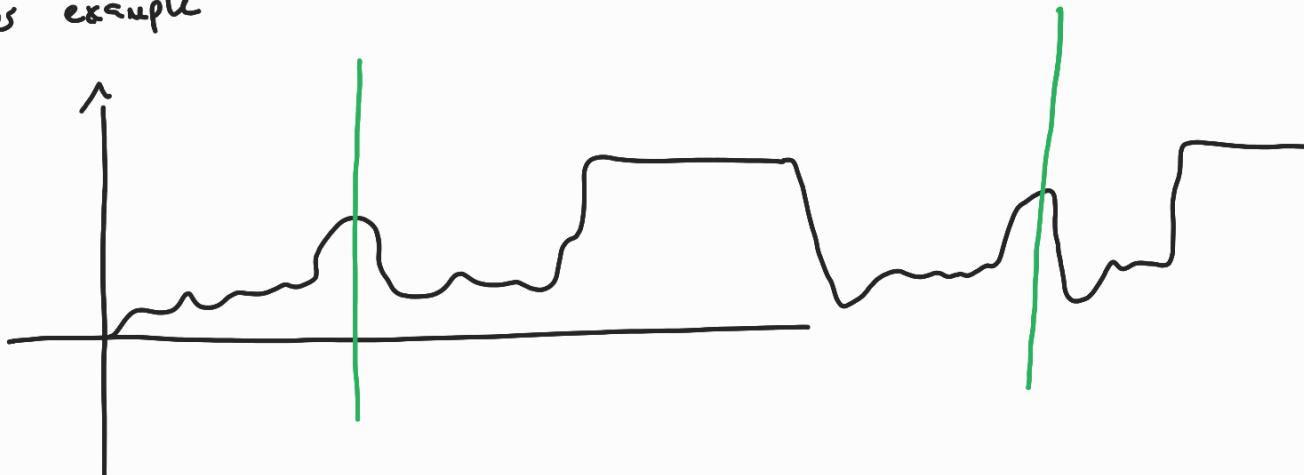


$$|x-y| = 2\pi k, k \in \mathbb{Z}$$

hard example



even harder example



classically:  $O(\exp c \underbrace{n^{1/3} (\log n)^{2/3}}_{\# \text{of bits needed to describe the period.}}) = e^{c n^{1/3} (\log n)^{2/3}}$

quantum: Shor's Algorithm  $O(n^2 \log \log(\log n))$   
 ↓  
 no exponential :)  
 little faster than  $O(n^3)$

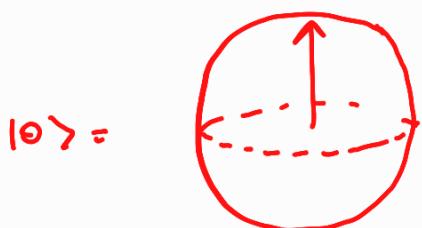
Reason why this works:  
 (1) Quantum Fourier Transform  
 (2) Modular exponentiation

The problem of factoring a number which is a product of two prime numbers is the basis for the security on our computers. → not easily accessible today.

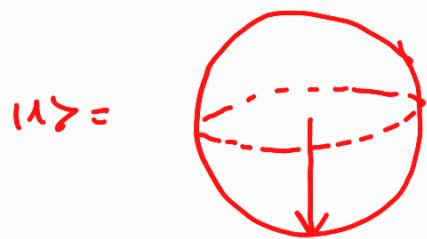
### Quantum Fourier Transform (QFT)

QFT is effectively a change of basis from the computational basis to the Fourier basis.

Ex. 1-qubit: Comp. basis states are  $\{|0\rangle, |1\rangle\}$   
 Fourier basis for one qubit  $\{|+\rangle, |-\rangle\}$

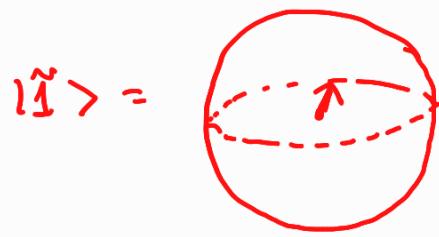


$$|0\rangle =$$



$$|1\rangle =$$

Hadamard Gate



Building the quantum circuit that applies QFT

(1) Show QFT rigorously

(2) Show circuit to implement QFT expression that comes from (1)

(1)  $n$  qubits

1 qubit:  $\{ \underbrace{|0\rangle, |1\rangle}_{\text{2 basis states}} \}$

2 qubits:  $\{ |00\rangle, |01\rangle, |10\rangle, |11\rangle \} \rightarrow 4 \text{ basis states}$

$\vdots$   
n qubits:  $2^n \text{ basis states} \rightarrow N = 2^n$

$$|x\rangle = \text{QFT}|x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{\frac{2\pi i x y}{N}} |y\rangle \quad \xrightarrow{\text{DFT}}$$

