# C&O URA Spring 2017

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# 1 Inertia Bounds

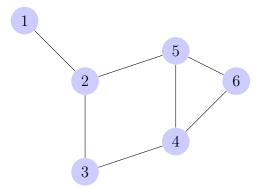
### 1.1 Introduction on Inertia Bounds

#### 1.1 Definition.

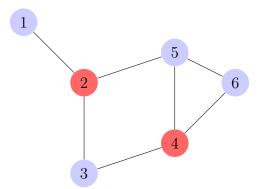
**Independent Set** — An independent set is a set of vertices belonging to a graph in which no two vertices are adjacent.

### 1.1 Example.

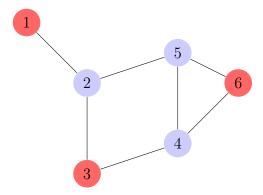
Consider the following graph:



An example of an independent set in this graph is:



However, often the independent set we are most interested in finding is the largest one:



#### 1.2 Definition.

**Independence Number** — The independence number of a graph G, denoted  $\alpha(G)$ , is the size of the largest independent set of G.

#### 1.3 Definition.

Weight Matrix — The weight matrix of a graph G, is a matrix defined by:

$$W_{i,j} = \begin{cases} c_{i,j} & \text{if } v_i \text{ and } v_j \text{ are adjacent} \\ 0 & \text{otherwise} \end{cases}$$
 (1)

with  $v_i$  a vertice of G and  $c_{i,j}$ , a constant.

The weight matrix of a graph, is identical to an adjacency matrix, except where there was a 1 in the matrix at entry  $A_{i,j}$  if vertices  $v_i$  and  $v_j$  were adjacent, there is now a constant indicating a weighting for the edge between  $v_i$  and  $v_j$ .

For any graph G, there exists a bound on  $\alpha(G)$ , known as the Cvetković bound (also referred to as the Interia Bound). This bound provides a relationship between  $\alpha(G)$  and the number of positive, negative, and zero eigenvalues of the weight matrix, W, associated with G. The Cvetković bound of G, is:

$$\alpha(G) \le \min\{|G| - n_+(W), |G| - n_-(W)\}$$
 (2)

Where  $n_{+}(W)$  and  $n_{-}(W)$  denote the number of positive and negative eigenvalues of W, respectively.

To prove this, we first need to introduce a result that comes from the Eigenvalue Interlacing Theorem:

#### 1.1 Theorem.

Corollary of Eigenvalue Interlacing Theorem — Let A be an  $n \times n$ 

real symmetric matrix with eigenvalues  $\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_n$  and let C be a  $k \times k$  principal submatrix of A with eigenvalues  $\tau_1 \geq \tau_2 \geq \ldots \geq \tau_k$ . Then  $\lambda_i \geq \tau_i$  for all  $i \in \{1, \ldots, k\}$ . [5]

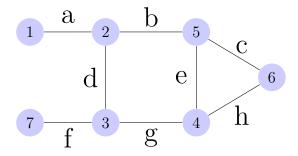
#### 1.4 Definition.

**Principal Submatrix** — The principal submatrix of an  $n \times n$  matrix A is the submatrix obtained where if  $row_i$  is excluded in the submatrix, then  $column_i$  is excluded as well. Note that all principal submatrices of a weight matrix W, correspond to an induced subgraph in the graph represented by W.

#### 1.2 Example.

The following is an example of a principal submatrix in relation to graph theory.

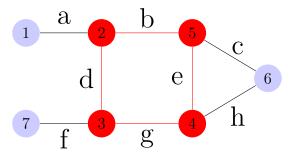
Consider the following graph:



and corresponding weight matrix:

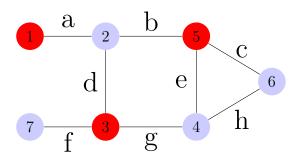
$$\begin{bmatrix} 0 & a & 0 & 0 & 0 & 0 & 0 \\ a & 0 & d & 0 & b & 0 & 0 \\ 0 & d & 0 & g & 0 & 0 & f \\ 0 & 0 & g & 0 & e & h & 0 \\ 0 & b & 0 & e & 0 & c & 0 \\ 0 & 0 & 0 & h & c & 0 & 0 \\ 0 & 0 & f & 0 & 0 & 0 & 0 \end{bmatrix}$$

