




# Pattern Recognition Homework 3 announcement

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# Homework 3

- **Deadline: May. 26, 23:59**
  1. Code assignment (60%): Implementing decision tree and random forest by only NumPy
  2. Short answer questions (40%)
- **Submit your code (.py/.ipynb) and reports (.pdf) on E3**
  - [Sample Code](#)
  - [HW3 questions](#)
- Please follow the **file naming rules <STUDENT ID>\_HW3.pdf**, otherwise, you will get penalty of your scores



0856165\_HW1.ipynb  
0856165\_HW1.pdf

Compress



0856165\_HW1.rar

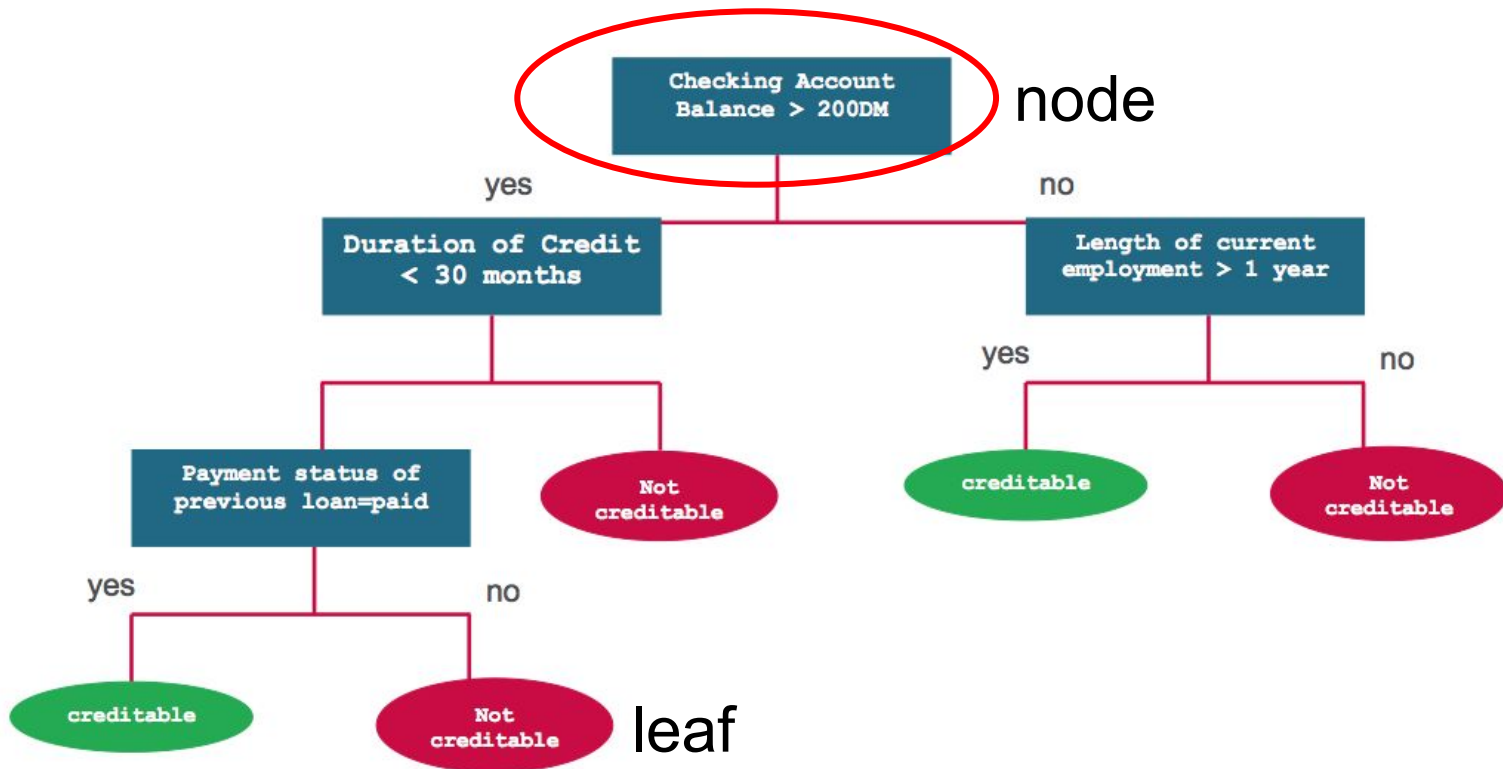
submit

**E3**



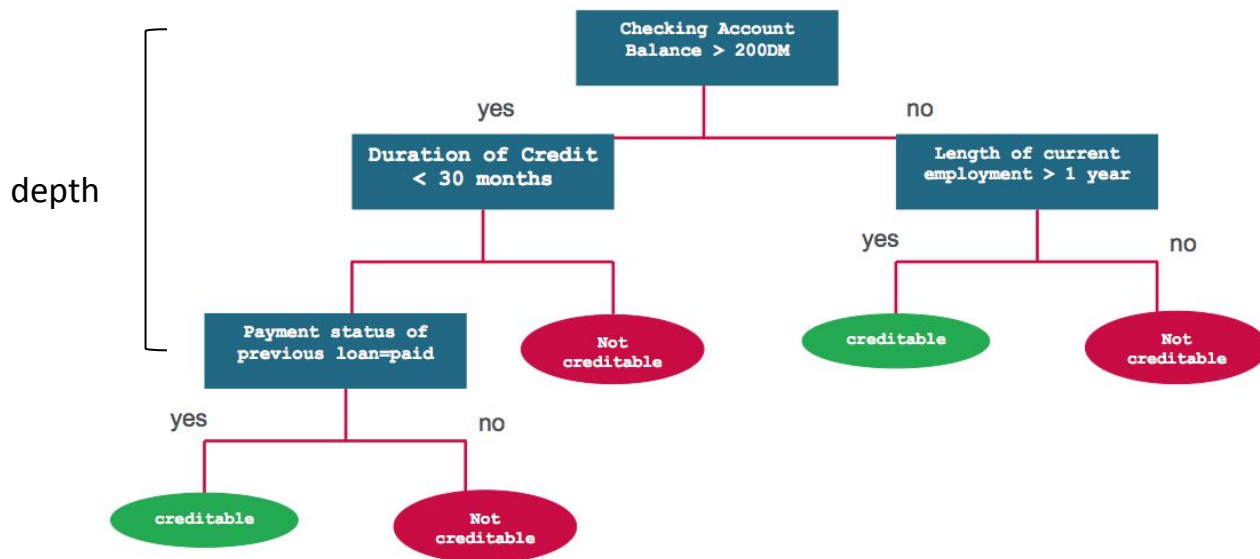
# Decision tree algorithm

- Whether to approve the loan for a customer?



