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SYMMETRIES & THE QUARK MODEL

1. Symmetries in quantum mechanics.

A symmetry of the universe requires that all physical predictions are **invariant** under the wavefunction transformation

$$\psi \rightarrow \psi' = \hat{U}\psi$$

→ A necessary requirement is that wavefunction normalisations are unchanged:

$$\langle \psi | \psi \rangle = \langle \psi' | \psi' \rangle = \langle \hat{U}\psi | \hat{U}\psi \rangle = \langle \psi | \hat{U}^\dagger \hat{U} | \psi \rangle$$

I
↓
must be unitary

→ Eigenstates of the system also must be unchanged.

$$\hat{H} \rightarrow \hat{H}' = \hat{H}$$

$$\hat{H}\psi_i = E_i \psi_i$$

$$\hat{H}'\psi_i' = \hat{H}\psi_i' = E_i \psi_i'$$

$$\hat{H}'\hat{U}\psi_i = E_i \hat{U}\psi_i = \hat{U}E_i \psi_i = \hat{U}\hat{H}\psi_i$$

$$[\hat{H}, \hat{U}] = \hat{H}\hat{U} - \hat{U}\hat{H} = 0$$

★ For each symmetry of the Hamiltonian, there's a corresponding unitary operator which commutes with the Hamiltonian.

A finite continuous symmetry

$$\hat{U}(\epsilon) = I + i\epsilon \hat{G}$$

↑
infinitesimally
small parameter↑
Generator

$$\hat{U}(\epsilon)\hat{U}^\dagger(\epsilon) = (I + i\epsilon\hat{G})(I - i\epsilon\hat{G}^\dagger) = I + i\epsilon(\underbrace{\hat{G} - \hat{G}^\dagger}_0) + O(\epsilon^2)$$

$\hat{G} = \hat{G}^\dagger$

* For each symmetry of the Hamiltonian, there is corresponding unitary symmetry operation with an associated Hermitian generator \hat{G} . The eigenstates of a Hermitian operator are real and therefore the \hat{G} operator is associated with an observable quantity G .

$$[\hat{H}, \hat{U}] = 0 \rightarrow [\hat{H}, I + i\epsilon\hat{G}] = 0 \rightarrow [\hat{H}, \hat{G}] = 0$$

$$\frac{d}{dt} \langle \hat{G} \rangle = i \langle \underbrace{[\hat{H}, \hat{G}]}_0 \rangle$$

* For each symmetry of the Hamiltonian, there's an associated observable **conserved quantity** G .

Translational invariance

$$x \rightarrow x + \epsilon$$

$$\psi(x) \rightarrow \psi'(x) = \psi(x + \epsilon)$$

$$\psi'(x) = \psi(x + \epsilon) = \psi(x) + \frac{\partial \psi}{\partial x} \epsilon + O(\epsilon^2)$$

Taylor Expansion

$$\psi'(x) = \left(1 + \epsilon \frac{\partial}{\partial x}\right) \psi(x)$$

$$\hat{p}_x = -i \frac{\partial}{\partial x}$$

$$\psi'(x) = (1 + i\epsilon \hat{p}_x) \psi(x)$$

↓
generator

★ Hence the translational invariance of Hamiltonian implies **momentum conservation**.

In general, $\hat{G} = \underbrace{\{ \hat{G}_i \}}_{\text{set of generators}}$ and $\epsilon = \{ \epsilon_i \}$

Ex. 3-d spatial translation $\vec{x} \rightarrow \vec{x} + \vec{\epsilon}$ $\vec{p} = (\hat{p}_x, \hat{p}_y, \hat{p}_z)$

$$\hat{U}(\vec{\epsilon}) = 1 + i\vec{\epsilon} \cdot \vec{p} = 1 + i\epsilon_x \hat{p}_x + i\epsilon_y \hat{p}_y + i\epsilon_z \hat{p}_z$$

1.1 Finite Transformations

Any finite symmetry transformation can be expressed as a series of infinitesimal transformations using

$$\hat{U}(\alpha) = \lim_{n \rightarrow \infty} \left(1 + i \frac{1}{n} \alpha \cdot \hat{G} \right)^n = \exp(i \alpha \cdot \hat{G})$$

ϵ_x

$$x \rightarrow x + x_0$$

$$\hat{U}(x_0) = \exp(i x_0 \hat{p}_x) = \exp\left(x_0 \frac{\partial}{\partial x}\right)$$

$$\psi'(x) = \hat{U} \psi(x) = \exp\left(x_0 \frac{\partial}{\partial x}\right) \psi(x)$$

$$\psi'(x) = \left(1 + x_0 \frac{\partial}{\partial x} + \frac{x_0^2}{2!} \frac{\partial^2}{\partial x^2} + \dots \right) \psi(x)$$

$$\psi'(x) = \psi(x) + x_0 \frac{\partial \psi}{\partial x} + \frac{x_0^2}{2} \frac{\partial^2 \psi}{\partial x^2} + \dots = \psi(x + x_0)$$

2. Flavour Symmetry

Proton and neutron have very similar masses

Nuclear force is approximately charge independent. In other words, the strong force potential is the same for two protons, two neutrons or a neutron and a proton.

$$V_{pp} \approx V_{np} \approx V_{nn}$$

Heisenberg suggested if you could switch off the electric charge of the proton, there would be no way to distinguish b/w a proton and a neutron.

★ Neutron and proton could be considered as two states of a single entity (**nucleon**) analogous to the spin-up and spin-down states of a spin-half particle,

$$I = 1/2$$

$$p = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$I = -1/2$$

$$n = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

→ Isospin doublets

Idea of **isospin** (physically no relation with the spins)

Ex Helium-4 with 2 neutrons and 2 protons

$$\text{Total isospin} = 2 \times \left(-\frac{1}{2}\right) + 2 \times \left(\frac{1}{2}\right) = 0$$

I_3 : Projection of the total isospin onto a particular axis (usually z-axis) Defined as the difference btw the number of particles that have isospin up and isospin down

$$I_3 = 2 - 2 = 0$$

2.1 Flavour Symmetry of the strong interaction

Idea of proton/neutron isospin symmetry can be extended to the quarks.

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{strong}} + \hat{H}_{\text{em}}$$

↙
kinetic and rest mass
energy of the quarks

↘
electro-magnetic
interaction

If the effective masses of the up and down quarks are the same, and \hat{H}_{em} is small compared to \hat{H}_{strong} , then the Hamiltonian possesses an **up-down (ud) flavour symmetry**.

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{and} \quad d = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} u' \\ d' \end{pmatrix} = \hat{U} \begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}$$

2x2 matrix depends on 4 complex numbers which can be described by eight real parameters. The condition $\hat{U}^\dagger = I$ imposes 4 constraints. Thus 2x2 matrix can be expressed in terms of 4 linearly independent 2x2 matrices representing the generators of the transformation

$$\hat{U} = \exp(i\alpha_i \hat{G}_i)$$

$\hat{U} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} e^{i\phi}$ → U(1) transformation
 H is not relevant to the discussion of transformations btw different flavour states

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \rightarrow \text{Pauli Spin Matrices}$$

Hermitian Generators of the SU(2)


 special unitary 2 parameters

SU(2) matrices' determinants are 1.