↴ Fourier basis      ↗ Comp. basis

Ex. 1 qubit case [ $N = 2^1 = 2$ ]

$$\text{QFT}|x\rangle = \frac{1}{\sqrt{2}} \sum_{y=0}^{2-1=1} e^{\frac{2\pi i x y}{2}} |y\rangle$$

$$= \frac{1}{\sqrt{2}} \left[ e^{\frac{2\pi i x \cdot 0}{2}} |0\rangle + e^{\frac{2\pi i x \cdot 1}{2}} |1\rangle \right]$$

$$\text{QFT}|x\rangle = \frac{1}{\sqrt{2}} [ |0\rangle + e^{i\pi x} |1\rangle ]$$

$$\text{QFT}|0\rangle = \frac{1}{\sqrt{2}} (|0\rangle + e^{i\pi \cdot 0} |1\rangle) = |+\rangle \quad \text{QFT}|1\rangle = |- \rangle$$

$$|x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i xy/N} |y\rangle \quad j \ N=2^n; \quad y = [y_1, y_2, \dots, y_n]$$

$$y = 2^{n-1}y_1 + 2^{n-2}y_2 + \dots + 2^0 y_n$$

$$y \cdot 3 = [11] = 2 \cdot 1 + 2^0 \cdot 1 = 2 + 1 = 3$$

let's write  $y = \sum_{k=1}^n y_k 2^{n-k}$

$$|x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{\frac{2\pi i x \sum_{k=1}^n y_k 2^{n-k}}{N}} |y_1, y_2, \dots, y_n\rangle$$

$$= \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} \prod_{k=1}^n e^{\frac{2\pi i x y_k}{2^k}} |y_1, y_2, \dots, y_n\rangle$$

$$\underbrace{\sum_{y_1=0}}_{\downarrow} \underbrace{\sum_{y_2=0}}_{\downarrow} \dots \underbrace{\sum_{y_n=0}}_{\downarrow}$$

$$= \frac{1}{\sqrt{N}} \left( \sum_{y_1=0}^1 e^{\frac{2\pi i x y_1}{2^1}} |y_1\rangle \otimes \sum_{y_2=0}^1 e^{\frac{2\pi i x y_2}{2^2}} |y_2\rangle \otimes \dots \right)$$

$$|x\rangle = \frac{1}{\sqrt{N}} (|0\rangle + e^{\frac{2\pi i x}{2^1}} |1\rangle) \otimes (|0\rangle + e^{\frac{2\pi i x}{2^2}} |1\rangle) \otimes (|0\rangle + e^{\frac{2\pi i x}{2^3}} |1\rangle) \otimes \dots \otimes (|0\rangle + e^{\frac{2\pi i x}{2^n}} |1\rangle)$$

$$|x\rangle = |x_1 x_2 x_3 \dots x_n\rangle = |x_1\rangle \otimes |x_2\rangle \otimes |x_3\rangle \dots \otimes |x_n\rangle$$

$$|x\rangle = \frac{1}{\sqrt{N}} (|0\rangle + e^{\frac{2\pi i x}{2^1}} |1\rangle) \otimes (|0\rangle + e^{\frac{2\pi i x}{2^2}} |1\rangle) \otimes \dots \otimes (|0\rangle + e^{\frac{2\pi i x}{2^n}} |1\rangle)$$

QFT

Example:  $n=3$  qubits,  $N=2^3=8$

$$|x\rangle = |5\rangle = |101\rangle$$

$$\text{QFT}|x\rangle = |5\rangle = \frac{1}{\sqrt{8}} \left( |0\rangle + e^{\frac{2\pi i \cdot 5}{2^1}} |1\rangle \right) \otimes \left( |0\rangle + e^{\frac{2\pi i \cdot 5}{2^2}} |1\rangle \right) \otimes \left( |0\rangle + e^{\frac{2\pi i \cdot 5}{2^3}} |1\rangle \right)$$

## (2) The Quantum Circuit that implements QFT

$$|x\rangle = \frac{1}{\sqrt{N}} \left( |0\rangle + e^{\frac{2\pi i x}{2^1}} |1\rangle \right) \otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^2}} |1\rangle \right) \otimes \dots \otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^n}} |1\rangle \right)$$

each qubit  $|x_k\rangle$  to  $|0\rangle + e^{\frac{2\pi i x}{2^k}} |1\rangle$

Two observations

(1)  $|5\rangle$  contains terms like

$ 00\dots0\rangle$	$e^{2\pi i x/2^n}$	$ 00\dots1\rangle$
$e^{2\pi i x/2^{n-1}}$	$ 00\dots10\rangle$	

(2)  $e^{\frac{2\pi i x}{2^1}} + e^{\frac{2\pi i x}{2^2}} + \dots + e^{\frac{2\pi i x}{2^n}} |11\dots1\rangle$

$$= e^{2\pi i \left[ \frac{x}{2^1} + \frac{x}{2^2} + \dots + \frac{x}{2^n} \right]}$$

