

## Feature Review

## Lesion Studies in Contemporary Neuroscience

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**Studies of humans with focal brain damage and non-human animals with experimentally induced brain lesions have provided pivotal insights into the neural basis of behavior. As the repertoire of neural manipulation and recording techniques expands, the utility of studying permanent brain lesions bears re-examination. Studies on the effects of permanent lesions provide vital data about brain function that are distinct from those of reversible manipulations. Focusing on work carried out in humans and nonhuman primates, we address the inferential strengths and limitations of lesion studies, recent methodological developments, the integration of this approach with other methods, and the clinical and ecological relevance of this research. We argue that lesion studies are essential to the rigorous assessment of neuroscience theories.**

**Lesion Studies: A Mainstay of Neuroscience**

Studying the effects of brain lesions on behavior and cognition is one of the most established and influential methods in neuroscience. In the 19th century, case studies of patients with focal brain damage provided the first evidence that complex cognitive processes, such as those underlying language, have dissociable components that depend on different regions of the brain [1,2]. Brain lesion studies constituted the foundation of cognitive neuroscience that emerged in the mid to late 20th century. This included seminal work such as Brenda Milner's demonstration that memory, like language, involves distinct component processes with their own neural substrates [3,4], as well as the work of Mortimer Mishkin and Leslie Ungerleider on the dissociable contributions of the dorsal and ventral visual pathways in **nonhuman primates** (NHPs; see [Glossary](#)) [5,6]. These investigations helped to inspire decades of influential new ideas: cognitive theories, intrepid studies of neural activity, and new models of brain function (e.g., [7–10]). Studies of subjects with **focal lesions** have since continued to provide fundamental insights in the fields of learning [11,12], cognitive control [13–16], social behavior [17,18], memory [19–22], and more.

The advent of new neural manipulation methods, both invasive (e.g., optogenetics, chemogenetics) and noninvasive (e.g., transcranial magnetic stimulation, TMS; transcranial focal ultrasound), and the explosion of increasingly sophisticated functional neuroimaging methods, such as positron emission tomography (PET), functional magnetic resonance imaging (fMRI), and electrophysiological measures of neural activity, calls for a critical re-examination of the strengths and limitations of chronic, focal lesion studies. The purpose of this article is to evaluate the role of lesion methods as they relate to other causal and correlative methods in the toolkit of contemporary cognitive and systems neuroscience. Specifically, we discuss four topics: (i) inferences that can be made from lesion studies compared to other methods, (ii) combining lesion studies with correlative methods, (iii) recent advances in lesion methods, and (iv) lesion studies outside the laboratory. Although many of the points raised here may apply to other animal models, we focus on evidence from humans and NHP lesion studies given the similarities between the neuroanatomy of NHPs and humans in terms of cortical expansion [23,24] and topographical connections (e.g., corticocortical [24,25] and corticobasal ganglia pathways [26]). Our goal in focusing on humans and NHPs is to highlight the similarities of lesion findings in these models and to emphasize how invasive lesion studies in NHPs have been essential in

**Highlights**

Lesion studies have been fundamental to many core theories in cognitive and behavioral neuroscience.

Lesion work in human and nonhuman primate lesion studies has unique inferential strengths that are distinct from temporary manipulations or correlative measures of neural activity.

New methodological developments are underway that are expanding the range of questions that can be tested in studies of subjects with brain lesions.

Lesion studies form a crucial bridge between basic science and behavior in the clinic and real-world settings.

Testing theories with multiple lines of evidence using different approaches, including lesion studies, manipulations of neural activity, and correlations with neural activity, will be essential to the future of neuroscience.

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filling inferential gaps in this work. We conclude that (i) chronic lesion studies provide unique, vital insights into brain function that cannot be achieved via temporary inactivation methods or correlational studies of brain activity, and (ii) integrating insights gleaned from lesion studies with results from other methods is crucial for advancing neuroscience.

### What Inferences Can Lesion Studies Support?

Studies of brain activity, either through electrophysiology, fMRI, PET, or other methods, test whether cognitive processes are associated with activity in neurons, brain regions, or networks. These techniques comprise a powerful set of investigative tools but cannot differentiate the regions that are involved during some cognitive process from those that are necessary for that process. This limitation cannot be addressed by further methodological refinement [27]. In contrast, lesion studies can demonstrate the necessity of a region for a particular cognitive process, not just its mere association with that process. In well-designed studies, null results can be equally informative for constraining neuroscientific theories, particularly when data from other methods (e.g., correlations between a behavioral task condition and neural activity) suggest that a lesion in a specific region should impair an associated behavior. Recent examples of informative null findings include demonstrations that **excitotoxic lesions** of orbitofrontal cortex (OFC) do not affect stimulus reversal and probabilistic reinforcement learning in NHPs [28,29], that dorsal anterior cingulate cortex (ACC) damage in humans does not affect behavioral indices of response conflict [13,30,31], that humans with ventromedial prefrontal cortex (vmPFC) damage can make choices consistent with their subjective preferences [32,33], and that non-navigational spatial memory is unaffected by hippocampal damage in NHPs [34]. These findings challenge current models of brain-behavior relationships and push the field towards more refined hypotheses and better behavioral indicators of the processes in question. In the following section we describe key considerations in making inferences from lesion studies, the strengths and limitations of this approach, and how this method differs from others.

### Methodological Considerations for Studies of Focal Lesions

In human subjects, lesions to a circumscribed brain area can occur following disease, injury, or neurosurgical treatment. Although the lesions are not under experimental control and can vary with regard to etiology, size, laterality, and age of onset, the selection of participants is. Anatomical specificity is determined by the inclusion and exclusion criteria for a given study, a balance between the anatomical region of interest (ROI), the natural patterns of common lesion causes, and pragmatic considerations of sample size and study duration. In NHPs, a focal lesion can be induced via surgical intervention by targeting a region within a predefined anatomical boundary, which affords greater experimental control. The accuracy of the lesion depends on the method used to create it and on experimenter skill. We elaborate on some of the key design considerations for lesion studies in [Box 1](#).

In both cases, destruction of brain tissue causes permanent loss of neurons and, therefore, termination of function in the affected region. Evidence that a lesion reliably alters a behavior (e.g., performance on a task where items must be retained in memory over a delay) can then be used to test a causal link between the brain region and the cognitive process underlying the behavior (e.g., memory). Demonstrating a link between a lesion and a behavioral process, however, is not trivial. A cognitive or behavioral change associated with a lesion must be disentangled from nonspecific symptoms that may accompany brain damage, and from other potential sources of between-subject variance. Drawing conclusions from lesion studies depends on evaluating the functional and anatomical selectivity of behavioral changes (i.e., does a lesion affect related behaviors? Are the effects specific to a particular region?). Dissociation logic, which we discuss in [Box 2](#), has been vital in this effort, not only in lesion studies but in neuroscience more broadly.