★ The ud flavour symmetry corresponds to invariance under SU(2) transformations leading to three conserved quantities defined by the eigenvalues of Pauli-spin matrices.

★ The algebra of the u d flavour symmetry is therefore identical to that of spin for a spin-half particle.

$$\text{Isospin } \hat{T} = \frac{1}{2} \sigma$$

Any finite transformation in the u d flavour space can be written in terms of a unitary transformation

$$\hat{U} = e^{i\alpha \cdot \hat{T}}$$

such that

$$\begin{pmatrix} u' \\ d' \end{pmatrix} = e^{i\alpha \cdot \hat{T}} \begin{pmatrix} u \\ d \end{pmatrix}$$

↓
Rotation in flavour space

2.2 Isospin Algebra

$$[\hat{T}_1, \hat{T}_2] = i\hat{T}_3$$

$$[\hat{T}_2, \hat{T}_3] = i\hat{T}_1$$

$$[\hat{T}_3, \hat{T}_1] = i\hat{T}_2$$

$$\hat{T}^2 = \hat{T}_1^2 + \hat{T}_2^2 + \hat{T}_3^2$$

↓
total isospin operator
(likewise total angular momentum)

\hat{T} commutes with
 $\hat{T}_1, \hat{T}_2, \hat{T}_3$

Non-Abelian (non-commuting) Lie Algebra

★ Total isospin operator commutes with each of the generators, so it is Hermitian and corresponds to an observable quantity. Since the generators don't commute, they can not be known simultaneously.

* Thus isospin states $\phi(I, I_3)$ are the mathematical analogues of the angular momentum states $|l, m\rangle$ and have the properties

$$\hat{T}^2 \phi(I, I_3) = I(I+1) \phi(I, I_3)$$

$$\hat{L}^2 |l, m\rangle = l(l+1) |l, m\rangle$$

$$\hat{T}_3 \phi(I, I_3) = I_3 \phi(I, I_3)$$

$$\hat{L}_z |l, m\rangle = m |l, m\rangle$$



Isospin 1/2 multiplet consisting of an up and down quark

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \phi\left(\frac{1}{2}, +\frac{1}{2}\right)$$

$$d = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \phi\left(\frac{1}{2}, -\frac{1}{2}\right)$$

Isospin ladder operators:

$$\hat{T}_- \equiv \hat{T}_1 - i \hat{T}_2$$

$$\hat{T}_+ \equiv \hat{T}_1 + i \hat{T}_2$$

$$\hat{T}_+ \phi(I, I_3) = \sqrt{I(I+1) - I_3(I_3+1)} \phi(I, I_3+1)$$

$$\hat{T}_- \phi(I, I_3) = \sqrt{I(I+1) - I_3(I_3-1)} \phi(I, I_3-1)$$

$$\hat{T}_- \phi(I, -I) = 0$$

$$\hat{T}_+ \phi(I, +I) = 0$$

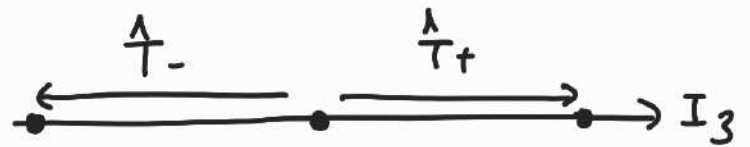
↗ extreme states

$$\hat{T}_+ u = 0$$

$$\hat{T}_+ d = u$$

$$\hat{T}_- u = d$$

$$\hat{T}_- d = 0$$



3. Combining quarks into baryons

* The rules for combining isospin for a system of two quarks are identical to those for the addition of angular momentum.

$$\phi(I^a, I_3^a)$$

$$\phi(I^b, I_3^b)$$

$$I_3 = I_3^a + I_3^b$$

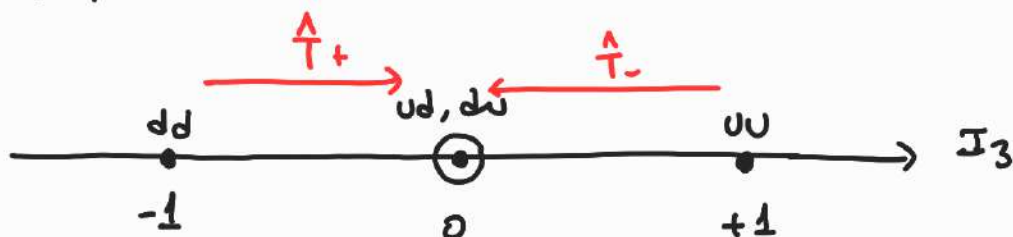
$$|I^a - I^b| \leq I \leq |I^a + I^b|$$

$$uu = \phi\left(\frac{1}{2}, \frac{1}{2}\right) \phi\left(\frac{1}{2}, \frac{1}{2}\right) = \phi(1, +1)$$

$$dd = \phi\left(\frac{1}{2}, -\frac{1}{2}\right) \phi\left(\frac{1}{2}, -\frac{1}{2}\right) = \phi(1, -1)$$

$$ud = \phi\left(\frac{1}{2}, \frac{1}{2}\right) \phi\left(\frac{1}{2}, -\frac{1}{2}\right) = \phi(1, 0)$$

$$du = \phi(1, 0)$$



$$\hat{T}_- \phi(1, +1) = \sqrt{I(I+1) - I_3(I_3-1)} \phi(1, 0)$$

$$\hat{T}_- uu = \sqrt{1 \cdot 2 - 1(0)} (ud + du)$$

$$\hat{T}_-(uu) = \frac{(ud + du)}{\sqrt{2}}$$

★ The $\phi(0,0)$ state can be defined as the linear combination of ud and du that is **orthogonal** to $\phi(1,0)$

$$\phi(0,0) = \frac{1}{\sqrt{2}} (ud - du)$$

$$I=1 \rightarrow I_3: -1, 0, 1$$

$$I=0 \rightarrow I_3=0$$

The isospin eigenstates for the combination of two quarks:

$$\begin{array}{c} dd \quad \frac{1}{\sqrt{2}}(ud+du) \quad uu \\ -1 \quad 0 \quad +1 \end{array} \xrightarrow{I_3} \quad \oplus \quad \begin{array}{c} \frac{1}{\sqrt{2}}(ud-du) \\ 0 \end{array} \xrightarrow{I_3}$$

$$2 \otimes 2 = 3 \oplus 1$$

Adding the 3rd quark:

$$\begin{array}{c} \hat{T}_+ \\ \downarrow \\ \begin{array}{c} -\frac{3}{2} \quad -\frac{1}{2} \quad +\frac{1}{2} \quad +\frac{3}{2} \\ ddd \quad dd u \quad uu d \quad uuu \\ \frac{1}{\sqrt{2}}(ud+du)d \quad \frac{1}{\sqrt{2}}(ud+du)u \end{array} \end{array} \xrightarrow{I_3} \quad \oplus \quad \begin{array}{c} \frac{1}{\sqrt{2}}(ud-du)d \quad \frac{1}{\sqrt{2}}(ud-du)u \\ -\frac{1}{2} \quad +\frac{1}{2} \\ \phi(\frac{1}{2}, -\frac{1}{2}) \quad \phi(\frac{1}{2}, \frac{1}{2}) \end{array} \xrightarrow{I_3}$$