Hints:

- phase is qubit-dependent
- need to add up more components with more "1"s

Two ingredients

(1) Hadamard

$$H|x_k\rangle = \begin{cases} \frac{|0\rangle + |1\rangle}{\sqrt{2}} & x_k=0 \\ \frac{|0\rangle - |1\rangle}{\sqrt{2}} & x_k=1 \end{cases}$$

$$= \left( |0\rangle + e^{\frac{2\pi i x_k}{2}} |1\rangle \right) / \sqrt{2}$$

## (2) UROT

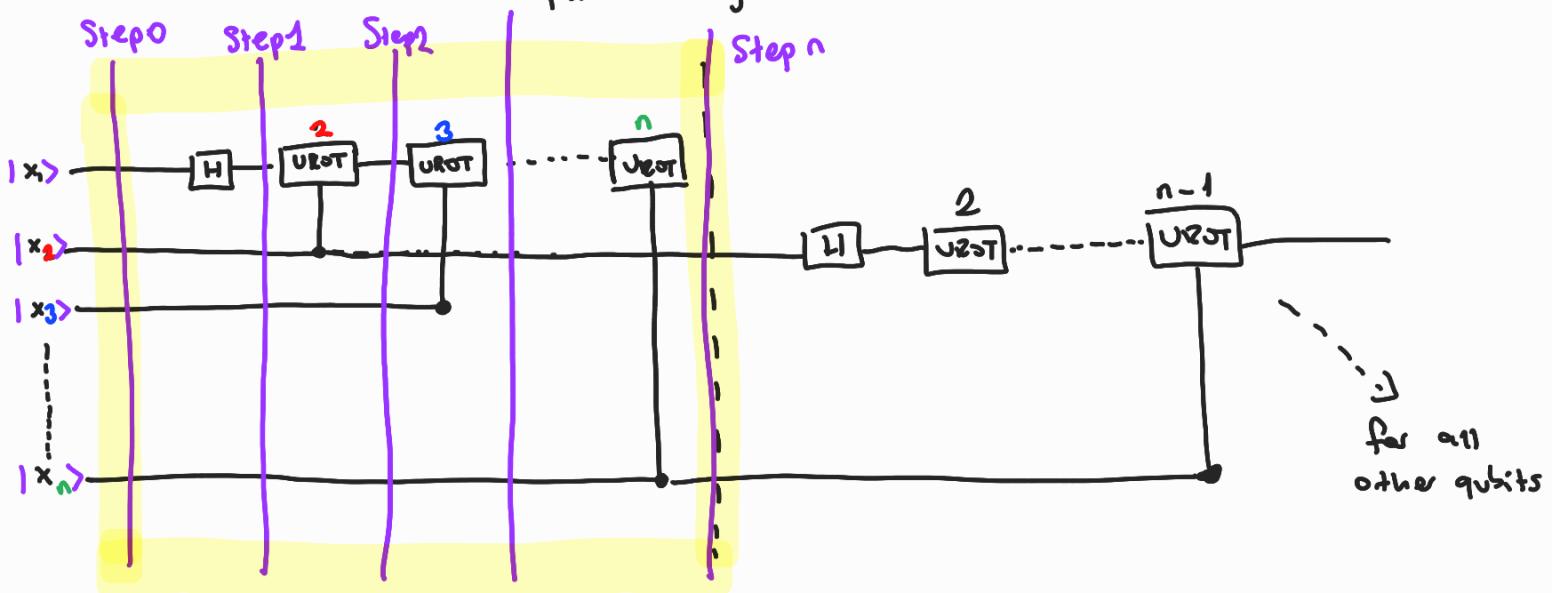
$$UROT_k |x_j\rangle = e^{\frac{2\pi i}{2^k} x_j} |x_j\rangle$$

$$\begin{aligned} x_j=0 \Rightarrow & e^{2\pi i \cdot 0 / 2^k} |x_j\rangle = |0\rangle \\ x_j=1 \rightarrow & e^{2\pi i \cdot 1 / 2^k} |1\rangle \end{aligned}$$

$$= \begin{bmatrix} 1 & 0 \\ 0 & e^{\frac{2\pi i}{2^k}} \end{bmatrix} \quad \text{apply phase } e^{\frac{2\pi i}{2^k}} \text{ for state } |1\rangle$$