### Glossary

**Aspiration lesion:** a lesion made through the use of vacuum pressure (suction) and electrocautery to physically remove brain tissue within a prespecified region of interest (ROI), affecting both grey and underlying white matter.

**Crossed-surgical disconnection:** a technique used to disconnect two or more brain regions in animal models. Unilateral lesions to two target regions are made in opposite hemispheres. As a consequence, these regions can no longer communicate with each other in the same hemisphere, leaving unilaterally intact functioning homologs ([Figure 3](#)).

**Excitotoxic lesion:** a lesion made through the injection of excitotoxic pharmacological agents (e.g., ibotenic acid, quinolinic acid), selectively affecting cell bodies within a prespecified ROI while sparing the underlying white matter fibers of passage.

**Fibers of passage:** white matter tracts that do not originate or terminate in a particular brain region but that pass nearby.

**Focal lesion:** brain damage that is constrained to a particular region. The focal aspect of such a lesion does not necessarily reflect its volume or restriction to a particular anatomical or functional definition; instead, it distinguishes this type of damage from those caused by processes with less precise localization (e.g., non-penetrating traumatic brain injury).

**Lesion-behavior mapping (LBM) or lesion-symptom mapping:** a class of techniques that formally test the association between a lesion and behavioral performance in a task. These include methods for testing the association between behavioral changes and damage within voxels (voxel-based LBM), tracts (tract or connectome-based LBM), functional connections, or multivoxel patterns (multivariate voxel-based LBM) ([Figure 2](#)).

**Nonhuman primates (NHPs):** in most contemporary neuroscience experiments, rhesus macaques (*Macaca mulatta*) or the common marmoset (*Callithrix jacchus*).

**Redundancy:** a function that depends on multiple regions or networks with similar or partially overlapping roles.

**Triangulation:** testing the predictions of a hypothesis using two or more different methods. Can provide confirmatory, complementary, or

**Box 1. How To Judge the Inferential Strength of a Lesion Study**

A full description of best practices and available methods for designing a lesion study is beyond the scope of the present manuscript. Such resources can be found in [176–179]. We briefly describe some of the key features to be considered.

**Premorbid Functioning**

In humans, brain lesions are usually caused by a neurological event, such that data are rarely available about premorbid cognitive function (although see [180,181]). Clinical interviews, level of education, crystallized IQ, and questionnaires on pre- and postmorbid function can help to fill these gaps [182,183]. In NHPs, subjects with lesions may be compared to subjects with sham surgery, subjects with surgery in another location, or to their own presurgical performance when within-subject comparisons are possible. This latter form of control may also be possible in some rare cases in humans, such as when lesions are made as a planned course of treatment in psychiatric populations (e.g., [13] or in epilepsy). However, the patients undergoing these procedures are not neurologically healthy, which complicates inferences about 'premorbid' function.

**Control Groups**

Comparing subjects with lesions to matched controls is crucial for establishing the effects of these lesions. The control group should be demographically matched to the lesion group and be recruited from the same population. However, there are other factors that accompany brain damage (e.g., the use of psychoactive medication) that are not controlled for in these comparisons. Inclusion of a control group composed of subjects with brain damage sparing the ROI can help to account for these factors. In human subjects, lesions are often not constrained to the ROI, and a control group that shares damage outside the ROI can provide greater assurance that behavioral changes in the ROI group are anatomically specific.

**Etiology**

Lesions in human patients are frequently confounded by features related to the source of damage. For example, ischemic stroke patients are more likely to have cerebrovascular disease and small, 'silent' infarcts that may be associated with deficits unrelated to the lesion under study [184], and treatment for brain tumors may have additional neurological consequences (e.g., radiation therapy). The etiology of a lesion is also usually associated with the pattern of damage (e.g., strokes follow vascular branches). Including patients with diverse etiologies can mitigate these problems by reducing lesion covariance and decoupling deficits from a specific neurological disease.

**Neuropsychological Screening**

Experimental tasks are never truly process-pure. For example, a 'simple' reinforcement-learning task may depend on working memory, sustained attention, and reading comprehension. Neuropsychological screening tests that tap a wide range of functions can control for potential explanations and provide insight into the latent factors that underlie deficits.

**Statistical Power**

As with many neuroscience methods, sample sizes tend to be low in neuropsychological studies [185]. In studies of brain lesions in human patients, sample size is generally constrained by the difficulty of recruiting, screening, and testing a special population of subjects. Dedicated registries of such patients can be one solution, but this requires ongoing investment to recruit, assess, and maintain such listings of potential research participants, much as centers must invest in supporting other methodological platforms (imaging, etc.) such that individual experiments can be carried out in a timely fashion [186]. Sample sizes are also small in studies of NHPs, mostly due to the costs of studying these animals. Given these constraints, statistical power in studies of human subjects can be most readily improved by testing a large sample of healthy control subjects, who are easier to recruit.

divergent evidence that may be used to support, refine, or reject the underlying theory.

**Compensatory Processes in Lesion Studies**

Brain damage causes immediate cognitive and behavioral changes, followed by a dynamic period of functional reorganization before subjects reach a chronic state where changes stabilize. In the hyperacute period immediately following injury, both humans and NHPs briefly suffer from the effects of brain edema and inflammation which cause transient, diffuse brain dysfunction. In the subsequent weeks and months, during the acute phase of a lesion, functional recovery takes place through cellular- and systems-level processes that reorganize circuits at the site of the lesion [35], recruit **redundant** or alternative pathways, and modify the behavioral repertoire of the individual. Although these compensatory processes are sometimes considered to be uninteresting because they may 'mask' deficits observed in the acute phase, they can also provide

## Box 2. Dissociation Logic in Lesion Studies

In neuroscience, dissociation logic has played a central role in testing the specificity of region-function relationships. Testing whether a brain region is involved in function X but not function Y is helpful in that it provides stronger evidence for functional specificity than the demonstration of an association (i.e., showing that region A is involved in function X, without testing other functions). However, a single dissociation is still a relatively weak form of inference when these functions can be accounted for by a single process (e.g., if function X is simply a more demanding form of function Y). A crossover double dissociation can be used to make a stronger claim by demonstrating that region A is involved in function X but not Y, whereas region B is involved in Y but not X (Figure 1) [187]. Even this case is not impervious to potential alternative single-process explanations [188].

