# Comparing Neural Population Responses Based on Pairwise p-Wasserstein Distance between Topological Signatures

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## Main Contribution

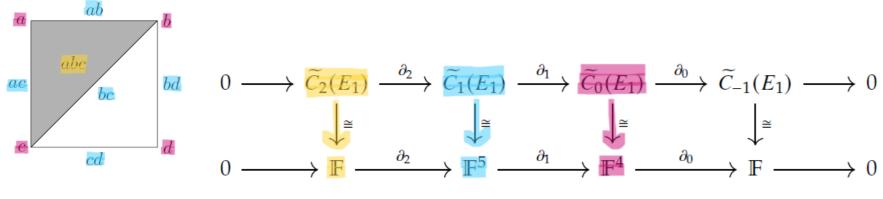
We develop and evaluate a topology-based approach to compare neural population activities as high-dimensional point-clouds. As a demonstration, we apply the approach to compare neural population responses in the mouse retina to different visual stimuli. With the proposed approach, one can

- quantitatively compare between neural population responses arising from artificial and biological neural networks, and
- perform statistical inference on a distribution of topological signatures for the respective neural population responses.

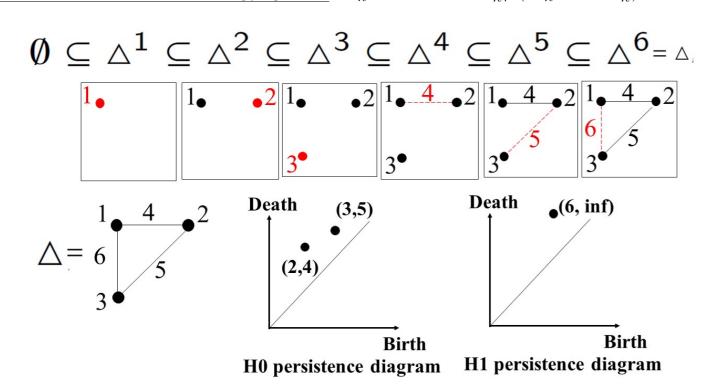
## **Preliminaries**

The topological and statistical constructions in the proposed approach build on the following mathematical concepts.

• Simplicial complex: a pair  $(V, \triangle)$ , where V is a finite set, and  $\triangle$  is a family of non-empty subsets of V such that  $\tau \in \triangle$  and  $\sigma \subseteq \tau \implies \sigma \in \triangle$ , where  $\tau \in \triangle$  is face of  $\triangle$ .



- (a) Simplicial complex.
- (b) Simplicial chain complex.
- Simplicial homology in degree k of  $\triangle$  is the quotient group  $H_k(\Delta; \mathbb{F}) = ker(\partial_k)/im(\partial_{k+1}) = Z_k(\Delta; \mathbb{F})/B_k(\Delta; \mathbb{F})$ , where
  - $Z_k(\Delta; \mathbb{F}) = ker(\partial_k) = \{Z \in \widetilde{C}_k(\Delta; \mathbb{F}) : \partial_k(Z) = 0\}$  is the  $\mathbb{F}$ -module of the cycle group,
- $B_k(\Delta; \mathbb{F}) = im(\partial_{k+1}) = \{Z \in \widetilde{C}_k(\Delta; \mathbb{F}) : \partial_{k+1}(x), x \in \widetilde{C}_{k+1}(\Delta; \mathbb{F})\}$  is the  $\mathbb{F}$ -module of the boundary group.
- Filtered simplicial complex: A subcomplex of  $\triangle$  is a subset  $\triangle^i \subseteq \triangle$  that is also a simplicial complex. Let  $\triangle$  be a finite simplicial complex and let  $\triangle^1 \subset \triangle^2 \subset \cdots \subset \triangle^m = \triangle$  be a finite sequence of nested subcomplexes of  $\triangle$ . The simplicial complex  $\triangle$  with such a sequence of subcomplexes,  $\emptyset \subseteq \triangle^1 \subseteq \triangle^2 \subseteq \cdots \subseteq \triangle^m = \triangle$ , is called filtered simplicial complex.
- <u>p-persistent k-th homology group:</u> Given a filtered complex, for the *i*-th subcomplex  $\Delta^i$  we compute the associated boundary maps  $\partial_k^i$  for all dimensions k, boundary matrices  $M_k^i$  for all dimensions k,  $C_k^i$ ,  $Z_k^i$  (cycle group),  $B_k^i$  (boundary group), and  $H_k^i$  (homology group). Then the *p*-persistent k-th homology group  $H_k^{i,p}$  of  $\Delta^i$  is  $Z_k^i/(B_k^{i+p} \cap Z_k^i)$ .