$\swarrow$

$f_{j,k}$  a single qubit



Step 0:  $|x_1 x_2 \dots x_n\rangle$

$$\text{Step 1: } [|0\rangle + e^{\frac{2\pi i}{2^2} x_1} |1\rangle] \otimes |x_2 \dots x_n\rangle$$

$$\text{Step 2: } [|0\rangle + e^{\frac{2\pi i}{2^3} x_2} e^{\frac{2\pi i}{2^2} x_1} |1\rangle] \otimes |x_3 \dots x_n\rangle$$

$$\text{Step 3: } [|0\rangle + e^{\frac{2\pi i}{2^4} x_3} e^{\frac{2\pi i}{2^3} x_2} e^{\frac{2\pi i}{2^2} x_1} |1\rangle] \otimes |x_4 \dots x_n\rangle$$

$$\vdots$$

$$\text{Step } n: [|0\rangle + e^{\frac{2\pi i}{2^n} x_n} e^{\frac{2\pi i}{2^{n-1}} x_{n-1}} \dots e^{\frac{2\pi i}{2^2} x_1} |1\rangle] \otimes |x_2 \dots x_n\rangle$$

This circuit implements QFT (except in reverse order of qubits)  
at output

# Quantum Phase Estimation

Problem: Remember that a unitary matrix has eigenvalues of the form  $e^{i\theta}$  and that it has eigenvectors that form an orthonormal basis.

$$U |\Psi\rangle = e^{i\theta_\Psi} |\Psi\rangle$$

Can we extract  $\theta_\Psi$  given the ability to prepare  $|\Psi\rangle$  and the ability to apply  $U$ ?

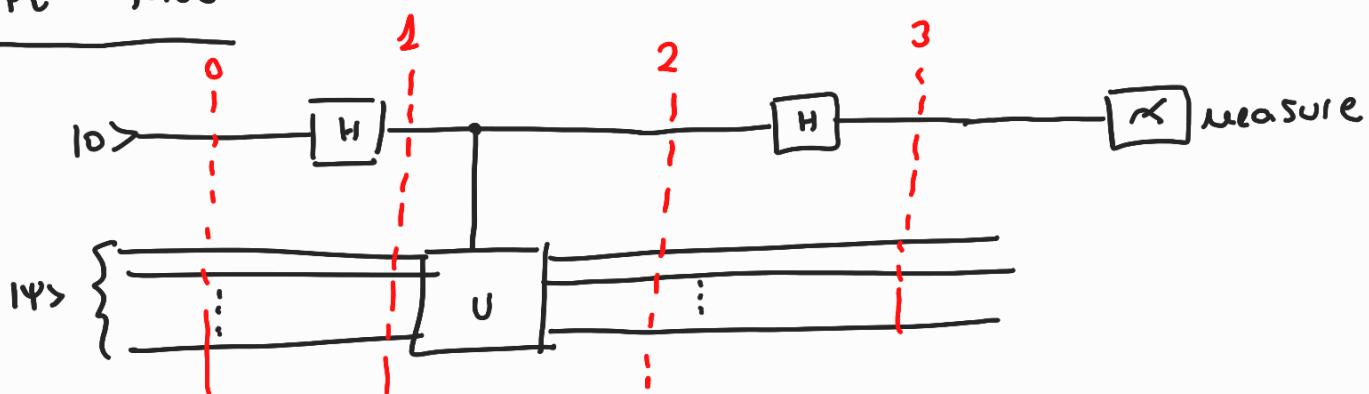
$$\frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) \begin{cases} 0 \text{ with pr. } 1/2 \\ 1 \text{ with pr. } 1/2 \end{cases}$$

$$e^{i\pi/2} \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) \begin{cases} 0 \\ 1/2 \\ 1/2 \end{cases}$$

Solution: Yes, use QPE.

Why do we care? Hamiltonian evolution (time evolution of real system) is unitary.

QPE trick



Step 0:  $|10\rangle |11\rangle$

Step 1:  $\frac{1}{\sqrt{2}}(|10\rangle + |11\rangle)|\psi\rangle = \frac{1}{\sqrt{2}}(|10\rangle|\psi\rangle + |11\rangle|\psi\rangle)$

Step 2:  $\frac{1}{\sqrt{2}}\left(|10\rangle|\psi\rangle + |11\rangle e^{i\theta_4}|\psi\rangle\right)$

Step 3:  $\frac{1}{\sqrt{2}}\left(\left(\frac{|10\rangle + |11\rangle}{\sqrt{2}}\right)|\psi\rangle + e^{i\theta_4}\left(\frac{|10\rangle - |11\rangle}{\sqrt{2}}\right)|\psi\rangle\right)$

$$= \frac{1}{2} \left[ |10\rangle(1 + e^{i\theta_4}) + |11\rangle(1 - e^{i\theta_4}) \right] |\psi\rangle$$

Prob measuring  $|10\rangle \rightarrow \left| \frac{1}{2}(1 + e^{i\theta_4}) \right|^2$

