

## TWO LEVEL SYSTEMS

### 1- State and Operators

- Hilbert Space  $\mathcal{H} = \text{Span} \{ |\uparrow\rangle, |\downarrow\rangle \}$ ,  $|g\rangle$ ,  $|e\rangle$  or  $|0\rangle, |1\rangle$

State  $|\psi\rangle = \alpha|\uparrow\rangle + \beta|\downarrow\rangle$  with  $|\alpha|^2 + |\beta|^2 = 1$

One possible parametrization:  $|\psi\rangle = \cos\theta/2 |\uparrow\rangle + e^{i\phi} \sin\theta/2 |\downarrow\rangle$

- Hermitian operators on  $\mathcal{H}$ : spanned by  $\hat{\mathbb{I}}, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z$

$$\hat{\mathbb{I}} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \hat{\sigma}_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \hat{\sigma}_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \hat{\sigma}_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\hat{\sigma}_+ = |\uparrow\rangle\langle\downarrow| = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad \hat{\sigma}_- = |\downarrow\rangle\langle\uparrow| = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\hat{\sigma}_+ = \frac{\hat{\sigma}_x + i\hat{\sigma}_y}{2} \quad \hat{\sigma}_- = \frac{\hat{\sigma}_x - i\hat{\sigma}_y}{2}$$

Properties of Pauli Matrices:  $\text{Tr } \hat{\sigma}_i = 0$        $i = x, y, z$

Commutation  
 $\{ \hat{\sigma}_i, \hat{\sigma}_j \} = 2i\epsilon_{ijk} \hat{\mathbb{I}} \implies \hat{\sigma}_i^2 = \hat{\mathbb{I}}$

$$[\hat{\sigma}_x, \hat{\sigma}_y] = 2i\hat{\sigma}_z + \text{circ permutations}$$

Notation:  
 $\vec{\hat{\sigma}} = \begin{pmatrix} \hat{\sigma}_x \\ \hat{\sigma}_y \\ \hat{\sigma}_z \end{pmatrix}$

Representation of operators :  $\hat{O} = \frac{1}{2} \left\{ \mathbb{I} \text{Tr}(\hat{O}) + \sum_i \text{Tr}(\hat{O}\hat{\sigma}_i) \cdot \hat{\sigma}_i \right\}$

Think about  $A = \sum_i \langle A, u_i \rangle u_i$

$$\hat{O} = \frac{1}{2} \left\{ \mathbb{I} \text{Tr}(\hat{O}) + \vec{n} \cdot \vec{\sigma} \right\} \quad \text{where } \vec{n} = \begin{pmatrix} n_{ox} \\ n_{oy} \\ n_{oz} \end{pmatrix}$$

## 2. Bloch Sphere

### 1) Density Matrix

$$\hat{\rho} : \text{Tr}(\hat{\rho}) = 1 \quad \text{and} \quad \hat{\rho} = \frac{1}{2} \left\{ \mathbb{I} + \vec{n} \cdot \vec{\sigma} \right\}$$

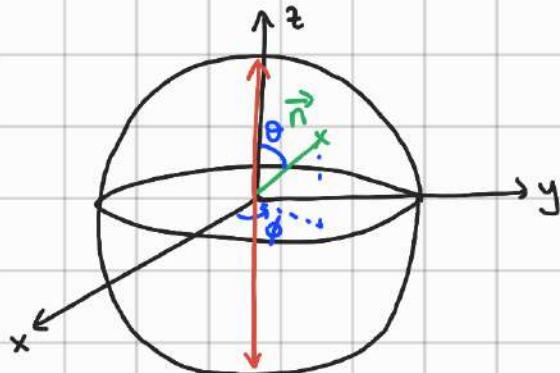
Bloch vector

Pure State

$$\text{Tr}(\hat{\rho}^2) \leq 1 \quad \text{with} \quad \text{Tr}(\hat{\rho}^2) = 1 \Rightarrow \hat{\rho} = |\psi\rangle\langle\psi|$$

$$\text{Tr}(\hat{\rho}^2) = \text{Tr} \left\{ \frac{1}{4} (\mathbb{I} + \vec{n} \cdot \vec{\sigma})(\mathbb{I} + \vec{n} \cdot \vec{\sigma}) \right\} = \frac{1 + \|\vec{n}\|^2}{2}$$

Pure States are characterized by  $\|\vec{n}\|^2 = 1$



$$\vec{n} = \|\vec{n}\| \begin{pmatrix} \sin\theta \cos\phi \\ \sin\theta \sin\phi \\ \cos\theta \end{pmatrix}$$

for pure states  $\|\vec{n}\| = 1$

$$|\Psi\rangle = \cos\frac{\theta}{2} |\uparrow\rangle + e^{i\phi} \sin\frac{\theta}{2} |\downarrow\rangle$$

↗ Hilbert-Schmidt Product

$$\hat{\rho} = |\Psi\rangle\langle\Psi| \quad \text{Tr}(\hat{\rho}) = \dots$$

Example: .  $|\uparrow\rangle$  : north pole for  $\theta=0$

.  $|\downarrow\rangle$  : South pole for  $\theta=2\pi$

. Equal weight superposition of  $|\uparrow\rangle$  and  $|\downarrow\rangle$  :  $|\Psi\rangle = \frac{1}{\sqrt{2}} (|\uparrow\rangle + e^{i\phi} |\downarrow\rangle)$   
 "equator of the BS"

. Equal weight incoherent mixture:  $\hat{\rho} = \frac{1}{2} |\uparrow\rangle\langle\uparrow| + \frac{1}{2} |\downarrow\rangle\langle\downarrow| \rightarrow \text{center of the sphere}$

. General incoherent mixture :  $\hat{\rho} = p_e |\uparrow\rangle\langle\uparrow| + (1-p_e) |\downarrow\rangle\langle\downarrow|$

$$\hat{\rho} = \hat{\mathbb{I}} + p_e \hat{\sigma}_z$$

## 2) Hamiltonian

$$\hat{H} = \hat{\mathbb{I}} - \vec{h} \cdot \vec{\hat{\sigma}}$$

Ex: spin 1/2 in a  $\vec{B}$  field,  $\hat{H} = -\vec{\hat{\rho}} \cdot \vec{B}$   $\vec{\hat{\rho}} = \mu \vec{\hat{\sigma}}$

Dynamics:

$$i\hbar \frac{d\hat{\rho}}{dt} = [\hat{H}, \hat{\rho}]$$

{ Remark, don't mix this with Heisenberg picture  $i\hbar \partial_t \hat{O} = [\hat{O}, \hat{H}]$ } ↗ density matrix is fixed state

Look similar but different physical meaning

$\hat{E}_0$  does not generate any dynamics

$$( \hat{\rho}(t) = \frac{1}{2} (\mathbb{I} + \vec{a}(t) \vec{\hat{\sigma}}) )$$



$$\hat{p}(+) = \frac{1}{2} (\mathbb{I} + \vec{a}(+) \cdot \vec{\sigma})$$

Block vector

$$i\hbar \dot{\hat{p}} = [\hat{H}, \hat{p}] = [\mathbb{I} - \vec{h} \cdot \vec{\sigma}, \frac{1}{2} (\mathbb{I} + \vec{a}(+) \cdot \vec{\sigma})]$$

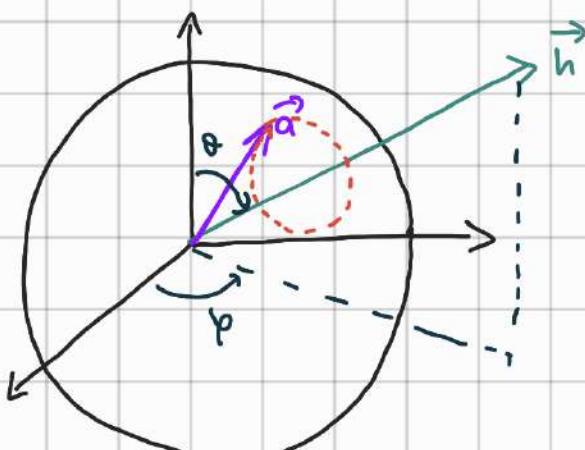
$$\left( \begin{aligned} & \cancel{\frac{1}{2} \mathbb{I}^2 + \frac{1}{2} \vec{a}(+) \vec{\sigma}} - \cancel{\frac{1}{2} \vec{h} \vec{\sigma}} + \frac{1}{2} \vec{h} \vec{\sigma} \vec{a}(+) \vec{\sigma} \\ & - \frac{1}{2} (\mathbb{I} + \vec{a}(+) \vec{\sigma}) (\mathbb{I} - \vec{h} \cdot \vec{\sigma}) \quad ? \\ & \cancel{- \frac{1}{2} \mathbb{I}} + \cancel{\frac{1}{2} \vec{h} \vec{\sigma}} - \cancel{\frac{1}{2} \vec{a}(+) \vec{\sigma}} + \cancel{\frac{1}{2} \vec{a}(+) \vec{\sigma} \vec{h} \vec{\sigma}} \end{aligned} \right)$$

$$i\hbar \dot{\hat{p}} = \dots$$

$$\dot{\vec{a}} = \frac{2\vec{h}}{\hbar} \times \vec{a}$$

Precession of  $\vec{a}$  about the vector  $\vec{h}$

$$\text{Frequency: } \frac{2|\vec{h}|}{\hbar}$$



Eigenstates of  $\hat{H}$ :

$$|\Psi_+\rangle = \cos \frac{\Omega}{2} |1\rangle + e^{i\varphi} \sin \frac{\Omega}{2} |1\rangle$$

$$|\Psi_+\rangle$$

$$|\Psi_-\rangle = \sin \frac{\Omega}{2} |1\rangle - e^{i\varphi} \cos \frac{\Omega}{2} |1\rangle$$

## Rotations on the Bloch Sphere

$e^{-i \frac{\vec{H}t}{\hbar}}$  is a rotation by angle  $\frac{2\pi\hbar}{\hbar} \cdot t$   
Time Evolution Operator around the axis  $\vec{h}$

$$\hat{R}_{\vec{n}}(\theta) = e^{-i \frac{\theta}{2} \vec{n} \cdot \vec{\sigma}}$$

Example: Rabi Oscillations  $\vec{h}$  along  $x$  axis  $\rightarrow$   
any  $\vec{h}$  in the  $xy$  plane creates  
Rabi Oscillations

# HARMONIC OSCILLATORS

## I. Definitions and Notations

2 conjugate variables  $\hat{x}, \hat{p}$   $[\hat{x}, \hat{p}] = i\hbar$

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} m\omega^2 \hat{x}^2$$

} Natural energy scale  $\hbar\omega$   
 } Natural length scale  $\sqrt{\frac{\hbar}{m\omega}} = x_0$

$$\frac{\hat{H}}{\hbar\omega} = \frac{1}{2} \left( \frac{\hat{p}}{\hbar} \right)^2 x_0^{-2} + \frac{1}{2} \left( \frac{\hat{x}}{x_0} \right)^2$$

### 1) Ladder Operators

$$\frac{\hat{H}}{\hbar\omega} = \frac{1}{\sqrt{2}} \left( \frac{\hat{x}}{x_0} + i \frac{\hat{p}}{\hbar} x_0 \right)^+ \frac{1}{\sqrt{2}} \left( \frac{\hat{x}}{x_0} - i \frac{\hat{p}}{\hbar} x_0 \right)^- + \frac{1}{2} \text{II}$$


  
 $\hat{a}^+$                        $\hat{a}$

$$\frac{\hat{H}}{\hbar\omega} = \hat{a}^+ \hat{a}^- + \frac{1}{2} \text{II}$$

$$\hat{x} = \frac{x_0}{\sqrt{2}} (\hat{a} + \hat{a}^+)$$

Define  $\hat{n} = \hat{a}^+ \hat{a}$

$$\frac{\hat{p}}{x_0} = \frac{1}{i\sqrt{2}x_0} (\hat{a} - \hat{a}^+)$$

Commutation Relation

$$[\hat{a}, \hat{a}^\dagger] = \mathbb{I}$$

$$[\hat{n}, \hat{a}^\dagger] = \hat{a}^\dagger$$

$$[\hat{n}, \hat{a}] = -\hat{a}$$

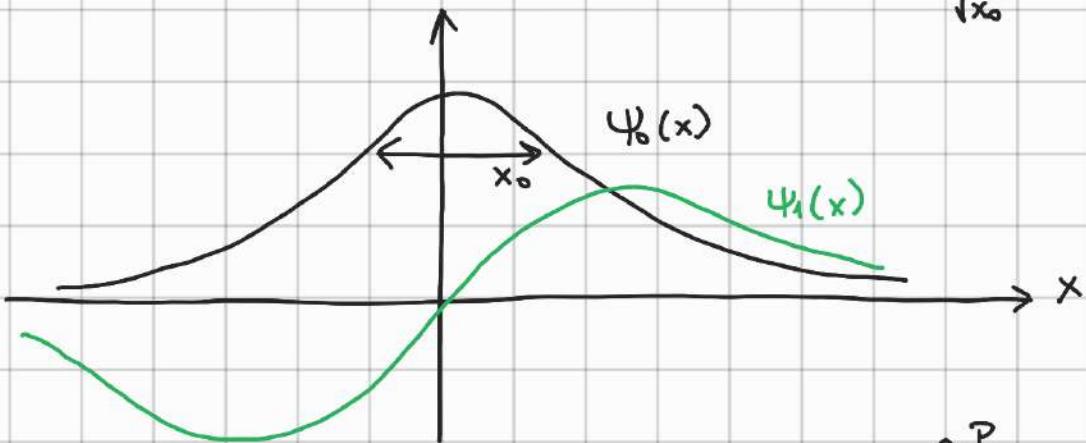
Eigen State :

$$|n\rangle, n \in \mathbb{N} \quad \hat{n}|n\rangle = n|n\rangle$$

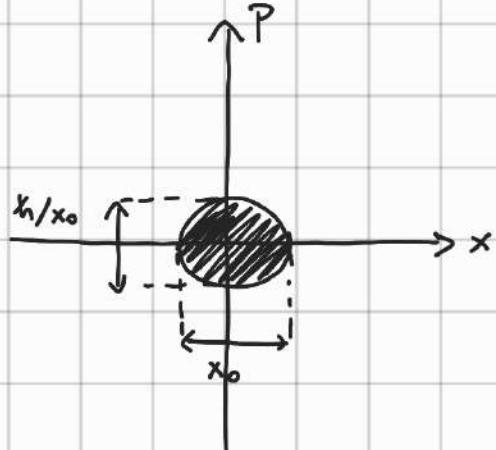
$$\left\{ \begin{array}{l} \hat{a}|n\rangle = \sqrt{n}|n-1\rangle \\ \hat{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle \end{array} \right.$$

Wavefunctions :

$$\langle x | 0 \rangle = \Psi_0(x) = \left( \frac{m\omega}{\hbar\pi} \right)^{1/4} e^{-x^2/2x_0^2} \approx \frac{1}{\sqrt{x_0}}$$



Phase Space Representation:



## II. Dynamics

### 1) Free Harmonic Oscillator

Heisenberg Picture :  $i\hbar \dot{a} = [\hat{a}, \hat{H}] = \hbar \omega \hat{a}$

$$\hat{a}(t) = \hat{a}(0) e^{-i\omega t}$$

### 2) Driven Oscillator

→ some classical force

$$\hat{H} = \hbar \omega \hat{a}^\dagger \hat{a} + \tilde{f}_0 \hat{x} \cos(\omega_e t + \varphi)$$

$$\hat{H} = \hbar \omega \hat{a}^\dagger \hat{a} + \frac{\tilde{f}_0 x_0}{\sqrt{2}} (\hat{a} + \hat{a}^\dagger) \frac{1}{2} (e^{i(\omega_e t + \varphi)} + e^{-i(\omega_e t + \varphi)})$$

Moving to a "rotating frame"

$$|\tilde{\Psi}_{(+)}\rangle = \hat{U}(+) |\Psi_{(+)}\rangle \quad U^+ |\tilde{\Psi}_{(+)}\rangle = |\Psi_{(+)}\rangle$$

$$i\hbar \partial_t |\tilde{\Psi}_{(+)}\rangle = (i\hbar \partial_t \hat{U}) \cdot |\Psi_{(+)}\rangle + \hat{U}(+) i\hbar \partial_t |\Psi_{(+)}\rangle$$

$\hat{H} |\Psi_{(+)}\rangle$

$$i\hbar \partial_t |\tilde{\Psi}\rangle = [(i\hbar \partial_t \hat{U}) \cdot \hat{U}^\dagger + \hat{U}(+) \hat{H} \hat{U}^\dagger] |\tilde{\Psi}(+)\rangle$$

$\underbrace{\hat{H}}$

Here:  $\hat{U}(+) = e^{i\omega_e \hat{n} t} = \sum_n e^{i\omega_e n t} |n\rangle \langle n|$

$$\tilde{H} = (i\hbar\omega_t \hat{U}) \cdot \hat{U}^+ + \hat{U} \hat{H} \hat{U}^+$$

$$g_t \hat{U} = i\omega_{\text{en}} \sum_n e^{i\omega_{\text{en}} t} |n\rangle \langle n| = i\omega_{\text{en}} \hat{U}$$

$$\hat{H} = \hbar\omega \hat{n} + \dots$$

$$\tilde{H} = -\hbar\omega \hat{n} + \hat{U} \hat{H} \hat{U}^+$$

$$\hat{U} \hat{a} \hat{U}^+ = e^{-i\omega_{\text{et}} t} \hat{a}$$

So that  $\tilde{H} = \hbar(\omega - \omega_e) \hat{n} + \frac{F_0 x_0}{2\sqrt{2}} \left( \hat{a}^+ e^{i\varphi} + \hat{a} e^{-i\varphi} + \hat{a}^+ e^{i(2\omega_{\text{et}} + \varphi)} + \hat{a} e^{-i(2\omega_{\text{et}} + \varphi)} \right)$

$$(\omega - \omega_e), F_0 x_0, \dots \ll 2\omega_e$$

Rotating Wave Approximation

(provides Time Independent Hamiltonian)

$$\tilde{H} = \hbar\Delta \hat{a}^+ \hat{a} + \frac{F_0 x_0}{2\sqrt{2}} (\hat{a}^+ e^{i\varphi} + \hat{a} e^{-i\varphi})$$

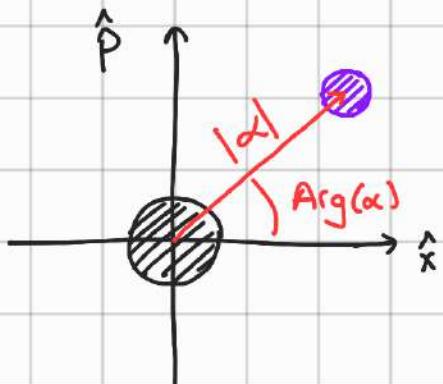
$$\tilde{H} = \hbar\Delta \left( \hat{a} + \frac{F_0 x_0}{2\sqrt{2}\hbar\Delta} e^{i\varphi} \right)^+ \cdot \left( \hat{a} + \frac{F_0 x_0}{2\sqrt{2}\hbar\Delta} e^{i\varphi} \right)^- + \text{constant}$$

### 3) Coherent State

Displacement operator

$|\alpha\rangle$  such that  $\hat{a}|\alpha\rangle = \alpha|\alpha\rangle$

$$\hat{D}(\alpha)|0\rangle = |\alpha\rangle, \quad \hat{D}(\alpha) = e^{\alpha\hat{a}^\dagger - \alpha^*\hat{a}}$$



Example:  $\alpha \in \mathbb{R}$

$$\begin{aligned} \hat{D}(\alpha) &= e^{\alpha(\hat{a}^\dagger - \hat{a})} \\ &= e^{-\alpha i x_0 \sqrt{2} \frac{\hat{p}}{\hbar}} \end{aligned}$$

physical displacement  
 $\alpha \sqrt{2} x_0$

Ex  $\alpha = i\beta, \beta \in \mathbb{R}$

$$\begin{aligned} \hat{D}(\alpha) &= e^{i\beta(\hat{a}^\dagger + \hat{a})} = e^{i\beta \frac{\hat{x}\sqrt{2}}{x_0}} \\ &\text{momentum kick by } \alpha \frac{\hbar}{x_0} \end{aligned}$$

Back to driven oscillator:

$$\tilde{H} = \hbar\Delta \hat{b}^\dagger \hat{b} + \text{constant}$$

$$\hat{b} = \hat{D} \left( -\frac{\tilde{\epsilon}_0 x_0}{2\sqrt{2}\hbar\Delta} e^{i\omega t} \right) \hat{a} \hat{D} \left( -\frac{\tilde{\epsilon}_0 x_0}{2\sqrt{2}\hbar\Delta} e^{i\omega t} \right)^+$$

$$\hat{D}(\alpha) \hat{a} \hat{D}(\alpha)^+ = \hat{a} - \alpha \mathbb{I}$$

When  $\Delta \rightarrow 0$ : displacement goes to  $\infty \Rightarrow$  RESONANCE

Dynamics:  $\hat{a}(t) = e^{-i\omega t} \hat{a}(0)$   $\longrightarrow$  Schrödinger Picture

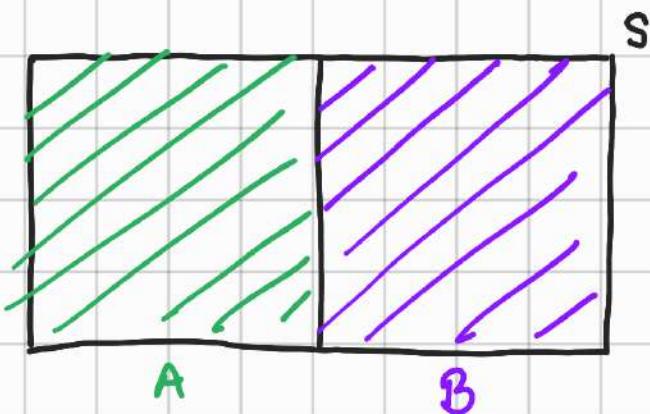
$$|\alpha(t)\rangle = |\alpha(0)e^{-i\omega t}\rangle$$

### III. Comparison with two-level systems

	Harmonic Oscillator	Two Level System
Spectrum		
Ladder Operator	$[\hat{a}, \hat{a}^\dagger] = \hat{\mathbb{I}}$	$[\hat{\sigma}_-, \hat{\sigma}_+] = \hat{\sigma}_z$
Phase Space	<p>uncertainty region <math>[\hat{x}, \hat{p}] = i\hbar</math></p>	<p>uncertainty: <math>[\hat{\sigma}_x, \hat{\sigma}_y] = 2i\hat{\sigma}_z</math></p>
Displacement	$\hat{D}(\alpha)$	$\hat{R}(\theta)$

# Bipartite Systems - Entanglement

## I. Density Matrix



### 1) Bipartite System

$$\mathcal{H}_S = \mathcal{H}_A \otimes \mathcal{H}_B$$

$$|\Psi_{AB}\rangle \in \mathcal{H}_S$$

$\hat{M}_A$  : Observable on system A

$\hat{M} = \hat{M}_A \otimes \hat{\mathbb{I}}$  : Observable on S  
(acts on A only)

$$\langle \hat{M} \rangle = \langle \Psi_{AB} | \hat{M} | \Psi_{AB} \rangle$$

now with  $|\Psi_{AB}\rangle = \sum_{i,j} \alpha_{ij} |i_A\rangle \otimes |j_B\rangle$

$$\langle \hat{M} \rangle = \sum_{i,j} \langle i_A | \hat{M}_A | j_A \rangle \langle j_B | \underbrace{\hat{I}}_{\text{identity operator}} | i_B \rangle \alpha^*_{ij} \alpha_{ji}$$

$$\langle \hat{M} \rangle = \sum_{i,j} \alpha^*_{ij} \alpha_{ji} \langle i | \hat{M}_A | j \rangle$$

$\hat{\rho}_A = \sum_{i,j} \alpha^*_{ij} \alpha_{ji} |j\rangle \langle i|$  density matrix A

$$\langle \hat{M} \rangle = \text{Tr}(\hat{\rho}_A \hat{M}_A)$$

$\rightarrow \hat{\rho}_A$  is obtained from  $|\Psi_{AB}\rangle$ :

$$\text{Partial trace } \hat{\rho}_A = \text{Tr}_B [ |\Psi\rangle_{AB} \langle \Psi|_{AB} ]$$

## 2. States

- Pure state on A:  $|\Psi_A\rangle \in \mathcal{H}_A$  such that  $\hat{\rho}_A = |\Psi_A\rangle\langle\Psi_A|$
- Product state of S:  $|\Psi_{AB}\rangle = |\Psi_A\rangle \otimes |\Psi_B\rangle$  for some (separate)  $|\Psi_A\rangle$  and  $|\Psi_B\rangle$
- A state which is not a product state is entangled.  
 $\Leftrightarrow$  A is entangled with B when S is in state  $|\Psi_{AB}\rangle$

## 3. Discussion

- Here we defined entanglement for bipartite systems

- $\hat{\rho}_A$  can be diagonalized  $\hat{\rho}_A = \sum_i p_i |i\rangle\langle i|$   
↓ prob.