In practice, true dissociations can be challenging to test and require careful consideration of control conditions to ensure that two processes are really independent. One criticism of this approach is that each task might engage the brain in a different way and hence potentially rely on a different set of regions, yielding increasingly granular task dissociations – and ultimately less meaningful distinctions [189]. This problem is not unique to lesion studies but speaks to a broader issue in neuroscience regarding whether theory should lump or split functions. Similar issues were raised regarding fMRI research that appeared to uncover an unending number of functional associations (i.e., Is there a specific brain network for playing board games? What about a network specific for playing checkers?). There is no easy solution to this problem. In any model-fitting exercise, adding parameters may explain more variance but will eventually hamper the generalizability of the model to new data. Similarly, there is an important trade-off in the number of functional dissociations a neuroscientific theory might make and its generalizability outside the experimental settings within which it was developed. That is to say, some dissociations may reveal more significant information about the specialization of brain regions and may also be more likely to stand the test of time than others. The choice of experimental question remains the most vital factor in determining whether an experiment is likely to uncover a crucial new functional dissociation with broader significance, or simply explain noise.

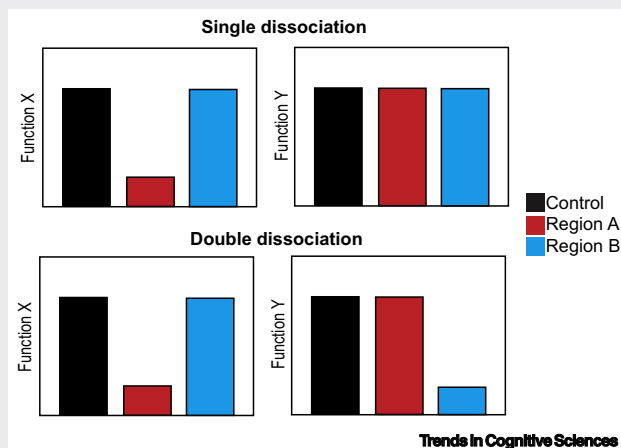


Figure 1. Schematic Demonstrating Single- and Double-Dissociation Logic. The y axes indicate performance in tasks measuring performance in functions X and Y. Bars represent the performance of the control group and that of groups with lesions in regions A and B.

valuable information about the capacities and limitations of intact neural systems. For example, lesions to striate cortex cause major visual field impairments, but research over several decades has revealed that some basic visual processing is preserved (i.e., 'blind-sight') owing to intact processing in separate pathways [36]. A lesion is considered to be chronic after months or years following injury when the effects of damage on brain function have stabilized. Crucially, patients and NHPs tested years, or even decades, from the time of injury will show persistent behavioral deficits in specific tasks, such as the profound lifelong memory impairments caused by bilateral medial temporal lobectomy in patient H.M. [37–41]. Measuring behavior and neural activity at multiple timepoints in the course of recovery can help to distinguish between behavioral and neural changes caused by the acute and chronic effects of a lesion [42–47].

The etiology of a lesion may also affect how the brain adapts to damage. For example, functional changes following brain damage as a result of stroke are most severe immediately after the event,

with some recovery of function occurring rapidly, then reaching a plateau within about 6 months [48,49]. In contrast, brain tumors cause damage over a long period of time, through compression, distortion, or infiltration of tissue, as well as through edema, microcirculatory effects, and local electrolyte abnormalities [50], followed by the effects of surgical resection of the tumor. Whether the cognitive effects of brain tumors are comparable to those of stroke, particularly when the tumors are slow-growing and allow more time for plasticity to occur, has been a subject of debate [51–54], and comparison of single- and multistage lesions in animal models suggests that the rate of damage may affect outcome [55]. A recent study compared a large sample of frontal lobe-damaged patients with different lesion etiologies (stroke, fast and slow-growing tumors) in several executive function tests. Etiology was not a strong predictor of patients' deficits [56]. Behavioral effects in the chronic phase of focal lesions thus may relate more closely to the region of damage than to etiology in human patients.

The rate at which brain development occurs varies across different brain regions or networks [57]. Cross-sectional and longitudinal developmental lesion studies provide essential information about how lesions of brain regions affect behavior during the course of brain maturation. For example, whereas amygdala damage acquired in infancy in NHPs permanently disrupts normative affective responses to stimuli with either positive or negative valence [58], it only mildly disrupts social cognition in the long term [59]. These results suggest that intact amygdala function across development is necessary for normal emotional, but not social, cognition throughout the lifespan. Adults who incurred vmPFC damage as infants show more exaggerated personality changes (e.g., increased impulsivity, insensitivity to punishment) compared to patients with adult-onset lesions to the same region who show relatively milder and more subtle personality changes [60]. This work reveals the crucial role of these regions for normal developmental trajectories of these complex traits and may provide insight into the processes that occur in developmental psychopathologies such as autism or oppositional defiant disorder.

#### Comparing Chronic Lesion Methods and Temporary Manipulations of Neural Activity

Much like lesion studies, manipulation methods (e.g., TMS, pharmacological agents, optogenetics, and chemogenetics) allow researchers to draw causal links between behavior and brain function. However, unlike lesion studies, manipulations of neural activity are temporary and reversible, and thus provide temporal specificity and amenability to within-subject experimental designs. Manipulation methods can also be used to up- and downregulate activity within a brain region to study how changing neural dynamics affects behavior. Advances in genetic tools have allowed neural manipulations of brain circuits to reach unprecedented levels of detail in rodents (e.g., optogenetics, chemogenetics). The application of genetic targeting technologies in NHPs is comparatively in its infancy, but these techniques are advancing rapidly [61,62]. Applications of optogenetics in NHPs have so far been shown to produce transient or weak effects on behavior following perturbation of neuronal targets, findings that are in conflict with lesion or microstimulation results within the same regions [63,64]. Although the source of these differences remains unclear, these techniques hold great promise for advancing our understanding of the function of these neural circuits.

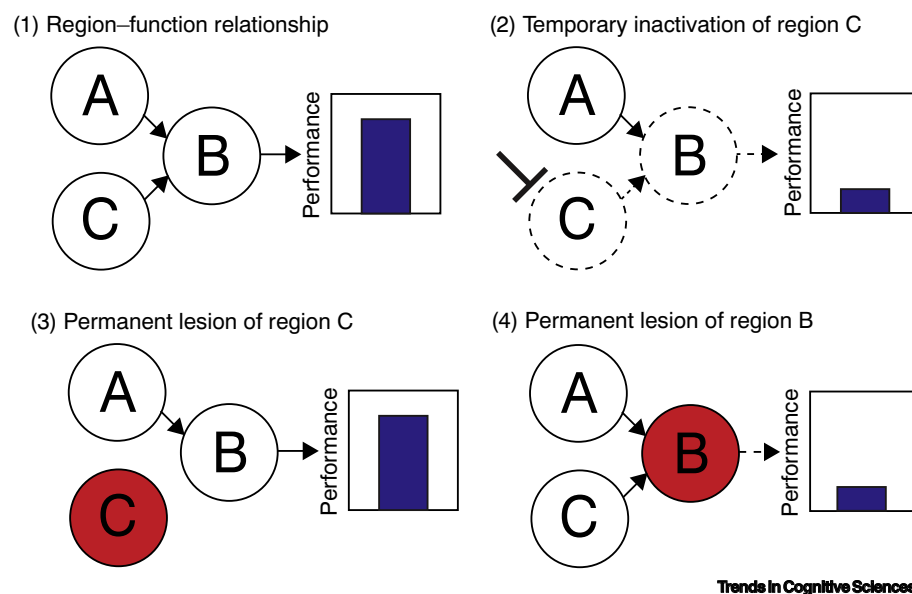
Temporary manipulation methods are often assumed to recapitulate what is observed following chronic lesions. However, in practice these methods have fundamentally different effects on brain function that should be considered when drawing interpretations about causal brain–behavior relationships. A recent study in zebra finches elegantly demonstrated that these methods can potentially reach fundamentally different conclusions about the necessity of a region (Figure 1) [65]. Temporary inactivation of a region of the zebra finch brain caused dramatic degradation of birdsong. However, birdsong recovered within days of a permanent lesion to the same region, and major impairments were only observed briefly in the hours following damage.

