

CENG 2010 - Programming Language Concepts

Weeks 1-2: A Short Introduction to OCaml

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March 6 - March 13, 2023

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OCaml

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- designed and implemented at Inria Rocquencourt by Xavier Leroy and others

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General-purpose, strongly typed programming language

- successor of Caml Light (itself successor of Caml),
- part of the ML family (SML, F#, etc.)
- designed and implemented at Inria Rocquencourt by Xavier Leroy and others
- Some applications: symbolic computation and languages (IBM, Intel, Dassault Systèmes), static analysis (Microsoft, ENS), file synchronization (Unison), peer-to-peer (MLDonkey), finance (LexiFi, Jane Street Capital), teaching

The First Program

- hello.ml

```
let () = print_string "hello_world!\n"
```

where

```
let () = ...
```

is the first entry of your program (like the “main” in C)

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Compilation % ocamlpt -o hello hello.ml

- Execution % ./hello

Output hello word!

Variable Declarations

- introducing a global variable

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let x = e
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Java	OCaml
<code>final int x = 42;</code>	<code>let x = 42</code>

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Java	OCaml
<code>final int x = 42;</code>	<code>let x = 42</code>

- example:

```
let x = 1 + 2
```

```
let y = x * x
```

```
let () =  
  print_int x;  
  print_int y;
```

where semicolon (“;”) is the expression separator – sequencing

References

a variable to be assigned is called a reference; it is introduced with `ref`

```
let a = ref 1
let b = ref 2

let () =
  print_int !a;
  print_int !b;
  b := !a + 3;
  print_int !b;
```

Expressions and Statements

no distinction between expression/statement in the syntax : only expressions

usual constructs:

- conditional

```
let i = 1
```

```
let () =  
  if i = 1 then print_int 1 else print_int 2
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let i = 1
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```
let () =  
  if i = 1 then print_int 1 else print_int 2
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- for loop

```
let a = ref 1
```

```
let () =  
  for ind = 1 to 5 do a := !a + ind done
```

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```
let () =  
  if !a > 0 then a := 0
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Unit Type

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- this type has a single value, written ()
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- correct

```
let () =  
  if !a > 0 then a := 0
```

- incorrect

```
let () =  
  2 + (if !a > 0 then 1)
```


Local Variables

- in C or Java, the scope of a local variable extends to the bloc:

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{  
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  ...;  
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"let in =" Expression

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is an expression

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- Example:

```
let x = 1 in (let y = 2 in x + y) * (let z = 3 in x * z)
```

Example

Java

```
{  
  int x = 1;  
  x = x + 1;  
  int y = x * x;  
  System.out.print(y);  
}
```

OCaml

```
let x = ref 1 in  
x := !x + 1;  
let y = !x * !x in  
print_int y
```

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- `# let y = 1 + 2;;`
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- `# let y = 1 + 2;;`
`val y : int = 3`
- `# y * y;;`
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Java	OCaml
<pre>static int f(int x) { return x * x; }</pre>	<pre>let f x = x * x</pre>

Procedure

a procedure = a function whose result type is unit

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- `# let x = ref 1`
 `let set v = x := v;;`

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Procedure

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- `# let x = ref 1
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- `# set 3;;`

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- `# !x;;`

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Functions without Arguments

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- `# let f x y z = if x > 0 then y + x else z - x;;`
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takes an argument of type `unit`

- `# let f x y z = if x > 0 then y + x else z - x;;`
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- `# f 1 2 3;;`
`- : int = 3`

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- function local to another function
 - `# let pythagorean x y z =
 let sqr n = n * n in
 sqr x + sqr y = sqr z;;`

`val pythagorean : int → int → int → bool = <fun>`

Functions as First-Class Citizens

function = yet another expression, introduced with `fun`

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 - `: int → int = <fun>`
- `# (fun x → x+1) 3;;`
 - `: int = 4`

internally