$\hat{\rho}_A$  represents an ensemble of states

$$\langle \hat{N}_A \rangle : \text{Tr}(\hat{\rho}_A \hat{N}_A) \rightarrow$$

|

① quantum mech.  
expectation value  
for each state of the ensemble

$$\langle i | \hat{N}_A | i \rangle$$

↓

② Classical average over all possible states  $|i\rangle$

. Purity:

$$\hat{\rho}_A^2 = \sum_i p_i^2 |i\rangle\langle i|$$

$$\text{so } \text{Tr}(\hat{\rho}_A^2) = \sum_i p_i^2 \leq \sum_i p_i = 1$$

## II. ENTANGLEMENT

Bipartite system

$$\hat{\rho}_A = |\Psi_A\rangle\langle\Psi_A|$$

$$|\Psi_{AB}\rangle = |\Psi_A\rangle \otimes |\Psi_B\rangle$$

- \* Traces
- \* Stat. mech.
- \* Concurrence
- \* Schmidt

$$\text{Tr}_B [ |\Psi_{AB}\rangle\langle\Psi_{AB}|] = \sum_n |\Psi_A\rangle\langle n_B| \Psi_B \rangle\langle \Psi_A| \langle \Psi_B | n_B \rangle$$

$$= |\Psi_A\rangle\langle\Psi_A| \underbrace{\sum_n |\langle n_B | \Psi_B \rangle|^2}_{I}$$

If  $\hat{\rho}_A$  is mixed : A and B were entangled

### 1) Entropy

*More practical*

$$\text{Given } \hat{\rho}_A : \rightarrow \text{Renyi entropy } S_n(\hat{\rho}_A) = -\log [\text{Tr}(\hat{\rho}_A^n)] \frac{1}{n-1}$$

$$\rightarrow \text{Entanglement entropy } S(\hat{\rho}_A) = -\text{Tr} [\hat{\rho}_A \ln \hat{\rho}_A]$$

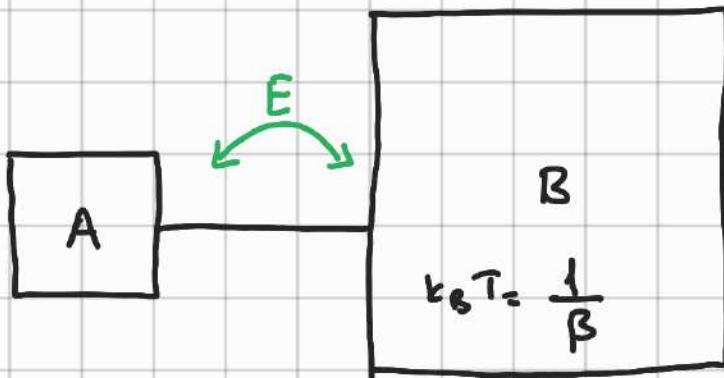
$$= \lim_{n \rightarrow 1} S_n(\hat{\rho}_A)$$

$$S(|\psi\rangle\langle\psi|) = 0$$

Ex

## Statistical Mechanics, canonical example

$$\hat{\rho}_A = \frac{1}{Z} e^{-\beta \hat{H}_A}$$



$$S(\hat{\rho}_A) = \dots = F \beta \langle \hat{H}_A \rangle$$



free energy

$$(e^{-\beta F} = Z)$$

Remarks

→ For any bipartition of  $S$  into  $A/B$

$$S(\hat{\rho}_A) = S(\hat{\rho}_B)$$

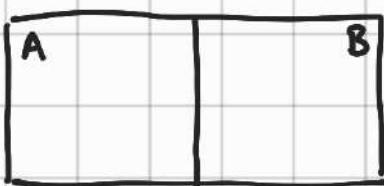
→ Maximum entropy:  $\hat{\rho}_A = \frac{1}{N} \sum_i |i\rangle \langle i|_A$   $N = \dim(H_A)$

$$S(\hat{\rho}_A) = \log N$$

$$\hat{\rho}_A = \frac{1}{N} \sum_i |i\rangle \langle i|_A \quad \text{infinite temperature}$$

→ Thermalization: Building up entanglement

S



$S \propto$  area of the boundary A/B

$S \propto$  volume of A

→ Connection between S and quantum gravity (?)

## 2) Schmidt Decomposition

Let  $\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$  and  $|\Psi\rangle \in \mathcal{H}_{AB}$

Here exists an orthonormal set in  $\mathcal{H}_A$ :  $\{|i_A\rangle\}$

" " " " Jts:  $\{|i_B\rangle\}$

Such that  $|\Psi\rangle = \sum_i \lambda_i |i_A\rangle \otimes |i_B\rangle$

$\{\lambda_i\}$ : Schmidt coef.

#  $\lambda_i$ 's : Schmidt Rank  $\rightarrow$  pure state

Density matrix:  $\hat{\rho}_A = \text{Tr}_B [|\Psi\rangle\langle\Psi|]$

let  $\{|i_B\rangle\}$  the 'Schmidt basis'

$$= \sum_i \langle i_B | \Psi \rangle \langle \Psi | i_B \rangle$$

$$\hat{\rho}_A = \sum_i |\lambda_i|^2 |i_A\rangle \langle i_A|$$

$$S(\hat{\rho}_A) = - \sum_i |\lambda_i|^2 \log |\lambda_i|^2$$

**Remark:**  $\lambda_i = e^{-\tilde{E}_i/2}$        $|\Psi\rangle = \sum_i e^{-\tilde{E}_i/2} |i_A\rangle \otimes |i_B\rangle$

{  $\tilde{E}_i$  } : entanglement spectrum

$$\text{Given } \hat{\rho}_A = \sum_i p_i |i_A\rangle \langle i_A|$$

there exists system R, with Hilbert space  $\mathcal{H}_R$

$$\text{such that } \exists |\Psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_R \quad \hat{\rho}_A^{\wedge} = \text{Tr}_R [|\Psi\rangle \langle \Psi|]$$

purification of  $\hat{\rho}_A^{\wedge}$

### 3) Example

2 qubit system : A, B

$$\mathcal{H}_A = \text{Sp} \{ |0\rangle, |1\rangle \}$$

$$\mathcal{H}_B = \text{Sp} \{ |0\rangle, |1\rangle \}$$

$$\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B \quad \mathcal{H} = \text{Sp} \{ |00\rangle, |01\rangle, |10\rangle, |11\rangle \}$$

$$|ij\rangle = |i\rangle \otimes |j\rangle$$

$$\cdot \frac{1}{\sqrt{2}} (|00\rangle + |01\rangle) \rightarrow \text{Not entangled} \left( \frac{1}{\sqrt{2}} |0\rangle \otimes (|0\rangle + |1\rangle) \right)$$

$$|\bar{\Phi}^+\rangle = \cdot \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle) \rightarrow \text{Entangled}$$

$$\text{Tr}_B [ |\bar{\Phi}^+\rangle \langle \bar{\Phi}^+|] = \frac{1}{2} (|0\rangle \langle 0| + |1\rangle \langle 1|)$$

↓

Maximally mixed state

$$|\Phi_\varepsilon\rangle = \frac{1}{\sqrt{\varepsilon^2 + (1-\varepsilon)^2}} (\varepsilon |00\rangle + (1-\varepsilon) |11\rangle) \rightarrow \text{Yes}$$

$$|\bar{\Phi}^+\rangle = \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle) \quad |\Psi^+\rangle = \frac{1}{\sqrt{2}} (|01\rangle + |10\rangle)$$

$$|\bar{\Phi}^-\rangle = \frac{1}{\sqrt{2}} (|00\rangle - |11\rangle) \quad |\Psi^-\rangle = \frac{1}{\sqrt{2}} (|01\rangle - |10\rangle)$$

# QUANTUM MEASUREMENT

## I. PROJECTIVE MEASUREMENT

Let  $\hat{M}$  be an observable  $\hat{M} = \sum_m m \hat{P}_m$

where  $\hat{P}_m$  projector onto the eigenpace of  $m$

. Measurement: Upon observing outcome  $m$ , the state of the system evolves:

$$|\Psi\rangle \longrightarrow \frac{\hat{P}_m |\Psi\rangle}{\sqrt{p(m)}} = |\Psi_m\rangle$$

The probability to observe  $m$  is  $p(m) = \langle \Psi | \hat{P}_m | \Psi \rangle$

For a mixed state  $\hat{\rho}$ :  $p(m) = \text{Tr}(\hat{\rho} \hat{P}_m) = E[m] = \langle \hat{M} \rangle$

- 2 averages :
- Quantum average  $\langle \Psi | \hat{P}_m | \Psi \rangle$
  - Classical prob. average

If  $m$  has been observed (as outcome of the measurement)

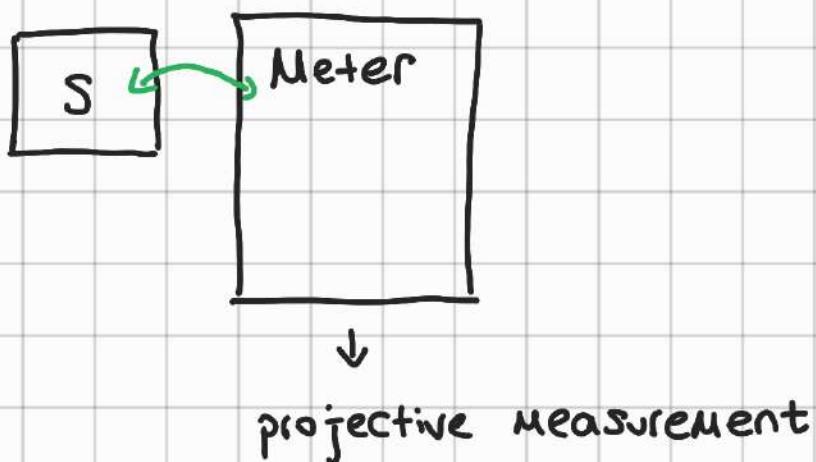
$$\hat{P}_m = \frac{\hat{P}_m \hat{P}^\dagger \hat{P}_m^+}{p(m)}$$

If the measurement is performed but the outcome is not recorded

$$\hat{P} \rightarrow \sum_m p_m \hat{P}_m = \sum_m \hat{P}_m \hat{P}^\dagger \hat{P}_m^+$$

$$|\Psi \times \Psi\rangle \rightarrow \sum_m p(m) \hat{P}_m |\Psi \times \Psi\rangle \hat{P}_m^+ = \sum_m p(m) |\Psi_m \times \Psi_m\rangle$$

## II. System-Meter formulation



① Coupling between  $S$  and  $M$

$$|\Psi_{SM}\rangle \in \mathcal{H}_S \otimes \mathcal{H}_{\text{meter}}$$

$$|\Psi_{\text{SM}}\rangle = |\theta\rangle \otimes |\varphi\rangle, \quad |\theta\rangle \in \mathcal{H}_M$$

$$|\varphi\rangle \in \mathcal{H}_S$$

$$|\Psi_{\text{SM}}\rangle \longrightarrow |\Psi'\rangle = \hat{\cup} |\Psi_{\text{SM}}\rangle \neq |\theta'\rangle \otimes |\varphi'\rangle$$

## ② Projective Measurement of Meter:

Let  $r$  the outcome

$$\hat{P}_r^1 = \hat{\Pi}_r \otimes \hat{\mathbb{I}}_S$$

$$|\Psi'_r\rangle = \frac{\hat{P}_r^1 |\Psi'\rangle}{\sqrt{p(r)}} = \frac{(\hat{\Pi}_r \otimes \hat{\mathbb{I}}_S) |\Psi'\rangle}{\sqrt{p(r)}}$$

$$|\Psi'_r\rangle = \frac{(\hat{\Pi}_r \otimes \hat{\mathbb{I}}) \hat{\cup} |\theta\rangle \otimes |\varphi\rangle}{\sqrt{p(r)}}$$

Suppose  $\hat{\Pi}_r = |r \times r|$

$$|\Psi'_r\rangle = \frac{|r\rangle \otimes \langle r| \hat{U} |\vartheta\rangle \cdot |\varphi\rangle}{\sqrt{p(r)}}$$

operator onto  $H_S$

Let  $\hat{M}_r = \langle r | \hat{U} | \vartheta \rangle$  operator on  $H_S$

So after the 2 steps:

$$|\Psi'_r\rangle = \frac{|r\rangle \otimes \hat{M}_r |\varphi\rangle}{\sqrt{p(r)}}$$

Consequence:

→ Starting from separable state  $|\vartheta\rangle \otimes |\varphi\rangle$

end with a separable state  $|\Psi'_r\rangle$

$$|\varphi\rangle \rightarrow \frac{\hat{M}_r |\varphi\rangle}{\sqrt{p(r)}}$$

→  $\hat{M}_r$  is not a projector: "Measurement Operator"

$$\rightarrow p(r) = \langle \Psi' | \hat{P}_r | \Psi' \rangle = \langle \varphi | M_r^+ M_r | \varphi \rangle$$

### III. Generalized Measurements

1. Definition: let  $\hat{M}_r$  measurement operators,

$$\text{and } \hat{E}_r = \hat{M}_r^+ \hat{M}_r \text{ such that}$$

$$\rightarrow \sum_r \hat{E}_r = \hat{I} \quad \hat{E}_r \text{ are probability operators}$$



Hermitian, positive

$\rightarrow$  Measurement outcomes are labelled by  $r$

$\{\hat{E}_r, r\}$  = Positive Operator-valued measurement  
(POVM)

Then  $p(r) = \text{probability of outcome } r$

$$p(r) = \text{Tr}(\hat{\rho} \hat{E}_r)$$

After the measurement:

$$\rightarrow \text{If } r \text{ has been observed } \hat{\rho} \rightarrow \hat{\rho}_r = \frac{\hat{M}_r \hat{\rho} \hat{M}_r^+}{p(r)}$$

$$\rightarrow \text{If the outcome is not recorded: } \hat{p} \rightarrow \sum_r \hat{p}_r \cdot p(r) \\ = \sum_r \hat{N}_r \hat{p} \hat{N}_r^+$$

**Remarks:** • It is not the most general formula.

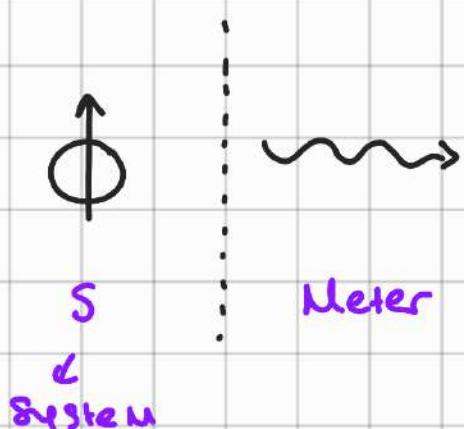
- Given  $\hat{\epsilon}_r$ , positive Hermitian operator

$$\hat{N}_r = \sqrt{\hat{\epsilon}_r}$$

**Example:**

(Cannot be repeated, photon killed)  
NOT PROJEKTIVE!

Photon counting + spontaneous emission



$|\Psi_{SM}\rangle$ : pure state  $\in \mathcal{H}_S \otimes \mathcal{H}_M$

Measurement of the # of photons:

$$j \text{ photon: } \hat{N}_j = \hat{I} \otimes |0\rangle\langle j|$$

$$|\langle 0|j\rangle|$$

$$\hat{E}_j = \hat{M}_j^+ M_j = \hat{\mathbb{I}} \otimes |j\rangle\langle j|, \text{ positive operator}$$

$$\sum_j E_j = \hat{\mathbb{I}} \quad \{ \hat{E}_j, j \} \text{ PONM?}$$

After the measurement:  $|\Psi_{SN,j}\rangle = \frac{|\Psi_S\rangle \otimes |0\rangle}{\Pr(j)}$

(pure) product state

If the result is not recorded:

$$|\Psi_{SN} \times \Psi_{SN}| \longrightarrow \sum_j \hat{M}_j^+ |\Psi_{SN} \times \Psi_{SN}| \hat{M}_j^+$$

$$|\Psi_{SN}\rangle = \sum_{k,l} \alpha_{k,l} |k\rangle \otimes |l\rangle$$

$$|\Psi_{SN} \times \Psi_{SN}| \rightarrow \sum_j (\mathbb{I} \otimes |j\rangle\langle j|) \sum_{k,l} \alpha_{k,l} (|k\rangle \otimes |l\rangle)$$

$$\dots (|k'\rangle \otimes |l'\rangle) \alpha_{k,l}^A \sum_j (\mathbb{I} \otimes |j\rangle\langle j|)$$

$$\sum_{kk'} \left( \sum_j \alpha^* \hat{d}_j^\dagger \alpha \right) |k\rangle\langle k'| \otimes |0\rangle\langle 0|$$

$$\rightarrow \text{Tr}_M ( |\Psi_{SM} \times \Psi_{SM}| ) \otimes |0\rangle\langle 0|$$

# EVOLUTION OF DENSITY MATRICES

## I. Super-Operators

Preskill Notes

1) Evolution Paths for  $\hat{\rho}$

→ Unitary evolutions (closed system)

$$i\hbar \partial_t \hat{\rho} = [\hat{H}, \hat{\rho}]$$

$$\hat{\rho}(t) = \hat{U}(t) \hat{\rho} \hat{U}^+(t)$$

→ Measurements

• with results recorded:

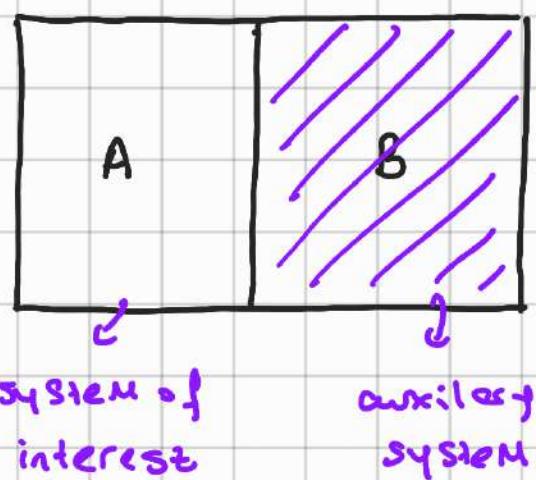
$$\hat{\rho} \rightarrow \hat{\rho}' = \frac{1}{\sqrt{p(r)}} \hat{M}_r \hat{\rho} \hat{M}_r^+$$

with  $\hat{M}_r$  measurement operators  $\sum_r \hat{M}_r^+ \hat{M}_r = \mathbb{I}$

• without recording the result:

$$\hat{\rho}' = \sum_r \hat{M}_r \hat{\rho} \hat{M}_r^+$$

→ Unitary evolution on extended space



$$\hat{\rho} = \hat{\rho}_A \otimes \underbrace{|\psi\rangle\langle\psi|}_{B}$$

Unitary evolution:

$$\hat{\rho}' = \hat{U} (\hat{\rho}_A \otimes |\psi\rangle\langle\psi|) \hat{U}^\dagger$$

operator on  $\mathcal{H}_A \otimes \mathcal{H}_B \neq \hat{U}_A \otimes \hat{U}_B$

Partial-trace over B:

$$\hat{\rho}_A' = \text{Tr}_B \hat{\rho}'$$

$$= \sum_n \langle \mu_B | \hat{U} (\hat{\rho}_A \otimes |\psi\rangle\langle\psi|) \hat{U}^\dagger | \mu_B \rangle$$

$$= \sum_n \underbrace{\langle \mu_B | \hat{U} | 0 \rangle}_{\text{operator on } \mathcal{H}_A} \cdot \underbrace{\hat{\rho}_A}_{\hat{M}_N} \cdot \underbrace{\langle 0 | \hat{U}^\dagger | \mu_B \rangle}_{\hat{M}_N^\dagger}$$

Common feature:  $\hat{\rho}' = \sum_n \hat{M}_N \hat{\rho} \hat{M}_N^\dagger \rightarrow \text{operator sum representation}$

## 2) Completely Positive Maps

$$S: \hat{\rho} \rightarrow \hat{\rho}'$$

$\hat{\rho}$ : operator on  $H_A$

Requirements:

- preserves Hermiticity

- trace-preserving

• positive  $\hat{\rho} \geq 0 \rightarrow \hat{\rho}' \geq 0$

complete positivity

•  $\forall H_B$  Hilbert space of system B

$S \otimes I$  is a positive operator

Completely positive map: Super-Operator  $\hat{S} = \hat{\rho} \rightarrow \hat{\rho}'$

Krauss Theorem: Any completely positive map has an operator sum representation.

$$\hat{S}(\hat{\rho}) = \sum_n \hat{N}_n \hat{\rho} \hat{N}_n^+ \text{ for a set of } \{\hat{N}_n\}$$

such that  $\sum_n \hat{N}_n^+ \hat{N}_n = I$

## Remarks :

- . if  $\dim(H_A) = N$  then there are at most  $N^2$  operators
- . the representation is not unique

$$\hat{N}_s = \sum_N \bigcup_{\substack{\text{unitary matrix} \\ U_{\nu N}}} M_\nu$$

- . Any super-operator can be interpreted as a POVM
- . In general, a super operator IS NOT invertible

Decoherence  
↗

the only case where S.O is invertible is unitary evolution.

# QUANTUM CHANNELS

$$\hat{\rho} - \square \rightarrow \hat{\rho}'$$

## 1) Amplitude Damping Channel

Model for spontaneous emission

System S (qubit)

Environment E

$$\mathcal{H} = \mathcal{H}_S \otimes \mathcal{H}_E$$

Unitary evolution:

$$|0\rangle \otimes |0\rangle \xrightarrow{\text{Nothing happens}} |0\rangle \otimes |0\rangle$$

$$|1\rangle \otimes |0\rangle \xrightarrow{\text{emission prob}} \sqrt{p} |0\rangle \otimes |1\rangle + \sqrt{1-p} |1\rangle \otimes |0\rangle \quad \downarrow \quad \text{nothing happens}$$

$$\hat{S} : \hat{\rho}_S^1 \rightarrow \hat{\rho}_S^1 = \text{Tr}_E [\hat{U} \hat{\rho} \hat{U}^+]$$

Initialize:  $\hat{\rho} = \hat{\rho}_S^1 \otimes I_{\text{tot}}$

$$\begin{aligned} \hat{\rho}' &= \langle 0_E | \hat{U} \hat{\rho} \hat{U}^+ | 0_E \rangle + \langle 1_E | \hat{U} \hat{\rho} \hat{U}^+ | 1_E \rangle \\ \hat{\rho}' &= \hat{N}_0 \hat{\rho}_S^1 \hat{N}_0^+ + \hat{N}_1 \hat{\rho}_S^1 \hat{N}_1^+ \end{aligned}$$

$\hat{\rho}_S^1, \hat{N}_0, \hat{N}_1$  operators on  $\hat{H}_S$

•  $\hat{N}_0 = ?$

$$\langle 0_E | \hat{U} (\hat{\rho}_S^1 \otimes I_{\text{tot}}) \hat{U}^+ | 0_E \rangle = \hat{N}_0 \hat{\rho}_S^1 \hat{N}_0^+$$

$$\hat{N}_0 = \langle 0_E | \hat{U} | 0_E \rangle$$

$$\left[ \begin{array}{cc} \langle 0_S | \otimes \underbrace{\langle 0_E | \hat{U} | 0_S \rangle}_{N_0, 00} \otimes | 0_E \rangle & \langle 0_S | \otimes \underbrace{\langle 0_E | \hat{U} | 1_S \rangle}_{N_0, 01} \otimes | 0_E \rangle \\ \langle 1_S | \otimes \underbrace{\langle 0_E | \hat{U} | 0_S \rangle}_{N_0, 10} \otimes | 0_E \rangle & \langle 1_S | \otimes \underbrace{\langle 0_E | \hat{U} | 1_S \rangle}_{N_0, 11} \otimes | 0_E \rangle \end{array} \right]$$

$|0\rangle|0\rangle \rightarrow |0\rangle|0\rangle (1)$        $|1\rangle|0\rangle \rightarrow |1\rangle|0\rangle (0)$

$|1\rangle|0\rangle \rightarrow |0\rangle|0\rangle (0)$        $|1\rangle|0\rangle \rightarrow |1\rangle|0\rangle (0)$

2x2  $\sqrt{2\rho}$

$$= \begin{bmatrix} 1 & 0 \\ 0 & \sqrt{1-p} \end{bmatrix}$$

$$\langle 1_E | \hat{U} (\hat{p}_S \otimes \hat{\sigma}_E) | 0_E \rangle \hat{U}^\dagger | 1_E \rangle$$

$$\hat{N}_1 = \langle 1_E | \hat{U} | 0_E \rangle$$

$$|0\rangle |0\rangle \rightarrow |0\rangle |0\rangle^0$$

$$|10\rangle \rightarrow |01\rangle \sqrt{p}$$

$$= \begin{bmatrix} \cancel{\langle 0_S |} \otimes \cancel{\langle 1_E |} \hat{U} \cancel{| 0_S \rangle} \otimes | 0_E \rangle & \cancel{\langle 0_S |} \otimes \cancel{\langle 1_E |} \hat{U} \cancel{| 1_S \rangle} \otimes | 0_E \rangle \\ \cancel{| 1_S \rangle} \otimes \cancel{\langle 1_E |} \hat{U} \cancel{| 1_S \rangle} \otimes | 1_E \rangle & \cancel{\langle 1_S |} \otimes \cancel{\langle 0_E |} \hat{U} \cancel{| 1_E \rangle} \otimes | 1_E \rangle \end{bmatrix}$$

$M_{1,00}$        $M_{1,01}$   
 $M_{1,10}$        $M_{1,11}$

$|00\rangle \rightarrow |11\rangle$        $|10\rangle \rightarrow |11\rangle 0$

$$= \begin{bmatrix} 0 & \sqrt{p} \\ 0 & 0 \end{bmatrix}$$

$$\text{Check: } \hat{N}_0^\dagger \hat{N}_0 + \hat{N}_1^\dagger \hat{N}_1 = I$$

$$\hat{\rho}_s = \begin{pmatrix} p_{00} & p_{01} \\ p_{10} & p_{11} \end{pmatrix} \text{ then } \hat{\rho}'_s = \begin{pmatrix} p_{00} + p p_{11} & \sqrt{1-p} p_{01} \\ \sqrt{1-p} p_{10} & p_{11} (1-p) \end{pmatrix}$$

- Probability of transition  $p$  between 0 and 1
- $\sqrt{1-p}$  reduction in the coherence!