Behavioral deficits and subsequent recovery were associated with the decline and rapid return of spontaneous activity in a region downstream of the lesion, which prior lesion work had established as being necessary for normal behavior [66]. These data argue that temporary inactivation may mimic behavioral changes in the acute phase of a lesion, with potentially wide-ranging effects on activity and neural dynamics in distant regions.

This example illustrates a key distinction between reversible manipulation and lesion methods that has become increasingly clear in recent years. Chronic lesions can reveal the necessary contributions of damaged brain regions that are not recovered by reorganization and plasticity [67]. Although temporary manipulations also reveal necessary functions of a region at the moment of the perturbation, these are accompanied by ‘off-target’ effects that disrupt homeostatic activity within the network of the affected region [68–71], and even coupling across multiple brain systems [72], placing the brain in a previously unencountered physiological state [73]. This is not to say that permanent lesions do not affect activity in regions distant from the site of damage, but instead that these regions have had time to compensate to the effects of a lesion and reach a new homeostatic state of function. These approaches therefore provide complementary information about the necessity of a region for a given behavior, making them non-interchangeable and mutually informative methods in the neuroscience toolkit.

### Converging Evidence: Combining Lesion Studies with Other Methods

Just as different methods provide unique information about the brain, combining approaches yields crucial new insights. In the following section we discuss how combining lesion studies with recordings of neural activity uncovers the necessary contributions of the damaged region



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**Figure 1. Illustration of Differential Effects of Temporary Inactivation and Permanent Lesions.** This schematic is based on the results of [65]. (1) In this hypothetical example, performance in a task is directly related to the function of region B, which processes crucial information from inputs coming from region A. Region B also receives inputs from region C that are not crucial to the process region B completes for task performance, but exert a separate, secondary influence on the homeostatic activity of this region. (2) Temporary inactivation of region C causes a perturbation of region B, which leads to a disruption of performance. (3) By contrast, permanently lesioning region C does not affect performance because of compensation in region B for the loss of this input. (4) However, a permanent lesion of region B causes a performance deficit that cannot be compensated for.



to function in distant parts of the brain, and how findings from lesion studies can be used to test hypotheses with other methods.

### Combining Lesion Studies with Measurements of Brain Activity

Methods for studying brain activity (e.g., fMRI, electrophysiology, and PET imaging) have grown tremendously in the past few decades and have benefitted from application of more sophisticated analytic approaches (e.g., multivariate pattern analysis, functional connectivity, model-based analyses) [74–77]. These studies have provided insight into the information represented by brain activity in different regions, and the time-course over which these representations interact and are maintained. These methods also demonstrate how this information is represented – that is, the code used by neurons for aspects of cognitive processing (e.g., the grid-like coding of space in the entorhinal cortex [78]). However, these methods cannot test the necessity or sufficiency of this activity for the cognitive processes under investigation. For example, the activity of neurons that discriminate expectancy of reward versus punishment does not necessarily indicate that these neurons have any direct bearing on making predictions about outcome value. Such an observation could, in principle, be made with high reliability over many studies, but these data would still not provide evidence that this pattern of activity is causally involved in value prediction *per se*. Methods that measure correlation between brain activity and behavior provide important information but, alone, they have unavoidable inferential limits.

Studying the effects of lesions on brain activity provides causal evidence for the contribution of a damaged brain region to functional processes measured in connected regions. For example, fMRI studies comparing vmPFC lesion patients to healthy controls demonstrated that vmPFC lesions result in decreased ventral striatum activity during monetary reward anticipation [79] and increased amygdala activity in response to aversive images [80]. A recent EEG investigation of patients with lateral PFC damage showed that the impairments of these subjects in switching between internally and externally directed attention was related to altered theta power during these attentional states [81]. In NHPs, functional recording techniques such as fMRI [82–84], PET imaging [85], and electrophysiology [86–88] have been used in conjunction with lesions to characterize causal functional interactions between brain regions. This direction of research promises to provide a better understanding of the causal roles that different brain regions play in the neural dynamics underlying cognitive processes.

### Applications of Lesion Findings in Other Methodological Approaches

Lesion evidence provides fertile ground for new hypotheses about neural function that may be tested with other methods. For example, lesion studies in NHPs that demonstrated a double dissociation for the functions of mid- and posterior dorsolateral PFC generated testable predictions about the function of homologous regions. Subsequent human functional imaging experiments confirmed the association of these regions with monitoring information in working memory and conditional selection between competing responses, respectively [89–92]. Similarly, studies of the contributions of perirhinal cortex to memory in macaques [93,94] led to a series of neuropsychological and fMRI studies in humans that continue to elucidate perirhinal cortex function today [95,96].

Building converging evidence depends on objective reference points that translate between methods and models. For example, lesion studies have long been used to direct neurophysiological recording studies when a focal recording site must be chosen before chamber and electrode implantation. They can serve a similar role in functional imaging studies by motivating localization of function through ROI analyses [97], especially where statistical thresholding in whole-brain analyses might obscure effects in smaller regions such as the amygdala or striatum. Coordinates for maximum density of lesion overlap, or results from **lesion–behavior mapping** (LBM;

**Box 3. The Crucial Role of Lesion Studies in Open Science**

As the scientific community embraces open science applications to make data and analytical tools accessible, researchers working with lesioned subjects should consider ways to collaborate and make provisions for data sharing. We outline here the advantages of, and practical guidelines for, pursuing collaborative and open scientific methods in lesion work.