We can see the following principal submatrix and corresponding induced subgraph:



$$\begin{bmatrix} 0 & a & 0 & 0 & 0 & 0 & 0 \\ a & 0 & d & 0 & b & 0 & 0 \\ 0 & d & 0 & g & 0 & 0 & f \\ 0 & 0 & g & 0 & e & h & 0 \\ 0 & b & 0 & e & 0 & c & 0 \\ 0 & 0 & 0 & h & c & 0 & 0 \\ 0 & 0 & f & 0 & 0 & 0 & 0 \end{bmatrix}$$

As well, we see the following principal submatrix of an independent set of the graph:



$$\begin{bmatrix} 0 & a & 0 & 0 & 0 & 0 & 0 \\ a & 0 & d & 0 & b & 0 & 0 \\ 0 & d & 0 & g & 0 & 0 & f \\ 0 & 0 & g & 0 & e & h & 0 \\ 0 & b & 0 & e & 0 & c & 0 \\ 0 & 0 & 0 & h & c & 0 & 0 \\ 0 & 0 & f & 0 & 0 & 0 & 0 \end{bmatrix}$$

Now to prove the Cvetković Bound:

#### 1.2 Theorem.

Cvetković Bound — Let G be a graph on n vertices, and W be the weight

matrix of G. Then the following inequality holds:

$$\alpha(G) \le \min\{|G| - n_+(W), |G| - n_-(W)\}$$
(3)

*Proof.* <sup>1</sup> Let H be the subgraph of G formed by the vertices in an independent set of size s. Then H is an induced subgraph of G and all eigenvalues of the principal submatrix W(H) are 0 since the principal submatrix will just be a zero matrix. Let  $\lambda_i$  denote the ith largest eigenvalue of W and  $\tau_i$  denote the ith largest eigenvalue of W(H). Now, by interlacing, we have,

$$\lambda_i \ge \tau_i = 0 \text{ for all i } \in \{1, \dots, s\}$$
 (4)

and so

$$n - n_{-}(W) = n_{+}(W) + n_{0}(W) > s \tag{5}$$

Also, note that by negating W, the positive eigenvalues become negative eigenvalues and vice versa. Thus,

$$n - n_{+}(W) = n - n_{-}(-W), \tag{6}$$

However, the principal submatrix corresponding to H in -W is still the zero matrix and thus has all zero eigenvalues. Thus, by interlacing, we get a similar result as above,

$$n - n_{+}(W) = n - n_{-}(-W) = n_{+}(-W) + n_{0}(-W) \ge s$$
 (7)

Therefore, both  $n - n_+(W)$  and  $n - n_-(W)$  are greater than or equal to s. Since s is the size of the idependent set, we can see that letting  $s = \alpha(G)$ , we get:

$$\alpha(G) \le \min\{|G| - n_+(W), |G| - n_-(W)\}$$
 (8)

1.2 Graphs with Tight Inertia Bounds

#### 1.2.1 Perfect Graphs

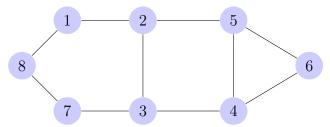
#### 1.5 Definition.

**Chromatic Number** — The chromatic number of a graph,  $\chi(G)$ , is the minimum number of colours needed in a proper colouring of G. [1]

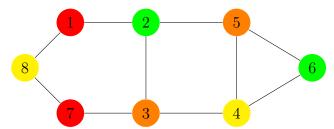
<sup>&</sup>lt;sup>1</sup>Interesting Graphs and their Colourings, unpublished lecture notes C. Godsil (2004)

#### 1.3 Example.

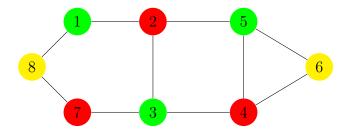
Consider the following graph:



An example of a colouring would be:



However,  $\chi(G)$  for this graph is 3:



#### 1.6 Definition.

Clique — An m-clique in a graph is a complete subgraph on m vertices. [1] The clique number,  $\omega(G)$ , is the number of vertices in a maximum clique of G.

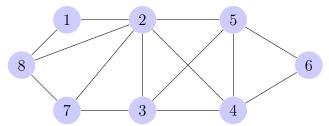
#### 1.7 Definition.