```
let f x = x+1;;
```

is identical to

```
let f = fun x → x+1;;
```

Partial Application

```
fun x y → x*x + y*y
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is the same as

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```

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- ```
let g = f 3;;
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## Partial Application

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- ```
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val f : int → int → int = <fun>
```
- ```
let g = f 3;;
val g : int → int = <fun>
```
- ```
# g 4;;  
- : int = 25
```

Partial Application (cont'd)

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- `# let f x = let x2 = x * x in fun y → x2 + y * y;;`
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Partial Application (cont'd)

- a partial application is a way to return a function
- but one can also return a function as the result of a computation
- `# let f x = let x2 = x * x in fun y → x2 + y * y;;`
`val f : int → int → int = <fun>`
- a partial application of f computes x*x only once

Example (Partial Application)

- `# let count_from n = let r = ref (n-1) in fun () → incr r; !r;;`
`val count_from : int → unit → int = <fun>`

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`# let c = count_from 0;;`
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`val count_from : int → unit → int = <fun>`

`# let c = count_from 0;;`
`val c : unit → int = <fun>`

- `# c ();;`
- : int = 0

`# c ();;`
- : int = 1

Higher-Order Functions

- functions that input and output functions

```
# let riemann (f: float → float) (a: int) (b: int) (n: int): float =  
  let a = ref a in  
  let s = ref 0.0 in  
  let x = (b - !a)/n in  
  a := !a + x;  
  while !a ≤ b do  
    s := !s +. (f (float !a) *. float x);  
    a := !a + x  
  done;  
  !s;;  
  
val riemann : (float → float) → int → int → int → float = <fun>
```

Recursive Functions

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- Example:

```
let zero f =  
  let rec lookup i = if f i = 0 then i else lookup (i+1)  
  in lookup 0
```

Recursive Functions

- in OCaml, it is idiomatic to use recursive functions, for
 - a function call is cheap
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- Example:

```
let zero f =  
  let rec lookup i = if f i = 0 then i else lookup (i+1)  
  in lookup 0
```

- recursive code \Rightarrow clearer, simpler to justify

Polymorphism

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- `val f : $\alpha \rightarrow \alpha$ = <fun>`

- `# f 3;;`

- `- : int = 3`

- `# f true;;`

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- `# f print_int;;`

- `- : int \rightarrow unit = <fun>`

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- polymorphic function = act over values with many different types

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- `val f : $\alpha \rightarrow \alpha$ = <fun>`

- `# f 3;;`

- `- : int = 3`

- `# f true;;`

- `- : bool = true`

- `# f print_int;;`

- `- : int \rightarrow unit = <fun>`

- `# f (print_int 20; Printf.printf "\n");;`
`20`

- `- : unit = ()`

Polymorphism (cont'd)

- OCaml always infers the most general type

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```
# let compose f g = fun x → f (g x);;
```

```
val compose : ( $\alpha \rightarrow \beta$ )  $\rightarrow$  ( $\gamma \rightarrow \alpha$ )  $\rightarrow$   $\gamma \rightarrow \beta$  = <fun>
```


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Arrays

- `# let a = Array.make 10 0;;`

```
val a : int array = [|0; 0; 0; 0; 0; 0; 0; 0; 0; 0|]
```

necessarily initialized

Arrays

- `# let a = Array.make 10 0;;`
`val a : int array = [|0; 0; 0; 0; 0; 0; 0; 0; 0; 0|]`
necessarily initialized
- `# let a = [| 1; 2; 3; 4 |];;`
`# a.(1);;`

`- : int = 2`

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`# a.(1);;`
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- `# a.(1) ← 5;;`
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- `# a;;`
`- : int array = [|1; 5; 3; 4|]`