Given the rarity of subjects with brain lesions in both human and NHP studies, the long-term advantages of aggregate or cumulative data in a large database are threefold. (i) Collaboration and open science can help to overcome the oft-cited limitation of small, heterogeneous samples in lesion studies. (ii) Repeated measures from the same subject over time can unearth insights on longitudinal changes in behavior from the time of damage onset, and resolve unanswered questions regarding plasticity. (iii) A larger sample of subjects will make it possible to clarify the effects of individual differences in lesion etiology, psychoactive medication, and other factors that can vary from study to study.

The primary goal is to create an online repository which only vetted researchers can access, to be able to pool data across multiple research sites. Practical guidelines for meeting this goal can be borrowed from the neuroimaging community. There are many databases for fMRI data, such as NeuroVault, [OpenfMRI.org](http://OpenfMRI.org), LORIS, COINS, XNAT, NITRC, SciTran, PRIME-DE (exclusively for NHPs), and others, that accept and export their datasets organized according to a data organization standard called 'brain imaging data structure' (BIDS; <http://bids.neuroimaging.io>) [190–196]. The BIDS standard is designed specifically for fMRI, but a similar standard could be created to organize essential data and metadata for lesion datasets, including lesion masks, VLM coordinates, self-report measures, neuropsychological data from standard testing batteries, and experimental test results.

In practice, this will take concerted effort and collaboration by laboratories with access to lesion patients and NHPs with focal brain damage. There are several special ethical considerations in sharing these sensitive data from clinical populations, particularly the higher likelihood of subject identification given the rare nature of these cases, as well as subject consent for their data to be included in a larger database. Access control for such data, similar to that implemented for sensitive genomic information, could also limit risks to patient confidentiality. A resource of this nature, however, could accelerate the rate of discoveries using existing datasets from lesion samples, and provide powerful tools that the broader neuroscience community can use to focus their analyses.

described further in the following section), can also be used to define ROIs for functional imaging studies or targets for temporary manipulation studies. Unfortunately, these data are currently dispersed and are difficult to compare across studies. Collating these data in a meta-analytic platform similar to NeuroSynth (<http://neurosynth.org/>), and hosting lesion masks and behavioral data in online repositories, could help to construct a bridge between lesion studies and these other methods. We discuss further applications for data sharing and open science in lesion studies in [Box 3](#).

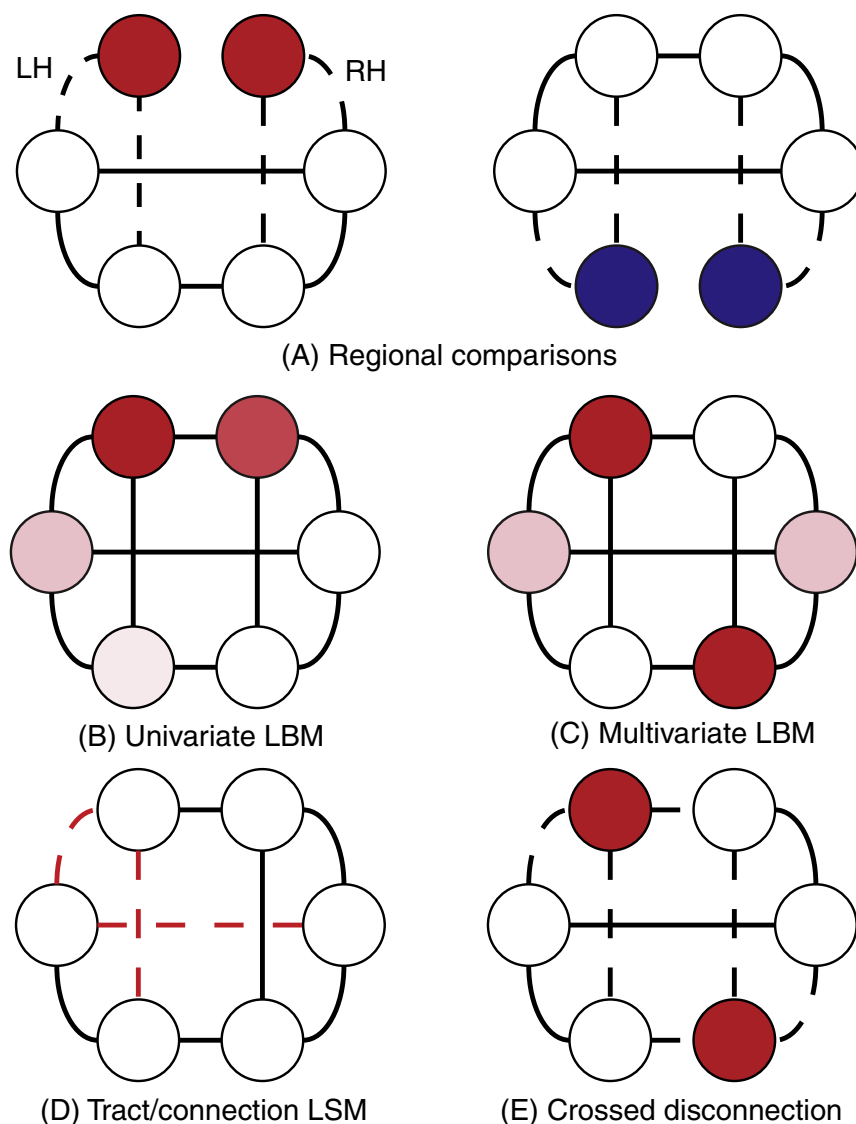
**Recent Advances in Lesion Methods**

Historically, lesion studies, particularly in humans, have been most closely associated with localizationist approaches to understanding behavioral and cognitive processes. However, no process can occur in a single region without relevant inputs and outputs. A complementary perspective emphasizes how these processes arise from interactions within networks of connected brain regions [98]. Methodological developments are allowing investigators to interrogate information from lesion studies in new ways – particularly in testing both network and regional hypotheses for brain functions. In the following section we describe some of the methodological advances that are opening up these lines of inquiry in humans, as well as complementary approaches that can be used in NHPs.

**LBM Approaches in Humans**

Conventionally, chronic lesions are studied to test the role a region plays in a particular function, usually by comparing the effects of damage to one region against a control group of healthy subjects or subjects with damage to a different region ([Figure 2A](#)). In investigations of humans, variability in lesion size and extent is unavoidable, and subjects are typically classified into groups based on some *a priori* anatomical criteria, thus limiting the spatial resolution of these studies.





