# Algorithm Design Manual Notes

### Zachary William Grimm

Notes for ADM by Skiena zwgrimm@gmail.com

# February 14, 2019

# **Contents**

1	Intro	ntroduction To Algorithm Design					
	1.1	Robot Tour Optimization	4				
	1.2	Selecting the Right Jobs	4				
	1.3	Reasoning about Correctness	4				
		1.3.1 Expressing Algorithms	4				
		1.3.2 Problems and Properties	4				
		1.3.3 Demonstrating Incorrectness	4				
		1.3.4 Induction and Recursion	5				
		1.3.5 Summations	5				
	1.4	Modeling The Problem	5				
		1.4.1 Combinatorial Objects	5				
		1.4.2 Recursive Objects	5				
	1.5	About the War Stories	6				
	1.6	War Story: Psychic Modeling	6				
2	Algo	rithm Analysis	6				
	2.1	The RAM Model of Computation	6				

	2.1.1	Best, Worst, and Average-Case Complexity	6
2.2	The Bi	g Oh Notation	6
2.3	Growth	Rates and Dominance Relations	7
	2.3.1	Dominance Relations	7
2.4	Workin	g with the Big Oh	8
	2.4.1	Adding Functions	8
	2.4.2	Multiplying Functions	8
2.5	Reason	ing About Efficiency	9
	2.5.1	Selection Sort	9
	2.5.2	Insertion Sort	9
	2.5.3	String Pattern Matching	9
	2.5.4	Matrix Multiplication	10
2.6	Logarit	hms and Their Applications	10
	2.6.1	Logarithms and Binary Search	10
	2.6.2	Logarithms Trees	10
	2.6.3	Logarithms and Bits	10
	2.6.4	Logarithms and Multiplication	10
	2.6.5	Fast Exponentiation	10
	2.6.6	Logarithms and Summations	10
	2.6.7	Logarithms and Criminal Justice	10
2.7	Propert	ties of Logarithms	0
2.8	War Sto	ory: Mystery of the Pyramids	10
2.9	Advano	ced Aanalysis (*)	10
2.10	Esoteri	c Functions	10
2 11	Limite	and Dominance Relations	۱۸

# 1 Introduction To Algorithm Design

the algorithmic *problem* known as *sorting* is defined as follows:

Problem: Sorting

*Input:* A sequence of n keys  $a_1, ..., a_n$ .

Output: The permutation (reordering) of the input sequence such that  $a_1' \leq a_2' \leq ... \leq a_{n-1}' \leq a_n'$ 

```
I N S E R T I O N S O R T I N S E R T I O N S O R T I N S E R T I O N S O R T E I N S R T I O N S O R T E I N R S T I O N S O R T E I I N R S T O N S O R T E I I N N O R S T N S O R T E I I N N O R S T T E I I N N O R S T T T E I I N N O R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T T E I I N N O O R R S S T T
```

Figure 1: Animation of insertion sort in action (time flows down)

```
insertion_sort(item s[], int n)
{
    int i,j; /* counters */

    for (i=1; i<n; i++) {
        j=i;
        while ((j>0) && (s[j] < s[j-1])) {
            swap(&s[j], &s[j-1]);
            j = j-1;
        }
    }
}</pre>
```

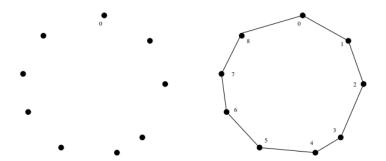


Figure 2: A good instance for the nearest neighbor heuristic

### 1.1 Robot Tour Optimization

*Problem:* Robot Tour Optimization *Input:* A set S of N points in the plane.

Output: What is the shortest cycle tour that visits each point in the set S?

### 1.2 Selecting the Right Jobs

*Problem:* Movie Scheduling Problem *Input:* A set I of n intervals on the line.

Output: What is the largest subset of mutually non-overlapping intervals which can be selected from I?