Example

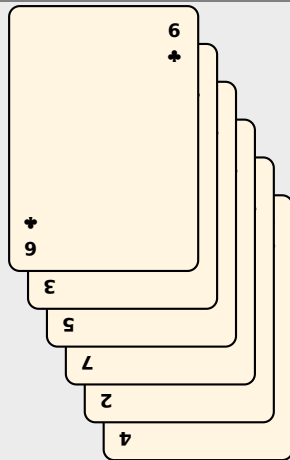
Java

```
int[] a = new int[42];  
a[17]  
a[7] = 3;  
a.length
```

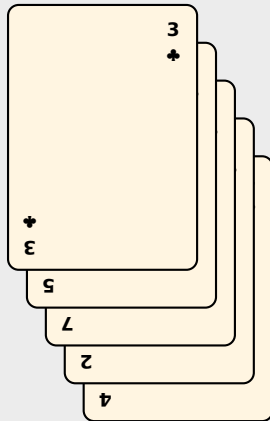
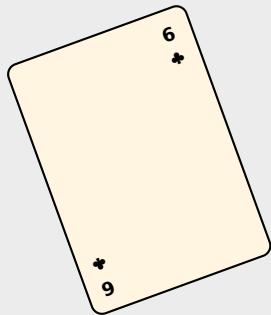
OCaml

```
let a = Array.make 42 0  
a.(17)  
a.(7) ← 3  
Array.length a
```

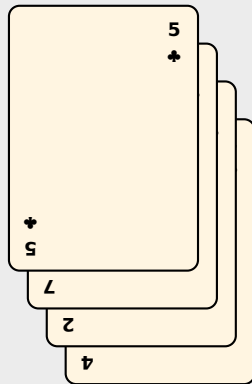
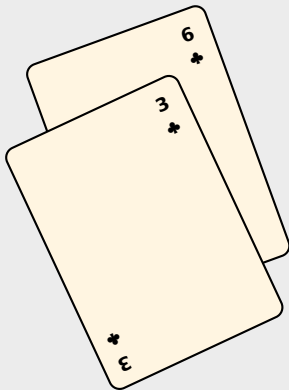
Example (Insertion Sort)



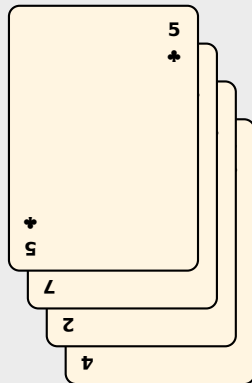
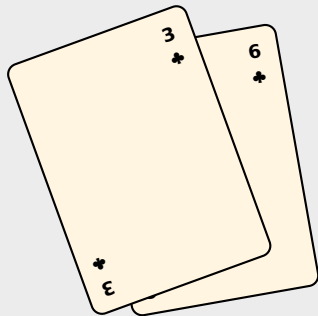
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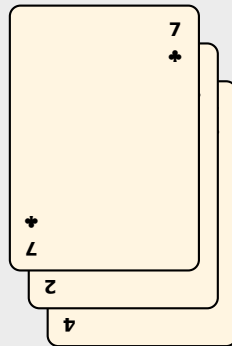
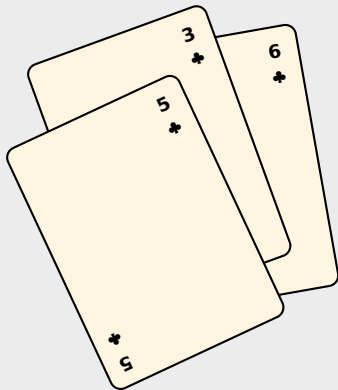
Example (Insertion Sort)



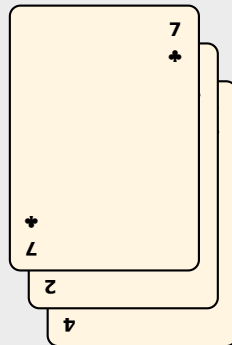
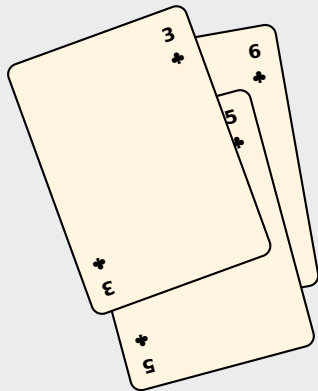
Example (Insertion Sort)