On Bloch Sphere:

$$\hat{\rho}_s = \frac{1}{2} \left( \hat{\mathbb{I}} + \vec{a} \cdot \hat{\vec{\sigma}} \right)$$

$$\begin{pmatrix} a_x \\ a_y \\ a_z \end{pmatrix} \rightarrow \vec{a}' = \begin{pmatrix} \sqrt{1-p} a_x \\ \sqrt{1-p} a_y \\ (1-p) a_z + p \end{pmatrix}$$

$$\text{Tr}(\rho_s'^2) \leq \text{Tr}(\rho_s^2) \quad \forall p \in [0,1]$$

## Interpretation in terms of measurements

$$\hat{E}_0 = \hat{M}_0^+ M_0$$

$$\hat{E}_1 = \hat{M}_1^+ M_1$$

$\hat{M}_1 : |\Psi\rangle \longrightarrow$

$$\frac{\hat{M}_1 |\Psi\rangle}{\sqrt{p}}$$

"1 click"

$$\hat{M}_1 = \sqrt{p} |0\rangle\langle 1|$$

$$\hat{E}_1 = p |1\rangle\langle 1|$$

$$|\Psi\rangle \longrightarrow |0\rangle \quad (\text{Heralded preparation of } |0\rangle)$$

$\hat{M}_0 : |\Psi\rangle \longrightarrow$

$$\frac{\hat{M}_0 |\Psi\rangle}{\sqrt{p(0)}}$$

"0 click"

$$\hat{M}_0 = |0\rangle\langle 0| + \sqrt{1-p} |1\rangle\langle 1|$$

$$\hat{E}_0 = |0\rangle\langle 0| + (1-p) |1\rangle\langle 1|$$

$$|\Psi\rangle = a|0\rangle + b|1\rangle$$

$$\left. \begin{array}{l} \\ \end{array} \right\} |\Psi\rangle \neq |\Psi'\rangle$$

$$\hat{M}_0 |\Psi\rangle \propto a|0\rangle + b\sqrt{1-p}|1\rangle$$

No click  $\neq$  No information

Remark: Repeat n consecutive amp. damping ch:

$$p_{11} \rightarrow p_{11}(1-p)^n$$

Decay Rate  $\Gamma$ :  $P = \frac{\Gamma t}{n}$

$$\rho_{11} \rightarrow \rho_{11} e^{-\Gamma t} \quad (n \rightarrow \infty)$$

## 2) Phase Damping Channel

Unitary operator onto  $\mathcal{H}_S \otimes \mathcal{H}_E$

$$|0\rangle \otimes |0\rangle \rightarrow \sqrt{p} |0\rangle \otimes |0\rangle + \sqrt{1-p} |0\rangle \otimes |1\rangle$$

$$|1\rangle \otimes |0\rangle \rightarrow \sqrt{p} |1\rangle \otimes |0\rangle - \sqrt{1-p} |1\rangle \otimes |1\rangle$$

$$|0\rangle \otimes |0\rangle \rightarrow |0\rangle \otimes (\sqrt{p} |0\rangle + \sqrt{1-p} |1\rangle)$$

$$|1\rangle \otimes |0\rangle \rightarrow |1\rangle \otimes (\sqrt{p} |0\rangle - \sqrt{1-p} |1\rangle)$$

**Remark:** It is an entangling operation:

$$\hat{\rho}_S' = \text{Tr}_E \left[ \hat{U} \left( \underbrace{\hat{\rho}_S \otimes |0\rangle\langle 0|_E}_{\hat{P}} \right) \hat{U}^\dagger \right]$$

$$= \langle 0_E | \hat{U} \hat{\rho} \hat{U}^\dagger | 0_E \rangle + \langle 1_E | \hat{U} \hat{\rho} \hat{U}^\dagger | 1_E \rangle$$

$$= \hat{M}_0 \hat{\rho}_S \hat{M}_0^+ + \hat{M}_1 \hat{\rho}_S \hat{M}_1^+$$

$\hat{M}_0 = \sqrt{p} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

$\hat{M}_1 = \sqrt{1-p} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

Check that  $\hat{M}_0^+ \hat{M}_0 + \hat{M}_1^+ \hat{M}_1 = \hat{I}$

Block vector:

$$\hat{\rho} = \frac{1}{2} (\hat{I} + \vec{a} \cdot \vec{\sigma}) \quad \begin{pmatrix} a_x \\ a_y \\ a_z \end{pmatrix} \rightarrow a' = \begin{pmatrix} a_x(2p-1) \\ a_y(2p-1) \\ a_z \end{pmatrix}$$

Unless  $p=0$  or  $1$  we have  $\|\vec{a}'\|^2 \leq \|\vec{a}\|^2$

Interpretation:  $\frac{|0\rangle + |1\rangle}{\sqrt{2}} \otimes |0\rangle = \sqrt{p} \left( \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) \otimes |0\rangle + \sqrt{1-p} \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \otimes |1\rangle$

If  $p = 1/2 \rightarrow \hat{\rho}' = \frac{1}{2} (|0\rangle\langle 0| + |1\rangle\langle 1|)$

Remark: \* Irreversible

Not possible to "inflate the Bloch Sphere"

\* generalize to harmonic oscillator

\* Physical motivation: Random Rotations about  $\hat{z}$

$$R_2(\hat{\theta}) = e^{i\hat{\theta}\hat{\sigma}_z}$$

Average over possible values of  $\theta$ :

$$\hat{\rho} = \frac{1}{4\pi\lambda} \int d\theta R_2(\theta) \hat{\rho} R_2(\theta)^* e^{-\theta^2/4\lambda}$$

$$\hat{\rho} = \begin{pmatrix} |a|^2 & ab^* e^{-\lambda} \\ a^* b e^{-\lambda} & |b|^2 \end{pmatrix}$$

### 3) Depolarizing Channels:

Phase damping picks a preferred direction:  $\hat{z}$

$$\hat{N}_0 = \sqrt{1-p} \hat{\mathbb{I}} + \sqrt{p} \hat{\sigma}_z$$

Now, define a channel through Kraus Operators

$$\hat{N}_0 = \sqrt{1-p} \hat{\mathbb{I}}$$

$$\hat{M}_1 = \sqrt{\frac{p}{3}} \hat{\sigma}_x \quad \hat{M}_2 = \sqrt{\frac{p}{3}} \hat{\sigma}_y \quad \hat{M}_3 = \sqrt{\frac{p}{3}} \hat{\sigma}_z$$

$$\sum_i \hat{M}_i \hat{M}_i^* = \hat{\mathbb{I}}$$

Action on the Bloch vector:

$$\hat{\vec{p}} = \sum_{\nu} \hat{N}_{\nu} \hat{\vec{p}} \hat{N}_{\nu}^+ = \frac{1}{2} \left( \hat{\vec{J}} + \vec{a} \cdot \begin{pmatrix} \sum_{\nu} \hat{N}_{\nu} \hat{\sigma}_x \hat{N}_{\nu}^+ \\ \vdots \end{pmatrix} \right)$$

$$\sum_{\nu} \hat{N}_{\nu} \hat{\sigma}_x \hat{N}_{\nu}^+ = \dots = \left( 1 - \frac{4P}{3} \right), \text{ same for } \hat{\sigma}_y, \hat{\sigma}_z$$

$$\text{So } \vec{a}' = \vec{a} \cdot \left( 1 - \frac{4P}{3} \right)$$

uniform "contraction"  
of the Bloch Sphere

# Lindblad Equation

idea:

$$\hat{\rho}(0) \longrightarrow \hat{\rho}(t) = S[\hat{\rho}(0)]$$

↓  
superoperator

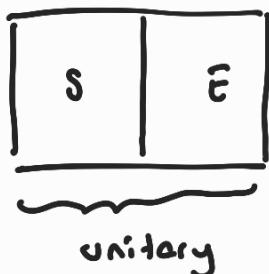
For unitary evolution we know that  $i\dot{\hat{\rho}} = [\hat{H}, \hat{\rho}]$

What about more general evolution?

## J. Markov Approximation

. There is no 1 to 1 correspondence btw t and  $t' > t$

Actually:



There's a 1-1 correspondence btw t and  $t'$  for  $S+E$ .

When measurements are done on E → some information is lost.

E can also evolve in time → if E is traced out  
No history of E!

In particular  $\hat{\rho}_S(t)$  may depend on  $\hat{\rho}_E(t')$ , for all  $t' < t$

to progress: hypothesis

- E is very large: reservoir in the thermodynamic sense.  
coupling S to E does not significantly change E.

- Markov approximation:

Memory time  $\tau$  for  $\hat{E}$  (property of  $\hat{E}$ )

after which any perturbation introduced by  $S$  relaxes

$\rightarrow \hat{E}$  is stationary over time scales  $\gg \tau$

"Coarse grained" description over  $t \gg \tau \leftrightarrow \hat{E}$  is stationary

### Examples:

.  $E$ : vacuum of electro-magnetic field in free space.

. Large number of spins

## II. Derivation of the Lindblad Equation

### 1) Notations

$$\hat{\rho}(t+\Delta t) = S[\hat{\rho}(t)] = \sum_{\mu} \hat{N}_{\mu} \rho(t) \hat{N}_{\mu}^+$$

$$\cdot \text{Markov approximation: } \hat{\rho}(t+\Delta t) = \hat{\rho}(t) + \hat{O}(\Delta t)$$

$$\left. \begin{array}{l} \cdot \Delta t \text{ small} \\ \cdot \Delta t \gg \tau \end{array} \right\} \text{Coarse grained evolution}$$

Start from Krauss:

$$\hat{k}_0 = \frac{\hat{\mathbb{I}}}{\sqrt{\Delta t}} - i \frac{\hat{k} \Delta t}{\sqrt{\Delta t}} + \mathcal{O}(\Delta t^2)$$

$\downarrow$        $\downarrow$

$0^{\text{th}}$  order     $1^{\text{st}}$  order

$$\cdot \quad n \geq 1 \quad \hat{M}_n \hat{\rho} \hat{M}_n^+ \text{ has to be order } \Delta t$$

$$\text{So } \hat{M}_n = \mathcal{O}(\sqrt{\Delta t}) = \sqrt{\Delta t} \hat{L}_n$$

$$\cdot \quad \hat{H} = \frac{\hat{k} + \hat{k}^+}{2} \quad \hat{j} = i \frac{\hat{k} - \hat{k}^+}{2} \quad \text{st} \quad \hat{k} = \hat{H} - i \hat{j}$$

$$\hat{H}^+ = \hat{H} \quad \hat{j}^+ = -\hat{j}$$

## 2) Expression

$$\hat{M}_0 \hat{\rho} \hat{M}_0^+ = (\hat{\mathbb{I}} - i \hat{k} \Delta t) \hat{\rho} (\hat{\mathbb{I}} + i \hat{k}^+ \Delta t) + \dots$$

$$= \hat{\rho} - i \Delta t (\hat{k} \hat{\rho} - \hat{\rho} \hat{k}^+) + \dots$$

$$= \hat{\rho} - i \Delta t [\hat{H}, \hat{\rho}] - \Delta t (\hat{j} \hat{\rho} + \hat{\rho} \hat{j}) + \dots$$

Remark: for unitary evolution: only  $\hat{N}_0$  in the sum, and

$$\hat{N}_0^+ \hat{N}_0 = \hat{\mathbb{I}}$$

$$(\hat{\mathbb{I}} + i\hat{k}^+ \Delta t)(\hat{\mathbb{I}} - i\hat{k} \Delta t) = \hat{\mathbb{I}} + i\Delta t (\cancel{k^+ - k}) + \dots$$

