

Constant	Symbol	Value
Avogadro's number	N_0	$6.022\,05 \times 10^{23} \text{ mol}^{-1}$
Proton charge	e	$1.602\,19 \times 10^{-19} \text{ C}$
Planck's constant	h	$6.626\,18 \times 10^{-34} \text{ J s}$
	\hbar	$1.054\,50 \times 10^{-34} \text{ J s}$
Speed of light in vacuum	c	$2.997\,925 \times 10^8 \text{ m s}^{-1}$
Atomic mass unit	amu	$1.660\,56 \times 10^{-27} \text{ kg}$
Electron rest mass	m_e	$9.109\,53 \times 10^{-31} \text{ kg}$
Proton rest mass	m_p	$1.672\,65 \times 10^{-27} \text{ kg}$
Boltzmann constant	k_B	$1.380\,66 \times 10^{-23} \text{ kg}$
Molar gas constant	R	$8.314\,41 \text{ J K}^{-1} \text{ mol}^{-1}$
Permittivity of a vacuum	ϵ_0	$8.854\,188 \times 10^{-12} \text{ C}^2 \text{ s}^2 \text{ kg}^{-1} \text{ m}^{-3}$
	$4\pi\epsilon_0$	$1.112\,650 \times 10^{-10} \text{ C}^2 \text{ s}^2 \text{ kg}^{-1} \text{ m}^{-3}$
Rydberg constant		
(infinite nuclear mass)	R_∞	$2.179\,914 \times 10^{-23} \text{ J}$
First Bohr radius	a_0	$5.291\,77 \times 10^{-11} \text{ m}$
Bohr magneton	μ_B	$9.274\,09 \times 10^{-24} \text{ J T}^{-1}$
Stefan-Boltzmann constant	σ	$5.670\,32 \times 10^{-8} \text{ J m}^{-2} \text{ K}^{-4} \text{ s}^{-1}$

0.1 INTEGRALS

$$\int_0^a x(a-x) \sin\left(\frac{n\pi}{a}x\right)dx = 2\left[\frac{a}{n\pi}\right]^3[1 - \cos(n\pi)] \quad \int \sin^2(kx)dx = \frac{1}{2}x - \frac{1}{4k} \sin(2kx) + C$$

$$\int_0^a x \sin^2\left(\frac{n\pi}{a}x\right)dx = \frac{a^2}{4} \quad \int_0^a x^2 \sin^2\left(\frac{n\pi}{a}x\right)dx = \left(\frac{a}{2\pi n}\right)\left(\frac{4\pi^3 n^3}{3} - 2n\pi\right)$$

$$\int_0^a x \sin\left(\frac{n\pi}{a}x\right) \sin\left(\frac{m\pi}{a}x\right)dx = \begin{cases} \frac{-4a^2nm}{(n+m)^2(n-m)^2\pi^2} & (m+n) \text{ odd} \\ 0 & (m+n) \text{ even} \end{cases}$$

$$\int \sin^2(x)dx = \frac{1}{4} \sin(2x) - \frac{1}{2}x + C \quad \int \cos^2(x)dx = \frac{1}{4} \sin(2x) + \frac{1}{2}x + C$$

$$\int_0^\infty x^n e^{-ax} dx = \frac{n!}{a^{n+1}} \quad \int_0^\infty e^{-ax^2} dx = \left(\frac{\pi}{4a}\right)^{1/2}$$

$$\int_0^\infty x^{2n} e^{-ax^2} dx = \frac{\sum_{k=1}^n (2n-1)}{2^{n+1}a^n} \left(\frac{\pi}{a}\right)^{1/2} \quad \int_0^\infty x^{2n+1} e^{-ax^2} dx = \frac{n!}{2a^{n+1}}$$

$$\int_0^a \sin\left(\frac{n\pi x}{a}\right) \sin\left(\frac{m\pi x}{a}\right)dx = \int_0^a \cos\left(\frac{n\pi x}{a}\right) \cos\left(\frac{m\pi x}{a}\right)dx = \frac{a}{2} \delta_{nm} \quad \delta_{nm} = \begin{cases} 1 & m = n \\ 0 & \end{cases}$$

0.2 EVEN/ODD

$$\int_{-\infty}^\infty e(x)dx = 2 \int_0^\infty e(x)dx \quad \int_{-\infty}^\infty o(x)dx = 0$$

Even	$g_1 + g_2$	$g_1 g_2$	$u_1 u_2$	u_1'	$g_1 \circ g_2$	$g_1 \circ u_1$	$u_1 \circ g_1$
Odd	$u_1 + u_2$	$u_1 g_1$		g_1'	$u_1 \circ u_2$		

0.3 IDENTITIES

$$\sin(\alpha) \sin(\beta) = \frac{1}{2} \cos(\alpha - \beta) - \frac{1}{2} \cos(\alpha + \beta) \quad \cos(\alpha) \cos(\beta) = \frac{1}{2} \cos(\alpha - \beta) + \frac{1}{2} \cos(\alpha + \beta)$$

$$\sin(\alpha) \cos(\beta) = \frac{1}{2} \sin(\alpha + \beta) + \frac{1}{2} \sin(\alpha - \beta)$$

$$\sin(\alpha \pm \beta) = \sin(\alpha) \cos(\beta) \pm \cos(\alpha) \sin(\beta) \quad \cos(\alpha \pm \beta) = \cos(\alpha) \cos(\beta) \mp \sin(\alpha) \sin(\beta)$$

$$e^{\pm i\theta} = \cos(\theta) \pm i \sin(\theta)$$

$$\cos(\theta) = \frac{e^{i\theta} + e^{-i\theta}}{2} \quad \sin(\theta) = \frac{e^{i\theta} - e^{-i\theta}}{2i}$$

$$f(x) = \sum_{n=0}^\infty \frac{f^{(n)}(a)(x-a)^n}{n!} \quad e^x = \sum_{n=0}^\infty \frac{x^n}{n!}$$

$$\cos(x) = \sum_{k=0}^\infty \frac{x^{2k}}{(2k)!} \quad \sin(x) = \sum_{k=1}^\infty \frac{x^{2k+1}}{(2k+1)!}$$

1 SCHRÖDINGER EQUATION

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V\Psi \quad \int_{-\infty}^{\infty} |\Psi(x, t)|^2 dx = 1$$

$\int_a^b |\Psi(x, t)|^2 dx = \text{probability of finding the particle between a and b, at time t.}$

$$\int_{-\infty}^{\infty} |\Psi(x, t=0)|^2 dx = 1 \quad \implies \quad \int_{-\infty}^{\infty} |\Psi(x, t)|^2 dx = 1$$