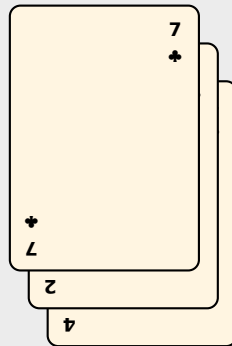
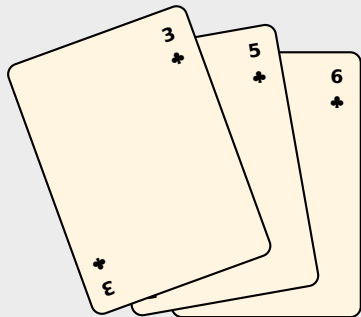
Example (Insertion Sort)



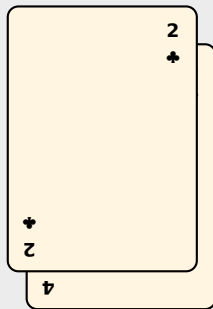
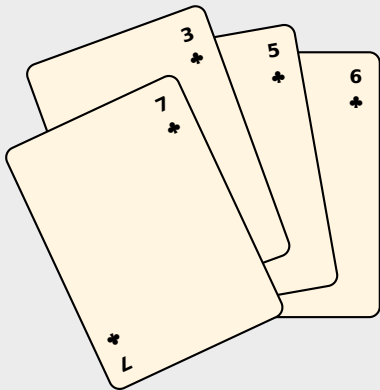
Example (Insertion Sort)



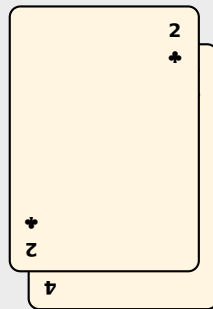
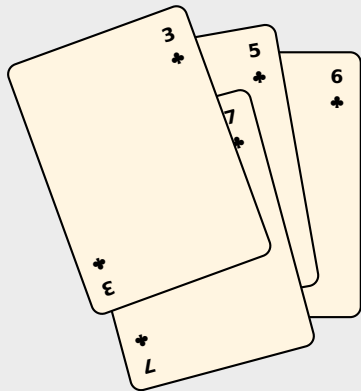
Example (Insertion Sort)



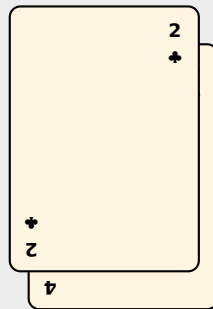
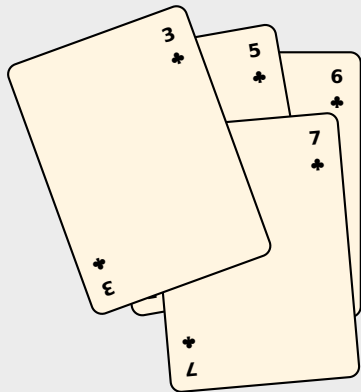
Example (Insertion Sort)