Clique Cover — A Clique Cover of the vertex set V(G) of a graph G is a set of cliques C, such that each vertex is in at least one clique in C.

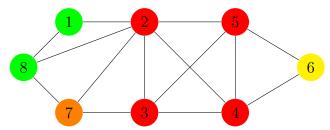
The clique cover number,  $\theta(G)$  is the minimum number of cliques needed in a clique cover of G. [1]

### 1.4 Example.

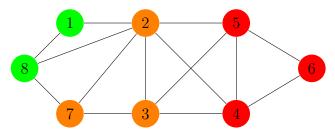
Consider the following graph:



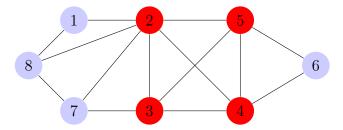
A possible clique covering is:



However, we can find that  $\theta(G)$  is equal to 3 (smallest I could find):



As well, the clique number,  $\omega(G)$ , is 4:



### 1.8 Definition.

**Perfect Graph** — A graph G is perfect if  $\chi(G) = \omega(G)$  for all induced subgraphs, H, of G.

# 1.3 Theorem.

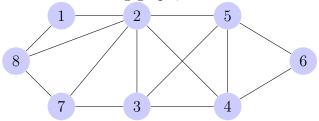
**Perfect Graph Theorem** — A Graph G is perfect if and only if its compliment,  $\overline{G}$ , is also perfect.

# 1.1 Observation.

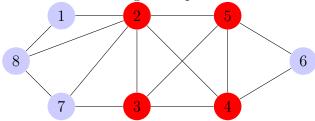
For a graph G,  $\omega(G) = \alpha(\overline{G})$ 

# 1.5 Example.

Consider the following graph, G:

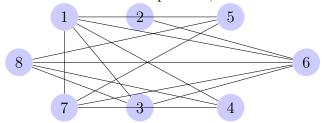


We see that the largest clique in G is:

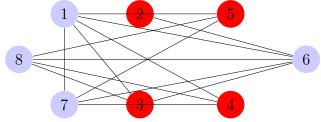


Thus, W(G) is 4.

Now consider G's compliment,  $\overline{G}$ :



In  $\overline{G}$ , the largest independent set is:



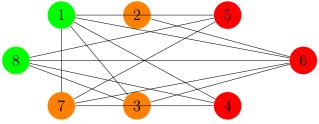
Therefore, we see  $\omega(G) = 4 = \alpha(\overline{G})$ 

#### 1.2 Observation.

Similar to the last observation, for a graph G,  $\theta(G) = \chi(\overline{G})$ 

#### 1.6 Example.

Consider the same graph from the last example. Recall that we calculated  $\theta(G)$  to be 3. Now, we can find  $\chi(\overline{G})$  to be 3 as well:



Thus,  $\theta(G) = 3 = \chi(\overline{G})$ 

#### 1.1 Lemma.

Let G be a graph. Then  $\alpha(G) \leq \min\{|G| - n_+(W), |G| - n_-(W)\} \leq \theta(G)$ . Thus, if  $\alpha(G) = \theta(G)$ , G has a tight inertia bound. [1]

*Proof.* Consider a clique partition, C, of a graph G. Let  $\hat{A}$ , denote the adjacency matrix of G where the only connected components are the cliques in C.

Now if we consider the adjacency matrix of the complete graph,  $K_n$ , we see that it is equal to  $J_n - I_n$  where  $J_n$  is the all ones matrix.

#### 1.4 Theorem.

Every Perfect Graph, G, has a tight inertia bound

*Proof.* By the Perfect Graph Theorem (theorem 1.3), we know that  $\overline{G}$ , is also perfect. Thus  $\overline{G}$  satisfies that  $\chi(H) = \omega(H)$  for all subgraphs, H, of  $\overline{G}$ , by definition. Thus, since  $\chi(\overline{G}) = \omega(\overline{G})$ , we can get from the observation 1.1 and 1.2, that

$$\alpha(G) = \omega(\overline{G}) = \chi(\overline{G}) = \theta(G) \tag{9}$$

Therefore, from lemma 1.1, G has a tight inertia bound.