$$\Rightarrow \hat{J} = 0$$

$$\hat{N}_0 \hat{p} \hat{N}_0^+ = \hat{p} - i[\hat{H}, \hat{p}] \rightsquigarrow \text{Where's } \Delta t = ?$$

~~~

$$\hat{p}(t + \Delta t) = \hat{p}(t) - i[\hat{H}, \hat{p}] \Rightarrow i\dot{\hat{p}} = [\hat{H}, \hat{p}]$$

$\hat{H}$  has to be interpreted as the Hamiltonian

But it can't be from the Hamiltonian of S alone

↓

the difference is the Lamb-shift

$$\text{for } n \geq 1: \sum_{n=0} \hat{N}_n^+ \hat{N}_n = \hat{\mathbb{I}}$$

$$\hat{N}_0^+ \hat{N}_0 + \sum_{n \geq 1} \hat{N}_n^+ \hat{N}_n = \mathbb{I}$$

$$\hat{N}_0^+ \hat{N}_0 = \cancel{\hat{\mathbb{I}}} - 2\Delta t \hat{J} + \Delta t \sum_{n \geq 1} \hat{L}_n^+ \hat{L}_n = \hat{\mathbb{I}}$$

$$\hat{J} = \frac{1}{2} \sum_{n \geq 1} \hat{L}_n^+ \hat{L}_n$$

$$\frac{\partial \hat{P}}{\partial t} = \frac{1}{\Delta t} \cdot (\hat{P}(t + \Delta t) - \hat{P}(t))$$

$$= \frac{1}{\Delta t} \left( \sum_N \hat{M}_N \hat{P}(t) \hat{M}_N^+ - \hat{P}(t) \right)$$

"Lindblad Equation"

$$\frac{\partial \hat{P}}{\partial t} = -i[\hat{H}, \hat{P}] + \sum_{N \geq 1} \hat{L}_N \hat{P} \hat{L}_N^+ - \frac{1}{2} \hat{L}_N^+ \hat{L}_N \hat{P} - \frac{1}{2} \hat{P} \hat{L}_N^+ \hat{L}_N$$

unitary part

Krauss operators  
(POVM)

$\hat{J}$  → non-unitary  
part of  $\hat{K}$   
normalization of  
Krauss representation

$\cdot \hat{L}_N$  : Jump operators  $\propto \sqrt{\text{Hz}}$

### III. Interpretation

. As POVM:  $\{ \hat{M}_N^+ \hat{M}_N, N \}$

$$\hat{E}_0 = \hat{M}_0^+ \hat{M}_0 = \hat{I} - 2\Delta i \hat{J}$$

$$\hat{E}_N = \hat{M}_N^+ \hat{M}_N = \Delta t \hat{L}_N^+ \hat{L}_N$$

$P_0$  = probability to obtain 0:  $\text{Tr}(\hat{\rho}^0 E_0) \xrightarrow{\text{def}} 1 - \Delta t \text{Tr}(\hat{\rho}^0 \hat{j})$

$$\text{Tr}(\hat{\rho}^0 E_0) = 1 - \bar{n}_i \Delta t$$

$$\langle \hat{j} \rangle = \text{Tr}(\hat{\rho}^0 \hat{j}) = \frac{\Gamma}{2}$$

Spontaneous

Emission

$P_N$  = probability to obtain  $N$ :  $\text{Tr}(\hat{E}_N \hat{\rho}^0) = \Delta t \text{Tr}(\hat{\rho}^0 \hat{L}_N^\dagger \hat{L}_N)$   
 $= \Delta t \bar{n}_N$

Normalization:  $\hat{j} = \frac{1}{2} \sum_{N \geq 1} \hat{L}_N^\dagger \hat{L}_N$

Unitary evolution over an extended Hilbert Space  
 $\mathcal{H} = \mathcal{H}_S \otimes \mathcal{H}_R$

$$|\Psi_S\rangle \in \mathcal{H}_S$$

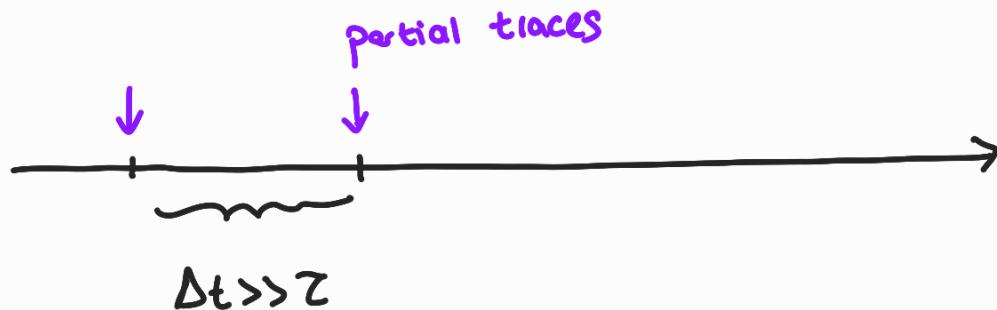
$$\begin{aligned} \hat{U}(|\Psi_S\rangle \otimes |0_R\rangle) &= (\hat{N}_0 |\Psi_S\rangle \otimes |0_R\rangle + \sum_{N \geq 1} \hat{N}_N |\Psi_S\rangle \otimes |n\rangle_R \\ &= [(\hat{\mathbb{I}} - i\hat{H}\Delta t - \hat{j}\Delta t) |\Psi_S\rangle] \otimes |0_R\rangle + \sqrt{\Delta t} \sum_N \hat{L}_N^\dagger |\Psi_S\rangle \otimes |n\rangle_R \end{aligned}$$

Projective measurement on the reservoir:

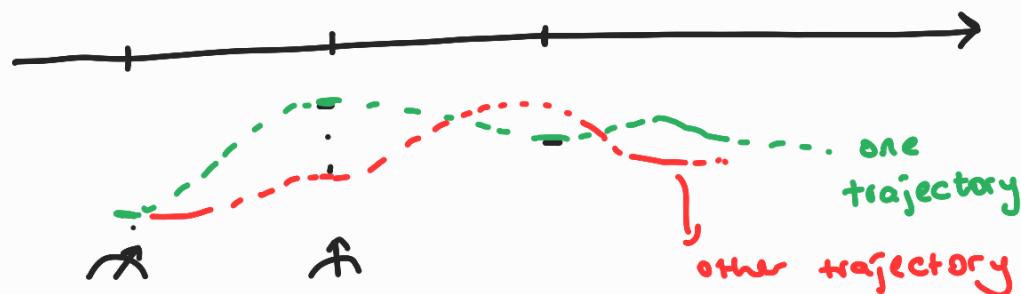
- with prob.  $P_0 \sim O(1) \rightarrow$  reservoir stays in state  $|0_R\rangle$   
 $|n_R\rangle$
- with prob  $P_N$

## Quantum Trajectories:

- Lindblad Equation describes at each point in time the "tracing out" of the environment



- One realization followed in time:

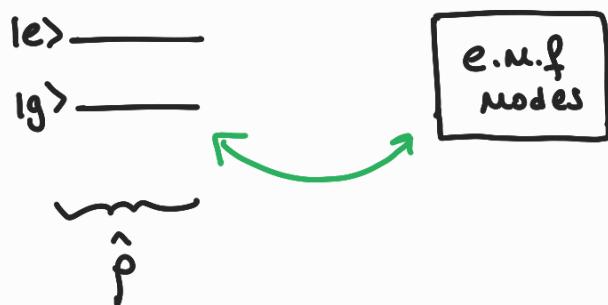


averaging over trajectories  
provide  $\hat{\rho}(t)$

→ Monte-Carlo Wavefunction Method

# OPTICAL BLOCH EQUATIONS

Two level system + environment



## I. Derivation

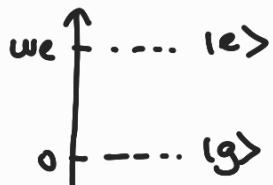
### 1) Model

$$\hat{H} = \Delta |e\rangle\langle e| + \frac{\Omega}{2} ( |e\rangle\langle g| + |g\rangle\langle e|)$$

$\hat{\sigma}_x$  → can be anything

$$\hat{H} = \frac{\Delta}{2} \hat{\mathbb{I}} + \vec{h} \cdot \vec{\sigma}$$

$$\Delta = \omega_e - \omega_{\text{drive}}$$



- $\hat{H}$  in the frame rotating at the drive frequency + RWA

- We have chosen the phase of the external drive

$$\vec{h} \in xy \text{ plane}$$

In general,  $\frac{\Omega}{2} ( |e\rangle\langle g| e^{i\phi} + |g\rangle\langle e| e^{-i\phi} )$ ,  $\phi$  arbitrary

## Spontaneous emission

- $$\hat{L} = \sqrt{\Gamma} |g\rangle\langle e|$$
- jump operator
- jumps produce transitions  $|e\rangle \rightarrow |g\rangle$
  - Note:  $\text{Tr}(\hat{\rho} \hat{L}^+ \hat{L}) = \Gamma$ .  $\text{Tr}(\hat{\rho} |e\rangle\langle e|) = \Gamma p_e$   
 $\downarrow$   
prob. being  
in  $|e\rangle$  state

## 2. Justification

Extended Hilbert Space :  $\mathcal{H} = \mathcal{H}_{\text{2-level sys}} \otimes \mathcal{H}_{\text{emf}}$  (fock space)

Light-Matter interaction :  $\hat{H}_{\text{int}} = \hat{d} \otimes \vec{E}$

$(\text{dipole moment operator})$ 
 $(\text{electromagnetic field operator})$

$$\hat{d} = \vec{e}_x d_0 (|e\rangle\langle g| + |g\rangle\langle e|) \quad (\text{Dipolar approximation})$$

$\downarrow$   
polarization vector
reduced dipole moment

$$\vec{E} = -i \sum_{\vec{k}, \lambda} \sqrt{\frac{\hbar \omega_{\vec{k}}}{2 \epsilon_0 V}} \vec{\epsilon}_{\lambda} (e^{-i(\vec{k} \cdot \vec{r} - \omega_{\vec{k}} t)} \hat{a}_{\vec{k}, \lambda} - \text{hermit conj})$$

$\omega_{\vec{k}} = c |\vec{k}|$

$V$  quantization volume

Fermi Golden Rule  $\Gamma_{E,\lambda} = \frac{2\pi}{\hbar} \left| \langle \{0\}_{E,\lambda}, e | \hat{j} \otimes \hat{E} | \{1\}_E, g \rangle \right|^2$

$$= \delta(\omega_e - \omega_E)$$

Lindblad Equation

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + \sum_{E,\lambda} \left( \hat{L}_{E,\lambda} \hat{\rho} \hat{L}_{E,\lambda}^+ - \frac{1}{2} \hat{L}_{E,\lambda}^+ \hat{L}_{E,\lambda} \hat{\rho} - \frac{1}{2} \hat{\rho} \hat{L}_{E,\lambda}^+ \hat{L}_{E,\lambda} \right)$$

Here  $\hat{L}_{E,\lambda} = \sqrt{\Gamma_{E,\lambda}} \lg X_{el}$

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + \left( \sum_{E,\lambda} \Gamma_{E,\lambda} \right) \lg X_{el} \hat{\rho} \lg X_{el} - \frac{1}{2} \lg X_{el} \hat{\rho} - \frac{1}{2} \hat{\rho} \lg X_{el}$$

Replace all  $L_{E,\lambda}$  by  $\hat{L} = \sqrt{\Gamma} \lg X_{el}$ ,  $\Gamma = \sum_{E,\lambda} \Gamma_{E,\lambda}$

Remark Momentum is not conserved ( $\hbar \vec{k}$  is taken by the field)

### 3. Bloch vector dynamics

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + \Gamma \left( \lg X_{el} \hat{\rho} \lg X_{el} - \frac{1}{2} \hat{\rho} \lg X_{el} - \frac{1}{2} \lg X_{el} \hat{\rho} \right)$$

Matrix element  $\dot{\rho}_{ee} = \langle e | \dot{\hat{\rho}} | e \rangle$

$$= -i \langle e | \hat{H} \hat{\rho} - \hat{\rho} \hat{H} | e \rangle - \Gamma \rho_{ee}$$

$$= -i \frac{\Omega}{2} (\rho_{ge} - \rho_{eg}) - \Gamma \rho_{ee}$$

$$\dot{\rho}_{eg} = -i \langle e \vec{l} \hat{H} \vec{p} - \vec{p} \hat{H} l g \rangle - \frac{\Gamma}{2} \rho_{eg}$$

$$= -i \left( \Delta \rho_{eg} + \frac{\Omega}{2} (\rho_{gg} - \rho_{ee}) \right) - \frac{\Gamma}{2} \rho_{eg}$$

$$\rho_{ee} + \rho_{gg} = 1 \Rightarrow \dot{\rho}_{ee} = -\dot{\rho}_{gg}$$

$$\rho_{ge} = \rho_{eg}^*$$

?  
(!)

lexel Igxg1  $(2^\circ)-(0^\circ)$

$$\alpha_z = \rho_{ee} - \rho_{gg} = 2\rho_{ee} - 1$$

$$\hat{p} = \frac{1}{2} (\mathbb{I} + \vec{a} \cdot \vec{\sigma})$$

$$\alpha_x = \rho_{eg} - \rho_{ge}$$

$$\alpha_y = i(\rho_{eg} - \rho_{ge})$$

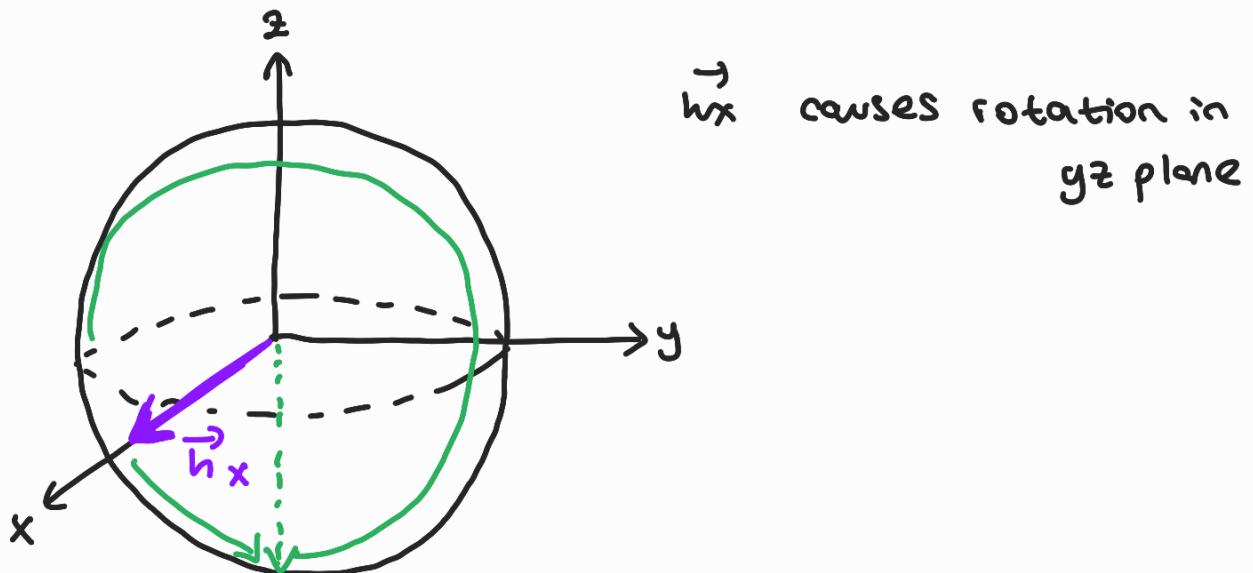
$$\dot{\alpha}_z = -\Omega \alpha_y - \Gamma (\alpha_z + 1)$$

$$\dot{\alpha}_x = -\frac{\Gamma}{2} \alpha_x - \underbrace{\Delta \alpha_y}_{\text{detuning}}$$

"Optical Bloch"  
Equation

$$\dot{\alpha}_y = -\frac{\Gamma}{2} \alpha_y + \underbrace{\Delta \alpha_x}_{\text{external drive}} - \Omega \alpha_z$$

\* Choice of phase appears in the  $\alpha_y$  equation



## II. Solutions

Stationary solutions ( $\dot{\vec{a}}=0$ )

Let  $s = \frac{2\Omega^2}{\Gamma^2 + 4\Delta^2} = \text{saturation parameter}$   
 $(\text{dimensionless})$

$$a_x = -\frac{2\Delta}{\Omega} \frac{s}{1+s}$$

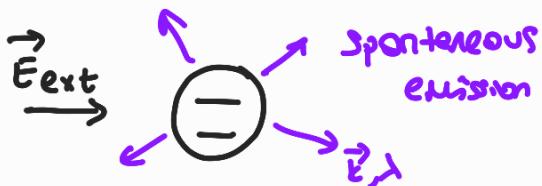
$$a_y = \frac{\Gamma}{\Omega} \frac{s}{(1+s)}$$

$$a_z = \frac{-1}{(1+s)}$$

Interpretation:  $p_e = \langle e | \hat{p} | e \rangle = p_{ee} = \frac{1+a_z}{2} = \frac{1}{2} \frac{s}{(1+s)}$

$\downarrow$   
prob. being in 1e>

↔ Rate of photon scattering

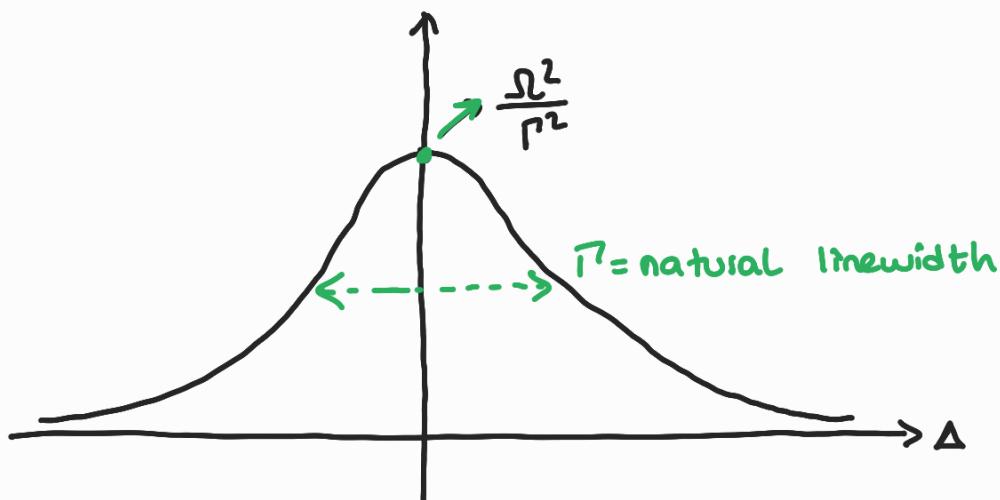


$$\Gamma_{\text{fee}} = \text{rate of spontaneous emission} = \text{photon scattering}$$

.  $S \ll 1$  : low saturation

either  $\Omega \ll \Gamma, \Delta \dots$   
→ large  $\Delta$

Then  $\rho_{\text{ee}} = \frac{S}{2} = \frac{\Omega^2}{\Gamma^2 + 4\Delta^2} \ll 1$  "Lorentzian Profile"



Remark: Classical harmonic oscillator with damping rate  $\Gamma$

Low  $S \Rightarrow \rho_{\text{ee}}$  low



.  $S \gg 1$  Strong saturation  $P_{ee} = \frac{1}{2}$ ,  $\Omega \gg \Gamma, \Delta$

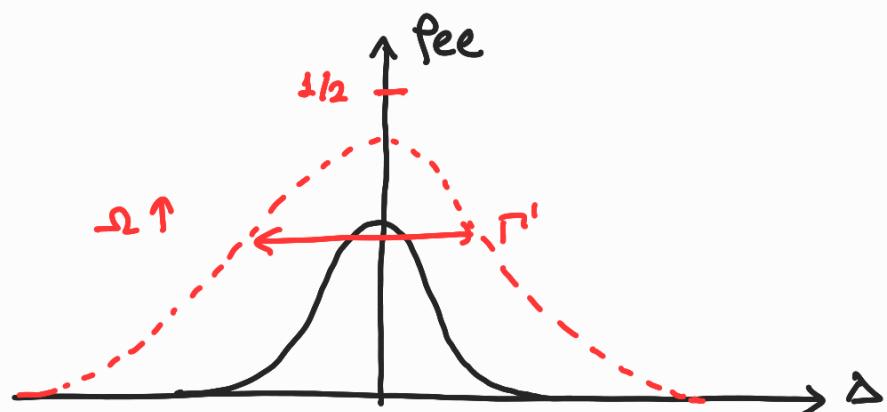
forget  $\Gamma$ : at the scale of  $T_{\text{Rabi}} = \frac{2\pi}{\Omega}$ ,

there is no spontaneous emission.

$\frac{1}{2}$  = time average of the Rabi oscillation

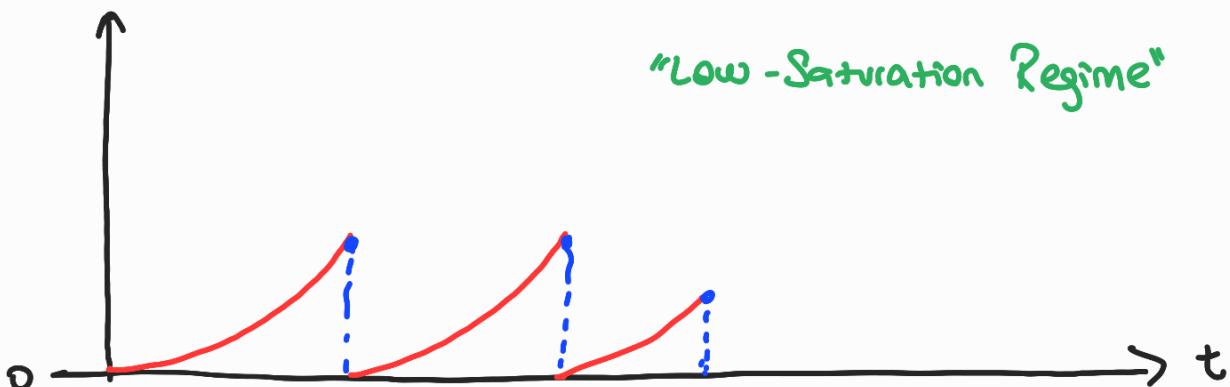
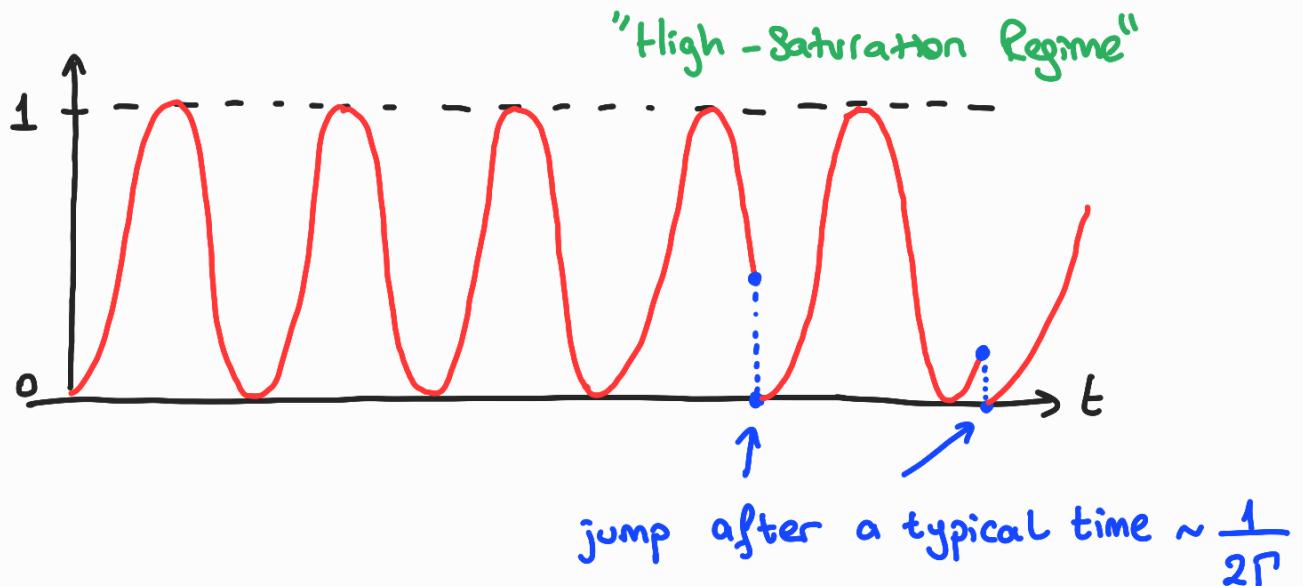
. Intermediate region:  $P_{ee} = \frac{\frac{1}{2}\Omega^2}{4\Delta^2 + \Gamma'^2}$ ,  $\Gamma' = \sqrt{\Gamma^2 + 2\Omega^2}$

power broadening



# Quantum Trajectory Interpretation

$$|\langle e | \Psi(t) \rangle|^2$$



**Remarks:** We can include other processes than sp. emission

$$\hat{L}_1 = \sqrt{\Gamma_1} \operatorname{Im} X_{e1}$$

$$\hat{L}_2 = \sqrt{\Gamma_2} \operatorname{Im} X_{e1} \quad \text{Dephasing}$$

$$\text{then: } \dot{\rho}_{ee} = -i \langle e | \hat{H} \hat{p} - \hat{p} \hat{H} | e \rangle - \Gamma_1 \rho_{ee}$$

$$\dot{\rho}_{eg} = -i \langle e | \hat{H} \hat{p} - \hat{p} \hat{H} | g \rangle - \frac{\Gamma_1}{2} \rho_{eg} - \frac{\Gamma_2}{2} \rho_{eg}$$

In general,  $T_1$  time  $\rightarrow$  decay time of PEE  
 $T_2$  time  $\rightarrow$  " " " feg

Without dephasing :  $T_2 = 2T_1 \rightarrow$  performance Criteria

# DAMPED HARMONIC OSCILLATOR

## I. Introduction

Single mode of the e.m field  $\rightarrow$  

\* Hamiltonian  $\hat{H} = \omega_c \hat{a}^\dagger \hat{a} + \sqrt{\kappa} \mathcal{E} (\hat{a} e^{i\omega_{\text{ext}} t} + \text{harm conj.})$

in a rotating frame at  $\omega_r$   $U(t) = e^{i\omega_{\text{ext}}(\hat{a}^\dagger \hat{a})}$

$$\hat{H} = \Delta \hat{a}^\dagger \hat{a} + \sqrt{\kappa} \mathcal{E} (\hat{a} + \hat{a}^\dagger) \quad \Delta = \omega_c - \omega_r$$

\* jump operator  $\hat{L} = \sqrt{\kappa} \hat{a}$

Lindblad Equation  $\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + K (\hat{a} \hat{\rho} \hat{a}^\dagger - \frac{1}{2} \hat{\rho} \hat{a} \hat{a}^\dagger - \frac{1}{2} \hat{a}^\dagger \hat{a} \hat{\rho})$

## II. Field Amplitude

$$\langle \hat{a} \rangle = \text{Tr}(\hat{\rho} \hat{a})$$

Cyclicity

$$\text{Tr}(ABC) = \text{Tr}(CAB)$$

$$\frac{d}{dt} \langle \hat{a} \rangle = \frac{d}{dt} \text{Tr}[\hat{\rho} \hat{a}] = \text{Tr}(\dot{\hat{\rho}} \hat{a})$$

$$\text{Tr} \left[ \hat{a} (-i [\hat{H}, \hat{\rho}]) + K (\hat{a} \hat{\rho} \hat{a}^\dagger - \frac{1}{2} \hat{\rho} \hat{a} \hat{a}^\dagger - \frac{1}{2} \hat{a}^\dagger \hat{a} \hat{\rho}) \right]$$

$$-i \text{Tr}(\hat{a} [\hat{H}, \hat{\rho}]) = -i \text{Tr}(\hat{a} \hat{H} \hat{\rho} - \hat{a} \hat{\rho} \hat{H}) = -i \text{Tr}((\underbrace{\hat{a} \hat{H} - \hat{H} \hat{a}}_{= -i \langle [\hat{a}, \hat{H}] \rangle} ) \hat{\rho}) = -i \langle [\hat{a}, \hat{H}] \rangle$$

$$= -i \langle [\hat{a}, \Delta \hat{a}^\dagger \hat{a} + \sqrt{\kappa} \mathcal{E} (\hat{a} + \hat{a}^\dagger)] \rangle$$

$$= -i \Delta \langle \hat{a} \rangle - i \sqrt{\kappa} \mathcal{E}$$

$$K \text{Tr} \left[ \hat{a}^\dagger \hat{a} \hat{\rho} \hat{a}^\dagger + \frac{1}{2} \hat{a}^\dagger \hat{a} \hat{a}^\dagger \hat{a} - \frac{1}{2} \hat{a}^\dagger \hat{a}^\dagger \hat{a} \hat{\rho} \right]$$

$$\begin{aligned}
 &= K \text{Tr} (\hat{a}^\dagger \hat{a} \hat{\rho} \hat{a}^\dagger) - \frac{K}{2} \text{Tr} (\hat{a}^\dagger \hat{a} \hat{a}^\dagger \hat{a}) - \frac{K}{2} \text{Tr} (\hat{a}^\dagger \hat{a}^\dagger \hat{a} \hat{\rho}) \\
 &= \frac{K}{2} \langle \hat{a} \rangle
 \end{aligned}$$

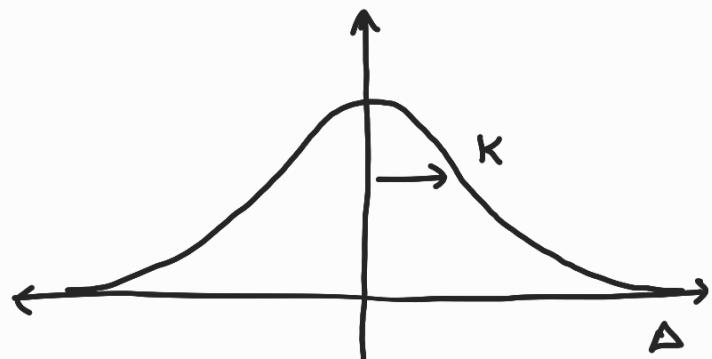
Thus

$$\langle \dot{a} \rangle = -i \Delta \langle \hat{a} \rangle - \frac{K}{2} \langle \hat{a} \rangle - i \sqrt{K} \epsilon$$

