

## ACE Tutorial 4

### Question 1: Stacks and Queues

Consider an array-based queue, where the underlying array of size  $N$  is used in a circular fashion. We keep track of two variables:  $f$  referring to the index of the front element and  $sz$  referring to the number of stored elements. When the queue has *fewer than*  $N$  elements, the array index  $r = (f + sz) \bmod N$  is the first empty slot past the rear of the queue.

Consider a queue that has an underlying array  **$A$  of size 5**. Fill in the following  $f$ ,  $sz$  and  $r$  values, and show the state of the array  $A$  after each operation.

- $f$  (front): 指向队列的前端 (即最先插入但尚未删除的元素)。
- $sz$  (size): 存储的元素数量。
- $r$  (rear): 指向队列的下一个空槽, 计算方式为  $r = (f + sz) \bmod N$ , 其中  $N = 5$  (数组的大小)。

#### - Initial State of $A$

Index	0	1	2	3	4
Element					

	value
$f$	0
$sz$	0
$r$	0

#### - Enqueue 4

Index	0	1	2	3	4
Element	4				

	value
$f$	0

<i>sz</i>	1
<i>r</i>	1

- Dequeue

Index	0	1	2	3	4
Element					

	value
<i>f</i>	1
<i>sz</i>	0
<i>r</i>	1

- Enqueue 7

Index	0	1	2	3	4
Element		7			

	value
<i>f</i>	1
<i>sz</i>	1
<i>r</i>	2

- Enqueue 10

Index	0	1	2	3	4
Element		7	10		

	value
$f$	1
$sz$	2
$r$	3

- Enqueue 13

Index	0	1	2	3	4
Element		7	10	13	

	value
$f$	1
$sz$	3
$r$	4

- Enqueue 16

Index	0	1	2	3	4
Element		7	10	13	16

	value
$f$	1
$sz$	4
$r$	0

- Dequeue

Index	0	1	2	3	4
Element			10	13	16

	value
$f$	2
$sz$	3
$r$	0

- Dequeue

Index	0	1	2	3	4
Element				13	16

	value
$f$	3
$sz$	2
$r$	0

- Enqueue 19

Index	0	1	2	3	4
Element	19			13	16

	value
$f$	3
$sz$	3
$r$	1

- Enqueue 22

Index	0	1	2	3	4
Element	19	22		13	16

	value
$f$	3
$sz$	4
$r$	2

- Enqueue 25

Index	0	1	2	3	4
Element	19	22	25	13	16

	value
$f$	3
$sz$	5
$r$	3

What happens here? Is  $r$  referring to an empty cell? Can we add more elements to the array?

- 当  $sz == N$  时，队列已满，无法继续 **enqueue** 操作，必须 **dequeue** 才能腾出空间。
- $r$  的值指向  $f$ ，意味着  $r$  不再指向空单元格，而是循环回到  $f$ 。
- 这是 基于数组的循环队列 在存满后的行为。

## Question 2: Lists

Consider a growable array-based array list. Let  $push(o)$  be the operation that adds an element  $o$  at the end of the list. For the pseudocode of the  $push(o)$  algorithm, see Slide 13 in Lists.pdf. When the array is full, we replace the array with a larger one. There are two commonly used strategies which determine the size of the new array.

**Incremental strategy:** when an array of size  $n$  is full, we replace it with a new array of size  $(n+c)$ , where  $c$  is a constant.

**Doubling strategy:** when an array of size  $n$  is full, we replace it with a new array of size  $2n$ .

Assume that when the array is not full, adding an element into it takes a constant time 1. Fill in the two tables below, which illustrate the process of performing a series of  $n$  *push(o)* operations over an initial array which is empty and of size 1, using the incremental strategy and the doubling strategy, respectively. For the incremental strategy, we set  $c=3$ .

### 规则:

- 初始数组大小 1
- 当数组满时, 新数组大小 = 旧大小 + 3
- 插入元素的时间:
  - 直接插入: 时间 1
  - 扩容 (复制元素): 需要 旧数组大小 的时间

*Incremental strategy,  $c=3$*

Array size	Push $i$ -th element	Time for adding elements	Time for copying elements
1	1	1	0
1+3=4	2	1	1=c-2
4	3	1	0
4	4	1	0
4+3=7	5	1	4=2c-2
7	6	1	0
7	7	1	0
7+3=10	8	1	7=3c-2
10	9	1	0
10	10	1	0

10+3=13	11	1	10=4c-2
13	12	1	0

Let  $m$  denote the total number of push operations in the series,  $k$  denote the number of times of increasing the array size. Can you express the relationship between  $m$  and  $k$  using  $c$ ?

$$m = ck$$

$$k = m/c$$

Let  $T(m)$  denote the total time for performing these  $m$  push operations. How to express  $T(m)$  using  $m$ ,  $k$  and  $c$ ? Which big-Oh class does  $T(m)$  belong to? Which big-Oh class does  $T(m)/m$  belong to?

$$T(m) = m + (c + 2c + \dots + kc) - 2k = m + [(1+k)k/2]c - 2k$$

$$T(m) \text{ 属于 } O(m^2)$$

$$T(m)/m \text{ 属于 } O(m)$$

**规则：**

- 初始数组大小 1
- 当数组满时，新数组大小 = 旧大小  $\times$  2
- 插入元素的时间：
  - 直接插入：时间 1
  - 扩容（复制元素）：需要 旧数组大小 的时间

### *Doubling strategy*

Array size	Push $i$ -th element	Time for adding elements	Time for copying elements
1	1	1	0
$1*2=2$	2	1	1
$2*2=4$	3	1	2
4	4	1	0
$4*2=8$	5	1	4
8	6	1	0
8	7	1	0
8	8	1	0
$8*2=16$	9	1	8
16	10	1	0
16	11	1	0
16	12	1	0
16	13	1	0
16	14	1	0
16	15	1	0
16	16	1	0

Let  $m$  denote the total number of push operations in the series,  $k$  denote the number of times of increasing the array size. Can you express the relationship between  $m$  and  $k$ ?

$$m=2^k$$

$$k=\log(2)m$$

Let  $T(m)$  denote the total time for performing these  $m$  push operations. How to express  $T(m)$  using  $m$  and  $k$ ? Which big-Oh class does  $T(m)$  belong to? Which big-Oh class does  $T(m)/m$  belong to?

$$T(m)=m+1+2+\dots+2^{(k-1)}=m+2^k-1=2m-1 \text{ or } T(m)=m+1+2+\dots+2^k=m+2^{(k+1)}-1=3m-1$$



$T(m)$  is in  $O(m)$

$T(m)/m$  is in  $O(1)$