

## Generating Sets and Basis

In a vector space  $V$ , we are particularly interested in sets of vectors  $A$  that possess the property that any vector  $v \in V$  can be obtained by a linear combination of vectors in  $A$ . These vectors are special vectors, and in the following, we will characterize them.

**Definition 1** (Generating Set/Span). Consider a vector space  $V$  and set of vectors  $\mathcal{A} = \{x_1, \dots, x_k\} \subseteq \mathcal{V}$ . If every vector  $v \in V$  can be expressed as a linear combination of  $x_1, \dots, x_k$ ,  $\mathcal{A}$  is called a *generating set* or *span*, which spans the vector space  $V$ . In this case, we write  $V = [\mathcal{A}]$  or  $V = [x_1, \dots, x_k]$ .

generating set  
span

Generating sets are sets of vectors that span vector (sub)spaces, i.e., every vector can be represented as a linear combination of the vectors in the generating set. Now, we will be more specific and characterize the smallest generating set that spans a vector (sub)space.

**Definition 2** (Basis). Consider a real vector space  $V$  and  $\mathcal{A} \subseteq \mathcal{V}$ .

- A generating set  $\mathcal{A}$  of  $V$  is called *minimal* if there exists no smaller set  $\tilde{\mathcal{A}} \subseteq \mathcal{A} \subseteq \mathcal{V}$  that spans  $V$ . minimal
- Every linearly independent generating set of  $V$  is minimal and is called *basis* of  $V$ . basis

Let  $V$  be a real vector space and  $\mathcal{B} \subseteq \mathcal{V}, \mathcal{B} \neq \emptyset$ . Then, the following statements are equivalent:

- $\mathcal{B}$  is a basis of  $V$
- $\mathcal{B}$  is a minimal generating set
- $\mathcal{B}$  is a maximal linearly independent subset of vectors in  $V$ .
- Every vector  $x \in V$  is a linear combination of vectors from  $\mathcal{B}$ , and every linear combination is unique, i.e., with

$$x = \sum_{i=1}^k \lambda_i b_i = \sum_{i=1}^k \psi_i b_i \quad (1)$$

and  $\lambda_i, \psi_i \in \mathbb{R}, b_i \in \mathcal{B}$  it follows that  $\lambda_i = \psi_i, i = 1, \dots, k$ .

---

**Example:**

- In  $\mathbb{R}^3$ , the *canonical/standard basis* is

canonical/standard  
basis

$$\mathcal{B} = \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}. \quad (2)$$

- Different bases in  $\mathbb{R}^3$  are

$$\mathcal{B}_1 = \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}, \quad \mathcal{B}_2 = \left\{ \begin{bmatrix} 0.5 \\ 0.8 \\ -0.4 \end{bmatrix}, \begin{bmatrix} 1.8 \\ 0.3 \\ 0.3 \end{bmatrix}, \begin{bmatrix} -2.2 \\ -1.3 \\ 3.5 \end{bmatrix} \right\} \quad (3)$$

- The set

$$\mathcal{A} = \left\{ \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}, \begin{bmatrix} 2 \\ -1 \\ 0 \\ 2 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \\ -4 \end{bmatrix} \right\} \quad (4)$$

is linearly independent, but not a generating set (and no basis): For instance, the vector  $[1, 0, 0, 0]^\top$  cannot be obtained by a linear combination of elements in  $\mathcal{A}$ .

*Remark.* Every vector space  $V$  possesses a basis  $\mathcal{B}$ . The examples above show that there can be many bases of a vector space  $V$ , i.e., there is no unique basis. However, all bases possess the same number of elements, the *basis vectors*.

basis vectors

We only consider finite-dimensional vector spaces  $V$ . In this case, the *dimension* of  $V$  is the number of basis vectors, and we write  $\dim(V)$ . If  $U \subseteq V$  is a subspace of  $V$  then  $\dim(U) \leq \dim(V)$  and  $\dim(U) = \dim(V)$  if and only if  $U = V$ . Intuitively, the dimension of a vector space can be thought of as the number of independent directions in this vector space.

dimension