$$\text{Steady State } \langle \dot{a} \rangle = 0 \Rightarrow \langle a \rangle = \frac{\sqrt{K} \epsilon}{-\Delta + i K / 2}$$

Intensity

$$|\langle a \rangle|^2 = \frac{K |\epsilon|^2}{\Delta^2 + \frac{K^2}{4}}$$



$$|\langle \hat{a} \rangle|^2 \sim \# \text{ of photons} = \frac{2 |\epsilon|^2}{K}$$

$|\epsilon|^2$ : photon flux  $\Leftrightarrow$  laser intensity

$\epsilon$  is the laser amplitude

Remark

$$\hat{H} \rightarrow \hat{H}_{\text{eff}} = \left( \Delta - \frac{iK}{2} \right) \hat{a}^\dagger \hat{a} \text{ not Hermitian}$$

### III. Coherent State Decay

Consider  $| \alpha \rangle : \hat{L} | \alpha \rangle = \sqrt{k} \alpha | \alpha \rangle$

Extended Hilbert Space:  $\mathcal{H} = \mathcal{H}_S \otimes \mathcal{H}_{\text{env}}$

$$\hat{U}(| \alpha \rangle \otimes | 0 \rangle) = \underbrace{(\hat{N}_0 | \alpha \rangle)}_{\text{no jump}} \otimes | 0 \rangle + \underbrace{(\hat{N}_1 | \alpha \rangle)}_{\text{jump}} \otimes | 1 \rangle$$

$$= [(\mathbb{I} - i\Delta t \hat{H} - i\Delta t \hat{J}) | \alpha \rangle] \otimes | 0 \rangle + (\hat{N}_1 | \alpha \rangle) \otimes | 1 \rangle$$

$$= \left[ (\mathbb{I} - i\Delta t \left( \omega_c - \frac{i k}{2} \right) \hat{a}^\dagger \hat{a}) | \alpha \rangle \right] \otimes | 0 \rangle + \left( \sqrt{\Delta t k} \alpha | \alpha \rangle \right) \otimes | 1 \rangle$$

Measure the environment

$\rightarrow 1$  is obtained  $| \alpha \rangle \rightarrow | \alpha \rangle$

$\rightarrow 0$  is obtained  $\underbrace{(\mathbb{I} - i\Delta t \left( \omega_c - \frac{i k}{2} \right) \hat{a}^\dagger \hat{a}) | \alpha \rangle}$

$(\Delta t \text{ is sufficiently small}) e^{-i\Delta t \left( \omega_c - \frac{i k}{2} \right) \hat{a}^\dagger \hat{a}} | \alpha \rangle$

$$\text{if } k=0 : e^{-i\Delta t \omega_c \hat{a}^\dagger \hat{a}} |\alpha\rangle = |\alpha e^{-i\Delta t \omega_c}\rangle$$

↓  
free evolution

$$\text{with } K \text{ finite: } e^{-\Delta t \frac{K}{2} \hat{a}^\dagger \hat{a}} |\alpha(t)\rangle$$

## IV Alternative descriptions

### 1) Photon Number

$|n\rangle$  Fock state  $\mathcal{H}_S = \{ |n\rangle, n \in \mathbb{N} \}$

$$\langle n | \hat{\rho} | m \rangle = \rho_{nm}$$

No external drive:  $\hat{H} = \omega_c \hat{a}^\dagger \hat{a}$

$$\dot{\rho}_{nm} = \frac{d}{dt} \langle n | \hat{\rho} | m \rangle = \langle n | \dot{\hat{\rho}} | m \rangle$$

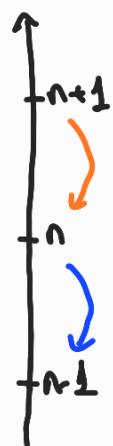
$$\dot{\rho}_{nm} = i\omega_c (n-m) \rho_{nm} - \frac{K}{2} (n+m) \rho_{nm} + K \sqrt{n+1} \sqrt{m+1} \rho_{n+1} \rho_{m+1}$$

Population  $p(n) = \rho_{nn}$

$$\Rightarrow \dot{p}(n) = - \underbrace{K_n p(n)}_{\text{loss}} + \underbrace{K(n+1) p(n+1)}_{\text{gain}}$$

Remark: Rate of decay of  $p(n)$  is  $nK$

Fock states with large  $n$  are highly unstable



$$\# \text{ of photons} \quad \langle \dot{n} \rangle = \frac{d}{dt} \langle n \rangle = \text{Tr} (\hat{\rho} \dot{n}) = \sum_n \dot{\rho}(n) n = -k \langle n \rangle$$

Notice  $\langle \dot{a} \rangle = -i(\omega_c - \frac{k}{2}) \langle a \rangle$  ?

## 2-Phase space description

Husimi Q function:  $Q(\alpha) = \frac{1}{\pi} \langle \alpha | \hat{\rho} | \alpha \rangle$

Lindblad Equation  $\rightarrow \frac{\partial Q}{\partial t} = -i\omega_c \left( \alpha^* \frac{\partial Q}{\partial \alpha^*} \cdot \alpha \frac{\partial Q}{\partial \alpha} \right) + \frac{k}{2} \left( \frac{\partial(\alpha Q)}{\partial \alpha} + \frac{\partial(k\bar{a})}{\partial \alpha^*} \right) + k \frac{\partial^2 Q}{\partial \alpha \partial \alpha^*}$

(Statistical Physics IV) Fokker-Planck equation

## V. Extensions

### 1. Finite temperature

unitary evolution on  $\mathcal{H}_S \otimes \mathcal{H}_E$

$$\hat{U}(|\psi\rangle \otimes |N\rangle) = (\hat{N}_0 |\psi\rangle) \otimes |N\rangle + (\hat{N}_- |\psi\rangle) \otimes |N+1\rangle + (\hat{N}_+ |\psi\rangle) \otimes |N-1\rangle$$

$$\lambda(\hat{a}^\dagger \hat{b}^\dagger + h.c) = \hat{H}_{SE}$$

(sys-env coupling)

$$\hat{M}_- \sim \sqrt{k\Delta t} \sqrt{N+1} \hat{a} \quad \rightarrow \hat{L}_- = \sqrt{k} \sqrt{N+1} \hat{a}$$

$$\hat{M}_+ \sim \sqrt{k\Delta t} \sqrt{N} \hat{a}^\dagger \quad \rightarrow \hat{L}_+ = \sqrt{k} \sqrt{N} \hat{a}^\dagger$$

At temperature  $T = \frac{1}{\beta}$      $N = \frac{1}{e^{\beta \omega_c} - 1}$  so that @  $T=0 : \hat{L}_+ = 0$

## 2) Phase Damping

$$\hat{L} = \gamma \hat{a}^\dagger \hat{a} \quad (\text{preserves photon number, get rid of coherence})$$

Environment "measuring the # of photons"

Fock basis:  $\dot{p}_{nm}(t) = \left[ -i \omega_c (n-m) - \frac{\gamma}{2} (n+m)^2 \right] p_{nm}$

$p_{nm}$  for  $n \neq m \rightarrow 0$  exponentially fast

# QUANTUM TRAJECTORIES

## I. Stochastic Schrödinger Equation

### 1) Introduction

Duration  $\Delta t$   $\{ \hat{N}_n \}$   $\rightarrow$  measurement operators

Update rule:

$$|\Psi_c(t+\Delta t)\rangle = \frac{\hat{N}_n |\Psi_c(t)\rangle}{\sqrt{p_n}}, \text{ conditioned on outcome } n$$

- $\hat{N}_n = \sqrt{\Delta t} \hat{L}_n \quad n \geq 1$

- $\hat{N}_0 = \hat{\Pi} - i\hat{H}\Delta t - \sum_{n \geq 1} \frac{\hat{L}_n^\dagger \hat{L}_n}{2} \Delta t = \hat{\Pi} - i\hat{H}_{\text{eff}} \Delta t$

$p_n = \langle \Psi_c(t) | \hat{N}_n^\dagger \hat{N}_n | \Psi_c(t) \rangle = \begin{cases} \langle \Psi_c(t) | \hat{L}_n^\dagger \hat{L}_n | \Psi_c(t) \rangle \cdot \Delta t & \text{for } n \geq 1 \\ 1 - \sum_{n \geq 1} \langle \Psi_c(t) | \hat{L}_n^\dagger \hat{L}_n | \Psi_c(t) \rangle & \text{for } n=0 \end{cases}$

↓ probability

## 2) Unraveling

From the point of view of the "environment":  $N_\nu(t)$

$\leftarrow$   
 # of clicks of detector  $\nu$ .  
 in the interval  $[0, t]$

$N_\nu(t)$  is a classical random variable

Let  $\Delta t \rightarrow dt$ :

$$dN_\nu(t) = \begin{cases} 1 & \text{if the detector } \nu \text{ clicked} \\ & \text{between } t \text{ and } t+dt \\ 0 & \text{otherwise} \end{cases}$$

$$dN_0(t) = 1 - \sum_{\nu \neq 0} dN_\nu(t)$$

$dN_\nu$  is a classical random process =

$$\begin{cases} dN_\nu = 1 \text{ w prob. } p_\nu = \langle l_\nu^\dagger l_\nu \rangle dt \\ dN_0 = 1 \text{ w prob. } 1 - p_\nu \end{cases}$$

ensemble average

$$\overline{dN_\nu} = p_\nu$$

"point process":  $dN_\nu dN_\nu = \delta_{\nu\nu} dN_\nu$ ,  $dN_\nu dt \ll dt$

### 3) Derivation

$$|\Psi_c(t+dt)\rangle = \sum_N dN_N(t) \frac{\hat{N}_N |\Psi_c(t)\rangle}{\sqrt{p_N}}$$

Separate  $N=0$  and  $N \geq 1$

$$|\Psi_c(t+dt)\rangle = \left( 1 - \sum_{N \geq 1} dN_N(t) \right) \frac{\hat{N}_0 |\Psi_c\rangle}{\sqrt{1 - \sum_{N \geq 1} p_N}} + \underbrace{\sum_{N \geq 1} dN_N(t) \frac{\hat{N}_N |\Psi_c(t)\rangle}{\sqrt{p_N}}}_{O(dt)}$$

$$\sum_{N \geq 1} dN_N(t) \frac{\hat{L}_N |\Psi_c(t)\rangle}{\sqrt{\langle \hat{L}_N^+ \hat{L}_N \rangle}}$$

$$|\Psi_c(t+dt)\rangle = \left( 1 - \underbrace{\sum_{N \geq 1} dN_N(t)}_{\text{purple}} \right) \left( 1 + \underbrace{\frac{dt}{2} \sum_N \langle \hat{L}_N^+ \hat{L}_N \rangle}_{\text{orange}} \right) \left( \underbrace{\mathbb{I} - i\hat{H}dt - \frac{dt}{2} \sum_N \hat{L}_N^+ \hat{L}_N}_{\text{orange}} \right) |\Psi_c(t)\rangle$$

$$+ \sum_{N \geq 1} dN_N(t) \frac{\hat{L}_N |\Psi_c(t)\rangle}{\sqrt{\langle \hat{L}_N^+ \hat{L}_N \rangle}}$$

$$|\Psi_c(t+dt)\rangle - |\Psi_c(t)\rangle = \left[ -i\hat{H}dt |\Psi_c\rangle - \frac{dt}{2} \sum_N (\hat{L}_N^+ \hat{L}_N - \langle \hat{L}_N^+ \hat{L}_N \rangle) |\Psi_c\rangle \right]$$

$$+ \sum_N dN_N(t) \left( \frac{\hat{L}_N |\Psi_c(t)\rangle}{\sqrt{\langle \hat{L}_N^+ \hat{L}_N \rangle}} - \mathbb{I} \right) |\Psi_c\rangle \Big]$$

}

$$\begin{aligned} \langle \Psi_c | \dot{\Psi}_c \rangle &= \left( -i\hat{H} - \frac{1}{2} \sum_n \hat{L}_n^\dagger \hat{L}_n - \langle \Psi_c | \hat{L}_n^\dagger \hat{L}_n | \Psi_c \rangle \right) dt | \Psi_c \rangle \\ &+ \sum_n dN_p \left( \frac{\hat{L}_n}{\sqrt{\langle \hat{L}_n^\dagger \hat{L}_n \rangle}} - 1 \right) | \Psi_c \rangle \end{aligned}$$

"Stochastic Schrödinger Equation"

- Remarks:
- \* Solution to SSE  $\{ N_p(t), |\Psi_{c(+)}\rangle^2 \}$  is called quantum trajectory.
  - \* Nonlinear

## II. Interpretation

### 1) Lindblad Equation

Consider  $\overline{|\Psi_{c(+)} \times \Psi_{c(t)}|}$  → average over the random process  $dN_p$

$$|\Psi_{c(+)}\rangle + d|\Psi_{c(+)}\rangle$$

$$\overline{|\Psi_{c(t+dt)} \times \Psi_{c(t+dt)}|} = \overline{|\Psi_{c(+)} \times \Psi_{c(+)}|} + \underbrace{d|\Psi_{c(+)} \times \Psi_{c(+)}|}_{\text{SSE } (1)}$$

$$+ \underbrace{|\Psi_{c(+)}\rangle d\langle \Psi_{c(+)}|}_{(2)} + \underbrace{d|\Psi_c\rangle d\langle \Psi_c|}_{(3)}$$

it's not 2nd order

( due to point process)  
 $dN_p dN_q = \delta_{pq} dN_p$

$$|\Psi_{C(t+dt)} \times \Psi_{C(t+dt)}| - |\Psi_{C(t)} \times \Psi_{C(t)}| = d\hat{p}$$

$$d\hat{p} = \left[ \left( -i \hat{H}_{eff} + \frac{1}{2} \sum_n \overbrace{\langle \hat{L}_n^+ \hat{L}_n \rangle}^{(*)} dt \right) |\Psi_C\rangle \right] |\Psi_C\rangle$$

$$+ \sum_n \overline{dN_n} \left( \frac{\overline{\hat{L}_n} |\Psi_C \times \Psi_C|}{\sqrt{\langle \hat{L}_n^+ \hat{L}_n \rangle}} - |\Psi_C \times \Psi_C| \right)$$

$$+ |\Psi_{C(+)} \times \Psi_{C(+)}| \left( +i \hat{H}_{eff}^+ + \frac{1}{2} \sum_n \overbrace{\langle \hat{L}_n^+ \hat{L}_n \rangle}^{(**)} dt \right)$$

$$+ \sum_n \overline{dN_n} \left( \frac{\overline{|\Psi_C \times \Psi_C| \hat{L}_n^+}}{\sqrt{\langle \hat{L}_n^+ \hat{L}_n \rangle}} - |\Psi_C \times \Psi_C| \right)$$

$$\cdot + \sum_{n n'} \overline{dN_n dN_{n'}} \left( \frac{\overline{\hat{L}_n}}{\sqrt{\langle \hat{L}_n^+ \hat{L}_n \rangle}} - \hat{\Pi} \right) |\Psi_C \times \Psi_C| \left( \frac{\overline{\hat{L}_{n'}^+}}{\sqrt{\langle \hat{L}_{n'}^+ \hat{L}_{n'} \rangle}} - \hat{\Pi} \right)$$

↓ last term can be written

$$\rightarrow \overline{dN_n dN_{n'}} = \delta_{nn'} \overline{dN_n} \quad \left\{ \sum_n \overbrace{\langle \hat{L}_n^+ \hat{L}_n \rangle}^{(*)} dt - \overbrace{\frac{\overline{\hat{L}_n} |\Psi \times \Psi| - |\Psi \times \Psi| \overline{\hat{L}_n^+}}{\sqrt{\langle \hat{L}_n^+ \hat{L}_n \rangle}}}^{(**)}$$

$$\rightarrow \overline{dN_n} = \langle \hat{L}_n^+ \hat{L}_n \rangle dt$$

$$+ \overbrace{\frac{\overline{\hat{L}_n} |\Psi \times \Psi| \overline{\hat{L}_n^+}}{\sqrt{\langle \hat{L}_n^+ \hat{L}_n \rangle}}}^{(***)} + |\Psi \times \Psi|$$

$$d\hat{\rho} = -i \left[ \hat{H}_{\text{eff}} \hat{\rho} - \hat{\rho} \hat{H}_{\text{eff}}^+ \right] + \sum_n \hat{L}_n \hat{\rho} \hat{L}_n^+$$

"Lindblad Equation"

## 2) Monte-Carlo wavefunction Algorithm

$$\dim \mathcal{H} = N$$

$$\hat{\rho} \sim O(N^2) \text{ entries}$$

$$\text{propagating } \hat{\rho} \sim O(N^4)$$

- \* Pure state  $|\Psi\rangle \sim O(N)$  entries  
Propagation of  $|\Psi\rangle \sim O(N^2)$

$M$  different trajectories  $\rightarrow$  recovering  $\hat{\rho} = \overline{|\Psi_c \times \Psi_c|}$   
 $(M \ll N^2)$   $\sim O(MN^2)$

⊕ parallel task

- \* Naive algorithm: Define  $\delta t$  time step.

· Each  $\delta t$ : draw random number  $R \in [0,1]$

· Jump prob.:  $p_N = \langle \Psi_c | \hat{L}_N^+ \hat{L}_N^- | \Psi_c \rangle \delta t$

· Total jump probability:  $P_N = \sum_N p_N$

If  $R < p$  : jump happens, determine randomly which one  
 $\{n\}_{n \geq 1}$

Apply corresponding  $\hat{L}_n |\Psi_c\rangle$  + normalize to obtain  
 $|\Psi_c(t+\delta t)\rangle$

Record the jump event on "channel"  $n$ .

If  $R > p$  :

related to normalization

$$\text{Determine } \delta |\Psi_c\rangle = \left[ -i\hat{H} - \frac{1}{2} \sum_n (\hat{L}_n^\dagger \hat{L}_n - \langle \hat{L}_n^\dagger \hat{L}_n \rangle) \right] \delta t |\Psi_c\rangle$$

$$|\Psi_c(t+\delta t)\rangle = |\Psi_c(t)\rangle + \delta |\Psi_c\rangle$$

## \* Clever Algorithm

Use non-normalized wavefunction for the no-jump evolution:

$$\frac{d|\tilde{\Psi}\rangle}{dt} = - \left( \hat{H} + \frac{1}{2} \sum_n \underbrace{\hat{L}_n^\dagger \hat{L}_n}_{\downarrow} \right) |\tilde{\Psi}\rangle$$

decrease of  $\langle \tilde{\Psi} | \tilde{\Psi} \rangle$

Draw random  $R$ , evolve until  $\langle \tilde{\Psi} | \tilde{\Psi} \rangle = R$

↓

then jump (like previously)

### 3) Different types of unravelings

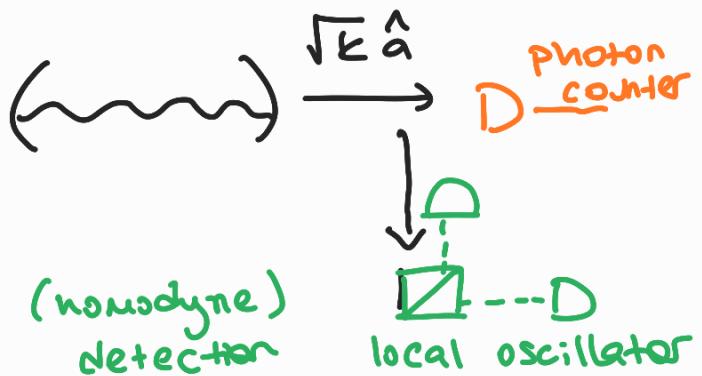