# Decision tree algorithm

- How to find the feature to make the decisions?
- Find the feature to split data that the class at the resulting nodes are as **pure** as possible



# How to measure “pure”?

1. Entropy: the smaller, the purer
2. Gini-index: the smaller, the purer

$$Gini = 1 - \sum_j p_j^2$$

	Parent
C0	6
C1	6
Gini = 0.5	

**Gini :**  
 $1 - (6/12)^2 - (6/12)^2$   
 $= 0.5$

$$Entropy = - \sum_j p_j \log_2 p_j$$

- If all classes are the same in one node

$$entropy = -1 \log_2 1 = 0$$

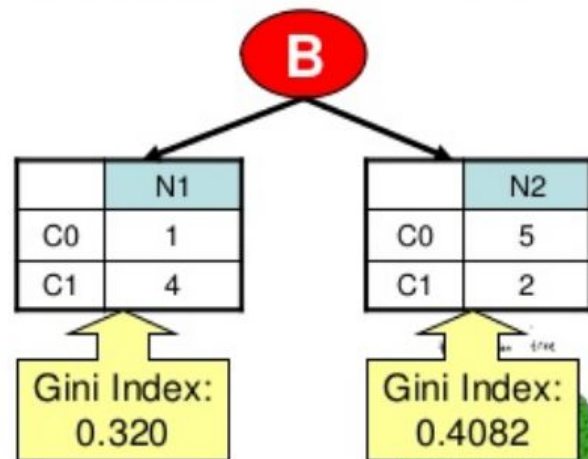
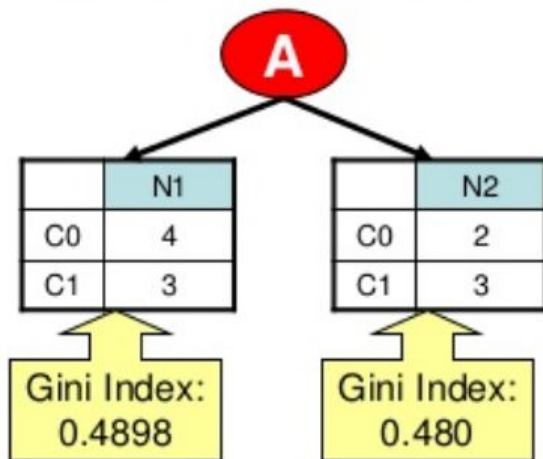
- If the classes are half-and-half

$$entropy = -0.5 \log_2 0.5 - 0.5 \log_2 0.5 = 1$$



# How to find best split?

Suppose there are two ways (A and B) to split the data into smaller subset.