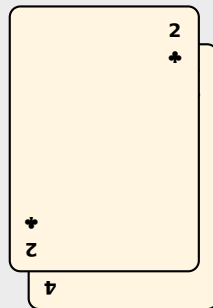
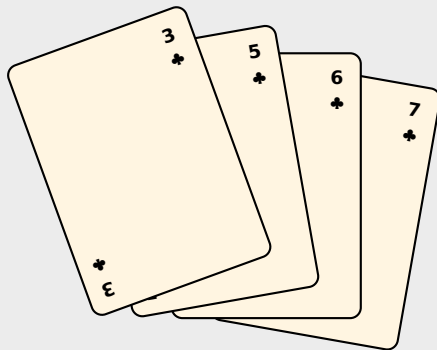
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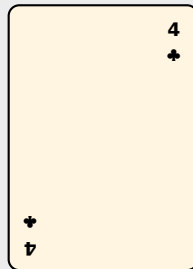
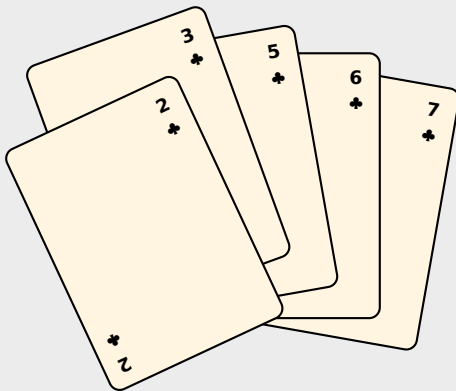
Example (Insertion Sort)



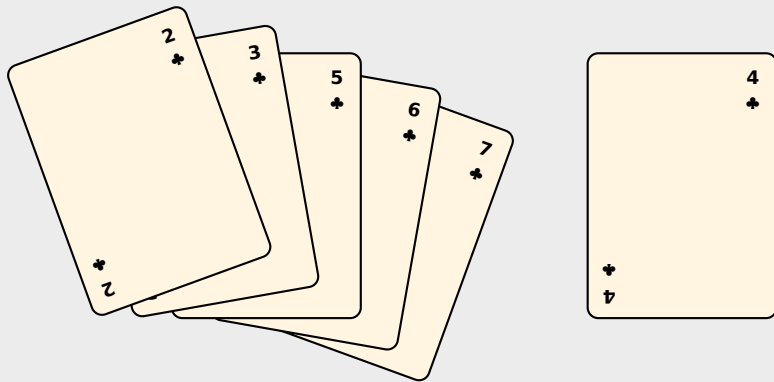
Example (Insertion Sort)



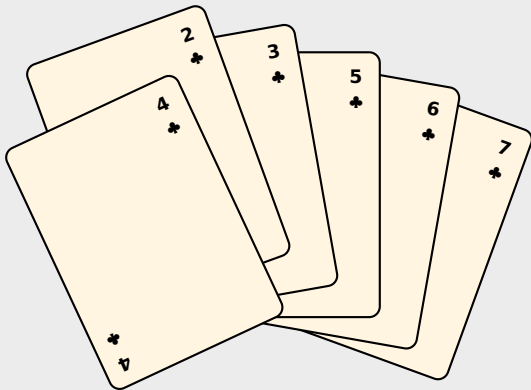
Example (Insertion Sort)



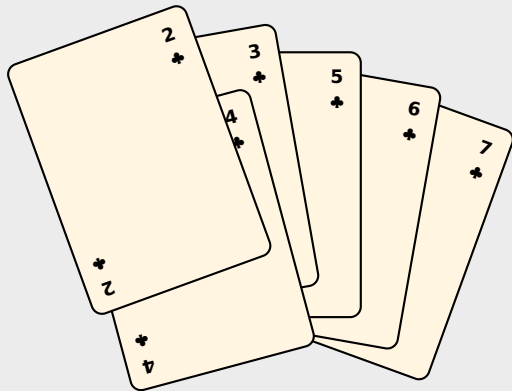
Example (Insertion Sort)