1.1 STATIONARY SOLUTIONS, SEPERATION OF VARIABLES

$$V(x, t) = V(x) \quad Psi(x, t) = \psi(x)\phi(t)$$

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V\Psi \quad \Rightarrow \quad i\hbar \frac{1}{\phi} \frac{d\phi}{dt} = -\frac{\hbar^2}{2m} \frac{1}{\psi} \frac{d^2 \psi}{dx^2} + V$$

$$\boxed{\frac{d\phi}{dt} = -\frac{iE}{\hbar} \phi \quad \Rightarrow \quad \phi(t) = e^{iEt/\hbar}} \quad \boxed{-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} + V\psi = E\psi}$$

To solve for $\psi(x)$ we need $V(x)$ $\hat{H}\psi = E\psi$ $\hat{H}^2\psi = E^2\psi$

$$\Psi(x, t) = \psi \cdot e^{i\Theta} \rightarrow |\Psi|^2 = e^{-i\Theta} \psi^* \cdot e^{i\Theta} \psi = \psi^* \psi = |\psi|^2$$

$$\langle H \rangle = E \quad \sigma_H^2 = 0 \quad \Psi_n(x, t) \text{ are complete!}$$

1.1.1 CASE $V(x) \equiv 0$

$$\rightarrow \frac{d^2 \psi}{dx^2} = -k^2 \psi \quad \text{leads to 2 general solutions:}$$

$$\begin{cases} \text{Travelling Waves} & \psi(x) = Ce^{ikx} + De^{-ikx} \\ \text{Standing Waves} & \psi(x) = A \sin(kx) + B \cos(kx) \end{cases}$$

1.1.2 THE FREE PARTICLE, TRAVELLING WAVES

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} = E\psi \quad \psi(x) = Ce^{ikx} + De^{-ikx} \quad \psi_k(x) = C'e^{ikx}$$

$$\Psi_k(x, t) = \psi_k(x)e^{-iEt/\hbar} \quad \text{with} \quad E = \frac{\hbar^2 k^2}{2m} \quad p = \hbar k$$

$$\Psi_k(x, t) = C'e^{i(kx - \frac{\hbar k^2}{2m}t)} \quad \text{and} \quad \begin{cases} k > 0 & \Rightarrow \text{going right} \\ k < 0 & \Rightarrow \text{going left} \end{cases}$$

$$\boxed{|\Psi_k(x, t)|^2 = |C'|^2 \rightarrow \int_{-\infty}^{\infty} |\Psi_k|^2 dx = \infty \neq 1!}$$

Thus a free particle with definite energy does not exist!

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} g(k) \exp(i(kx - \frac{\hbar k^2}{2m}t)) dk \quad c_n = \frac{g(k)dk}{\sqrt{2\pi}}$$

$$\boxed{g(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \Psi(x, 0) e^{-ikx} dx}$$

$$\boxed{f(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} F(k) e^{ikx} dk \Leftrightarrow F(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(x) e^{-ikx} dx}$$

Plancherel

If a particles wave packet is localized in position, it contains many k-components, thus the momentum($p = \hbar k$) is unclear.

If a particles wave packet is delocalized in position, it contains few k-components, thus the momentum is clearly defined, though the position is not.

1.2 THE INFINITE SQUARE WELL, STANDING WAVES

$$V(x) = 0 \quad \forall x \in (0, a) \quad \Rightarrow \quad -\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} = E\psi$$

$$\psi(x) = A \sin(kx) + B \cos(kx).$$

$$\text{BC: } \psi(x) = A \sin(kx) \quad k = \frac{n\pi}{a} \Rightarrow E_n = \frac{n^2 \pi^2 \hbar^2}{2ma^2} \quad |A|^2 = \frac{2}{a}.$$

$$\boxed{\psi_n(x) = \sqrt{\frac{2}{a}} \sin(\frac{n\pi}{a}x)}$$

$$\boxed{\Psi(x, t) = \sum_{n=1}^{\infty} c_n \sqrt{\frac{2}{a}} \sin(\frac{n\pi}{a}x) e^{-i(n^2 \pi^2 \hbar / 2ma^2)t}}$$

$$c_n = \sqrt{\frac{2}{a}} \int_0^a \sin(\frac{n\pi}{a}x) \Psi(x, 0) dx \quad \langle \hat{H} \rangle = \sum_{n=1}^{\infty} |c_n|^2 E_n$$

$$\sum_{n=1}^{\infty} |c_n|^2 = 1 \quad |c_n|^2 \text{ probability to measure } E_n.$$

1.3 THE HARMONIC OSCILLATOR

$$V(x) = \frac{1}{2} kx^2 = \frac{1}{2} m\omega^2 x^2 \quad \omega = \sqrt{\frac{k}{m}}$$

1.3.1 LADDER OPERATORS

$$\boxed{\hat{a}_{\pm} = \frac{1}{\sqrt{2\hbar m\omega}} (\mp i\hat{p} + m\omega\hat{x}) \quad \hat{N} = \hat{a}_+ \hat{a}_- \quad \langle \hat{N} \rangle = n}$$

$$\hat{H} = \hbar\omega(a_- a_+ - \frac{1}{2}) \quad \hat{H} = \hbar\omega(a_+ a_- + \frac{1}{2}) \quad [a_-, a_+] = 1$$

$$\boxed{\psi_n \hat{a}_+ = \psi_{n+1} \text{ has } E = E_n + \hbar\omega}$$

$$\boxed{\psi_0 \hat{a}_- = 0 \quad \psi_0 = (\frac{m\omega}{\pi\hbar})^{1/4} e^{-\frac{m\omega}{2\hbar} x^2} \quad E_0 = \frac{1}{2} \hbar\omega}$$

$$\boxed{\psi_1 = (\frac{m\omega}{\pi\hbar})^{1/4} (\frac{2m\omega}{\hbar})^{1/2} x e^{-\frac{m\omega}{2\hbar} x^2}}$$

$$\boxed{\psi_n = A_n (a_+)^n \psi_0 \quad E_n = (n + \frac{1}{2}) \hbar\omega \quad A_n = \frac{1}{\sqrt{n!}}}$$

- ψ_n alternate between even and odd.
- ψ_n are mutually orthogonal: $\int_{-\infty}^{\infty} \psi_m^* \psi_n dx = \delta_{mn}$
- classical turning point x_p from $E_n = V(x)$

1.4 DELTA-FUNCTION POTENTIAL

$$V(x) = -\alpha\delta(x) \quad -\frac{\hbar^2}{2m} \cdot \frac{\partial^2 \psi}{\partial x^2} - \alpha\delta(x)\psi = E\psi$$

