

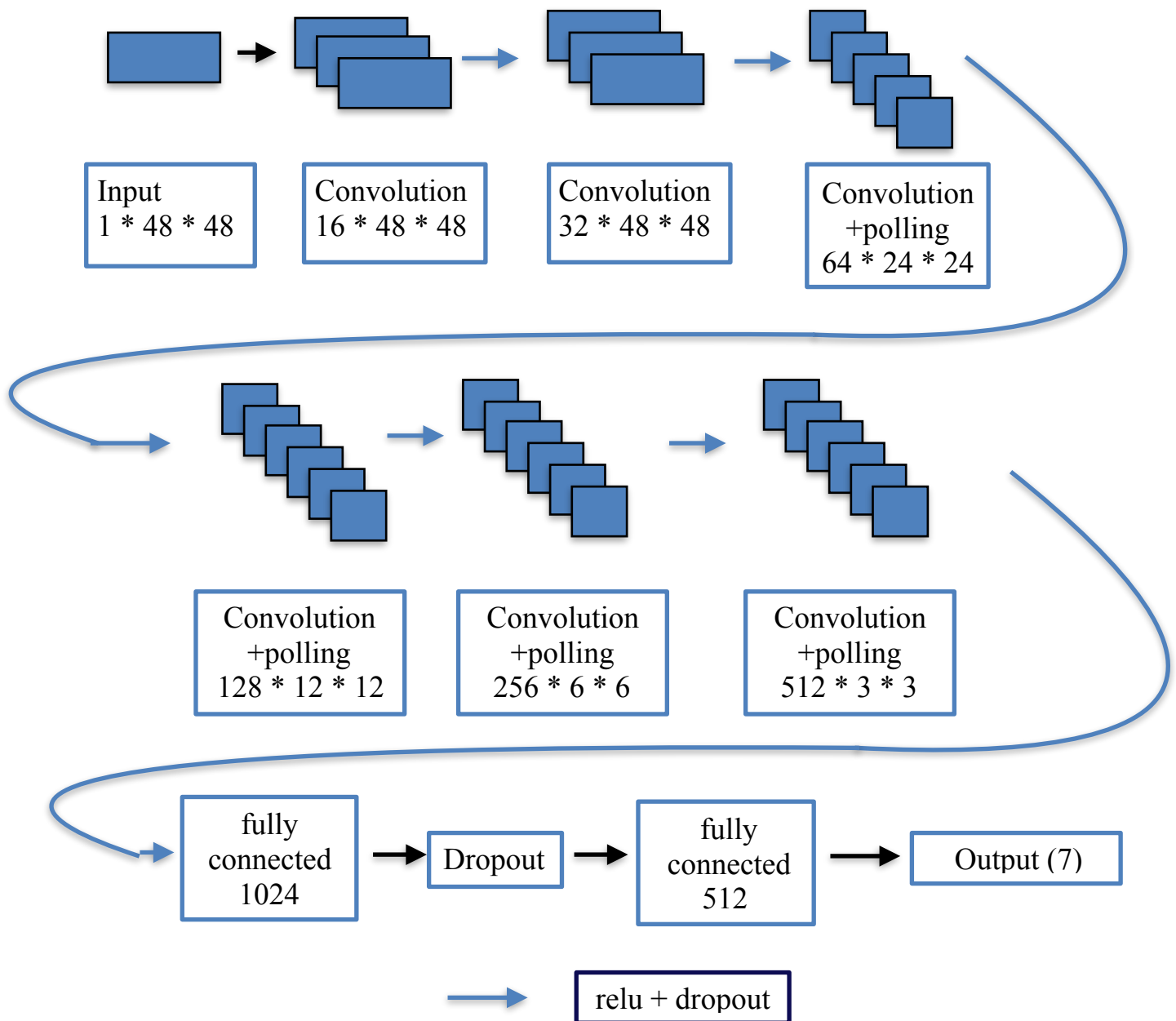
Homework3 Report

Professor Pei-Yuan Wu
EE5184 - Machine Learning

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1. (1%) 請說明你實作的 CNN model，其模型架構、訓練過程和準確率為何？