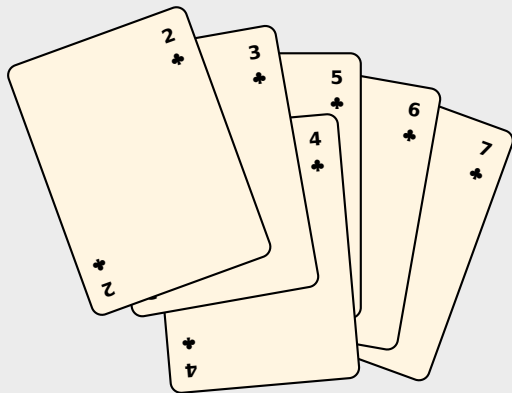
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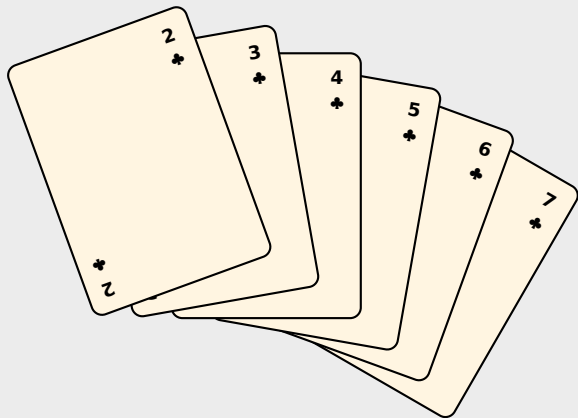
Example (Insertion Sort)



Example (Insertion Sort)



Example (Insertion Sort)



Example (Insertion Sort – iterative insertion)

```
let insertion_sort a =  
  let swap i j =  
    let t = a.(i) in a.(i) ← a.(j); a.(j) ← t  
  in  
  for i = 1 to Array.length a - 1 do  
    (* insert element a[i] in a[0..i-1] *)  
    let j = ref (i-1) in  
    while !j ≥ 0 && a.(!j) > a.(!j+1) do  
      swap !j (!j + 1); decr j  
    done  
  done
```


Example (Insertion Sort – recursive insertion)

```
let insertion_sort a =  
  let swap i j =  
    let t = a.(i) in a.(i) ← a.(j); a.(j) ← t  
  in  
  for i = 1 to Array.length a - 1 do  
    (* insert element a[i] in a[0..i-1] *)  
    let rec insert j =  
      if j ≥ 0 && a.(j) > a.(j+1) then  
        begin swap j (j+1); insert (j+1) end  
      in  
      insert (j+1)  
    done  
  done
```

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Algebraic Data Types (Memory Representation)

- algebraic data type = union of several constructors

```
type formula =  
  | True  
  | False  
  | And of formula * formula
```

```
# True;;
```

```
- : formula = True
```

```
# And (True, False);;
```

```
- : formula = And (True, False)
```

Pattern Matching (Algebraic Data Types)

- pattern matching generalizes to algebraic data types

```
# let rec eval = function
| True      → true
| False     → false
| And (f1, f2) → eval f1 && eval f2;;

val eval : formula → bool = <fun>
```

Pattern Matching (Algebraic Data Types)

- pattern matching generalizes to algebraic data types

```
# let rec eval = function
| True      → true
| False     → false
| And (f1, f2) → eval f1 && eval f2;;
```

```
val eval : formula → bool = <fun>
```

- patterns can be omitted or grouped:

```
let rec eval = function
| True      → true
| False     → false
| And (False, _) | And (_, False) → false
| And (f1, f2)      → eval f1 && eval f2;;
```

Example (Positive Integers)

```
type pos =  
  | XI: pos → pos  
  | XO: pos → pos  
  | XH: pos  
  
let rec pos2Int (p: pos): int =  
  match p with  
  | XI k → 2 * pos2Int k + 1  
  | XO k → 2 * pos2Int k  
  | XH   → 1  
  
let rec int2PosH (n: int) (m: int): pos =  
  if n ≥ 1 && m ≥ 2 then int2PosH (n-1) (m-2)  
  else if n ≥ 1 && m = 1 then XO (int2PosH (n-1) (n-1))  
  else if n ≥ 1 && m = 0 then XI (int2PosH (n-1) (n-1))  
  else XH  
  
let int2Pos (i: int): pos =  
  if i < 1 then failwith "input_a_non-negative_integer"  
  else int2PosH i (i+1)
```