#### 1.5 Theorem.

Strong Perfect Graph Theorem [1] — A graph G is a perfect graph if and only if both G and its complement,  $\overline{G}$ , do not contain a induced odd cycle of length at least 5.

#### 1.3 Observation.

Due to each perfect graph having a tight inertia bound, and the Strong Perfect Graph Theorem (theorem 1.5), every graph not containing an induced odd cycle of length 5 or greater has a tight inertia bound.

#### 1.2.2 Latin Square Graphs

#### 1.2.3 Graphs with an Eigensharp decomposition by Stars

#### 1.2.4 Summary

In summary, the following list of graphs attain a tight inertia bound:

- Graphs on 10 or fewer vertices (pg 81 [1])
- Vertex Transitive graphs on 12 or fewer vertices (pg 81 [1])
- Perfect Graphs
- Latin Square Graphs
- Graphs with an Eigensharp decomposition by stars

# 1.3 Other Bounds on Independence Number

# 2 Algorithm to Find Graphs Lacking a Tight Inertia Bound

#### 2.1 Outline of Method

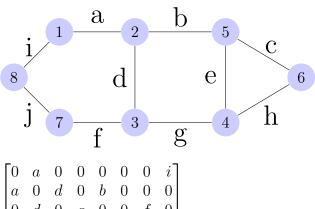
#### 2.1 Definition.

**Optimal Weight Matrix** — A weight matrix, W, of a graph, G, is optimal if

$$\alpha(G) = \min\{|G| - n_{+}(W), |G| - n_{-}(W)\}$$
(10)

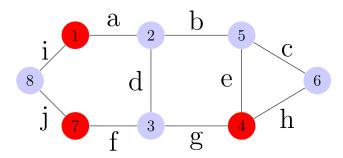
#### 2.1 Example.

Consider the following graph, G, with corresponding weight matrix W:

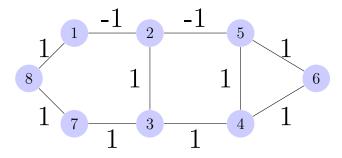


$$\begin{bmatrix} 0 & a & 0 & 0 & 0 & 0 & 0 & i \\ a & 0 & d & 0 & b & 0 & 0 & 0 \\ 0 & d & 0 & g & 0 & 0 & f & 0 \\ 0 & 0 & g & 0 & e & h & 0 & 0 \\ 0 & b & 0 & e & 0 & c & 0 & 0 \\ 0 & 0 & f & 0 & 0 & 0 & 0 & j \\ i & 0 & 0 & 0 & 0 & 0 & j & 0 \end{bmatrix}$$

We can see the independent number of G is 3:



Now, let G have the following weighting:



$$\begin{bmatrix} 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 \\ -1 & 0 & 1 & 0 & -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & -1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

Finding the eigenvalues of W, we find there are 3 positive eigenvalues and 5 negative eigenvalues. Thus, we see for this weight matrix we have:

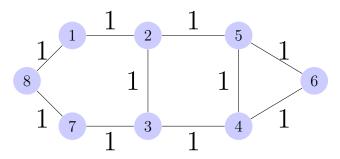
$$\alpha(G) = \min\{|G| - n_{+}(W), |G| - n_{-}(W)\}$$

$$= \min\{8 - 3, 8 - 5\}$$

$$= \min\{5, 3\}$$

$$= 3$$
(11)

Therefore, this is an optimal weight matrix of G. Now consider the following weighting for the same graph:



$$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

Finding the eigenvalues of this weight matrix, we find there are 4 positive

eigenvalues and 4 negative eigenvalues. Thus, we see we get:

$$\alpha(G) = 3 \neq \min\{|G| - n_{+}(W), |G| - n_{-}(W)\}$$

$$= \min\{8 - 4, 8 - 4\}$$

$$= \min\{4, 4\}$$

$$= 4$$
(12)

Therefore, we see that the previous weighting was not optimal for G.

#### 2.1 Lemma.

If a graph, G, with weight matrix W, has two induced subgraphs,  $S_1$  and  $S_2$ , such that  $S_1$  has  $\alpha(G) + 1$  positive eigenvalues under the weighting of W, and  $S_2$  has  $\alpha(G) + 1$  negative eigenvalues under the weighting of W, then W is not optimal

$$\square$$

# 2.2 Preliminary Tests to Determine if the Graph may be Suitable

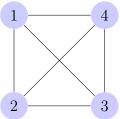
#### 2.2.1 Test for $\alpha$ -Critical

#### 2.2 Definition.

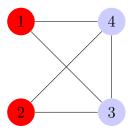
 $\alpha$ -Critical — A graph, G, is  $\alpha$ -critical if  $\alpha(G) < \alpha(G-e)$  for all edges e.