Which one is a better split??

Compute the **weighted average of the Gini index** of both attribute



# Decision tree pseudo code

- Until stopped
  - a. Select a node
  - b. loop all values of all features
    - ◆ partition the node and calculate the purity of data
    - ◆ find the value of feature can yield lowest value of gini or entropy
  - c. Split the node using the feature value found in step b.
  - d. Go to next node and repeat step a to c.
- Stopping criteria
  - Each leaf-node contains data of the same class
  - **Depth of the tree is equal to some pre-specified limit**



# Overfitting

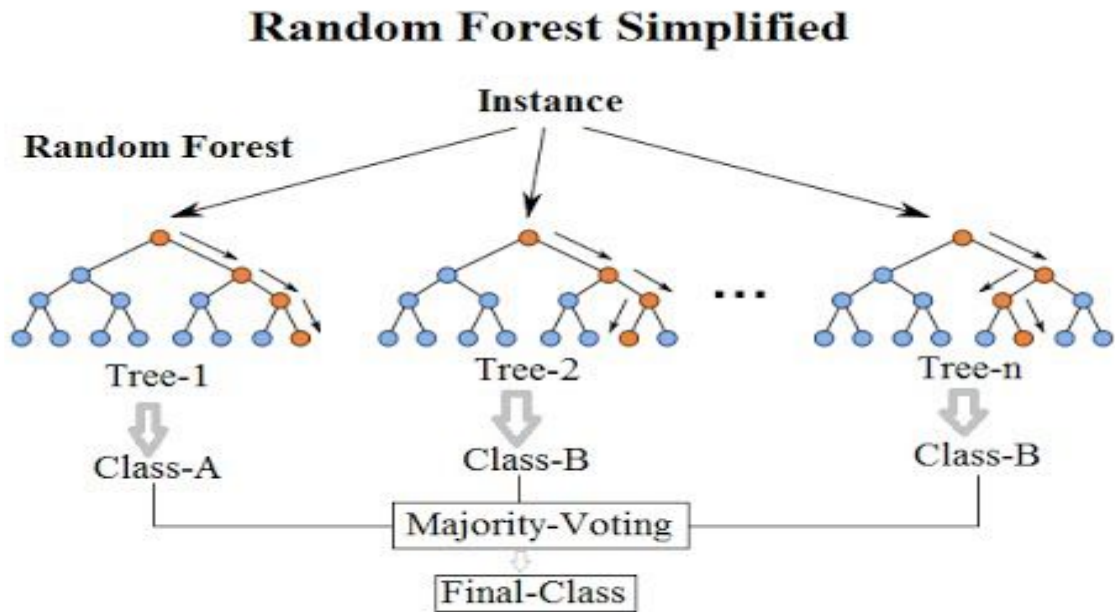
- Decision tree can find a unique path for each data if we don't pre-specified any limits, such as the depth of the node





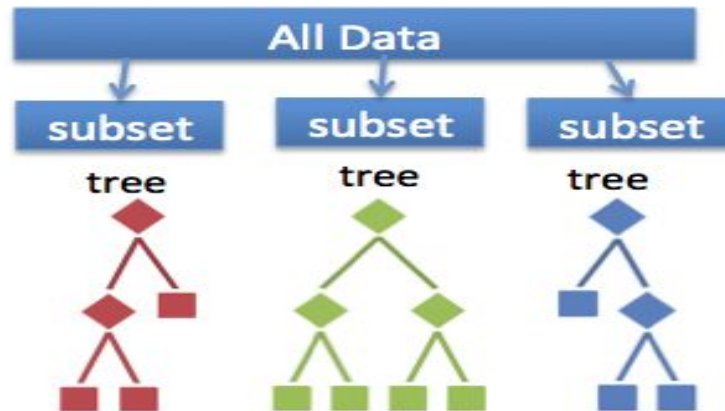
# Ensemble method of decision trees: Bagging