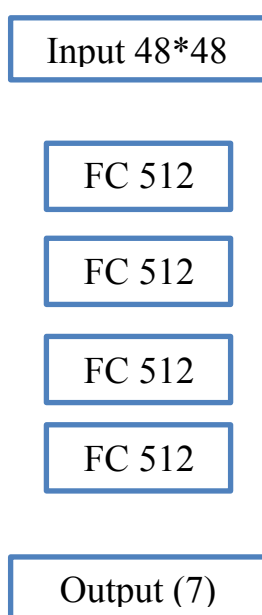
訓練過程：

Make batch → forward → loss backward →(loop)

準確率：

Model	Public score	Private score
單一 model	0.69183	0.70075
Ensemble 2 models	0.69796	0.71858

2. (1%) 承上題，請用與上述 CNN 接近的參數量，實做簡單的 DNN model，其模型架構、訓練過程和準確率為何？試與上題結果做比較，並說明你觀察到了什麼？



訓練過程：

Make batch → forward → loss backward →(loop)

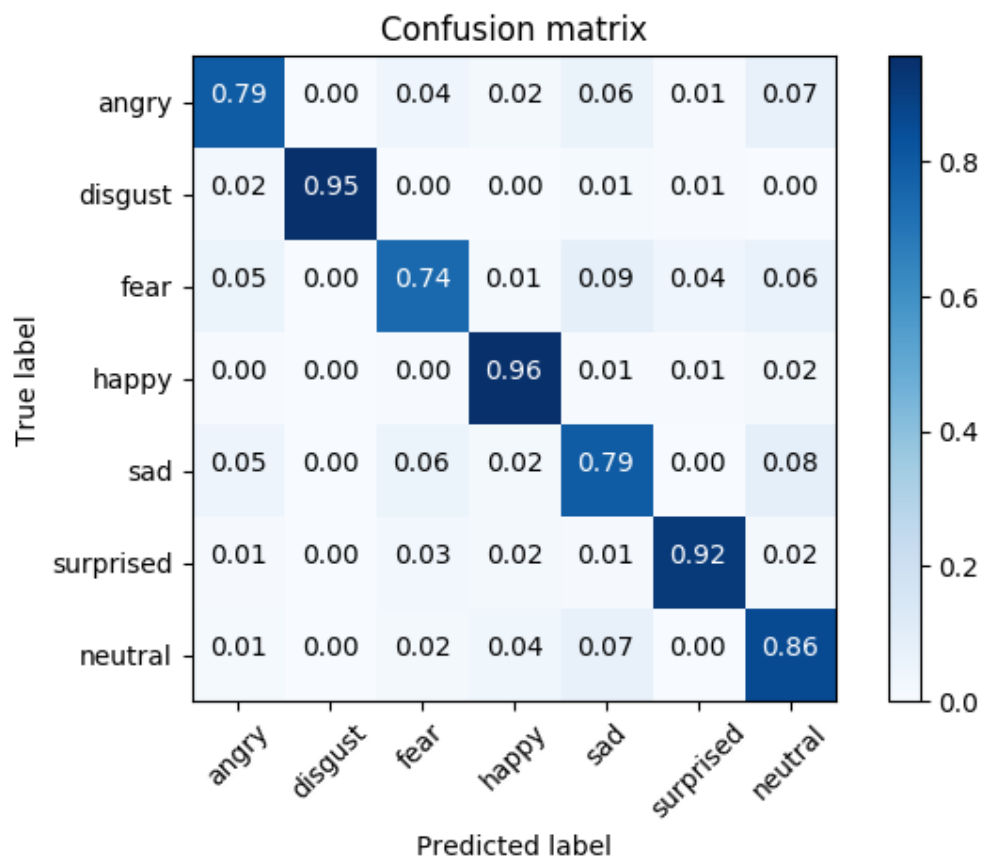
Model	Public score	Private score
CNN	0.36277	0.37977
DNN	0.69138	0.70075

觀察：

DNN 不像 CNN 有 Convolution layer，較難察覺各個 pixel 之間的關係，因此在同樣數量的參數下，DNN 的準確率低了許多。

(就算沒有仔細調整架構與 Hyperparameter, CNN 也可以輕鬆超過 50%)

3. (1%) 觀察答錯的圖片中，哪些 class 彼此間容易用混？並說明你觀察到了什麼？[繪出 confusion matrix 分析]