1.4.1 BOUND STATE

$$\psi(x) \begin{cases} Be^{\kappa x} & (x \leq 0) \\ Be^{-\kappa x} & (x \geq 0) \end{cases} \quad \kappa = \frac{m\alpha}{\hbar^2} \quad B = \frac{\sqrt{m\alpha}}{\hbar}$$

$$\boxed{\psi(x) = \frac{\sqrt{m\alpha}}{\hbar} e^{-m\alpha|x|/\hbar^2} \quad E = -\frac{m\alpha^2}{2\hbar^2}} \text{ single bound state}$$

1.4.2 SCATTERING STATES

$$\psi(x < 0) = Ae^{ikx} + Be^{-ikx} \quad \psi(x > 0) = Fe^{ikx} + Ge^{-ikx}$$

A - incident wave; B - reflected wave; F - transmitted wave; G = 0

$$\boxed{B = \frac{i\beta}{1-i\beta} A, \quad F = \frac{1}{1-i\beta} A \quad \beta = \frac{m\alpha}{\hbar^2 k} \quad k = \frac{\sqrt{2mE}}{\hbar}}$$

$$\boxed{R = \frac{|B|^2}{|A|^2} = \frac{\beta^2}{1+\beta^2} = \frac{1}{1+(2\hbar^2 E/m\alpha^2)}} \text{ reflection coeff.}$$

$$\boxed{T = \frac{|F|^2}{|A|^2} = \frac{1}{1+\beta^2} = \frac{1}{1+(m\alpha^2/2\hbar^2 E)}} \text{ transmission coeff.}$$

$$R + T = 1 \quad \text{higher E} \rightarrow \text{higher probability of transmission}$$

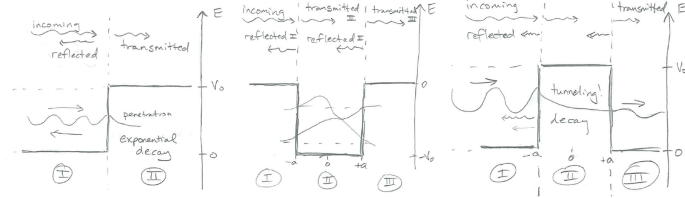
1.4.3 DELTA BARRIER

- Change sign of $\alpha \rightarrow$ no bound state.
- r. and t. coefficients unaffected.
- transmission \rightarrow tunneling

1.5 FINITE STEP, FINITE WELL, FINITE BARRIER

Divide in regions and solve T.I.S.E. in each of them.

BC: $[\psi \text{ finite, continuous, } \frac{d\psi}{dx} \text{ continuous}]$



1.5.1 SHARP POTENTIAL CHANGE

- Higher energy \rightarrow reflection, transmission.
- Lower energy \rightarrow reflection, penetration.
- Penetration: with exponentially decaying probability, can lead to tunneling.

1.5.2 SCATTERING AND BOUND STATES

$$E > V(\pm\infty) \Rightarrow \text{scattering state}$$

$$E < V(\pm\infty) \Rightarrow \text{bound state}$$

2 FORMALISM

2.1 STATISTICS

$$\text{probability density: } \rho(x) \quad P_{a,b} = \int_a^b \rho(x) dx$$

$$1 = \int_{-\infty}^{\infty} \rho(x) dx \quad \langle x \rangle = \int_{-\infty}^{\infty} x \rho(x) dx$$

$$\langle f(x) \rangle = \int_{-\infty}^{\infty} f(x) \rho(x) dx \quad \sigma^2 = \langle (\Delta x)^2 \rangle = \langle x^2 \rangle - \langle x \rangle^2$$

2.2 INNER PRODUCTS

$$\langle \alpha | \beta \rangle = a_1^* b_1 + a_2^* b_2 + \dots + a_N^* b_N \quad \langle f | g \rangle \equiv \int_a^b f(x)^* g(x) dx$$

$$|\int_a^b f(x)^* g(x) dx| \leq \sqrt{\int_a^b |f(x)|^2 dx \int_a^b |g(x)|^2 dx}$$

The inner product of square-integrable functions converges.

$$\langle f | g \rangle = \langle g | f \rangle \quad \langle f | f \rangle = \int_{-\infty}^{\infty} |f(x)|^2 dx$$

$$\{f_n\} \text{ is complete if for any function } F(x) = \sum_{n=1}^{\infty} c_n f_n(x)$$

2.2.1 FOURIERS TRICK

$$\text{orthonormal set of functions: } \{f_n(x)\} \rightarrow c_n = \langle f_n | f \rangle$$

$$F(x) = \sum_{n=1}^{\infty} \langle f_n | f \rangle \cdot f_n(x)$$

2.3 HILBERT SPACE

$$f(x) : \int_a^b |f(x)|^2 dx < \infty \text{ respectively } \Psi : \int_{-\infty}^{\infty} |\Psi|^2 dx = 1$$

$$\lim_{x \rightarrow \pm\infty} \frac{df}{dx} = 0$$

2.4 OBSERVABLES AND OPERATORS

$$Q(x, p) \text{ and } \hat{Q}(x, \frac{\hbar}{i} \frac{\partial}{\partial x}) \quad \langle Q \rangle = \int \Psi^* \hat{Q} \Psi dx = \langle \Psi | \hat{Q} \Psi \rangle$$

$$\hat{x} = x \quad \hat{p} = \frac{\hbar}{i} \frac{\partial}{\partial x} \quad \langle p \rangle = \frac{md\langle x \rangle}{dt} \quad (\text{Ehrenfest})$$

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \quad \langle H \rangle = \frac{\sum_{n=1}^{\infty} |c_n|^2 E_n}{N}$$

$$\text{Hermitian operator } \langle f | \hat{Q} g \rangle = \langle \hat{Q} f | g \rangle$$

Observables are represented by hermitian operators.

$$\langle f | (\hat{Q}_1 + \hat{Q}_2) g \rangle = \langle (\hat{Q}_1 + \hat{Q}_2) f | g \rangle$$

$$\langle f | \hat{Q} (\hat{R} f) \rangle = \langle \hat{Q} f | \hat{R} f \rangle = \langle \hat{R} (\hat{Q} f) | f \rangle \quad \forall \hat{Q}, \hat{R} : [\hat{Q}, \hat{R}] = 0$$