$\phi\left(\frac{3}{2}, -\frac{1}{2}\right) \rightarrow$ linear combination of ddu and $\frac{1}{\sqrt{2}}(ud+du)d$
 can be obtained from the action of \hat{T}_+

$$\hat{T}_+ \phi\left(\frac{3}{2}, -\frac{3}{2}\right) = \sqrt{\underbrace{I(I+1)}_{\substack{\downarrow \\ \frac{3}{2} \quad \frac{3}{2}}} - \underbrace{I_3(I_3+1)}_{\substack{\downarrow \quad \downarrow \\ -\frac{3}{2} \quad -\frac{1}{2}}}} \phi\left(\frac{3}{2}, -\frac{1}{2}\right) = \sqrt{3} \phi\left(\frac{3}{2}, -\frac{1}{2}\right)$$

$$\frac{15}{4} - \frac{3}{4} = \frac{12}{4} = 3$$

$$\hat{T}_+ (ddd) = (\hat{T}_+ d) d d + d (\hat{T}_+ d) d + d d (\hat{T}_+ d)$$

$$\phi\left(\frac{3}{2}, -\frac{1}{2}\right) = \frac{1}{\sqrt{3}} (udd + dud + ddu)$$

Four isospin- $\frac{3}{2}$ states, built from the $\overset{\text{quark}}{\uparrow} q\bar{q}$ triplet,

$$\phi\left(\frac{3}{2}, -\frac{3}{2}\right) = ddd$$

$$\phi\left(\frac{3}{2}, -\frac{1}{2}\right) = \frac{1}{\sqrt{3}} (udd + dud + ddu)$$

from $\hat{T}_-(uuu) \rightsquigarrow \phi\left(\frac{3}{2}, +\frac{1}{2}\right) = \frac{1}{\sqrt{3}} (uud + udu + duu)$

$$\phi\left(\frac{3}{2}, \frac{3}{2}\right) = uuu$$

* $\phi\left(\frac{1}{2}, -\frac{1}{2}\right)$ is orthogonal to $\phi\left(\frac{3}{2}, -\frac{1}{2}\right)$

$\phi\left(\frac{1}{2}, -\frac{1}{2}\right)$ is a linear combination of ddu and $\frac{1}{\sqrt{2}}(ud+du)d$ which is orthogonal to $\phi\left(\frac{3}{2}, -\frac{1}{2}\right)$

$$\phi\left(\frac{1}{2}, -\frac{1}{2}\right) = a ddu + \frac{b}{\sqrt{2}} udd + \frac{b}{\sqrt{2}} dud$$

$$\langle \phi\left(\frac{1}{2}, -\frac{1}{2}\right) | \phi\left(\frac{3}{2}, -\frac{1}{2}\right) \rangle = 0$$

$$\frac{a}{\sqrt{3}} + \frac{2b}{\sqrt{6}} = 0 \Rightarrow \cancel{\sqrt{2}}a = -\cancel{\sqrt{2}}b$$

$$b = x, a = -\sqrt{2}x$$

$$x^2 + 2x^2 = 1 \Rightarrow x = \frac{1}{\sqrt{3}}$$

$$\text{Normalization: } a^2 + 2\frac{b^2}{2} = 1$$

$$a = -\frac{\sqrt{2}}{\sqrt{3}}, b = \frac{1}{\sqrt{3}}$$

$$\phi_S\left(\frac{1}{2}, -\frac{1}{2}\right) = -\frac{1}{\sqrt{6}}(2ddu - udd - dud)$$

Similarly,

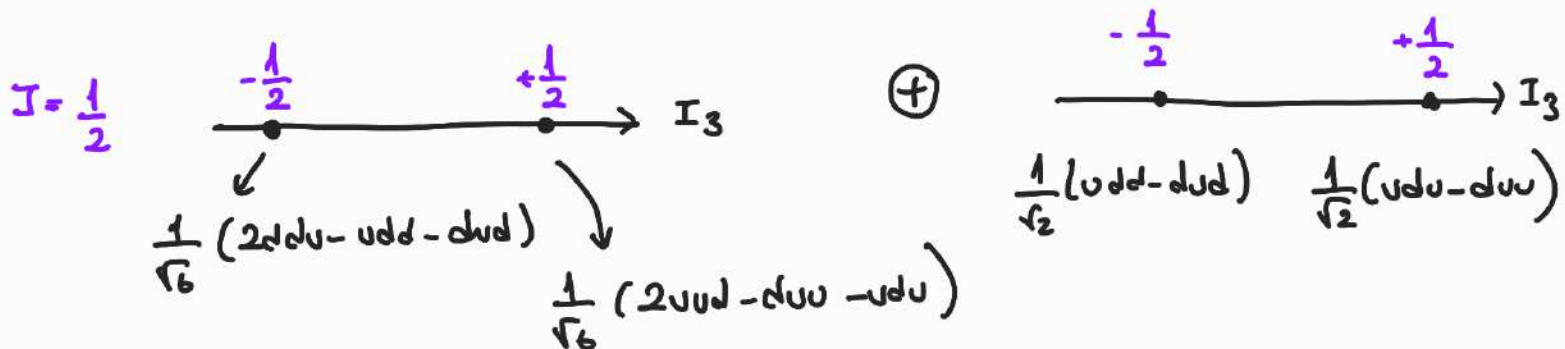
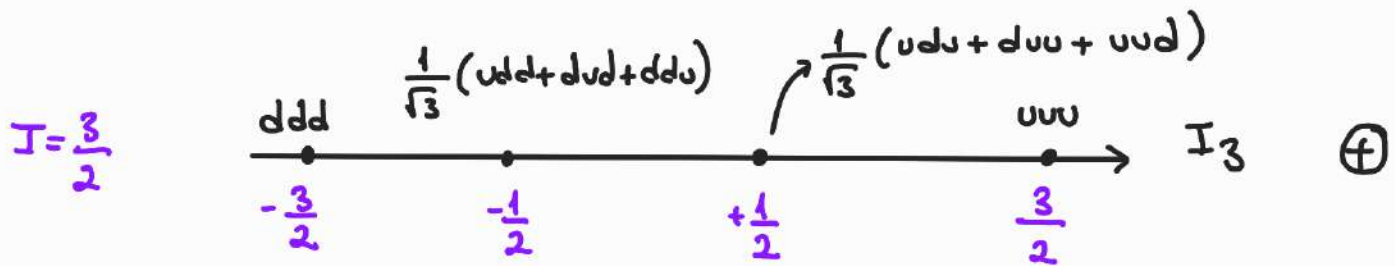
Symmetric
No change when
quarks $1 \leftrightarrow 2$

$$\phi_S\left(\frac{1}{2}, +\frac{1}{2}\right) = \frac{1}{\sqrt{6}}(2uud - udu - duu)$$

Other two states constructed from the qq isospin singlet $\phi(0,0) = \frac{1}{\sqrt{2}}(ud-du)$

(antisymmetric)
under $1 \leftrightarrow 2$

$$\phi_A\left(\frac{1}{2}, -\frac{1}{2}\right) = \frac{1}{\sqrt{2}}(udd - dud) \quad \phi_A\left(\frac{1}{2}, \frac{1}{2}\right) = \frac{1}{\sqrt{2}}(udu - duu)$$