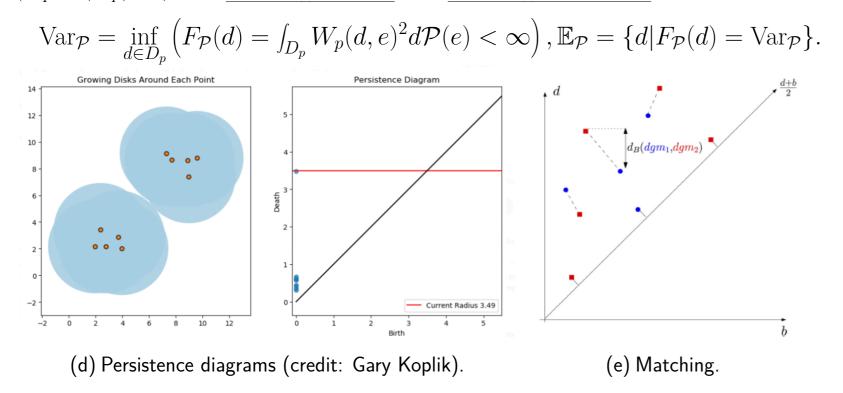
(c) Persistence homology.

- Barcode and persistent diagrams: The rank of  $H_k^{i\to j}(C;\mathbb{F})$  gives the number of intervals in the <u>barcode</u> of  $H_k^{i\to j}(C;\mathbb{F})$  spanning the parameter interval [i,j] (i,j) represent the "birth" and "death" of a feature respectively). <u>Persistent diagram</u> is an equivalent representation of barcode, with x-coordinate and y-coordinate representing the "birth" and "death" of a feature respectively.
- <u>p-Wasserstein distance between persistent diagrams:</u> Given  $p \ge 1$ , the <u>p-Wasserstein distance</u> between a pair of persistence diagrams  $dgm_1$  and  $dgm_2$  is defined by

$$W_p(dgm_1, dgm_2) = \left(\inf_{M} \Sigma_{(x,y) \in M} ||x - y||_{\infty}^p\right)^{1/p},$$

where the infimum is taken over all possible matchings M. A matching M between  $\operatorname{dgm}_1$  and  $\operatorname{dgm}_2$  is a subset  $M \subseteq \operatorname{dgm}_1 \times \operatorname{dgm}_2$  such that every point in  $\operatorname{dgm}_1$  and  $\operatorname{dgm}_2$  appears exactly once in M.

• Statistical inference on the space of persistent diagrams: The space of persistent diagrams is defined as  $D_p = \{d|W_p(d,d') < \infty\} = \{d|\operatorname{Pers}_p(d) < \infty\}$ . Given a probability space  $(D_p, \mathcal{B}(D_p), \mathcal{P})$ , the Fréchet variance and Fréchet expectation are defined as



#### Introduction

Real-world data are often encoded in high-dimensional representations. Moreover, it is often unclear which coordinates and metrics can be meaningfully justified.

- **Topological properties are well-suited:** they are generalized to high-dimensional surfaces and are invariant under different coordinates and metrics.
- Aim: compare data point-clouds in terms of their topological properties.
- **Motivation:** analyze the high-dimensional output of a population of neurons in response to some stimulus (neural population response).
- A crucial gap: prior works have not considered how these neural population responses can be appropriately compared.

# **Methods and Results**

The steps in our approach are summarized in the flowchart.