Remark: Unitary transformations on Krauss operators:

$$\hat{K}_\mu = \sum_{\nu, \kappa} U_{\mu \kappa} \hat{M}_\nu$$

$$\sum_\kappa \hat{K}_\mu \hat{\rho} \hat{K}_\nu^+ = \sum_\nu \hat{M}_\nu \hat{\rho} \hat{M}_\nu^+; \quad \sum_\nu \hat{K}_\nu^+ \hat{K}_\nu = I = \sum_\mu \hat{M}_\mu^+ \hat{M}_\mu$$

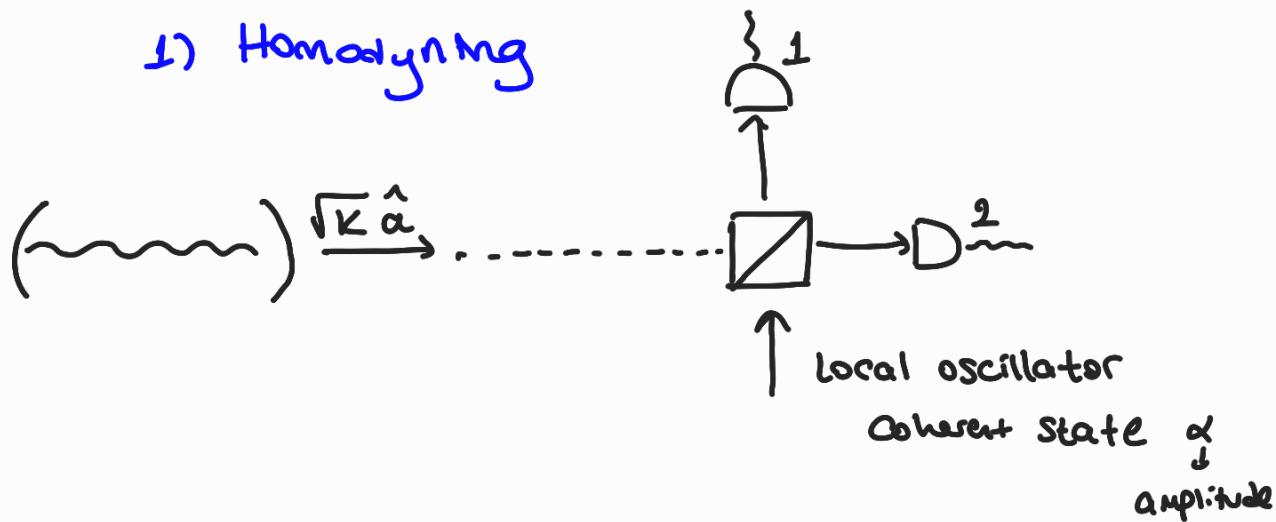
Lindblad Equation: Similar

Example: Photon detection



### III. Weak Continuous Measurement

1) Homodyning



$$\begin{aligned} \hat{L} = \sqrt{k} \hat{a} &\xrightarrow{\text{(mixing with coherent state)}} \sqrt{k} \frac{\hat{a} - \alpha \hat{\mathbb{I}}}{\sqrt{2}} = \hat{c}_1 \\ &\quad \text{and} \\ &\quad \sqrt{k} \cdot \frac{\hat{a} + \alpha \hat{\mathbb{I}}}{\sqrt{2}} = \hat{c}_2 \end{aligned}$$

$$\text{Transformations} \quad \hat{N}_0^+ \hat{N}_0 + \hat{N}_1^+ \hat{N}_1 = \hat{\mathbb{I}}$$

$$(\hat{\mathbb{I}} - i \hat{H}_{\text{eff}} \delta t)^+ (\hat{\mathbb{I}} - i \hat{H}_{\text{eff}} \delta t) + k \hat{a}^\dagger \hat{a} \delta t = \hat{\mathbb{I}}$$

$$\delta t \text{ terms} \rightarrow i (\hat{H}_{\text{eff}}^+ - \hat{H}_{\text{eff}}) + k \hat{a}^\dagger \hat{a} = 0$$

$$\hat{H}_{\text{eff}} = \hat{H} - i \frac{k \hat{a}^\dagger \hat{a}}{2}$$

$$\text{Now: } \hat{N}_0^+ \hat{N}_0^- + \hat{N}_1^+ \hat{N}_1^- + \hat{N}_2^+ \hat{N}_2^- = \mathbb{I}$$

$$(\hat{\mathbb{I}} - i\hat{H}_{\text{eff}} \delta t)^{\dagger} (\hat{\mathbb{I}} - i\hat{H}_{\text{eff}} \delta t) + \frac{k \delta t}{2} (\hat{a}^{\dagger} \hat{a} + |\alpha|^2 - \cancel{\hat{a}^{\dagger} \hat{a}^{\dagger}} - \cancel{\hat{a}^{\dagger} \hat{a}})$$

$$+ \frac{k \delta t}{2} (\hat{a}^{\dagger} \hat{a} + |\alpha|^2 + \cancel{\hat{a}^{\dagger} \hat{a}^{\dagger}} + \cancel{\hat{a}^{\dagger} \hat{a}})$$

$$\hat{H}_{\text{eff}}^{\dagger} = \hat{H} - \frac{ik}{2} (\hat{a}^{\dagger} \hat{a} - |\alpha|^2)$$

$\downarrow$  constant is irrelevant  
(normalized out)

For detector 1:

$$P_1 = \delta t \langle \hat{c}_1^{\dagger} \hat{c}_1 \rangle = \frac{k \delta t}{2} (|\alpha|^2 + \langle \hat{a}^{\dagger} \hat{a} \rangle - \langle \alpha^* \hat{a}^{\dagger} + \alpha \hat{a} \rangle)$$

$$\text{let } \alpha = |\alpha| e^{i\phi} \quad \text{and} \quad \hat{x}_{\phi} = e^{i\phi} \hat{a}^{\dagger} + \hat{a} e^{-i\phi}$$

$$P_1 = \frac{k \delta t}{2} (|\alpha|^2 + \langle \hat{a}^{\dagger} \hat{a} \rangle - |\alpha| \langle \hat{x}_{\phi} \rangle)$$

$$P_1 = \frac{k \delta t}{2} (|\alpha|^2 + \langle \hat{a}^{\dagger} \hat{a} \rangle + |\alpha| \langle \hat{x}_{\phi} \rangle)$$

\* Let  $|\alpha|$  is very large :  $|\alpha|^2 \gg \langle \hat{a}^{\dagger} \hat{a} \rangle$

Integrate  $\int_0^{\delta \tau} dN_1$  : choose  $\delta \tau$  such that

$$\langle \hat{x}_{\phi} \rangle(t+\varepsilon) \sim \langle \hat{x}_{\phi} \rangle(t)$$

for  $\varepsilon \ll \delta \tau$

$$|\Psi_c(t+\varepsilon)\rangle \sim |\Psi_c(t)\rangle$$

Let  $I_{1,2} = \frac{\delta N_1}{\delta t}$  : photocurrent

Large # of counts, uncorrelated (Poisson) distributed  
 $\Rightarrow$  Gaussian

$$\delta N_1 = \bar{\delta N}_1 + \delta W_1$$

→ continuously fluctuating random variable.  
(noise)

$$\bar{\delta N}_1 = \int_0^t dN_1 = \frac{k}{2} \delta t (|\alpha|^2 + \langle \hat{x}_\phi \rangle(0) \cdot |\alpha|)$$

can be ignored

$$\bar{\delta W}_1 = 0 \quad \text{and} \quad \bar{\delta W}_1^2 = \bar{\delta N}_1 \sim \frac{k}{2} |\alpha|^2 \delta t$$

So:

$$dN_1(t) = \frac{k|\alpha|^2}{2} \left( 1 + \frac{\langle \hat{x}_\phi \rangle(t)}{|\alpha|} \right) dt + |\alpha| \sqrt{\frac{k}{2}} dW$$

$dW$ : Wiener process

$$I_{1,2}(t) = \frac{k|\alpha|^2}{2} \left( 1 + \frac{\langle \hat{x}_\phi \rangle(t)}{|\alpha|} \right) + |\alpha| \sqrt{\frac{k}{2}} \xi_{1,2}(t)$$

Langevin

$$\xi(t) dt = dW$$

Balanced Homodyne Detection: Record  $I_2 - I_1$

$$I_{bh}(t) = K |2| \langle x_\phi(t) + 1 \rangle \sqrt{2} \xi(t)$$



$$\xi(t) = \frac{\xi_2 - \xi_1}{\sqrt{2}}$$

Remark: Unbalanced also works:

One can also use heterodyne detection:

$$LO \rightarrow \propto e^{i \omega t}$$

## 2) Quantum state diffusion

During  $\delta t$

$$|\Psi_c(t+\delta t)\rangle = \prod_i \hat{M}_{n_i}(\Delta t_i) |\Psi_c(t)\rangle$$



$$|\tilde{\Psi}_c(t+\delta t)\rangle \sim \hat{M}_2(\delta t) \hat{c}_1^{m_1} \hat{c}_2^{m_2} |\tilde{\Psi}_c(t)\rangle$$

Conditioned on  $m_1$  clicks on 1  
 $m_2$  clicks on 2

$$\hat{c}_1^{m_2} = \left( \sqrt{\frac{k}{2}} \right)^{m_2} (\hat{a} - \alpha)^{m_2} = \left( \sqrt{\frac{k}{2}} - \alpha \right)^{m_2} \left( \hat{a} - \frac{\alpha}{\alpha} \right)^{m_2}$$

$\left[ \sqrt{\frac{k}{2}} | \alpha | \right]^{m_2} \rightarrow \text{normalized out}$

$(-e^{i\phi})^{m_2} \rightarrow \text{the only object relevant}$   
 $| \Psi_c \times \Psi_{cl} |$

$$|\tilde{\Psi}_c(t+\delta\tau)\rangle = \left( \hat{\mathbb{I}} - i\hat{H}_{\text{eff}}\delta\tau \right) \left( \hat{\mathbb{I}} - \frac{m_1 \hat{a}}{\alpha} \right) \left( \hat{\mathbb{I}} + \frac{m_2 \hat{a}}{\alpha} \right) |\tilde{\Psi}_c(t)\rangle$$

$$= \left( \hat{\mathbb{I}} - i\hat{H}_{\text{eff}}\delta\tau + \frac{\hat{a}}{\alpha} (m_2 - m_1) \right) |\tilde{\Psi}_c(t)\rangle$$

$$= \left( \hat{\mathbb{I}} - i\hat{H}_{\text{eff}}\delta\tau + \frac{\hat{a}}{\alpha} \left[ k \frac{|\alpha|^2}{2} \left( 1 + \frac{\langle x_\phi \rangle}{|\alpha|} \right) \delta\tau + |\alpha| \sqrt{\frac{k}{2}} \delta w_2 \right. \right. \\ \left. \left. - k \frac{|\alpha|^2}{2} \left( 1 - \frac{\langle x_\phi \rangle}{|\alpha|} \right) \delta\tau - |\alpha| \sqrt{\frac{k}{2}} \delta w_1 \right] \right) |\tilde{\Psi}_c\rangle$$

$$d|\tilde{\Psi}_c\rangle = \left( -i\hat{H}_{\text{eff}}dt + \hat{a}e^{-i\phi} \left( k\langle x_\phi(t) \rangle dt + \sqrt{k}dw \right) \right) |\tilde{\Psi}_c\rangle$$

"Quantum state diffusion"

also:  $d|\tilde{\Psi}_c\rangle = \left( -i\hat{H}_{\text{eff}} + \frac{I_{bh}}{\alpha} \hat{a}e^{-i\phi} \right) dt |\tilde{\Psi}_c\rangle$

Normalization:

$$\langle \tilde{\Psi}_c(t+dt) | \tilde{\Psi}_c(t+dt) \rangle = 1 + \langle d\Psi | \Psi \rangle + \langle \Psi | d\Psi \rangle - \langle d\Psi | d\Psi \rangle$$

⋮

$$d|\Psi_c\rangle = \left[ -i \hat{H}_{\text{eff}} dt + K \langle \hat{x}_\phi(t) \rangle dt \left( \hat{a} e^{-i\phi} - \frac{\langle \hat{x}_\phi \rangle(t)}{2} \right) \right. \\ \left. + K dw \left( \hat{a} e^{-i\phi} - \frac{\langle \hat{x}_\phi \rangle}{2} \right) \right] |\Psi_c\rangle$$

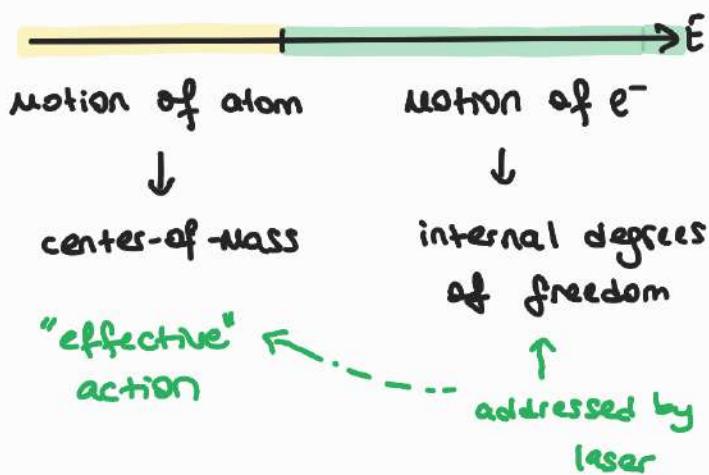
Remarks . Read as drift-diffusion equation in the Hilbert space.

. fully general (equivalent to click-based SSE)

# MECHANICAL EFFECTS OF LIGHT

Idea: . Photon have momentum.

. "Effective" theory



## I. FORMULATION

### 1) Hilbert Space

$$\mathcal{H} = \mathcal{H}_{\text{at}} \otimes \mathcal{H}_{\text{field}} = \mathcal{H}_{\text{c.o.m.}} \otimes \mathcal{H}_{\text{internal}} \otimes \mathcal{H}_{\text{laser mode}} \otimes \mathcal{H}_{\text{vac}}$$

$$\mathcal{H}_{\text{c.o.m.}} = \text{Sp} \left\{ |\vec{p}\rangle, \vec{p} \in \mathbb{R}^3 \right\} \quad \begin{matrix} \text{atom in free space} \\ \text{momentum} \end{matrix}$$

$$\mathcal{H}_{\text{internal}} = \text{Sp} \left\{ |nLjm\rangle, \dots \right\} \quad \text{energy levels of the atom}$$

$$\mathcal{H}_{\text{laser mode}} = \text{Sp} \left\{ |n\rangle, n \in \mathbb{N} \right\} \quad \begin{matrix} \text{one particular mode addressed by} \\ \text{the laser} \end{matrix}$$

$$\mathcal{H}_{\text{vac}} = \mathcal{F} \quad \text{fock space}$$

### 2) Simplification

$$\text{Reduce } \mathcal{H}_{\text{int}} \text{ to a 2 dim. Hilbert space: } \text{Sp} \{ |g\rangle, |e\rangle \}$$

$\downarrow$

cycling transition

(  $\square$  )

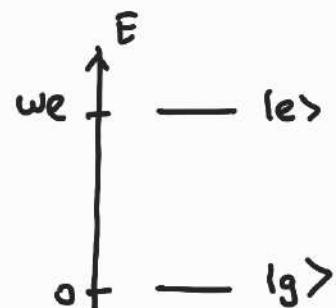
- Laser mode is in a coherent state  $\rightarrow$  classical field
- Vacuum as a reservoir (Markov approximation)  $\rightarrow$

Lindblad Equation

- C.o.m motion is slow compared with internal dynamics.

### 3) Hamiltonian

Acts on  $\mathcal{H}_{\text{c.o.m}} \otimes \mathcal{H}_{\text{int}}$



$$\hat{H} = \underbrace{\frac{\vec{p}^2}{2m}}_{\text{kinetic energy}} \otimes \hat{\mathbb{I}} + \hat{\mathbb{I}} \otimes w_{\text{ex}} \text{lexel} + \frac{\Omega(\vec{r})}{2} \left[ e^{i(w_{\text{tot}} - \vec{k}_L \cdot \vec{r})} \otimes |g\rangle \langle g| + h.c. \right]$$

Key point:  $\Omega$  and  $\phi = w_{\text{tot}} - \vec{k}_L \cdot \vec{r}$  are operators on  $\mathcal{H}_{\text{com}}$

within dipole approximation : "size" of the atom  $\ll \lambda$   
the atom "feels"  $\Omega$  and  $\phi$  at the position of its c.o.m.

$$\Omega(\vec{r}) = \langle \Psi_{\text{com}} | \Omega(\vec{r}) | \Psi_{\text{com}} \rangle \quad \text{for c.o.m in state } |\Psi_{\text{com}}\rangle$$

$$\Omega(\vec{r}) = -\vec{E}(\vec{r}) d_0$$

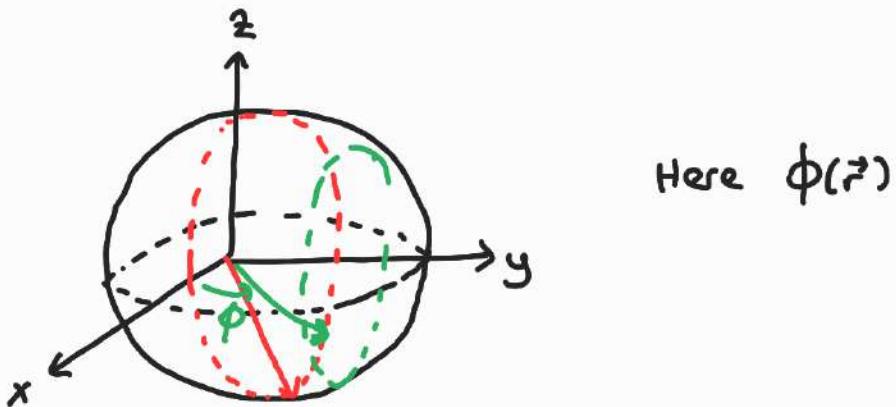
$\Rightarrow$  how e- and atom bound together

$$\phi(\vec{r}) = w_{\text{tot}} - \vec{k}_L \cdot \vec{r}$$

## II. Recoil and Doppler Effects

### 1) Phase Imprinting

Reminder:  $\hat{H} = \frac{\Omega}{2} (e^{-i\phi} |\text{e}\times\vec{g}\rangle + h.c.) + \frac{1}{2} \vec{p} \cdot \vec{\sigma}$



Consider  $|\Psi_{\text{at}}\rangle = |\vec{p}\rangle \otimes |g\rangle$

$$\begin{aligned}
 \hat{H}_{\text{int}} |\Psi_{\text{at}}\rangle &= \frac{\Omega}{2} \left( e^{-i\phi(\vec{p})} |\vec{p}\rangle \right) \otimes |e\rangle \\
 &\quad \underbrace{\int d^3R |\vec{R} \times \vec{R}| e^{-i\phi(\vec{R})}}_{e^{-i\vec{p} \cdot \vec{R}}} |\vec{p}\rangle \\
 &= \int d^3R e^{-i\phi(\vec{R})} \underbrace{|\vec{R} \times \vec{R}|}_{e^{-i\vec{p} \cdot \vec{R}}} |\vec{p}\rangle \\
 &= \int d^3R e^{-i\phi(\vec{R}) + i\vec{p} \cdot \vec{R}} |\vec{p}\rangle
 \end{aligned}$$

Plane wave:  $\Phi(\vec{R}) = \omega_0 t - \vec{k}_L \cdot \vec{R}$  then:

$$\hat{H}_{\text{int}} |\Psi_{\text{at}}\rangle = \frac{\Omega}{2} e^{i\omega_0 t} \int d^3 R e^{i(\vec{k}_L \cdot \vec{R} + \vec{p} \cdot \vec{R})} |\vec{R}\rangle$$

$i(\vec{k}_L \cdot \vec{R} + \vec{p} \cdot \vec{R})$

$$|\vec{p} + \vec{k}_L\rangle$$

$$\text{So } \hat{H}_{\text{int}} = \frac{\Omega}{2} \sum_{\vec{p}} e^{i\omega_0 t} |\vec{p} g \times \vec{p} + \vec{k}_L, e| + \text{h.c}$$

## 2) Shifts

Move to the rest frame of the atom (from the laboratory frame where momentum  $\vec{p}$ )

Unitary transformation:

$$\hat{U}(t) = e^{\frac{i\hat{p}^2 t}{2m}}$$

$$\hat{H} \rightarrow (-i\partial_t \hat{U}) \hat{U}^\dagger + \hat{U} \hat{H} \hat{U}^\dagger$$

In this frame:

$$\hat{H} = \frac{\Omega}{2} \sum_{\vec{p}} e^{i\omega_0 t} \hat{U} |\vec{p} g \times \vec{p} + \vec{k}_L, e| \hat{U}^\dagger + \text{h.c}$$

$\hat{U}$

$$e^{\frac{i\hat{p}^2 t}{2m}} |\vec{p} g \times \vec{p} + \vec{k}_L, e| e^{-\frac{i(\vec{p} + \vec{k}_L)^2 t}{2m}}$$

$$= \frac{\hbar}{2} \sum_{\vec{p}} e^{i(\omega_0 - \frac{\vec{p}\cdot\vec{k}_L}{m} - \frac{\vec{k}_L^2}{2m})t} |\vec{p}, g \times \vec{p} + \vec{k}_L, e\rangle + h.c$$

$$\omega_0 \longrightarrow \omega_0 - \frac{\vec{p}\cdot\vec{k}_L}{m} - \frac{\vec{k}_L^2}{2m}$$

$${}^6\text{Li} \sim 0.1 \text{ ms}^{-1}$$

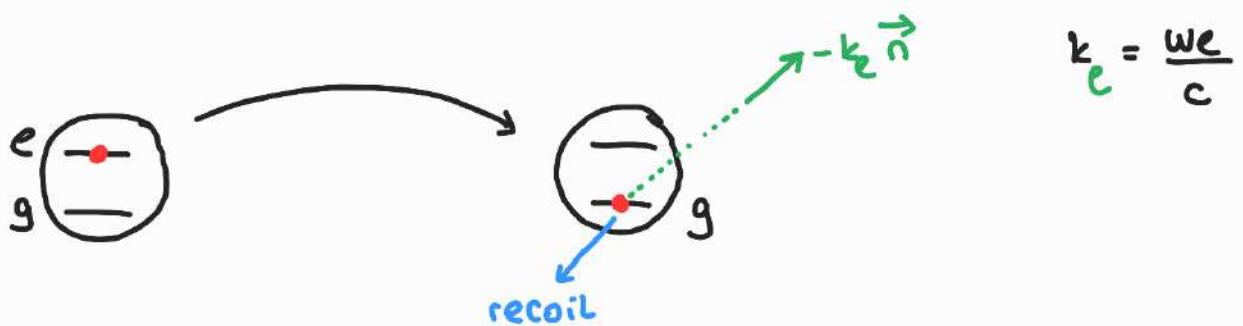
Doppler Shift:  $\frac{\vec{p} \cdot \vec{k}_L}{m} = \vec{p} \cdot \vec{v}_{\text{rec}}$ ,  $\vec{v}_{\text{rec}} = \frac{\vec{k}_L}{m}$

Ex:  ${}^6\text{Li}$  at 300K : 1 GHz

Recoil Shift:  $E_R = \frac{\hbar^2 k_L^2}{2m} = h \cdot 77 \text{ kHz}$  for  ${}^6\text{Li}$

$\hookrightarrow$  negligible compared with Doppler shift

### 3) Jump Operators



Family of jump operators:  $\hat{L}(\vec{n}) = \sum_{\vec{p}} |\vec{p} + \vec{k}_e \vec{n}, g \times \vec{p}, e\rangle$

$$= e^{i k_e \vec{n} \cdot \vec{r}} \otimes |g \times e\rangle$$

Rate  $\Gamma_{\vec{n}}$  where  $\hat{M}(\vec{n}) = \sqrt{\Gamma_{\vec{n}}} \hat{L}(\vec{n})$

$\swarrow$   
measurement  
operator

#### 4) Lindblad Equation

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + \sum_{\vec{n}} \Gamma_{\vec{n}} \left( \hat{L}(\vec{n}) \hat{\rho} \hat{L}(\vec{n})^+ - \frac{1}{2} \hat{L}^+ \hat{L}(\vec{n}) \hat{\rho} - \frac{1}{2} \hat{\rho} \hat{L}^+ \hat{L}(\vec{n}) \right)$$