In terms of $SU(2)$ group structure:

$$2 \otimes 2 \otimes 2 = 2 \otimes (3 \oplus 1) = (2 \otimes 3) \oplus (2 \otimes 1) = 4 \oplus 2 \oplus 2$$

3.1 Spin States of three quarks

$$\chi\left(\frac{3}{2}, +\frac{3}{2}\right) = \uparrow\uparrow\uparrow$$

$$\chi\left(\frac{3}{2}, +\frac{1}{2}\right) = \frac{1}{\sqrt{3}}(\uparrow\uparrow\downarrow + \uparrow\downarrow\uparrow + \downarrow\uparrow\uparrow)$$

$$\chi\left(\frac{3}{2}, -\frac{1}{2}\right) = \frac{1}{\sqrt{3}}(\downarrow\downarrow\uparrow + \downarrow\uparrow\downarrow + \uparrow\downarrow\downarrow)$$

$$\chi\left(\frac{3}{2}, -\frac{3}{2}\right) = \downarrow\downarrow\downarrow$$

$$\chi_S\left(\frac{1}{2}, -\frac{1}{2}\right) = -\frac{1}{\sqrt{6}}(2\downarrow\downarrow\uparrow - \uparrow\downarrow\downarrow - \downarrow\uparrow\downarrow)$$

$$\chi_S\left(\frac{1}{2}, +\frac{1}{2}\right) = \frac{1}{\sqrt{6}}(2\uparrow\uparrow\downarrow - \uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)$$

$$\chi_A\left(\frac{1}{2}, -\frac{1}{2}\right) = \frac{1}{\sqrt{2}}(\uparrow\downarrow\downarrow - \downarrow\uparrow\downarrow)$$

$$\chi_A\left(\frac{1}{2}, +\frac{1}{2}\right) = \frac{1}{\sqrt{2}}(\uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)$$

5. Isospin Representation of antiquarks

$$q = \begin{pmatrix} u \\ d \end{pmatrix}$$

A general $SU(2)$ transformation of the quark doublet,
 $q \rightarrow q' = Uq$

$$\begin{pmatrix} u \\ d \end{pmatrix} \rightarrow \begin{pmatrix} u' \\ d' \end{pmatrix} = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}$$

$$\text{where } aa^* + bb^* = 1$$

$$\psi' = \hat{C} \psi = i \gamma^2 \psi^* \quad (\text{charge conjugation})$$

$$\begin{pmatrix} \bar{u}' \\ \bar{d}' \end{pmatrix} = U^* \begin{pmatrix} \bar{u} \\ \bar{d} \end{pmatrix} = \begin{pmatrix} a^* & b^* \\ -b & a \end{pmatrix} \begin{pmatrix} \bar{u} \\ \bar{d} \end{pmatrix}$$

In $SU(2)$ it is possible to place the antiquarks in a doublet that transforms in the same way as the quarks

$$\bar{q} \rightarrow \bar{q}' = U \bar{q}$$

$$\bar{q} \equiv \begin{pmatrix} -\bar{d} \\ \bar{u} \end{pmatrix} = S \begin{pmatrix} \bar{u} \\ \bar{d} \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \bar{u} \\ \bar{d} \end{pmatrix}$$

$$\begin{pmatrix} \bar{u} \\ \bar{d} \end{pmatrix} = S^{-1} \bar{q} \quad \begin{pmatrix} \bar{u}' \\ \bar{d}' \end{pmatrix} = S^{-1} \bar{q}'$$

$$S^{-1} \bar{q}' = U^* S^{-1} \bar{q}$$

$$\bar{q}' = S U^* S^{-1} \bar{q}$$

$$S U^* S^{-1} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a^* & b^* \\ -b & a \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} = U$$

$$\bar{q} \rightarrow \bar{q}' = U \bar{q}$$

$$\begin{array}{ccc} d & u & \\ \bullet & \bullet & \\ -\frac{1}{2} & +\frac{1}{2} & \end{array} \rightarrow I_3$$

$$\begin{array}{ccc} \bar{u} & \bar{d} & \\ \bullet & \bullet & \\ -\frac{1}{2} & +\frac{1}{2} & \end{array} \rightarrow I_3$$

$$\hat{T}_+ \bar{u} = -\bar{d} \quad \hat{T}_+ \bar{d} = 0$$

$$\hat{T}_- \bar{d} = -\bar{u} \quad \hat{T}_- \bar{u} = 0$$

★ It is not possible to place the quarks and antiquarks in the same representation; this is a **feature SU(2)**.
It can **not** applied to the **SU(3)** flavour symmetry.

Meson States

$$\begin{array}{ccc} d\bar{u} & \frac{1}{\sqrt{2}}(u\bar{u} - d\bar{d}) & -u\bar{d} \\ \bullet & \bullet & \bullet \\ -1 & 0 & +1 \end{array} \rightarrow I_3 \quad \oplus \quad \begin{array}{ccc} \frac{1}{\sqrt{2}}(u\bar{u} + d\bar{d}) & & \\ \bullet & & \\ 0 & & \end{array} \rightarrow I_3$$

Triplet states

Singlet State

The action of the isospin raising and lowering operators on the $\phi(0,0)$ state both give zero, confirming that it is indeed a singlet state.

6. $SU(3)$ flavour symmetry

The Strong interaction part of the Hamiltonian treats all quarks equally and therefore possesses an exact uds flavour symmetry. However since the mass of the strange quark is different from the masses of the up- and down-quarks, the overall Hamiltonian is not flavour symmetric.

Nevertheless m_s and $m_{u,d}$ difference $\sim 100 \text{ MeV}$ which is relatively small compared to binding energies of baryons $\sim 1 \text{ GeV}$.

Thus, let's assume Hamiltonian possesses a uds flavour symmetry.

$$\begin{pmatrix} u' \\ d' \\ s' \end{pmatrix} = \hat{U} \begin{pmatrix} u \\ d \\ s \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} & U_{13} \\ U_{21} & U_{22} & U_{23} \\ U_{31} & U_{32} & U_{33} \end{pmatrix} \begin{pmatrix} u \\ d \\ s \end{pmatrix}$$

In general 3×3 matrix $\rightarrow 9$ complex numbers $\equiv 18$ real parameters

from $\hat{U}^\dagger \hat{U} = I \rightarrow 9$ constraints

★ Thus, \hat{U} can be expressed in terms of 9 linearly independent 3×3 matrices.

As before in the case of $SU(2)$, one of these matrices is the identity matrix multiplied by a complex phase, not relevant to transformations btw different flavour states

The remaining 8 matrices form an $SU(3)$ group and can be expressed in terms of the eight independent Hermitian generators \hat{T}_i such that the general $SU(3)$ flavour transformation can be expressed:

$$\hat{U} = e^{i\alpha \cdot \hat{T}}$$

$$\frac{\hat{T}}{1} = \frac{1}{2} \lambda \quad (\text{eight } \lambda\text{-matrices})$$

$$u = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad d = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \quad s = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

* $SU(3)$ uds flavour symmetry contains the subgroup of $SU(2)$ u-d flavour symmetry.