*Take-Home Lesson:* Reasonable-looking algorithms can easil beincorrect. Algorithm correctnes is a property that must be carefully demonstrated.

### 1.3 Reasoning about Correctness

### 1.3.1 Expressing Algorithms

*Take-Home Lesson:* The heart of any algorithm is an *idea*. If your idea is not clearly revealed when you express an algorithm, then you are using too low-level a notation to describe it.

#### 1.3.2 Problems and Properties

*Take-Home Lesson:* An important and honorable tecnique in algorithm design is to narrow the set of llowable instances until there *is* a correct and efficient algorithm. For example, we can restrit a graph problem from general graphs down to trees, or a geometric problem from two dimensions down to one.

#### 1.3.3 Demonstrating Incorrectness

- Verifiability
- Simplicity
- Think small
- Think exhaustively
- Hunt for the weakness
- · Seek extremes

Take-Home Lesson: Searching for conterexamples is the best way to disprove the correctness of a heuristic.

### 1.3.4 Induction and Recursion

*Take-Home Lesson:* Mathematical induction is usually the right wy to verify the correctness of a recursive or incremental insertion algorithm.

#### 1.3.5 Summations

- Arithmetic progressions
- Geometric series

### 1.4 Modeling The Problem

### 1.4.1 Combinatorial Objects

- Permutations
- Subsets
- Trees
- Graphs
- Points
- Polygons
- Strings

*Take-Home Lesson:* Modeling your application in terms of well-defined structures and algorithms is the most important single step towards a solution.

### 1.4.2 Recursive Objects

- Permutations
- Subsets
- Trees
- Graphs
- Points
- Polygons
- Strings

### 1.5 About the War Stories

### 1.6 War Story: Psychic Modeling

# 2 Algorithm Analysis

Our two most important tools are

- 1. The RAM model of computation
- 2. The asymptotic analysis of worst-case complexity

### 2.1 The RAM Model of Computation

*Take-Home Lesson:* Algorithms can be understood and studied in a language and machine-independant manner..

### 2.1.1 Best, Worst, and Average-Case Complexity

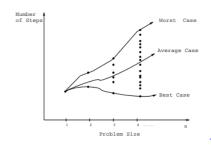


Figure 3: Best, Worst and average case complexity

### 2.2 The Big Oh Notation

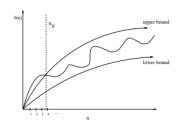


Figure 4: Upper and lower bounds valid for n>n0 smooth out the behavior of complex functions

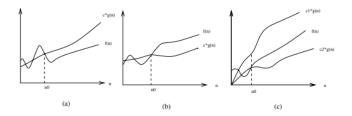


Figure 5: Illustrating the big (a) O, (b)  $\Omega$ , and (c)  $\Theta$  notations

### Stop and Think: Hip to the Squares

*Problem:* Is 
$$(x + y)^2 = O(x^2 + y^2)$$

#### Stop and Think: Back to the Definition

*Problem:* Is 
$$2^{n+1} = \Theta(2^n)$$
?

### 2.3 Growth Rates and Dominance Relations

n f(n)	$\lg n$	n	$n \lg n$	$n^2$	2 <sup>n</sup>	n!
10	$0.003~\mu s$	$0.01~\mu s$	$0.033~\mu s$	$0.1~\mu s$	1 μs	3.63 ms
20	$0.004~\mu s$	$0.02~\mu s$	$0.086~\mu s$	$0.4~\mu s$	1 ms	77.1 years
30	$0.005~\mu s$	$0.03~\mu s$	$0.147~\mu s$	$0.9~\mu s$	1 sec	$8.4 \times 10^{15} \text{ yrs}$
40	$0.005~\mu s$	$0.04~\mu s$	$0.213~\mu s$	1.6 µs	18.3 min	·
50	$0.006~\mu s$	$0.05~\mu s$	$0.282~\mu s$	$2.5~\mu s$	13 days	
100	$0.007~\mu s$	0.1 μs	0.644 μs	10 μs	$4 \times 10^{13} \text{ yrs}$	
1,000	$0.010 \ \mu s$	$1.00 \ \mu s$	$9.966~\mu s$	1 ms		
10,000	$0.013~\mu s$	$10 \mu s$	130 μs	100 ms		
100,000	$0.017~\mu s$	0.10  ms	1.67 ms	10 sec		
1,000,000	$0.020~\mu s$	1 ms	19.93 ms	16.7 min		
10,000,000	$0.023~\mu s$	$0.01  \mathrm{sec}$	0.23 sec	1.16 days		
100,000,000	$0.027~\mu s$	$0.10  \mathrm{sec}$	2.66 sec	115.7 days		
1,000,000,000	$0.030~\mu s$	1 sec	29.90 sec	31.7 years		