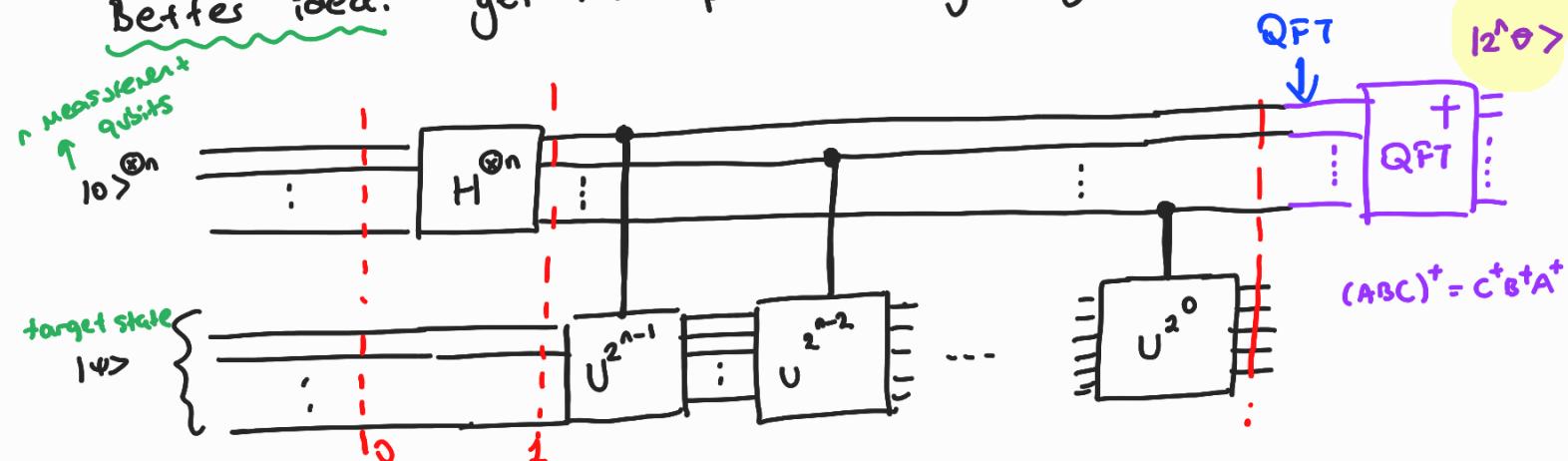
$$|11\rangle \rightarrow \left| \frac{1}{2}(1 - e^{i\theta_4}) \right|^2$$

Ex  $\theta_4 = 1^\circ$  prob(0), prob(1) =  $\{0.9999, 7.6 \times 10^{-5}\}$

$\theta_4 = 10^\circ$  prob(0), prob(1) =  $\{0.9924, 0.007596\}$

This experiment uses 1 qubit to measure  $\theta_4$

Better idea: get more precision by using more qubits



Step 0:  $|0\rangle^{\otimes n} |1\rangle$

Step 1:  $\left(\frac{1}{\sqrt{2}}\right)^n (|0\rangle + |1\rangle)^{\otimes n} |1\rangle$

$$U^{2^n} |\Psi\rangle = U^{2^{n-1}} U |\Psi\rangle = \underbrace{U}_{e^{i\theta_4}}^{2^{n-1}} e^{i\theta_4} |\Psi\rangle = e^{i\theta_4} e^{i\theta_4} U^{2^{n-2}} |\Psi\rangle \dots$$

Step final:  $\left(\frac{1}{\sqrt{2}}\right)^n (|0\rangle + e^{i\theta_4 2^{n-1}} |1\rangle)$

$$\otimes (|0\rangle + e^{i\theta_4 2^{n-2}} |1\rangle) \otimes \dots$$

$$\otimes (|0\rangle + e^{i\theta_4 2^0} |1\rangle)$$

$$|\tilde{\chi}\rangle = \frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i x}{2^0}} |1\rangle \right)$$

$$\otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^1}} |1\rangle \right) \dots$$

$$\otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^n}} |1\rangle \right)$$

QPE is same as QFT except  $\theta_4 = \frac{2\pi}{2^n} \theta_4$

④ Shor's Algorithm: factoring to period-finding and using QPE  
(and QFT) for factoring

Problem : factoring a number

$N = p \cdot q$  where  $p$  and  $q$  are prime and big.

Classically :  $O(\exp [c \cdot n^{1/3} (\log n)^{2/3}])$

Shor's algorithm:  $O(n^3)$   
↙ no exp.! 😊

Quick primer on modular arithmetic

$$5 \equiv 2 \pmod{3}$$

$$\begin{array}{cccccccccc} x & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ & & & & & & & & & \\ x & 1 & 2 & 0 & 1 & 2 & 0 & 1 & 2 & 0 \end{array} \pmod{3}$$

$$x \equiv 0 \pmod{3} \Rightarrow x = 3k$$

$$x \equiv 1 \pmod{3} \Rightarrow x = 3k + 1$$

generally  $x \equiv y \pmod{3} \Rightarrow x = 3k + y$  for some  $k \in \mathbb{Z}$

Notice the periodicity of modular arithmetic

$$x \equiv y \pmod{N} \text{ means } y \in \{0, \dots, N-1\}$$

$$x \equiv y \pmod{3} \text{ means } y \in \{0, 1, 2\}$$

# Protocol for Shor's Algorithm

$$N=pq$$

- (1) pick a number "a" coprime with N
- (2) find the order " $r$ " of the function  $a^r \pmod{N}$