#### 2.2 Example.

Consider the following graph G:



we see that  $\alpha(G) = 1$ . But since this graph is complete, we see that if we delete any edge, we can get an independent set of size 2 by making the set include the two vertices that were connected by the edge we deleted. For example:



Thus, G is  $\alpha$ -critical.

#### 2.2 Lemma.

If G is  $\alpha$ -critical, and W an optimal weight matrix of G, then  $w_{i,j} \neq 0$  for all  $i, j \in E(G)$ 

*Proof.* Assume for the sake of contradiction, that for some  $i, j \in E(G)$ , we have  $w_{i,j} = 0$ . Then, we know  $\alpha(G - e_{i,j}) > \alpha(G)$  because G is  $\alpha$ -critical. Thus:

$$\alpha(G) < \alpha(G - e_{i,j}) \le \min\{|G| - n_+(W), |G| - n_-(W)\}$$

Thus, we see that the inertia bound is not tight for G, so W is not an optimal weight matrix of G, which is a contradiction.

Due to the complexity of needing to consider edges that could potentially be zero in the weight matrix, it is easier to consider graphs that are restricted to only non-zero edge weights in its optimal weight matrix. Thus, it makes sense to only consider  $\alpha$ -critical graphs, because of Lemma 2.2 ensuring that all  $\alpha$ -critical graphs have non-zero weight matrices.

#### 2.2.2 Determining Each Triangle Must Have the Same Sign

### 2.3 Graphs Currently Found

Graph	Vertices	α	Degree	Circulant	Strongly	Arc
					Regular	Tran-
						sitive
1	16	4	5	No	No	No
2	16	2	10	No	(16,10,6,6)	Yes
3	17	3	8	[1,2,4,8]	(17,8,3,4)	Yes
4	19	4	6	[1,7,8]	No	Yes
5	20	2	13	No	No	No
6	20	2	13	[1,3,4,7,8,9,10]	No	No
7	22	3	11	[1,2,3,5,10,11]	No	No
8	24	3	12	No	No	No
9	24	3	12	No	No	No
10	24	4	9	No	No	No
11	24	4	10	[1,2,4,8,9]	No	No
12	24	4	9	No	No	No
13	24	3	12	No	No	No
14	24	4	9	No	No	No
15	24	4	9	No	No	No
16	24	2	16	No	No	No
17	24	2	16	No	No	No
18	24	2	16	[1,2,3,4,6,7,8,10]	No	No
19	24	2	16	No	No	No