從上圖可看出，disgust、happy、surprised 三者比較不易搞混，angry、fear、sad 三者容易互相搞混。sad 也容易與 neutral 搞混。

-----Handwritten question-----

Collaborator: b0590274 魏佑珊

4. (1.5%, each 0.5%) CNN time/space complexity:

For a. b. Given a CNN model as

```
model = Sequential()
model.add(Conv2D(filters=6,
                  strides=(3, 3),
                  padding = "valid",
                  kernel_size=(2,2),
                  input_shape=(8,8,5),
                  activation='relu'))
model.add(Conv2D(filters=4,
                  strides=(2, 2),
                  padding = "valid",
                  kernel_size=(2,2),
                  activation='relu'))
```

And for the c. given the parameter as:

kernel size = (k, k) ;

channel size = c ;

filter size = f ;

input shape = (n, n) ;

padding = 1;

strides = (s, s) ;

a. How many parameters are there in each layer (Hint: you may consider whether the number of parameter is related with)

Layer A: $(5 \times 2 \times 2 + 1) \times 6 = 126$

Layer B: $(6 \times 2 \times 2 + 1) \times 4 = 100$

b. How many multiplications/additions are needed for a forward pass (each layer).

Layer A:

$6 \times 5 \times 2^2 \times 3^2 = 1080$ multiplications

$6 \times (5 \times 2^2 - 1) \times 3^2 = 1026$ additions

Layer B:

$4 \times 6 \times 2^2 \times 1 = 96$ multiplications

$4 \times (6 \times 2^2 - 1) \times 1 = 92$ addition

c. What is the time complexity of convolutional neural networks? (note: you must use big-O upper bound, and there are l layer, you can use C_l, C_{l-1} as l th and $l-1$ th layer)

For each layer, it takes

$f * c * k^2 * \lceil \frac{n}{s} \rceil^2$ multiplications and

$f * (c * k^2 - 1) * \lceil \frac{n}{s} \rceil^2$ additions

And the time complexity of a CNN is $O(\sum_1^l c_l * f_l * k_l^3 * \lceil \frac{n}{s} \rceil^2)$

5. (1.5%, each 0.5%) PCA practice: Problem statement: Given 10 samples in 3D space. $(1, 2, 3), (4, 8, 5), (3, 12, 9), (1, 8, 5), (5, 14, 2), (7, 4, 1), (9, 8, 9), (3, 8, 1), (11, 5, 6), (10, 11, 7)$

a. (1) What are the principal axes?

$\mu = (5.4, 8, 4.8)$

covariance matrix = $\begin{bmatrix} 13.38 & 0.56 & 3.64 \\ 0.56 & 13.56 & 3.22 \\ 3.64 & 3.22 & 9.07 \end{bmatrix}$

principal axes:

$(0.3999, 0.3376, -0.8521)$

$(-0.6782, 0.7344, -0.0273)$

$(-0.6166, -0.5888, -0.5226)$

b. (2) Compute the principal components for each sample.

$(1, 2, 3) \rightarrow [-1.4814, 0.7087, -3.362]$

$(4, 8, 5) \rightarrow [0.0394, 3.026, -9.7899]$

$(3, 12, 9) \rightarrow [-2.4187, 6.5326, -13.6189]$

$(1, 8, 5) \rightarrow [-1.1601, 5.0605, -7.9401]$

$(5, 14, 2) \rightarrow [5.0212, 6.836, -12.3716]$

$(7, 4, 1) \rightarrow [3.2972, -1.837, -7.194]$

$(9, 8, 9) \rightarrow [-1.3699, -0.4741, -14.9632]$

$(3, 8, 1) \rightarrow [3.0481, 3.8133, -7.0829]$

$(11, 5, 6) \rightarrow [0.9735, -3.9517, -12.8622]$

$(10, 11, 7) \rightarrow [1.747, 1.1055, -16.3011]$

c. (3) Reconstruction error if reduced to 2D. (Calculate the L2-norm)

$$L = \sum \|(x - \bar{x}) - (\sum_{k=1}^K (x - \bar{x}) \cdot w^k \cdot w^k)\|_2$$

list of L2 norm (for each data) =

$(2.2510, 0.7302, 3.188, 1.930, 4.2516,$

$2.5276, 2.1395, 2.2785, 0.2038, 0.9774)$

sum = 20.4778