↓  
smallest  $r$  such that  $a^r \equiv 1 \pmod{N}$

- (3) if  $r$  is even: good news :)

new variable  $\leftarrow x \equiv a^{r/2} \pmod{N}$

if  $x+1 \not\equiv 0 \pmod{N}$  → good news !!  
 $\{p, q\}$ , contained in  $\{ \gcd(x+1, N), \gcd(x-1, N) \}$   
 at least one

else: find another "a"

Ex. Concrete example  $N=15$

$15 = [1111]$  four bits

- (1) pick a number that is coprime with 15

pick 13 →  $a=13$

- (2) find the period of  $13^r \pmod{15}$

$x = 0, 1, 2, 3, 4, 5, 6, 7, \dots$

$13^x \pmod{15} = \begin{matrix} 1 \\ 13 \\ 4 \\ 7 \\ 1 \\ 13 \\ 4 \\ 7 \end{matrix} \circlearrowright$

$r = \text{smallest } \# \text{ s.t. } a^r \equiv 1 \pmod{N} \Rightarrow r=4$

$$(3) x = a^{r/2} \pmod{N} = 13^{4/2} \pmod{15} = 4$$

$$x+1 = 4+1 = 5 \pmod{15}$$

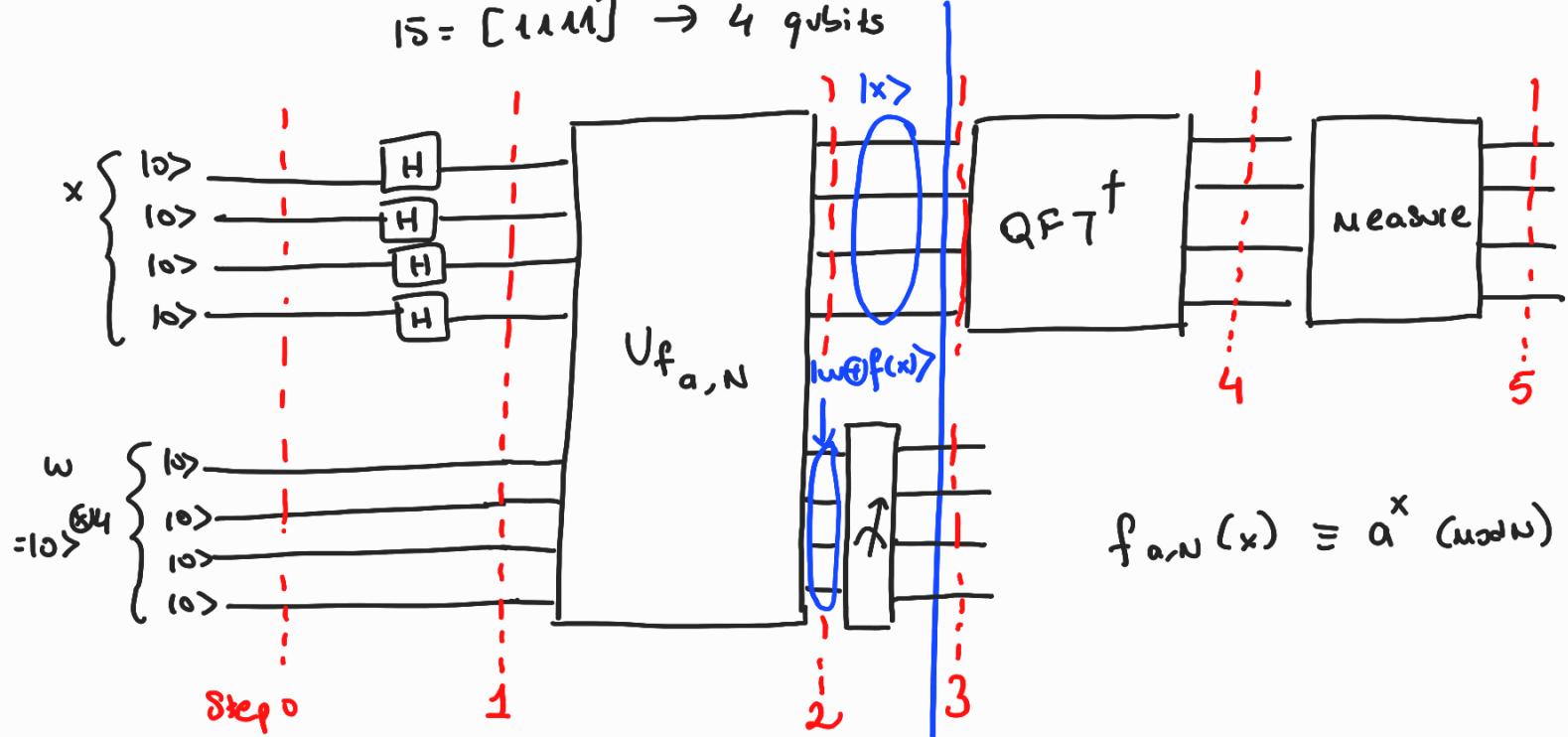
$$\gcd(x+1, N) = \gcd(5, 15) = 5$$

$$\gcd(x-1, N) = \gcd(3, 15) = 3$$

$$\{p, q\} = \{5, 3\}$$

Quantum circuit for factoring  $N = pq$   $N = 15$

$$15 = [1111] \rightarrow 4 \text{ qubits}$$



$$|x\rangle |w\rangle \longrightarrow |x\rangle |w \oplus f_{a,N}(x)\rangle$$

$$\text{Step 0: } |0\rangle_x^{\otimes 4} |0\rangle_w^{\otimes 4}$$

$$\text{Step 1: } [H^{\otimes 4}|0\rangle] |0\rangle^{\otimes 4} = \frac{1}{4} [ |0\rangle_4 + |1\rangle_4 + |2\rangle_4 + \dots + |15\rangle_4 ] |0\rangle^{\otimes 4}$$

$$\text{Step 2: } \frac{1}{4} [ |0\rangle_4 |0 \oplus \underbrace{|13^0 \pmod{15}\rangle}_{{13^0 \pmod{15}}} + |1\rangle_4 |0 \oplus \underbrace{|13^1 \pmod{15}\rangle}_{{13^1 \pmod{15}}} + \dots ]$$

$$= \frac{1}{4} [ |0\rangle_4 |13^0 \pmod{15}\rangle_4 + |1\rangle_4 |13^1 \pmod{15}\rangle_4 + |2\rangle_4 |13^2 \pmod{15}\rangle_4 + \dots ]$$

$$= \frac{1}{4} [ \underbrace{|0\rangle_4 |1\rangle_4}_{x} + \underbrace{|1\rangle_4 |13\rangle_4}_{w} + |2\rangle_4 |14\rangle_4 + |3\rangle_4 |17\rangle_4 + |4\rangle_4 |11\rangle_4 + |5\rangle_4 |15\rangle_4 + |6\rangle_4 |16\rangle_4 + |7\rangle_4 |17\rangle_4 + |8\rangle_4 |12\rangle_4 + |9\rangle_4 |13\rangle_4 + |10\rangle_4 |14\rangle_4 + |11\rangle_4 |17\rangle_4 + |12\rangle_4 |11\rangle_4 - |13\rangle_4 |13\rangle_4 - |14\rangle_4 |14\rangle_4 - |15\rangle_4 |17\rangle_4 ]$$