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\hat{T}_3 = \frac{1}{2} \lambda_3$$

such that $\hat{T}_3 u = +\frac{1}{2} u$ $\hat{T}_3 d = -\frac{1}{2} d$ and $\hat{T}_3 s = 0$

$SU(3)$ uds flavour symmetry also contains the subgroups of $SU(2)$ u-s and $SU(2)$ d-s flavour symmetries, both of which can also be expressed in terms of the Pauli spin-matrices.

λ -matrixes for the $u \leftrightarrow s$ symmetry

$$\lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$$

$$\lambda_x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

For the $d \leftrightarrow s$ symmetry they are

$$\lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

$$\lambda_y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

★ Here $\lambda_3, \lambda_x, \lambda_y$ can be expressed in terms of other two.

Because the $u \leftrightarrow d$ symmetry is nearly exact, retain λ_3 as one of the eight generators of $SU(3)$ flavor symmetry.

The final generator is chosen as the linear combination of λ_x and λ_y that treats u and d quarks symmetrically.

$$\lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} + \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

↑ WHY?

6.1 $SU(3)$ flavour states

$$\hat{T}^2 = \sum_{i=1}^8 \hat{T}_i^2 = \frac{1}{4} \sum_{i=1}^8 \lambda_i^2 = \frac{4}{3} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

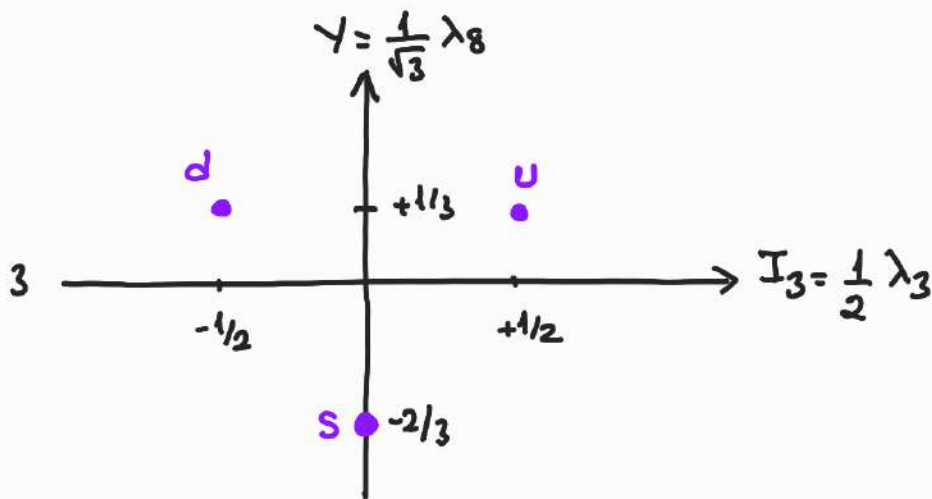
total isospin

★ Of the eight $SU(3)$ generators, only $T_3 = \frac{1}{2} \lambda_3$ and $T_8 = \frac{1}{2} \lambda_8$ commute and thus describe compatible observable quantities

In addition to the analogue of the total isospin, $SU(3)$ states are described in terms of the eigenstates of the λ_3 and λ_8 matrices.

$$\hat{T}_3 = \frac{1}{2} \lambda_3 \quad \text{and} \quad \hat{Y} = \frac{1}{\sqrt{3}} \lambda_8$$

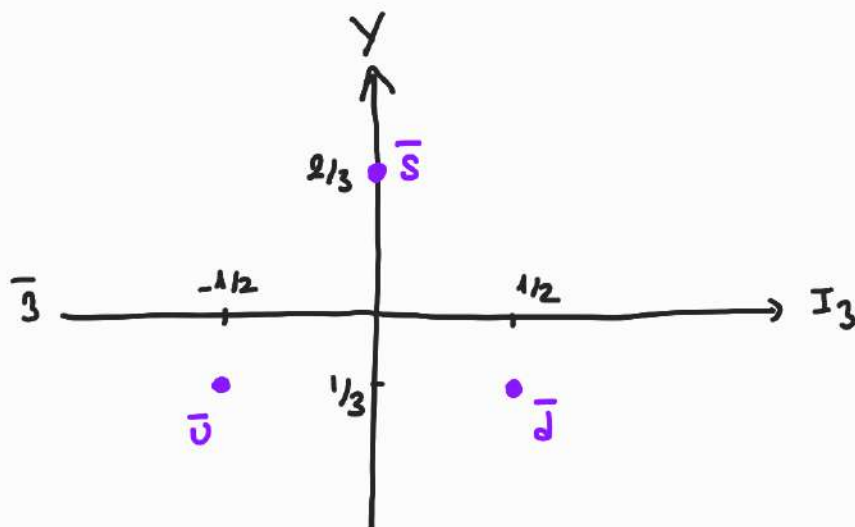
↪ flavour hypercharge



$$\hat{T}_3 u = +\frac{1}{2} u \quad \hat{Y} u = +\frac{1}{3} u$$

$$\hat{T}_3 d = -\frac{1}{2} d \quad \hat{Y} d = +\frac{1}{3} d$$

$$\hat{T}_3 s = 0 \quad \hat{Y} s = -\frac{2}{3} s$$



$$I_3 = n_u - n_d$$

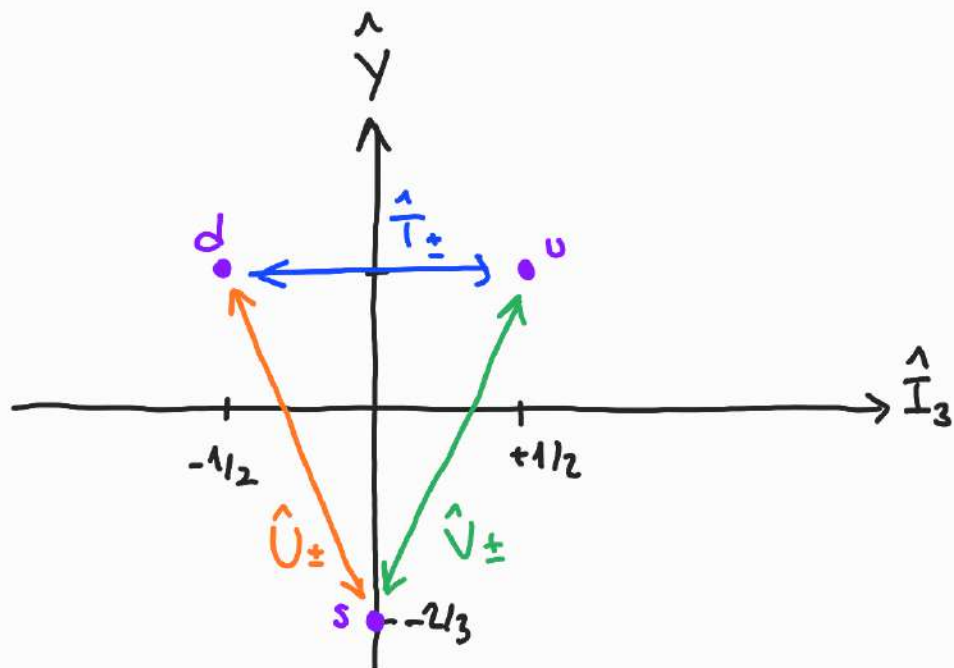
$$Y = \frac{1}{3}(n_u + n_d - 2n_s)$$

While the Gell-Mann λ_3 and λ_8 matrices label the $SU(3)$ states, remaining six λ -matrices can be used to define ladder operators.

$$\hat{T}_{\pm} = \frac{1}{2}(\lambda_1 \pm i\lambda_2) \quad d \leftrightarrow u$$

$$\hat{V}_{\pm} = \frac{1}{2}(\lambda_4 \pm i\lambda_5) \quad s \leftrightarrow u$$

$$\hat{U}_{\pm} = \frac{1}{2}(\lambda_6 \pm i\lambda_7) \quad d \leftrightarrow s$$



$$\hat{V}_{+}s = +u$$

$$\hat{U}_{+}s = +d$$

$$\hat{T}_{+}d = +u$$

$$\hat{V}_{-}u = +s$$

$$\hat{U}_{-}d = +s$$

$$\hat{T}_{-}u = +d$$

All other combinations giving zero.