 $<sup>^{1}</sup>Otr@PKoE?T\_iOoOG\_dg\_m$ 

 $<sup>^{2}</sup>$ O $\sim$ em]uj[vmsZTUrfFwN $\sim$ 

 $<sup>^{3}</sup>P$ qtSeLUbaKeQZJabfGmmG $\sim$ G

<sup>&</sup>lt;sup>4</sup>R}ecZ@OH?oW@gOWcI\_p`?hkHL?GuG

<sup>&</sup>lt;sup>5</sup>S~~vVjjve}vmxymlG~Oi~Qm{jfxjNw{z{

<sup>&</sup>lt;sup>6</sup>S~~vnZjvUtvimj'~nibtTP}[ffwk~wR~{

<sup>&</sup>lt;sup>7</sup>Uv~LnbgfeDShP\G}HuXmePrSemapSxqJWG|ZCVhw

 $<sup>^8</sup> Wunneyzx{\sim}W]OwBPfcroK{\sim}S\{OlogtIoyPlPFMIIjWPUvaGu{\sim}$ 

<sup>&</sup>lt;sup>9</sup>WvrlvjZj~c\\_wBTRcroK~K{HLpGtPo[ikpImQHrWaUn'Cv^

 $<sup>^{10}</sup> WvvdtIJpB\_c[LEHPiH?PsE\_GAsWKcwBXhGDgOFXWIBV@CZT]$ 

 $<sup>^{11}</sup>W\ mKmIbqD\_JJMMBYa]\_\{??ucC\{YKeHKXPadVXOmqQbqEDMp$ 

 $<sup>^{12}</sup>$ W]nS $[QeoOq_nWS]$ ?KcPQUPDgU@\_TBG\_ug@ei?jCgCwY\_?J $\sim$ 

 $<sup>^{13}</sup>W\}\}VNbMtdyWkic?zg]gevHT_TfGo\sim bPK|xHkJJMolozdq\s$ 

<sup>14</sup>W}~SvAbp@IcjDgEaj?@BKPCgBbXP@oCz?BLdE@KwGu[?EFZ

<sup>15</sup>W~nELU\'aKkXTJ]?@cGUB@KgBSX?wG\_sS'DUCGyWO'}?@M^

 $<sup>^{16}</sup>W\sim\sim vnnv|\sim gzH$ 'za|J^ef| $\sim wBJNisn[bn^@nwez\sim V^\sim$ 

 $<sup>^{17}</sup>$ W $\sim \sim vnn\{vT\{nvFnFo^{\hat{}}\}\sim Dnw\{AF|hFz[YZ\sim DT\sim wX^{\hat{}}\sim n\{B\sim N\}]\}$ 

 $<sup>^{18}</sup>W\sim \sim vnn{vXyjqnnFs^}\sim Knw/[^{2}Hiz[iznCt\sim wX^{2}\sim n{B}\sim N]$ 

 $<sup>^{19}</sup>W\sim\sim\sim vvu|^{\star}$  jvivTvtTyj $_{\sim}$  |}ibyiiF}[b{ $\sim$ C{ $\sim$ wU $^{\sim}$ \_f $\sim\sim$ 

#### 2.3.1 Graphs Created from Deleting a Vertex

Graph	Created	Vertices	$\alpha$	Regular	Circulant	Strongly	Arc
	From					Regular	Tran-
							sitive
1	1	15	4	No	No	No	No
2	2	15	2	No	No	No	No
3	3	16	3	No	No	No	No
4	4	18	4	No	No	No	No
5	6	19	2	No	No	No	No
6	7	21	3	No	No	No	No
7	8	23	3	No	No	No	No
8	9	23	3	No	No	No	No
9	10	23	4	No	No	No	No
10	11	23	4	No	No	No	No
11	12	23	4	No	No	No	No
12	13	23	3	No	No	No	No
13	14	23	4	No	No	No	No
14	15	23	4	No	No	No	No
15	16	23	2	No	No	No	No
16	17	23	2	No	No	No	No
17	18	23	2	No	No	No	No
18	19	23	2	No	No	No	No
19	4	17	4	No	No	No	No
20	5	18	2	No	No	No	No
21	9	22	4	No	No	No	No
22	11	22	4	No	No	No	No
23	15	22	2	No	No	No	No
24	18	22	2	No	No	No	No
25	19	16	4	No	No	No	No
26	21	21	4	No	No	No	No
27	24	21	2	No	No	No	No

<sup>&</sup>lt;sup>1</sup>Ntr@PKoE?T\_iOoOG\_dg

<sup>&</sup>lt;sup>2</sup>N∼∼em]uj[vmsZTUrfFw

 $<sup>^3{\</sup>rm O}\} {\rm qtSeLUbaKeQZJabfGmm}$ 

<sup>&</sup>lt;sup>4</sup>Q}ecZ@OH?oW@gOWcI\_p?hkHL?

 $<sup>^5\</sup>mathrm{R}{\sim}{\sim}\mathrm{vnZjvUtvimj'}{\sim}\mathrm{nibtTP}$ [ffwk ${\sim}\mathrm{w}$ 

<sup>&</sup>lt;sup>6</sup>Tv~LnbgfeDShP\G}HuXmePrSemapSxqJWG|Z

<sup>&</sup>lt;sup>7</sup>Vunneyzx~W]OwBPfcroK~S{OlogtIoyPlPFMIIjWPUv\_

 $<sup>^{8}</sup> VvrlvjZj{\sim}c\backslash\_wBTRcroK{\sim}K\{HLpGtPo[jkpImQHrWaUn\_vrlv]\}$ 

<sup>&</sup>lt;sup>9</sup>VvvdtIJpB\_c[LEHPiH?PsE\_GAsWKcwBXhGDgOFXWIBV?