Step 3: Measure the "w" register  $\rightarrow \{1, 13, 4, 7\}$

Say we measure "7"  $\rightarrow x \rightarrow \{3, 7, 11, 15\}$

$$|x\rangle|w\rangle = \frac{1}{2} [ |3\rangle_4 + |7\rangle_4 + |11\rangle_4 + |15\rangle_4 ] \otimes |7\rangle_4$$

↓  
Normalization changed!

Step 4: apply QFT<sup>†</sup> on the |x> register

$$\text{QFT } |x\rangle = |\tilde{x}\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{\frac{2\pi i}{N} xy} |y\rangle$$

QFT<sup>†</sup>  $|\tilde{x}\rangle = |x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{-\frac{2\pi i}{N} xy} |y\rangle$

complex conjugate

$$\text{QFT}^+ |3\rangle_4 = \frac{1}{\sqrt{16}} \sum_{y=0}^{15} e^{-\frac{2\pi i \cdot 3y}{16}} |y\rangle$$

$$\text{QFT}^+ |7\rangle_4 = \frac{1}{\sqrt{16}} \sum_{y=0}^{15} e^{-\frac{2\pi i \cdot 7y}{16}} |y\rangle$$

$$\text{QFT}^+ |11\rangle_4 = \frac{1}{\sqrt{16}} \sum_{y=0}^{15} e^{-\frac{2\pi i \cdot 11y}{16}} |y\rangle$$

$$\text{QFT}^+ |15\rangle_4 = \frac{1}{\sqrt{16}} \sum_{y=0}^{15} e^{-\frac{2\pi i \cdot 15y}{16}} |y\rangle$$

$$\text{QFT}^+ |x\rangle = \frac{1}{8} \sum_{y=0}^{15} \left[ e^{-\frac{i\pi}{8} y} + e^{-\frac{3\pi}{8} y} - e^{-\frac{7\pi}{8} y} + e^{-\frac{11\pi}{8} y} \right] |y\rangle$$

$\cos\left(\frac{3\pi}{8}\right) - i\sin\left(\frac{3\pi}{8}\right)$

$$\downarrow = \frac{1}{8} \left[ 4|10\rangle_4 + 4|14\rangle_4 - 4|18\rangle_4 - 4|12\rangle_4 \right]$$

↑  
survived terms

12 terms were vanished :)

Step 5: Measure  $|x\rangle$  register  $\rightarrow \underbrace{0}_4, \underbrace{4}_4, \underbrace{8}_4, \underbrace{12}_4$  with equal probability

Remaining steps on classical post-processing

Analyze what happens for each outcome:

Measurement results peak near  $j \frac{N}{r}$  for some integer  $j \in \mathbb{Z}$

period that we're looking for

Ex Measure  $|14\rangle_4$   $j \frac{16}{r} = 4 \Rightarrow$  True if  $j=1, r=4$

$r=4$  ?