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**Figure 2. Schematic of Different Lesion Methodologies in a Schematic Brain Network.** In this network each circular node represents a brain region, solid lines represent connections between these regions, and dashed lines indicate broken connections between regions caused by a lesion. Nodes are mirrored on the left and right sides, representing homologous regions in the right and left 'hemispheres' (LH/RH). (A) Comparisons between groups with damage to different regions (red and blue nodes) can be used to infer the necessity of these regions for cognitive functions. (B) Instead of relying on *a priori* groups, univariate lesion–behavior mapping (LBM) tests the association of damage with a function across a set of regions, yielding a continuous statistical map for the effect of damage at each location (i.e., the graded colored nodes). (C) Multivariate LBM tests the association between a pattern of damage in multiple regions and an observed deficit rather than a particular region. This method is thus more sensitive to distributed regions that may contribute to some function (i.e., multiple dark-red nodes). (D) Tract- or connection-based lesion–symptom mapping (LSM) instead tests how damage to specific connections within this network (i.e., red dashed lines) affects function. (E) In nonhuman primates (NHPs), crossed unilateral lesions may be used to ensure that specific regions cannot interact within a hemisphere, allowing inferences about the necessity of this interaction for function.

Voxel-based lesion–behavior mapping (VLBM), or **lesion–symptom mapping**, takes advantage of the variability of lesions in human patients to make associations between lesions and behavioral performance without the constraint of an ROI [99–102] (Figure 2B). In its most common

application, VLBM uses massive univariate statistics to compare the behavior of patients with damage in each voxel against all other patients in a dataset. This method can thus reveal where damage was most strongly associated with a change in behavior at a more granular level than is possible with group comparisons.

Recently, several groups have developed multivariate VLBM methods that take a different approach to assessing the localization of function. Although the specific approaches differ, these methods generally test whether there is a consistent relationship between damage in some set of voxels, which may be close together or far apart, and a specific behavior (Figure 2C) [99,103,104]. Thus, whereas univariate VLBM tests for the strongest associations between a behavioral change and damage, multivariate approaches test which patterns of damage cause similar changes in behavior. Simulations have shown that this multivariate approach may be particularly useful in cases where damage in multiple regions causes behavior to change in a similar direction (e.g., if damage to the amygdala and OFC causes similar learning deficits). However, more work will be necessary to directly test how the distinct assumptions of these approaches impact the conclusions they may draw about brain-behavior relationships.

#### Lesion Methods for Testing Network Hypotheses

Many lesion studies aim to test whether damage to a specific grey matter region disrupts a particular behavior. In such cases, white matter damage is frequently treated as a nuisance variable that needs to be controlled for, or as a limitation on the interpretation of the data. By contrast, other work has explicitly studied disconnection syndromes – that is, how damage to connections between two or more brain regions affects behavior [98]. In some cases lesions may primarily affect white matter bundles, disrupting networks while leaving cortical and subcortical grey matter mostly intact. Although VLBM can test associations between white matter damage and behavior in principle, this method does not explicitly test the relationship between disruption of specific tracts, or region-to-region connections, and behavior. Recently developed approaches have taken advantage of variability in white matter damage across human patients, and the development of diffusion-tensor imaging tools, to test specific causal relationships between disrupted connections and behavior, using analyses akin to VLBM (Figure 2D). Tract-based LBM uses white matter atlases in a standard brain space to test whether behavioral changes are associated with lesions that interrupt major white matter bundles [105]. Connectome-based LBM offers a somewhat different approach, using diffusion imaging from subjects with lesions to examine how deficits correlate with metrics of connectivity (e.g., probabilistic tractography) between predefined cortical ROIs [106]. However, these methods rely on certain assumptions in reconstructing white matter tracts (e.g., anisotropy of water diffusion, accurate registration of lesions to white matter atlases based on healthy controls), which impose limitations. Diffusion imaging methods can only provide best guesses for the structural connections between regions, and are affected by abnormalities and distortions of brain tissue, potentially affecting the interpretation of these data in subjects with brain lesions [106,107]. Comparison of these estimates against post-mortem histological data may identify long-distance pathways that are difficult to identify with *in vivo* tractography alone, as well as pathways where these tools are prone to errors [108,109].

Other work has focused on relating changes in functional connectivity after lesions to changes in behavior. These studies have taken two general approaches: either (i) examining how lesions affect functional connectivity in patients and relating these changes to behavior (e.g., [110]), or (ii) using information about functional connectivity in healthy subjects to predict the remote effects of brain lesions, and testing the relationship between these predicted remote effects and behavior [111,112]. These tools yield additional insights into the role that network

dynamics may play in behavior, beyond testing the contributions of any particular brain region. However, these functional connectivity measures have major limitations. Because these measures are correlative, the direction of activity in both healthy and damaged brains is difficult to interpret. For example, changes in connectivity between regions A and B after a lesion to area C could result from either loss of common input from C to both A and B, loss of an input from C to only A or B, or from loss of an input from C to an intermediary area D that connects with both A and B. Careful control measures of potential confounds and testing model assumptions must also be taken into account in interpreting these data – for example, hemodynamic signal in fMRI studies might also be affected in cerebrovascular disease [113,114].

Overall, these new tools are allowing investigators to advance the types of questions that can be asked about the relationships between lesions, brain networks, and behavior. However, parsing whether the behavioral effects of a lesion in a patient are due to loss of function within a damaged region, or depend on crucial lines of communication between multiple regions in a network, remains a central challenge in this research. Unfortunately, the available analysis tools do not allow these potential sources of variance to compete with each other in the same statistical model to explain the observed behavioral changes in lesion patients. For now, the focus on relating behavior to either network or regional effects of damage depends on the hypothesis being tested in individual studies. LBM methods also require relatively large samples for sufficient regional coverage and statistical power, and this can act as a practical limitation in their use given the challenges of recruiting participants with focal lesions.

#### Complementary Approaches in NHPs for Testing Regional and Network Roles

Complementary studies in NHPs can rule out separate contributions of white matter and grey matter to cognitive functions [115]. In recent decades this work has been crucial for refining our understanding of the functions of several brain regions. Two major surgical techniques have been used in NHP work to create focal lesions: aspiration and excitotoxic approaches. **Aspiration lesions** employ subpial suction and cauterization of tissue, typically under visual guidance with the aid of an operating microscope. In some cases, aspiration lesions affect not only cortex (grey matter) but also axons coursing nearby or through that region (**fibers of passage**). The extent of this injury depends on the location of the aspirated region and geometry of the underlying white matter tracts. By comparison, excitotoxic lesions induced by local injection of neurotoxins are more selective than aspiration lesions, destroying cell bodies while sparing the underlying fibers of passage. These distinctions, that are practically testable only in animal models, are important for providing evidence about the causal roles of cortical regions in the observed deficits (via excitotoxic damage) versus their connections and interactions (via aspiration damage).