 $<sup>^{10}\</sup>mathrm{V}\}\mathrm{mKmIbqD\_JJMMBYa}]\_\{??\mathrm{ucC}\{\mathrm{YKeHKXPadVXOmqQbq}?$ 

 $<sup>^{11}\</sup>mathrm{V}nS|QeoOq\_nWS]?KcPQUPDgU@\_TBG\_ug@ei?jCgCwY\_$ 

 $<sup>^{12}\</sup>mathrm{W}\}\}\mathrm{VNbMtdyWkic?zg]gevHT\_TfGo}{\mathrm{vbPK}|\mathrm{xHkJJMolozdq}\backslash s}$ 

 $<sup>^{13}</sup>V\} \sim SvAbp@IcjDgEaj?@BKPCgBbXP@oCz?BLdE@KwGu[?]$ 

<sup>&</sup>lt;sup>14</sup>V~nELU\'aKkXTJ]?@cGUB@KgBSX?wG\_sS'DUCGyWO'}?

 $<sup>^{15}\</sup>mathrm{V}{\sim}{\sim}\mathrm{vnnv}|{\sim}\}\mathrm{gzH}$ 'za|J^ef|  ${\sim}\mathrm{wBJNisn[bn^@^nwez}{\sim}_{-}$ 

<sup>16</sup>V~~~vnn{vT{nvFnFo^}~Dnw\{^AF|hFz[VZ~DT~wX^~

# 3 Other Useful Information

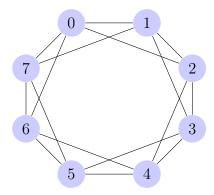
## 3.1 Cayley Graphs

#### 3.1 Definition.

Cayley Graph — Let H be a finite group, and  $S \subseteq H$ , be a subset of H. Then the Cayley Graph C(H, S), has a vertex for each element in H. There exists an edge between two vertices g and h, if and only if there exists  $s \in S$  such that sh = g. If G is a graph such that there exists a group H and a generating set  $S \subseteq H$  with  $G \cong C(H, S)$ , then G is a Cayley Graph. [2]

#### 3.1 Example.

Consider the group  $\mathbb{Z}_8$  and let the generating set be  $S = \{1, 2\}$ . The vertex set will be  $\{0, 1, 2, 3, 4, 5, 6, 7\}$  and there will be an edge between two vertices, g and h, if for an  $s \in S$ , g + s = h:



#### 3.2 John's Proof

#### 3.2 Definition.

**k-saturated** — The graph G is said to be k-saturated if it does not contain a complete (k+1)-graph, but every graph G' obtained from adding a new edge to G contains a complete (k+1)-graph. [3]

#### 3.3 Definition.

Conical Vertex — A vertex, V, is a conical vertex of a graph, G, if V is adjacent to every vertex in G.

#### 3.1 Observation.

A graph, G, is k-saturated, if and only if its complement,  $\overline{G}$ , is  $\alpha$ -critical,

with  $\alpha(\overline{G}) = k$ .

This is due to the fact that since G contains a complete k-graph but not a complete (k+1)-graph,  $\overline{G}$  will have a maximum independent set of size k. By adding any edge to G to obtain G', G' will contain a complete (k+1)-graph, and thus  $\overline{G'}$  will then have an independent set of size k+1. Thus,  $\overline{G}$  is  $\alpha$ -critical and  $\alpha(G)=k$ .

#### 3.1 Theorem.

Assume G is k-saturated. Then G contains at least 2k - |G| conical vertices. [3]

#### 3.2 Observation.

From theorem 3.1, thinking in terms of of the complement of a graph, we get that if G is  $\alpha$ -critical and connected, then G must satisfy  $\alpha(G) \leq \frac{|G|}{2}$ .

*Proof.* Beginning with a graph G, if G is  $\alpha$ -critical with  $\alpha(G) = k$ , then due to observation 3.1,  $\overline{G}$  is k-saturated. Now, following from theorem 3.1,  $\overline{G}$  contains at least  $2k - |\overline{G}|$  conical vertices. This means that G must contain at least  $2k - |\overline{G}| = 2\alpha(G) - |G|$  isolated vertices. However, G is connected, so the number of isolated vertices must equal zero and so  $2\alpha(G) - |G| \leq 0$ . Rearranging, gives  $\alpha(G) \leq \frac{|G|}{2}$  as required.