6.2 The light mesons

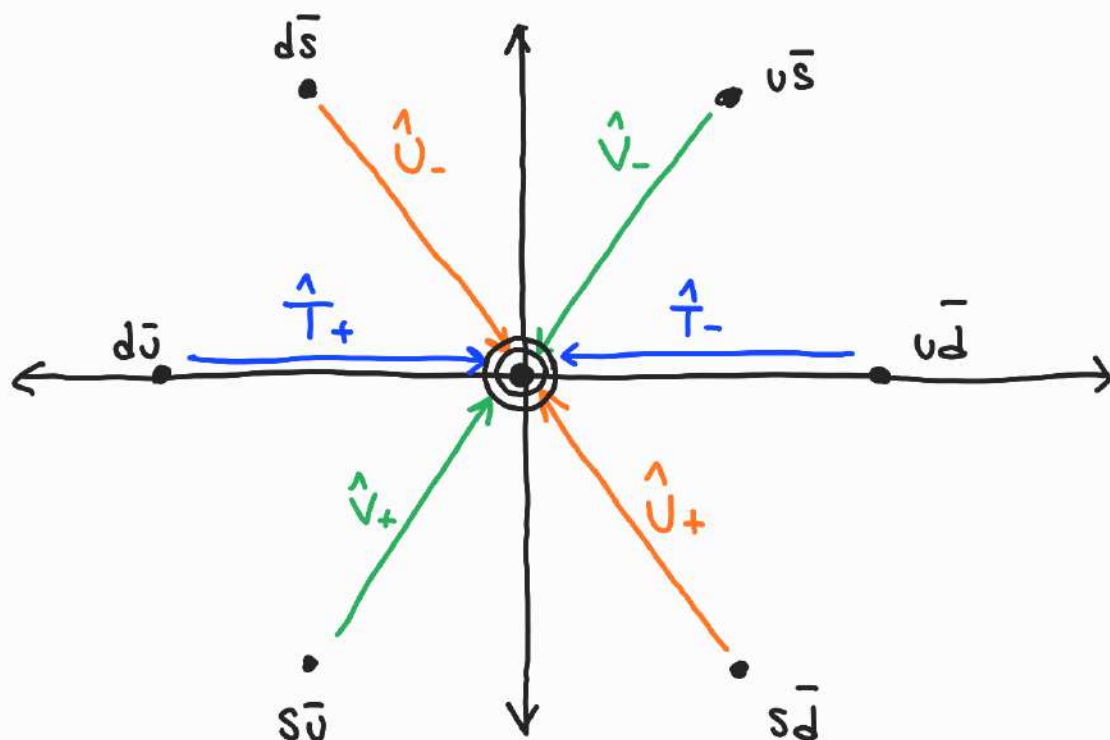
In the discussion of $SU(2)$ flavour symmetry, the third component of isospin is an additive quantum number, in analogy with angular momentum.

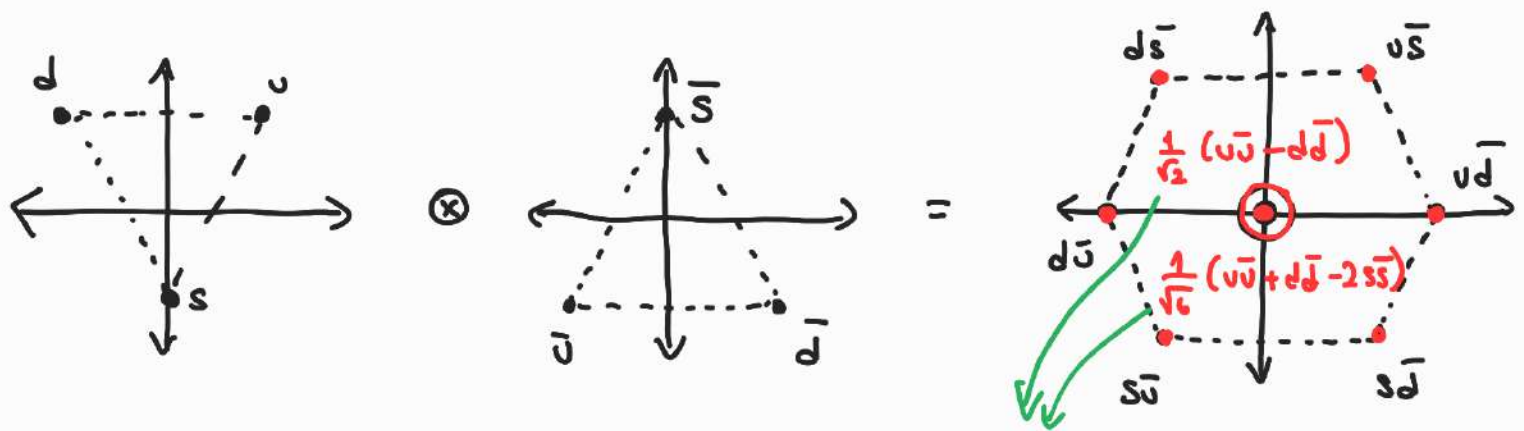
In $SU(3)$ flavour symmetry, both I_3 and Y are additive quantum numbers, which together specify the flavour content of a state.

Light meson ($q\bar{q}$) states, formed from combinations of u , d and s quarks / antiquarks, can be constructed using this additive property to identify the extreme states within an $SU(3)$ multiplet.