Investigations in NHPs also yield information about regional specializations at a higher resolution than is possible in studies of human patients. Fine-grained targeting of smaller brain regions in NHPs is especially useful for investigating the functions of subregions within larger cortical structures (e.g., the orbital and ventromedial regions of PFC) that tend to be damaged together in human lesion studies and that are known to differ in cytoarchitecture and their connectivity to other regions [116]. For example, studies of working memory in patients with frontal lobe damage encompassing several cytoarchitectonic areas revealed impairments in both monitoring within working memory and making conditional selections between competing stimuli [117,118]. However, experiments with more selective lesions in NHPs were able to show that these functional contributions depended on distinct subregions within the dorsolateral PFC [89,90]. Researchers have similarly used lesions in NHPs to dissociate the contributions of PFC subregions in value-based decision-making [42,119,120], regulating defensive responses to threatening stimuli [121,122], and social cognition [123,124]. These studies in NHPs are highly valuable for

constraining search spaces in whole-brain neural recording methods or suggesting target sites for clinical treatments (e.g., as in [125]).

In animal models, the necessity of interactions between different regions can be tested directly by surgically severing specific white matter tracts in some cases (e.g., the corpus callosum or the fornix) or through crossed unilateral lesions in the two hemispheres. **Crossed-surgical disconnection** lesions leave a single brain region in both hemispheres intact, but prevent these regions from interacting within the same hemisphere (e.g., [43]). This technique can answer questions about functional interactions between two or more [126] brain regions (Figure 2E). Investigations employing crossed-surgical disconnection methods have been informative in supporting circuit interaction models for processes such as value-based decision-making [43,126–128], discrimination learning [129–131], and memory [132].

### Lesion Studies Outside the Laboratory

Applying neuroscientific research findings for the diagnosis and treatment of many neurological and psychiatric disorders is complicated by the radical complexity of behavior outside the laboratory and the challenge of relating these behaviors to operationalizable cognitive processes. In the following section we describe how lesion studies can connect basic with clinical neuroscience and provide important insights into real-world behaviors.

#### The Role of Lesion Studies in the Clinic

Lesion studies form a unique bridge between basic and clinical research. Although we have primarily focused on lesion studies in basic science, these findings may also translate into improvements in patient care. Lesion studies directly relate brain dysfunction – in the form of a lesion – to behavioral deficits. Similarly, manipulations of brain activity in NHPs or humans can be used to test causal predictions about relationships between brain activity and behavioral and cognitive symptoms in psychiatric populations [133,134]. Most immediately, these studies inform neuropsychologists and physicians about likely cognitive impairments in neurological disease, thereby guiding prognosis and treatment [135]. Information gleaned from lesion studies in NHPs can identify potential loci for neurosurgical interventions (e.g., deep brain stimulation), noninvasive manipulations (e.g., TMS) to treat neurological and psychiatric diseases [136,137], and follow-up studies to reveal the pharmacological, physiological, and molecular mechanisms underlying a behavior.

Lesion studies also provide a vital bridge in the other direction: linking behavioral deficits to neurobiology. Importantly, brain lesions are not a model of ‘induced’ psychiatric diseases. Although neurological lesion patients and psychiatric patients may have overlapping symptomatology, the correspondence of symptoms is not complete, the etiologies are very different, and there is far less regional specificity underlying dysfunction in psychiatric disorders [138–140]. However, principled identification of common and distinct deficits in psychiatric and neurological patients could be a promising route for better understanding the neurobiological origins of psychiatric symptoms. Uncovering common deficits in focal brain lesions and psychiatric disorders is in keeping with the goal of symptomatic and neurobiological classifications for psychiatric disease [141]. For example, parallels between the specific social deficits in humans and NHPs with amygdala lesions and people with autism spectrum disorders have provided clues about the neurobiological basis of the latter [142–144]. Finding common cognitive tests or computational principles that link behavioral deficits in lesion patients and psychiatric populations may establish vital connections between neurobiology, cognition, and behavior [145,146].

#### Studying Real and Realistic Behavior in Lesion Studies

The ecological validity of experimental tasks designed to investigate specific constructs in neuroscience is sometimes called into question (e.g., [147]). Lesion studies can uniquely shed light on

how specific brain regions support complex cognitive constructs such as personality, morality, aesthetic valuation, and insight, by examining the behavior of subjects with lesions in naturalistic and semi-naturalistic contexts. Verbal, written, and pictorial responses by subjects with brain damage have a long history in neuropsychological research and have revealed the effects of damage on memory [148], perception [149], problem solving [150], and scene construction [151,152]. Such data shed light on cognitive impairments that other behavioral readouts (e.g., binary choices, reaction times) cannot easily access. Although the topic of ecologically valid methods in human neuropsychology has been discussed in depth [153], in this section we highlight work that involves individual case studies and semi-naturalistic experiments to expand on information gathered from empirically driven studies of these processes.

Simulating real-world semi-naturalistic contexts allows the effects of lesions to be studied closely in a setting that is perhaps more ecologically meaningful than structured laboratory tasks. For example, the ‘multiple errands test’ (MET) was designed to mimic various ‘real world’ demands on planning, such as shopping for various objects (e.g., a cookie, a candle) under specific efficiency constraints (e.g., spending as little money and time as possible) – behaviors which were thought to be affected by frontal lobe damage [154]. Patients with vmPFC damage showed more errors on the MET and fewer overall completions of the task compared to healthy controls [155]. Likewise, patient S.M., who had exclusive bilateral damage to her amygdalae as a result of a congenital condition, had shown impairments on several laboratory tests of fear expression [156]. Based on this evidence, S.M. was taken through several real-world settings known to induce fear in normal subjects, such as a haunted house and a pet shop to handle live snakes and spiders [157]. As predicted, although S.M. expressed feelings of arousal, she did not display the typical ‘fear’ or defensive responses characteristic of normal individuals. These experiments allow a greater appreciation of the function of brain regions in the real world that cannot be easily captured in laboratory settings, and help to refine our understanding of what parameters are essential to include in structured experimental tasks.