Note that:  $\hat{L}^+(\vec{n}) \hat{L}(\vec{n}) = \text{lexel}$   
 ~~$\hat{L}^+(\vec{n}) \hat{L}(\vec{n}) = \text{lexel}$~~

Introduce  $\Gamma = \sum_{\vec{n}} \Gamma_{\vec{n}}$

$\text{Per}$   $\text{Per}$

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] - \frac{1}{2} (\underbrace{\text{lexel} \hat{\rho} + \hat{\rho} \text{lexel}}_{\text{Non-Hermitian "decay" of } |\text{e}\rangle} + \underbrace{\sum_{\vec{n}} \hat{L}(\vec{n}) \hat{\rho} \hat{L}^+(\vec{n})}_{\text{kicks upon spontaneous emission}})$$

Non-Hermitian "decay" of  $|\text{e}\rangle$

kicks  
upon spontaneous  
emission

Last term  $\hat{\rho} = |\text{e}, \vec{p} \times \vec{p}, \text{e}\rangle$

Then  $\hat{L}(\vec{n}) \hat{\rho} \hat{L}^+(\vec{n}) = |\vec{g}, \vec{p} + k_e \vec{n} \times \vec{g}, \vec{p} + k_e \vec{n}\rangle$

### III. Semi-classical Forces

#### 1) Further simplification

The momentum "spread" of the atom is large  $\Delta p \geq k_e, k_w$

...

$\Leftrightarrow$  position spread is small  $\Delta R \ll \lambda$

- .  $k_e$  is small (momentum exchanges with em field are continuous)
- . internal dynamics is much faster than motion of com

Steady state description of the internal state for each position.

$$\Gamma, \Omega \gg \bar{E}_R \xrightarrow{\text{recoil}}$$

steady state  
↑  
st

$\rightarrow$  Ansatz for the density matrix:  $\hat{\rho} = \hat{\rho}_{\text{com}}^{\text{st}} \otimes \hat{\rho}_{\text{int}}^{\text{st}}$

$$\hat{\rho}_{\text{int}}^{\text{st}} (\vec{R}(+))$$

## 2) Spontaneous Emission

$$\sum_n \Gamma_n e^{ik\vec{e} \cdot \vec{n} \cdot \vec{r}} \lg x e l \hat{p} | \text{lexgle} \rangle - i k e \vec{n} \cdot \vec{r} \rightarrow$$

Since  $k_e$  is small:  $e^{ik\vec{e} \cdot \vec{n} \cdot \vec{r}} \sim 1 + ik\vec{e} \cdot \vec{n} \cdot \vec{r} - \frac{k_e^2}{2} (\vec{n} \cdot \vec{r})^2 + \dots$

So:  $\sum_n \Gamma_n \lg x e l \hat{p} | \text{lexgl} \rangle + \sum_n ik\vec{e} \cdot \vec{n} \cdot \vec{r} \lg x e l \hat{p} | \text{lexgl} \rangle + \sum_n \lg x e l \hat{p} | \text{lexgl} \rangle (-ik\vec{e} \cdot \vec{n} \cdot \vec{r}) + O(k_e^2)$

Up to  $O(k_e^2)$ : no net effect of spont. emission of the center of motion!

Now, up to  $O(k_e^2)$ :

$$\dot{\hat{p}} = -i [\hat{H}, \hat{p}] + \Gamma \lg x e l \hat{p} | \text{lexgl} \rangle - \frac{\Gamma}{2} (\hat{p} | \text{lexel} \rangle + \langle \hat{p} | \text{lexel} \rangle)$$

the dissipative part of the Lindblad Eq. is only affecting the internal state!!

### 3) Forces

$$\vec{F} = \frac{d\langle \vec{p} \rangle}{dt} = \frac{d}{dt} \text{Tr}(\hat{\rho} \vec{p}) = \text{Tr}(\dot{\hat{\rho}}, \vec{p})$$

using cyclicity   
 $= -i \text{Tr}([\hat{H}, \hat{\rho}] \cdot \vec{p})$   
 $= -i \text{Tr}(\hat{\rho} \cdot [\vec{p}, \hat{H}])$

$$\vec{F} = -i \langle [\hat{\rho}, \hat{H}] \rangle$$

$\hat{H}$  depends on  $\vec{r}$ : move to position representation  
 (via  $u(r)$ ,  $\phi(r)$  ...)

$$\vec{p} = -i \vec{\nabla}_r$$

then  $\langle [\vec{p}, \hat{H}] \rangle = -i \langle \vec{\nabla}_r \hat{H} \rangle$

Proof: for any state  $|\alpha\rangle$ :

$$\langle \alpha | \vec{\nabla}_r (\hat{H}) | \alpha \rangle = \langle \alpha | [\vec{\nabla}_r, \hat{H}] | \alpha \rangle + \langle \alpha | \hat{H} (\vec{\nabla}_r) | \alpha \rangle$$

So,

$$\boxed{\vec{F} = - \langle \vec{\nabla}_r \hat{H} \rangle}$$

for an atom at rest:

$$\hat{H} = \omega_e \mathbf{e} \times \mathbf{e} + \frac{\Omega(\vec{r})}{2} \left( e^{i(\omega_0 t - \vec{k}_L \cdot \vec{r})} \mathbf{e} \times \mathbf{e} + h.c. \right)$$

In a frame rotating at  $\omega_0$  (for internal degrees of freedom)

$$\hat{H} = \Delta \mathbf{e} \times \mathbf{e} + \frac{\Omega(\vec{r})}{2} \left( e^{-i\vec{k}_L \cdot \vec{r}} \mathbf{e} \times \mathbf{e} + h.c. \right)$$

$$\langle -\nabla_{\vec{r}} \hat{H} \rangle = - \frac{\nabla \Omega(\vec{r})}{2} \left( \langle e^{-i\vec{k}_L \cdot \vec{r}} \mathbf{e} \times \mathbf{e} + h.c. \rangle \right) \xrightarrow{\text{internal d.o.f.}}$$

↓  
 OBE  
 optical block equation

$$+ i \vec{k}_L \frac{\Omega}{2} \left( \langle e^{-i\vec{k}_L \cdot \vec{r}} \mathbf{e} \times \mathbf{e} + \dots \rangle \right)$$

$$\vec{F} = \frac{\nabla \Omega}{\Omega} \Delta \cdot \frac{s(\vec{r})}{1+s(\vec{r})} + \vec{k}_L \frac{\Gamma}{2} \frac{s(\vec{r})}{1+s(\vec{r})}$$

dipole force

$$\vec{F}_{\text{dip}}$$

radiation pressure

$$\vec{f}_{\text{rad}}$$

$$s(\vec{r}) = \frac{\Omega^2(\vec{r})/2}{\Delta^2 + \Gamma^2/4}$$

$$\frac{\Delta}{2} \frac{\nabla s}{1+s}$$

$$\vec{k}_L \cdot \nabla \cdot \langle \rho_{ee} \rangle_{\text{st}} \xrightarrow{\text{steady state}}$$

## IV Interpretation

### 1) Dipole force

$$\vec{F}_{\text{dip}} = -\vec{\nabla} \left( -\frac{\Delta}{2} \ln(1+s(\vec{r})) \right)$$

dipole force is conservative and  $U_{\text{dip}} = -\frac{\Delta}{2} \ln(1+s)$

Limiting case:  $\Delta \gg \Omega, \Gamma$

$$s \ll 1 \Rightarrow \langle \rho_{ee} \rangle \ll 1$$

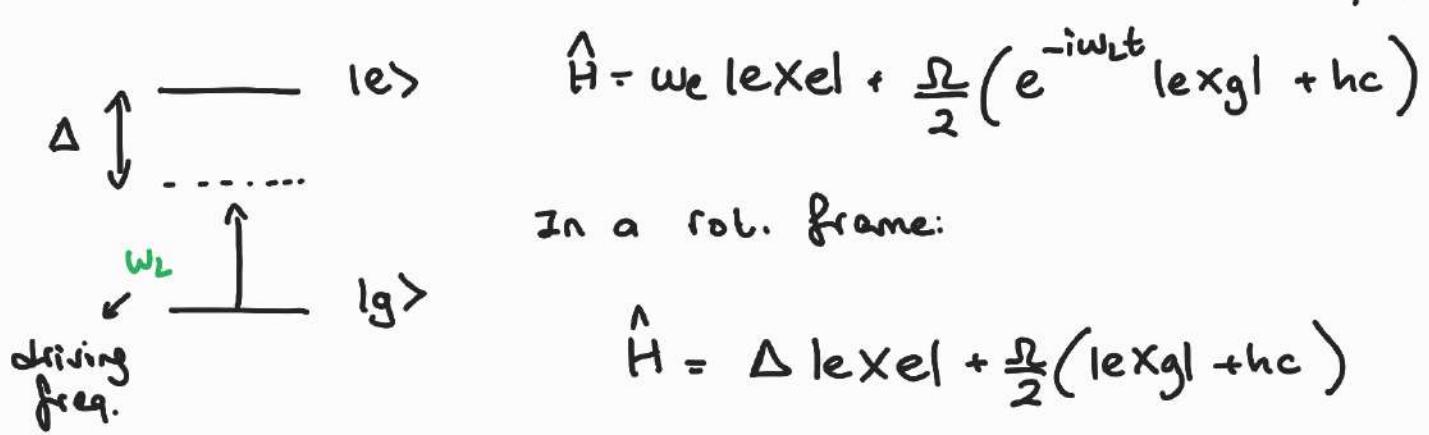
$$\text{Then } U_{\text{dip}} = -\frac{\varepsilon}{2} \Delta = -\frac{\Omega^2(\vec{r})}{4\Delta}$$

- $\Omega \propto E$  E-field amplitude

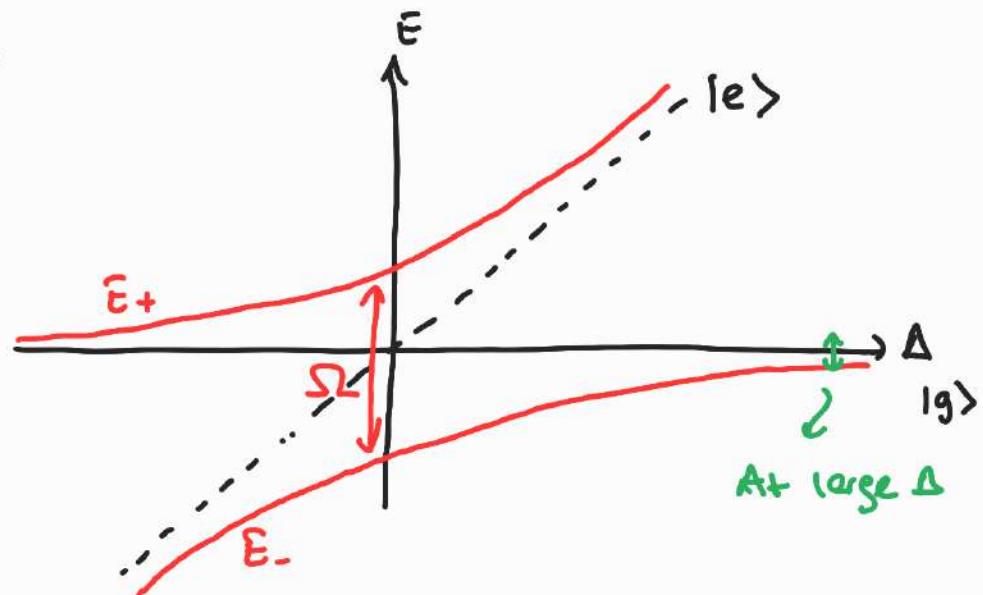
- $U_{\text{dip}} \propto I$  light intensity

- $U_{\text{dip}}$  is also independent of  $T$ .

Recovering the dipole potential: 2-level atom in external field.



Energy spectrum:



Large  $\Delta$        $E_{\pm} = \frac{1}{2} (\Delta \pm \sqrt{\Delta^2 + \Omega^2})$        $E_- \rightarrow -\frac{\Omega^2}{4\Delta}$

and  $|-\rangle \rightarrow |g\rangle$

Now if  $\Omega(\vec{r}) \rightarrow E_-(\vec{r})$

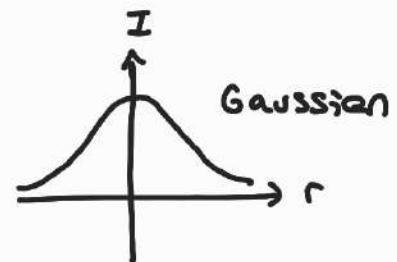
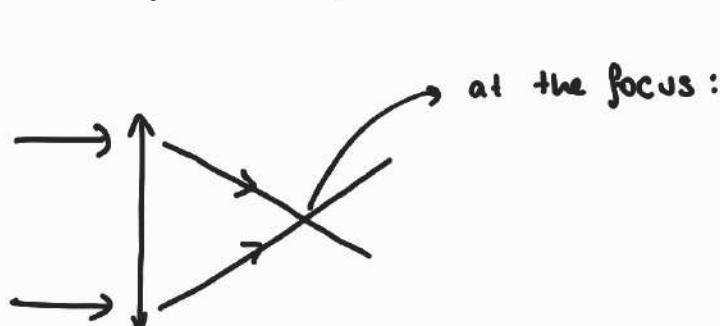
### Remarks

\*  $U_{\text{dip}} \Leftrightarrow$  AC-Stark shift

(can also be obtained directly using 2<sup>nd</sup> order perturbation theory.)

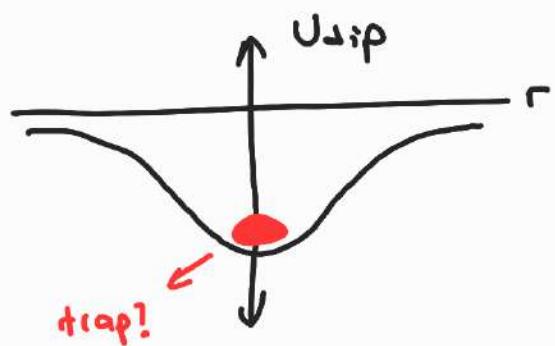
\* Also possible for DC fields:  $\Delta \rightarrow \omega_c$

\* Optical dipole trap:



for  $\Delta \geq 0$ : ( $\omega_c \gg \omega_L$ )

"Optical tweezers"



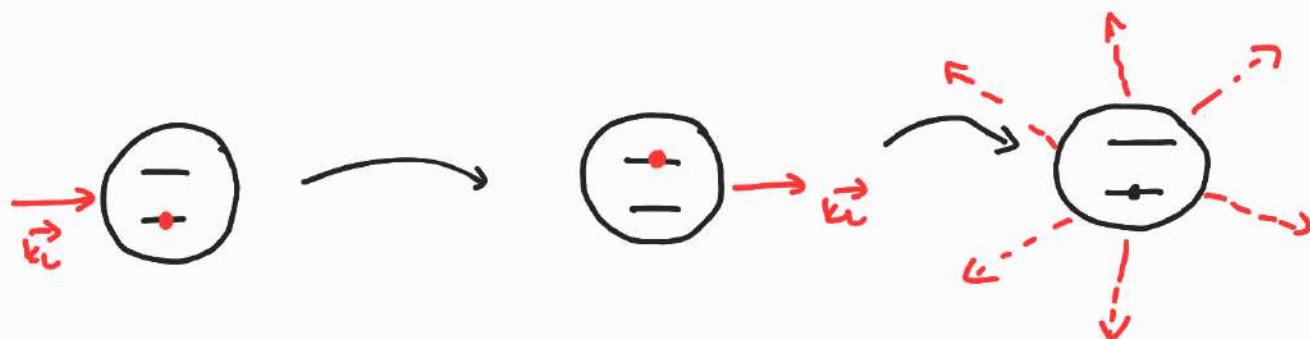
Optical lattices

$$\vec{k}_L \rightarrow \text{I}(r) \quad -\vec{k}_L$$

$$U_{\text{dip}} = U_0 \cos^2(k_L r) \Rightarrow \text{band structure}$$

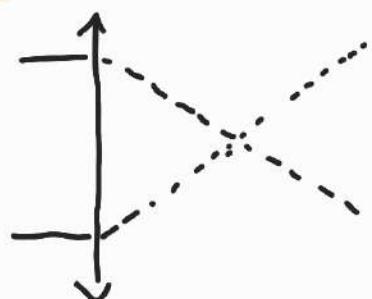
## 2) Radiation pressure

$$\vec{F} = \vec{I} \langle \rho c_e \rangle^{st} \vec{k}_L$$



Remarks: Intrinsically related to spontaneous emission  
 $\hookrightarrow$  incoherent

# Dipole force: stimulated emission



- \* Radiation pressure is related to photon absorption:

### 3) Doppler cooling

An atom moving with momentum  $\vec{p}$

$$\Delta_0 \rightarrow \Delta_0 - \vec{p} \cdot \vec{v}_{\text{rec}} - E_{\text{rec}}$$

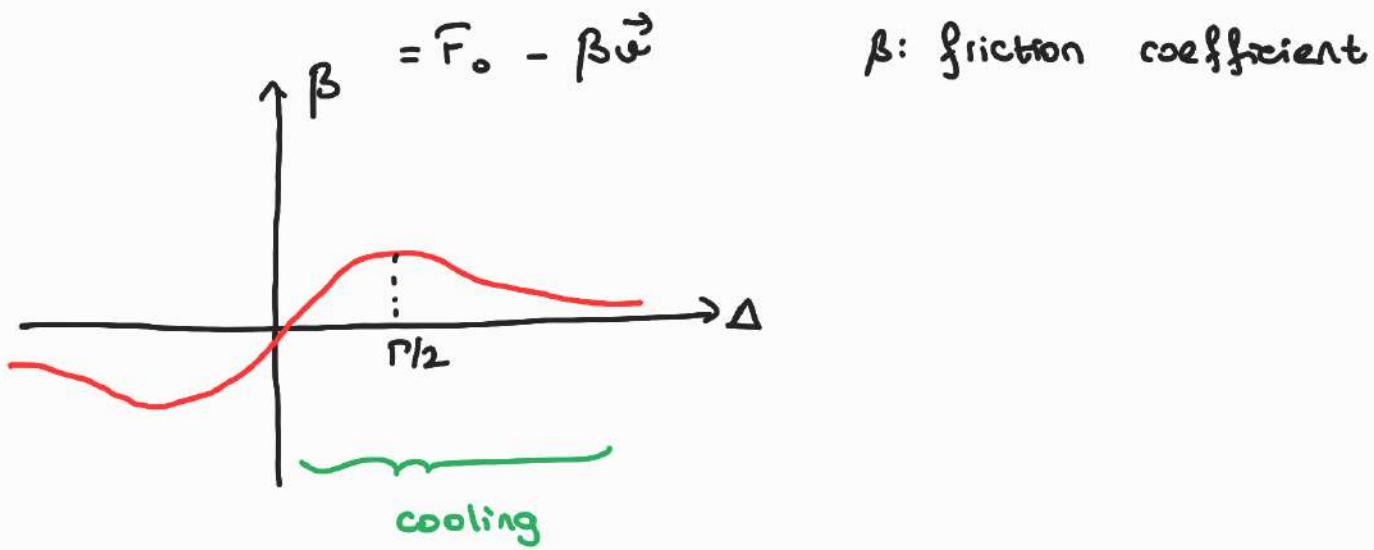
negligible

$$\vec{F}_{\text{rad}} = \vec{k}_B \frac{\Gamma \Omega^2}{4} \frac{1}{\Delta_0^2 + \Gamma'^2} \quad \left. \right\} \quad \Gamma' = \text{power broadened linewidth}$$

$$= \vec{k}_B \frac{\Gamma \Omega^2}{4} \frac{1}{(\Delta_0 + \vec{p} \cdot \vec{v}_{\text{rec}})^2 + \frac{\Gamma'^2}{2}}$$

First order expansion for Doppler shift:

$$\vec{F}_{\text{rad}} = \vec{k}_B \frac{\Gamma \Omega^2}{4} \left( \frac{1}{\Delta_0^2 + \Gamma'^2} - \vec{p} \cdot \vec{v}_{\text{rec}} \frac{2\Delta_0}{(\Delta_0^2 + \Gamma'^2)^2} + \dots \right)$$



Interpretation: Doppler shift bring the atom closer to resonance when it moves against the beam.



Remarks: to cool in all directions, you need 6 laser beams



#### 4) Limitations and Extensions

Limit to Doppler cooling: terms  $O(k_e^2)$  in the Lindblad equation.

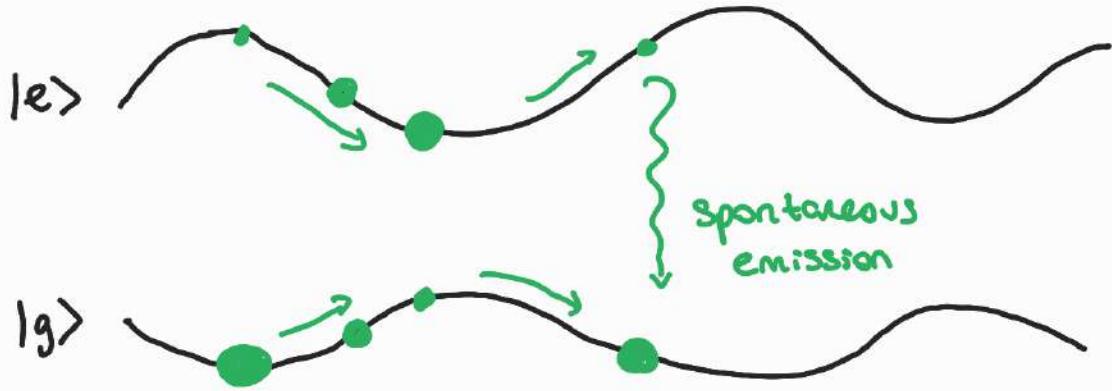
- . Random walk in  $k$  (momentum) space  $\langle \Delta p^2 \rangle \propto 2m\hbar\Gamma$
- . Limit temperature:  $kT \sim \hbar\Gamma$ 
  - ${}^6\text{Li} = 280 \text{ }\mu\text{K}$
  - ${}^{88}\text{Sr} = 400 \text{ nK}$

the finite  $k_e \Rightarrow$  can not reach  $\vec{v}=0$  in free space.

$E_{\text{rec}}$  is the hard limit.

For trapped atoms  $\rightarrow$  reaching the ground state is possible.

Other mechanism to cool in free space: Sisyphus cooling

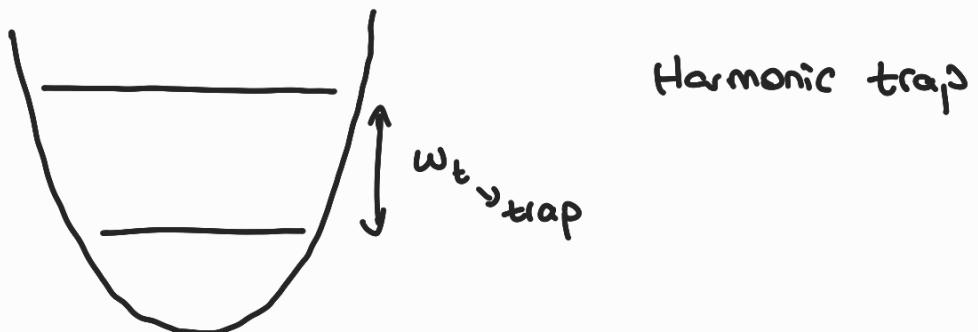


# SIDEBAND COOLING

So far, free space :  $|\vec{k}\rangle$ ,  $\vec{k}$  was continuously distributed

Variations of  $\vec{k}$  are continuous  $\Rightarrow$  Force  
 (continuous change of momentum)

Discrete Spectrum:



Physical realizations

- \* atoms / ions in traps
- + Mechanical oscillators

## I- Motional Sidebands

Hilbert Space:  $\mathcal{H} = \mathcal{H}_{\text{com}} \otimes \mathcal{H}_{\text{internal}}$

field: driven mode  $\rightarrow$  coherent state (laser)  
 vacuum considered as a reservoir.

Two-level atom:  $H_{\text{int}} = \hbar \{ |g\rangle, |e\rangle \}$

$H_{\text{com}} = \hbar \{ |n\rangle, |eN\rangle \}$

eigenstates of the harmonic trap

• Hamiltonian:

$$H_{\text{ext}} = \omega_0 \mathbf{I} + \omega_t \hat{\mathbf{I}} \otimes \hat{a}^\dagger \hat{a} + \frac{\hbar}{2} (I \otimes g) e^{-i(\hat{k}_L^2 - \omega_t^2)t/\hbar c}$$

$\hat{a}$ : annihilates vibrational quanta ("phonons")

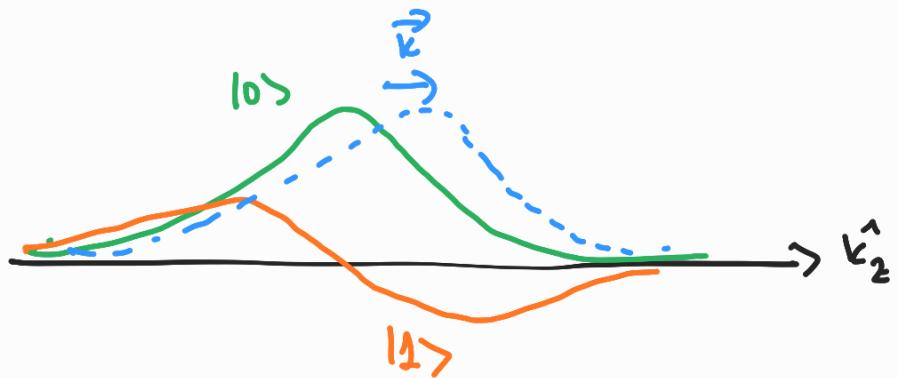
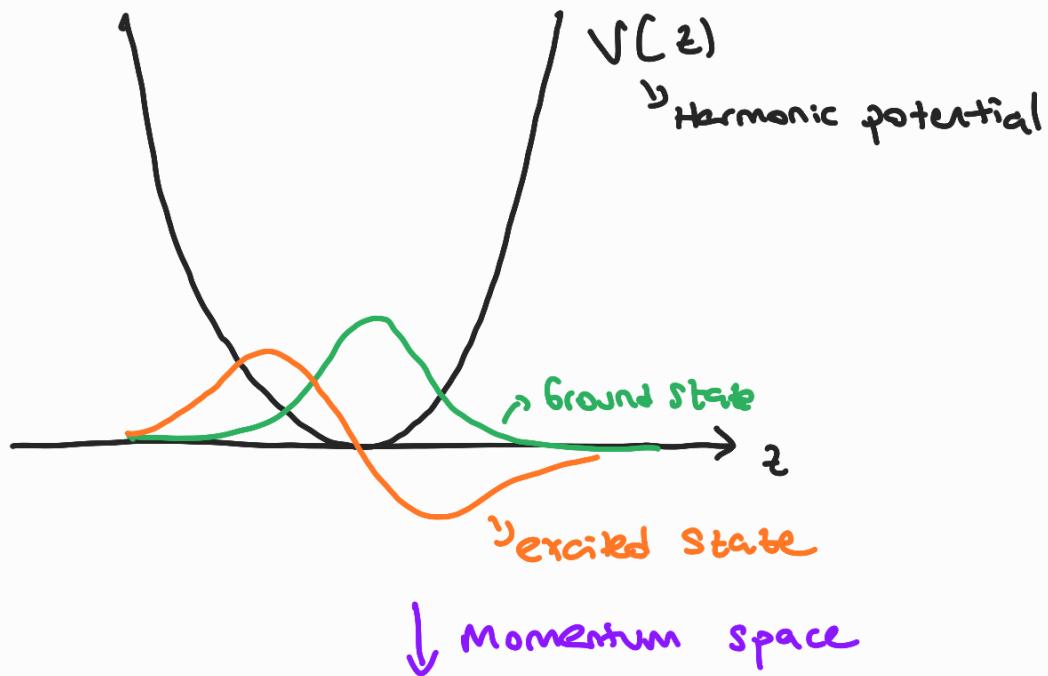
## 1) Lamb-Dicke parameter

$$\hat{k}_L^2 = z_0 (\hat{a}^\dagger + \hat{a}) \quad \text{with } z_0 = \sqrt{\frac{\pi}{M\omega_t}} \quad \text{harmonic length}$$

$$e^{ik_L \hat{z}} = e^{ikz_0 (\hat{a}^\dagger + \hat{a})}$$

$$\boxed{\gamma = k z_0}$$

"Lamb-Dicke parameter"



Photon absorption:  $| \vec{p} \rangle \rightarrow | \vec{p} + \vec{k} \rangle$  translation in  $k$  space

$\eta$  measures this displacement w.r.t to the size of the wavefunction.

## 2) Sideband Spectrum

$$e^{ik\tilde{\omega}_0(\hat{a} + \hat{a}^+)} = e^{i\eta\hat{a}^+} e^{i\eta\hat{a}} e^{-\eta^2/2}$$

because of the commutator

↓

since  
 $\hat{a}$  &  $\hat{a}^+$  are not commuting

$$= \sum_{n,m} \frac{(i\eta)^{n+m}}{n! \cdot m!} \hat{a}^{+(m)} \hat{a}^{(n)} e^{-\eta^2/2}$$

Group terms by  $q$ , number of quanta exchanges

$$= e^{-\eta^2/2} \left\{ \sum_m (-1)^m \eta^{2m} \frac{\hat{a}^{+(m)} \hat{a}^{(m)}}{(m!)^2} \right.$$

$f_q(\hat{a}^+ \hat{a})$

$$+ \sum_q \left[ \sum_m (-1)^m \eta^{2m} \frac{\hat{a}^{+(m)} \hat{a}^{(m)}}{m! (m+q)!} \right] \begin{matrix} \hat{a}^{(q)} \\ | \\ (i\eta)^q \end{matrix} \left. \begin{matrix} q \text{ dependent part} \\ \dots \end{matrix} \right]$$

$$+ \sum_m (-1)^m \eta^{2m} a^{+q} (i\eta)^q \frac{\hat{a}^{+m} \hat{a}^m}{m! (m+q)!} \right\}$$

$$\text{for } f_q(x) = \sum_m (-1)^m \eta^{2m} \frac{x^{(m)}}{m! (m+q)!}$$

$$= e^{-\eta^2/2} \left\{ f_0(\hat{a}^+ \hat{a}) + \sum_{q>0} (i\eta)^q \left[ f_q(\hat{a}^+ \hat{a}) \hat{a}^q + \hat{a}^{+q} f_q(\hat{a}^+ \hat{a}) \right] \right\}$$

### 3) Full Hamiltonian

$$\hat{H} = \omega_e \hat{e}^\dagger \hat{e} + \omega_t \hat{a}^\dagger \hat{a}$$

$$+ \frac{\Omega}{2} e^{-\frac{\Omega^2 t}{2}} \left\{ f_0(\hat{a}^\dagger \hat{a}) |exgl| + \sum_{q>0} \dots |exgl| \right\} e^{-i\omega_t t} + h.c.$$

Interaction picture  $\hat{a} \rightarrow \hat{a} e^{-i\omega_t t}$

$$|e\rangle \rightarrow e^{-i\omega_e t} |e\rangle$$

$$\hat{H} = \frac{\Omega}{2} e^{-\frac{\Omega^2 t}{2}} \left\{ f_0(\hat{a}^\dagger \hat{a}) e^{i(\omega_e - \omega_t)t} |exgl| \rightarrow \text{Carrier} \right.$$

$$+ \sum_q f_q(\hat{a}^\dagger \hat{a}) e^{i(\omega_e - \omega_t - q\omega_t)t} (i\eta)^q \hat{a}^q |exgl| \rightarrow \text{Red}$$

$$+ \sum_q e^{i(\omega_e - \omega_t + q\omega_t)t} (i\eta)^q \hat{a}^q |exgl| f_q(\hat{a}^\dagger \hat{a})$$

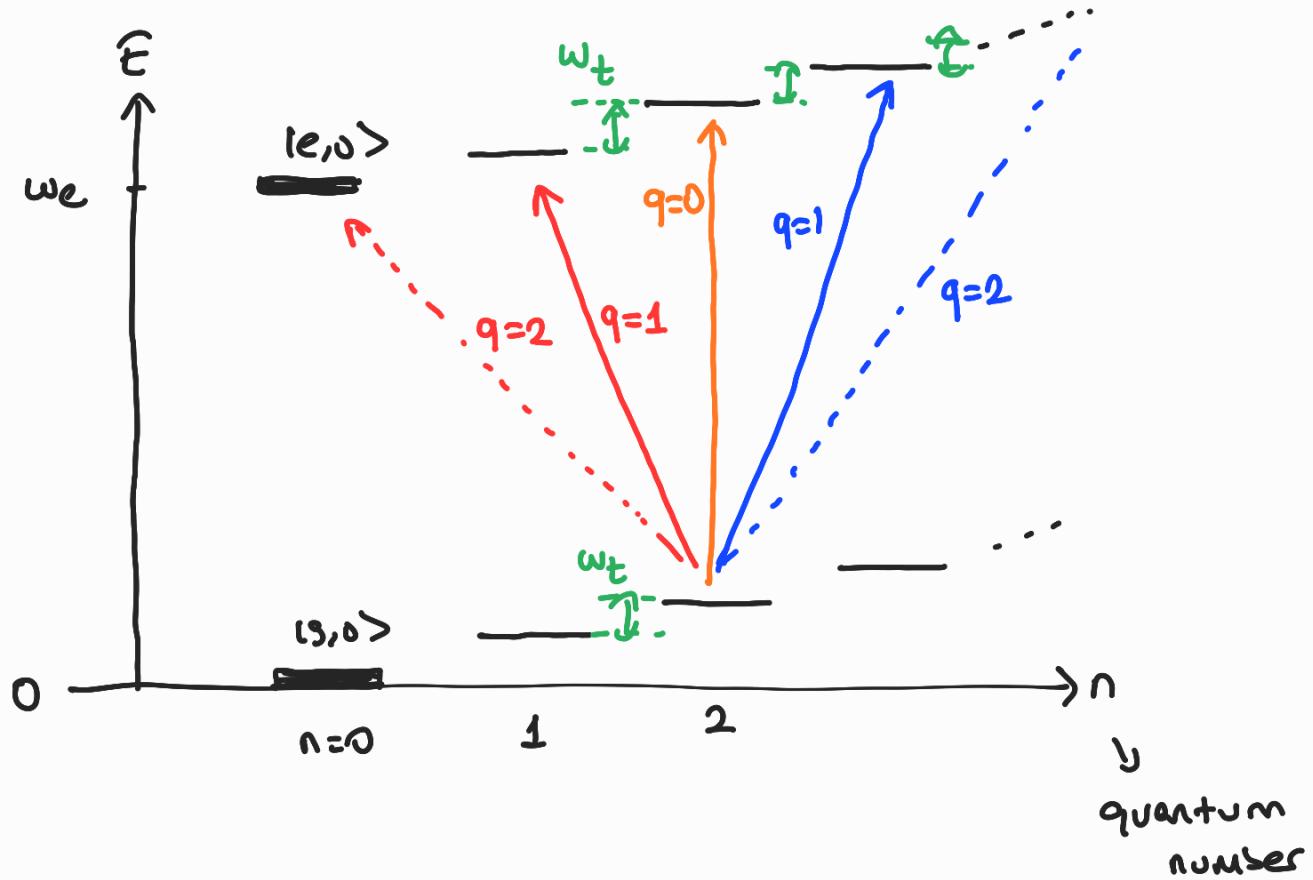
$$+ h.c \quad \left. \right\} \rightarrow \text{Blue}$$

Each term is called a motional sideband

Carrier:  $\omega_c - \omega_L \rightarrow$  resonant when  $\omega_L = \omega_c$

Red sidebands:  $\omega_c - \omega_L - q\omega_b \rightarrow$  resonant when  $\omega_L = \omega_c - q\omega_b$   
 $q > 0$

Blue sidebands:  $\omega_c - \omega_L + q\omega_b \rightarrow$  " " "  
 $\omega_L = \omega_c + q\omega_b$



#### 4) Addressing sidebands

- When  $\Delta \ll \omega_t$  : rotating wave approximation  
neglect terms oscillating at  $\omega_t$  or higher