- **Bagging (Bootstrap aggregating):** Fit many **deep** trees to bootstrap-resampled versions of the training data, and classify data by majority voting



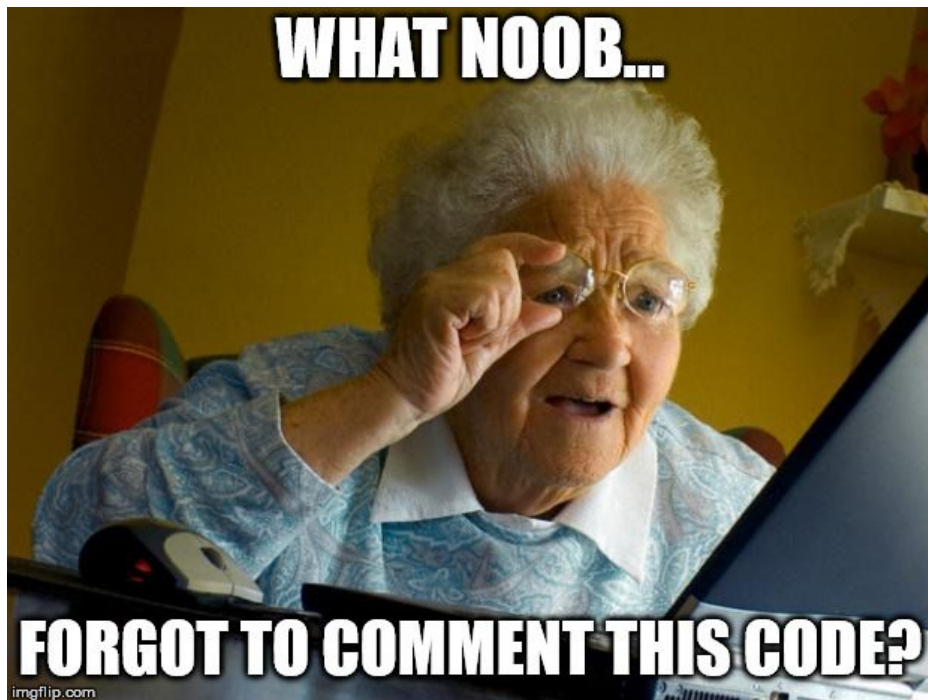
# Random forest: Where is the “randomness”?

- Bootstrapped dataset
- Each tree in the forest may grow with different data and features
- Which features or data to be used are **randomly** sampled to grow the tree



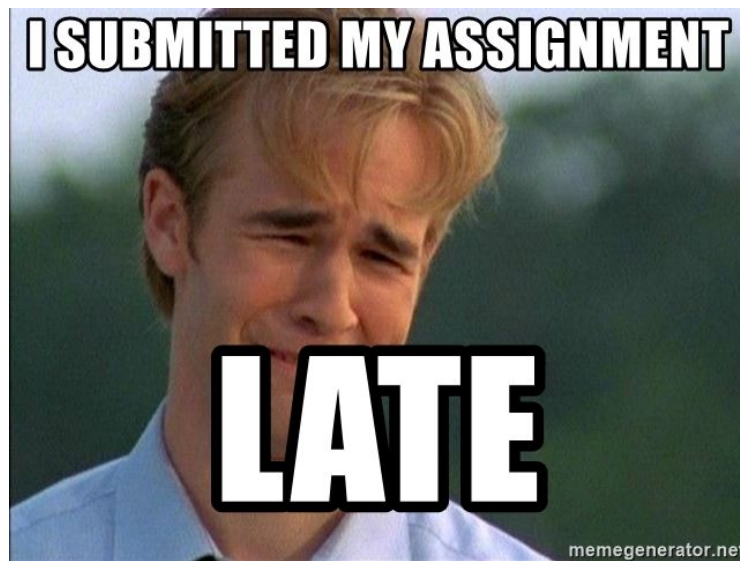
# Coding

- **Make sure to comment your code!**
  - Document each step of your model
- [PEP8 online checker](#)



# Late policy

- We will deduct a late penalty of 20 points per additional late day
- For example, If you get 90 points of HW1 but delay for two days, your will get only  $90 - (20 \times 2) = 50$  points!



# Notice

- **All of the model should get the accuracy over 0.9**
- Submit your homework on [E3-system](#)
- Check your email regularly, we will mail you if there are any updates or problems of the homework
- If you have any questions or comments for the homework, please mail me and cc Prof. Lin
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# Have fun!