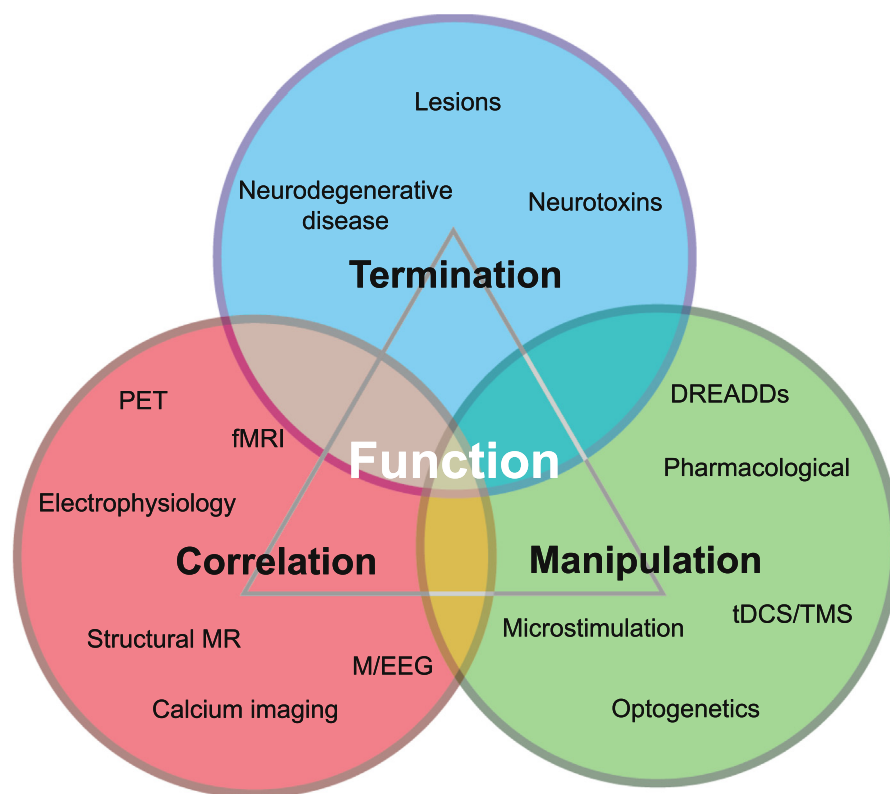
Tests using naturalistic stimuli or settings have also been developed to characterize deficits in higher-order processes following lesions in NHPs. For example, while a manual test apparatus found no deficit in spatial memory in macaques with hippocampal lesions [158], an analogous foraging task involving navigation revealed that this region was essential for memory of spatial location [159]. Similarly to the tests on S.M., unconditioned behavioral responses to common predators such as snakes and spiders have been tested in NHPs reared in the laboratory [160]. Remarkably, amygdalotomized NHPs show a fascination with snake stimuli that is reminiscent of the behaviors observed in patient S.M. [161]. In an even more dramatic demonstration of the essential role of the amygdala for survival, an early study released six NHPs with amygdala lesions into the wild. Four of these animals failed to show appropriate social behaviors, were rejected from social groups, and eventually died as a result [162]. Less constrained tests in such cases may therefore be more sensitive in detecting behavioral deficits and uncovering brain–behavior relationships than more structured tasks.

New and emerging technologies hold promise for advancing naturalistic experiments and generating rich behavioral data both inside and outside the laboratory. Virtual reality (VR) systems can be used to parameterize semi-naturalistic experiments by simulating real-world settings [163,164]. For example, the MET task described above was recently adapted for a VR environment [165] and has been used to test stroke patients [166], patients with Parkinson’s disease [167], and patients with obsessive-compulsive disorder [168], and could therefore be used to replicate and build on the findings previously described in vmPFC lesion patients and other lesion groups. Researchers have already started to employ VR tasks in studies of NHPs to study spatial memory and navigation, specifically as it relates to foraging behaviors [169]. In addition to VR,

smartphones and wearable biosensors (as well as implantable biosensors, in the case of NHPs) are providing new means to study behavior, either through experience sampling [170] or measuring movement and physiology [171–173]. These technologies have been used minimally in NHPs and have not yet been applied to test human lesion patients [133,137], but may be especially useful for studies of mind-wandering, mood, sleep, memory, and decision-making.

### Concluding Remarks

In this review we have described the inferential strengths and weaknesses of the lesion method and contextualized this approach in the larger methodological toolkit available to neuroscience researchers. As with all neuroscientific methods, lesion studies have limitations and opportunities for improvement (see Outstanding Questions). As neuroscientists, our primary goal remains building and testing useful models of function that can account for what we can learn through all available methods. We believe that this goal is better served by **triangulating** evidence from termination, manipulation, and correlational methods to provide convergent, complementary, or



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**Figure 3. Triangulation of Neuroscientific Approaches for Studying Brain Function.** Popular neuroscientific methods are sorted by the type of information they provide about brain function. Termination methods involve irreversible changes in brain function through the destruction of some brain region or pathway (via a lesion), neurons with particular characteristics (via a neurotoxin such as MPTP), or vulnerable systems (in neurodegenerative diseases such as Huntington's disease). Manipulation methods include perturbation techniques that reversibly change brain activity (e.g., optogenetics). Correlation methods include techniques for measuring brain activity and testing the association between this activity and different behaviors and task performance (e.g., task-related fMRI). Triangulating evidence from each of these methods can build a better understanding of brain functions. Abbreviations: DREADD, designer receptor exclusively activated by designer drugs; M/EEG, magnetoencephalography/electroencephalography; MPTP, 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine; (f)MRI, (functional) magnetic resonance imaging; PET, positron emission tomography; tDCS, transcranial direct current stimulation; TMS, transcranial magnetic stimulation.

### Outstanding Questions

What is the extent of neuronal plasticity after damage? Is plasticity equivalent between brain areas, or are some areas fundamentally more 'plastic' than others?

Genetic techniques have predominantly been used to create tools that can manipulate neuronal function. How might these tools also be used to test the effects of termination of function within specific cell types or to selectively lesion circuits with greater specificity than currently available methods?

How might we use emerging models of brain networks to generate hypotheses for lesion results and test cognitive neuroscience models?

Lesions in human subjects typically affect both grey and white matter; however, the effects of this damage are usually examined separately depending on the study question. How can we best test the effects of a lesion on behavior within a single statistical model that appropriately attributes variance to damage within regions or their connections?

How can we better compare experiments across laboratories? How can we achieve a stronger track record of replicating work across multiple research groups working with different sets of subjects?

What types of meta-analytic tools can we use to synthesize published data across lesion studies in humans and NHPs? For example, could comparative anatomy be used to place these data into a common framework that would allow more direct comparison of findings?

In most cases, the judgment of a single rater remains the gold standard in registering lesions to a common brain space. Automated tools for lesion analysis have been showing more promise but remain impractical in many cases (e.g., when research-grade MRI scans or images without major distortions or artifacts are not available). Therefore, can we develop better tools for segmenting and registering the extent of lesion damage in humans and NHP?

How can we better study the rich behavior of lesion subjects outside constrained



divergent evidence for theories of brain function (Figure 3) [174]. Models that can accommodate data from diverse methods should be preferred because they are robust to different forms of evidence and are supported by multiple lines of inquiry [175]. Any model that is based on data solely from one method, even across multiple experiments, is less likely to capture the contributions of a region or network given the complex spatiotemporal dynamics of brain function and the inferential limitations of each approach. The future challenges of neuroscience will demand a ‘divide and conquer’ solution where models are tested with multiple approaches simultaneously. Successfully implementing this goal will depend on researchers who are focused on different methods and model systems working together towards convergent hypothesis testing. We anticipate that this review will facilitate that exchange by providing a contemporary guide on what lesion studies contribute to neuroscience research.

laboratory settings? How might these data be used to inform the development of neuropsychological tests or be used in conjunction with these tests in clinical assessments?

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