2.5 COMMUTATORS

$$[A, B] = AB - BA \quad [\hat{A}\hat{B}, \hat{C}] = \hat{A}[\hat{B}, \hat{C}] - [\hat{A}, \hat{C}]\hat{B}$$

$$[\hat{x}, \hat{p}_x] = i\hbar \quad [\hat{a}_-, \hat{a}_+] = 1 \quad [\hat{x}^n, \hat{p}_x] = i\hbar n x^{n-1} \quad [f(\hat{x}), \hat{p}] = i\hbar \frac{df}{dx}$$

$$[\hat{H}, \hat{x}] = \frac{i^2 \hbar^2}{m} \frac{\partial}{\partial x} = -\frac{i\hbar \hat{p}}{m} \quad [\hat{x}, \hat{y}] = [\hat{x}, \hat{p}_y] = [\hat{p}_x, \hat{p}_y] = 0$$

$$[\hat{L}_z, \hat{x}] = i\hbar y \quad [\hat{L}_z, \hat{y}] = -i\hbar x \quad [\hat{L}_z, \hat{z}] = 0$$

$$[\hat{L}_z, \hat{p}_y] = -i\hbar \hat{p}_x = -\hbar^2 \frac{\partial}{\partial x} \quad [\hat{L}_z, \hat{p}_x] = i\hbar \hat{p}_y = \hbar^2 \frac{\partial}{\partial y}$$

$$[\hat{L}_z, \hat{p}_z] = 0 \quad [\hat{L}_z, \hat{r}^2] = 0 \quad [\hat{L}_z, \hat{p}^2] = 0 \quad [\hat{H}, \hat{L}^2, \hat{L}_z] = 0$$

$$\text{for H: } \langle x \rangle = 0 \quad \langle x^2 \rangle = ? \rightarrow \langle r^2 \rangle = \langle x^2 \rangle + \langle y^2 \rangle + \langle z^2 \rangle = 3\langle x^2 \rangle$$

2.6 DETERMINATE STATES

$$\hat{Q}\Psi = q\Psi \quad \text{Spectrum of } \hat{Q} : \{q_n\} \quad \text{Eigenfunctions } \Psi_n$$

Determinate states are eigenfunctions of \hat{Q}

$$\text{Degenrate states: } \hat{Q}\Psi_1 = \hat{Q}\Psi_2 = q\Psi$$

Eigenfunctions of a hermitian operator are *complete*

$$\langle \hat{Q} \rangle = \sum_n |c_n|^2 q_n \quad \sum_n |c_n|^2 = 1$$

2.6.1 EXAMPLES OF EIGENFUNCTIONS

$$\text{Position } \hat{X} g_{x'}(x) = x' g_{x'}(x) \quad g_{x'}(x) = \delta(x - x')$$

$$\text{Momentum } \hat{p} f_p(x) = p f_p(x) \quad f_p(x) = \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar}$$

Dirac Orthonormality:

$$\langle g_{x'} | g_{x''} \rangle = \delta(x' - x'') \quad \langle f_{p'} | f_{p''} \rangle = \delta(p' - p'')$$

2.7 DIRAC NOTATION

$$\langle f | g \rangle \equiv \int_a^b f(x)^* g(x) dx \text{ with } \mathbf{bra} \langle \alpha | \text{ and } \mathbf{ket} | \beta \rangle.$$

bra $\langle \alpha |$ - linear function of vectors

ket $|\beta\rangle$ - vector

Operator \hat{Q} - matrix

$$\Psi(x, t) = \langle x | f(t) \rangle \quad \Phi(p, t) = \langle p | f(t) \rangle \quad c_n(t) = \langle n | f(t) \rangle$$

$\Psi(x, t), \Phi(p, t), c_n(t)$ are the coefficients in the expansion of $|f\rangle$ in the basis of the corresponding eigenfunctions.

$$\Psi(x, t) = \int \Psi(y, t) \delta(x - y) dy = \int \Phi(p, t) \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar} dp = \sum c_n e^{iE_n t/\hbar} \psi_n(x)$$

2.8 COMPATIBLE OBSERVABLES / UNCERTAINTY PRINCIPLE

Two observables can be precisely determined iff $[\hat{Q}_1, \hat{Q}_2] = 0$

$$\sigma_{\hat{Q}_1}^2 \sigma_{\hat{Q}_2}^2 = (\frac{1}{2i} \langle [\hat{Q}_1, \hat{Q}_2] \rangle)^2 \quad \sigma_x \sigma_p \geq \frac{\hbar}{2} \quad \Delta t \Delta E \geq \frac{\hbar}{2}$$

$$\frac{d}{dt}\langle Q \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{Q}] \rangle + \langle \frac{d\hat{Q}}{dt} \rangle \quad \text{is } \langle \frac{d\hat{Q}}{dt} \rangle \neq 0 ? \quad \Delta t = \frac{\sigma_{\hat{Q}}}{|\frac{d\langle \hat{Q} \rangle}{dt}|}$$

2.9 CLASSICAL PHYSICS

$$p = \frac{h}{\lambda} \text{ de Broglie formula} \qquad E = \frac{p^2}{2m}$$

$$\text{Wave function } f(x) = Ae^{ikx} \qquad k = \frac{2\pi}{\lambda}$$

3 QM IN 3 DIMENSIONS

3.1 GENERALIZATION

$$p = \frac{h}{i} \nabla \qquad i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi + V\Psi \text{ (TDSE)}$$

$$\Psi_n(r,t) = \Psi_n(r)e^{-iE_n t/\hbar} \qquad -\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi = E\psi \text{ (TISE)}$$

$$\text{ISW: } \psi(x,y,z) = \frac{2}{a}{}^{3/2} \sin(\frac{n_x \pi}{a}x) \sin(\frac{n_y \pi}{a}y) \sin(\frac{n_z \pi}{a}z)$$

3.2 SPHERICAL COORDINATES

$$\begin{aligned} \nabla^2 &= \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \frac{\partial}{\partial r}) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \frac{\partial}{\partial \theta}) + \frac{1}{r^2 \sin^2 \theta} (\frac{\partial^2}{\partial \phi^2}) \\ &- \frac{\hbar^2}{2m} \left[\frac{1}{r^2} (r^2 \frac{\partial \psi}{\partial r}) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \frac{\partial \psi}{\partial \theta}) + \frac{1}{r^2 \sin^2 \theta} (\frac{\partial^2 \psi}{\partial \phi^2}) \right] + V\psi = \\ &E\psi \end{aligned}$$