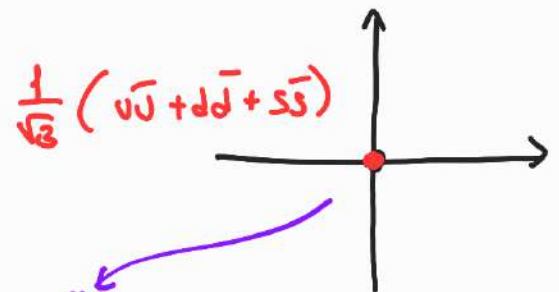
Having identified the extreme states, the ladder operators can be used to obtain the full multiplet structure.





orthogonal to
the singlet state \oplus

$$3 \otimes \bar{3} = 8 \oplus 1$$



"flavourless state"

carrying no information about the
flavours of its constituents

6.3 The $L=0$ mesons

$$\Psi(\text{meson}) = \Phi_{\text{flavor}} \chi_{\text{spin}} \xi_{\text{color}} \eta_{\text{space}}$$

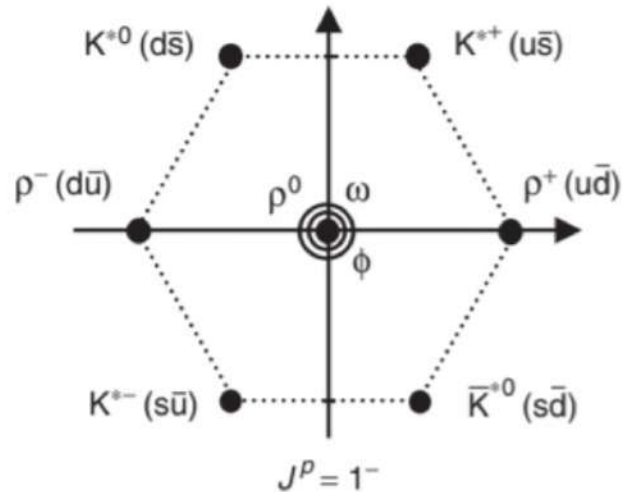
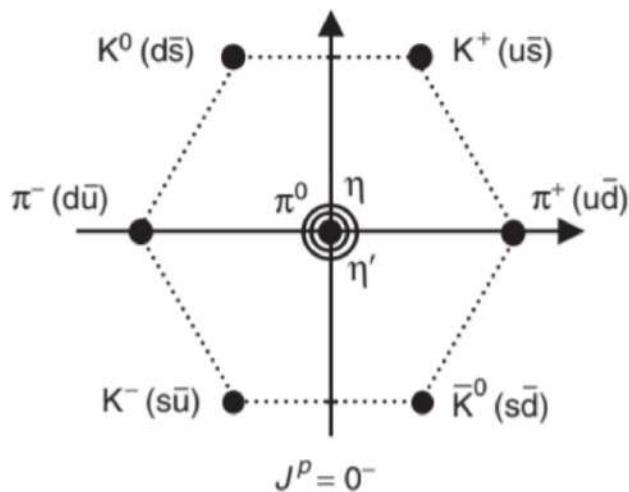
There are two possible spin states $S=0$ and $S=1$

For the lightest mesons, which have zero orbital angular momentum ($l=0$), the total angular momentum J is determined by spin state alone.

$J=0$ (pseudoscalar mesons)

$J=1$ (the vector mesons)

$$P(q\bar{q}) = \underbrace{P(q)P(\bar{q})}_{\text{parity}} \times \underbrace{(-1)^{\ell}}_{\text{symmetry of the orbital wavefunction}} = (+1)(-1)(-1)^{\ell}$$



the nine $\ell = 0, s = 0$ pseudoscalar mesons and nine $\ell = 0, s = 1$ vector mesons formed from the light quarks, plotted in terms of I_3 and Y .

If the $SU(3)$ flavor symmetry were exact, all the states in pseudoscalar meson octet would have the same mass. The observed mass differences can be ascribed to the fact that the strange quark is more massive than the up- and down quarks.

Table 9.1 The $L = 0$ pseudoscalar and vector meson masses.

Pseudoscalar mesons		Vector mesons	
π^0	135 MeV	ρ^0	775 MeV
π^\pm	140 MeV	ρ^\pm	775 MeV
K^\pm	494 MeV	$K^{*\pm}$	892 MeV
K^0, \bar{K}^0	498 MeV	K^{*0}/\bar{K}^{*0}	896 MeV
η	548 MeV	ω	783 MeV
η'	958 MeV	ϕ	1020 MeV

However, only the mass of strange quark does not explain why the vector mesons are more massive than their pseudoscalar counterparts.

For instance the π and ρ states are the same, but their masses very different (140 MeV, 770 MeV)

The only difference is the **spin wavefunction**.

In QED, the potential energy between two magnetic dipoles contains a term proportional to scalar product of the two dipole moments $\mu_i \cdot \mu_j$.

$$U \propto \frac{e}{m_i} S_i \cdot \frac{e}{m_j} S_j \propto \frac{\alpha}{m_i m_j} S_i \cdot S_j$$

fine structure
constant

This QED interaction term, which contributes to the hyperfine splitting of the energy levels of the hydrogen atom, relatively small

↓
arises from the coupling
of the electron spin and nuclear
spin

QCD vertex has the same form as that of QED. Thus, there will be a corresponding QCD **chromomagnetic spin-spin** interaction giving :

$$U \propto \frac{\alpha_s}{m_i m_j} S_i \cdot S_j$$

$\alpha_s \sim 1 \gg \alpha \sim 1/137$

$$m(q_1 q_2) = m_1 + m_2 + \frac{A}{m_1 m_2} \langle S_1 \cdot S_2 \rangle$$

↗ from experiment

↘ expectation value

total spin

$$\vec{S} = \vec{S}_1 + \vec{S}_2$$

$$S^2 = S_1^2 + 2\vec{S}_1 \cdot \vec{S}_2 + S_2^2$$

$$\vec{S}_1 \cdot \vec{S}_2 = \frac{1}{2} [S^2 - S_1^2 - S_2^2]$$

$$\langle S_1 \cdot S_2 \rangle = \frac{1}{2} [\langle S^2 \rangle - \langle S_1^2 \rangle - \langle S_2^2 \rangle]$$