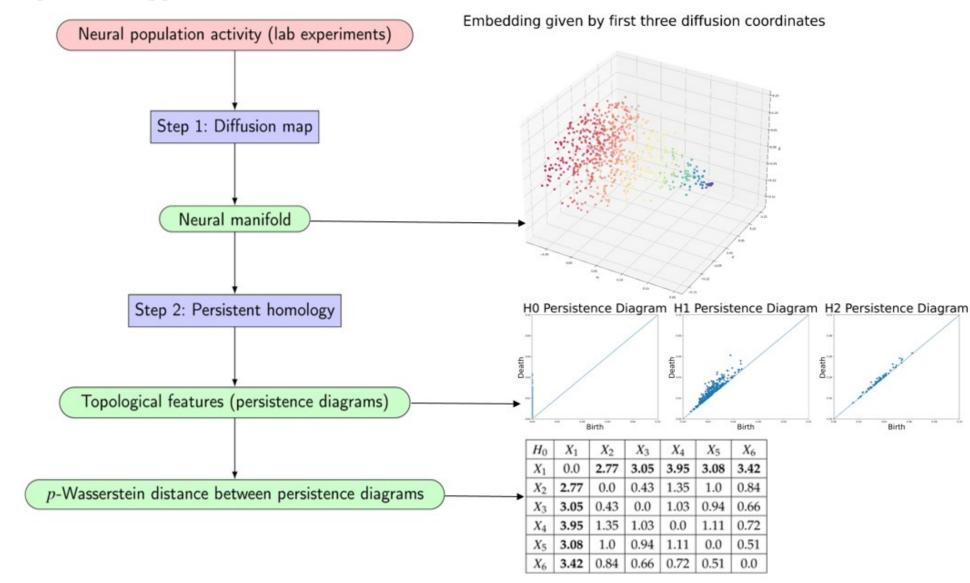
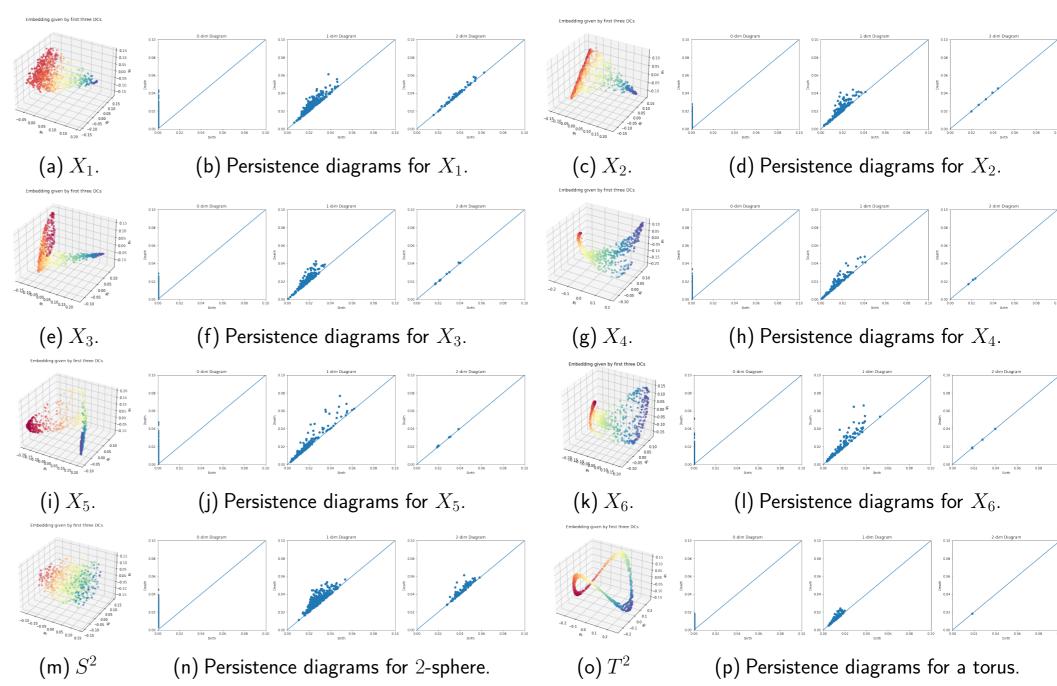


Figure: Summary of the proposed approach.

- Visual stimuli are flashed in front of the mouse. Neuron output is recorded with electrodes and encoded in peristimulus (PSTH) diagrams: 698 neurons, 6 types of visual stimuli, 264 number of pixels in the PSTH diagram
- Six point clouds each corresponds to the neural population response towards one type of stimuli, which we denote as  $X_1, X_2, \ldots, X_6$ . Each point cloud  $X_i$  consists of 698 points in  $\mathbb{R}^{264}$ .



Based on the results, we have the following hypotheses:

- 1 The neural population response evoked by the low-frequency stimulus  $(X_1)$  is significantly different from the other stimulus types in terms of topological signatures. It might be interesting to conduct further lab experiments to investigate this selective preference.
- 2 The pairwise p-Wasserstein distances between persistence diagrams for  $H_2$  are nearly negligible. This suggests that the intrinsic dimensionality of this neural data might even be lower than three-dimensional. It would be interesting to compare the results with the hypothesis that if we are given an oriented stimulus, and if the orientation is a circular variable, then the neural population response must be topologically equivalent to a circle.

## **Conclusion and Discussion**

- Advantage 1: When there is little knowledge about the underlying coordinates/metrics, topology-based methods are more suitable than geometric methods.
- Advantage 2: If one wishes to analyze the probability distributions of neural population responses, this approach allows for standard statistical analysis.
- **Future Direction 1:** To extend this approach analogously to analyze the neural population responses arising from artificial neural networks under numerical simulations.
- Future Direction 2: To apply this approach to compare neural population responses across different brain regions.
- **Future Direction 3:** To consider whether it is possible without the dimensionality reduction step still a challenge in Topological Data Analysis!