3.3 SEPERATION OF VARIABLES

$$\psi(r,\theta,\phi) = R(r)Y(\theta,\phi)$$

$$\underbrace{\left\{ \frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] \right\}}_{\text{A, depends on r}} + \underbrace{\frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{dY}{d\theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\}}_{\text{B, depends on } \theta, \phi} = 0$$

thus each part has to be constant → seperation constant:

$$A(r) = l(l+1) \qquad B(\theta,\phi) = -l(l+1)$$

3.3.1 ANGULAR EQUATION

$$\sin \theta \frac{\partial}{\partial \theta} \left(\sin \theta \frac{dY}{d\theta} \right) + \frac{\partial^2 Y}{\partial \phi^2} = -l(l+1) \sin^2 \theta Y$$

$$Y(\theta,\phi) = \Theta(\theta)\Phi(\phi)$$

$$\underbrace{\left\{ \frac{1}{\Theta} \left[\sin \theta \frac{d}{d\theta} \left(\sin \theta \frac{d\Theta}{d\theta} \right) \right] + l(l+1) \sin^2 \theta \right\}}_{\text{C, depends on } \theta} + \underbrace{\frac{1}{\Psi} \frac{d^2 \Psi}{d\psi^2}}_{\text{D, depends on } \phi} = 0$$

thus each part has to be constant → seperation constant:

$$C(\theta) = m^2 \qquad D(\phi) = -m^2$$

3.4 SOLUTION, ϕ-EQUATION

$$\Phi(\phi) = e^{im\phi} \qquad \Phi(\phi + 2\pi) = \Phi(\phi) \qquad m = 0, \pm 1, \pm 2, \dots$$

3.5 SOLUTION, θ-EQUATION

$$\Theta(\theta) = AP_l^m(\cos \theta)$$

associated Legendre function:

$$P_l^m \equiv (1-x^2)^{|m|/2} \left(\frac{d}{dx} \right)^{|m|} P_l(x)$$

Legendre polynomial defined by Rodrigues formula:

$$P_l(x) \equiv \frac{1}{2^l l!} \left(\frac{d}{dx} \right)^l (x^2 - 1)^l$$

3.6 FROM THIS: SPHERICAL HARMONICS

$$Y_l^m(\theta,\phi) = \epsilon \sqrt{\frac{(2l+1)}{4\pi} \frac{(l-|m|)!}{(l+|m|)!}} e^{im\phi} P_l^m(\cos \theta)$$

$$\epsilon = \begin{cases} (-1)^m & m \geq 0 \\ 1 & m \leq 0 \end{cases}$$

Spherical harmonics are orthogonal!

$$\langle Y_l^m | Y_{l'}^{m'} \rangle = \delta_{ll'} \delta_{mm'}$$

3.7 THE RADIAL EQUATION

$$\frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] R = l(l+1) R$$

$$u(r) = rR(r)$$

$$\left[-\frac{\hbar^2}{2m} \frac{d^2 u}{dr^2} + \left[V + \frac{\hbar^2}{2m} \frac{l(l+1)}{r^2} \right] u = Eu \right]$$

Identical to the one-dimensional SE, except for the effective potential.

$$V_{eff} = V + \underbrace{\frac{\hbar^2}{2m} \frac{l(l+1)}{r^2}}_{\text{centrifugal term}}$$

The centrifugal term tends to throw the particle away from the origin.

$$\lim_{r \rightarrow 0} V_{eff} = \infty$$

4 THE HYDROGEN ATOM

$$V(r) = -\frac{e^2}{4\pi\epsilon_0} \frac{1}{r} \\ -\frac{\hbar^2}{2m} \frac{d^2 u}{dr^2} + \left[-\frac{e^2}{4\pi\epsilon_0} \frac{1}{r} + \frac{\hbar^2}{2m} \frac{l(l+1)}{r^2} \right] u = Eu$$

$$E_n = - \left[\frac{m}{2\hbar^2} \left(\frac{e^2}{e\pi\epsilon_0} \right)^2 \right] \frac{1}{n^2} = \frac{E_1}{n^2} \qquad n = 1, 2, 3, \dots$$

$$\text{ground state: } E_1 = - \left[\frac{m}{2\hbar^2} \left(\frac{e^2}{4\pi\epsilon_0} \right)^2 \right] = -13.6 \text{ eV}$$

$$\text{Bohr radius: } a_0 \equiv \frac{4\pi\epsilon_0 \hbar^2}{me^2} = 0.592 \times 10^{-10} \text{ m}$$

$$R_{nl}(r) = \sqrt{\left(\frac{2}{na} \right)^3 \frac{(n-l-1)!}{2n[(n+l)!]^2}} \exp\left(-\frac{r}{na}\right) \left(\frac{2r}{na} \right)^l L_{(n+l)-(2l+1)}^{2l+1} \left(\frac{2r}{na} \right)$$

$$\psi_{nlm_l}(r,\theta,\phi) = R_{nl} Y_l^{m_l}(\theta,\phi)$$

$$\begin{array}{ll} n = 1, 2, 3, \dots & \text{principal quantum number} \\ l = 0, 1, 2, \dots, n-1 & \text{azimuthal quantum number} \\ m_l = -l, -l+1, \dots, -1, 0, 1, \dots, l-1, l & \text{magnetic quantum number} \end{array}$$

4.1 ANGULAR MOMENTUM

$$\text{Classically } \mathbf{L} = \mathbf{r} \times \mathbf{p} \qquad [\hat{H}, \hat{L}^2, \hat{L}_z] = 0$$

$$L_x = yp_z - zp_y \qquad L_y = zp_x - xp_z \qquad L_z = xp_y - yp_x$$

$$[L_x, L_y] = i\hbar L_z; \quad [L_y, L_z] = i\hbar L_x; \quad [L_z, L_x] = i\hbar L_y$$

$$L^2 = L_x^2 + L_y^2 + L_z^2 \qquad |\vec{L}| = \sqrt{L^2} \qquad [L^2, L_{x,y,z}] = 0$$

$$L^2 f_l^m = \hbar^2 l(l+1) f_l^m \qquad L_z f_l^m = \hbar m f_l^m$$

$$L_{\pm} \equiv L_x \pm iL_y \text{ where } L_z(L_{\pm} f) = (\mu \pm \hbar)(L_{\pm} f)$$