$$= \frac{1}{2} [s(s+1) - s_1(s_1+1) - s_2(s_2+1)]$$

$s_1 = s_2 = \frac{1}{2}$ and s is the total spin of the $q\bar{q}$ system

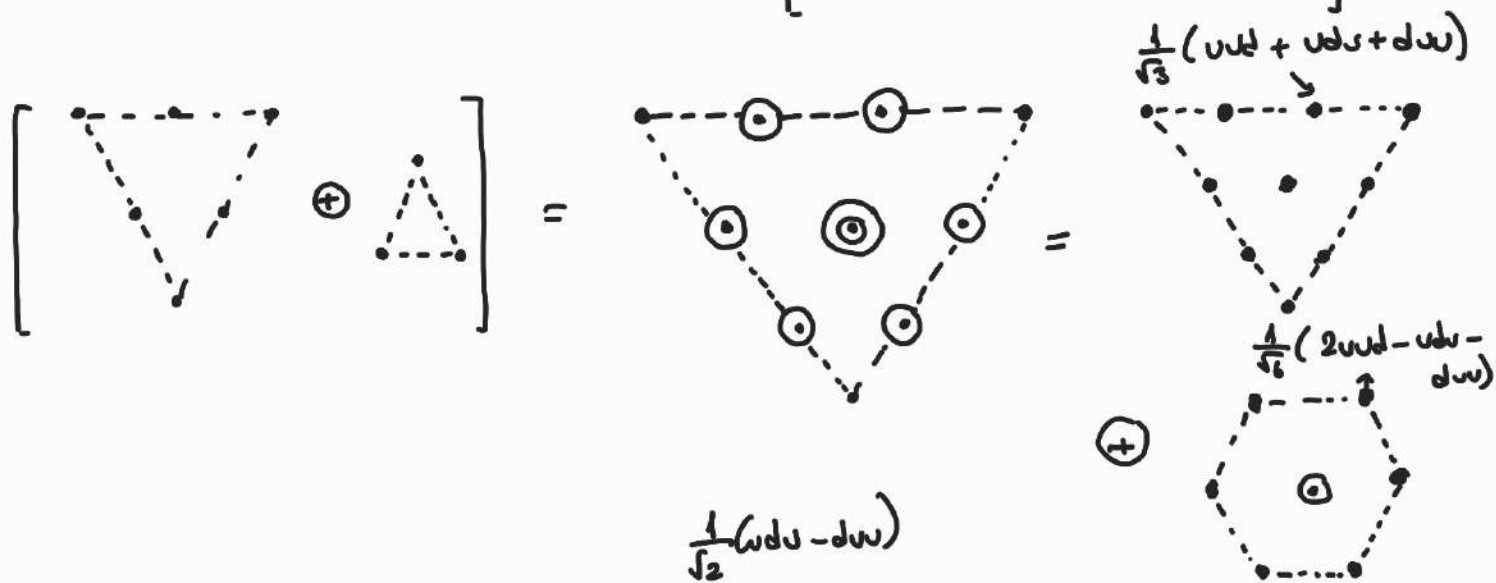
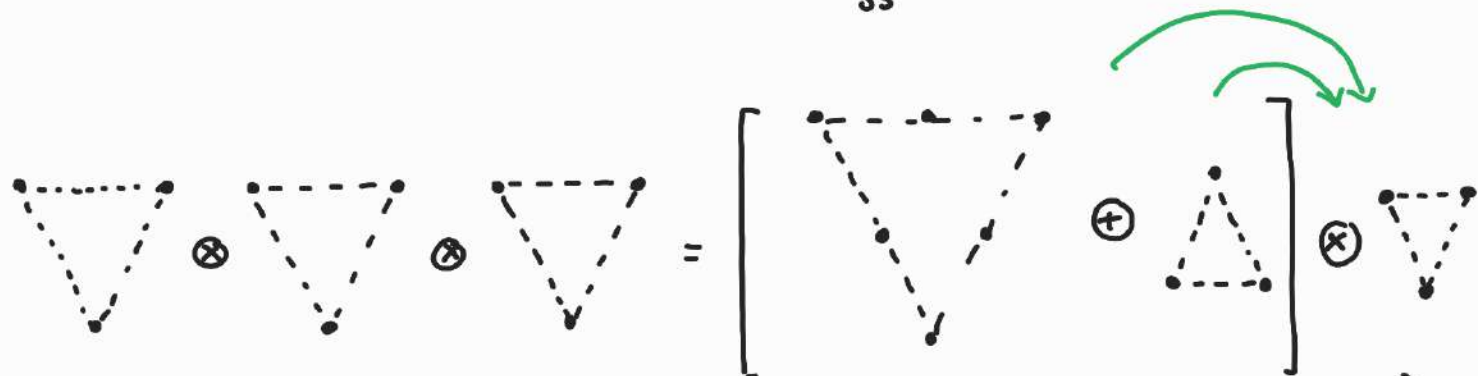
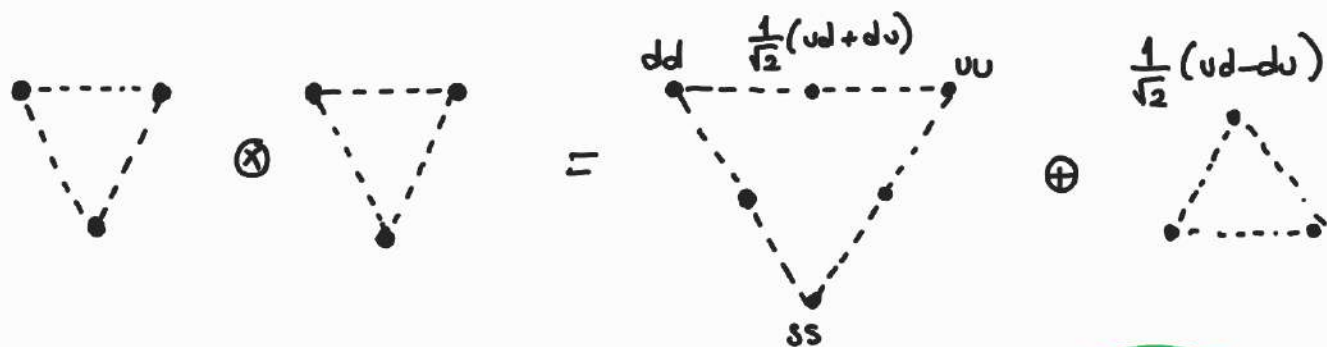
Pseudoscalar mesons ($s=0$):

$$m_p = m_1 + m_2 - \frac{3A}{4m_1 m_2}$$

Vector mesons ($s=1$):

$$m_v = m_1 + m_2 + \frac{A}{4m_1 m_2}$$

6.4 The $L=0$ uds baryons



$$3 \otimes 3 \otimes 3 = 3 \otimes (6 \oplus \bar{3}) = 10 \oplus 8 \oplus 8 \oplus 1$$

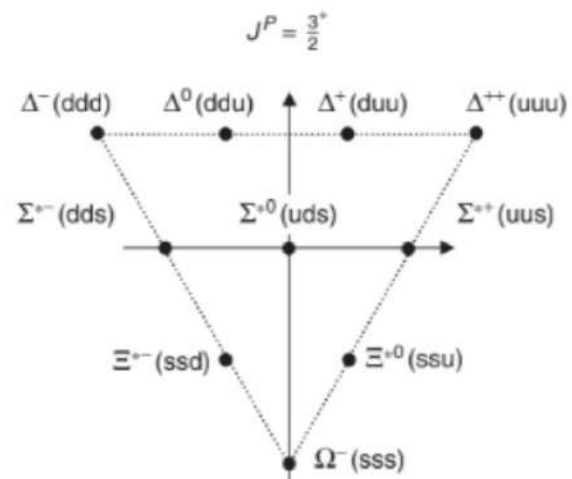
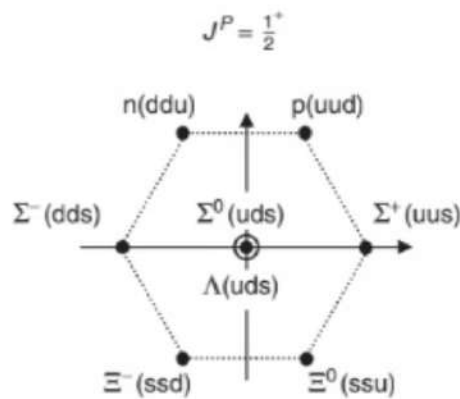
Symmetric Decyptet

Mixed
Symmetry
Octet

Single +
Anti-Synthetic

Table 9.2 Measured masses and number of strange quarks for the $L = 0$ light baryons.

s quarks		Octet		Decuplet
0	p, n	940 MeV	Δ	1230 MeV
1	Σ	1190 MeV	Σ^*	1385 MeV
1	Λ	1120 MeV		
2	Ξ	1320 MeV	Ξ^*	1533 MeV
3			Ω	1670 MeV



☆☆ In this chapter a number of important concepts were introduced. Symmetries of the Hamiltonian were associated with unitary transformations expressed in terms of Hermitian generators:

$$\hat{U}(\alpha) = \exp(i\alpha \cdot \hat{G})$$