As well, consider the contrapositive of the statement: if  $\alpha(G) > \frac{|G|}{2}$ , then G is either not connected or not  $\alpha$ -critical.

#### 3.2 Theorem.

Let G be a connected graph such that  $\alpha(G) > \frac{|G|}{2}$ . Then either G has a tight weight matrix, or there exists an incuded subgraph, H, such that H has no tight weight matrix and  $\alpha(H) \leq \frac{|H|}{2}$ .

*Proof.* We will proceed with induction on the number of vertices.

Base case: Let G be a connected graph on 10 vertices or less with  $\alpha(G) > \frac{|G|}{2}$ . Then from [1], we know that all graphs on 10 vertices or less does not have a tight weight matrix, and so G does not have a tight weighting.

Inductive Hypothesis: Let G be a connected graph on n vertices such that  $\alpha(G) > \frac{|G|}{2}$ . Then either G has a tight weight matrix, or there exists an induced subgraph, H, such that H has no tight weight matrix and  $\alpha(H) \leq \frac{|H|}{2}$ .

Inductive Step: Consider a connected graph G on n+1 vertices such that  $\alpha(G) > \frac{|G|}{2}$ . Now if G has a tight weight matrix, we're done, so assume

that G does not have a tight weight matrix. Now, from the contrapositive of observation 3.1 and due to G being connected, we know G is not  $\alpha$ -critical. Thus, there exists an edge,  $e_1$ , such that  $\alpha(G - e_1) = \alpha(G)$ . This leaves us with 2 cases:

Case 1:  $G-e_1$  is disconnected. In this case, either both of the components have a tight weighting, or at least one has a non-tight weighting. If one has a non-tight weight matrix, then G contains an induced subgraph, H, such that H has no tight weight matrix. Now, either H satisfies  $\alpha(H) \leq \frac{|H|}{2}$  in which case we're done, or  $\alpha(H) > \frac{|H|}{2}$ . If  $\alpha(H) > \frac{|H|}{2}$ , then we apply the inductive hypothesis to H and since we already know H does not have a tight weight matrix, it must be the case the H contains an induced subgraph F such that F has no tight weight matrix and  $\alpha(F) \leq \frac{|F|}{2}$ . However, since F is an induced subgraph of H, it must also be an induced subgraph of G, and so we find that F satisfies the condition that G has an induced subgraph with  $\alpha(F) \leq \frac{|F|}{2}$  and so we are done. Thus, assume that both components have a tight weighting. However, using both of these tight weightings on G, and setting  $e_1$  to a zero weighting, G then has a tight weight matrix. However, this is a contradiction as we assumed G did not have a tight weight matrix. This completes this case.

Case 2:  $G - e_1$  is connected. Now because G was not  $\alpha$ -critical,  $\alpha(G) = \alpha(G - e_1)$  and so  $\alpha(G - e_1) > \frac{|G - e_1|}{2}$ . Thus, due to observation 3.1,  $G - e_1$  is still not  $\alpha$ -critical. Therefore, we can continue to delete edges without changing the fact that the resulting graph will be  $\alpha$ -critical as long as it's connected. Now, consider the graph that results from G, which will be denoted G', where after deleting k-1 edges, G is still connected, but after deleting the kth edge, G has now become disconnected. We can now add back all edges that do not reconnect G' as this will not decrease  $\alpha(G')$  since deleting it did not. Now, we have the same case as case 1, with the only difference being that if the two disconnected components of G' have a tight weighting, we use their tight weightings and put the weightings of all edges we deleted to obtain G' to 0, giving us a tight weighting for G. This completes this case.

Therefore, G satisfies the statement, and so by induction, the statement is true for all graphs.

#### 3.3 Observation.

Note that we can further strengthen theorem 3.2 to letting G be a connected graph such that  $\alpha(G) \geq \frac{|G|}{2}$ . This follows from [4] which states that the

only graph, H, with  $\alpha(H) = \frac{|H|}{2}$  is the complete graph  $K_2$ . However, this graph has a tight weight matrix since it has less than 10 vertices, and so the statement is true even for the case of  $\alpha(G) = \frac{|G|}{2}$ .

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