4.1.1 EIGENFUNCTIONS f_l^m

$\vec{L} = \vec{r} \times \vec{p} = \frac{\hbar}{i}(\vec{r} \times \vec{\nabla}) = \frac{\hbar}{i} \left(\vec{u}_\phi \frac{\partial}{\partial \theta} - u_\theta \frac{1}{\sin \theta} \frac{\partial}{\partial \phi} \right)$
in spherical coordinates: $\vec{\nabla} = \vec{u}_r \frac{\partial}{\partial r} + \frac{\vec{u}_\theta}{r} \frac{\partial}{\partial \theta} + \frac{\vec{u}_\phi}{r \sin \theta} \frac{\partial}{\partial \phi}$

$$\begin{aligned}\hat{L}_x &= \frac{\hbar}{i} \left(-\sin \phi \frac{\partial}{\partial \theta} - \cos \phi \cot \theta \frac{\partial}{\partial \phi} \right) \\ \hat{L}_y &= \frac{\hbar}{i} \left(\cos \phi \frac{\partial}{\partial \theta} - \sin \phi \cot \theta \frac{\partial}{\partial \phi} \right) \\ \hat{L}_x &= \frac{\hbar}{i} \frac{\partial}{\partial \phi} \\ \hat{L}^2 &= -\hbar^2 \left[\frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right]\end{aligned}$$

$$\hat{L}^2 f_l^{m_l} = \hbar^2 l(l+1) f_l^{m_l} \quad \hat{L}_z f_l^{m_l} = \hbar m_l f_l^{m_l}$$

leads to $\boxed{f_l^{m_l} = Y_l^{m_l}}$ which proves $Y_l^{m_l}$ are orthogonal, because they are the eigenfunctions of a hermitian operator.

4.2 SPIN

$$[\hat{S}_x, \hat{S}_y] = i\hbar \hat{S}_z \quad [\hat{S}_y, \hat{S}_z] = i\hbar \hat{S}_x \quad [\hat{S}_z, \hat{S}_x] = i\hbar \hat{S}_y$$

$$\boxed{S^2 |sm\rangle = \hbar^2 s(s+1) |sm\rangle \quad S_z |sm\rangle = \hbar m |sm\rangle}$$

$$\boxed{S^2 f_s^{m_s} = \hbar^2 s(s+1) f_s^{m_s} \quad S_z f_s^{m_s} = \hbar m_s f_s^{m_s}}$$

$$\boxed{S_\pm \equiv S_x \pm iS_y}$$

Electron $\rightarrow s = \frac{1}{2}$ Photon $\rightarrow s = 1$

For the electron: Only two eigenstates $f_{\frac{1}{2}}^{\frac{1}{2}}$ and $f_{\frac{1}{2}}^{-\frac{1}{2}}$.

Dirac notation: $f_s^{m_s} \rightarrow |s, m_s\rangle$

$f_{\frac{1}{2}}^{\frac{1}{2}} \rightarrow |\frac{1}{2}, \frac{1}{2}\rangle \rightarrow (\text{up})$ $f_{\frac{1}{2}}^{-\frac{1}{2}} \rightarrow |\frac{1}{2}, -\frac{1}{2}\rangle \rightarrow (\text{down})$

general spin: $|\chi\rangle = a|\frac{1}{2}, \frac{1}{2}\rangle + b|\frac{1}{2}, -\frac{1}{2}\rangle$

$$\boxed{|\chi\rangle = a \underbrace{\begin{pmatrix} 1 \\ 0 \end{pmatrix}}_{\text{up}} + b \underbrace{\begin{pmatrix} 0 \\ 1 \end{pmatrix}}_{\text{down}}}$$

Probability to measure spin up: $|a|^2$

$$|\chi\rangle = \begin{pmatrix} 3i \\ 4 \end{pmatrix} \frac{1}{5} \rightarrow \langle \chi | \chi \rangle = 1 = \frac{1}{25} \begin{pmatrix} -3i & 4 \end{pmatrix} \begin{pmatrix} 3i \\ 4 \end{pmatrix}$$

4.2.1 OPERATORS

$$\begin{aligned}\hat{S}^2 &\rightarrow \frac{3}{4} \hbar^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \hat{S}_z &\rightarrow \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \hat{S}_x &\rightarrow \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} & \hat{S}_y &\rightarrow \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \\ \hat{S}_+ &\rightarrow \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} & \hat{S}_- &\rightarrow \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}\end{aligned}$$

for example $\hat{S}_+ |\frac{1}{2}, -\frac{1}{2}\rangle = \hbar |\frac{1}{2}, \frac{1}{2}\rangle$ raises S_z by \hbar

What values at which probabilities? \rightarrow Find EV of \hat{S}_y , normalize, project $|\chi\rangle$ onto EV_i , $abs()$ ² gives probability.

5 IDENTICAL PARTICLES

5.1 TWO-PARTICLE SYSTEMS

$$\Psi(\vec{r}_1, \vec{r}_2, t)$$

$$\boxed{\hat{H} = -\frac{\hbar^2}{2m_1} \nabla_1^2 - \frac{\hbar^2}{2m_2} \nabla_2^2 + V(\vec{r}_1, \vec{r}_2, t)}$$

probability to find particle 1 in volume $d^3\vec{r}_1$ **and** particle two in volume $d^3\vec{r}_2$: $\boxed{|\Psi(\vec{r}_1, \vec{r}_2, t)|^2 d^3\vec{r}_1 d^3\vec{r}_2}$

5.1.1 SEPERATION

$$\begin{array}{l|l} \text{Center of mass motion:} & \vec{R} = \frac{m_1 \vec{r}_1 + m_2 \vec{r}_2}{m_1 + m_2} \quad \left| \begin{array}{l} \vec{r}_1 = \vec{R} + \frac{m_r}{m_1} \vec{r} \\ \vec{r}_2 = \vec{R} - \frac{m_r}{m_2} \vec{r} \end{array} \right. \\ \text{reduced mass:} & m_r = \frac{m_1 m_2}{m_1 + m_2} \\ \text{Relative motion:} & \vec{r} = \vec{r}_1 - \vec{r}_2 \quad \left| \begin{array}{l} \nabla_1 = \nabla_r + \frac{m_r}{m_2} \nabla_R \\ \nabla_2 = -\nabla_r + \frac{m_r}{m_1} \nabla_R \end{array} \right. \\ & \left[-\frac{\hbar^2}{2(m_1 + m_2)} \nabla_R^2 - \frac{\hbar^2}{2m_r} \nabla_r^2 + V(\vec{r}) \right] \psi = E \psi \end{array}$$

$$\boxed{\psi(\vec{r}_1, \vec{r}_2) \Rightarrow \psi = \psi_R(\vec{R}) \cdot \psi(\vec{r})}$$

ψ_R satisfies one particle SE with $m = m_1 + m_2$, $V = 0$, $E = E_R$

ψ_r satisfies one particle SE with $m = m_r$, $V = V(\vec{r})$, $E = E_r$

$$E_{tot} = E_R + E_r$$

5.2 DISTINGUISHABLE PARTICLES

$$\boxed{\psi(\vec{r}_1, \vec{r}_2) = \psi_a(\vec{r}) \psi_b(\vec{r}_2) \text{ or } \psi(\vec{r}_1, \vec{r}_2) = \psi_b(\vec{r}_1) \psi_a(\vec{r}_2)}$$