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Lists

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let l = 1 :: 2 :: 3 :: [];;
val l : int list = [1; 2; 3]
```

## Lists

- lists = particular case of algebraic data type
- predefined type of lists,  $\alpha$  list, immutable and homogeneous
- built from the empty list `[]` and addition in front of a list `::`

- `# let l = 1 :: 2 :: 3 :: [];;`

- `val l : int list = [1; 2; 3]`

- shorter syntax

- `# let l = [1; 2; 3];;`

## Pattern Matching (Lists)

pattern matching = case analysis on a list

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```
• # let rec sum l =
 match l with
 | [] → 0
 | x :: r → x + sum r;;

val sum : int list → int = <fun>

sum [1;2;3];;

- : int = 6
```

## Pattern Matching (Lists)

pattern matching = case analysis on a list

- ```
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  match l with  
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  | x :: r → x + sum r;;
```

```
val sum : int list → int = <fun>
```

```
# sum [1;2;3];;
```

```
- : int = 6
```

- shorter notation for a function performing pattern matching on its argument

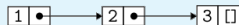
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```

Lists (Memory Representation)

- OCaml lists = identical to lists in C or Java

Lists (Memory Representation)

- OCaml lists = identical to lists in C or Java
- the list [1; 2; 3] is represented as



Example (List Operations)

```
let rec myConcat (l1:  $\alpha$  list)
                (l2:  $\alpha$  list):  $\alpha$  list =
  match l1 with
  | []      → l2
  | x::xs   → x :: myConcat xs l2

let rec myReverse (l:  $\alpha$  list):  $\alpha$  list =
  match l with
  | []      → []
  | x::xs   → myConcat (myReverse xs) [x]
```

```
let rec myMap (f:  $\alpha \rightarrow \beta$ ) (l:  $\alpha$  list):  $\beta$  list =
  match l with
  | []      → []
  | x::xs   → f x :: myMap f xs

let rec myFoldr (f:  $\alpha \rightarrow \beta \rightarrow \beta$ )
                (u:  $\beta$ ) (l:  $\alpha$  list):  $\beta$  =
  match l with
  | []      → u
  | x::xs   → f x (myFoldr f u xs)
```

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Tuples

- usual notation:

```
# (1,2,3);;
```

```
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# let v = (1, true, "hello", 'a');
```

```
val v : int * bool * string * char = (1, true, "hello", 'a')
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Tuples

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```

```
# let v = (1, true, "hello", 'a');
```

```
val v : int * bool * string * char = (1, true, "hello", 'a')
```

- access to components

```
# let (a,b,c,d) = v;
```

```
val a : int = 1
```

```
val b : bool = true
```

```
val c : string = "hello"
```

```
val d : char = 'a'
```

Tuples (cont'd)

- beneficial when it comes to returning several values:

```
# let rec division n m =  
  if n < m then (0, n)  
  else let (q,r) = division (n - m) m in (q + 1, r);;  
  
val division : int → int → int * int = <fun>
```

Tuples (cont'd)

- beneficial when it comes to returning several values:

```
# let rec division n m =  
  if n < m then (0, n)  
  else let (q,r) = division (n - m) m in (q + 1, r);;
```

```
val division : int → int → int * int = <fun>
```

- function taking a tuple as argument

```
# let f (x,y) = x + y;;
```

```
val f : int * int → int = <fun>
```

```
# f (1,2);;
```

```
- : int = 3
```


Example (Currrification)

```
let myCurry (f: ( $\alpha * \beta$ )  $\rightarrow \gamma$ ): ( $\alpha \rightarrow \beta \rightarrow \gamma$ ) =  
  fun (x:  $\alpha$ )  $\rightarrow$  fun (y:  $\beta$ )  $\rightarrow$  f (x, y)  
  
let addP (t: (int*int)): int = fst t + snd t  
  
let myUnCurry (f:  $\alpha \rightarrow \beta \rightarrow \gamma$ ): (( $\alpha * \beta$ )  $\rightarrow \gamma$ ) =  
  fun (x: ( $\alpha * \beta$ ))  $\rightarrow$  f (fst x) (snd x)  
  
let add (x: int) (y: int): int = x + y
```

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Records

- `type complex = { re : float; im : float }`

Records

- `type complex = { re : float; im : float }`

- allocation and initialization are simultaneous:

```
# let x = { re = 1.0; im = -1.0 };;
```

```
val x : complex = {re = 1.; im = -1.}
```

```
# x.im;;
```

```
- : float = -1.
```

Mutable Fields

```
type person = { name : string; mutable age : int }  
# let p = { name = "Martin"; age = 23 };;  
val p : person = {name = "Martin"; age = 23}  
# p.age ← p.age + 1;;  
- : unit = ()  
# p.age;;  
- : int = 24
```

Example

Java

```
class T
{
  final int v;
  boolean b;

  T(int v, boolean b)
  {
    this.v = v;
    this.b = b;
  }
}

T r = new T(42, true);
r.b = false;
r.v
```

OCaml

```
type t =
{
  v: int;
  mutable b: bool;
}

let r = { v = 42; b = true }
r.b ← false
r.v
```

References

a reference = a record of that predefined type

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- `type 'a ref = { mutable contents : 'a }`

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- `ref`, `!` and `:=` are syntactic sugar

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a reference = a record of that predefined type

- `type 'a ref = { mutable contents : 'a }`
- `ref`, `!` and `:=` are syntactic sugar
- only arrays and mutable fields can be mutated

Example (Rationals)

```
type rat =  
{  
  num  : int;  
  denom: pos;  
}  
  
let rat2String (r: rat): string =  
  string_of_int (r.num) ^ "/" ^ string_of_int (pos2Int (r.denom))  
  
let printRat (r: rat): unit =  
  printf "%s\n" (rat2String r)  
  
let ratEq (r1: rat) (r2: rat): bool =  
  r1.num * (pos2Int r2.denom) = r2.num * (pos2Int r1.denom)
```

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OOP Classes

- class = collection of attributes (data) and methods

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- example:

```
class dice = object
  val max = 6
  val mutable faceValue = 0

  method roll =
    Random.self_init();
    faceValue ← (Random.full_int max) + 1

  method set_faceValue v =
    faceValue ← v

  method get_faceValue =
    faceValue
end
```

Example (inheritance)

```
class rectangle (w: int) (h: int) = object (s)
  val mutable x = 0
  val mutable y = 0

  method get_x = x
  method set_x v = x ← v

  method get_y = y
  method set_y v = y ← v

  method area = w * h
end

class square (w: int) = object (s)
  inherit rectangle w w as r

  method is_contained (px, py) =
    x ≤ px && px ≤ x + w &&
    y ≤ py && py ≤ y + w
end
```

Example (ad-hoc polymorphism)

```
class virtual shape (name: string) = object (s)
  val virtual mutable x: int
  val virtual mutable y: int
  method virtual area: int

  method describe =
    "shape_is_" ^ name ^ "_at_" ^ "(" ^ string_of_int x ^ "," ^ string_of_int y ^ ")" ^
    "_with_the_area_" ^ string_of_int (s#area)
end
```


Example (ad-hoc polymorphism (cont'd))

```
class rectangle (w: int) (h: int) = object
  inherit shape "rectangle" as r

  val mutable x = 0
  val mutable y = 0

  method get_x = x
  method set_x v = x ← v

  method get_y = y
  method set_y v = y ← v

  method area = w * h
end
```

Example (ad-hoc polymorphism (cont'd))

```
class square (w: int) = object
  inherit shape "square" as r

  val mutable x = 0
  val mutable y = 0

  method get_x = x
  method set_x v = x ← v

  method get_y = y
  method set_y v = y ← v

  method area = w * w
end
```

Thanks! & Questions?