Figure 6: Growth rates of common functions measured in nanoseconds

### 2.3.1 Dominance Relations

*Take-Home Lesson:* Although esoteric functions arise in advanced algorithm analysis, a small variety of time complexities suffice and account for most algorithms that are widely used in practice.

### 2.4 Working with the Big Oh

### 2.4.1 Adding Functions

$$O(f(n)) + O(g(n)) \longrightarrow O(max(f(n), g(n)))$$

$$\Omega(f(n)) + \Omega(g(n)) \longrightarrow \Omega(max(f(n), g(n)))$$

$$\Theta(f(n)) + \Theta(g(n)) \longrightarrow \Theta(max(f(n), g(n)))$$

### 2.4.2 Multiplying Functions

$$O(c * f(n))) \longrightarrow O(f(n))$$

$$\Omega(c * f(n))) \longrightarrow \Omega(f(n))$$

$$\Theta(c * f(n))) \longrightarrow \Theta(f(n))$$

$$O(f(n)) * O(g(n)) \longrightarrow O(f(n) * g(n))$$

$$\Omega(f(n)) * \Omega(g(n)) \longrightarrow \Omega(f(n) * g(n))$$

$$\Theta(f(n)) * \Theta(g(n)) \longrightarrow \Theta(f(n) * g(n))$$

### Stop and Think: Hip to the SquaresTransitive Experience

Show that Big Oh relationships are transitive. That is, if f(n) = O(g(n)) and g(n) = O(h(n)), then f(n) = O(h(n))

### 2.5 Reasoning About Efficiency

#### 2.5.1 Selection Sort

Figure 7: Animation of selection sort in action.

#### 2.5.2 Insertion Sort

```
for (i=1; i<n; i++) {
    j=i;
    while((j>0) && (s[j] < s[j-1])) {
        swap(&s[j], &s[j-1]);
        j = j-1;
    }
}</pre>
```

### 2.5.3 String Pattern Matching

*Problem:* Substring Pattern Matching *Input:* A text string t and a pattern string p

Output: Does t contain the pattern p as a substring, and if so where?

```
a b b a a b b a a a b b a
```

Figure 8: Searching for the substring abba in the text aababba

```
int findmatch(char *p, char*t)
{
    int i, j;
                      /* counters */
   int m, n;
                      /* string lengths */
   m = strlen(p);
   n = strlen(t);
    for (i=0; i<(n-m); i=i+1) {
          j = 0;
          while((j<m) && (t[i+j] == p[j]))
             j = j + 1;
          if(j == m) return(i);
    }
    return(-1)
}
```

### 2.5.4 Matrix Multiplication

## 2.6 Logarithms and Their Applications

- 2.6.1 Logarithms and Binary Search
- 2.6.2 Logarithms Trees
- 2.6.3 Logarithms and Bits
- 2.6.4 Logarithms and Multiplication
- 2.6.5 Fast Exponentiation
- 2.6.6 Logarithms and Summations
- 2.6.7 Logarithms and Criminal Justice
- 2.7 Properties of Logarithms
- 2.8 War Story: Mystery of the Pyramids
- 2.9 Advanced Aanalysis (\*)
- 2.10 Esoteric Functions
- 2.11 Limits and Dominance Relations