5.3 INDISTINGUISHABLE PARTICLES

$$\begin{array}{l} \psi_+(\vec{r}_1, \vec{r}_2) = A [\psi_a(\vec{r}_1) \psi_b(\vec{r}_2) + \psi_b(\vec{r}_1) \psi_a(\vec{r}_2)] \\ \psi_-(\vec{r}_1, \vec{r}_2) = A [\psi_a(\vec{r}_1) \psi_b(\vec{r}_2) - \psi_b(\vec{r}_1) \psi_a(\vec{r}_2)] \end{array}$$

5.3.1 EXCHANGE FORCE

$$\boxed{\hat{P} f(\vec{r}_1, \vec{r}_2) \longrightarrow f(\vec{r}_2, \vec{r}_1) \quad [\hat{P}, \hat{H}] = 0 \quad EV_P = \pm 1}$$

$\langle (x_1 - x_2)^2 \rangle$ for ψ_+ and ψ_- :

ψ_+ lower energy, forms bond, closer together

ψ_- higher energy, forms antibond, farther apart

5.3.2 AXIOM FOR BOSONS AND FERMIONS

Bosons	Fermions
photons, gravitons	electrons, protons, neutrons
integer Spin	$\frac{1}{2}$ -integer Spin
symmetric	antisymmetric

Electrons are fermions $\rightarrow \psi$ should be antisymmetric **but** ψ_- is unbonding!

\rightarrow consider **spin**

Possible spins for 2 electrons:

both spin up	$\uparrow\uparrow$	} symmetric triplet
both spin down	$\downarrow\downarrow$	
one up one down	$\frac{1}{\sqrt{2}}(\uparrow\downarrow + \downarrow\uparrow)$	
or	$\frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)$	} antisymmetric singlet

5.3.3 OVERALL WAVEFUNCTION

$\underbrace{\psi(\vec{r})}_{\text{spatial}} \cdot \underbrace{\chi(s)}_{\text{spin}} = \psi_+ \cdot (\text{singlet})$ yields a bonding electron pair!

6 ATOMS

$$\hat{H} = \sum_{j=1}^Z \left[\left\{ -\frac{\hbar^2}{2m} \nabla_j^2 - \frac{1}{4\pi\epsilon_0} \frac{Ze^2}{r_j} \right\} + \frac{1}{2} \left(\frac{1}{4\pi\epsilon_0} \sum_{k \neq j}^Z \frac{e^2}{|\vec{r}_j - \vec{r}_k|} \right) \right]$$

First part in {}: Kinetic energy + interaction of nucleus with j_{TH} electron.

Second part in (): Repulsive interaction between electron j and k . Factor $\frac{1}{2}$ avoids double counting.

6.1 PLACEMENT OF ELECTRONS

Each electron placed in a single-particle hydrogenic state:

$$\psi_{n,l,m_l,m_s}.$$

$n = 1, 2, 3, \dots$	shell (K,L,M,N)
$l = 0, 1, 2, \dots, n-1$	subshell (or shape) s,p,d,f,g,...
$m_l = -l, -l+1, \dots, -1, 0, 1, \dots, l-1, l$	orientation of orbital p_x, p_y, p_z
$m_s = \pm \frac{1}{2}$	spin of electron

Hydrogenic state of the same n are degenerate.

In reality screening prevents this.

$[Cr] = [Ar]4s3d^5 \quad [Cu] = [Ar]4s3d^{10}$

6.2 ANGULAR MOMENTUM IN MULTIPARTICLE SYSTEMS

L	\equiv total orbital angular momentum
S	\equiv total spin angular momentum
$J = L + S$	\equiv total angular momentum
M_L	$\equiv \sum_i m_{li}$
M_S	$\equiv \sum_i m_{si}$

$M_J = M_L + M_S \equiv$ related to projection along z -axis
Filled subshell: $M_s = 0 \Rightarrow S = 0 \quad M_L = 0 \Rightarrow L = 0$

Filled subshells never contribute to L,S,J

6.2.1 ADDITION RULE

given j_1 and j_2 , $J = j_1 + j_2$

$J = (j_1 + j_2), (j_1 + j_2 - 1), (j_1 + j_2 - 2), \dots, |j_1 - j_2|$

6.2.2 LABELLING

$2S+1L_J$

for L use: S,P,D,F,G,...

if $S = 0 \Rightarrow$ called single state

if $S = 1 \Rightarrow$ called triplet state

6.3 HUND'S RULES

- The state with the largest S is the most stable.
- For states with the same S the largest L is most stable.
- For states with the same S and L:
 - smallest J is most stable for subshells less than half full.
 - largest J is most stable for subshells more than half full.

7 SOLIDS

\rightarrow 3D particle-in-a-box

$\rightarrow E_{n_x,n_y,n_z} = \frac{\hbar^2 \pi^2}{2m} \left(\frac{n_x^2}{l_x^2} + \frac{n_y^2}{l_y^2} + \frac{n_z^2}{l_z^2} \right)$

$\Delta E = 1 \times 10^6 \text{ eV} \ll k_B T$ at room temperature

ΔE very small \rightarrow continuous electron-bands.

7.1 FERMI LEVEL

Fill up the band with electrons \rightarrow highest occupied level?

K-space, each point represents a combination of n_i .

1D	2D	3D
$E_{n_x} = \frac{\hbar^2 k_x^2}{2m}$	$E_{n_{x,y}} = \frac{\hbar^2 (k_x^2 + k_y^2)}{2m}$	$E_{n_{x,y,z}} = \frac{\hbar^2 (k_x^2 + k_y^2 + k_z^2)}{2m}$
$k_F = k_x$	$k_F = \sqrt{k_x^2 + k_y^2}$	$k_F = \sqrt{k_x^2 + k_y^2 + k_z^2}$
$V_1 = \frac{\pi}{L_x}$	$V_2 = \frac{\pi^2}{L_x L_y}$	$V_3 = \frac{\pi^3}{L_x L_y L_z}$
$V_{tot,1} = k_F$	$V_{tot,2} = \frac{1}{4} k_F^2 \pi$	$V_{tot,3} = \frac{1}{8} \cdot \frac{4}{3} k_F^3 \pi$
$k = \frac{N\pi}{L}$	$n = \frac{M}{L^{(D)}}$	$N = \frac{M}{2}$

$V_{tot,D} = V_D N = V_D \frac{M}{2} \quad L_i = L \quad \rightarrow E_F(k_F) = ?$

$E_F = \frac{\hbar^2 k_F^2}{2m}$

$E_{F,1} = \frac{\hbar^2 n^2}{32m_e} \quad E_{F,2} = \frac{\hbar^2 n}{4\pi m_e} \quad E_{F,3} = \frac{\hbar^2}{8m_e} \cdot \frac{3n^2}{\pi}$

N_q - number of occupied 1-electron levels

$N_q = \frac{V}{3\pi^2} \left(\frac{2mE}{\hbar^2} \right)^{2/3} \quad D(E) = \frac{\partial N_q}{\partial E} = \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2} \right)^{3/2} E^{1/2}$

7.2 INSULATORS AND SEMICONDUCTORS

Periodic potential $V(x) = V(x+a)$

$|\psi(x+a)|^2 = |\psi(x)|^2 \rightarrow |\psi(x)|^2$ is periodic.