(1) is  $r$  even? yes

$$x = a^{\frac{r}{2}} \pmod{N} = 13^{\frac{4}{2}} \pmod{15} = 4$$

$$x+1 = 5 \quad \gcd(x+1, N) = 5$$

$$x-1 = 3 \quad \gcd(x-1, N) = 3$$

$r=8$  ?

$$j \frac{16}{r} = 8 \quad j=1, r=2 \quad \text{or} \quad j=2, r=4$$

$$x = 13^{\frac{2}{2}} \pmod{15} = 13$$

$$\begin{aligned} x+1 &= 14 & \gcd(14, 15) &= 2 \\ x-1 &= 12 & \gcd(12, 15) &= 3 \end{aligned} \quad \left. \right\} \text{partial solution}$$

$r=12?$

$$j \frac{16}{r} = 12 \quad j=3, r=4 \quad \checkmark$$

Final Result: QC told us  $107_4, 147_4, 187_4, 1127_4$

$\downarrow$  try again       $\downarrow$        $\downarrow$        $\downarrow$

$3,5$        $3,5$        $3,5$        $3,5$

3/4 results work here and

we are able to extract it

How to implement  $f_{a,N}$

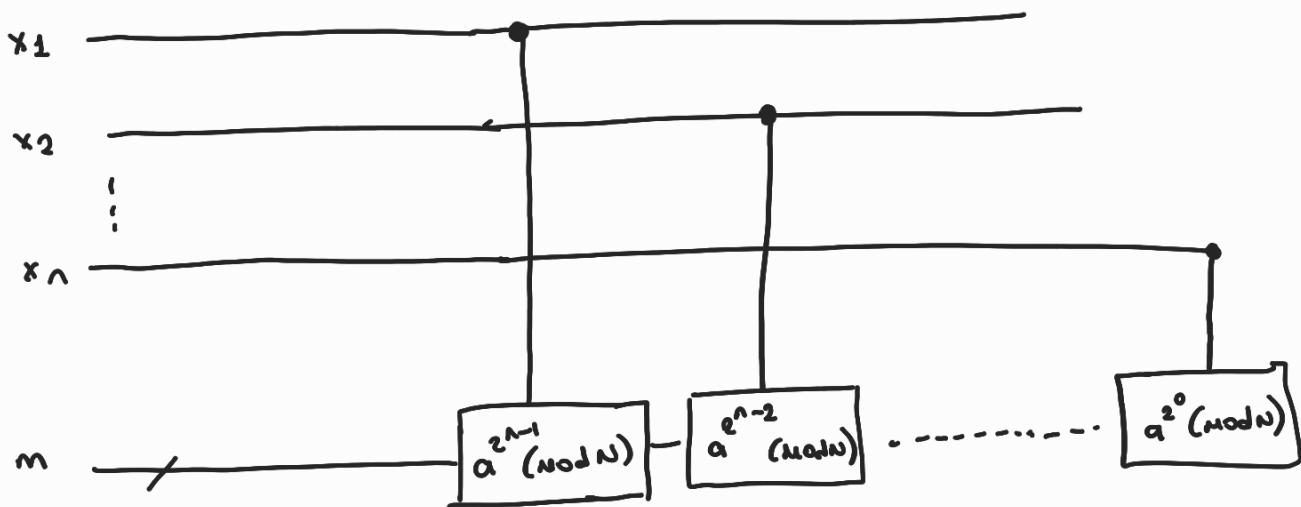
$$\text{Recall: } f_{a,N}(x) = a^x \pmod{N}$$

$$x = [x_1, x_2, \dots, x_n] = 2^{n-1}x_1 + 2^{n-2}x_2 + \dots + 2^0x_n$$

$$f_{a,N}(x) = a^x \pmod{N}$$

$$= a^{2^{n-1}x_1 + 2^{n-2}x_2 + \dots + 2^0x_n} \pmod{N}$$

$$= a^{2^{n-1}x_1} \cdot a^{2^{n-2}x_2} \cdots a^{2^0x_n} \pmod{N}$$



Shor's Algorithm is QPE in disguise

$$U^{2^x} = a^{2^x} \pmod{N}$$

- \* Take a look at the Qiskit textbook chapter on Shor's algorithm to see how modular exponentiation is implemented using gates.