- Choosing  $\omega_L = \omega_c \pm \omega_q t$

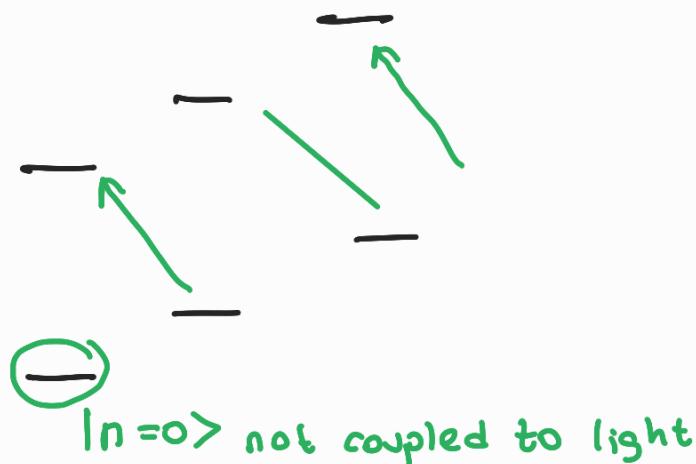
- Lamb-Dicke regime:  $\eta \ll 1$ ,  $f_q(x) = \frac{1}{q!} e^{-\frac{x^2}{q}} = 1$

Carrier:  $H_C = \frac{\Omega}{2} (\lg x_{el} + \lg x_{gl})$

Red-Sideband:  $H_r = \frac{\Omega}{2} (\eta) (\hat{a} \lg x_{gl} - \hat{a}^+ \lg x_{el})$

Jaynes-Cummings  
Hamiltonian

Remark: There exists a "dark" state



Blue-Sideband:  $\hat{H}_b$  = "Anti"- Jaynes Cummings Hamiltonian

"No dark states"

## II. Cooling

### 1) Cooling cycle

Lindblad Equation:  $\dot{\hat{p}} = -i[\hat{H}, \hat{p}] - \frac{\Gamma}{2} (\text{lexel}\hat{p} + \hat{p}\text{lexel}) + \sum_{\vec{n}} \vec{P}_{\vec{n}} \hat{L}_{\vec{n}} \hat{p} \hat{L}_{\vec{n}}^+$   
 $i\epsilon \vec{n} \cdot \vec{r}$

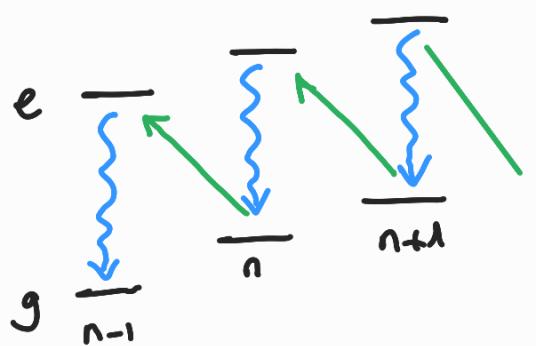
with  $L_{\vec{n}} = e^{-i\epsilon \vec{n} \cdot \vec{r}}$

in 1D:  $\hat{L}_{\pm} = e^{\pm i\epsilon \hat{z}} \text{lexel} = e^{i\epsilon z_0 (\hat{a} + \hat{a}^*)} \text{lexel}$   
 $= (\hat{\mathbb{I}} \pm i\eta \hat{a}^{\pm} + \dots) \text{lexel}$

Same as free space:

$$\sum_{\vec{n}} \vec{P}_{\vec{n}} \hat{L}_{\vec{n}} \hat{p} \hat{L}_{\vec{n}}^+ \sim \sum_{\vec{n}} P_{\vec{n}} \text{lexel} \hat{p} \text{lexel} + O(\eta^2)$$

in the Lamb-Dicke regime  $\eta \ll 1$ : Spontaneous emission does not change  $n$ .



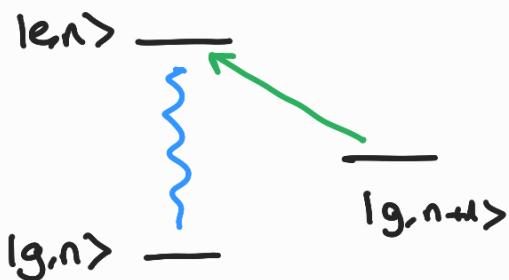
Driving the red sideband +  
 sp. emission  
 $|n\rangle \rightarrow |n-1\rangle$   
 cooling!  
 down to  $|n=0\rangle$

(In practise : limited  $O(n^2)$ )

## 2) Quantitative description

Method of adiabatic elimination :

spont. emission rate ↑



3 levels problem for  $\Omega_r \ll \Gamma$

red-side band  
Lab: freq

$$\dot{P}_{ee} = 0$$

$\nwarrow$   
Population in |e> state

Effective 2-level theory :

$$\dot{\hat{P}} = -i [\hat{H}, \hat{P}] - \frac{\Gamma}{2} (|e\rangle\langle e|\hat{P} + \hat{P}\langle e|e\rangle) + \Gamma \underbrace{\langle e|\hat{P}|e\rangle}_{\text{operator on}} \underbrace{|g\rangle\langle g|}_{\text{Hilbert space}}$$

operator on  
 $H_{\text{com}}$   
 $\nwarrow$   
 $H_{\text{Laser + Spur}}$

$$\langle e|\dot{\hat{P}}|e\rangle = \dot{\hat{P}}_{ee} = -i\langle e| \left[ i\frac{\Omega_r \eta}{2} (\hat{a}|g\rangle\langle g|\hat{a}^\dagger + \hat{a}^\dagger|g\rangle\langle a|), \hat{P} \right] |e\rangle - \Gamma \hat{P}_{ee}$$

$$= \frac{\Omega_r \eta}{2} \left( \underbrace{\hat{a}\langle g|\hat{P}|e\rangle}_{\hat{P}_{ge}} + \underbrace{\langle e|\hat{P}|g\rangle\hat{a}^\dagger}_{\hat{P}_{eg}} \right) - \Gamma \hat{P}_{ee}$$

$$\langle \dot{g} | \hat{\rho} | g \rangle = \dot{\hat{\rho}}_{gg} = \frac{\Omega_r \Gamma}{2} (\hat{\rho}_{ge} \hat{a} + \hat{a}^+ \hat{\rho}_{eg}) + \Gamma \hat{\rho}_{ee}$$

.

$$\langle \dot{g} | \hat{\rho} | e \rangle = \dot{\hat{\rho}}_{eg} = \frac{\Omega_r \Gamma}{2} (\hat{a} \hat{\rho}_{gg} + \hat{\rho}_{ee} \hat{a}^+) - \frac{\Gamma}{2} \hat{\rho}_{eg}$$

\* Internal degrees of freedom are fast!

Steady state is reached:  $\hat{\rho}_{eg} = \frac{\Omega_r \Gamma}{\Gamma} \hat{a} \hat{\rho}_{gg}$ ,  $\hat{\rho}_{ee} \approx 0$

$$\text{Then } \dot{\hat{\rho}}_{gg} = -\frac{\Omega_r^2 \Gamma^2}{2\Gamma} (\hat{a}^+ \hat{a} \hat{\rho}_{gg} + \hat{\rho}_{gg} \hat{a}^+ \hat{a}) + \frac{\Omega_r^2 \Gamma^2}{\Gamma} \hat{a} \hat{\rho}_{gg} \hat{a}^+$$

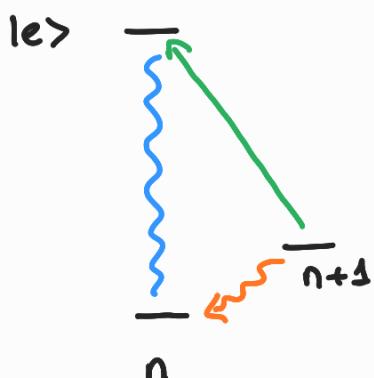
(No  $\hat{\rho}_{ee}$  dependence anymore)

Effective Lindblad Eq for  $\langle g \rangle$ :

$$\dot{\hat{\rho}} = -\frac{\Gamma_1}{2} (\hat{a}^+ \hat{a} \hat{\rho} + \hat{\rho} \hat{a}^+ \hat{a}) + \Gamma_1 \hat{a} \hat{\rho} \hat{a}^+$$

$$\hat{L}_{\text{eff}} = \sqrt{\Gamma_1} \hat{a}$$

$$\Gamma_1 = \frac{\Omega_r^2 \Gamma^2}{\Gamma} \ll \Gamma, \Omega_r$$



# RYDBERG ATOMS

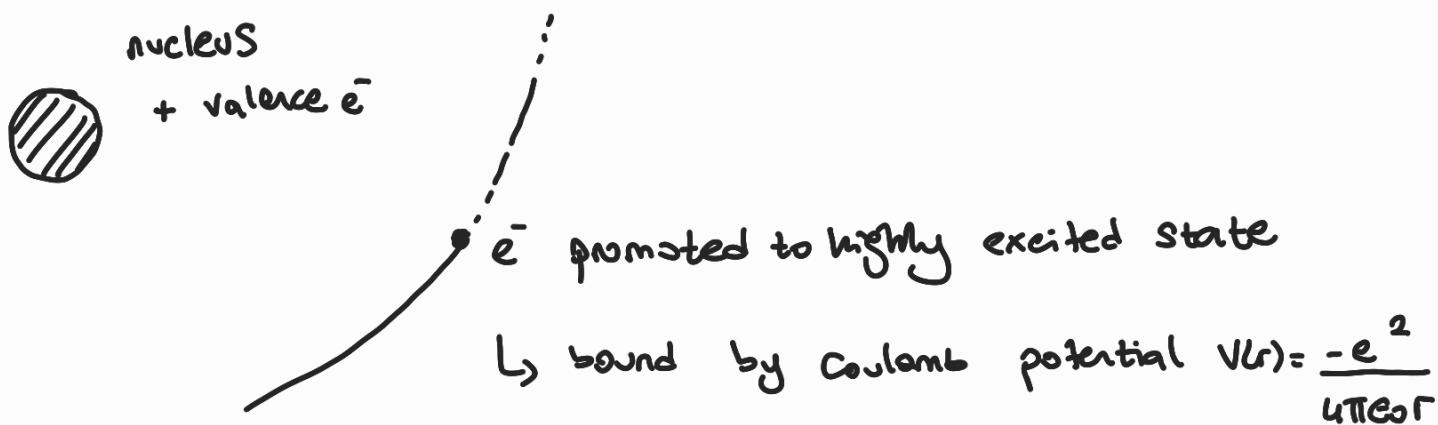
Single Atom as quantum information carrier

## I. Introduction

### 1) Hydrogen-like atoms

Consider 1  $e^-$  all other  $e^-$  are "frozen"

(which is ok for highly excited state)



It has rotational invariance.

Schrödinger Equation :

$$\left[ -\frac{\hbar^2}{2m_e} \Delta + V(r) \right] \Psi_e = E \Psi_e$$

$$[\hat{L}^2, \hat{H}] = 0 \quad , \quad [\hat{L}_z, \hat{H}] = 0$$

$$\text{So } \Psi(r) = \frac{U(r)}{r} Y_l^m(\theta, \varphi) \quad |\Psi\rangle = |n l m\rangle$$

$$\hat{L}^2 |\Psi\rangle = \hbar^2 l(l+1) |\Psi\rangle$$

$$\hat{L}_z |\Psi\rangle = \hbar m |\Psi\rangle,$$

$$m \in \{-l, \dots, l\}$$

Radial part:

$$-\frac{\hbar^2}{2me} \frac{d^2}{dr^2} u_{nl}(r) + \underbrace{\left( V(r) + \frac{\hbar^2(l+1)l}{2mr^2} \right)}_{V_{\text{eff}}(r)} u_{nl}(r) = E_{nl} u_{nl}(r)$$

Spectrum:  $E_n = -\frac{Ry}{n^2}$ , independent of  $l$

$$Ry = \frac{1}{2} \frac{me^4}{\hbar^2} \underbrace{\left( \frac{M}{M+me} \right)}$$

$$Ry_\infty = 13,6 \text{ eV}$$

Remarks:

- For real atoms  $E_{nl} = \frac{-Ry}{(n-n_e)^2}$   $n_e$ : quantum defect

Length scale

$$a_0 = \frac{4\pi\epsilon_0\hbar^2}{me^2} \quad \text{Bohr radius}$$

$$a_0 = 5,3 \times 10^{-11} \text{ m}$$

Rydberg atom: atom with an  $e^-$  in state  $n > 20-100$

## 2) Scaling

- "size" of an atom (with  $n$  increasing)

$$\langle \frac{1}{r} \rangle \sim \frac{4\pi e_0}{e^2} \langle V(r) \rangle$$

Vinial theorem:  $\langle V(r) \rangle \propto E_n$

$$\Rightarrow \langle \frac{1}{r} \rangle \sim \frac{1}{n^2 a_0} \Rightarrow \text{"size"} \sim n^2$$

↑  
principal  
quantum number

\* Dipole moment:

$$\langle n' l' m' | \vec{d} | n l m \rangle \quad n' \approx n, \quad l' = l + 1$$

$$\sim e a_0 n^2$$

$$(\Psi_{nlm}(r) \sim r^{n-1} e^{-r/a_0})$$

## II. Atomic Interactions

### 1) Van der Waals Potential

Neutral atom, polarizable

Dipole-dipole interaction:



$$U(r) = \frac{1}{4\pi e_0 r^3} \left( \hat{\vec{d}_A} \cdot \hat{\vec{d}_B} - 3 (\vec{j} \cdot \hat{\vec{d}_A})(\vec{j} \cdot \hat{\vec{d}_B}) \right)$$

→ from classical  
electrodynamics

For quantum problem:  $\mathcal{H}_{\text{rel}} = \text{Sp} \{ |\vec{k}\rangle, \vec{k} \in \mathbb{R}^3 \}$

↑  
relative

$$\mathcal{H}_A = \text{Sp} \{ |nlm\rangle, \dots \} \quad \mathcal{H}_B = \dots$$

↓  
Atom

Born-Oppenheimer Approximation:  $e^-$  are fast

### Perturbation Theory:

. 1<sup>st</sup> order  $\langle n_A l_A m_A; n_B l_B m_B | \hat{U} | n_A l_A m_A; n_B l_B m_B \rangle = 0$

Since  $\langle d_A \rangle_{nlm} = 0$

. 2<sup>nd</sup> order  $\Delta E^{(2)} = \sum_{n,n'} \frac{|\langle n_A l_A m_A; n_B l_B m_B | \hat{U} | n, n' \rangle|^2}{E_{n_A l_A m_A} - E_{n' n'}} \neq 0$

Scaling:  $\Delta E^{(2)}(r) = - \frac{C_6}{r^6}$   $C_6$  is a number depending on the "details".

.  $\langle n_A | \hat{d}_A | n \rangle \sim n^2$ , same for B

.  $U \sim n^4$

.  $\langle U \rangle^2 \sim n^8$

$$E_{n_A n_B} - E_{pp'} = \delta E$$

Since  $E \sim \frac{1}{n^2} \Rightarrow \delta E \sim \frac{1}{n^3}$

$$\Rightarrow C_6 \sim n^{11}$$

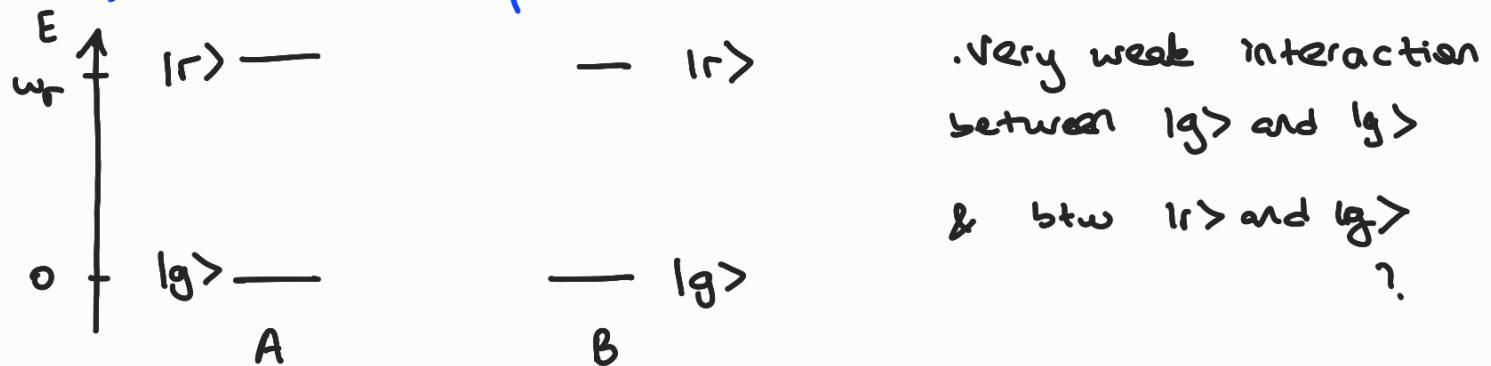
$$\text{Van der Waals potential: } V_{\text{vdW}}(r) = -\frac{C_6}{r^6} \sim n^{11}$$

Remark:

Van der Waals force exists between any pair of polarizable objects

There are situations for which first order is non-zero  $\frac{C_3}{r^2}$   
interaction

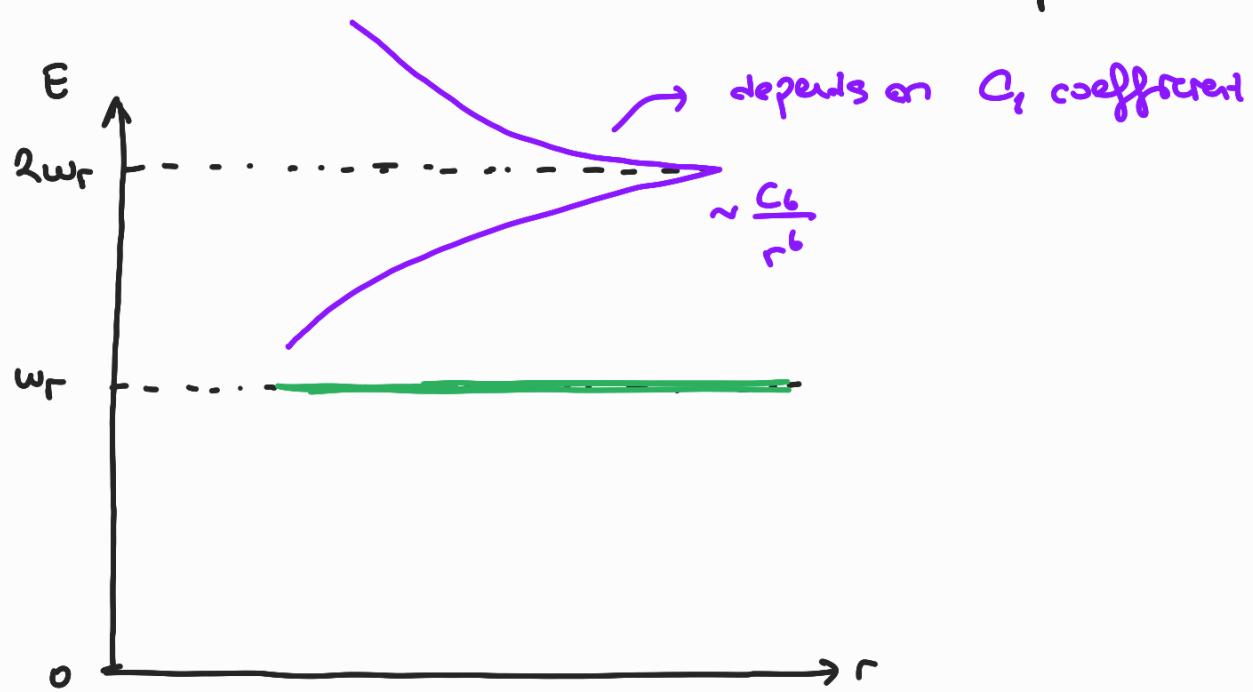
## 2) Two-Atom Spectrum



Hilbert space:

$$\text{Sp}\{|gg\rangle, |gr\rangle, |rg\rangle, |rr\rangle\}$$

$$\hat{H}_{\text{at}} = \omega_r |g\rangle\langle g| + \omega_r |g\rangle\langle g| + 2\omega_r |r\rangle\langle r| - \frac{C_6}{r^6} |r\rangle\langle r|$$



### 3) Rydberg Blockade

We are driving hamiltonian  $|g\rangle \rightarrow |r\rangle$

$$\hat{H} = \hat{H}_{\text{at}} + \frac{\Omega}{2} \left( \underbrace{|r\rangle\langle g|}_{\text{atom A}} \otimes \hat{I} e^{-i\omega_L t} + \hat{I} \otimes \underbrace{|r\rangle\langle g|}_{\text{Atom B}} e^{-i\omega_L t} + h.c. \right)$$

for  $\omega_L = \omega_r$ , move to the rotating frame:

$$|r\rangle \xrightarrow{i\omega_L t} |r\rangle e^{i\omega_L t}$$

$$|r, r\rangle \xrightarrow{} |r, r\rangle e^{+2i\omega_L t}$$

$$\hat{H} = - \frac{C_6}{r^6} |r\rangle\langle r| + \frac{\Omega}{2} \left( \underbrace{|r\rangle\langle g|}_{\text{purple}} \otimes \hat{I} + \hat{I} \otimes |r\rangle\langle g| + h.c. \right)$$

. For  $G = 0$  : Dicke States description

Drive will induce coherent spin states

. For  $\frac{C_6}{r^6} \gg \Omega$  :  $\hat{H} = |rx_r| + |gx_g|$

$$\hat{H} = -\frac{C_6}{r^6} |rrx_{rr}| + \frac{\Omega}{2} \left( |gx_{gg}| + |rrx_{gr}| + hc \right)$$

$$|grx_{gg}| + |rrx_{rg}| + hc \right)$$

Move to rotating frame:

$$\hat{U} = e^{-i \frac{C_6}{r^6} |rrx_{rr}| t}$$

$$|rr\rangle \rightarrow e^{-i \frac{C_6}{r^6} t} |rr\rangle$$

Rotating wave approximation:

$\frac{C_6}{r^6}$  is a large frequency wrt to the other scales.

$$\hat{H}_{RWA} = \frac{\Omega}{2} ( |gx_{gg}| + |grx_{gg}| + hc )$$

Using RWA: we went from 4d Hilbert space to 3d H space

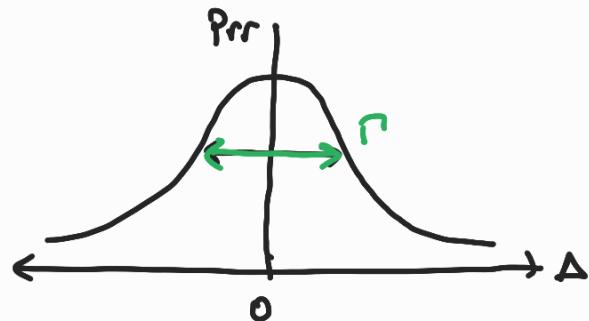
$|rr\rangle$  has dropped.

"Lydberg Blockade"

How far can 2 atoms be for blockade to take place?

$|g\rangle \rightarrow |r\rangle$  : 2-level system

$\Rightarrow$  Optical Bloch Equations



\* If  $\frac{C_6}{r^6} \gg T, \Omega$

$$\Delta = (\epsilon_{rr} - \epsilon_g) - \omega_L$$

↓  
laser

Blockade happens

$$\Delta = \omega_r - \frac{C_6}{r^6} - \omega_r$$

$$r_b = \left( \frac{C_6}{\pi} \right)^{1/6}$$

(blockade)  
radius

$$= -\frac{C_6}{r^6}$$

In practise  $r_b \sim 10 \mu m$

### III. Applications

$$\hat{H} = \frac{\Omega}{2} ( |g\rangle\langle gg| + |g\rangle\langle gr| + hc)$$

$$= \frac{\Omega\Gamma_2}{2} ( |\Psi^+\rangle\langle gg| + hc) \quad |\Psi^+\rangle = \frac{1}{\sqrt{2}} ( |gr\rangle + |rg\rangle )$$

( $g=0, r=\pm$ )

Bell State

After  $\frac{1}{2}$  Rabi cycle: Bell State

Remark .  $\Gamma_2 \leftrightarrow$  superradiance

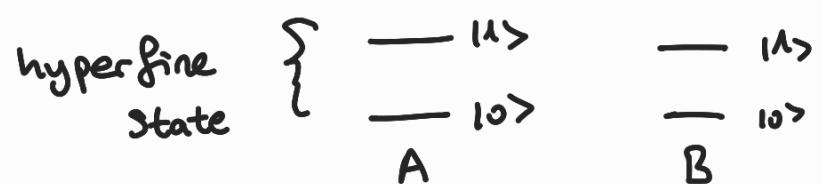
. For two atoms: Bell /Dicke state  $j=0$  are identical. But no way to create Dicke state from  $|gg\rangle$  using coherent drive

1) 2 qubit gate

$|r\rangle$  is not a stable state

$\rightarrow |r\rangle$

$\rightarrow |r\rangle$



- 2 atoms at distance  $\ll r_b$
- drive selectively transitions btw  $|1\rangle \rightarrow |r\rangle$  without affecting  $|0\rangle$   
 $\downarrow$   
 A or B

Remark: For a 2-level system  $2\pi$  rotations  $\Leftrightarrow x(-1)$

$$\hat{U}_x(\theta) = e^{-i\frac{\theta}{2}\hat{\sigma}_x} = \cos\frac{\theta}{2}\hat{\mathbb{I}} - i\sin\frac{\theta}{2}\hat{\sigma}_x$$

$$\text{for } \theta=2\pi : \hat{U}_x(2\pi) = -\hat{\mathbb{I}}$$

↳ important for CNOT

Pulse Sequence:

1.  $\pi$ -rotation of qubit A:  $|1\rangle_A \rightarrow |r\rangle_A$

2.  $2\pi$ -rotation of qubit B:  $|1\rangle_B \rightarrow -|1\rangle_B$

3.  $\pi$  rotation of A :  $|r\rangle_A \rightarrow -|r\rangle_A$

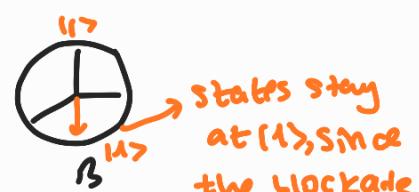
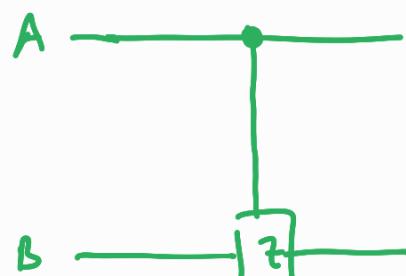
Truth Table:

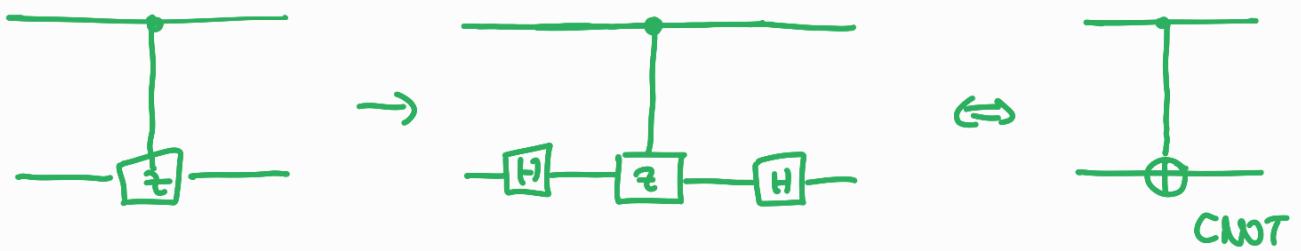
$$|00\rangle \rightarrow |00\rangle$$

$$|10\rangle \rightarrow -|10\rangle$$

$$|01\rangle \rightarrow -|01\rangle$$

$$|11\rangle \rightarrow -|11\rangle$$





## 2) Quantum Simulation

$|g\rangle |r\rangle$  describe 2 level system  $\Leftrightarrow$  spin  $\frac{1}{2}$  particle in  $\vec{B}$  field

- Rydberg atom, driven by laser  $|g\rangle \rightarrow |r\rangle$

Single atom:  $\hat{H} = \omega_r |r\rangle\langle r| + \frac{\Omega}{2} (|r\rangle g |e^{-i\omega_L t} + h.c.)$

(Rotating frame) =  $\delta \hat{\sigma}_z + \frac{\Omega}{2} \hat{\sigma}_x + \text{constant}$

Atomic ensemble:  $\hat{H} = \sum_i \delta \hat{\sigma}_z^i + \frac{\Omega}{2} \hat{\sigma}_x^i - \sum_{i < j} \frac{C_6}{R_{ij}^6} \hat{A}_i \cdot \hat{A}_j$

$$\hat{A}_i = I \otimes I \otimes \dots \otimes |r\rangle\langle r| \otimes \dots \otimes I$$

$$= \frac{1}{2} (I + \sigma_z^{(i)})^i$$

↓  
Rewritten as

$$\hat{H} = \sum_i (\delta + B_i) \hat{\sigma}_z^{(i)} + \frac{J}{2} \hat{\sigma}_x^{(i)} + \sum_{i < j} J_{ij} \hat{\sigma}_z^{(i)} \hat{\sigma}_z^{(j)}$$

$$B_i = \sum_j -\frac{C_0}{R_{ij}^6}$$

"Ising Model"  
with transverse field

Properties:

- . Paramagnetic phase
- . Fero / anti ferromagnetic phase