Displacement operator \hat{D} , $\hat{D}f(x) = f(x+a)$

$\Rightarrow [\hat{D}, \hat{H}] = 0 \rightarrow \psi(x)$ are eigenfunctions of \hat{D}

Bloch's theorem: $\psi(x+a) = e^{iKa} \psi(x)$

Boundary condition $\psi(x) = \psi(Na+x) \stackrel{\text{Bloch}}{=} e^{iKNa} \psi(x)$
 $\rightarrow e^{iKNa} = 1 \Rightarrow K = \frac{2\pi s}{Na}$

7.3 DIRAC'S COMB

$V(x) = \alpha \sum_{j=0} N - 1 \delta(x - ja)$

for $0 < x < a$: $\psi(x) = A \sin(kx) + B \cos(kx)$

Boundary conditions: Bloch, continuity of $\psi(x)$ and $\frac{\partial \psi(x)}{\partial x}$

$\cos(Ka) = \cos(ka) + \frac{m\alpha}{\hbar^2 k} \sin(ka)$

\rightarrow LHS $\in [-1, 1]$ but RHS is not!

Only certain ranges of E are allowed!

7.4 IMPURITIES

$$n_{electrons} = N_D \exp\left(\frac{-E_D}{k_B T}\right)$$

8 PERTURBATION THEORY

8.1 NON-DEGENERATE

Perturbed potential \rightarrow new Hamiltonian: $H = H^0 + \lambda H'$

$$\psi_n = \phi_n^0 + \lambda \psi_n^1 + \dots \quad E_n = E_n^0 + \lambda E_n^1 + \dots$$

$$1_{st} \text{ order: } H^0 \psi_n^1 + H' \psi_n^0 = E_n^0 \psi_n^1 + E_n^1 \psi_n^0$$

\rightarrow multiply by $(\psi_n^0)^*$ and integrating \rightarrow Inner product.

$$E_n^1 = \langle \psi_n^0 | H' | \psi_n^0 \rangle$$

$$E_n^2 = \sum_{m \neq n} \frac{|\langle \psi_m^0 | H' | \psi_n^0 \rangle|^2}{E_n^0 - E_m^0}$$

$$\psi_n^1 = \sum_{m \neq n} \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{(E_n^0 - E_m^0)} \psi_m^0$$

The denominator is safe as long as the unperturbed Energy spectrum is nondegenerate.

8.2 DEGENERATE

$$H^0 \psi_a^0 = E^0 \psi_a^0 \quad H^0 \psi_b^0 = E^0 \psi_b^0 \quad \rightarrow \psi_0 = \alpha \psi_a^0 + \beta \psi_b^0$$

When increasing the perturbation from 0 to 1, E^0 is split into two.

$$\text{As before, } 1_{st} \text{ order: } H^0 \psi_n^1 + H' \psi_n^0 = E_n^0 \psi_n^1 + E_n^1 \psi_n^0$$

Taking the inner product with ψ_a^0, ψ_b^0 respectively:

$$\alpha W_{aa} + \beta W_{ab} = \alpha E^1 \quad \alpha W_{ba} + \beta W_{bb} = \beta E^1$$

$$W_{ij} \equiv \langle \psi_i^0 | H' | \psi_j^0 \rangle$$

$$E_{\pm}^1 = \frac{1}{2} \left[W_{aa} + W_{bb} \pm \sqrt{(W_{aa} - W_{bb})^2 + 4|W_{ab}|^2} \right]$$

This is equivalent to finding the eigenvalues of the W-matrix

$$\begin{pmatrix} W_{aa} & W_{ab} \\ W_{ba} & W_{bb} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = E^1 \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

If the W-matrix is diagonal, i.e. ψ_a^0 and ψ_b^0 are already the proper eigenstates:

$$E_+^1 = W_{aa} = \langle \psi_a^0 | H' | \psi_a^0 \rangle$$

$$E_-^1 = W_{bb} = \langle \psi_b^0 | H' | \psi_b^0 \rangle$$

9 VARIATIONAL PRINCIPLE

$$E_{gs} \leq \langle \psi_{trial} | \hat{H} | \psi_{trial} \rangle \equiv \langle \hat{H} \rangle$$

9.1 PROOF

$$\psi_{trial} = \sum_n c_n \psi_n \text{ with } \hat{H} \psi_n = E_n \psi_n$$

$$\begin{aligned} \langle \hat{H} \rangle &= \langle \sum_m c_m \psi_m | \hat{H} | \sum_n c_n \psi_n \rangle = \sum_m \sum_n c_m^* c_n \langle \psi_m | \hat{H} | \psi_n \rangle = \\ &= \sum_n E_n |c_n|^2 \\ \sum_n |c_n|^2 &\equiv 1 \quad E_n \geq E_{gs} \Rightarrow \langle \hat{H} \rangle \geq E_{gs} \end{aligned}$$

9.2 APPLICATION

$$\psi_{trial} = \left(\frac{\alpha}{\pi}\right)^{1/4} e^{-\alpha x^2/2}$$

$$\Rightarrow E_{gs} \leq \langle \psi_{trial} | \hat{H} | \psi_{trial} \rangle =$$

$$= \left(\frac{\alpha}{\pi}\right)^{1/2} \int_{-\infty}^{\infty} e^{-\alpha x^2/2} \cdot \left[-\frac{\hbar^2}{2m} \cdot \frac{\partial^2}{\partial x^2} + c x^4 \right] \cdot e^{-\alpha x^2/2}$$

$$E_{gs} \leq \frac{\hbar^2 \alpha}{4m} + \frac{3c}{4\alpha^2} \Rightarrow \frac{\partial}{\partial \alpha} E(\alpha) = 0 \Rightarrow \alpha_{min} = \left(\frac{6mc}{\hbar^2}\right)^{1/3}$$

$$E_{ges} \leq E(\alpha_{min})$$